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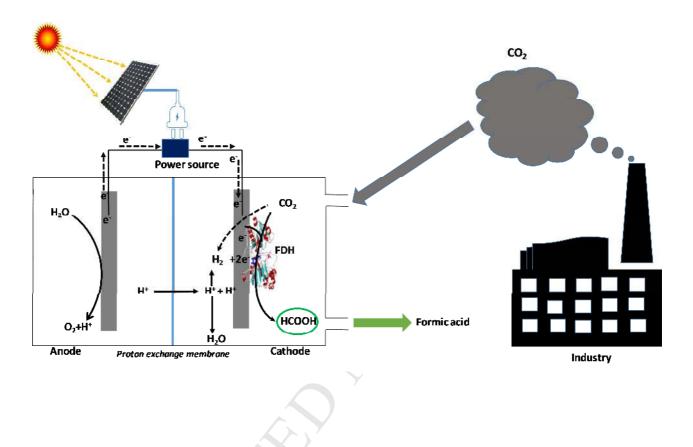
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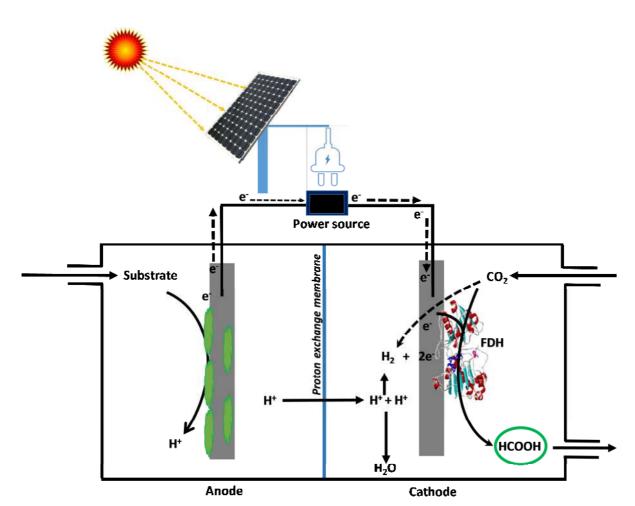
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Graphical Abstract



| ACCEPTED MANUSCRIPT | | | | | | | |
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| Current Trends in Enzymatic Electrosynthesis for CO ₂ Reduction | | | | | | | |
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| Abstract | | | | | | | |
| Enzymatic electrosynthesis offers a novel approach to the production of chemicals through CO_2 sequestration. In this mini-review, we present the most recent state-of-the-art information on enzymatic CO_2 reduction for the production of chemicals such as formic acid using oxidoreductase (single or multiple) enzymes as electrocatalysts in enzymatic electrosynthesis cell (EEC). Key challenges towards upscaling of this CO_2 utilization approach are identified and future research directions are discussed briefly. | | | | | | | |
| Key words: Enzymatic electrosynthesis, formic acid, oxidoreductase, CO ₂ reduction | | | | | | | |
| 1. Introduction Climate change due to the precipitous increase in greenhouse gas emissions particularly CO₂ in the atmosphere is driving the interest in developing carbon capture and utilization (CCU) technologies. CO₂ is the major carbon source which can be transformed into useful chemicals and fuels using different CCU technologies. Biological conversion of CO₂ is considered as a viable and sustainable approach for transitioning the existing linear carbon economy into a circular one in a highly desired biorefinery framework. The major biological CO₂ conversion approaches include microalgae cultivation, gas fermentation and microbial electrosynthesis [1-5]. The former is based on the use of algae and photosynthetic bacteria, whereas the latter two use chemolithoautotrophic microbial catalysts. Microbial electrosynthesis is an electricity-driven CO₂ reduction process catalyzed by microorganisms at the cathode of the bioelectrochemical systems [6]. Although the use of the whole cell microbial catalysts offers some important advantages, it also brings along several metabolism and process-related challenges. For instance, it results in less productivity due to the mass transport losses during the translocation of redox mediators across the cellular membrane and activation losses during the long electron transfer pathways [7]. Enzymatic electrosynthesis is another bioelectrochemical CO₂ reduction approach which is based on the use of specific enzyme catalysts instead of whole cell biocatalysts (Fig. 1). | | | | | | | |
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Figure 1: Schematic representation of a typical enzymatic electrosynthesis cell.

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5 The renewable energy systems can supply the electrical energy needed to facilitate the 6 reduction reactions at the cathodes. The use of specific enzymes to catalyze the cathodic CO₂ 7 reduction to produce specific products overcomes different losses. It is mainly due to the 8 absence of interferences and intrinsic resistances along with the cost-effective downstream 9 process [8-10]. Enzymatic electrosynthesis can thus be a remarkably energy efficient process. 10 In this process, single or multiple enzyme (cascade-type) catalysts can be used depending 11 upon the target product [11].

