1	CRISPR-Cas9 ^{D10A} nickase-assisted base editing in solvent producer
2	Clostridium beijerinckii
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18	Qi Li performed the experiments. Qi Li and Sheng Yang wrote the manuscript. François
19	M. Seys, Nigel P. Minton, Junjie Yang, Weihong Jiang and Yu Jiang designed the
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- 22 Conflict of Interest
- 23 The authors declare no competing financial interest.

24 Abstract

25 *Clostridium beijerinckii* is a potentially important industrial microorganism as it can 26 synthesize valuable chemicals and fuels from various carbon sources. The 27 establishment of convenient to use, effective gene tools with which the organism can 28 be rapidly modified is essential if its full potential is to be realized. Here, we developed 29 a genomic editing tool (pCBEclos) for use in C. beijerinckii based on the fusion of cytidine deaminase (Apobec1), Cas9^{D10A} nickase and uracil DNA glycosylase inhibitor 30 31 (UGI). Apobec1 and UGI are guided to the target site where they introduce specific 32 base-pair substitutions through the conversion of C·G to T·A. By appropriate choice of 33 target sequence, these nucleotide changes are capable of creating missense mutation or 34 null mutations in a gene. Through optimization of pCBEclos, the system derived, 35 pCBEclos-opt, has been used to rapidly generate four different mutants in C. 36 *beijerinckii*, in *pyrE*, *xylR*, *spo0A* and *araR*. The efficiency of the system was such that 37 they could sometimes be directly obtained following transformation, otherwise only 38 requiring one single re-streaking step. Whilst CRISPR-Cas9 nickase systems, such as 39 pNICKclos2.0, have previously been reported in C. beijerinckii, pCBEclos-opt does 40 not rely on homologous recombination, a process that is intrinsically inefficient in 41 clostridia such as *C. beijerinckii*. As a consequence, bulky editing templates do not need

42	to be included in the knock-out plasmids. This both reduces plasmid size and makes
43	their construction simpler, e.g., whereas the assembly of pNICKclos2.0 requires six
44	primers for the assembly of a typical knock-out plasmid, pCBEclos-opt requires just
45	two primers. The pCBEclos-opt plasmid established here represents a powerful new
46	tool for genome editing in C. beijerinckii, which should be readily applicable to other
47	clostridial species.
48	
49	Key words: CRISPR, Cas9, nickase, base editing, Clostridium beijerinckii

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1 Introduction 51

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52 Clostridium beijerinckii, a spore-forming, solventogenic, Gram-positive 53 bacterium, is a potentially important industrial strain as it can utilize a variety of carbon-54 based feedstocks to generate valuable chemicals and fuels (Chen & Blaschek, 1999; 55 Dürre, 1998; Ezeji, Qureshi, & Blaschek, 2007; Y. Gu, Jiang, Yang, & Jiang, 2014; 56 Jiang, Liu, Jiang, Yang, & Yang, 2015; Lee et al., 2008; Thakker, Martinez, Li, San, & 57 Bennett, 2015). The establishment of convenient to use, effective gene tools with which 58 the organism can be rapidly modified is essential if its full potential is to be realized. 59 Such tools may be used both to provide an in-depth understanding of cell physiology 60 and to enable the robust construction of engineered process organisms. Several genomic 61 editing tools have been developed in C. beijerinckii. Till now, a commonly used 62 procedure is based on gene inactivation by group II introns, typified by 63 Clostron/Targetron technology (Heap et al., 2010; Heap, Pennington, Cartman, Carter, 64 & Minton, 2007; Shao et al., 2007). Here the presence of intron-encoding protein allows 65 a mobile group II intron to recognize and insert into a specific site of the genome, 66 resulting in gene disruption. Although Clostron/Targetron technology is effective, it 67 cannot achieve in-frame deletion, large fragment insertion or base editing. Moreover, 68 in common with any insertional mutagen, it can result in polar effects. 69 Traditional homologous recombination-dependent allelic exchange may also be 70 employed to edit C. beijerinckii genomes. Its application is reliant on the sequential 71 occurrence of single crossover and double crossover events. These occur naturally, but 72 at a very low frequency. According those cells in which the desired crossovers have 73 taken place need to be detected in the wild type population through the use of 74 appropriate selective tools. The latter have included the use of counter selection 75 markers (Al-Hinai, Fast, & Papoutsakis, 2012) or I-Scel endonuclease (N. Zhang et al., 76 2015). Their use, however, is somewhat laborious, involving numerous re-streaking of 77 colonies onto the necessary selective media, and their effectiveness can suffer from a 78 high background of false positives due to spontaneous mutants. A more effective means 79 of selecting the required double crossover mutants is to use CRISPR-Cas9 where the 80 wild type cells are eliminated on mass leaving only the desired mutant cells. In such a system, typified by the previously described *C. beijerinckii* CRISPR-Cas9^{D10A} genome 81 82 editing tool (Li et al., 2016), all colonies obtained following transformation are in

83 essence mutants. However, whilst the use of CRISPR-Cas9 offers significant advantage 84 over the use of other counter selection markers, it remains reliant on homologous 85 recombination (HR), which is notoriously inefficient in clostridia and therefore reliant 86 on highly efficient DNA transfer. As the frequency of DNA transfer is inversely 87 proportional to plasmid size, the need to incorporate large editing templates in CRISPR-88 Cas9 vectors for the purposes of HR compromise the system. Moreover, the inclusion 89 of an editing template in the design of the knock-out plasmid adds complexity, requiring at least six primers for the assembly of the vector (Li et al., 2016). As the consequence 90 91 that the availability of a HR-independent C. beijerinckii genomic editing tool that 92 would involve fewer steps for assembly, and use relatively smaller vectors conducive 93 to high transformation frequencies, is highly desirable. 94 In recent years, the utility of CRISPR-Cas in genome editing has been extended

95 through its combination with deaminase enzymes to create a novel strategy for strain 96 engineering which is not reliant on HR. Cytidine deaminase or adenine deaminase is 97 fused to Cas9 effector protein (Cas9 nickase or dCas9) which allows its delivery to the 98 intended DNA target sites by the sgRNA/Cas9 complex. Upon delivery, the deaminase 99 converts nucleotide base pairs $C \cdot G$ to $T \cdot A$ or $A \cdot T$ to $G \cdot C$. These conversions take place 100 in the absence of Cas9-mediated DNA double-stranded breaks (DSB) while the plasmid 101 employed do not require the relatively large editing templates associated with 102 traditional CRISPR-Cas9 genome editing vectors. To date, the base conversion activity 103 of cytidine deaminase and adenine deaminase has been used in prokaryotes (Banno,

104 Nishida, Arazoe, Mitsunobu, & Kondo, 2018; Gaudelli et al., 2017; T. Gu et al., 2018;

105 Wang, Liu, et al., 2018; Wang, Wang, et al., 2018; Zheng et al., 2018) and eukaryotes

106 (K. Kim et al., 2017; Y. B. Kim et al., 2017; Komor, Kim, Packer, Zuris, & Liu, 2016;

107 Nishida et al., 2016; Rees et al., 2017; Y. Zhang et al., 2017; Zong et al., 2017), but no

108 deaminase was applied in *Clostridium* species.

