

## CROSS SECTIONAL STUDY REVEALS A HIGH PERCENTAGE OF ASYMPTOMATIC *Plasmodium vivax* INFECTION IN THE AMAZON RIO NEGRO AREA, BRAZIL

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### SUMMARY

A parasitological, clinical, serological and molecular cross-sectional study carried out in a highly endemic malaria area of Rio Negro in the Amazon State, Brazil, revealed a high prevalence of asymptomatic *Plasmodium vivax* infection. A total of 109 persons from 25 families were studied in five villages. Ninety-nine inhabitants (90.8%) had at least one previous episode of malaria. Serology showed 85.7% and 46.9% of positivity when *P. falciparum* antigens and *P. vivax* MSP-1, respectively, were used. Twenty blood samples were PCR positive for *P. vivax* (20.4%) and no *P. falciparum* infection was evidenced by this technique. No individual presenting positive PCR reaction had clinical malaria during the survey neither in the six months before nor after, confirming that they were cases of asymptomatic infection. Only one 12 year old girl presented a positive thick blood smear for *P. vivax*. This is the first description of asymptomatic *Plasmodium* infection in this area studied.

**KEYWORDS:** Malaria; Asymptomatic infection; *Plasmodium vivax*; Brazilian Amazon.

### INTRODUCTION

Clinical presentation of *Plasmodium* infection can vary from asymptomatic cases to fatal severe disease. Previous infection and immunity play a major role in defining the outcome of the disease. Symptomless malaria is commonly observed in high transmission areas in Africa and Southeast Asia, where transmission is very intense along the year and therefore individuals are continuously exposed to the parasite<sup>7,9,17</sup>. Although asymptomatic infections were described in the southern Brazilian State of Santa Catarina in 1971 caused by *P. vivax*, only in 1995 the first cases from the Brazilian Amazon, were reported among gold miners<sup>2,15</sup>. Recently, cases of healthy individuals infected with *P. vivax* and/or *P. falciparum* were reported in Rondônia, in the Amazon River basin and it was shown that autochthonous population, and not migrants, was responsible for the permanent endemicity of malaria in the area<sup>1,10</sup>.

In the Americas, control programs are based on opportune diagnostic and adequate treatment of clinical malaria cases<sup>8</sup>. Consequently, asymptomatic infection could be an important challenge for control<sup>12</sup>. In these scenarios, humans act as natural reservoirs of the parasite that can be easily disseminated by vectors<sup>23</sup>.

Barcelos is a district in the middle Rio Negro region, in the Amazonas State, Brazil, which is considered to be a highly endemic area for malaria. The average Annual Parasitic Index (API) in the last

10 years was 42.2 per 1000 inhabitants, and in some areas like the Rio Padauri, the average of API was almost 400 per 1000 inhabitants. Fifty-three percent of the clinically diagnosed cases occur in individuals younger than 15 years. New cases are distributed along the year. Both, *P. falciparum* and *P. vivax*, are responsible for the infection in this area and *Anopheles darlingi* is the main vector.

In order to evaluate the continuous malaria transmission in the area and the role played by putative asymptomatic carriers, a clinical, serological and molecular cross-sectional study was performed in the area.