A major fraction of enzymes involved in CO₂ reduction discovered to date belongs to the 12 oxidoreductase family and carbonic anhydrase (CA) [12,13]. These enzymes are vital for a 13 vast choice of reactions in biological systems, primarily redox reactions. Usually, these 14 enzymes exhibit a ping-pong mechanism where addition or loss of electrons and few atoms 15 (involved in oxidation or reduction) are dispensing the unsteady enzyme form. Further, the 16 enzyme gets to its unchanged form upon completing the reaction. This reaction is often 17 accelerated by cofactors such as PQQ, FAD, heme and transition metals that bind firmly to 18 the enzyme or a co-substrate such as NADP⁺, NAD⁺, and FMN that bind temporarily for 19 short-duration to accomplish the reaction. These cofactors or chemical species loose or gain 20 temporarily stored electrons to proceed with the redox reaction [14-16]. In recent times, the 21 most studied single enzyme process has been the synthesis of formic acid from CO₂ reduction 22 [10] using formate dehydrogenases (FDH) along with co-factor NADH [17, 18]. CA has been 23

used for less energy-intensive desorption of CO₂ scrubber for solvent recovery [19, 20]. The
multi-enzyme approach is more advantageous over the single enzyme systems. It
facilitates high CO₂ solubility (HCO³⁻) by CA in the aqueous phase. Later HCO³⁻ is converted
to formic acid by FDH [21].

5 6

2. Recent advancements in Enzymatic electrosynthesis from CO₂

A classic enzymatic electrosynthesis cell (EEC) consists of enzymatic bioanode and 7 biocathode (electrocatalysts) separated by electrolyte or gel or polymer and an external circuit 8 9 with the load or power supply. Solid electrodes of different materials act as conductors and support the enzymes immobilization. The electrocatalysts used in EEC are oxidoreductase 10 enzymes - a class of enzymes that catalyze redox reactions. Since these enzymes are selective 11 electrocatalysts, careful selection of the specific enzyme is critical for the conversion of CO₂ 12 to chemicals. Formic acid production has been the main target process thus far due to its 13 commercial importance [22]. Other target products include methane or methanol [23]. Very 14 limited research work has been reported since the last two years on enzymatic 15 electrosynthesis of formic acid from CO₂ reduction at cathode using FDH as a catalyst and 16 NADH as an electron shuttle (Table 1). 17

Table 1. A consolidated table on the formic acid production in the enzymatic
 electrochemical cell through CO₂ reduction

| S. No | System | Enzyme | Enzyme Source | Cathodic reaction | Product | Maximum Concentration/ production rate | Ref. |
|----------|-------------------------------------|--|--|---|----------------|--|------|
| 1 | EEC | FDH+CA | Candida bodoinii (FDH)+ bovine erythrocytes (CA) | CA increases the solubility of CO ₂ + FDH reduces CO2 to formic acid with NADH e- shuttle | Formic acid | 86.26 mg L ⁻¹ h ⁻¹ | [10] |
| 2 | Hybrid MFC- EFC system | Cb- FDH | Candida bodoinii | FDH reduces CO ₂ to formic acid with NADH e- shuttle | Formate | $60 \text{ mg } \text{L}^{-1} \text{h}^{-1}$ | [24] |
| 3 | EEC | Hdr-SC | Methanococcus maripaludis | FDH reduces CO ₂ to formic acid with Hdr-SC e- shuttle | Formate | 12 mg L ⁻¹ h ⁻¹ | [25] |
| 4 | EEC | FDH | Candida bodoinii | FDH reduces CO ₂ to formic acid with NADH e- shuttle | Formic acid | 225.81 mg L ⁻¹ h ⁻ | [26] |
| 5 | Electro- enzyma tic system | RcFDH | Rhodobacter capsulatus | RcFDH reduces CO ₂ to formic acid with methyl viologen (MV) e- shuttle | Formate | 276 mg L ⁻¹ | [27] |
| 6 | Electro- enzyma tic system | molybde num- FDH (Mo- FDH) | Escherichia coli | Mo-FDH reduces CO_2 to formate with Cobaltocene e ⁻ shuttle | Formate | | [34] |

| Γ | 7 | Microbi | FDH | Ralstonia | neutral red (NR) | poly(3- | $485 \pm 13 \text{ mg L}^{-1}$ | [38] |
|---|---|----------|-----|-----------|------------------|---------|--------------------------------|------|
| | | al | | eutropha | and NADH | hydrox | 1 | |
| | | electros | | | | ybutyra | | |
| | | ynthesis | | | | te) | | |
| | | system | | | | (PHB) | | |
| | | (MES) | | | | | | |

