IOURNAL OF ENVIRONMENTAL SCIENCES XX (2017) XXX-XXX



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# Critical review of EPS production, synthesis and composition for sludge flocculation

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#### ARTICLEINFO

#### 11 Article history:

- 12 Received 23 November 2016
- 13 Revised 12 May 2017
- 14 Accepted 12 May 2017
- 15 Available online xxxx
- 31 Keywords:
- 32 Extracellular polymeric substances
- 33 Metabolic pathways
- 34 Extraction methods
- 35 Flocculation properties

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#### ABSTRACT

Extracellular polymeric substances (EPS) produced by microorganisms represent biological 16 macromolecules with unfathomable potentials and they are required to be explored further 17 for their potential application as a bioflocculant in various wastewater sludge treatment. 18 Although several studies already exist on biosynthetic pathways of different classical 19 biopolymers like alginate and xanthan, no dedicated studies are available for EPS in sludge. 20 This review highlights the EPS composition, functionality, and biodegradability for its 21 potential use as a carbon source for production of other metabolites. Furthermore, the effect 22 of various extraction methods (physical and chemical) on compositional, structural, 23 physical and functional properties of microbial EPS has been addressed. The vital 24 knowledge of the effect of extraction method on various important attributes of EPS can 25 help to choose the suitable extraction method depending upon the intended use of EPS. The 26 possible use of different molecular biological techniques for enhanced production of 27 desired EPS was summarized.

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Abbreviations: C/N, carbon to nitrogen molar ratio; CER, cation exchange resin; EDTA, Ethylene di amine tetra acetate group; EPS, exopolysaccharide or extra cellular polymeric substances; FTIR, Fourier Transform Infrared spectroscopy technique; GDP, Guanosine di phosphate; GT, Glucosyltransferase; MBR, membrane bioreactor; SEC, size exclusion chromatography; SS, suspended solids; UDP, uridine diphosphate; VSS, volatile suspended solids; WWTP, waste water treatment plant or wastewater treatment process.

#### http://dx.doi.org/10.1016/j.jes.2017.05.020

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#### Introduction

In general, sludge settling is improved by the addition of synthetic polymers, but they are known to be expensive and may further pollute the environment (Deng et al., 2003). To minimize the use of synthetic flocculants in sludge settling applications, a novel alternative approach will be to use ecofriendly bio coagulants/bioflocculants. The role of extracellular polymeric substances (EPSs) produced by sludge microorganisms during the wastewater treatment process have been extensively studied (Hay et al., 2010; More et al., 2014; Subramanian et al., 2010). Recently, a demand of biopolymers for various industrial, biotechnological and environmental applications like flocculation, settling, dewatering of sludge, dyes and metal removal from wastewater has rekindled the interest in EPS production (Nontembiso et al., 2011; Zhang et al., 2012).

The main characteristic of EPS is to enhance aggregation of bacterial cells and suspended solids (SS). Adhesion and cohesion occur between EPS and the biomass along with suspended solids by complex interactions such as London forces, electrostatics interactions and hydrogen bonding, which leads to the formation of flocs. These EPS properties make them suitable for many applications such as sludge flocculation, settling, dewatering, metal binding and removal of toxic organic compounds (Chien et al., 2013; Jia et al., 2011; Nouha et al. 2016; Solís et al., 2012).

Microbial EPS biosynthesis promotes the attachment of the cells to a solid support. It helps in the establishment and continuation of microbial colonies to a mature biofilm structure and protects from environmental stress. Rehm (2010) published a review on critical EPS biosynthesis and metabolic pathways. EPS biosynthesis pathway depends on the type of EPS being produced i.e., homopolysaccharides or heteropolysaccharides. Three major steps involved in EPS synthesis are (i) assimilation of a carbon substrate, (ii) intracellular synthesis of the polysaccharides and (iii) EPS exudation out of the cell (Vandamme et al., 2002). However, these EPS production steps depend on multiple factors like the microbial species (genes involved in EPS

synthesis), media composition (carbon and nitrogen source, 109 C/N ratio), and operating conditions (pH, temperature, dissolved 110 oxygen).

Many EPS extraction methods have been used to extract 112 EPS produced by pure microbial cultures (laboratory condi- 113 tions) and mixed culture (activated sludge) (Nguyen et al., 114 2016; Nouha et al., 2016a, 2016b). Chemical, physical and Q9 combination of both methods were used for EPS extraction 116 (Comte et al., 2006a; Nguyen et al., 2016; Nouha et al., 2016a, 117 2016b). The efficiency of EPS extraction by different methods 118 have been compared (Comte et al., 2006a; Liu and Fang, 2002) 119 based on the quantity and the composition of extracted EPS. 120 EPS is mainly composed of carbohydrates and proteins. 121 Carbohydrate was mainly observed in EPS produced from 122 pure cultures, whereas proteins were found in higher quan- 123 tities in the sludge-EPS of many wastewater treatment plants 124 (Liu and Fang, 2002). However, the EPS chemical structure 125 (functional group), molecular weight (MW) and its effect on 126 bioflocculant activity were greatly limited by extraction 127 methods, which were never reviewed.

Scientific findings on general metabolism required for EPS 129 precursor biosynthesis and different metabolic engineering 130 strategies for EPS overproduction in some bacterial strains are 131 reported in this review. Secondly, the significant recent 132 developments concerning the impact of extraction methods 133 on EPS composition, chemical structure and molecular weight 134 was critically reviewed and discussed in the ambit of sludge 135 flocculation.

#### 1. Composition of EPS

The chemical structure of polymeric substances secreted by 139 the microbial cells depends on the different environmental 140 conditions they grew, which are highly diversified. The most 141 investigated components of EPS are polysaccharides and 142 proteins (More et al., 2012; Nouha et al. 2016; Subramanian 143 et al., 2010). The presence of humic substances and nucleic 144 acids as part of EPS extracted from sludge were also reported 145

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in some of the previous studies (Nguyen et al., 2016; Nouha et al. 2016; Sutherland, 2001).

#### 1.1. Polysaccharides (carbohydrates)

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Most EPS produced by microorganisms contains carbohydrate or polysaccharides. Microbial exopolysaccharides are comprised of either homopolysaccharides or heteropolysaccharides (Monsan et al., 2001). Homopolysaccharides are composed of only simple sugars and heteropolysaccharides contain repeated units of various monosaccharides such as D-glucose, D-galactose, L-fructose, L-rhamnose, D-glucuronic acid, L-guluronic acid and D-mannuronic acid. For example, alginate is a heteropolysaccharide produced by Pseudomonas aeruginosa and Azotobacter vinelandii, which is composed of D-mannosyl and L-glucuronosyl residues. However, dextran, a homopolysaccharide consisting only dextrose (glucose) units, is produced by Leuconostoc sp. and Streptococcus sp. (Rehm, 2010). The carbohydrate content of EPS can get affected by various factors during the production and extraction of EPS. The major factors that significantly affect the carbohydrate content of EPS are the microorganism, carbon substrate, nutrients (N. P) and the extraction method utilized for extraction.

The microbial species is also one of the main factors that define the composition of EPS produced based on their genetics and metabolic pathways, although, the same strain can also produce EPS with different concentrations and compositions when fed with various carbon or nitrogen source in the cultivation media. It was reported that *Lactobacillus delbrueckii* produced 175 mg/L of EPS using glucose as carbon source whereas only 69 mg/L of EPS was obtained from fructose (Yuksekdag and Aslim, 2008).

The use of different carbon sources had a considerable change in EPS concentration and composition. Ye et al. (2011) reported that the polysaccharide content in loosely bound EPS (LB-EPS) produced in the activated sludge using acetate was lower than that of grown in starch or glucose. The possible cause of this phenomenon can be due to the different metabolic pathways employed by the microorganism to metabolize glucose and sodium acetate. Sodium acetate can enter the citric acid cycle directly, but glucose and starch have to be degraded to pyruvate and then oxidized to form acetyl-CoA before it enters the citric acid cycle (Ye et al., 2011).

Furthermore, the effect on the content of EPS components was evaluated by varying nitrogen and phosphorus ratio by Hoa et al. (2003). The content of total EPS produced in AS (activated sludge) media ranged from 24.4 to 89.9 mg/g SS with 16 to 94% carbohydrate component of the total EPS. It was reported that phosphorus had a more significant effect on the carbohydrate content of EPS than nitrogen (Hoa et al., 2003).

Shin et al. (2001), reported that maximum EPS concentration observed by physical extraction methods were 166 mg/g DW (dry weight) of EPS and 183 mg/g DW of EPS from sludge A and B, respectively (Comte et al., 2006b). However, a low content of 24–53 mg polysaccharides/g EPS DW was observed when chemical extraction methods were used. Thus the carbohydrate content of extracted EPS varied widely as a function of sludge origin and the extraction conditions or the method used.

Therefore, the variation in carbohydrate content of EPS can be attributed to factors like media composition (carbon and nitrogen source), extraction methods and growth conditions, 204 which in turn can affect the EPS bioflocculant property.

#### **1.2. Protein** 206

Ton-That et al. (2004) stated that the protein was the principal 207 component of the EPS matrix in the activated sludge and EPS 208 (protein) production was not hugely affected by the type of 209 substrates used for microbial growth. These results were in 210 agreement with the observations of Frolund et al. (1995) and 211 Liu et al. (2007) who also reported a consistent protein content 212 (in activated sludge EPS), when microbe was supplied with 213 different types of carbon sources (glucose, sodium acetate). 214 Hoa et al. (2003) investigated the effect of nitrogen supple- 215 mentation and reported that the protein content of EPS could 216 be affected by nitrogen (NH<sub>4</sub>Cl) limiting situations, which 217 result in an increase of protein content of EPS (1.25 to 8.56 mg 218 protein/g SS). It was found that the protein content of EPS was 219 inversely proportional to nitrogen content in the activated 220 sludge, while it remains unaffected by phosphorus. 221

#### 1.3. DNA and humic substances

DNA or nucleic acid is an intracellular component once 223 released by cell lysis, which could be adsorbed to EPS matrix. 224 Humic substances are components which are present naturally in activated sludge from hydrolysis of organic residues. 226 The humic substances get adsorbed to EPS matrix (biofilm) by 227 different functional groups like a carboxylic and phenolic 228 group. A biofilm is defined as an aggregation of bacteria 229 enclosed in a matrix consisting of a mixture of polymeric 230 compounds (Vu et al., 2009).