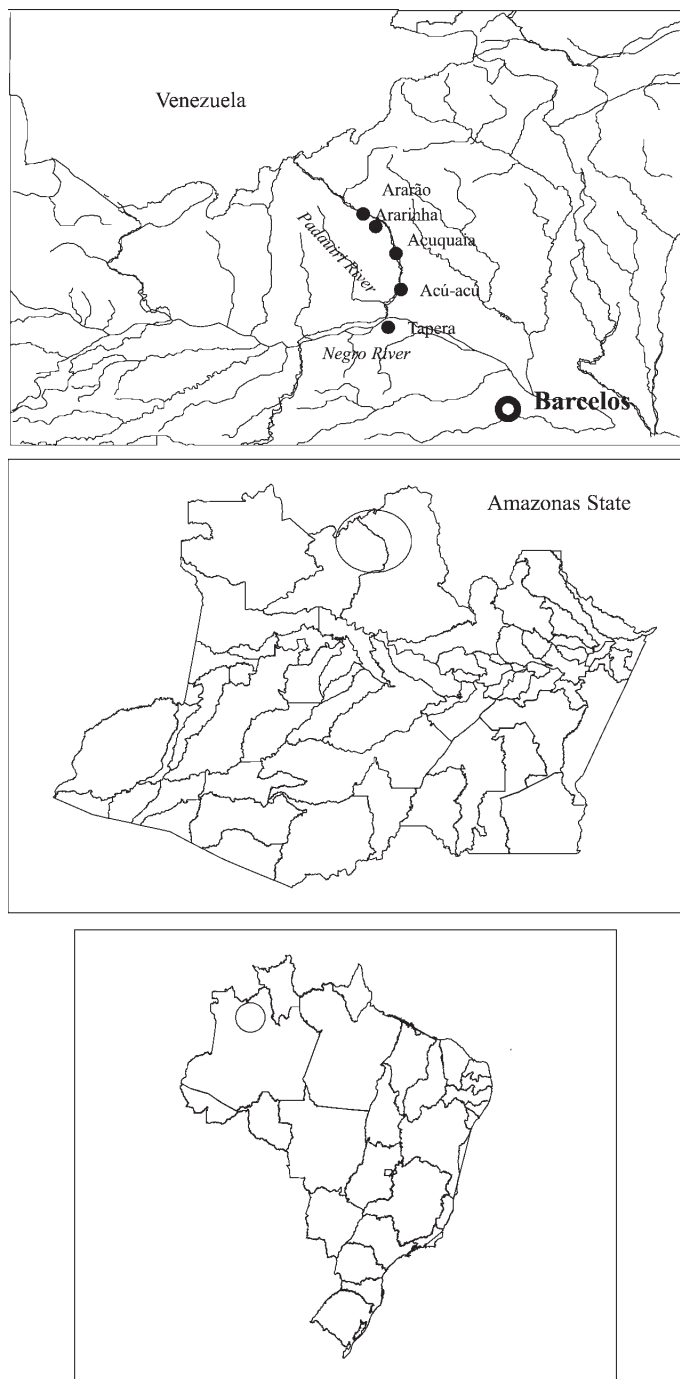
### MATERIALS AND METHODS

**Study area.** Rio Padauri is a left margin affluent of Rio Negro (Fig. 1). It is a large tropical humid forest area with an annual average temperature of 28 °C (20 - 38 °C) and annual pluviometer index of 2,286.2 mm. In this specific area, five small villages can be found: Tapera, Acú-Acú, Acuquaia, Ararinha and Ararão (from 00°11'41.3S, 64°04'42W to 00°30'19N, 64°03'29W). The population is composed of approximately 180 habitants, most of them involved in the collection of "piaçaba" (vegetal fiber). For most part of the year, male adults work in the "piaçabal areas" which are located in the middle of the jungle along the Rio Padauri. During school vacations, women and their children come to the aforementioned region in order to help their husbands at work.

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**Fig. 1** - The study area was the Rio Padauri (Barcelos District) in the Amazonas State in Brazil. The black dots show the small communities along the river: 1. Tapera, 2. Acú-acú, 3. Acúaquã, 4. Ararinhã and 5. Ararão.

**Study population.** A parasitological, clinical and serological cross-sectional study was carried out in 109 inhabitants from 25 families living in five villages at the Rio Padauri area. After signing an informed consent form, the individuals answered a questionnaire about malaria antecedents and were then submitted to a clinical exam (temperature,

pulse and blood pressure, liver and spleen examination). Blood samples were collected for malaria thick smear, serology and for polymerase chain reaction (PCR) looking for *Plasmodium* circulating DNA. Infants younger than two years old were excluded. Individuals with positive thick smear received standard antimalarial drugs in accordance with Malaria Control Program (Brazilian Ministry of Health). This study was approved by the Ethical Committee of the Fundação Oswaldo Cruz, Rio de Janeiro, Brazil.

**Clinical malaria.** Was considered when symptoms and signs were found with patent parasitemia verified by trained microscopists who examined 100 fields of stained thick blood smears at 1,000X magnification in field conditions (standardized technique from the Brazilian Ministry of Health).