109 In this study, we established a base editing tool (pCBEclos) in C. beijerinckkii NCIMB 8052 by the fusion of Cas9^{D10A} nickase, cytidine deaminase (rat Apobec1) and 110 111 uracil DNA glycosylase inhibitor (UGI) which was able to efficiently convert specific 112 $C \cdot G$ nucleotide base pairs in the target window sequence to $T \cdot A$. In its optimized form 113 (pCBclos-opt) it proved possible to rapidly generate mutants in four different genes, 114 namely pyrE, xylR, spo0A and araR. The system does not rely on HR, a process that is 115 intrinsically inefficient in clostridia such as C. beijerinckii. As a consequence, bulky 116 editing templates do not need to be included in the knock-out plasmids. This both 117 reduces plasmid size and makes their construction simpler, e.g., whereas the assembly 118 of pNICKclos2.0 requires six primers for the assembly of a typical knock-out plasmid, 119 pCBEclos-opt requires just two primers.

To our knowledge, this is the first report of the successful application of the
Cas9^{D10A} nickase and deaminase mediated base editing in *Clostridium*. It represents a
powerful new tool for genome editing in *C. beijerinckii*, which should be readily
applicable to other clostridial species.

125 **2 Materials and Methods**

126 2.1 Bacterial strains, media and reagents

127 The bacterial strains used in this study are listed in the Supporting information, Table 128 S1. Escherichia coli DH5α was used for plasmid construction and maintenance. It was 129 grown in LB medium at 37°C, supplemented where necessary with ampicillin (100 130 µg/ml). C. beijerinckii NCIMB 8052 was used as genome editing strain, it was grown 131 in CGM medium at 37°C in anaerobic chamber (Thermo Forma, Inc., Waltham, MA, 132 USA). 20 µg/ml of erythromycin was supplemented as needed for plasmid selection. 133 For C. beijerinckii NCIMB 8052 pyrE mutant, 20 µg/l uracil was required in CGM 134 medium. 135 The DNA polymerase KOD plus Neo and KOD FX (Toyobo, Osaka, Japan) were 136 used for high fidelity DNA amplification and colony PCR, respectively. All restriction 137 enzymes used in this study were purchased from Thermo Fisher Scientific (USA). The 138 plasmids used in this study were assembled by ClonExpress One Step Cloning Kit 139 (Vazyme Biotech Co., Ltd, Nanjing, China). DNA purification and plasmids extracting

- 140 were performed by kits purchased from Axygen (Hangzhou, China).
- 141 2.2 Plasmid construction

142 Cas9^{D10A} nickase and P_{thl} were amplified from the plasmid pNICKclos2.0 (Li et al.,
143 2016) by primers BE-P_{thl}-up/BE-P_{thl}-dn (apo-hm) and Cas9nclos-up/Cas9nclos-dn

144	respectively. The plasmid #73021 purchase from Addgene was used as the template to
145	amplify the Apobec1 and UGI gene by primers Apobec1-hm-up/Apobec1-hm-dn and
146	UGI-hm-up/UGI-hm-dn. The design guideline for sgRNA is as follows: 1. Choose 5'-
147	NGG-3' protospacer-adjacent motif (PAM); 2. The window area (typically from
148	positions 4 to 8 within the N20, counting the end distal PAM to the as position 1) must
149	containing C; 3. Base immediately 5' of the target C should be $TC \ge CC \ge AC > GC$
150	(Komor et al., 2016). The primers <i>cbei1006</i> -gRNA1-up1/BE-gRNA-dn were first used
151	to amplify the P_{j23119} -sgRNA- <i>pyrE</i> cassette from pNICKclos2.0 which was then used
152	as the template with primers cbei1006-gRNA1-up2/BE-gRNA-dn to produce the
153	overlapping extensions at the 5' ends of the P_{j23119} -sgRNA- <i>pyrE</i> cassette. Then, P_{j23119} -
154	sgRNA-pyrE cassette, P_{thl} , Apobec1, Cas9 ^{D10A} nickase and UGI were fused with
155	BamHI/SmaI linearized pXY1 to generated plasmid pCBEclos-cbei1006-g1. Plasmids
156	pCBEclos-cbei1006-g2 and pCBEclos-cbei1006-g3 were derived from pCBEclos-
157	cbei1006-g1 by replacing the 20-bp target sequences. The construction of plasmid
158	pCBEclos-cbei1006-g2 has been shown here as an example. Fragment cbei1006-
159	gRNA2-A was amplified from plasmid pCBEclos-cbei1006-g1 by primers cbei1006-
160	gRNA2-up(A-up)/pBEclos-A-dn. Primers pBEclos-B-up/pBEclos-B-dn, pBEclos-C-
161	up/pBEclos-C-dn were used to amplify the fragments BEclos-B, BEclos-C from
162	pCBEclos-cbei1006-g1. cbei1006-gRNA2-A, BEclos-B and BEclos-C were assembled
163	together to yield plasmid pCBEclos-cbei1006-g2. Among them, the fragments BEclos-
164	B and BEclos-C were universal, only the fragment A (e.g. cbei1006-gRNA2-A) was

165 changed for each new plasmid (Fig. S1). For example, during the construction of 166 plasmid pCBEclos-*cbei1006*-g3, only the primers *cbei1006*-gRNA3-up (A-167 up)/pBEclos-A-dn were used to amplified the fragment *cbei1006*-gRNA3-A, then this 168 fragment was fused with previously amplified fragments BEclos-B and BEclos-C to 169 generate the plasmid pCBEclos-*cbei1006*-g3.

Codon optimization of genes Apobec1 and UGI were performed by GenScript
Biotech Corp in Nanjing. Primers Apobec1-opt-up/Apobec1-opt-dn, UGI-opt-up/UGIopt-dn were adopted to amplify the optimized Apobec1 and UGI genes respectively.
Cas9^{D10A} nickase was amplified from the plasmid pNICKclos2.0 by primers Cas9nclosup (for opt)/Cas9nclos-dn (for opt). Apobec1, UGI and Cas9^{D10A} nickase amplified here
were fused with *BamH/Sma*I linearized pCBEclos-*cbei1006*-g1 to generated plasmid
pCBEclos-*cbei1006*-g1-opt.

