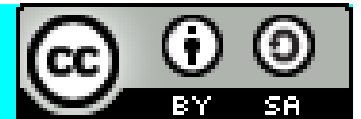


# Data Structures and Algorithms

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# Course information

- Luciano Bononi  
`bononi@cs.unibo.it`  
`http://www.cs.unibo.it/~bononi/`
- Lessons
  - Monday 9.00-13.00
  - Friday 9.00-13.00
  - Some variations scheduled (see detailed calendar)
- To talk with me
  - Always drop me an email before to define a date/hour.
  - My office: Mura Anteo Zamboni 7, office T08

# General information

# Course website

- <http://www.cs.unibo.it/~bononi/>
  - > Courses > Data Structures and Algorithms A.A. 2011/2012
- Will find:
  - **General information**
  - Lesson slides
  - exercises
  - Links and recommended readings
  - Exam preparation material
- Also check RSS and news on the website:

<http://www.unibo.it/SitoWebDocente/default.htm?upn=luciano.bononi%40unibo.it>

<http://www.unibo.it/SitoWebDocente/default.htm?upn=luciano.bononi%40unibo.it&TabControl1=TabAvvisi>

Today I will collect your names for a mailing list

# Recommended readings

Alfred V. Aho, Jeffrey D. Ullman, John E. Hopcroft, Data Structures and Algorithms, Addison Wesley, 1983.

Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest, Clifford Stein, Introduction to Algorithms, McGraw-Hill, 2001.

Donald E. Knuth, The Art of Computer Programming, Volumes 1-3, Addison-Wesley Professional, 1998.

S.B. Kishor, Data Structures, Edition 3, Das Ganu Prakashan, Nagpur, 2008.

Further information, books, and material will be provided as a Web reference.

# Exam

- Written exam
- Oral exam
- 
- Dates will be agreed by using the mailing list.

# Algorithms and Data Structures



# What is an algorithm?

- A algorithm is a procedure to resolve a problem by means of a finite sequence of basic atomic steps.
- The procedure must be defined in a not ambiguous and accurate way to be executed automatically
- The name comes from a Persian mathematician **Abu Ja'far Muhammad ibn Musa Khwarizmi**
  - Author of the first reference algebraic text
  - A Moon crater is dedicated to him



# Algorithm vs Program

- A **algorithm** describes (at high level) a computation procedure which when executed produces a result.
- A **program** is the implementation of a algorithm by means of a programming language
  - A program can be executed on a computer (creating a process under execution); an algorithm cannot be executed as is in a natural form.

# Algorithms are everywhere!

- **Internet**. Web search, packet routing, distributed file sharing.
- **Biology**. Human genome project, protein folding.
- **Computers**. Circuit layout, file system, compilers.
- **Computer graphics**. Movies, video games, virtual reality.
- **Security**. Cell phones, e-commerce, voting machines.
- **Multimedia**. CD player, DVD, MP3, JPG, DivX, HDTV.
- **Transportation**. Airline crew scheduling, map routing.
- **Physics**. N-body simulation, particle collision simulation.
- ...

# Why we're studying algorithms?

[Web](#) [Images](#) [Videos](#) [Maps](#) [News](#) [Shopping](#) [Gmail](#) [more ▼](#)

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Google maps

Find businesses, addresses and places of interest. [Learn more.](#)

Search Maps

[Show search options](#)

[Get Directions](#) [My Maps](#)

A milano, italy

B napoli, italy

[Add Destination - Show options](#)