Nucleic acids and humic substances have been reported to 232 influence the rheological properties and stability of biofilms 233 (Neu, 1996). The extracellular DNA (eDNA) is required for the 234 initial establishment of biofilms by P. aeruginosa. The eDNA 235 helps in bacterium-surface adhesion by modulating charge 236 and hydrophobicity interactions between the microbe and the 237 abiotic surface (Nguyen et al., 2016). Similarly, the biofilm is 238 formed by many other bacteria that specifically release DNA 239 in stress conditions or due to cell lysis (Marvasi et al., 2010).

As evident from the discussion above, EPS biochemical 241 composition is affected by many factors like microbial species, 242 carbon source, nutrient supplementation and the downstream 243 extraction methods. The composition of the EPS molecule is 244 very important as it determines ultimately the functional 245 property of the molecule as bioflocculant. The chemical 246 composition of the EPS thus produced can determine its 247 suitability for various kinds of applications. Among the various 248 novel applications that EPS can be used for, metal removal is the 249 most prominent one. EPS as carbon substrate has drawn the 250 significant attention of researchers and the subsequent section 251 is dedicated to these two applications of EPS.

#### 2. EPS biosynthesis

Extracellular polysaccharide synthesis by microorganisms is 255 accomplished by a specific secreted enzyme (polymerization 256 and precursor synthesis enzymes), and synthesis can occur 257

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either outside the cell or within the cell wall (Roger, 2002). Table 1 present the several classes of polymers and their diverse characteristics.

The EPS biosynthesis pathway can be divided into three major steps: (i) synthesis of precursor substrate, (ii) polymerization and cytoplasmic membrane transfer and (iii) export through the outer membrane (Fig. 1). These three steps vary with carbon source used, from one microorganism to the other and specifically depends on polymers classes.

#### 2.1. Synthesis of precursor substrate

These steps involved in the conversion of intermediate sugar metabolites into the EPS precursor, such as nucleoside diphosphate sugars (for example Guanosine diphosphate (GDP)-sugar) corresponding to substrate or carbon source assimilated. Sugar nucleosides (nucleoside diphosphate sugars) provide an active form of the monosaccharides and also provide the bacterial cell with a means of interconversion of various monosaccharides through epimerization, dehydrogenation and decarboxylation reactions.

Polymer-specific enzymes are required for biosynthesis of the active polymer precursor, which is the first committed step and has been targeted by metabolic engineers to enhance polymer production and to allow the synthesis of tailor-made polysaccharides. In this context, for each type of polymers (dextran, xanthan, and alginate) specific precursors and specific enzymes were involved in their biosynthesis (Lin and Hassid, 1966). For example, uridine diphosphate (UDP)-glucose is the direct precursor of cellulose synthesis by Acetobacter xylinum and pullulan production by Aureobasidium pullulans, using uridine diphosphate glucose (UDPG) pyrophosphorylase and glucosyltransferase activity, respectively (Duan et al., 2008;

Yoshinaga et al., 1997). Similarly, every polymer has a dedicated 289 precursor and enzymes which vary from organism to organism. 290

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#### 2.2. Polymerization and cytoplasmic membrane transfer

The second step in EPS biosynthesis involves the transfer of the 292 precursor nucleoside diphosphate polymerization of the mono- 293 mers to polymer. Monosaccharides activation by the formation 294 of sugar nucleotides complex is followed by sequential addition 295 of the sugars on to an isoprenoid lipid and simultaneous 296 addition of acyl groups. Highly specific sugar transferase 297 enzymes facilitate a transfer of the monosaccharides and 298 acyl groups to isoprenoid lipid acceptors (bactoprenol, C55- 299 isoprenoid lipid) located in the cytoplasmic membrane. The 300 oligosaccharide repeating units with acetyl, pyruvyl and 301 other acyl adornments are then polymerized. After polymeri- 302 zation of the repeating units, the polysaccharide is excreted 303 through the outer cytoplasmic membrane, which might be 304 coordinated via the formation of a multi-protein complex 305 involving cytoplasmic and outer membrane proteins as well 306 as periplasmic proteins.

The biosynthetic pathway of xanthan (Fig. 1 as an example 308 of polymer biosynthesis) has been explored by (Rosalam and 309 England, 2006). The synthesis of Xanthan starts with the 310 assembly of repeating pentose units (GDP-mannose and UDP- 311 glucoronate). These units are then polymerized by GumE, 312 which is the catalytic subunit of the xanthan polymerase, 313 localized in the cytoplasmic membrane and then produces 314 the macromolecule xanthan (Fig. 1). Once xanthan is synthesized, it is exuded into the extracellular environment.

In the case of Alginate, this step requires the transfer of the 317 cytosolic precursor GDP-mannuronic acid across the cell 318 membrane and the polymerization of the monomers to 319

t. <b>Q1</b>	Table 1 - N	Metabolic cha	racteristic of Bac	cterial polymers an	d their fermentation o	conditions.		
t1:34	EPS	Polymer localization	Precursors	Polymerization enzyme	Fermentation conditions	Microorganisms	EPS (g/L)	Reference
t1.5	Cellulose	Extracellular	UDP-d-glucose	Cellulose synthase (BcsA)	Glucose/fructose pH = 4-5; 30°C; 40 hr	Acetobacter xylinum	7–23.9	Hwang et al. (1999)
t1.6	Dextran	Extracellular	Saccharose	Dextransucrase (DsrS)	Sucrose pH = 5.5; 35°C; 100 kPa; 5 days	Leucomostoc sp.	8–17	Santos et al. (2000)
t1.7	Xanthan	Extracellular	UDP-glucose, GDP-mannose and UDP- glucuronate	Xanthan polymerase (GumE)	Molasse pH = 7; 28°C; 100 kPa; 24 hr	Xanthomonas campestris	50	Kalogiannis et al. (2003)
t1.8	Alginate	Extracellular	GDP-mannuronic acid	Glycosyl-transferase (Alg8)	Glycerol + ethanol pH = 5.8–6.5; 28°C; 150 r/min; 48 hr	Pseudomonas sp.	15.2	Hay et al. (2010)
t1.9	Pullulan	Extracellular	UDP-d-glucose	-	Sucrose pH = 4-4.5; 30°C; 100 hr	Aureobasidium pullulans	1.3-5 2.5	Jiang (2010)
t1.10	Curdlan	Extracellular	UDP-glucose	Curdlan synthase (CrdS)	Glucose/sucrose pH = 5.5; 22–26°C; 3–4 days	Rhizobium spp.	1–5	Pavlova et al. (2005)
t1.11	Others EPS				Glycérol/glucose 6–18 pH = 7; 30°C, 4 days	Enterobacter sp.	6–18	Alves et al. (2010)
t1.12					Sucrose/maltose pH = 6.8–9.8; 54–87°C	Geobacillus sp.	0.1–14	Kambourova et al. (2009)
t1.13					Sucrose/glucose pH = 7; 32–37°C	Halomonas sp.	1.6–4.5	Béjar et al. (1998), Poli et al. (2009)

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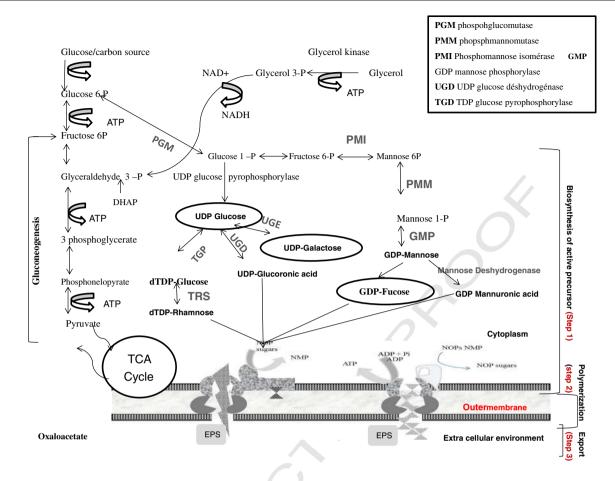


Fig. 1 - Biosynthesis pathway steps of bacterial polymers.

polymannuronate using Glycosyl-transferase (Alg8) (Figs. 1 and 2) (Rehm, 2010).

#### 2.3. Export through the outer membrane

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The final stage of EPS is the secretion from the cytoplasmic membrane. It involves passage across the periplasm, outer membrane and finally excretion into the extracellular environment. AlgE is the gene which produces the enzyme involved for Alginate export and GumJ is the gene producing the enzyme responsible for Xanthan excretion (Fig. 2).

In EPS synthesis, lipid transporters provide an anchor to the extracellular membrane, which facilitates the precise and orderly formation of the carbohydrate chain proceeded by the transport of the chain through the cell membrane. Polysaccharides are polymerized on the inner side of the cytoplasmic membrane and then directly exported through the intermediary of a lipid transporter. These transporters are long-chain phosphate esters and isoprenoid alcohols, identical to those described in the biosynthesis of lipopolysaccharides and peptidoglycans (Sutherland, 1999). They play an important role in heteropolysaccharide synthesis, which is combined with the EPS excretion. After excretion, the intervention of an enzyme specific to the EPS may liberate the polymer. Table 1 shows further categorization dividing the polysaccharides into repeated unit polymers and non-repeating polymers, presenting their main compounds, precursors and polymerizing enzymes.