177 Plasmid pCBEclos-cbei1006-g2-opt, pCBEclos-cbei1006-g3-opt, pCBEclos-178 cbei4456-opt, pCBEclos-cbei2385-g1-opt, pCBEclos-cbei2385-g2-opt (Addgene 179 deposits No. 118215) and pCBEclos-cbei1712-opt were derived from pCBEclos-180 *cbei1006*-g1-opt by replacing the 20-bp target sequences. The construction process of 181 these plasmids was similar to the unoptimized pCBEclos series of plasmids. Here, only 182 the construction of plasmid pCBEclos-cbei1712-opt has been shown as an example. 183 Fragment cbei1712-gRNA-A was amplified from plasmid pCBEclos-cbei1006-g1-opt 184 by primers *cbei1712*-gRNA-up(A-up)/pBEclos-A-dn. Primers pBEclos-Bup/pBEclos-B-dn, pBEclos-C-up/pBEclos-C-dn were used to amplify the fragments 185

186 BEclos-B-opt, BEclos-C-opt from pCBEclos-cbei1006-g1-opt. cbei1712-gRNA-A,

- 187 BEclos-B-opt and BEclos-C-opt were assembled together to yield plasmid pCBEclos-
- 188 *cbei1712*-opt. Similarly, the fragments BEclos-B-opt and BEclos-C-opt were universal
- 189 for constructing the optimized pCBEclos series of plasmids (Fig. S1).

190 2.3 Electroporation and screening of mutant strains

191 Plasmids were transformed into C. beijerinckii NCIMB 8052 using a previously 192 reported electroporation protocol (Mermelstein, Welker, Bennett, & Papoutsakis, 1992). 193 The recovered cells were spread on CGM agar supplemented with an appropriate 194 amount of erythromycin and incubated at 37°C for approximately 2 days. The primers 195 listed in the Supplementary Table S2 were used for colony PCR, which was undertaken 196 when the transformants were visible on the CGM agar plates. Then, the PCR products 197 were extracted and sequenced to confirm the desired mutation events. For screening of 198 the pyrE mutants, the CGM medium was supplemented with 400 µg/l 5-fluroorotic acid 199 (5-FOA). Colony PCR was undertaken on a selection of random colonies growing on 200 the CGM agar containing 5-FOA, to confirm the expected mutation.

201 2.4 Plasmid curing

To eliminate the plasmids used in this study, mutants were first cultivated in 5 ml of CGM medium without any antibiotic (T1). After growing for 12 h, 50 μ l of the T1 broth was used to inoculate 5 ml of fresh CGM medium and grown for 12 h until the OD₆₀₀ reached 0.8. The culture was diluted appropriately and aliquots of cells spread on a 206 nonselective CGM agar plate. The individual colonies were patch plated onto CGM 207 agar with and without erythromycin (20 μ g/ml). The cells that grew on nonselective 208 medium, but were unable to grow on erythromycin CGM agar, were deemed to have 209 been cured of their plasmids.

210 2.5 Fermentation and data analysis

211 The fermentation of strains 8052WT, 8052xylR(TargeTron) (Xiao et al., 2012) and 212 8052xylR(BE)(xylR was disrupted by pCBEclos-opt) were performed anaerobically in 213 XHP₂ medium (Xiao et al., 2012) at 37°C with xylose(60 g/l) as the carbon source for 214 72 h. 5 ml of liquid CGM was inoculated with single colony at 37 °C for about 12h, 215 then $\sim 5\%$ (v/v) of the inoculum was transferred into XHP2 medium for fermentation 216 when the optical density at 600 nm (OD₆₀₀) of the cells reached 0.8-1.0. The 217 concentrations of xylose were determined with high-performance liquid 218 chromatography (1200 series; Agilent), as described previously (Ren et al., 2010). Cell 219 density (OD₆₀₀) was measured using a DU730 spectrophotometer (Beckman Colter). 220

3 Results

3.1 Establishment of CRISPR-Cas9^{D10A} nickase-mediated base editing system pCBEclos-opt in *C. beijerinckii* NCIMB 8052

To employ the deaminase-mediated base editing in *C. beijerinckii* NCIMB 8052,

225 we combined all functional components of the desired system into a single plasmid, 226 pCBEclos (Figure 1A). Transcription of the sgRNA was placed under the control of the 227 P_{i23119} promoter, and expression of the fusion protein of deaminase (rat Apobec1), Cas9^{D10A} nickase and UGI under the control of the P_{thl} promoter. Cas9^{D10A} nickase 228 229 targets the non-edited strand and generates a nick, which promotes the use of the edited 230 strand as template for the repair of the nicked strand (Komor et al., 2016; Komor et al., 231 2017). UGI suppresses excision of the uracil base generated by the cytosine deaminase 232 and accelerates mutagenesis (Banno et al., 2018; Komor et al., 2017) (Figure 1A). To 233 verify the desired mutation events generated via plasmid pCBEclos, DNA fragments 234 amplified by colony PCR of cells growing on counter selective media were subject to 235 Sanger sequencing for verifying the counter-selective genes; and colony PCR and 236 sequencing were directly performed from the transformants for non-selectable genes 237 (Figure 1B).

238 The *pyrE* gene (*cbei1006*) encoding orotate phosphoribosyltransferase was 239 selected as the first target gene in C. beijerinckii NCIMB 8052. Inactivation of the pyrE 240 gene leads to uracil auxotrophy and to resistance to the uracil analog 5-FOA (Ehsaan et 241 al., 2016; Tripathi et al., 2010), making such mutants readily distinguishable from wild 242 type cells. Accordingly, the plasmid pCBEclos-cbei1006-g1 carrying the spacer that 243 targets the *pyrE* gene was electroporated into *C. beijerinckii* NCIMB 8052 and a total 244 of 55 transformants from those obtained on CGM media supplemented with erythromycin. To establish if any of these transformants were mutants, a total of 20 245

246 randomly selected colonies were subject to colony PCR and the amplified DNA 247 fragment subject to Sanger sequencing. All of the sequence reads obtained were wild 248 type. To ascertain whether mutant cells were present within the population, all of the 249 55 primary transformants were patch plated onto CGM agar media supplemented with 250 5-FOA. Of these, 22 were found to be resistant to 5-FOA. However, even after an 251 extended period of time, these colonies grew poorly (Figure 2A). Further screening of 252 a randomly selected 9 representatives of these 22 clones by Sanger sequencing of the 253 DNA fragment amplified by colony PCR indicate that all 9 contained the expected 254 mutational change (Figure 2B).

