

# Damage Identification Scheme Based on Compressive Sensing

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## Abstract

Civil infrastructures are critical to every nation, due to their substantial investment, long service period, and enormous negative impacts after failure. However, they inevitably deteriorate during their service lives. Therefore, methods capable of assessing conditions and identifying damages in a structure timely and accurately have drawn increasing attentions. Recently, compressive sensing (CS), a significant breakthrough in signal processing, has been proposed to capture and represent compressible signals at a rate significantly below the traditional Nyquist rate. Due to its sound theoretical background and notable influence, this methodology has been successfully applied in many research areas. In order to explore its application in structural damage identification, a new CS based damage identification scheme is proposed in this paper, by regarding damage identification problems as pattern classification problems. The time domain structural responses are transferred to the frequency domain as sparse representation, and then the numerical simulated data under various damage scenarios will be used to train a feature matrix as input information. This matrix can be used for damage identification through an optimization process. This will be one of the first few applications of this advanced technique to structural engineering areas. In order to demonstrate its effectiveness, numerical simulation results on a complex pipe soil interaction model are used to train the parameters and then to identify the simulated pipe degradation damage and free-spanning damage. To further demonstrate the method, vibration tests of a steel pipe laid on the ground are carried out. The measured acceleration time histories are used for damage identification. Both numerical and experimental verification results confirm that the proposed damage identification scheme will be a promising tool for structural health monitoring.

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**Keywords:** Compressive sensing, Damage identification, Civil infrastructure, Pattern recognition, Sparse representation

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## 28 **Introduction**

29 Civil infrastructures, such as dams, long-span bridges, pipelines and building structures, are highly  
30 important for every nation, because their construction and maintenance need substantial investment,  
31 and most of them are expected to serve for a relatively long period. Structural failures usually lead to  
32 disasters that may affect people, animals and the environment. However, during their service lives,  
33 many factors impair structural safety and integrity, including environmental loads (for example:  
34 earthquake, wind and flood), mechanical damages, structural aging (such as corrosion, deterioration,  
35 and fatigue effects) and some human factors. Therefore, deterioration of structural conditions is  
36 inevitable. In order to identify and assess various damages in a structure quickly and correctly,  
37 numerous research works have been conducted (Sohn et al. 2003). As presented in Kolakowski et al  
38 (2006), there are usually two approaches for structural damage identification, namely model-based  
39 method and signal-based method. The model-based method is a conceptually straightforward but  
40 practically difficult approach in which the parameters of an actual system model are used directly to  
41 represent physical quantities such as the structural stiffness and damping ratio. It strongly depends  
42 on the accuracy of the numerical model and usually leads to a very challenging ill-conditioned  
43 inverse problem. Alternatively, signal-based method has also received considerable attentions from  
44 the civil, aerospace, and mechanical engineering communities because they are particularly more  
45 effective for structures with complicated nonlinear behavior and the incomplete, incoherent, and  
46 noise-contaminated measurements of structural response (Adeli and Jiang, 2006). They are also  
47 more cost effective and suitable for online structural monitoring.

48 In general, the signal-based damage identification methods can be regarded as pattern recognition  
49 approaches. Numerous such approaches have been proposed. Sohn et al. (2001) presented a study on  
50 Structural Health Monitoring (SHM) using statistical pattern recognition techniques. Two pattern  
51 recognition techniques based on time series analysis are successfully applied to fiber optic strain  
52 gauge data obtained from a surface-effect fast patrol boat by distinguishing data sets from different  
53 structural conditions. Gul and Catbas (2009) employed experimental data coming from different test  
54 structures and damage cases to examine a statistical pattern recognition approach for SHM and  
55 discussed its advantages and drawbacks. With regard to wavelet-based methods, Kim and Melhem  
56 (2004) presented an informative literature review. The methods can be classified into three  
57 categories: 1) variation of wavelet coefficients, 2) local perturbation of wavelet coefficients in a  
58 space domain, and 3) reflected wave caused by local damage. Yang et al (2004) proposed a method  
59 based on empirical mode decomposition and Hilbert transform to extract the information of damage  
60 from measured data. The method was then applied to a benchmark problem established by ASCE

61 and the results demonstrated its effectiveness. Taha and Jucero (2005) have demonstrated a method  
62 to quantify evidence of damage levels in structures by means of the computations of fuzzy set  
63 theory. The proposed method uses Jeffery's non-informative priori in a Bayesian updating scheme to  
64 infer fuzzy health "or damage" patterns. The model has been shown to be capable of identifying  
65 damage accurately. Also, some researchers applied intelligent algorithms to structural damage  
66 detection. Hao and Xia (2002) proposed a method directly comparing the measured frequencies and  
67 mode shapes before and after damage to detect structural damage. A Genetic Algorithm (GA) with  
68 real number encoding is applied to minimize the objective functions. Experimental test results  
69 demonstrated that the method gives better damage detection results for the beam than the  
70 conventional optimization method. Bakhary et al (2007) presented a statistical artificial neural  
71 network (ANN) method that accounts for the inevitable finite element (FE) modelling error and  
72 measurement noise for structural condition identification. The accuracy of the approach was proved  
73 using Monte Carlo simulation. Chen and Zang (2009) presented an artificial immune pattern  
74 recognition approach for damage classification in structures. Although numerous methods have been  
75 proposed as reviewed above, there are still some fundamental challenges for damage identification,  
76 including sampling rate for sensing, the discerning between noise and damage, etc. Therefore, robust  
77 and reliable methods capable of detecting, locating and estimating damage quickly whilst being  
78 insensitive to changes in environmental and operating conditions have yet to be agreed upon.

79 Recently, Compressive Sensing (CS), a significant breakthrough in signal processing, has been  
80 developed to capture and represent compressible signals at a rate significantly below the Nyquist  
81 rate (Candes et al. 2006a, Donoho 2006, Eldar and Kutuyiok 2012). This changes the traditional view  
82 that the sampling rate must be at least twice the maximum frequency of the signal. CS theory is  
83 initially used to recover certain signals from far fewer samples or measurements than traditional  
84 methods use. To make it possible, CS relies on two principles: sparsity, which pertains to the signals  
85 of interest, and incoherence, which pertains to the sensing modality. The main train of thought is to  
86 combine the data compression and sampling (Candes et al. 2006a, Donoho 2006). First, the signals  
87 are represented in the transform domain, where the signals become sparse. Second, a measurement  
88 matrix must allow the signal reconstruction. Third, the original signals can be reconstructed by using  
89 measurement values through an optimization process, i.e. basis pursuit. Nowadays, CS has been  
90 applied in many fields, including compressive imaging (Wakin et al. 2006, Duarte et al. 2008),  
91 medical imaging (Lu and Vaswani 2009), time-frequency analysis (Borgnat and Flandrin, 2008), and  
92 many others. However, there are only a few papers focusing on its application in SHM till now.  
93 Cortial et al (2007) may be the first authors who apply CS to SHM, for the development of a  
94 Dynamic Data Driven Applications System. The simulation results demonstrate the potential of CS

95 for locating structural damage. Gurbuz et al (2009) integrated CS with Ground Penetrating Radar, an  
96 important remote sensing tool in civil engineering. The results show that CS is robust to noise,  
97 random spatial sampling and introduces increased resolution. Bao et al (2010) applied CS to data  
98 compression for SHM system. The results show that the values of compression ratios achieved using  
99 CS are not high, since the vibration data are not naturally sparse in the chosen wavelet bases. Wang  
100 and Hao (2010) presented a concise introduction of CS theory and proposed several potential  
101 applications to structural engineering. By using the experimental measurement results, the study  
102 demonstrated that the reconstruction results by CS are very good, even if the vibration data are not  
103 mathematically sparse.