By car

Get Directions

Driving directions to Naples, Italy

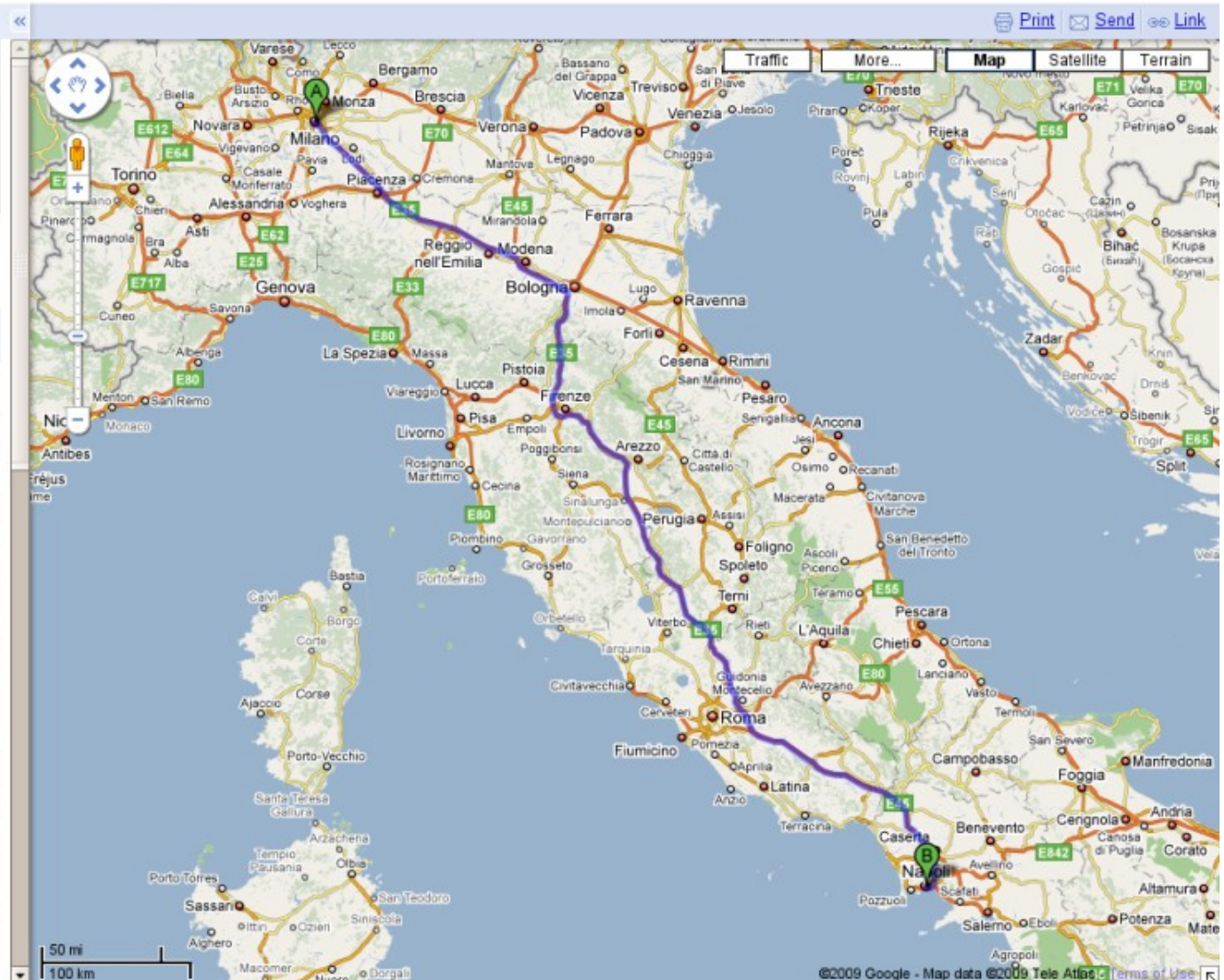
[Suggested routes](#)