255 Our hypothesis to explain this observation is that the initial transformant colonies 256 are composed of a mixture of wild type and mutant cells in which the former vastly 257 predominate. The ratio of mutant to wild type is such that the mutant sequence cannot 258 be detected as it is swamped by the wild type. As an additional consequence, the 259 transformants grow poorly when initially plated on agar media containing 5-FOA as 260 they are predominately wild type cells, which are sensitive to this uracil analog. 261 Additionally, vector maintenance and constitutive expression of the codon un-262 optimized fusion protein might also be responsible for reduced growth. Thus, our initial 263 base editing system (designated pCBEclos) although functional in C. beijerinckii 264 NCIMB 8052, was deemed relatively inefficient.

We also selected two other target sites (Fig. S2A) within the *pyrE* gene to test
pCBEclos. Similar to pCBEclos-*cbei1006*-g1, 20 out of 22 transformants obtained with

267 plasmid pCBEclos-cbei1006-g2 were found to grow on CGM agar containing 5-FOA, 268 albeit weakly. In contrast, no cells resistant to 5-FOA were obtained with plasmid 269 pCBEclos-cbei1006-g3 (Fig. S2B). 9 out of those 20 clones obtained from pCBEclos-270 cbei1006-g2 which grew on 5-FOA medium were all shown by Sanger sequencing of 271 amplified PCR products, to contained the desired mutations (Fig. S2C). The results 272 indicated that the selection of different target sites on the same gene was not a fruitful 273 way to improve the efficiency of the initially established base editing plasmid 274 pCBEclos.

275 As the Addgene-derived Apobec1 and UGI genes used in the pCBEclos plasmid 276 system were optimized for expression in human cells, they may not be well expressed 277 in *Clostridium*. This could explain the poor efficiency of pCBEclos. Accordingly, we 278 elected to optimize the Apobec1 and UGI codons used based on C. beijerinckii NCIMB 279 8052 genome codon usage. The humanized components on plasmid pCBEclos-280 cbei1006-g1 were thereafter replaced with the Clostridium optimized Apobec1 and 281 UGI genes to generate plasmid pCBEclos-cbei1006-g1-opt. Following the procedure 282 showed in Figure 1B, plasmid pCBEclos-*cbei1006*-g1-opt was electroporated into C. 283 beijerinckii NCIMB 8052 and transformed cells plated onto CGM agar supplemented 284 with erythromycin. The transformation frequencies obtained equated to 18.2 CFU/µg 285 DNA. Sanger sequencing of the amplified DNA obtained by colony PCR of six 286 randomly selected transformants revealed that three of them contained the desired 287 mutational changes. However, the reads obtained comprised a mixture of wild type and 288 mutant reads in the target region (Figure 3A). These cells were therefore re-streaked 289 once onto fresh CGM agar plates and two of single colonies tested again by Sanger 290 sequencing of the PCR amplified product. All of the purified colonies appeared to be 291 clean mutants with no detectable wild type sequence (Figure 3C). In parallel to the 292 above, 49 primary pCBEclos-cbei1006-g1-opt transformants were patch plated onto 293 CGM agar containing 5-FOA. On the basis of their growth, 46 out of the 49 colonies 294 were found to be resistant to 5-FOA. Moreover, in this case the growth observed was 295 vigorous, in contrast to the poor growth previously obtained when using the un-296 optimized pCBEclos system (Figure 3B). The new base editing tool was designation 297 the pCBEclos-opt system. In contrast to pCBEclos, clones containing the desired C·G 298 to T-A mutations obtained simply by plating cells electroporated with the pCBEclos-299 opt system onto CGM media containing erythromycin. The detection of the desired 300 mutants using the pCBEclos system requires subsequent screening of primary 301 transformants on selective media (Figure 3D). Moreover, the ratio of positive 5-FOA 302 resistant colonies was improved by about 2-folds via pCBEclos-opt system, compared 303 to the previous pCBEclos system (Figure 3D).

Successive rounds of base editing require that the initially used editing plasmid is
cured from the cell if an additional mutation is required. In order to test the efficiency
of plasmid curing, the edited *C. beijerinckii* NCIMB 8052 containing the expected *pyrE*mutation was cultured in nonselective liquid CGM that was supplemented with
exogenous uracil (20 μg/l). After two subcultures, clonal populations were isolated by

309 plating to single colonies on nonselective CGM plates and these single colonies were 310 patch plated onto CGM agar with and without erythromycin supplementation. The 311 result showed that all 56 colonies could grow on the nonselective CGM medium, but 312 they were sensitive to erythromycin (Fig. S3). These data indicated that curing of 313 plasmid pCBEclos-*cbei1006*-g1 from the cells took place with 100% efficiency after 314 only two subcultures.

315 3.2 Expansion of the pCBEclos-opt system to further genes in *C. beijerinckii*316 NCIMB 8052.

After demonstrating the functionality of cytidine deaminase-based gene editing on the *pyrE* gene, we further expanded the pCBEclos-opt system to other genes in *C*. *beijerinckii* NCIMB 8052 (namely: araR or *cbei4456*, encoding a GntR family transcriptional regulator; *xylR or cbei2385*, encoding the transcriptional regulator of xylose metabolism; and *spo0A* or *cbei1712*, encoding response regulator receiver protein).

Accordingly, *C. beijerinckii* NCIMB 8052 was transformed with plasmid pCBEclos-*cbei4456*-opt encoding a sgRNA that targets *araR*. In this case all 3 transformants obtained harbored the desired C·G to T·A mutation. However, as with *pyrE*, all three represented a mixed population composed of the wild type and desired mutant (Figure 4A). One pure colony harboring the desired mutation could be isolated after single-round re-streaking of one of the transformants (Figure 4B).

The plasmid pCBEclos-*cbei2385*-g1-opt targeting *xylR* was transformed in *C. beijerinckii* NCIMB 8052 and yielded 3 transformants that were screened by colony PCR and Sanger sequencing. The sequencing results showed that 2 transformants were mixtures (Figure 4C), while the last colony was wild-type. A pure mutant could be obtained by single-round re-streaking one of the mixed colonies on the CGM agar (Figure 4D).