104 Currently, the terminology “compressed sensing” is more and more often used interchangeably with  
105 “sparse recovery” (Eldar and Kutyiok 2012). Thus, CS is more generally regarded as a mathematical  
106 tool capable of finding sparse solutions to under-determined or over-determined linear equations  
107 under certain conditions, than its initial concepts in signal compression and sampling. A successful  
108 application in pattern recognition field is proposed by Yang et al. (2007) and then improved in  
109 Wright et al. (2009). A robust face recognition algorithm is constructed from the perspective of  
110 sparse representation. Unlike the conventional CS applications that target on the sparse signal  
111 reconstruction via basis pursuit, Yang et al. (2007) defined the basis as the prior knowledge of the  
112 training database and transferred the face recognition problem into seeking the sparse  
113 coefficient/representation of the specific basis using CS as a mathematical tool.

114 This provides a new angle for damage identification by using the measured data directly. In this  
115 paper, a new damage identification paradigm based on sparse representation and CS techniques is  
116 proposed, shown in the Methodology section. Then, a simulated complex pipe-soil interaction model  
117 is used for validating the new scheme. At last, the experimental vibration time histories of the pipe-  
118 soil system are used to demonstrate the performances of the proposed method in damage detection  
119 of civil infrastructure. The results show that the proposed method is a promising tool for protection  
120 of civil infrastructure.

## 121 **Methodology**

122 The mathematical background underlying CS is deep and beautiful, which can be found in existing  
123 references (Candes 2006, Eldar and Kutyiok 2012). This section discusses its application in  
124 structural damage identification using vibration time histories directly. While we will concentrate on  
125 the development of the damage identification scheme, some necessary concepts and relevant  
126 theories will be addressed first.

## 127 **Theoretical background**

128 Experimental signals can be used directly for damage identification purposes. In SHM, these signals  
129 are usually vibration or wave propagation time histories. When expressed in an appropriate basis,  
130 they usually have concise representations. Mathematically speaking, we have a vector  $\mathbf{f} \in \mathbf{R}^N$   
131 (experimental signal), which can be expanded in an orthonormal decomposition basis (such as a  
132 Fourier basis or wavelet basis)  $\Psi = [\psi_1 \psi_2 \cdots \psi_N]$  as follows:

$$133 \quad \mathbf{f} = \Psi \mathbf{x} = \sum_{i=1}^N x_i \psi_i \quad (1)$$

$$134 \quad x_i = \psi_i^T \mathbf{f} \quad (2)$$

135 where  $x_i$  is the weighting coefficients of  $\mathbf{f}$ , and  $\bullet^T$  represents transposition (Eldar and Kutyiok 2012).  
136 The signal  $\mathbf{f}$  is compressible if the representation (Eq. (1)) has just a few large coefficients and many  
137 small coefficients. The implication of sparsity is then clear: when a signal has a sparse expansion,  
138 one can discard the small coefficients without much perceptual loss. The sparsity can be quantified  
139 as follows. The signal  $\mathbf{f}$  is  $K$ -sparse if it is a linear combination of only  $K$  basis vectors; that is, only  
140  $K$  of the  $x_i$  coefficients in Eq. (1) are nonzero and  $(N - K)$  are zero. The case of interest is when  
141  $K \ll N$ .

142 In Wang and Hao (2010), the Fourier transform of vibration signal is selected as the orthonormal  
143 basis. The results demonstrated that the vibration or wave propagation signals are usually sparser in  
144 the frequency domain than in the time domain.

145 Now, we consider expressing the measurement (projection) about each signal  $\mathbf{f}$  by the following  
146 functions:

$$147 \quad y_k = \varphi_k^T \mathbf{f}, k = 1, \dots, M \quad (3)$$

148 where  $y_k$  is a measurement vector of  $\mathbf{f}$ . Arrange the measurements  $y_k$  in an  $M \times 1$  vector  $\mathbf{y}$  and the  
149 measurement vectors  $\varphi_k^T$  as rows in an  $M \times N$  projection matrix  $\Phi$  ( $M < N$ ). By combining Eqs  
150 (1) and (3),  $\mathbf{y}$  can be written as

$$151 \quad \mathbf{y} = \Phi \mathbf{f} = \Phi \Psi \mathbf{x} = \Theta \mathbf{x} \quad (4)$$

152 where  $\Theta = \Phi \Psi$  is an  $M \times N$  matrix. The measurement (projection) process is usually not adaptive,

153 meaning that  $\Phi$  is fixed and independent of the signal  $\mathbf{f}$ . The first basis  $\Psi$  is used to represent the  
154 object  $\mathbf{f}$  as in Eq. (1) and the second  $\Phi$  is used for sensing  $\mathbf{f}$  as in Eq. (3).

155 CS is originally developed for the reconstruction of the length- $N$  signal  $\mathbf{f}$  from  $M < N$   
156 measurements (the vector  $\mathbf{y}$ ). Since  $M < N$ , this problem appears ill-conditioned. However, if  $\mathbf{f}$  is  
157  $K$ -sparse and the  $K$  locations of the nonzero coefficients in  $\mathbf{x}$  are known, then the problem can be  
158 solved provided  $M \geq K$  (Eldar and Kutyiok 2012). A sufficient condition for a stable solution for  
159 both  $K$ -sparse and compressible signals has been proposed and referred to as the restricted isometry  
160 property (RIP) (Candes and Tao 2005). This property essentially requires that every set of columns  
161 with cardinality less than  $K$  approximately behaves like an orthonormal system. An important result  
162 is that if the columns of the projection matrix  $\Phi$  are approximately orthogonal, then the exact  
163 recovery phenomenon occurs (Candes 2006).

164 In order to solve the reconstruction problem, fewer unknown coefficients are desired. This condition  
165 is referred to as incoherence. The coherence  $\mu$  measures the largest correlation between any two  
166 elements of  $\Psi$  and  $\Phi$  as:

167 
$$\mu(\Phi, \Psi) = \sqrt{N} \cdot \max_{1 \leq k, i \leq N} |\langle \phi_k, \psi_i \rangle| \quad (5)$$

168 It is demonstrated in Donoho and Huo (2001) that sufficiently small values of the incoherence  
169 between  $\Psi$  and  $\Phi$  guarantee the possibility of ideal atomic decomposition (Chen et al. 2001). The  
170 more incoherent, the fewer projection coefficients are needed (Candes 2006).

171 Both the RIP and incoherence conditions can be achieved with high probability by selecting  $\Phi$  as a  
172 random matrix (Candes and Tao 2005). It should be admitted that there are many other matrices  
173 suitable as projection matrices. But for simplicity, normally distributed random matrix is adopted for  
174  $\Phi$  in this study.

### 175 ***Problem formulation based on sparse representation***

176 In this study, the damage identification problem is transformed to an equivalent pattern classification  
177 problem, following the idea proposed by Yang et al. (2007). An important assumption is that when a  
178 new signal associated with unknown damage pattern is given, we should find a close pattern from  
179 the given data. Thus, the damage pattern of the new signal will be classified to a pattern provided by  
180 the given data, which leads to damage classification.

181 We assume that there are totally  $n$  signals with  $m$  damage patterns used as training examples (time

182 domain structural dynamic responses), provided that the experimental conditions are the same.  
 183 Then,  $n_j$  vectors  $\mathbf{v}_{j,1}, \mathbf{v}_{j,2}, \dots, \mathbf{v}_{j,n_j}$  are the features of the training data associated with damage pattern  
 184  $j$ . In this study, the features are calculated by transforming the time domain training data to the  
 185 frequency domain through Fast Fourier Transform (FFT).