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A recent study by Zhang and coworkers investigated enzymatic electrosynthesis of formate 2 3 from the CO₂ reduction in a hybrid microbial fuel cell-enzymatic fuel cell (MFC-EFC) system. Electrons were harnessed by the degradation of organic pollutants present in the 4 5 wastewater by anodic electrochemical active bacteria in MFC. In the same system, immobilized cathode surface with FDH extracted from Candida boidnii was used along with 6 7 electro-polymerization and NADH to increase the electrochemical property. The production 8 of formate from NaHCO₃ as the CO₂ source was studied at lowered overpotentials as low as 0.1 V by connecting the MFC stack in different connection modes (i.e., series and parallel) 9 for external power supply. These authors achieved a maximum formate production rate of 60 10 11 mg L^{-1} h⁻¹ with 70% Faradaic efficiency [24]. For the first time, Lienemann and colleagues identified and studied the multi-enzyme heterodisulfide reductase supercomplex (Hdr-SC) in 12 Methanococcus maripaludis for rapid formate production. They reported that Hdr-SC 13 catalyze the methanogenesis via direct electron uptake with fast H₂ and formate production in 14 electrochemical reactors at -800 mV vs. Ag/AgCl applied potential. Formate production with 15 90% coulombic efficiency after five days was achieved [25]. 16

17

A recent study by Srikanth et al. reported optimized potential (-0.8 V) for CO₂ reduction to 18 formic acid with 12.74% current efficiency at a production rate of 225.81 mg L⁻¹ h⁻¹. In this 19 study, an enzymatic electrosynthesis cell consisting of a H-type two-chambered reactor with 20 21 anode and cathode was used. The graphite-based cathode was immobilized with FDH as a 22 biocatalyst to convert CO_2 to formic acid. The major limitation of the study was denaturation of the enzyme which limited the production time for 40 min due to non-recycling of the 23 24 proton donor NADH [26]. With this basic understanding, the same group continued testing the formic acid production using FDH alone and in combination with CA in a dual 25 chambered enzymatic electrochemical cell. It consisted of CO₂ reducing cathode [cold rolled 26 graphite-polytetrafluoroethylene (PTFE) composite layer on a stainless-steel mesh, VITO® 27 CORE type electrode] and a platinum wire as the anode. CA was used to increase the 28 solubility of CO₂ and to enhance the formic acid production with both free and immobilized 29 30 forms (FDH, FDH+CA) on a cold rolled electrode based on graphite powder. Higher production rate (43.13 mg L_{CO2}^{-1}) and titer (647 mg L^{-1}) were obtained with FDH+CA free-31 form than other variations studied. Authors identified large variation in the reduction current 32 operation with free form [(-6.2:3.9) Am⁻²], whereas the immobilized form showed less 33 variation $[(-3.8:0.5) \text{ Am}^{-2}]$ due to increased enzyme stability. Moreover, the reproducibility of 34 the data reflected the longevity of the enzyme after immobilization. The addition of CA with 35 FDH increased the consumption of the current in both forms because it allowed rapid 36 37 dissolution of CO₂, which made it available for the catalytic reaction to increase formic acid production [10]. Choi and co-workers selected O₂ stable FDH, isolated from *Rhodobacter* 38 capsulatus RcFDH) and studied with a different mediator (44 µMof alizarin red S (ARS), 39 anthraquinone-2-sulfonic acid (AQ2S), benzyl viologen (BV), and methyl viologen (MV)) 40 for effective electron transfer to reduce CO₂. An electro-enzymatic system with RcFDH with 41 MV showed an effective CO₂ reduction of 6 mM of formate in 5 hours [27]. A novel CA 42 43 coated pectin membrane was developed, and its behavior studied for the first time by Nemestóthy and colleagues in the field of membrane technology to separate CO₂/N₂. Authors 44

1 prepared a supported liquid membrane (SLM) and identified [Bmim] [NTf2] ionic liquid (IL) filled with cellulose acetate in the pores for solvent support during SLM fabrication on the 2 enzyme which in turn, caused a quick loss of initial biocatalyst activity. A 3-fold 3 enhancement in higher transmembrane pressures compared to control was observed after 4 improved resistance against high pressure of nearly 7.2 bar. Performance of the CA coated 5 pectin membranes tested with single and mixed gases of CO₂ and N₂ showed markedly 6 7 increased CO₂ permeability by 93 Barrer, whereas, N₂ remained unaffected [28]. Jin et al. worked on metalorganic frameworks (MOFs) (ZIF-100 and CFA-1) mimicking CA (Zn as an 8 active center in metalloprotein) for effective CO₂ conversion. Authors revealed that MOFs 9 10 were more efficient for in situ CO₂ conversion than porous materials viz., MCM-41 and activated carbon [29]. 11