**Polymerase Chain Reaction (PCR).** DNA was extracted from 300  $\mu$ L of blood samples using the phenol/chloroform method and precipitated after the addition of sodium acetate and ethanol. Polymerase chain reactions (PCR) were performed according to SNOUNOU *et al.* after some minor modifications<sup>20,21</sup>. In brief, a species-specific region of the 18 rDNA of *Plasmodium* was amplified using a nested PCR protocol. All PCR amplifications were performed in 25  $\mu$ L volume containing 1.5 mM MgCl<sub>2</sub>, 0.12 mM dNTPs, 5 pmol of each oligonucleotide and 0.5 U of *Taq* polymerase (Invitrogen®). In the first reaction, 3  $\mu$ L of extracted DNA were added, using pairs of primers targeting an outer region specific to the *Plasmodium* genus. One  $\mu$ L of the first reaction product was used as template in a second nested reaction in order to yield specific *P. falciparum* and *P. vivax* products. The temperature profile for the PCR was: five min at 95 °C; 25 cycles of one min at 94 °C, two min at 58 °C, two min at 72 °C; followed by the second reaction where the annealing temperature was modified to 65 °C and the cycles repeated 30 times. The PCR products were visualized under UV light after 2% agarose gel electrophoresis in 0.5X Tris borate EDTA buffer and ethidium-bromide staining. A sample was considered positive if a 205 and/or 120 base-pair product (for *P. falciparum* and *P. vivax*, respectively) was detected. Twenty samples were amplified three times in order to verify the reproducibility of the assay. In every set of reactions, negative and positive controls were used (DNA extracted from patients presenting clinical malaria and patent parasitemia with *P. falciparum* and *P. vivax*).

**Serological studies.** Serum was obtained after proper centrifugation of collected blood and stored with Glycerine solution 1:1 at 4 °C in the area and then at -20 °C until use. IgG antibodies were detected by ELISA using as antigens Zwittergent-extracted blood stage of *P. falciparum* and *P. vivax* MSP1<sub>19</sub> recombinant protein (kindly donated by Dr. Irene Soares). The results were expressed in Reactivity Index (RI) that is the ratio between the mean Optic Density (OD) of the sample and the OD of the “cut-off”. The cut-off was calculated as the mean OD plus two standard deviations of six negative results for each plate<sup>3,4</sup>. Serum samples with a RI equal to or greater than one were considered positive and used for the definition of the functional affinity of IgG. For these studies, sera were incubated in duplicate. One of the duplicates was washed for five minutes with 8M urea solution after serum incubation and the other duplicate was washed with PBS. The avidity index (AI) was calculated as the fraction of the OD of the sample treated with urea and that of the untreated sample, multiplied by 100. An AI below 30% corresponds to low avidity antibodies; AI between 30 and 50% to an intermediate avidity

**Table 1**

Distribution of inhabitants by residence locality, antecedents of previous malaria clinical episodes, and comparison between thick smear and PCR results in a 98 subsamples of five villages along the Rio Padauri

	Population		Yes	Previous malaria			Thick smear		PCR	
	Number	%		%	Not	%	Pos	%	Pos	%
Tapera	31	28.4	29	93.5	2	6.9	1/27	1	4/27	14.8
Acú-Acú	22	20.2	17	77.3	5	22.7	0/18	0	4/18	22.2
Acuquaia	29	26.6	27	93.1	2	6.9	0/31	0	1/31	3.2
Ararinha	17	15.6	16	94.1	1	5.9	0/15	0	9/15	60
Ararãõ	10	9.2	10	100.0	0	0.0	0/7	0	2/7	28.6
Total	109	100.0	99	90.8	10	9.2	1/98	1.02	20/98	20.4

and AI greater than 50% to high avidity. High avidity IgG antibodies indicate past infection, while low and intermediate avidity IgG antibodies might indicate recent infection<sup>4,14</sup>.

**Statistical analysis.** The EpiInfo 6.02 package (Centers for Diseases Control, Atlanta) version 6.02 was used for the statistical analysis. Proportions and categorical data were compared by the chi-square test ( $\chi^2$ ), with Mantel Haenszel correction, in cases of 2 x 2 contingency tables, or by Fisher's exact test. A confidence level of 95% was used.