186 For all the  $n$  signals ( $n = n_1 + \dots + n_m$ ), the feature matrix (like a dictionary in information retrieval  
 187 field) can be represented as:

$$188 \quad \mathbf{A} = [\mathbf{v}_{1,1}, \mathbf{v}_{1,2}, \dots, \mathbf{v}_{1,n_1}, \mathbf{v}_{2,1}, \dots, \mathbf{v}_{m,n_m}] \quad (6)$$

189 The feature  $\mathbf{v}$  of any new signal associated with damage  $j$  can be assumed to be represented as a  
 190 linear superposition of the training data associated with the same damage:

$$191 \quad \mathbf{v} = \alpha_{j,1} \mathbf{v}_{j,1} + \alpha_{j,2} \mathbf{v}_{j,2} + \dots + \alpha_{m,n_m} \mathbf{v}_{m,n_m} \quad (7)$$

192 where  $\alpha_{j,l}, l = 1, \dots, n_j$  are sparse representation scalars for identifying damage. Then,  $\mathbf{v}$ , the feature  
 193 of the new signal with damage pattern  $j$ , can be represented in terms of all the signals in the training  
 194 set as

$$195 \quad \mathbf{v} = \mathbf{A}\mathbf{z} \quad (8)$$

196 where  $\mathbf{z} = [0, \dots, 0, \dots, \alpha_{j,1}, \alpha_{j,2}, \dots, \alpha_{j,n_j}, 0, \dots, 0]^T$  is a coefficient vector whose entries are mostly zero  
 197 except those associated with damage pattern  $j$ . Thus,  $\mathbf{z}$  is mathematically sparse. Comparing Eq. (8)  
 198 with Eq. (1),  $\mathbf{A}$ ,  $\mathbf{v}$  and  $\mathbf{z}$  in Eq. (8) are essentially  $\Psi$ ,  $\mathbf{f}$  and  $\mathbf{x}$  in Eq. (1), respectively. Here, we  
 199 deliberately choose other symbols in order to emphasize that the meaning of  $\mathbf{A}$  in the proposed  
 200 method is the feature matrix, while  $\Psi$  represents a decomposition basis matrix. The meaning of  $\mathbf{v}$   
 201 and  $\mathbf{z}$  are the feature of the new signal and the coefficient vector, respectively, while  $\mathbf{f}$  and  $\mathbf{x}$  indicate  
 202 the signal in its original domain and transformed domain, respectively. The damage identification  
 203 problem is thus transformed into the problem to find the optimum  $\mathbf{z}$  associated with damage pattern  $j$   
 204 for the new signal feature  $\mathbf{v}$ .

205 It should be noted that in this study, the new feature vector is expressed as linear superposition of the  
 206 feature matrix  $\mathbf{A}$ , as shown in Eq. (7). This relationship has been demonstrated suitable for structural  
 207 damage identification in Sections of numerical studies and experimental verifications. More  
 208 complex relationships may perform better, while they will not be the contribution of this paper and  
 209 will be investigated in the near future.

210 ***Problem solution by using  $l_1$  optimization***

211 Traditionally, the solution of the formulated problem (Eq. (8)) is obtained by solving the following  
212 optimization problem (Candes et al. 2006a):

213 
$$(P_1) \quad \mathbf{z} = \arg \min \|\mathbf{z}\|_2 \quad \text{s.t.} \quad \mathbf{A}\mathbf{z} = \mathbf{v} \quad (9)$$

214 where  $\|\cdot\|_2$  is the  $l_2$ -norm of vector  $\mathbf{z}$ . However, the traditional  $l_2$  minimization will almost never find  
215 a  $K$ -sparse solution, returning instead a nonsparse  $\mathbf{z}$  with many nonzero elements (Baraniuk, 2007).  
216 Since we need to find the sparse solution for damage identification purposes, the direct use of Eq.  
217 (8) may not yield satisfactory results, as demonstrated by Yang et al (2007).

218 Recently, CS theory provides a solution by using  $l_1$  optimization (Chen et al 2001), as shown in the  
219 following.

220 First, a random projection matrix  $\Phi \in \mathbf{R}^{d \times m}$  can be applied to both sides of Eq. (8):

221 
$$\tilde{\mathbf{v}} = \Phi \mathbf{v} = \Phi \mathbf{A} \mathbf{z} = \tilde{\mathbf{A}} \mathbf{z} \quad (10)$$

222 where  $\tilde{\mathbf{A}}$  can be compared to  $\Theta$ , and  $\tilde{\mathbf{v}}$  can be compared to  $\mathbf{y}$  in Eq. (4). In fact, by multiplying  
223 both sides of Eq. (8) by the random projection matrix  $\Phi$ , the damage classification problem (to find  
224 an optimal  $\mathbf{z}$  in Eq. (10) based on  $\tilde{\mathbf{A}}$  and  $\tilde{\mathbf{v}}$ ) is finally transformed into a compressive sensing  
225 problem (to determine optimal  $\mathbf{x}$  in Eq. (4) based on  $\Phi$ ,  $\Psi$  and  $\mathbf{y}$  for reconstructing  $\mathbf{f}$ ).

226 In Eqs. (8) and (10), the representation of  $\mathbf{z}$  can be sparsely represented with respect to a dictionary  
227 of damage patterns if the number of damage patterns is reasonably large. Further, the selection of  
228 random projection matrix guarantees RIP and the incoherence. Therefore, the conditions of the  
229 current problem satisfy those of the CS problem.

230 Then, based on CS theory, the optimum  $\mathbf{z}$  can be found by solving the following problem  $P_2$ :

231 
$$(P_2) \quad \mathbf{z} = \arg \min \|\mathbf{z}\|_1 \quad \text{s.t.} \quad \|\tilde{\mathbf{v}} - \tilde{\mathbf{A}}\mathbf{z}\|_2 \leq \varepsilon \quad (11)$$

232 where  $\varepsilon$  indicate the error tolerance and  $\|\cdot\|_1$  is the  $l_1$ -norm.

233 It should be noted that there are many algorithms to solve  $P_2$ , while the widely applied  $l^1$ -MAGIC



234 optimization method (Candes and Romberg, 2005) is adopted. It should be also noted that  
 235 theoretically  $\varepsilon$  should be taken as zero. However, for computational efficiency, it is set as 0.001 in  
 236 this study (default value in  $l_1$ -MAGIC).

237 Ideally, the nonzero entries in the estimated vector  $\mathbf{z}$  will be associated with the columns in  $\tilde{\mathbf{A}}$  from  
 238 a single damage pattern. In this case, we can easily assign the new signal  $\mathbf{v}$  to that damage. However,  
 239 due to such factors as noise, the nonzero entries may be associated with multiple damages. The  
 240 classification method proposed by Yang et al (2007) is adopted in this paper. For each damage  
 241 pattern  $j$ , define that  $\delta_j(\mathbf{z})$  is a vector whose only nonzero entries are the entries in  $\mathbf{z}$  that are  
 242 associated with damage  $j$ , and whose entries associated with all other subjects are zero. Then,

$$243 \quad \mathbf{identity}(\mathbf{z}) = \mathbf{argmin}_j r_j(\mathbf{z}), \text{ where } r_j(\mathbf{z}) = \|\tilde{\mathbf{v}} - \tilde{\mathbf{A}}\delta_j(\mathbf{z})\|_2 \quad (12)$$

244 Here, identity of  $\mathbf{z}$  represents the identified damage class.

## 245 ***Damage identification scheme***

246 Based on the above discussions, the damage identification algorithm can be proposed as follows  
 247 (Yang et al. 2007):