In addition to the above-mentioned enzymatic CO₂ conversion, Wang and co-researchers 12 have explored carbon monoxide dehydrogenase (CODH) for CO₂ conversion. In this study 13 researcher screened two different CODH using protein-film electrochemistry and evaluated 14 them in the presence of CO_2 [30]. Hansen et al. assessed the enzymatic electrochemical 15 approach based on the reduction of CO₂ to CO due to central metals in CODH [31]. Amao 16 17 and Shuto defined the electron shuttling with methyl viologen in enzymatic electrochemical 18 reactions and studied the artificial photosynthesis approach for CO₂ reduction. In this study FDH was coupled to methyl viologen with a long alkyl chain, in turn allied to an indium tin 19 oxide (ITO) electrode. This approach for an artificial photosynthesis route, also includes 20 formate production from CO₂ [32]. Minteer and co-workers recently studied the multienzyme 21 approach to transfer electrons in a cascade way to generate biofuel. In this study, methanol 22 was produced by coupling ADH to NADH regeneration [33]. Bassegoda et al. worked on the 23 heterogeneous enzymatic electrochemical reduction of CO₂ to formate avoiding a co-factor. 24 In the same study, they also focused on active metal site of molybdenum-FDH and revealed 25 highly electrochemically active site than tungsten- FDH in the conversion of CO₂ to formate 26 [34]. Yuan et al. took the lead from previous understanding on active metal site of 27 molybdenum-FDH (Mo-FDH) and extended his work to using Mo-FDH from Escherichia 28 coli on the surface of a carbon electrode. In this case, cobaltocene (grafted to poly-29 (allylamine), Cc-PAA), a low-potential redox polymer was used as an electron mediator for 30 31 efficient CO₂ reduction. During this study authors achieved 99.5% Faradaic efficiency at 0.66 32 V (vs. SHE) applied potential [35]. Understanding of heterogeneous enzymatic electrochemical catalytic reduction of CO₂ led to another product formation other than 33 formate. Schlager and coworkers immobilized carbon felt electrode with all the three DH 34 encapsulated alginate matrix avoiding co-factors and studied the reduction of CO₂ to 35 methanol with 40% Faradaic yield [36, 37]. Chen and co-researchers also worked on poly(3-36 hydroxybutyrate) (PHB) production with genetically engineered ribulose-1,5-bisphosphate 37 carboxylase/oxygenase (Rubisco) in Ralstonia eutropha to enhance CO₂ fixation. Reactor 38 systems were operated at a constant potential of -0.6 V vs. Ag/AgCl with FDH, neutral red 39 (NR) and NADH along with genetically modified R. eutropha at the cathode. This approach 40 showed high PHB production than control system (wild-type R. eutropha absence of FDH 41 and NR) [38]. 42

43

44 3. Key challenges and future research directions

45 Despite the low yields, the most reported chemical compound in enzymatic electrosynthesis 46 cell is formic acid production by CO_2 reduction. Most importantly, the research work in this 47 area has been rather limited so far. Several challenges are foreseen towards practical

applicability of enzymatic electrosynthesis cell for formic acid production or other chemical products such as CO, methane, and bicarbonate from CO₂ reduction. Identifying the suitable and efficient microorganisms to produce enzymes and catalyze the reduction reactions efficiently are the prime challenges that need to be addressed. Apart from that fundamental challenges like high ohmic and activation losses, concentration over-potentials at the cathode need to be reduced for efficient CO₂ conversion. Another important challenge in engineering aspects is to design an economically viable enzymatic electrochemical system for CO₂ conversion into liquid fuels and chemicals. Other challenges include cofactor enzyme generation system and efficient hydration of CO_2 in water. The long-term stability of enzyme catalysts also needs to be considered to make the system work for longer times. Towards the enhancement of the catalytic reaction, efficient approach for the preparation of enzymes, optimization of the reaction and stability mechanisms needs to be considered. The sensitivity of the enzymes in harsh and high intense sunlight conditions, which decrease the enzymatic activity is another area that needs research focus. The cost related aspects include protein purification which hinders the large-scale application of such enzymatic systems also needs to be addressed.

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Highlights

Enzymatic electrosynthesis is a sustainable route for the conversion of CO_2 to chemical and fuels.

Formate dehydrogenase (FDH) and carbonic anhydrase (CA) are most studied enzymes.

Multi-enzyme systems are more efficient with high Faradic efficiency for conversion of CO_2 to product.

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