In conclusion, the three dedicated steps of EPS synthesis 345 requires an array of dedicated genes working in a much- 346 regulated manner. These genes are translated to yield the 347 proteins, which eventually perform the tortuous task of EPS 348 synthesis. Molecular biologist and genetic engineers have 349 targeted these genes and proteins in order to engineer the 350 strains to have EPS of desired quality and quantity. It will be 351 interesting to understand and overlay different molecular 352 engineering approaches in the ambit of overproduction of EPS. 353

# 3. Engineering strategies for bacterial polysaccharides biosynthesis

Several studies were performed to genetically engineer the 357 EPS-producing microbes to produce novel polymer variants 358 while improving the production. As presented in the previous 359 section (Section 2), various enzymes are involved in all three Q10 stages of the metabolic pathway of EPS biosynthesis. Gene-361 encoded enzymes regulate the formation of nucleotide sugar 362 metabolite, chain length determination, repeat unit assembly, 363 polymerization and export of polymers (Figs. 1 and 2) (Broadbent 364 et al., 2003).

Recently intensive researches have been performed by 366 focusing on the underlying mechanisms behind bacterial 367 exopolysaccharide biosynthesis pathways, on different operons, 368 promoters and the expression of regulatory gene segments. The 369

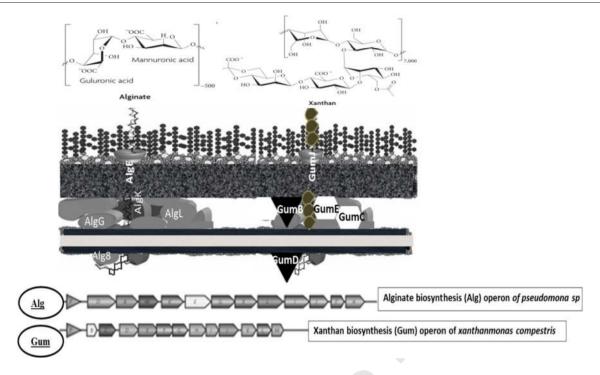


Fig. 2 - Model of alginate and xanthan biosynthesis and secretion mechanisms.

variability of sugar precursors, protein structure analysis, and new bioinformatics tools provide new avenues to enhance the EPS biosynthesis and understand the principal engineering strategies of EPS formation.

One of the primary goals of EPS production engineering is to increase the volumetric productivity of EPS in a very cost effective manner. In this context, we focus on the recent advances in potential engineering strategies for better EPS production. Vorhölter et al. (2008) attempted to increase the pool of sugar nucleotides (EPS precursors) to enhance the carbon flux toward the final polymer yield. Guo et al. (2014) studied xanA gene producing phosphoglucomutase (PGM) and phosphomannomutase (PMM) enzyme, which is involved in the conversion of glucose-6-phosphate to glucose-6-phosphate. They found that xanA is a regulator gene and it has a key role in precursor metabolite overexpression.

Researchers (Huang et al., 2013; Schatschneider et al., 2013; Wu et al., 2014) studied Xanthanmonas campestris EPS production in detail and found that it possess a series of 12 genes embedded in tandem. This operon includes seven genes needed for monosaccharide transfer and acylation of lipid intermediate to form the completely acylated repeating unit (Figs. 1 and 2). It has been suggested that alteration in promoters related to this operon can yield higher precursor metabolites (Galindo et al., 2007).

Vojnov et al. (1998) studied the gum-protein operon containing gumBCDEFGHIJKLM (Fig. 2) gene fragment. They tested a simple idea of whether inclusion of an additional promoter as upstream gumC may improve xanthan biosynthesis. It was found that promoter insertion to upstream of gumC resulted in enhanced yields and overexpression of the transcription of an operon and eventually increased the xanthan biosynthesis from 66 mg cell mass to 119 mg/g cell mass (Vojnov et al., 1998).

Schatschneider et al. (2013) studied most sensitive gene 404 segments that significantly affect EPS synthesis. The study 405 demonstrated that the overexpression of gumD is the key 406 enzyme involved in the EPS assembly construction and 407 precursor conversion (Fig. 2). Thus, it was suggested to clone 408 the entire gumD gene cluster of a 16 kb chromosomal 409 fragment with high copy number in *X. campestris*. The results 410 indicated elevated expression of all biosynthetic eps gene, 411 which could be achieved by cloning them on a high copy 412 number plasmid (Janczarek et al., 2009).

In another strategy, the idea was to engineer the EPS 414 molecules at the molecular level for having the desired 415 behavior and material characteristics of the final polymer 416 while improving the property as bioflocculant. For example, 417 this molecular alteration can be deleting substituents or 418 monomeric sugar residue from the side chain.

Deactivation of the GT GumI gene resulted in a truncated 420 tetramer xanthan version, as a consequence of deletion of the 421 terminal mannose, it was found to have much lower viscosity. 422 Similarly, inactivation of GumK (a GT) causes the removal of 423 glucuronic acid side chains which resulted in an enhanced 424 viscosity of the EPS as compared to wild type EPS (Hassler and 425 Doherty, 1990). The gene deactivation is performed by homologous insertion of foreign genes within the operon segment at 427 active gene locus (GT GumI and GT GumK). They have reported 428 that transgenes can suppress their expression and that of 429 endogenous homologous genes. This phenomenon has been 430 called co-suppression (Hassler and Doherty, 1990).

Many efforts were done in engineering the degree of 432 acetylation and pyruvylation of various polymers (alginate and 433 xanthan), to control their rheological properties (Donati and 434 Paoletti, 2009). The level of O-acetylation and pyruvylation can 435 be controlled reasonably well using specific strains/mutants or 436 altering the growth media and controlling cultivation conditions 437

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such as aeration, pH and temperature (Gaytán et al., 2012; Peña et al., 2006).

Interesting insights were given by Rehm (2010) and Galván et al. (2013) regarding the general structure and functional relationships. The extent of acetylation and pyruvylation has antagonistic effects on viscosity. The GumL enzyme incorporates pyruvyl residues to the external  $\beta$ -mannose residues, while the acetyl residues are incorporated into internal  $\alpha$ -mannose units by GumF, and external  $\beta$ -mannose by GumG (Becker et al., 1998). A high degree of pyruvylation by increasing the transcription of gumL (cloning an additional promoter upstream gumL) resulted in higher viscosity, whereas more acetyl group decreased the viscosity of the resulting EPS (Gaytán et al., 2012; Rehm, 2015).

Taxonomically different microbes can produce the same types of extracellular compounds with different concentrations. *P. aeruginosa* and *A. vinelandii*, despite having most of the genes involved in the biosynthesis, their organization, regulations and genetic switch clusters identical have differences at transcriptional and functional level expression of EPS. In *P. aeruginosa* and *A. vinelandii* a cluster of 13 structural and five regulatory genes (Hay et al., 2010) involved in EPS biosynthesis (Fig. 2). In *P. aeruginosa* the transcriptome is regulated by algR, algB, algC and algD gene segment that are algT dependent whereas in *A. vinelandii*, these genes were independent of algT. The regulator gene algT encodes for the regulatory expressions of sigma factor ( $\sigma^{22}$ ) which could explain the variation in alginate concentration (Ahmed, 2007).

Though literature exists for genomic and proteomic level engineering to overproduce classical biopolymers, recombinant molecular engineering techniques are near to inexistent for EPS over production. Molecular techniques can be applied for transcriptional overexpression of RNAs involved in biosynthetic pathways, translational overexpression of the proteome involved in EPS biosynthesis. The most generic approach is an in-frame insertion of a strong promoter upstream of EPS operon to have more than basal level of constitutive or inductive expression of genome and proteome level. Overexpression of EPS can be induced when the carbon flux channeling is streamed favorably toward the generation of precursor molecules like nucleotide diphosphate-Glucose conjugates by gene silencing, which divert carbon flux away from EPS synthesis without compromising the survival of the organism. Similarly, overexpressing enzymes involved in irreversible synthesis is a key mechanism and can be used as control points to induce diversion of this excess carbon pool toward EPS biosynthesis.

Bacteria produce a wide range of exopolysaccharides, which are synthesized via different biosynthesis pathways. A better understanding of basic biochemistry and genetics involved in exopolysaccharides biosynthesis and the regulatory mechanisms is critical for protein engineering approaches to produce novel polymers. At large scale production process having highly efficient downstream extraction is as important as enhancing the upstream process. Choice of downstream extraction method should be made diligently to obtain the maximum product yield without hindering its natural properties. In the following sections, the impact of various operational process parameters and downstream extraction process on EPS quantity and quality was discussed.

### 4. EPS production methodology

#### 4.1. EPS extracted directly from sludge

EPS has been successfully extracted directly from sludge by 501 Urbain et al. (1993). They indicated that the EPS proteins 502 extracted directly by ultra-sonication from municipal waste-503 water sludge were higher (97.8 mg/gVSS) as compared to 504 15.6 mg/g VSS of carbohydrate content. Liu and Fang (2002) 505 efficiently performed EPS extraction from acidogenic and 506 methanogenic sludge. The results revealed that carbohydrate 507 was a major component in acidogenic sludge (62% w/w of 508 EPS), while protein was a powerful component in methanogenic sludge (41% w/w of EPS).

Further, researchers (Peng et al., 2012; Sponza, 2003) 511 examined the EPS extracted directly from activated sludge 512 treating various types of wastewaters. They found that the 513 protein content of EPS is higher in the sludge treating winery 514 and municipal wastewater than that of sludge produced in 515 treating pulp-paper, textile and petrochemical wastewaters. 516 Thus the EPS concentration varied accordingly to the type of 517 wastewater treated in WWTPs. Simon et al. (2009), reported 518 that the nucleic acid content of EPS could be affected by the 519 type of sludge from different municipal WWTP. High nucleic 520 acid (7 mg/g EPS DW) concentration was observed in Eerbeek 521 municipal WWTP sludge as compared to 4 and 1 mg/g EPS DW 522 in Emmtec and Revico WWTP, respectively.