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### Algorithm 1

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1. **Input:** the feature matrix  $\mathbf{A}$  for  $m$  damage patterns based on training data, the feature vector  $\mathbf{v}$  of a new signal, and an error tolerance  $\varepsilon$

2. Generate  $q$  random projection matrices  $\Phi^1, \dots, \Phi^q$ .

**for all**  $p=1, \dots, q$

3. Compute features  $\tilde{\mathbf{v}} = \Phi^p \mathbf{v}$  and  $\tilde{\mathbf{A}} = \Phi^p \mathbf{A}$ , and normalize the results

4. Solve the convex optimization problem ( $P_2$ )  $\mathbf{z} = \mathbf{argmin}\|\mathbf{z}\|_1$  **s.t.**  $\|\tilde{\mathbf{v}} - \tilde{\mathbf{A}}\mathbf{z}\|_2 \leq \varepsilon$  (Eq. (11))

5. Compute  $r_j^p(\mathbf{z}) = \|\tilde{\mathbf{v}} - \tilde{\mathbf{A}}\delta_j(\mathbf{z})\|_2$ , for  $j = 1, \dots, m$

**end for**

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6. For each damage pattern  $j$ ,  $E(r_j) = \mathbf{mean}\{r_j^1, \dots, r_j^q\}$

7. **Output:**  $\mathbf{identity}(\mathbf{z}) = \mathbf{argmin}_j E(r_j)$ .

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248

249 Although  $l_1$  optimization method should be stable when random matrix  $\Phi$  is used, it may affect the  
250 results to a very high degree. Since the computed results are close to the optimal solutions with an  
251 approximately 60-80% possibility, multiple random matrices are generated and the averaged result  
252 is used in this paper. This will largely improve the computation results. In order to get the balance of  
253 performance and computation duration,  $q$  is taken as 100 in this study.

254 Also, it should be noted that the performance of the proposed method depends on the selection of  
255 training data. Based on the above discussions, theoretically, the more features in the data training  
256 process, the better identification results. Since experimental data are always limited in practice, in  
257 order to fulfill this requirement, numerically simulated data will be used for training purposes in this  
258 paper. Although there are discrepancies between numerical and experimental results, the responses  
259 of a high-quality numerical model should indicate similar changes as those of the real structure due  
260 to damage. This will be demonstrated in section of experimental verifications.

261 In order to construct the feature matrix  $\mathbf{A}$ , damage patterns need to be defined first. In practice, there  
262 are infinite possible damage patterns, while this study classifies the damage in three levels. In the  
263 first level, several damage types may exist in one structure. For example, a RC beam may have  
264 crack damage, debonding damage, and corrosion damage, etc, and combinations of these damages.  
265 The effects of different damage types on structural responses will be different. In the second level,  
266 for each damage type, damage location becomes another classification factor. In the third level, for a  
267 specific damage type and a determined damage location, damage severity can be regarded as the last  
268 classification factor. This arrangement is coincident with Rytter's damage identification hierarchy  
269 (Rytter 1993), where the first three levels for damage identification are damage detection, damage  
270 location and damage assessment, respectively.

271 Based on the above discussions, CS based damage identification scheme can be proposed as shown  
272 in the following. The Algorithm 1 will be used repeatedly in the following three steps. In the first  
273 step,  $m_1$  damage types will be classified. In the second step,  $m_2$  damage locations can be identified.  
274 In the last step,  $m_3$  damage severities will be determined. By using the proposed method, damage  
275 information in different levels can be acquired orderly.

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## Algorithm 2: Damage identification scheme

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**Input:** the feature matrix  $\mathbf{A}$  based on all the training data, a feature vector  $\mathbf{v}$  from a new signal

Step 1

- a.  $\mathbf{A}$  is classified as  $m_1$  damage patterns based on **damage types**
- b. Perform Algorithm 1
- c. **Output:** the identified damage type for  $\mathbf{v}$

Step 2

- a.  $\mathbf{A}$  is classified as  $m_2$  damage patterns based on **damage locations**
- b. Perform Algorithm 1
- c. **Output:** the identified damage location for  $\mathbf{v}$

Step 3

- a.  $\mathbf{A}$  is classified as  $m_3$  damage patterns based on **damage severities**
  - b. Perform Algorithm 1
  - c. **Output:** the identified damage severity for  $\mathbf{v}$
- 

## 276 Numerical studies

277 In order to demonstrate the effectiveness of the proposed method, this paper will present two case  
278 studies on a complex pipe-soil model. In the first case, only pipe degradation damage is considered,  
279 while in the second one, both pipe damage and free-spanning damage are investigated. In each case,  
280 the training process is presented first. Then, the proposed method is applied to damage identification  
281 under noise free condition. At last, damage identification under different assumed noise levels is  
282 performed.

### 283 *Numerical model*

284 In this study, vibration responses of a pipe-soil model in the impact hammer test will be simulated

285 with commercial software ANSYS. In Wang et al. (2010), an FE model for this system is described  
286 in detail, as shown in Figure 1. The steel pipe is model as a beam and the soil under the pipe is  
287 modeled as distributed springs. In this model, the pipe is divided into 16 parts and a total of 16  
288 springs under each part are considered. The concrete blocks at two ends of the pipe are simulated as  
289 two rotational springs. Through experimental calibration (Wang et al., 2010), the geometrical and  
290 material properties of this system are obtained and summarized in Table 1.

291 Based on the calibrated FE model, the impact test is simulated in ANSYS and the vibration  
292 responses can be easily obtained. In this study, to demonstrate the effectiveness of the CS based  
293 method, only the response at one point is used, meaning that only one sensor is required for damage  
294 identification. In order to match the same condition as the experiments (section of experimental  
295 verification), the hitting point is located at  $0.19*L$  ( $L$  is the total length of the beam) and the sensing  
296 point is located at  $1/8*L$  (the second accelerometer detailed in section of experimental verification).

297 Two damage types are considered in this section, namely degradation of the pipe and free-spanning  
298 damage (loss of the soil support). For pipe damage, damage severity  $\theta_p$  is defined as the pipe  
299 stiffness ratio after and before damage, and damage location  $L_p$  is the number of pipe element. For  
300 free-spanning damage, damage severity  $\theta_s$  is defined as the ratio of the stiffness of the soil support  
301 after and before damage, and damage location  $L_s$  is the soil spring number.

302 In calculations, each time domain numerical simulation result under various conditions is  
303 transformed into the frequency domain through FFT first. Then, based on the damage patterns  
304 defined in subsection of damage identification scheme, the frequency domain results are classified to  
305 construct the feature matrix **A**.

### 306 ***Case 1: Pipe degradation damage***

307 In this case, only pipe degradation damage is considered. Therefore, only the last two steps in  
308 subsection of damage identification scheme will be performed, namely damage location and  
309 assessment. The pipe includes 16 segments, so  $m_2=16$ . In reality, the degradation damage will not be  
310 very high, so we only consider that the stiffness ratio varies from 0.5 (50% damage) to 0.9 (10%  
311 damage). The increment is taken as 0.1, and thus  $m_3=5$ . The damage assessment will thus be within  
312 the precision of 10%. Totally, there are 80 damage cases. Numerical simulations are performed for  
313 these cases as well as the intact structure case. Therefore, the training data include 81 structural  
314 responses, related to 80 damage cases and 1 intact case.

315 Damage identification can be realized in steps 2 and 3. In Step 2, 80 damage cases (**A**) are classified

316 into 16 categories. The cases in each category have the same damage location but different damage  
317 severities. The classification results based on the proposed algorithm will lead to damage location.  
318 In Step 3, there are two options, which are 1) the five damage cases with the identified damage  
319 location are selected and then divided into five patterns based on their damage severities; 2) the total  
320 80 damage cases are divided into five patterns with the same damage severity but different damage  
321 locations. The comparison results will be given in the following. The classification results after this  
322 step will identify damage severities.