<b>A1</b>	<b>7 hours 9 mins</b>
774 km	
<b>A14</b>	<b>9 hours 36 mins</b>
936 km	



Milan  
Italy

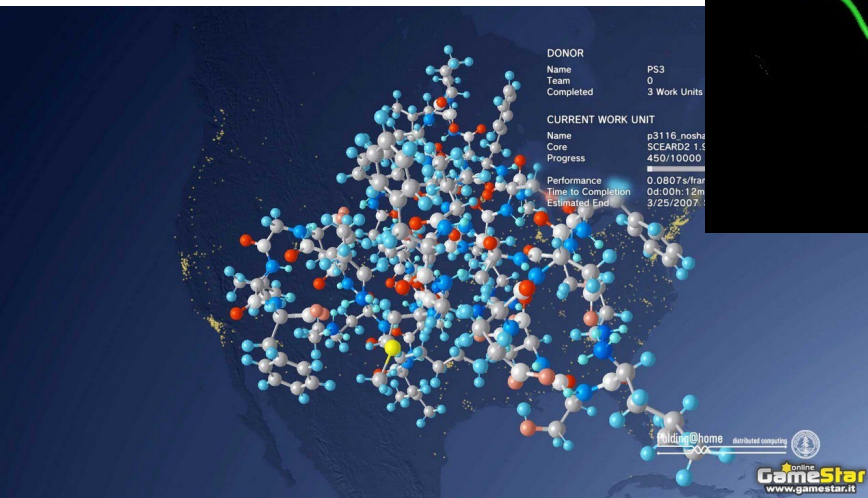
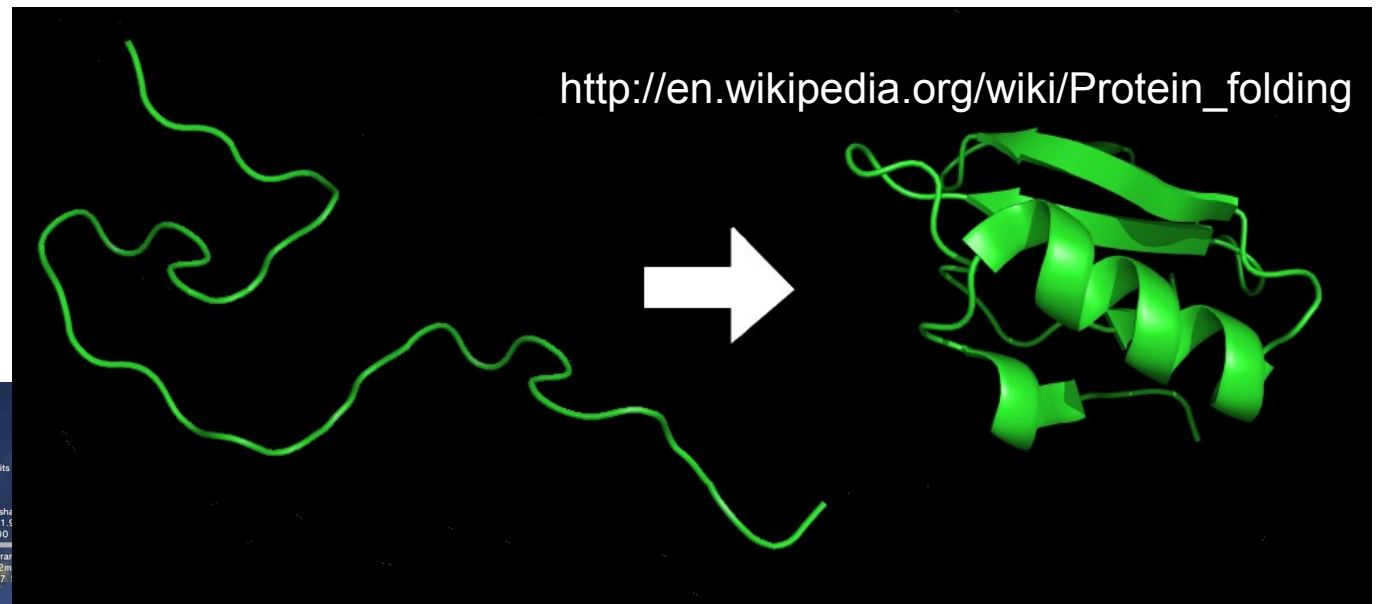
1. Head **southwest** on **Via Torino** toward **Via Spadari** 0.6 km
2. Continue on **Carrobbio** 66 m
3. Continue on **Via Cesare Correnti** 0.3 km
4. Turn **left** at **Via dei Fabbri** 0.1 km
5. Take the 1st **right** onto **Via Edmondo De Amicis** 26 m
6. Take the 1st **left** to stay on **Via Edmondo De Amicis** 0.1 km
7. Continue on **Via Molino delle Armi** 0.5 km
8. Continue on **Via Santa Sofia** 0.5 km
9. Turn **right** at **Corso di Porta Romana** 0.3 km
10. Continue straight onto **Largo della Crocetta** 10 m
11. Slight **right** at **Corso di Porta Romana** 0.6 km
12. Continue on **Piazza Medaglie d'Oro** 93 m  
Leaving toll zone





# Why WE're studying algorithms?

- e.g. A protein 3D structure is determined by interactions of aminoacids.
- Some health issues generated by wrong folding, to be studied.
- Folding@Home



# Algorithms again?

- Hide rendering surfaces, gaming, physical simulation, etc.



# Why to care about algorithms?

- Algorithms provide advantages
  - An efficient algorithm is often the difference between being or being not able to solve a problem with the given resources
- Many algorithms we will see were invented by students!
- Algorithms are fun. :-)... yes they are. No, seriously.

# Where do we start from?

- There are some classical algs to resolve common problems
  - Ordering, searching, visit of graphs...
- How could we evaluate the efficiency of an algorithm?
- How to derive or invent new algorithms that better exploit the resources tradeoffs (and the opportune data structures)?