#### 4.2. EPS production using pure carbon sources

Although some researchers are convinced that the nature of 525 the substrate cannot influence the composition of the EPS 526 produced, however, many others have stated that medium 527 composition either carbon source or nitrogen sources are 528 important parameters in EPS biosynthesis and production 529 (Simon et al., 2009).

Li and Yang (2007) reported that the activated sludge fed 531 with glucose exhibited more EPS concentration than that fed 532 with acetate. On the contrary, Ye et al. (2011) revealed that 533 sludge fed with acetate was more favorable for high EPS 534 production than that fed with glucose. Their results were also 535 studied recently by (Geyik et al., 2016), which explained how 536 the type of organic substrate in a wastewater affects the 537 production and composition of EPS. The activated sludge 538 reactors were operated with three different feeds composed of 539 various organic compounds, first of peptone, glucose, and 540 acetate; then the second feed was only using only peptone 541 and third feed with only glucose. They proved that the type of 542 substrate affected the relative proportion of protein and 543 polysaccharide content of EPS.

The effect of substrate and its suitability depends on upon 545 the organisms. Different bacteria may produce different 546 bioflocculants in composition and structure. For example, 547 Bacillus licheniformis (Shih et al., 2001) and Nocardia amarae 548 (Takeda et al., 1992) produce protein bioflocculants whereas 549 Bacillus subtilis (Yokoi et al., 1995) produce polysaccharide 550 bioflocculant, and glycoproteins were produced by Arcuadendron 551 sp. and Arathrobacter sp. (Wang et al., 1995). Van Geel-Schutten 552 et al. (1998) reported that the Lactobacillus strains produce 553

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610 611 varying amounts of EPS with different sugar compositions when they are grown on sucrose, raffinose and lactose as a carbon source. The EPS produced by Lactobacillus casei with glucose as carbon source was reported to be different from that produced on lactose (Pham et al., 2000). Vijayendra et al. (2003) investigated the effect of different hexose sugars (glucose, sucrose and lactose) on EPS production by Agrobacterium, Alcaligenes, Pseudomonas and Xanthomonas. Lactose was found to be the best carbon source for EPS production by Pseudomonas sp. whereas other bacterial strains favored sucrose.

Yuksekdag and Aslim (2008) studied the impact of various carbon sources on EPS production by L. delbrueckii, Lactobacillus bulgaricus and Streptococcus thermophiles. For all the strains, glucose was the most efficient carbon source and EPS concentration of 211 mg/L produced by L. delbrueckii sub sp. was the highest concentration. The effect of carbon source on EPS synthesis by the marine bacterium Saccharophagus degradans was also studied by González-García et al. (2015). S. degradans was able to grow in the mineral medium while producing EPS concentration depending on the carbon source: with glucose or starch, EPS 1.5 g/L; with galactose, sucrose, or xylose, EPS 0.7 g/L and with fructose, EPS 0.3 g/L. The results were in agreement with the recent studies (Qin et al., 2015; Mane and Hamde, 2015). Lactose gave the maximum EPS concentration of 6.9 g/L as compared to 0.9 g/L when glucose was used (Qin et al., 2015). According to Mane and Hamde (2015), glucose gave maximum EPS concentration of 750 mg/L as compared to other substrates (lactose 390 mg/L; sucrose 670 mg/L) used.

Modification in feed media affects the sugar composition of EPS produced. The EPS composition characterized by 61.7% of galactose and 33% of glucose and 5.3% of mannose was produced by L. bulgaricus using milk as media. However, 39.7% of galactose, 57.9% of glucose and 1.8% of mannose of total carbohydrate composition was found when the glucose was used as a carbon source (Petry et al., 2000).

In another case, the biosynthesis of extracellular polysaccharides in A. xylinum was improved by using galactose and xylose as carbon source compared to fructose, sucrose and starch in the medium (Sutherland, 2001). Recently, EPS from Pseudomonas fluorescens was produced using different concentrations of sucrose and sugarcane molasses as the carbon substrates (Razack et al., 2013). Maximum EPS concentration of 2843 mg/L was obtained at 5% (w/v) sugarcane molasses concentration in the media. The sugarcane molasses as carbon source gave a higher EPS concentration than sucrose with 1389 mg/L of EPS concentration (Razack et al., 2013).

It has been observed that selection of microbial source and growth substrates type, concentration and composition have a significant effect on EPS yield.

#### 4.3. Use of sludge as nutrient source for EPS production

Recently EPS production using sterilized sludge as nutrient and carbon source using pure bacterial strain isolated from wastewater sludge have been reported by Subramanian et al. (2010) and More et al. (2012). They indicated that different pre-treatments (heat, alkaline and acidic treatment) of sludge could vary the EPS production of pure cultures. More et al. (2014) also used a consortium of pure bacterial strains to

improve the EPS production. Nouha et al. (2016) studied the 612 sterilized sludge as a culture media using Cloacibacterium 613 normanense for EPS production, and the sterilized sludge 614 inoculated with pure culture also fed with crude glycerol as 615 extra carbon source. High EPS concentration up to 13.3 g/L 616 was recorded using only sludge as a growth medium, and 617 21.3 g/L of EPS was produced when the medium was 618 supplemented with 20 g/L of crude glycerol.

In addition to the carbon source, the extraction methods 620 can also influence the EPS yield, chemical structure, molecular weight and their role as bioflocculant in wastewater 622 treatment. Furthermore, the EPS produced by varying different optimized operating conditions has a different composified tion. Consequently, appropriate methods of extraction should 625 be chosen to obtain desired EPS properties, which are required 626 for specific applications. For this reason, different characteristics of EPS must be considered, including identification of 628 the component monosaccharides and their relative proportions and the physicochemical properties of the final EPS.

#### 5. EPS extraction

Several methods (centrifugation, sonication, heating, EDTA and 633 CER) have been applied in different studies to extract EPS from 634 pure cultures or undefined mixed cultures, mainly related to 635 activated sludge and biofilms. The EPS extraction methods 636 include various physical, chemical methods or their combina-637 tions. The extraction procedure must be selected considering 638 the efficiency of the method to extract EPS in high yield. The 639 best extraction method should not disturb the interactions that 640 keep the EPS components together in the matrix.

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#### 5.1. Physical methods

Many physical methods have been tested to evaluate their 643 extraction efficiencies and compare them to select the best 644 technique of extraction. Comte et al. (2006b) studied three 645 extraction techniques (centrifugation, sonication and heating). 646 EPS was extracted with very high efficiencies by using heating 647 method (82 mg/g VSS). High extraction of protein and polysac- 648 charides content was obtained with a heating method as 649 compared to others physical methods used (centrifugation 650 and sonication). These results were in agreement with Tapia 651 et al. (2009) studies. The concentration and composition of EPS 652 extracted by heating (813 mg/g DW) were higher to that 653 obtained by centrifugation (735 mg/g DW) method. Pan et al. 654 (2010) compared two physical extraction methods (centrifuga- 655 tion and ultra-sonication). They also observed that protein 656 content was low in EPS samples prepared by centrifugation, as 657 compared to the protein content in EPS sample extracted by 658 ultra-sonication.

In this context, each researcher explored the reason for the 660 variation in extraction efficiency while employing different 661 EPS extraction methods, such as the physical methods. Comte 662 et al. (2006b) proposed a hypothesis to explain these variations 663 in results, that the proteins and polysaccharide moieties of EPS 664 could be hydrolyzed during the extraction procedure by 665 heating. According to Tapia et al. (2009) the heating extraction 666 procedure allowed to extract the strongly bound EPS to flocs. 667

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However, some studies (Frolund et al., 1995; Liu and Fang, 2002) proposed that the high EPS extraction efficiency by the heating method may be caused by significant cell lysis and disruption, which may reveal results into high protein content in EPS

#### 5.2. Chemical methods

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As per literature, many methods have been proposed and applied to extract EPS from pure or undefined mixed cultures. The extraction efficiencies of chemical methods, such as cation exchange resin (CER), EDTA (Ethylene diamine tetra-acetic Acid) and NaOH methods have been studied (Frolund et al., 1995; Liu and Fang, 2002; Sheng et al., 2005).

Cation exchange resin along with a high concentration of NaCl has been used for the extraction of adhesive exopolymers from *Pseudomonas putida* and *P. fluorescens* (Christensen and Characklis, 1990). The CER method has become the most widely accepted EPS extraction method, largely because the resin used for selective extraction of EPS can be removed and recycled easily.

A recent study (Zuriaga-Agusti et al., 2010) used CER and Triton X-100 as efficient EPS extraction methods to extract EPS from two different municipal MBRs. The protein and carbohydrate content was determined. This study was performed to understand the problem of membrane fouling of the MBR process due to EPS. Although EPS has effect fouling, it is not clear which EPS fraction (SMP or EPS) or component (proteins or carbohydrates) exert the most important contribution to membrane fouling. To elucidate the solution, activated sludge samples from two municipal MBRs were processed for extraction protocols comparison. They demonstrated that the proteins and carbohydrate content using Triton X-100 extraction method was higher (81.64 ± 12.98 mg BSA/g VSS and 10.30 ± 1.42 mg Glucose/g VSS, respectively) than cation exchange resin method (16.49  $\pm$  9.37 mg/g VSS and 3.93  $\pm$ 2.47 mg/g VSS, respectively). They observed that CER protocol achieved lower extraction efficiency for the EPS than Triton X-100. The different values obtained between CER and Triton X-100 could be attributed to the floc composition. In fact, some researchers (Liu et al., 2010; Yu et al., 2009) asserted that the presence of two types of polymers in sludge flocs. One type of EPS is tightly bound within micro colonies of cells, and another is loosely bound in the floc matrix or at outer peripheries of the flocs. In this way, results may point that CER protocol withdraws mostly loosely bound EPS, whereas Triton X-100 extracts both types of EPS. The study of Meng et al. (2010) found that the EPS extracted by CER protocol was low comparing to Triton X-100.