### 323 **Damage identification under noise free condition**

324 This section focuses on damage identification under noise free condition. The simulated data with  
325 randomly selected degradation damage ( $L_p = 13; \theta_p = 0.54$ ) are used as the first example for  
326 damage identification. The second example is  $L_p = 4; \theta_p = 0.82$ .

327 The classification results are summarized in Table 2. In each damage case, the proposed algorithm is  
328 performed for three times. It can be seen that although random projection matrices are adopted in  
329 this study, the classification results are stable. Specifically, the right damage location can be  
330 accurately identified in Step 2. In Step 3, the closest damage severity result can be found by  
331 choosing the first option. However, if we choose the second option by disregarding the information  
332 that has been acquired in Step 2, the classification results become unstable. The results indicate the  
333 importance of damage location information. Therefore, in the following, the first option is selected.

334 In order to find more accurate results, finer damage severity increment can be considered. In this  
335 example, the increment is taken as 0.01 and the stiffness ratio varies from 0.50 to 0.99. Thus,  $m_3=50$ .  
336 Since damage location has been determined, only 50 damage cases with known damage locations  
337 but varying damage severities need be simulated. Based on these simulated results, the proposed  
338 method can successfully identify the exact pipe degradation damage for above two cases,  
339 specifically,  $L_p = 13; \theta_p = 0.54$  and  $L_p = 4; \theta_p = 0.82$ . This demonstrates that finer damage  
340 severity increments and/or more segments will give more damage patterns and higher precision  
341 levels. In engineering practices, more training data can be simulated and thus more accurate results  
342 can be obtained. However, the objective of this study is to demonstrate the effectiveness of the  
343 proposed method. Therefore, in the following, the segments are still taken as 16 and the stiffness  
344 ratio increment stays 0.1.

345 In fact, theoretically, with sufficient training data, the proposed method can achieve similar updating  
346 results as the traditional FE model updating method, namely damage location and severity. The most

347 obvious advantage of the proposed method is that it only requires one measurement point. Under  
348 this condition, the traditional vibration based methods can only acquire part of the natural frequency  
349 information. In order to get high-quality damage identification results, the information of mode  
350 shapes are usually needed, which can only be achieved by using more measurement points.

351 The second advantage of the proposed method is the computational efficiency. The training data can  
352 be easily obtained from FE modeling and transformed to the frequency domain. The damage  
353 identification algorithm itself does not need to be changed. On the contrary, methods using ANN and  
354 other intelligent algorithms need be trained case by case. Also, the traditional FE model updating  
355 methods need to calculate the structural responses using FE models in each iteration, while the  
356 proposed method only needs computation of sparse matrices. These imply that the proposed method  
357 can save lots of computation time for damage identification.

358 The advantages of the proposed method indicate that it is more suitable for continuous online  
359 structural monitoring than the existing method as it is computationally more efficient and requires  
360 measurement at only one point.

### 361 **Damage identification under different noise levels**

362 In order to further demonstrate the effectiveness of the proposed method for practical application, it  
363 is used for damage identification using vibration data smeared with noise of different levels. The  
364 same two simulated damage cases are considered, specifically, ( $L_p = 13; \theta_p = 0.54$ ) and ( $L_p =$   
365  $4; \theta_p = 0.82$ ). The numerically simulated vibration data are smeared with white noises. Three noise  
366 levels (in terms of the ratio of mean value of noise to signal) are considered, namely 1%, 5% and  
367 10%. The normally distributed noises are added to the original signal.

368 The identified results are given in Table 3. As can be noted, the proposed algorithm correctly  
369 identifies the damage locations and very closely identifies the damage severity with the data  
370 smeared with noises of three levels. The results demonstrate that even under relatively high noise  
371 levels (10%) the proposed method is still robust and effective. The reason is that we set  $q$  as 100,  
372 which minimizes the effects of random noises by averaging the results.

### 373 **Damage identification at multiple locations**

374 In the above two examples, the proposed method is used to identify only one damage in the  
375 structure. To further demonstrate the method, it is used for identification of multiple pipe  
376 degradation damages. Three cases are considered in this section. First, the simulated damages are

377 assumed at  $L_p = 3$  with  $\theta_p = 0.73$  and  $L_p = 11$  with  $\theta_p = 0.88$ . Using the proposed scheme, the  
378 damage is exactly located at  $L_p = 3$  and its severity is approximately estimated as  $\theta_p = 0.7$ .  
379 However, the second damage at  $L_p = 11$  with  $\theta_p = 0.88$  is not identified. In the second example,  
380 the simulated damages are assumed at  $L_p = 4$  with  $\theta_p = 0.93$  and  $L_p = 12$  with  $\theta_p = 0.68$ . Using  
381 the proposed scheme, again only the severer damage is identified with the identification result of  
382  $L_p = 12$  and  $\theta_p = 0.7$ . In the third example, three simulated damages are assumed at  $L_p =$   
383  $3$  with  $\theta_p = 0.68$ ,  $L_p = 4$  with  $\theta_p = 0.88$  and  $L_p = 11$  with  $\theta_p = 0.72$ . Using the proposed  
384 scheme, again only the most severe damage at  $L_p = 3$  is identified with the identified severity of  
385  $\theta_p = 0.6$ . These three examples indicate that the proposed damage identification scheme can only  
386 find the most severe one among the damages, but failed to identify the less severe damages in the  
387 structure, Further, as can be noted in the above three examples, the identification results tend to  
388 overestimate the damage severity. Similar observations can be made if multi damages have the same  
389 damage severities. For example, assuming two damages at  $L_p = 13$ ;  $\theta_p = 0.82$  and  $L_p = 4$ ;  $\theta_p =$   
390  $0.82$ , using the above analysis, only one damage at  $L_p = 3$  with the severity of  $\theta_p = 0.8$  is  
391 identified.

392 In order to identify all the damages, multiple identification steps are proposed. Irrespective of the  
393 number of damages in a structure, use the above proposed approach to perform the first step  
394 analysis, which will lead to successful identification of the most severe damage in the structure.  
395 Then more numerical simulations of the structure with the identified damage in the structure will be  
396 carried out. In the second step numerical simulations, same approach as described above is used,  
397 except that the damaged element that has already been identified in the first step is excluded and the  
398 damage severity is assumed smaller than or equal to the one identified in the first step. For example,  
399 in the above first example, the identified damage in the first step is  $L_p = 3$  with a severity of  $\theta_p =$   
400  $0.73$ , then in the second step numerical simulations, only damages in 15 elements ( $L_p =$   
401  $1, 2, 4, 5 \dots, 16$ ) excluding element 3, and damage severity of  $\theta_p = 0.7, 0.8, 0.9$  will be simulated. 45  
402 damage cases will be included into the training data in the second step. Using the data set from the  
403 second step numerical simulations and the same approach, the second damage is successfully  
404 located at  $L_p = 11$  and its severity is estimated as  $\theta_p = 0.9$ . This approach can be repeated again to  
405 identify the next smaller damage in the structure in the next step analysis until there is no damage in  
406 the structure. The results demonstrate that the proposed multi-step damage identification method is  
407 robust to identify multiple damages in a structure.