# Warmup: Fibonacci numbers

- The Fibonacci sequence  $F_1, F_2, \dots, F_n, \dots$  is defined as:

$$F_1 = 1$$

$$F_2 = 1$$

$$F_n = F_{n-1} + F_{n-2}, n > 2$$



Leonardo Fibonacci  
(Pisa, 1170—Pisa, 1250)

[http://it.wikipedia.org/wiki/Leonardo\\_Fibonacci](http://it.wikipedia.org/wiki/Leonardo_Fibonacci)

# Closed form

- **Good news:** a close form exists for  $F_n$

$$F_n = \frac{1}{\sqrt{5}} (\phi^n - \hat{\phi}^n)$$

where

- $\phi = \frac{1 + \sqrt{5}}{2} \approx 1.618 \quad \hat{\phi} = \frac{1 - \sqrt{5}}{2} \approx -0.618$

- **Bad news:** to evaluate this formula errors are introduced due to need to compute floating point aritmetics

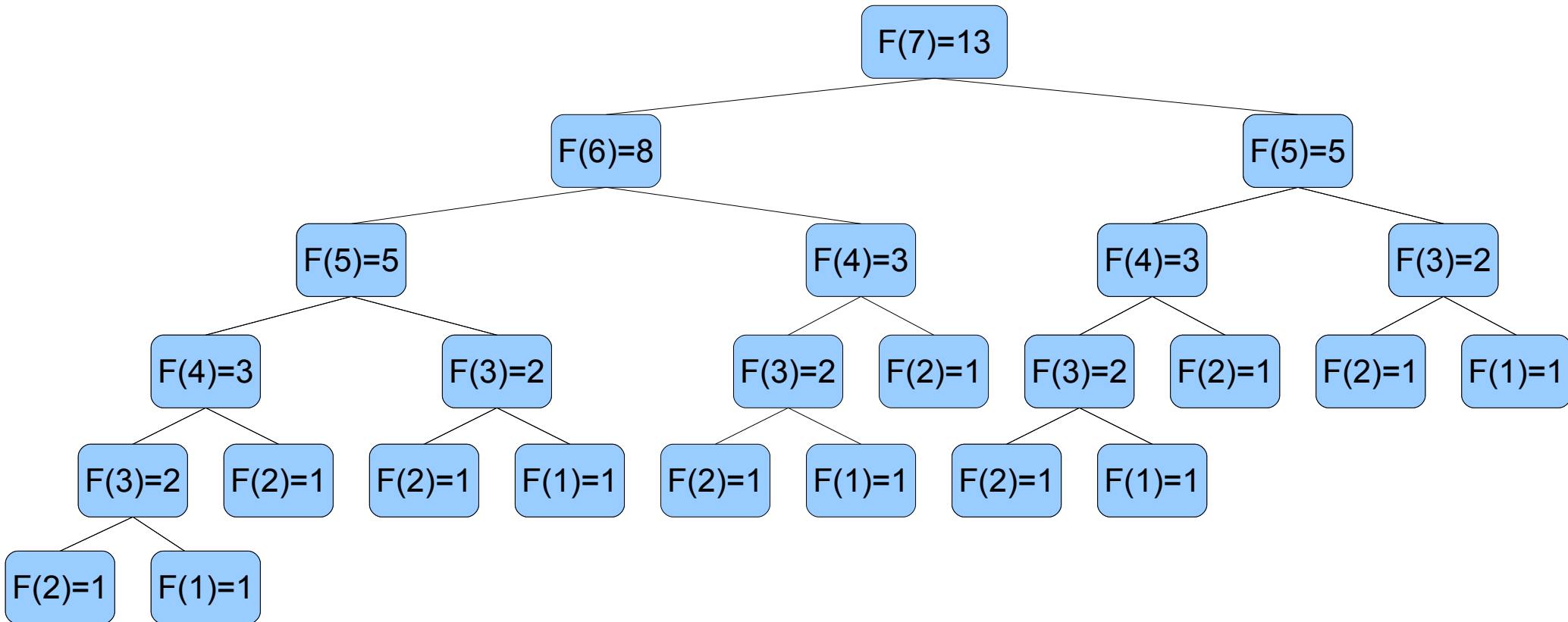
# The trivial Fibonacci algorithm

- Let's define an algorithm to compute  $F_n$  based on a trivial recursive function:

```
algorithm Fibonacci2(int n) → int
  if ( n==1 || n==2 ) then
    return 1;
  else
    return Fibonacci2(n-1)+Fibonacci2(n-2);
  endif
```