Comparative study of chemical extraction methods has been exhaustively investigated. Tapia et al. (2009) conducted a comparative study of chemical and physical methods to understand the principal role of the different extraction methods on EPS composition and concentration. The concentration of EPS from bacterial culture of Acidiphilium sp. was higher when extracted by centrifugation (600 mg carbohydrate/g DW, 220 mg protein/g DW, 820 mg EPS/g DW) than extracted with NaOH (430 mg carbohydrate/g DW, 170 mg protein/g DW, 650 mg EPS/g DW). However, in the study of Sheng et al. (2005) the concentration of EPS extracted by NaOH

was higher 159.2 mg/g-DW in comparison to centrifugation 726 and ion exchange resin (Table 2). These results have also been 727 confirmed by using more complex microbial consortia such as 728 activated sludge (Table 2). Liu and Fang (2002) reported 729 extractions of EPS ranging between 25.7 mg EPS/g volatile 730 suspended solids (VSS) by centrifugation and 164.9 mg EPS/ 731 g-VSS by chemical methods (NaOH and formaldehyde). A 732 significant difference (>60%) of EPS extracted was observed 733 between the two methods when EPS was extracted from the 734 same culture. However, it appears that the chemical extrac- 735 tion becomes more effective when it is combined with 736 physical methods. Liu and Fang (2002) investigated high 737 proteins, carbohydrates, humic acid and DNA content ex- 738 tracted by combined methods of formaldehyde and ultra- 739 sonication compared to that obtained by only formaldehyde. 740 Comte et al. (2006a) also proved that sonication and CER as 741 combined EPS extraction method were more effective to 742 extract high proteins, carbohydrate and nucleic acid content 743 comparing to CER or sonication alone. 744

#### 5.3. Chemical methods **vs** physical methods

The type of EPS extraction method further influences its 746 composition, nucleic acid content, protein content, and various 747 functional properties. Comte et al. (2006a) investigated the 748 effect of extraction methods from two different sludge on EPS 749 composition. This study demonstrated that the protein content 750 was higher (343–337 mg proteins/g EPS DW) with physical 751 method (sonication), whereas low protein content was ob- 752 served, 73–107 mg proteins/g EPS DW, with chemical extraction 753 methods (Glutaraldehyde, Formaldehyde and NaOH).

Liu and Fang (2002) and Comte et al. (2006a) indicated a 755 constant nucleic acid content of EPS using different physical 756 extraction methods (Cation-exchange resin, centrifugation, 757 sonication and heating). This study indicated that the physical 758 methods resulted in lower extent of cell lysis than chemical 759 extraction methods.

Further, Simon et al., 2009 have found the humic sub- 761 stances content in EPS was different using different extraction 762 methods (CER, centrifugation and heating). The highest 763 humic acid concentration was 224 mg/g DW when heating 764 was used as extraction method in case of anaerobic granular 765 sludge obtained from Eerbeek municipal WWTP. However, the 766 lowest humic content was 5 mg/g DW in the case of anaerobic 767 granular sludge from Revico municipal WWTP by applying 768 centrifugation as an extraction method.

In case of metal absorption  $Cd^{2+}$  sorption capacity of EPS 770 extracted using sonication was  $245 \pm 46 \,\mu mol/g$  of EPS for 771 Chau's model and  $336 \pm 22 \,\mu mol/g$  of EPS for Rezic's model. 772 Although the metal binding capacity of sludge EPS extracted 773 by physical methods was consistently identical (except by 774 heating); however, the metal complexation capacity was 775 significantly improved when EPS was extracted by chemical 776 methods (Comte et al., 2006b).

Moreover, the effect of EPS extraction method from 778 activated sludge on metal binding ability was evaluated 779 (Comte et al., 2006b), EPS extracted by physical and chemical 780 methods showed a greater affinity for Pb ions than Cd ions. 781 The EPS extracted by a physical method (sonication) had a 782 Pb $^{2+}$  adsorption capacity of 2135  $\pm$  55  $\mu$ mol/g of EPS and 783

Pure culture	( 0 0 )		EPS concentration	Prot./carb. ratio	References			
		Prot.	Carbo.	НА	DNA	(mg/g- DW)		
Rhodopseudomonas acidophilap		4.1	6.2	_	_	12.9	1.5	Sheng et al. (2005)
		6.5	58.5	-	-	70.3	9.0	
	Centrifugation	7.7	126.5	-	-	159.2	16.4	
	EDTA	10.3	37.3	-00	-	71.6	3.7	
	NaOH							
	Heating							
Acidiphilium sp.		600	220	-	-	820	0.3	Tapia et al. (2009)
		550	200	-	-	750	0.3	
		430	170	-	-	600	0.4	
		570	200	-	-	770	0.4	
Mixte culture								Liu and Fang (2002)
Aerobic		54.6	40.5	50.4	0.3	165	0.2	
		17.7	12.7	16.4	0.1	109	0.1	
		22.9	12.4	59.2	0.4	146	0.1	
	Formal + NaOH	20.4	28.8	18.9	0.1	78	0.1	
	CER							
	EDTA							
Acidogenic	Formal + ultrasound	110.9	25.8	0.1	15.1	179	4.3	
		38.7	6.2	0.1	3.0	58	6.2	
		41.7	6.5	0.2	15.9	105	4.6	
		71.6	10.8	5.0	0.05	100	6.6	
Mutagenic sludge								
		19.1	42.1	23.3	0.19	102	2.2	
		7.9	10.6	5.5	0.05	30	1.3	
		6.8	12.0	24.3	1.20	73	1.7	
		12.0	13.1	5.6	0.04	30	1.1	
Activated sludge								Zuriaga-Agusti et al. (2010
_	Sonication	140	343	62	46	200	2.4	Comte et al. (2006a)
	Heating	166	378	126	17	369	2.3	
	CER	16	4	_	_	24	4.2	
	Triton X 100	81	10	_	_	100	7.9	
Biofilm	Centrifugation	57.0	-	_	_	57	-	Pan et al. (2010)
	Ultrasonication	22.3	56.6	_	_	79	0.4	, ,
	EDTA	1.7	3.2	-	_	45	0.5	
	Formaldehyde	8.0	25.2	_	_	33	0.3	
	Formal + NaOH	17.0	13.3	_	_	30	1.3	

 $2184 \pm 27 \ \mu mol/g$  of EPS as analyzed using two adsorption models, Chau and Rezic, respectively.

Recently, many other factors such as variation of pH, temperature and mixing speed have been reported to affect the structure of EPS, and its metal removal efficiency (AjayKumar et al., 2009; Ruan et al., 2013).

### 5.4. Combination of different methods

According to D'Abzac et al. (2010), a combination of formaldehyde and heating leads to the highest EPS quantity extracted. Humic-like substances and the nucleic acids are more readily extracted using formaldehyde method than the heating method alone. By using a combination of sonication and CER methods the protein and polysaccharide contents were found to be higher than obtained by only sonication or CER.

As discussed earlier, principally physical methods are simply mechanical which can explain the low extraction yield and it has been a common observation that the chemical methods were always having higher yields than physical 801 methods. Only handful of methods has been thoroughly 802 evaluated and optimized to obtain high extraction efficiencies 803 without cell lysis and reagent contamination. Most of the 804 chemical extraction methods cause various problems either in 805 the extraction or EPS analysis. For instance, in the case of alkali 806 extraction methods, an addition of NaOH causes anionic 807 groups, such as carboxylic groups in proteins and polysaccha-808 rides to lose their protons. The deprotonation causes a strong 809 repulsion between EPS molecules within the EPS gel and 810 provides a higher water solubility of the compounds. Similarly 811 the EDTA method has high extraction efficiency, however, 812 causes a high degree of cell lysis and possibly also contamina-813 tion with cellular macromolecules interfering in the protein 814 determination (Comte et al., 2006a).

Each and every method has their advantages and disadvan- 816 tages (Table 3). Although the physical methods cause less cell 817 lysis, it also has low EPS extraction efficiency. The chemical 818 methods generated high protein content, and these proteins 819

3.3 3.4	Extraction	n methods	Mechanism and Extraction conditions	Limitation	Advantages	References
3.5 3.6	Physical	Centrifugation	EPS separates from cell surface and dissolve to solution under the centrifugal force	(-) Low extraction efficiency (-) Bound EPS cannot be extracted.	(+) Simple method (+) No cell lysis	Liu and Fang (2002)
3.7			• 20,000/600 g in 20 min	(-) Depend of shear rate	(+) No chemical addition	Comte et al. (2006a)
3.8 <b>Q3</b>		Heating	Accelerates the EPS solubilization	(-) Cells disruption	(+) Extraction of bound EPS	Frolund et al. (1995)
3.9 3.10 <b>Q4</b>		Ultra-sonication	80°C, 1 hr Act of applying sound energy or mechanic pressure to agitate	(-) Denaturation of EPS (-) High cell lysis in less time	(+) Dissociation of aggregates	Comte et al. (2006a, 2006b)
3.11			particles in a sample • 40 W, 0–30 min	(–) High energy	(+) Break the hydrogen bound	
3.12 3.13	Chemical	CER	Removes the divalent cations, causing the EPS to fall apart • 70 g resin/g MVS,	(-) Extract only the proteins and carbohydrates coupled to cations	(+) Avoid EPS pollution by chemical reagent	Liu and Fang (2002)
3.14		EDTA	600 r/min, 1 hr Remove the multivalent cations forming the bond	(–) EPS contamination by intracellular compounds	(+) No modification of EPS structure	Liu and Fang (2002)
3.16			between the charged compounds of EPS. 150 mL of 2% EDTA added for 3 hr at 4°C	(-) Interfere the proteins analysis (-) Cost of chemical		Comte et al. (2006a)
.17		NaOH	Ionization of carboxylic group	(-) Severe disruption in EPS composition	(+) Break the covalent disulfide binding in	Liu and Fang (2002)
.18			• 1N of NaOH, 3 hr at 4°C	(-) high damage of cell (-) Many charged groups	glycoproteins	Comte et al. (2006a)
.19				results repulsion between the EPS		
3.20				(-) Alkaline hydrolysis of many polymers may take place		
.21		Formaldehyde	Fix the cell and denature the EPS, linking the proteins and carbohydrate	(-) Modify EPS characteristics (-) Interferences in carbohydrates content	(+) Prevent the cell lysis	Liu and Fang (2002) Comte et al.
3.23 3.24 3.25 3.26	Combined chemical and physical methods	NaOH-Heating CER-centrifugation Formaldehyde- sonication	• 36%, 1 hr, 4°C –	<ul><li>(-) Cost of chemical reagents</li><li>(-) Extraction time</li><li>(-) EPS need purification</li><li>(-)Not economical</li></ul>	(+) High Extraction efficiency	(2006a) D'Abzac et al. (2010)