## 408 **Case 2: Multiple types of damage**

409 The second case will focus on identifying multiple types of damages on the pipe-soil system. Two  
410 damage types, namely damage on pipe and damage on soil spring supports, are considered in this  
411 study. In this case, damage identification is realized in three steps and  $m_1=2$ . There are 16 pipe  
412 segments and 16 soil springs as illustrated in Figure 1, so  $m_2=16$  for both damage types. For the  
413 same reasons as stated in subsection of Case 1: Pipe degradation damage, the stiffness ratio for pipe  
414 degradation damage considered in numerical simulations are ranged from 0.5 to 0.9 and the  
415 increment is taken as 0.1. Thus,  $m_3=5$  for pipe damage. For free-spanning damage, the severities are  
416 valued from 0.0 to 0.9 in numerical simulations. Therefore, for this kind of damage,  $m_3=10$  if the  
417 increment is taken as 0.1. Totally, there are 240 damage cases. Numerical simulations are performed  
418 for these cases as well as the intact structure case.

### 419 **Damage identification under noise free condition**

420 This section focuses on damage identification under noise free condition. The simulated data with an  
421 assumed pipe damage ( $L_p = 3; \theta_p = 0.86$ ) and free-spanning damage ( $L_s = 11; \theta_s = 0.82$ ) are used  
422 in damage identification analysis. As described above, the multi-step approach used to identify  
423 multiple damages is adopted here to identify multiple types of damage. In the analysis, the pipe  
424 damage is identified first, followed by the free-spanning damage.

425 The identification results are summarized in Table 4. To demonstrate the independence of the  
426 method on random generations of matrices as described in subsection of damage identification  
427 scheme, the identification analyses are performed for three times, indicated as No. 1, 2 and 3 with  
428 three sets of independently generated random matrices. As shown in Table 4, irrespective of the  
429 random matrices, in Step 1, the damage types can be easily identified by using the proposed method  
430 for both damage cases. In Step 2, the right damage locations are also correctly identified for both  
431 types of damage. In Step 3, the damage severities are also approximately identified, and the  
432 identification results are almost independent of the randomly generated matrices. These results  
433 demonstrate the accuracy and efficiency of the proposed method in identifying multiple types of  
434 damages using noise free data measured at a single location.

### 435 **Damage identification under different noise levels**

436 In order to further demonstrate the effectiveness of the proposed method in practical applications, it  
437 is used for damage identification under different noise levels. The same two simulated damage cases



438 are selected, specifically, ( $L_p = 3; \theta_p = 0.86$ ) and ( $L_s = 11; \theta_s = 0.82$ ). Three noise levels are  
439 considered, namely 1%, 5% and 10%. The results are given in Table 5. As shown, under different  
440 noise levels, the pipeline damage is successfully identified even under 10% noise. The free-spanning  
441 damage location is also correctly identified under the three assumed noise levels, but the damage  
442 severity is only correctly identified when the noise level is 1%. When the noise level is 5% or more,  
443 the free-spanning damage severity is significantly overestimated. The reason is that the influence of  
444 free-spanning stiffness in such a small area on pipeline vibration is insignificant since pipe itself is  
445 stiffer than soil. The effect of reducing the soil stiffness by 18% in a short span on pipe vibrations is  
446 overshadowed by the influences of noise. Nonetheless, the results demonstrate that the proposed  
447 algorithm is robust even under high noise levels in identifying structural damages by using only a  
448 single measurement.

## 449 **Experimental verifications**

### 450 ***Experimental setup and test results***

451 To further verify the reliability of the proposed method, a scaled pipeline model was designed and  
452 tested in the laboratory. It is a 6.5 m long steel pipe. Two concrete blocks, weighing 19 kg  
453 respectively, were placed 100 mm from each end of the pipeline. The pipe was partially filled with  
454 water. The model is shown in Figure 2. The geometrical and material properties of the pipe and soil  
455 are summarized in Table 1. It should be noted that the soil under the pipeline was manually  
456 compacted before the pipe was laid. Once the pipeline model was placed on the ground, the pipe  
457 was half buried into the soil. Again, the soil was manually compacted and left to settle for a few  
458 months before the experimental testing was carried out.

459 The impact hammer tests were carried out with Dytran 5802A impact hammer and 15 KISTLER  
460 8330 accelerometers. Fifteen measuring points, showed in Figure 3, were evenly distributed along  
461 the pipe. The impact point is located at  $0.19L$  of the pipe to avoid a node of the interesting modes.  
462 The sampling rate is 2000 Hz. 20480 points are recorded for each channel.

463 The intact pipe-soil system was tested first. Then, the soil under the third segment was removed,  
464 which is used to simulate the system with complete (100%) spring damage ( $L_s = 4; \theta_s = 0$ ). It  
465 should be noted that in the test, it is difficult to control the foundation spring stiffness, therefore only  
466 the complete removal of the soil underneath the certain pipe segment to simulate free-spanning  
467 damage is carried out. For both the undamaged and damaged cases, the test is repeated 6 times and  
468 the averaged records are used in the analysis to minimize the noise/error effects. More detailed

469 results on modal parameters can be found in Wang et al. (2010). It should be noted that the  
470 information of all the 15 sensors need be used to get the modal parameters, while the method  
471 proposed in this study only requires the signals measured at one accelerometer. The comparison of  
472 the acceleration time histories on accelerometer 2 with and without free-spanning damage is shown  
473 in Figure 4, which do not show apparent differences under two circumstances.

#### 474 ***Damage identification by using the proposed method***

475 In this section, the recorded acceleration time histories with and without free-spanning damage are  
476 used for experimental verification of the proposed method. The similar training data based on pipe-  
477 soil system with different damage scenarios are used in damage identification. In order to regulate  
478 the data, the tested data are reshaped into 1000 Hz; the duration is taken as 1 second; and the  
479 amplitude is scaled to the same level as the training data. Although intuitively the time histories of  
480 the experimental results and numerical results used to train the model are different, the proposed  
481 method still correctly identifies the damage type in Step 1. In Step 2, the exact damage location can  
482 be identified. In Step 3, the spring damage is quantified as 0.2, which is close to the real damage  
483 parameter of 0 after completely removing the soil beneath the pipe. It should be noted that improved  
484 identification results, i.e., the damage severity, can be obtained by using more refined numerical  
485 models and more training data. However, even by using limited training data based on the simplified  
486 FE model, the proposed damage identification scheme is capable of identifying the damage location  
487 exactly and severity approximately by using only a single measurement, demonstrating the  
488 superiority of the method for application in structural health monitoring.

#### 489 ***Discussions***

490 It is worth noting that the formulated problem (Eq. (8)) can be classified into three categories,  $m = n$ ,  
491  $m < n$  or  $m > n$ . When  $m = n$ , the solution is unique if  $A$  is of full rank matrix. If it is over-  
492 determined ( $m > n$ ), the problem is traditionally solved through Eq. (9), as a standard least squares  
493 problem. Unfortunately, in the presence of data noise (which is unavoidable in civil engineering  
494 practices), such solution may not be perfectly found (Wright et al. 2009). As for under-determined  
495 case ( $m < n$ ), theoretically there would be many solutions and we need to find the sparsest solution  
496 for pattern recognition purposes. In this paper, the general cases are considered, where a solution  
497 should work on all the above cases. Therefore, the damage identification problem is finally  
498 formulated as Eq. (10), by introducing a random matrix to the linear system (Eq. (8)). The benefits  
499 are two-folded. First, the linear system in Eq. (8) is usually high-dimensional. The direct solution is  
500 computationally inefficient and may beyond the capability of regular computers (Wright et al. 2009).

501 The introduction of random matrices can effectively reduce data dimension and computational cost.  
502 Second, the robustness of the algorithm can be achieved. CS is well-known for its stable signal  
503 recovery capability with incomplete and inaccurate measurements (Candes et al., 2006b). By  
504 introducing random matrices which satisfy RIP and incoherence conditions, the identification via  $l_1$   
505 optimization becomes robust.