335 In the case of the xylR gene, further improvements in mutagenesis efficiency were 336 sought by changing the target sequence of pCBEclos-opt. Accordingly, the 20-bp spacer 337 on plasmid pCBEclos-cbei2385-g1-opt was replaced to yield pCBEclos-cbei2385-g2-338 opt. The latter was found to be electroporated into 8052 with efficiency of 29.8 CFU/µg 339 DNA. Sanger sequencing of the colony PCR product of five randomly selected 340 transformants showed that one of them was a pure mutant (Figure 5A), one was a 341 mixture and the other three were wild type. As previously, re-streaking of a mixed clone 342 onto CGM agar and subsequent testing of individual single colonies easily allowed the 343 isolation of a pure mutant (Fig. S4A). Thus, in contrast to the previous plasmid targeting 344 xylR, pCBEclos-cbei2385-g1-opt, changing the target site to that present in pCBEclos-345 cbei2385-g2-opt allowed the direct isolation of a pure mutant. As xylR was inactivated 346 via TargeTron previously (Xiao et al., 2012) and it was related to xylose consumption. 347 In order to test the fermentation phenotype of xylR mutant we obtained by pCBEclos-348 opt, we fist cleared the plasmid pCBEclos-cbei2385-g2-opt with efficiency of 34/39 to 349 obtain the strain 8052xylR(BE) (Fig. S5A). Then, 8052WT, 8052xylR(BE) as well as

350	8052 <i>xylR</i> (TargeTron) (Xiao et al., 2012) were cultured in XHP2 medium containing 60
351	g/l xylose for 72h. The results showed that the fermentation phenotype of 8052(BE)
352	was close to the 8052xylR(TargeTron), both mutants consumed 10% more xylose than
353	strain 8052WT (Fig. S5B).
354	Attempting to edit spo0A, plasmid pCBEclos-cbei1712-opt was introduced into
355	8052, and transformants were obtained with transformation of 110.6 CFU/ μ g DNA. 2
356	out of 6 random picked colonies were pure mutated colonies, 3 were mixed colonies,
357	and 1 was pure wild type (Figure 5B). Pure mutated strains could be isolated from all
358	3 mixed colonies (Fig. S4B).

360 **4 Discussion**

361 Genome editing tools based on CRISPR-Cas9 systems traditionally introduce a 362 DSB at a specific locus under the guidance of a sgRNA. During the repair of the DSB, 363 precise genome editing can be achieved in the presence of a donor DNA template by 364 exploiting the host's homologous recombination mechanisms. Based on this principle, 365 CRISPR-Cas9 mediated genome editing has been widely used in bacteria. However, 366 some bacteria have inefficient HR system and lack a functional non-homologous end 367 joining (NHEJ) repair pathway, which prevents the repair of Cas9-mediated DSBs and results in cell death. Therefore, it is necessary to establish HR independent genome 368 369 editing tools in such bacteria. C. bejerinckii NCIMB 8052 is one of these bacteria 370 lacking an effective DSB repair pathway. One such HR independent tool available in
371 *C. bejerinckii* NCIMB 8052 is the group II intron-based gene inactivation, but it is as
372 precise as Cas9-mediated genome editing and it has polar effects.

373 In this study, we first established a CRISPR-mediated base editing tool pCBEclos in *Clostridium* by the fusion of Apobec1, Cas9^{D10A} nickase and UGI. The conversion 374 375 of C·G to T·A at the target sites were realized via pCBEclos in C. bejerinckii NCIMB 376 8052. We initially established the pCBEclos system by directly applying Apobec1 and 377 UGI obtained from Addgene. However, the pCBEclos plasmid was inefficient and it 378 required selective medium to screen the edited strains, such as culturing the pyrE379 mutants on 5-FOA plates. This pCBEclos system with poor efficiency is not suitable 380 for genes that do not exhibit a selectable phenotype. Fortunately, the base editing 381 efficiency was greatly improved after the optimization of Apobec1 and UGI, and the 382 desired mutants of pyrE, xylR, spo0A or araR could be directly detected in the transformants of C. bejerinckii NCIMB 8052 via this optimized pCBEclos-opt system. 383 384 Furthermore, the loss of plasmid pCBEclos-cbei1006-g1-opt after gene editing was 385 achieved with efficiency of 100% after only two subcultures, allowing for successive 386 rounds of base editing. When mixed colonies of wild-type cells and mutants were 387 obtained, pure colonies harboring the desired mutation could be isolated by subsequent 388 re-streaking of the mixed colonies. Targeting a different locus within the xvlR allowed 389 to isolate pure colonies of the desired genotype without the need of a re-streak. This 390 improvement in mutagenesis efficiency might reinforce the hypothesis of Komor et al

391 (2016), that the base immediately 5' and 3' of the target C may result in the different392 editing efficiency.

The whole process of Cas9^{D10A} nickase-mediated base editing, including 393 394 electroporation, editing, identification and plasmid curing, only took five days. Unlike 395 the pNICKclos2.0 system we established previously, pCBEclos-opt does not rely on 396 homologous recombination, and as such DNA repair templates are not required when 397 using this system to edit gene. Therefore, the assembly of pCBEclos-opt is easier than 398 pNICKclos2.0, requiring only two primers instead of six. PCR amplification is 399 performed to obtain the part A that contains the new 20-bp target sequence, then this 400 part A is fused with the universal part B and C to generate the new plasmid (Fig. S1) 401 using ClonExpress One Step Cloning Kit. Its high genome editing efficiency of and the 402 simplicity of its assembly make pCBEclos-opt a useful genome editing tool in 403 Clostridium. If mutagenesis efficiency can be improved, a plasmid library of 404 pCBEclos-opt containing sgRNAs targeting each gene in C. bejerinckii NCIMB 8052 405 could be used to produce a mutant library that could be selected against a desired 406 phenotype.

In summary, this study is the first report that successfully applied Cas9^{D10A}
nickase-mediated base editing tool in *Clostridium*. A similar strategy would likely be
effective in other *Clostridium* strains. The base editing plasmid pCBEclos-opt we
established here will accelerate the metabolic engineering of *Clostridium* for the
optimization of chemicals and solvents in the future.

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417

418 Supporting Information

- 419 Table S1: Plasmids and strains used in this study.
- 420 Table S2: Oligonucleotides used in this study.
- 421 Figure S1: Schematic for construction of pCBEclos series plasmids.
- 422 Figure S2: Target sites on *pyrE* gene were changed to test the pCBEclos system.
- 423 Figure S3: Clearance of plasmid pCBEclos-*cbei1006*-g1-opt.
- 424 Figure S4: Purification of the mixed *xylR* and *spo0A* mutants on plates.
- 425 Figure S5: Clearance of plasmid pCBEclos-cbei2385-g2-opt and the xylose
- 426 consumption of 8052WT, 8052xylR(TargeTron) and 8052xylR(BE).