can be originated from extracellular enzymes and or intracellular materials contaminations. A combination of two methods could affect the production cost and the efficiency of EPS extraction during an industrial application (Domínguez et al., 2010). There is no simple and single method that exists to extract 100% of total or complete EPS components from the microbial cell or activated sludge flocs. Each technique extraction efficiency depends on many factors mainly the origin of EPS. It is recommended that extraction is only performed after running a comparative study of various methods to select the best one for desired application. Furthermore, an extraction technique must be chosen and optimized for each case, taking into account many parameters (such as extraction time, cost and dosage of chemical used and evaluation of cell lysis), which could affect the cost and the properties of EPS.

## 5.5. Effect of extraction methods on functional group and 835 molecular weight of EPS

The complex composition of EPS makes it difficult to analyze 837 the conformation, chemical structure (their functional groups) 838 and distribution of EPS. However, progress in analytical 839 chemistry has led to the development of new instruments and 840 techniques for characterization of EPS, which has generated a 841 significant amount of information on the structural and 842 functional properties of EPS as well as their molecular weight. 843 Chromatography, mass spectrometry and their combination 844 have been used to qualitatively and quantitatively analyze the 845 EPS composition (Dignac et al., 1998).

Many researchers (Sheng and Yu, 2006; Tapia et al., 2009) 847 proved the effect of the extraction methods applied on structural 848

	Extraction methods	Wave number (cm <sup>-1</sup> )	Transmittance (%)	Vibration type	Functional type	Molecular weight range	References
4.5	EDTA	1550–1600	50	Stretching vibration of C=O Proteins	Proteins (peptide bond)	8 kDa	Comte et al. (2006a)
4.6		1300	55	C-N stretching	,	150–200 kDa 5 kDa	Simon et al. (2009)
4.7	NaOH + Formaldehyde	2450	60	Specific band corresponding to a product of a formaldehyde and EPS	Carboxylic acids	-	Comte et al. (2006a)
4.8		1750	40	Stretching vibration of C=O Stretching vibration of C=O			
4.9		1400	10	Several bands visible	Carboxylates		
4.10		800	30		Phosphorus/Sulfur functional group		
4.11 4.12	Gluraldehyde	1950 1500	75 70	Specific band corresponding to a product of a glutaraldehyde	-	_	Comte et al. (2006a)
				and EPS reaction			,
4.13	Centrifugation	3200–3420	80	Stretching vibration of OH	OH into polymeric compounds	Low molecular weight	Comte et al. (2006a)
4.14		2935	85	Stretching vibration of C=O and C-N (Amide I)		0.16-0.3 kDa	Simon et al. (2009)
4.15		1630–1660	73	Stretching vibration of C-N and deformation vibration of N-H (Amide II)	Proteins (peptide bond)	0.7–2.7 kDa	
4.16		1550–1560	83	Deformation vibration of CH <sub>2</sub> Stretching vibration of C=O Stretching vibration of OH, of polysaccharide		4.6–6 kDa	
4.17		1450–1460	82	Several bands visible	Carboxylates	High molecular weight	
4.18		1400–1410	85		Polysaccharides	16–190 kDa	
4.19 4.20		1060–1100 <1000	75		Phosphor/Sulfur	270–275 kDa	
4.21	Sonication	3200–3420	60	Stretching vibration of OH	functional group OH into polymeric compounds	Low molecular weight	Comte et al. (2006a)
4.22		2935	70	Stretching vibration of C=O and C-N (Amide I)		0.16-0.3 kDa	Simon et al. (2009)
4.23		1630–1660	52	Stretching vibration of C–N and deformation vibration of N–H (Amide II)	Proteins (peptide bond)	0.7–2.7 kDa	, ,
4.24		1550–1560	60	Deformation vibration of CH <sub>2</sub> Stretching vibration of C=O Stretching vibration of OH, of polysaccharide		4.6–6 kDa	
4.25		1450–1460	71	Several bands visible	Carboxylates	High molecular weight	
4.26		1400–1410	65 63		Polysaccharides	16–190 kDa	
4.27 4.28		1060–1100 <1000	63		Phosphorus or Sulfur functional group	270–275 kDa	
4.29	Sonication + resin	3200–3420	60	Stretching vibration of OH	OH into polymeric compounds	Low molecular weight 0.16–0.3 kDa	Comte et al. (2006a)
4.30		2855	70	Stretching vibration of C=O and C-N (Amide I)	Proteins	0.7–2.7 kDa 4.6–6 kDa	Simon et al. (2009)
4.31		1630–1660	52	Stretching vibration of C–N and deformation vibration of N–H (Amide II)	(peptide bond)	V ADU	(2005)
4.32		1550–1560	60	Deformation vibration of CH <sub>2</sub>	Carboxylates		
4.33		1450–1460	71	Stretching vibration of C=O	Polysaccharides	High molecular weight 16–190 kDa	

t4.35	Table 4 (conti	nued)					
t4.36	Extraction methods	Wave number (cm <sup>-1</sup> )	Transmittance (%)	Vibration type	Functional type	Molecular weight range	References
t4.34		1400–1410	65	Stretching vibration of OH, of polysaccharide	Phosphorus/sulfur functional group		
t4.35		1060-1100	63	Several bands visible			
t4.36		<1000					
t4.37	CER	3200–3420	60	Stretching vibration of OH	OH into polymeric compounds	Protein molecular weight	Comte et al. (2006a)
t4.38		1630–1660	70	Stretching vibration of C=O and C-N (Amide I)	Proteins (peptide bond)	45 to 670 kDa	Simon et al. (2009)
t4.39		1550–1560	52	Stretching vibration of C–N and deformation vibration of N–H (Amide II)			
t4.40		1450-1460	60	Deformation vibration of CH <sub>2</sub>			
t4.41		1400–1410	71	Stretching vibration of C=O	Carboxylates	Polysaccharides	
t4.42		1060–1100	65	Stretching vibration of OH, of polysaccharide	Polysaccharides	<1 kDa	
t4.43		<1000	63	Several bands visible	Phosphorus/Sulfur functional group		
t4.44	Heating	3200–3420	30	Stretching vibration of OH	OH into polymeric compounds	Low molecular weight	Comte et al. (2006a)
t4.45		2935	40	Stretching vibration of C=O and C-N (Amide I)	-	0.16–0.3 kDa 0.7–2.7 kDa	Simon et al. (2009)
t4.46		1630–1660	20	Stretching vibration of C–N and deformation vibration of N–H (Amide II)	Proteins (peptide bond)	4.6–6 kDa	, ,
t4.47		1550-1560	25	Deformation vibration of CH <sub>2</sub>			
t4.48		1450-1460	45	Stretching vibration of C=O	Carboxylates	High molecular	
t4.49		1400–1410	33	Stretching vibration of OH, of polysaccharide		weight 16–190 kDa	
t4.50		1060-1100	41	Several bands visible	Polysaccharides	270–275 kDa	
t4.51		<1000			Phosphorus or sulfur functional group		
t4.53	EPS: Extracellu	lar polymeric subst	ance; EDTA: Ethyle	ene diamine tetra acetate group;	CER: cation exchange re	esin.	

properties of EPS and their molecular weight (Table 4). Fourier transform infrared spectroscopy (FTIR) was mostly used to identify the functional group of extracted EPS (Omoike and Chorover, 2004; Sheng and Yu, 2006). However, quantitatively this aspect has never been reviewed. Tapia et al. (2009) compared two FTIR spectra of EPS obtained from EDTA and centrifugation method. Significant peaks were visible in both the spectra corresponding to hydroxyl, carbonyl and peptide group bonding. However, the spectrum of EPS extracted with EDTA shows specific bands in the fingerprint region, especially the thick band at 1717 cm<sup>-1</sup>. This band corresponds to the C=O asymmetric stretching vibration of carboxylic acids of EDTA. It has been a general observation by many studies that during extraction with a chemical method the final EPS gets contaminated by the chemical reagent (Comte et al., 2006a). Similar contaminations were observed in other methods like NaOH-formaldehyde (Comte et al., 2006a; Pervaiz and Sain, 2012; Sheng et al., 2005).

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In another analysis done by Lee et al. (2013), it was demonstrated that the FT-IR results of CER extracted EPS contained lower content of protein to carbohydrate, fewer acidic functional groups (i.e., COOH or OH groups) as compared to formaldehyde-NaOH technique. The same results were recorded for the EPS extracted from anaerobic granular sludge with physical methods which displayed very similar IR spectra

(D'Abzac et al., 2010). Humic-like substances were hardly 873 identifiable in physical extraction techniques and adsorption 874 bands were intensively present at 2930 and 1650 cm $^{-1}$ .