## RESULTS

All the 109 studied individuals live along the Rio Padauri in five small villages. Residence distribution is shown in Table 1. The age of individuals enrolled into the study ranged between three to 79 years old (mean = 24 years old, standard deviation = 18.6); gender and age groups distribution are shown in Table 2. Only one individual (1/109) was born outside the Amazonian region.

**Malaria antecedents.** Ninety-nine inhabitants (99/109 - 90.8%) described at least one previous malaria episode. Fifty-two of the affected people were men comprising 98.1% (52/53) of the whole male sample and 47 were women corresponding to a total of 83.9% (47/56) of the female sample.

Previous malaria (42/48 - 87.5%) was described in 42 individuals below the age of 15 and in 57 above this age (57/61 - 93.5%). Among the first group (< 15 years), the average of previous malaria cases was

2.5 (one to six episodes) while in the second cluster ( $\geq 15$  years) the average was six (one to 50 episodes).

There was no statistically significant association between the description of previous malaria and specific professional profiles. Table 1 summarizes results of distribution of residence locality and previous malaria episodes.

In the six months prior to the study, 18.7% of the individuals < 15 (9/48) and 3.3% of the adults with 15 or more years of age (2/61) reported malaria episodes. The probability of having had malaria in the last six months was 6.8 higher in those < 15 years than in the adult group ( $\chi^2 = 7.02$ ,  $p < 0.05$ ).

When inquired about malaria transmission mechanism, 42.6% of inhabitants with 15 years old or more (26/61) manifested to know how malaria is transmitted; however when we tried to establish knowledge level, only 13.1% (8/61) knew that malaria is a vector-borne disease. There was no correlation between people who reported malaria in the past and knowledge about transmission.

**Physical exam.** The physical exam revealed that 10.1% of inhabitants had palpable spleen and 23.8% had enlarged liver. The distribution of clinical anemia was the same for both age groups, 22.9% (11/48 of those < 15 years and 14/61 of adults). Splenomegaly was detected in 8.3% (4/48) and 11.5% (7/61) of the individuals < 15 years and adults, respectively. No statistically significant difference was observed between these groups. During the survey, two inhabitants were found febrile however, when both thick smear and PCR analysis were carried out, the results were negative for *Plasmodium* parasites. Furthermore, neither had malaria six months before or after the study. A 12-year-old girl had a positive *P. vivax* thick blood smear. Despite the fact that this patient presented a positive parasitological test, neither fever nor other malaria symptoms were reported in the two weeks preceding the laboratory exam.

**Serological results.** From 109 individuals enrolled in the study, 98 blood samples were collected for serology. Serological results were positive for 85.7% (84/98) of samples when *P. falciparum* antigen was used (Table 3). The probability of a positive RI-Pf was 7.7 higher in individuals above the age of 15 than in younger individuals, with statistically significant difference ( $\chi^2 = 10.78$ ;  $p < 0.05$ ). The serology using *P. vivax* recombinant antigen (MSPI<sub>19</sub>-Pv) revealed 46.9%

**Table 2**  
Distribution of inhabitants by age groups and sex

Age groups distribution	Sex				Total
	Male		Female		
	N	%	N	%	
< 5 years	2	28.6	5	71.4	7
5-14 years	18	43.9	23	56.1	41
15-44 years	22	51.2	21	48.8	43
45-59 years	7	63.6	4	36.4	11
$\geq 60$ years	4	57.1	3	42.9	7
Total	53	48.6	56	51.4	109

**Table 3**

Results of the detection of anti-*Plasmodium* IgG antibodies by ELISA using *P. falciparum* blood stages and *P. vivax* MSP1<sub>19</sub> recombinant protein as antigens and distribution of high and low/ intermediate IgG antibodies avidity by age groups