427

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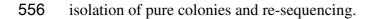
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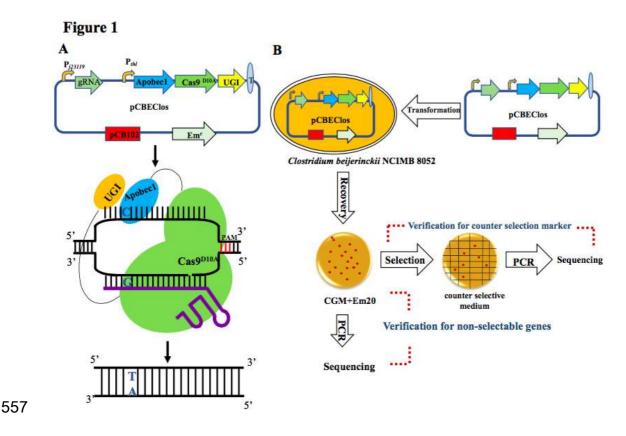
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544 Figure legends

545	Figure 1: Base editing in C. beijerinckii NCIMB 8052. (A) Design and strategy for
546	CRISPR-Cas9 ^{D10A} nickase-mediated C·G to T·A conversion in C. beijerinckii. Guide
547	RNA, Apobec1, Cas9 ^{D10A} nickase and UGI are all expressed on one plasmid pCBEclos.
548	(B) The procedure for confirming the mutant strain edited by pCBEclos in C .
549	beijerinckii. After assembly of pBEclos, it is electroporated into C. beijerinckii NCIMB
550	8052 and cells are plated on CGM plates with erythromycin to select for pBEclos. In
551	the case of <i>pyrE</i> mutagenesis, an additional selection step is carried out by patching
552	single colonies on CGM plates with erythromycin and 5-FOA. Colonies from both the
553	transformation plate and the mutant selection plate are finally screened by PCR
554	amplification and subsequent Sanger sequencing. When revealed by the sequencing

results, mixed colonies are re-streaked on CGM plates with appropriate antibiotic for





558 Figure 2: Mutagenesis of *pyrE* gene in *C. beijerinckii* NCIMB 8052 via pCBEclos

system. (A) *C. beijerinckii* were spread on CGM plates containing 5-FOA after
transformation with plasmid pCBEclos-*cbei1006*-g1, "-" represents the negative
control; (B) Sequence alignment of the *pyrE* mutants edited by pCBEclos system after
selection on 5-FOA plates. The bolded and underlined sequence is the targeted N20 site,
the red underlined is the PAM sequence, and the mutated nucleotides are highlighted in
green.



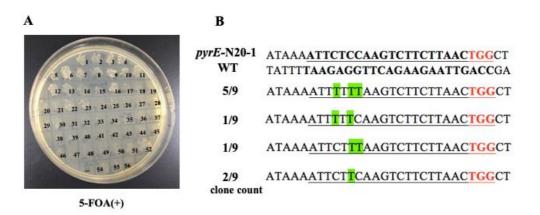
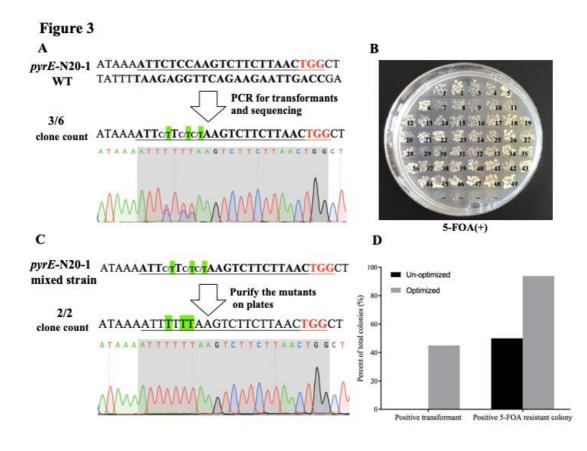


Figure 3: Base editing efficiency was improved by pCBEclos-opt carrying the 566 567 codon optimized Apobec1 and UGI. (A) Sequence alignment of the pyrE mutants 568 obtained by transformation with pCBEclos-cbei1006-g1-opt; (B) C. beijerinckii 569 carrying the plasmid pCBEclos-cbei1006-g1-opt were patched on plates containing 5-570 FOA, "-" represents the negative control; (C) Sequence alignment of the *pyrE* mutants 571 isolated after re-streaking mixed colonies on CGM+ erythromycin plate; (D) The ratio 572 of positive transformants and 5-FOA resistant strains was compared between the 573 pCBEclos and pCBEclos-opt. The bolded and underlined sequence is the targeted N20 574 site, the red underlined is the PAM sequence, and the mutated nucleotides are 575 highlighted in green.



577

Figure 4: Mutagenesis of gene araR and xylR in C. beijerinckii NCIMB 8052 via 578 579 pCBEclos-opt system. (A) Sequence alignment of the araR mutants obtained by 580 transformation with pCBEclos-cbei3835-g1-opt; (B) The pure araR mutant was 581 obtained after single-round streaking the mixed colony on plate. (C) Sequence 582 alignment of the xylR mutants obtained by transformation with pCBEclos-cbei2385-583 g1-opt. (D) The pure xylR mutant was isolated by single-round streaking a mixed 584 colony on plate. The bolded and underlined sequence is the targeted N20 site, the red 585 underlined is the PAM sequence, and the mutated nucleotides are highlighted in green.

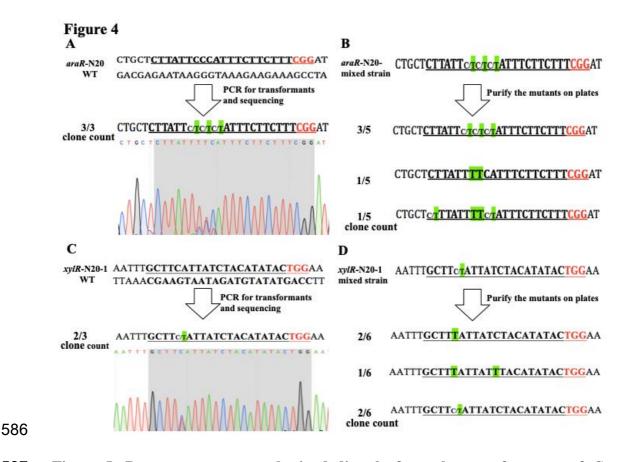
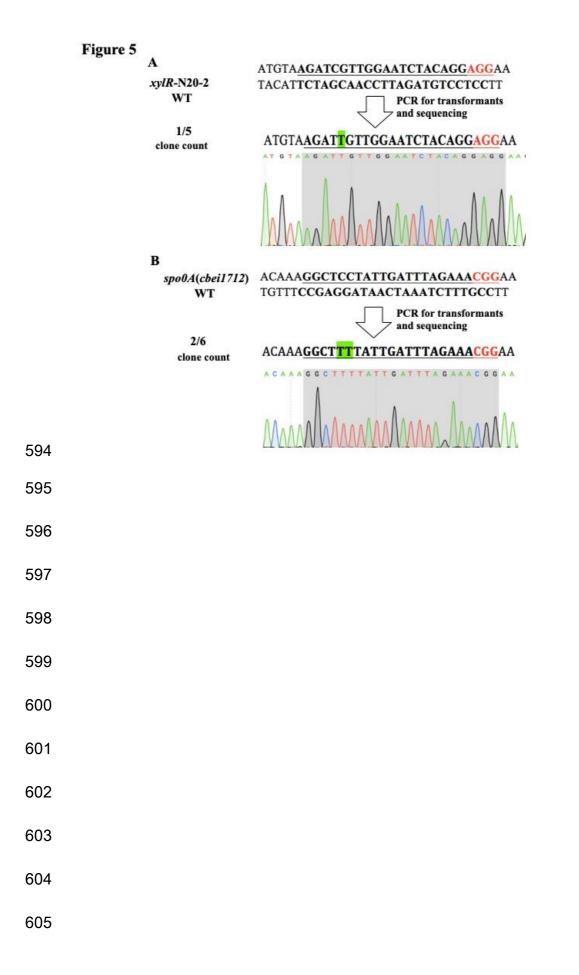