506 In this study, numerically simulated training data are used for structural damage identifications  
507 based on numerical simulated (Section of numerical studies) and experimental measured data  
508 (Section of experimental verifications). The results demonstrate that the proposed method is robust  
509 to the modeling errors and measurement noises. Although only a simple pipe-soil model is used in  
510 this study to demonstrate the efficiency of the method, it can be used to identify conditions of  
511 complex structures. The challenge of applying the method to complex large-scale civil structures is  
512 the time needed to perform numerical simulation of the damage cases for training the model. In fact,  
513 it may be not practical to build a high-quality numerical model and then to conduct parametric  
514 studies for all the possible damage patterns for a large civil structure. In these cases, sub-structuring  
515 method might be adopted. A numerical model with only substructures should be built to define the  
516 damage patterns, i.e., the damage type, location and severity to identify damages in the substructure.  
517 This procedure can be applied progressively to cover all the structural parts with possible damages.  
518 However, application of this approach to identify damages in a large structure is out of the scope of  
519 the present paper and may be explored in the future.

520 It should be also noted that the structural responses of only one sensor (sensor 2) are used for  
521 damage identification in this study. Theoretically, the data from other sensors should yield similar  
522 identification results, while the data from more sensors will yield even better results. However, these  
523 will require more training data. More parametric studies should be done as shown in subsection of  
524 numerical model. These works will be done in the future.

## 525 **Conclusions**

526 This paper proposes a new damage identification scheme based on sparse representation of time  
527 domain structural responses and CS techniques. After briefly introducing CS theory, the structural  
528 damage identification problem is shaped into sparse representation based pattern classification  
529 problem. To solve this problem, a feature matrix is first constructed based on the sparse  
530 representation results of time domain structural responses. Then, a three-step damage classification  
531 algorithm by using  $l_1$ -MAGIC is proposed. The effectiveness of the proposed method is  
532 demonstrated by both numerical and experimental examples. Based on the results, the following

533 conclusions can be drawn:

- 534 1. Demonstrated by both numerical and experimental verification results, the proposed CS  
535 based damage identification scheme is robust. It can identify multiple types of damages,  
536 damage locations and severities even under high noise levels with minimum numbers of  
537 vibration measurements. Therefore, it is suitable for online damage identification of civil  
538 infrastructure.
- 539 2. Compared with traditional methods, the proposed scheme requires less information, i.e.,  
540 vibration time history of one point on the structure can yield good identification results.
- 541 3. The proposed damage identification scheme has shown great application potential. Case  
542 studies of practical structures will be performed in the near future.

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626

627 **Notation**

<b>A</b>	The feature matrix
$\tilde{\mathbf{A}}$	Rearranged feature matrix
$D_i$	Inner diameter of the pipe
$D_o$	Outer diameter of the pipe
$E$	Young's Modulus of the pipe
$E(r_j)$	The average difference between feature of new signal and $\delta_j(\mathbf{z})$
<b>f</b>	Signal vector
$i$	Counting number from 1 to $N$
$j$	The $j^{\text{th}}$ Damage pattern
$K$	Number of (sparse) basis vectors
$k$	Counting number from 1 to $M$
$K_s$	Stiffness of soil (per element 0.0742m)
$K_r$	Rotational stiffness of two concrete blocks
$L$	Total length of beam
$l$	Counting number from 1 to $n_j$
$L_n$	Length of the pipe
$L_p$	Pipe damage location
$L_s$	Soil support damage location
$M$	Dimension of measurement vector
$m$	Number of damage patterns
$m_1$	Number of damage types
$m_2$	Number of damage locations
$m_3$	Number of damage severities
$N$	Dimension of signal vector
$n$	Total number of signals/features
$n_j$	Number of signals associated with damage pattern $j$
$p$	Counting number from 1 to $q$
$q$	Number of random projection matrices
$r_j^p(\mathbf{z})$	The residual between feature of new signal and $\delta_j(\mathbf{z})$ using $p^{\text{th}}$ random matrix, as shown in Eq. (12)
$t$	Thickness of the pipe



$\mathbf{v}_{j,l}$	The $l^{\text{th}}$ feature of the training data associated with damage pattern $j$
$\tilde{\mathbf{v}}$	Rearranged feature vector for new signal
$\mathbf{x}$	Weighting coefficients
$\mathbf{y}$	Measurement vector
$\mathbf{z}$	The coefficient vector whose entries are mostly zero except those associated with damage pattern $j$
$\alpha_{j,l}$	Sparse representation scalars
$\delta_j(\mathbf{z})$	The vector whose only nonzero entries are the entries in $\mathbf{z}$ that are associated with damage $j$ , and whose entries associated with all other subjects are zero.
$\varepsilon$	Error tolerance for $l_1$ optimization
$\rho$	Density of the pipe
$\rho_w$	Water density (equal to steel area)
$\Phi$	Projection matrix
$\Theta$	An $M \times N$ matrix
$\theta_p$	Pipe damage severity
$\theta_s$	Soil support damage severity
$\mu$	Coherence measurement
$\Psi$	Decomposition basis

629 **Tables**

630 Table 1. Pipeline Properties (data from Wang et al., 2010)

Parameters	Description	Value	Units
$L_n$	Span length	5936	<i>mm</i>
$D_o$	Outer diameter of the pipe	48.3	<i>mm</i>
$D_i$	Inner diameter of the pipe	41.9	<i>mm</i>
$t$	Thickness of the pipe	3.2	<i>mm</i>
$E$	Young's Modulus of the pipe material	200	<i>GPa</i>
$\rho$	Density of the pipe material	7850	<i>kg/m<sup>3</sup></i>
$\rho_w$	Water density (equal to steel area)	2630	<i>kg/m<sup>3</sup></i>
$K_s$	Stiffness of soil (per element 0.0742m)	7035	<i>N/m</i>
$K_r$	Rotational stiffness of two concrete blocks	$8.189 \times 10^4$	<i>Nm/rad</i>

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Table 2. Summary of damage identification results under noise-free condition

Case	No.	Option 1	Option 2
$L_p = 13; \theta = 0.56$	1	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.8$
	2	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.9$
	3	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.6$
$L_p = 4; \theta = 0.82$	1	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.8$
	2	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.7$
	3	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.9$

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Table 3. Summary of damage identification results under different noise levels

Case	No.	1% noise	5% noise	10% noise
$L_p = 13;$	1	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.6$
	2	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.6$
$\theta = 0.56$	3	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.6$	$L_p = 13; \theta = 0.6$
$L_p = 4;$	1	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.8$
	2	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.8$
$\theta = 0.82$	3	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.8$	$L_p = 4; \theta = 0.8$

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Table 4. Summary of damage identification results with multiple types of damages

Case	No.	Step 1: Damage type	Step 2: Damage location	Step 3: Damage severity
$L_p = 3; \theta_p = 0.86$	1	Pipe	$L_p = 3$	$\theta_p = 0.9$
	2	Pipe	$L_p = 3$	$\theta_p = 0.9$
	3	Pipe	$L_p = 3$	$\theta_p = 0.9$
$L_s = 11; \theta_s = 0.82$	1	Spring	$L_s = 11$	$\theta_s = 0.8$
	2	Spring	$L_s = 11$	$\theta_s = 0.9$
	3	Spring	$L_s = 11$	$\theta_s = 0.8$

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Table 5. Summary of damage identification results with multiple types of damages under different noise levels