Antibodies		AGE GROUPS		
		< 15 years old	≥ 15 years old	Total
<b>anti-<i>P. vivax</i> MSP1<sub>19</sub></b>	<b>Negative</b>	<b>27(71%)</b>	<b>25(41.7%)</b>	<b>52(53.1%)</b>
	<b>1-2</b>	9(23.7%)	16(26.7%)	25(25.5%)
	<b>&gt; 2</b>	2(5.3%)	19(31.6%)	21(21.4%)
	<b>Total</b>	38(38.8%)	60(61.2%)	98(100%)
<b>Anti-<i>P. falciparum</i></b>	<b>Negative</b>	3(10%)	11(16.2%)	14(14.3%)
	<b>1-2</b>	8(26.7%)	7(10.3%)	15(15.3%)
	<b>&gt; 2</b>	19(63.3%)	50(73.5%)	69(70.4%)
	<b>Total</b>	30(30.6%)	68(69.4%)	98(100%)
<b><i>P. falciparum</i> IgG antibodies avidity (only if Pf IR ≥ 1)</b>				
<b>Low or intermediate (AI &lt; 50%)</b>		16 (59.2%)	17(29.9%)	33(39.3%)
<b>High (AI ≥ 50%)</b>		11 (40.8%)	40(70.1%)	51(60.7%)
<b>Total</b>		27(100%)	57(100%)	84(100%)

RI = Reactivity index; AI = avidity index

positivity (Table 3). The probability of a positive RI-Pv was 3.44 higher in individuals older than 15 years old than in younger individuals with statistically significant difference ( $\chi^2 = 7.98$ ;  $p < 0.05$ ). The results for AI index of IgG positive RI-*P. falciparum* samples (84/98), are presented in Table 3. Briefly, 59.2% individuals < 15 years old and 29.8% of adults had low or intermediate avidity IgG antibodies, implying in recent malaria.

The probability of low or intermediate AI was 3.4 higher in individuals < 15 years old than in individuals older than 15 years, with a statistically significant difference ( $\chi^2 = 6.58$ ;  $p < 0.05$ ). Among individuals who had malaria in the year previous to the study, the probability to have a low or intermediate AI was 3.76 higher than people who had malaria before 2002 ( $\chi^2 = 4.35$ ,  $p < 0.05$ ). When sex groups were compared, no differences were observed.

**PCR results.** Detection of *Plasmodium* parasites by PCR DNA amplification showed that 20.4% (20/98) samples were positive for *P. vivax*. No specific fragment for *P. falciparum* parasites was detected in the tested samples. Comparison between thick smear and PCR results is presented in Table 1. Relation between positive PCR for *P. vivax* and locality of residence of inhabitants is shown in Table 5. Briefly, 50% (11/22) of the individuals who lived in the village Ararinha-Ararão area were positive for *P. vivax*. The probability to have a positive PCR was 7.4 higher in individuals who live in Ararinha-Ararão region than in people who live in other villages. This difference was statistically significant ( $\chi^2 = 15.14$ ;  $p < 0.05$ ). There was no correlation between age or sex groups and positive PCR reaction. A PCR-negative sample has never been positive by light microscopy. Individuals with positive PCR for *P. vivax* did not have clinical malaria six months before neither after the survey. One hundred percent of reproducibility was achieved after comparison of the results of the twenty samples that were amplified three times.

## DISCUSSION

The Rio Padauri is characterized by piaçaba extractive activities; male adolescents and adults live in those working areas part of year while women and children stay in the villages during school time and go to the piaçaba places during school vacations. Ninety nine percent of the inhabitants are natural from the Amazonas State with a very high internal migration rate in order to go to the piaçaba working places. There are at least five affluents in middle Negro region where people are dedicated to extractivist activities.

This is the first study regarding the topic in the region. No published record of malaria epidemiology from this area was found. This knowledge will enable us to find the best strategies to control malaria in these populations. The Rio Padauri region is a high transmission area with a very complex epidemiology. More than ninety percent of the inhabitants reported malaria in the past and this is confirmed by a high positivity for anti-*P. falciparum* IgG antibodies (RI-Pf = 85.7%). Although serology is not a conclusive diagnosis of patent malaria, population studies have shown *P. falciparum* antibodies (RI-Pf) to be more reactive and to present cross-reaction with *P. vivax* antigens in high transmission areas. The presence of low and intermediate avidity IgG antibodies have been correlated with recent infection<sup>14</sup>. Our findings showed that people who had a recent clinical malaria episode had a higher frequency of low or intermediate avidity IgG antibodies. Fifty nine percent of individuals younger than 15 years old presented low and intermediate avidity IgG anti-*Plasmodium falciparum* showing that the probability of a recent infection is higher for children than for adults.