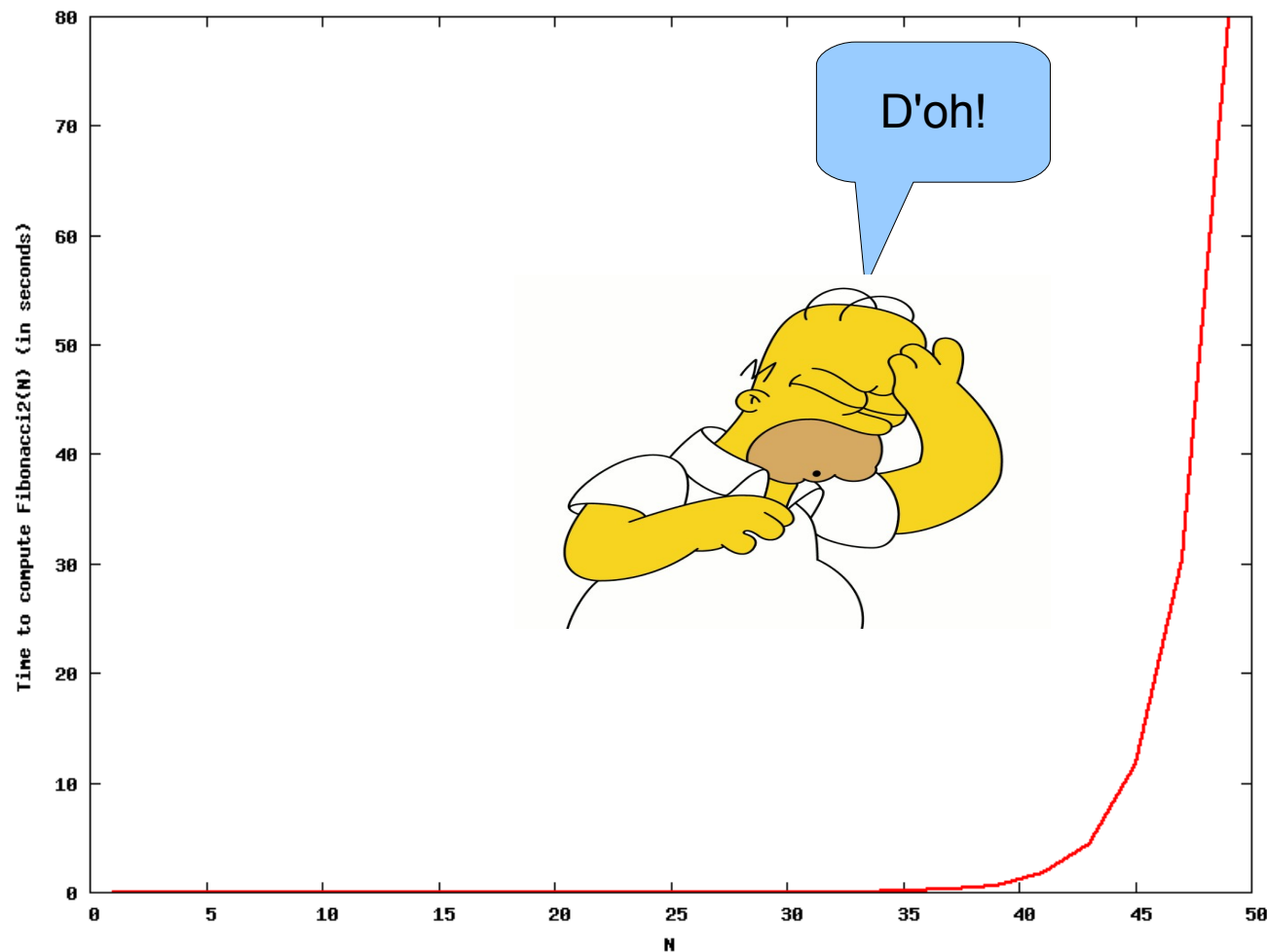
- We will use pseudo-code description of algorithms. The translation in programming languages is quite straightforward.