Case	Noise level	Step 1: Damage type	Step 2: Damage location	Step 3: Damage severity
$L_p = 3; \theta_p = 0.86$	1%	pipe	$L_p = 3$	$\theta_p = 0.9$
	5%	pipe	$L_p = 3$	$\theta_p = 0.9$
	10%	pipe	$L_p = 3$	$\theta_p = 0.9$
$L_s = 11; \theta_s = 0.82$	1%	spring	$L_s = 11$	$\theta_s = 0.9$
	5%	spring	$L_s = 11$	$\theta_s = 0.5$
	10%	spring	$L_s = 11$	$\theta_s = 0.4$

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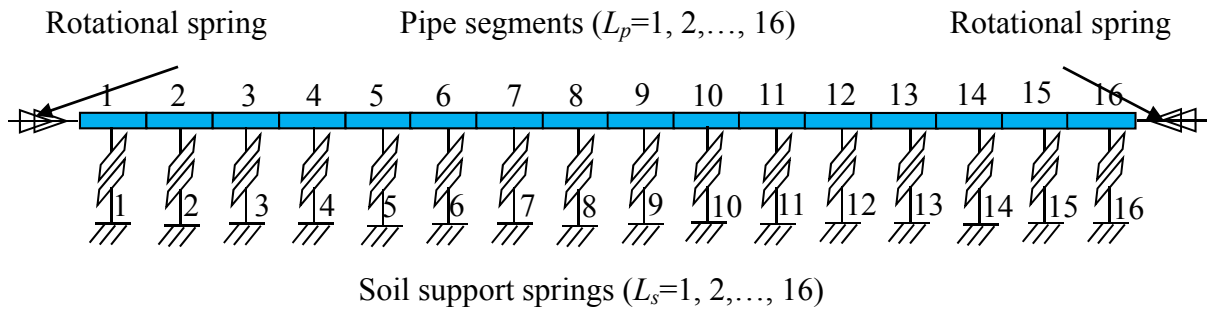


Figure 1. Simplified pipe-soil interaction finite element model



Figure 2. Pipeline test model



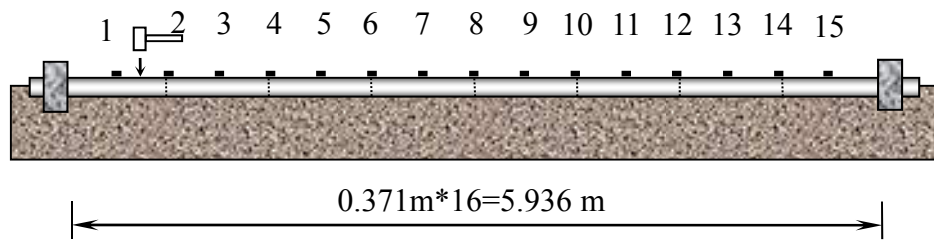
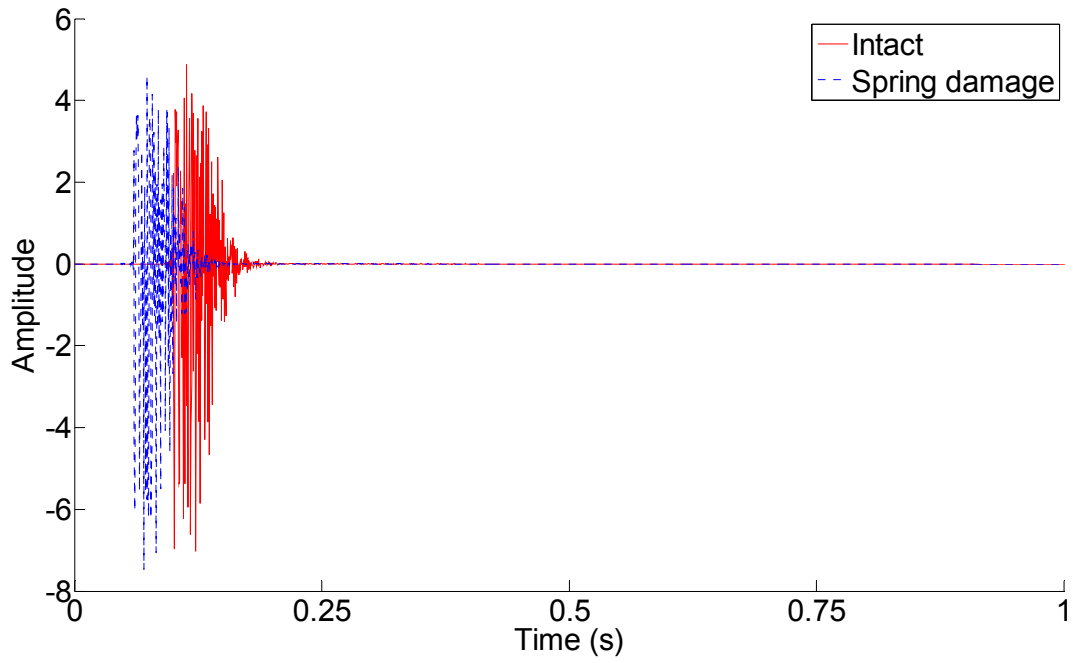
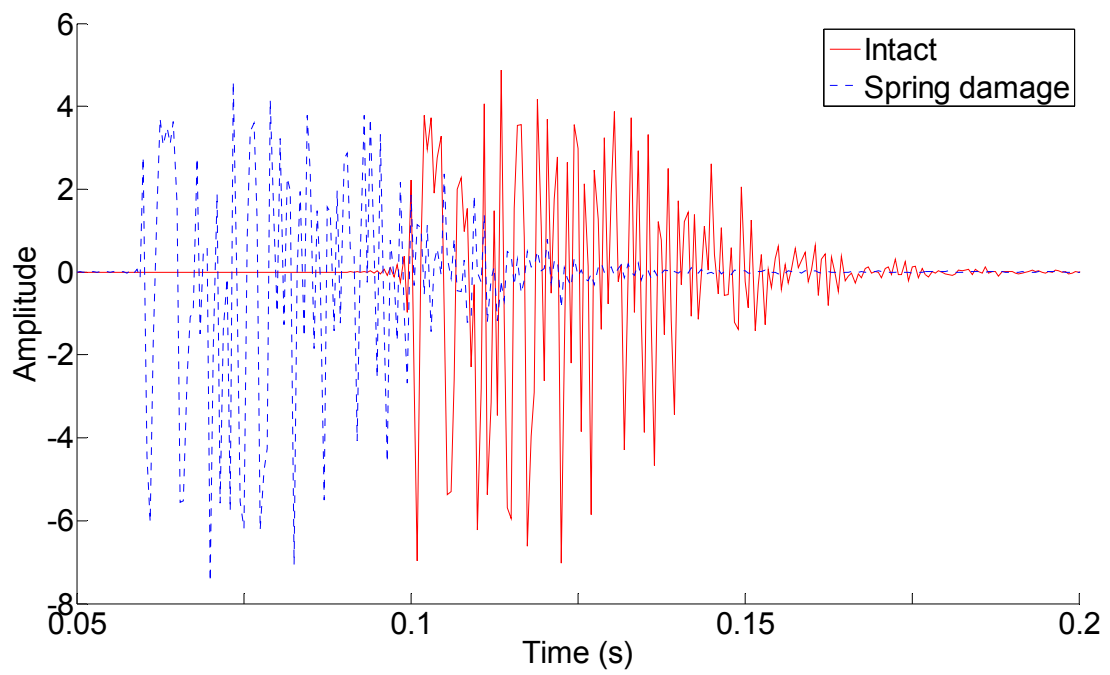


Figure 3. Locations of measurement points



a) Overview



b) Detailed plot

Figure 4. Acceleration time histories of sensor 2