Figure 5: Pure mutants were obtained directly from the transformants of *C*. *beijerinckii* NCIMB 8052 via plasmid pCBEclos-opt. (A) Sequence alignment of the *xylR* pure mutant obtained by transformation with pCBEclos-*cbei2385*-g2-opt; (B)
Sequence alignment of the *spo0A* pure mutant obtained by transformation with
pCBEclos-*spo0A*-g2-opt. The bolded and underlined sequence is the targeted N20 site,
the red underlined is the PAM sequence, and the mutated nucleotides are highlighted in
green.



Strains or plasmids	Description	Source or reference
Strains		
C. beijerinckii NCIMB 8052	Wild type	NCIMB
E. coli DH5α	Commercial transformation host	GIBCO BRL, Life
		Technologies
Plasmids		
pXY1	pCB102, MLS ^R , P _{tht} promotor,ColE1 origin , Amp ^R , E.coli-Clostridium shuttle vector	This study
pCBEclos-cbei1006-g1	Derived from pXY1-Cas9n, pJ23119-sgRNA1-cbei1006, Pthl-rAPOBEC1-XTEN Cas9n-	This study
	UGI	
pCBEclos-cbei1006-g2	Derived from pCBEclos-cbei1006-g1, pJ23119-sgRNA2-cbei1006	This study
pCBEclos-cbei1006-g3	Derived from pCBEclos-cbei1006-g1, pJ23119-sgRNA3-cbei1006	This study
pCBEclos-cbei1006-g1-opt	Derived from pCBEclos-cbei1006-g1, Pthl-rAPOBEC1(optimized)-XTEN Cas9n-	This study
	UGI(optimized)	
pCBEclos-cbei4456-g1-opt	Derived from pCBEclos-cbei1006-g1-opt, Pth1-rAPOBEC1(optimized)-XTEN Cas9n-	This study
	UGI(optimized)	
pCBEclos-cbei2385-g1-opt	Derived from pCBEclos-cbei1006-g1-opt, Pthl-rAPOBEC1(optimized)-XTEN Cas9n-	This study
	UGI(optimized)	
pCBEclos-cbei2385-g2-opt	Derived from pCBEclos-cbei1006-g1, Pthl-rAPOBEC1(optimized)-XTEN Cas9n-	This study
	UGI(optimized)	
pCBEclos-cbei1712-opt	Derived from pCBEclos-cbei1006-g1-opt, pJ23119-sgRNA-cbei1712	This study

606 Supporting information, Table S1: Plasmids and strains used in this study.

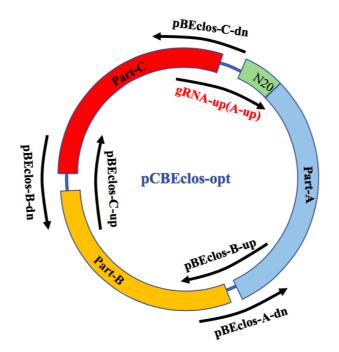
610 Supporting information, Table S2: Oligonucleotides used in this study.

Oligos	Sequence (5'→3')
cbei1006-gRNA1-up1	aggtataatactagtatteteeaagtettettaaegttttagagetagaaatageaagt
cbei1006-gRNA1-up2	aatgtgctgcattgacagctagctcagtcctaggtataatactagtattctccaagtct
BE-gRNA-dn	ctattattatttttatcaatatattttgttaaaaaggtaccaaaaaagcaccgactcgg
BE-P _{thl} -up	agtcggtgcttttttggtacctttttaacaaaatatattgataaaaataataatagtgg
BE-P _{thl} -dn(apo-hm)	gccactgggccagtetetgagetcatggateetetaactaaceteetaaattttgatae
Apobec1-hm-up	gttaccccgtatcaaaatttaggaggttagttagaggatccatgagctcagagactggc
Apobec1-hm-dn	cgctatttgtgccgatagctaagcctattgagtatttcttatcactttcgggtgtggcg
Cas9nclos-up	cccgggacctcagagtccgccacacccgaaagtgataagaaatactcaataggcttagc
Cas9nclos-dn	tccttttcaataatatctgacagattagtagaaccaccagagtcacctcctagctgact
UGI-hm-up	tgaaacacgcattgatttgagtcagctaggaggtgactctggtggttctactaatctgt
UGI-hm-dn	gtcacgacgttgtaaaacgacggccagtgaattcccgggttaagaaccaccagagagca