The molecular weight range of EPS varies from  $10^3$  to  $2.5 \times 10^6$  876 kDa (Yokoi et al., 1995). The molecular weight of the EPS reported 877 in different studies has been presented in Table 5. To determine 878 molecular weight, size exclusion chromatography (SEC) was 879 frequently used in many studies (Simon et al., 2009; Comte et al., 880 2006a). These researchers investigated differences appeared in 881 the peak corresponding to the biggest and lowest molecules.

Table 5 – Meta wastewater slu		ntial of bac	terial EPS and	t5.1 t5.2
EPS producer	EPS concentration mg/L	Metal biosorbed	References	t5.3 t5.4
Methylobacterium organophilum	184.2 200.3	Pb(II) Cu(II)	Kim et al. (1996)	t5.5 t5.6
Pseudomonas aeruginosa cur	320	Cu(II)	Kazy et al. (2008)	t5.7
Rhizobium etli M4	67	Mn(II)	Pulsawat et al. (2003)	t5.8

Large additional peaks appeared in the chromatograms recorded for EPS extracted from sludge by cation exchange resin (CER), heat treatment and centrifugation methods. The EPS extracted by CER contains more polysaccharides and uronic acid 210 nm, which indicated that better carbohydrate content could be extracted by this method. For the EPS extracted by centrifugation, the highest peak observed had a lower absorbance at 210 nm representing a lower polysaccharide and uronic acid content.

Simon et al. (2009) studied EPS extraction from anaerobic granular sludge with different methods (heating and centrifugation) and proved that the extracted molecules of EPS were insignificantly affected. According to SEC analysis, two kinds of differences could be observed on the EPS fingerprints: variation of the number of detected peaks and significant evolutions of peak absorbance which corresponds to the high or low molecular weight.

Domínguez et al. (2010) conducted a comparative study between EPS extracted by physical and chemical methods to compare their MW distribution using high-pressure size exclusion chromatography (HPSEC). The EPS extracted using chemical methods did not have any effect on the MW distribution (fingerprints) of EPS or their average MW. Nevertheless, different physical extractions showed different behavior of EPS fingerprints. These results were in agreement with the results of Alasonati and Slaveykova (2012). The study revealed the effect of the extraction methods (centrifugation, EDTA and formaldehyde-NaOH) on the size distribution of EPS. According to Lee et al. (2013), the EPS obtained from aerobic sludge using CER method were made up of more aromatic and compact structures possessing higher molecular weight than those extracted using formaldehyde-NaOH extraction method.

Despite extensive efforts to analyze qualitatively the EPS chemical structure and size distribution, little is known about the effect of these parameters on EPS properties, functions and structure, which are essential for understanding the role of EPS in biofilms and floc formations. In this review, we performed compositional analyses of the EPS obtained by different extraction techniques. We also highlighted the effect of extraction methods on EPS molecular weight. In the next section a discussion on how the functional group and molecular weight could interfere and vary their properties as bioflocculant, has been presented.

#### 6. Potential applications of EPS

### 6.1. EPS as adsorbent

Heavy metal removal from a polluted environment is a major challenge in bioremediation, which has been studied extensively using microbes. EPS produced by many microorganisms are of particular significance to the bioremediation process because of their metal ions binding ability from solutions (Kachlany et al., 2001). The use of purified biopolymers in biosorption phenomenon is a cost-effective and useful approach than using methods like precipitation, coagulation, ion exchange, electrochemical and membrane processes used for metal removal (Gutnick and Bach, 2000). EPS and other biopolymers exhibit excellent metal-binding properties with varying degrees of specificity and

affinity (Gutnick and Bach, 2000). The effect of and EPS bridging Q12 occur by electrostatic interactions with negatively charged 941 functional groups such as uronic acids and phosphoryl groups 942 of carbohydrates or carboxylic groups of amino acids in protein 943 moiety. Besides, there may also be anionic binding by positively 944 charged polymers or coordination with hydroxyl groups. EPS 945 were able to chelate some metals (like Th<sup>4+</sup> and Al<sup>3+</sup>) and bind 946 them to the cell surface (Santamaría et al., 2003).

Polysaccharides and protein moieties of EPS, rich in 948 negatively charged amino acids, including aspartic and 949 glutamic acid, contribute to their anionic properties, which 950 play a major role in complexation of metal ions (Mejáre and 951 Bülow, 2001). DNAs are anionic in nature due to the presence 952 of phosphate groups available in sugar-phosphate backbone 953 of the molecule. The uronic acids, acidic amino acids and 954 phosphate-containing nucleotides, act as negatively charged 955 components of EPS, which are known to bind with multiva-956 lent cations by electrostatic interactions (Beech and Sunner, 957 2004). Therefore a change in EPS composition will affect the 958 availability of the functional groups which are responsible for 959 metal binding and consequently may result in to decrease in 960 the metal binding efficiency.

Numerous bacteria have been shown to produce exo- 962 polymeric substances. Several studies (Forster and Clarke, 963 1983; Prado Acosta et al., 2005) have compared and evaluated Q13 the metal binding potential of microbial biofilms obtained from 965 activated sludge and purified exopolysaccharides (Table 5). 966 Over 90% of metal adsorption was achieved at an EPS con- 967 centration (67 and 160 mg/L) using different bacterial strain 968 such as Rhizobium etli M4 and Paenibacillus polymyxa (P13), 969 respectively. The cells and EPS showed a strong ability to bind 970 Mn, Pb and Cu ions (Forster and Clarke, 1983; Nouha et al. 2016; 971 Prado Acosta et al., 2005; Salehizadeh and Shojaosadati, 2003).

Sludge EPS exhibited greater metal complexation, which 973 suggests that the carboxylic and phosphoric groups in carbo-974 hydrate moiety of EPS might have played a major role in the 975 complexation of metals (Singh et al., 2000). Few researchers 976 (AjayKumar et al., 2009; Comte et al., 2006b; Ruan et al., 2013; 977 Yuncu et al., 2006) investigated different factors affecting the 978 metal binding ability to EPS. The metal sorption capacity of the 979 activated sludge was dependent upon the C/N ratio of sludge. 980 An increase in C/N ratio (by supplying a carbon source such as 981 glucose) resulted in an increase in Cd<sup>2+</sup> but decrease in Cu<sup>2+</sup> 982 sorption capacity. The sorption capacity could be explained by 983 the variation of EPS concentration and composition by using 984 different C/N ratios. However, the adsorptive capacity of Zn and 985 Ni was independent of C/N ratio.

EPS hydrophobicity is another significant factor, which 987 favors the sludge flocculation and settling. EPS hydrophobic-988 ity can be rendered by EPS-proteins produced by the microbial 989 communities. According to Geyik et al. (2016), higher protein 990 content or protein to carbohydrate (P/C) ratio gives higher 991 EPS hydrophobicity which is correlated with the substrate 992 provided to the microbial communities. EPS hydrophobicity is 993 significantly affected by the functional groups in its protein 994 fraction. The hydrophobicity is an important factor when EPS 995 is intended to use in organic pollutant removal (Flemming 996 et al., 2000). A strong correlation was demonstrated by Zita 997 and Hermansson (1997) between sludge particle adhesion and 998 EPS hydrophobicity.

The pH of the surrounding environment affects the deprotonation state of the side residues of the protein fractions present in EPS. At lower pH, the acidic residues are protonated to have higher hydrophobicity. Thus this allows dense intramolecular hydrogen bonding between flocculating particles and further improve sludge compactness and settling efficiency (Wang et al., 2012).

#### 6.2. EPS as carbon source

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Microorganisms often live and exposed to stressful conditions caused by natural environments, thus the production of EPS augments the survival capacity (Patel and Gerson, 1974). The bacterial EPS was found to be utilized either by self or neighboring microbes during carbon deficiency, using extracellular enzymes and this enzyme complex can be utilized for complete degradation of EPS (Patel and Gerson, 1974; Pirog et al., 1997; Wu and Ye, 2007; Zhang and Bishop, 2003). The study by Pirog et al. (1997) demonstrated a successful utilization of EPS as a carbon source by isolated *Acinetobacter* sp. from a soil sample.

The EPS biodegradability studies were performed by various authors (Wu and Ye, 2007). Zhang and Bishop (2003) observed that carbohydrate fraction of the supplemented EPS as carbon source was consumed more rapidly than the protein fraction. Pannard et al. (2016) investigated and confirmed the biodegradability of EPS by bacteria for growth under nitrogen (or phosphorus) and carbon limiting conditions.

The susceptibility of EPS toward degradation depends on the hydrolyzing agent, which leads to breaking the polymer chains, and also depends on the chemical nature of the polymer (Wingender et al., 1999). Many reports (Neyens et al., 2004; Watson et al., 1987; Watson et al., 2014) have been presented on the influence of sludge treatment in EPS degradation.

Watson et al. (1987) found that protein and carbohydrates, the main component of EPS in activated sludge are degraded or hydrolyzed by heat treatment. Nevens et al. (2004) revealed that heat treatment (120°C) alters the structure of the sludge in term of proteins and carbohydrate moieties of EPS and transforming some of EPS from less degradable to easily degradable. Acid-thermal and alkaline-thermal hydrolysis were also used by Neyens et al. (2004). They indicated an increase in the sludge filtration rate (capillary suction time, CST). Extreme pH (acidity or alkalinity) also causes EPS proteins to lose their natural shapes thus improved their degradability. Polysaccharides and the other components of EPS, are unstable in strong acids, which lead to acid hydrolysis of the glycosidic linkages (Fig. 3) (Wingender et al., 1999). The strong alkali may solubilize gels not only because of chemical degradation but also because of the ionization of the carboxylic groups, which leads to subsequent solubilization of EPS (Wingender et al., 1999). As the EPS is a complex molecule, different treatments could interfere with their chemical structure and even could form a novel compounds. Fig. 3 presents alginate as an example of polymers and their transformation due to several different treatment methods.