In an intense transmission area like the Rio Padauri, it is possible that adults acquire different grades of immunity and therefore become ill less frequently than children. Nevertheless, the probability of a *Plasmodium* infection is the same for both groups; this is further



reinforced by the inexistence of statistically significant differences in PCR results between children and adults. DRUILHE & PERIGNON showed that in highly endemic areas, natural immunity needs permanent long time exposition to be acquired; also, natural immunity protects against malaria clinical episodes but it does not avoid malaria infection<sup>13</sup>. This state of immunity is denominated "premunition", and can be acquired after years of continuous exposition to the parasite; it is characterized by asymptomatic *Plasmodium* infection with subpatent parasitemia. The time taken for a child to acquire clinical immunity depends on the intensity of transmission, the parasite genetic diversity and the maturity of the host immune system<sup>5,22</sup>.

High transmission conditions are verified in Africa and clinical behavior of *Plasmodium* - particularly *P. falciparum* - infection is better established there<sup>6,23</sup>. In America this phenomenon is relatively new. In spite of the small number of studies, in recent years various researchers have reported more evidences of asymptomatic *Plasmodium* infection in Latin America<sup>1,10,11,16,19</sup>. ALVES *et al.* showed the existence of *P. falciparum* and *P. vivax* asymptomatic infection in riverine communities in Rondônia<sup>1</sup>. It was more frequent among adults.

In this study, distinct risks for acquiring malaria were verified according to the sites. Ararinha and Ararão are located very near the indigenous area where malaria is endemic. Coincidentally the piaçaba gathering places are located in the surroundings of this area. This preliminary information leads us to think that infection takes place where men spend much of their time - in their working areas. This issue still needs further analyses.

We only found a twelve year-old child with *P. vivax* positive thick blood smear. Although thick blood smear is the gold standard for malaria diagnosis, this method lacks sensitivity for detecting asymptomatic *Plasmodium* infections in areas where people become immune presenting low parasitaemia<sup>18,20,21,24</sup>. Different studies showed that molecular diagnosis by PCR is more sensitive than the blood thick smear, particularly for detecting low parasite infections<sup>1</sup>. Our results showed that PCR reactions were positive in 20.4% of studied population; all of them characterized as *P. vivax* asymptomatic infections.

These results do not allow us to differentiate between (1) a fluctuant long time persistence of low parasitemia, which occasionally reach detection levels for a blood thick smear, and (2) a short time infection followed by parasite elimination<sup>7</sup>. To elucidate these hypotheses, new longitudinal studies are required<sup>1</sup>.

High degrees of asymptomatic *Plasmodium* infection may be a common theme in the Amazon and it has important implications on the planning of human activities (hydroelectric power plant, gas and oil projects, highways, rural settlements, etc.) in areas which require the influx of non-immune individuals.

## RESUMO

### Estudo seccional revela um alto percentual de infecção assintomática por *Plasmodium vivax* em área do Rio Negro, Amazonas, Brasil

Um estudo seccional parasitológico, clínico, sorológico e molecular,

realizado em uma área altamente endêmica para malária, no Rio Negro, Estado do Amazonas, revela alta prevalência de infecção assintomática por *Plasmodium vivax*. Um total de 109 pessoas de 25 famílias residentes em cinco comunidades do Rio Padauri, afluente do Rio Negro, foram estudadas. Noventa por cento dos habitantes (90,8%) tinham tido pelo menos um episódio prévio de malária. A sorologia mostrou 85,7% e 46,9% de positividade quando antígenos de *P. falciparum* e *P. vivax* MSP-1, foram respectivamente usados. Vinte amostras de sangue submetidas ao PCR foram positivas para *P. vivax* (20,4%), entretanto, nenhuma foi positiva para o *P. falciparum* por esta técnica. Nenhum paciente com PCR positivo durante o inquérito e seis meses antes ou depois teve manifestações clínicas de malária, portanto, podemos afirmar que eram assintomáticos. Somente uma criança de 12 anos de idade teve gota espessa positiva para *P. vivax*. Esta é a primeira descrição de infecção assintomática por *Plasmodium* na área estudada.

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