cbei1006-gRNA2-	$attgacagctagctcagtcctaggtataatactagt \underline{aacttccgccattgtaacta}gttttagagctagaaatagcaaggtaggt$
up(A-up)	
cbei1006-gRNA3-	$attgacagctagctcagtcctaggtataatactagt \underline{ttgtgccatagttacaatgg} gttttagagctagaaatagcaag$
up(A-up)	
pBEclos-A-dn	ttgactacttcttcacttgga
pBEclos-B-up	gttctgataaaaatcgtggtaaa
pBEclos-B-dn	atcetttgatettttetaegg
pBEclos-C-up	taacgtgagttttcgttcca
pBEclos-C-dn	actagtattatacctaggactgag
Apobec1-opt-up	ttaccccgtatcaaaatttaggaggttagttagaggatccatgtcaagtgaaacaggac
Apobec1-opt-dn	tatttgtgccgatagctaagcctattgagtatttcttatcagattcaggagttgcagat
Cas9nclos-up(for opt)	ccaggaacatcagaatctgcaactcctgaatctgataagaaatactcaataggcttagc
Cas9nclos-dn(for opt)	tctttttctattatatctgaaagatttgttgatcctccactgtcacctcctagctgact
UGI-opt-up	tttatgaaacacgcattgatttgagtcagctaggaggtgacagtggaggatcaacaaat
UGI-opt-dn	cgacgttgtaaaacgacggccagtgaattcccgggttatgatcctccagataacatttt
cbe44565-gRNA1-	$attgacagctagctcagtcctaggtataatactagt \underline{cttattcccatttcttcttt}gttttagagctagaaatagcaag$
up(A-up)	
cbei2385-gRNA1-	$attgacagctagctcagtcctaggtataatactagt { \ \ \ \ \ \ \ \ \ \ \ \ \$
up(A-up)	
cbei2385-gRNA2-	$attgacagctagctcagtcctaggtataatactagt \underline{agatcgttggaatctacagg} gttttagagctagaaatagcaaggtaggaatagcaaggtaggt$
up(A-up)	
cbei2385-verf-up	ttgatagaagtaaatcacagtaaaataaaag
cbei2385-verf-dn	gaagcatacacatctatgaattctc
cbei1712-gRNA-up(A-	$attgacagctagctcagtcctaggtataatactagt { \underline{ggctcctattgatttagaaa} gttttagagctagaaatagcaag tagaatagcaag tagaag tagaatagcaag tagaatagcaag $
up)	
cbei4456-verf-up	gggttacataaaggccct
cbei4456-verf-dn	ttaaactctagaacaagaatctctaaca
cbei1006-verf-up	acgagattataggaataatataaattgatc
cbei1006-verf-dn	tcacagtcctgagaaacatatat
cbei1712-verf-up	atacaatgcaattggaaaaggt
cbei1712-verf-dn	atttgttggcttacctttatcat
The bolded and underlined	sequence represent the target sites used in base editing.

615 Supporting information, Figure. S1: Schematic for construction of pCBEclos

616 series plasmids.



617

618 Primers pBEclos-B-up/pBEclos-B-dn, pBEclos-C-up/pBEclos-C-dn are used to

amplify the universal fragments BEclos-B-opt(part B), BEclos-C-opt(part C). Only the

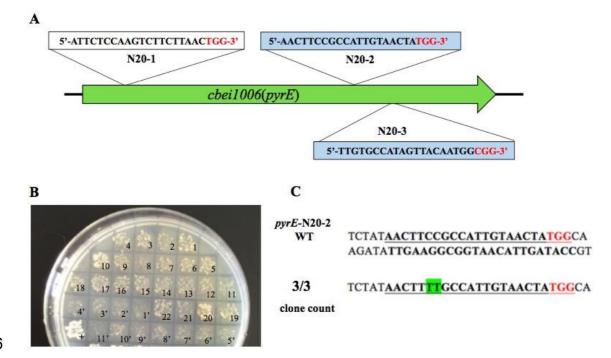
620 part A is changed for the construction of new plasmid by the primer gRNA-up(A-up)

and the universal primer pBEclos-A-dn. Then, part A, part B and part C are assembled

622 to generated the new base editing plasmid.

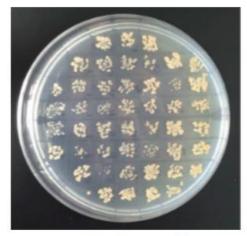
624 Supporting information, Figure. S2: Target sites on *pyrE* gene were changed to

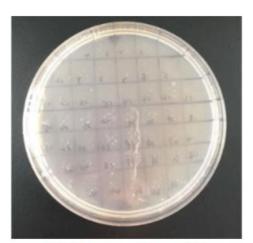
625 test the pCBEclos system.



627 (A) The sequence of target sites in the *pyrE* gene; (B) *C. beijerinckii* were spread on 628 plates containing 5-FOA after transformation with plasmid pCBEclos-cbei1006-g2 and 629 pCBEclos-cbei1006-g3; Strains 1-22 are the transformants of plasmid pCBEclos-630 cbei1006-g2, while strains 1'-11' are the transformants of plasmid pCBEclos-cbei1006-631 g3; "+" represents the positive control; (C) Sequence alignment of the pyrE mutants 632 edited by pCBEclos system after selection on 5-FOA plates. The bolded and underlined 633 sequence is the targeted N20 site, the red underlined is the PAM sequence, and the 634 mutated nucleotides are highlighted in green. 635 636 Supporting information, Figure. S3: Clearance of plasmid pCBEclos-cbei1006-g1-

637 opt.





CGM+uracil

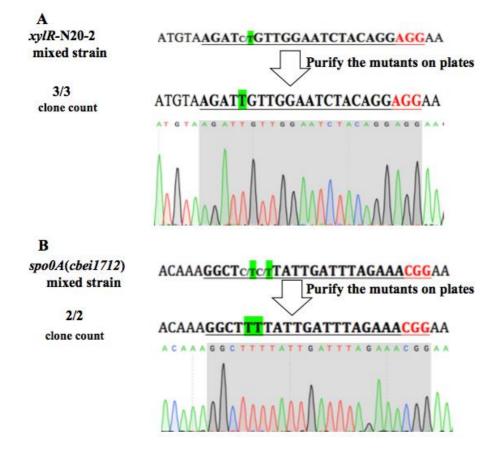
CGM+uracil+Em20

639

640

642 Supporting information, Figure. S4: Purification of the mixed *xylR* and *spo0A*

643 mutants on plates.

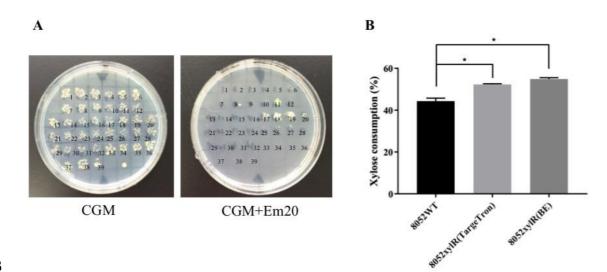




(A) Sequence alignment of the pure xylR mutants after streaking the mixed strain on

646 plate. (B) Sequence alignment of the pure *spo0A* mutants after streaking the mixed

- 647 strain on plate.
- 648
- 649
- 650 Supporting information, Figure. S5: Clearance of plasmid pCBEclos-cbei2385-g2-
- 651 opt and the xylose consumption of 8052WT, 8052xylR(TargeTron) and
- 652 8052xylR(BE).



654 (A) Clearance of plasmid pCBEclos-*cbei2385*-g2-opt. (B) Xylose consumption of

655 strains 8052WT, 8052*xylR*(TargeTron) (*xylR* was disrupted by TargeTron technology)

and 8052*xylR*(BE) (*xylR* was disrupted by pCBE-opt) in XHP2 medium containing 60

657 g/l D-xylose. Samples were taken after 72 h of fermentation. Fermentations were

- 658 performed in triplicate.