Apart from being an excellent bioflocculant, EPS can also act as an adsorbent for heavy metal removal application and source of carbon substrate for various biotechnical applications. To attain more scientific knowledge and potential industrial applications of EPS needs to be explored. It is

also important to have proper EPS production processes with 1058 higher yield and properties for desired applications. The first 1059 pre-requisite for any process improvement is to understand 1060 the underlying biosynthesis mechanism at molecular level. 1061 This information can significantly improve and enhance the 1062 EPS concentration and quality during a production process by 1063 using advanced techniques, which are discussed in the 1064 following sections.

# 6.3. Effect of functional group and molecular weight on 1066 flocculation activity

The flocculation ability of EPS has been one of the key 1068 properties for biopolymer application. Different studies are 1069 available which have investigated the important structure- 1070 function relationship between EPS functional composition 1071 and flocculation abilities. The flocculation activity has been 1072 modeled by various mechanisms, and the flocculants activity 1073 of high-molecular weight EPS has been explained by the 1074 bridge formation model. In the case of Patch model, floccula- 1075 tion of the bacteria with the negatively charged cell surface, is 1076 a result of binding of the positively charged macromolecules 1077 to the surface of particles Coulomb forces, resulting in 1078 neutralization of part of the surface charge (patch model). 1079 Reduced electrostatic repulsion leads to agglomeration of 1080 particulate matter and formation of flocs by bridges between 1081 negatively charged particles (Zhou and Franks, 2006). Fig. 4 1082 illustrates the different mechanisms of flocculation.

No consensus exists on the role of (importance) carbohy- 1084 drate and protein content of EPS for flocculation. Deng et al. 1085 (2005) concluded in his study that EPS containing 76.3% of 1086 sugar and 21.6% of protein gave high flocculating abilities of 1087 98.1%.

Freitas et al. (2009) studied the monosaccharides in 1089 carbohydrates and concluded that 82.6% flocculation ability 1090 was achieved by EPS whose 70% (mol/mol) of carbohydrate 1091 was galactose and 23% (mol/mol) was mannose. When 1092 monosaccharide percentage decreased in carbohydrate frac- 1093 tion, the flocculation abilities were seen to be decreasing as 1094 observed by Kavita et al. (2014) only 40% flocculation ability 1095 was achieved when mannose (47.8%) and glucose (29.7%) were 1096 present in lower quantity. Li et al. (2008) emphasized the 1097 importance of acetyl groups on flocculation ability. The study 1098 showed that EPS with acetyl group shows a good flocculation 1099 (49.3%) comparing to deacetylate EPS (27.8%).

A more functional level analysis of flocculating abilities 1101 was performed by various studies (Deng et al., 2005; Kavita 1102 et al., 2014) to understand the importance of functional groups 1103 on flocculation ability. They investigated that cations stimu- 1104 late flocculation by neutralization and stabilization of residual 1105 negative charges of the carboxyl group of a bioflocculant 1106 forming bridge that binds kaolin particles to each other. 1107 Further, the negatively charged carboxyl group (COO<sup>-</sup>) of the 1108 bioflocculant could bind with the positively charged site of the 1109 suspended kaolin particles.

Although flocculation ability of EPS seems to be sensitive to 1111 the carbohydrate content (Shin et al., 2001), a study conducted 1112 by Yu et al. (2009) concluded that protein fraction of EPS is the 1113 most important parameter for flocculation activity. Researchers 1114 advocating for protein suggest that negatively charged amino 1115

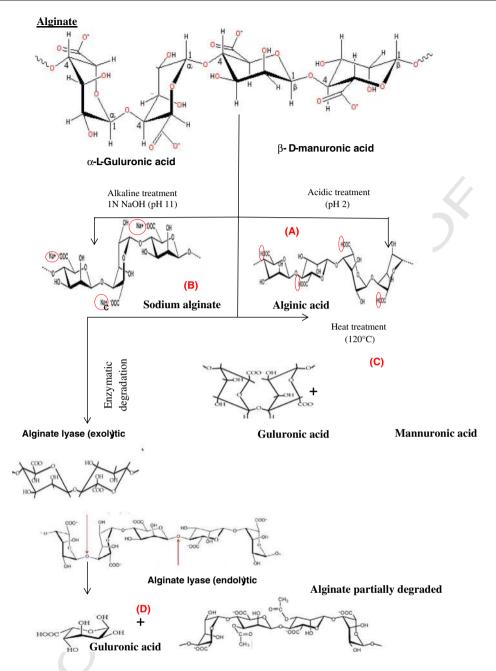


Fig. 3 - Alginate degradation: A) acidic treatment; (B) alkaline treatment; (C) heat treatment and (D) enzymatic degradation.

acid contribute to flocculation abilities. The hydrogen bonds are present frequently in proteins and they could affect the capacity of bioflocculant to agglomerate.

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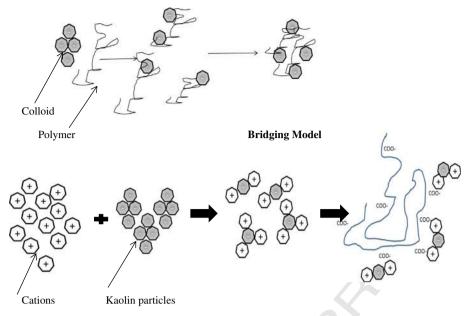
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The relationship between molecular weight and flocculation activity of bioflocculant remains unclear until now. Flocculation with high molecular weight bioflocculant involves more adsorption points, stronger bridging, and higher flocculating activity than the flocculation with a low-molecular-weight bioflocculants. Larger molecular weight flocculants usually has a sufficient number of free functional groups, to form bridges to bring many suspended particles together, and hence produce a larger floc size in the flocculating reaction (Shih et al., 2001). These results were in agreement

with the findings of many researchers (Deng et al., 2005; He et 1129 al., 2002; Wu and Ye, 2007).

High molecular weight ( $2.6 \times 10^6 \, \mathrm{Da}$ ) bioflocculant produced by 1131 Bacillus mucilaginosus revealed high flocculation activity (99%) 1132 compared to low MW ( $10^5 \, \mathrm{Da}$ ) obtained from Corynebacterium 1133 glutamicum (80% of flocculation activity) (Deng et al., 2003; He 1134 et al., 2002). Furthermore, the bioflocculant produced by Bacillus 1135 subtilis DYU500 ( $3.20 \times 10^6 \, \mathrm{Da}$ ) in the study of Wu et al. (2014) Q14 seems to favor the performance of flocculation (97%) comparing 1137 to 90% obtained by Gyrodinium impudicum KG03 (MW  $1.58 \times 10^6 \, \mathrm{1138}$  Da) (Yim et al., 2007).

Recently, Tang et al. (2014) discovered a new bioflocculant 1140 produced by Enterobacter sp. ETH-2. The MWs of ETH-2 ranges 1141



Patch model or electrostatic mechanism

Fig. 4 - Flocculation mechanism of bioflocculant.

from 603 to 1820 kDa, which is within the high MWs range with high flocculation ability of ETH-2 (94%) as compared to other strains. For example, Bacillus megaterium TF10 produced  $2.5\times10^3$  kDa with 95.5% capacity to flocculate (Xiong et al., 2010) and 90% of bioflocculation was obtained by B. licheniformis with  $1.8\times10^3$  kDa molecular weight (Yuan et al., 2010).

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In summary, bioflocculant produced by the various isolated microorganism are very diverse corresponding to their chemical structure (functional group) and their molecular weight as discussed earlier. These parameters affect their properties of EPS as a bioflocculant agent. High MW bioflocculant possess more adsorption points for bridging thus larger and stable flocs are obtained. However, the higher bioflocculant concentration were required to achieve these results. The concentration of EPS used to obtain the highest flocculation activity is important from an economic standpoint.

#### 7. Conclusion and recommendations

As evident from the review that sludge EPS remains to be an unexplored field of study with a plethora of research opportunities for many industrial and eco-friendly applications. EPS is composed of mainly carbohydrates and proteins, and they play very significant role in determining their functionality. The presence of nucleic acid and humic acid substances as a resultant of cellular lysis during post-production processing can further contribute to enhance the functional properties of EPS. The microorganism, carbon substrate, and other growth conditions play very significant role in determining EPS composition. EPS has great potential to be used as metal removing agent in mining industries, as a flocculating agent in WWTP for sludge dewatering and as a carbon source for biotechnological production of other metabolites.

Progress in molecular level knowledge about EPS production, 1174 its genetics, and enzymology have been very limited. The limited 1175 knowledge has restricted the application of various engineering 1176 techniques to enhance biological production of EPS. A huge scope 1177 of research and development lies in developing mutant strains to 1178 have a higher titer of EPS with novel properties. There are no 1179 dedicated industrial production processes for EPS production. 1180 This technical development has been limited by the optimization 1181 of EPS production using mixed cultures and pure cultures in 1182 combination with various kinds of carbon substrate which 1183 significantly affect the functional properties. More dedicated 1184 studies are required toward optimization of EPS production 1185 processes using novel and cheaper carbon substrates like sludge. 1186 A dedicated process development and simulation are required to 1187 have high upstream production coupled with efficient down- 1188 stream extraction. Proper characterization and documentation 1189 of the effect of extraction processes on EPS concentration, 1190 composition and functional groups (functionality) are required 1191 to realize a large scale EPS production process.

The research on sludge EPS still lacks the clarity on the 1193 mechanism of EPS production, proteome involved in EPS 1194 biosynthesis, mechanistic knowledge of role and effect of 1195 various components of EPS toward its functionality is still 1196 missing and very limited research is available. Currently 1197 many studies are going on EPS production, but there is a lot 1198 more to know about EPS than what has been known to make 1199 EPS a successful commercial product of application.

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