# Recursion tree



# So far so good... but...

- Time needed to compute  $F_n$  grows too much as a function of  $n$

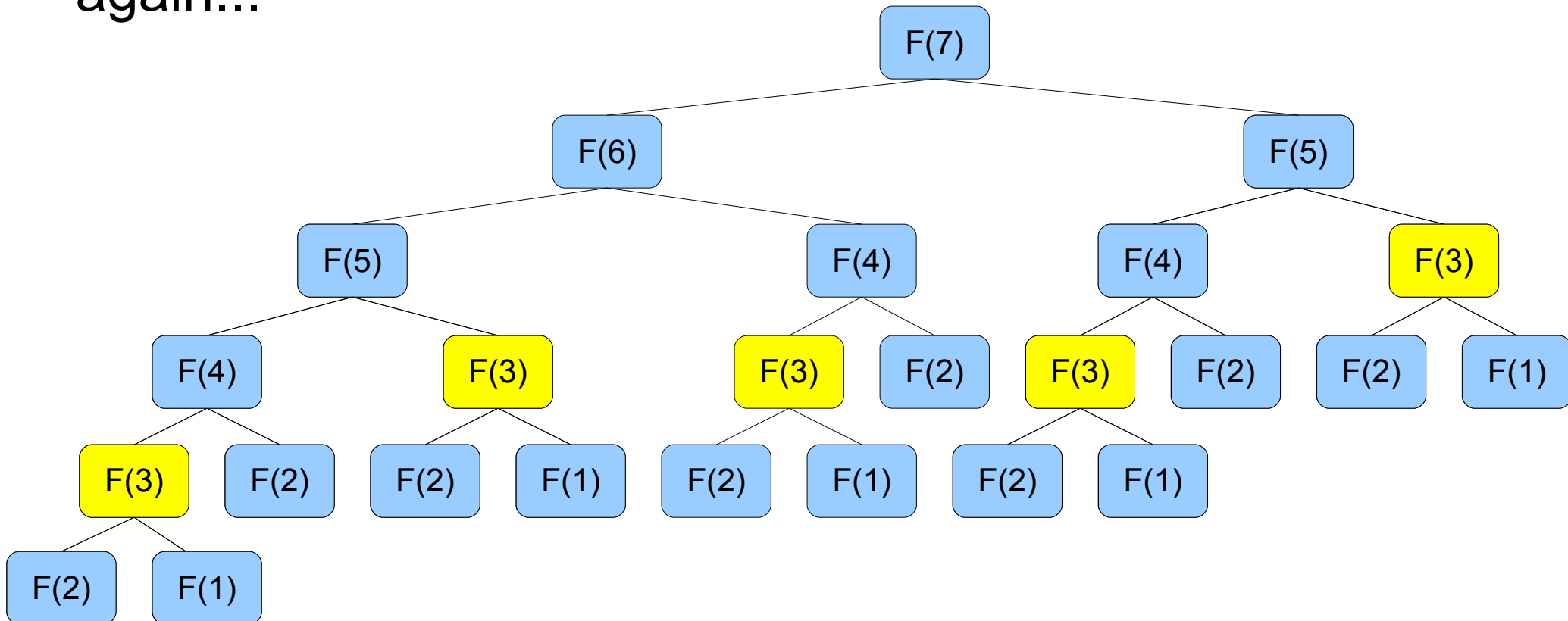


# How to estimate the execution time?

- In seconds?
  - ... will depend on the computer executing the program
- Number of machine language instructions executed per second?
  - Hard to estimate from pseudo-code, and also still depends on the computer executing the program
- We estimate the execution time by calculating the number of basic operations executed in the pseudo-code.

# Where is the efficiency problem?

- Intermediate values are often re-calculated again and again...



# Estimation of execution time

- let  $T(n)$  be the time needed to compute the  $n$ -th Fibonacci number.
- We estimate  $T(n)$  as the number of nodes of the recursion tree of  $F_n$ 
  - **Question**: how to obtain the recursive expression of  $T(n)$  as the number of recursive nodes in the tree for calculating  $F_n$



# Estimation of execution time

- We can demonstrate (by induction) that:

$$T(n) = 2F_n - 1$$

- **Question**: demonstrate that.
- By remembering the close form for  $F_n$  we conclude that  $T(n)$  grows exponentially
- We can calculate a lower bound for  $T(n)$ 
  - See next page

# Estimation of execution time

```
algorithm Fibonacci2(int n) → int
  if ( n==1 || n==2 ) then
    return 1;
  else
    return Fibonacci2(n-1)+Fibonacci2(n-2);
  endif
```

- let  $T(n)$  be the number of nodes of the recursive tree for calculating  $F_n$ 
  - $T(1) = T(2) = 1$ ;
  - $T(n) = T(n-1) + T(n-2) + 1$  (se  $n > 2$ )
  - It is similar to the recurrence that defines  $F_n$

# Lower bound of the execution time

$$T(n) = T(n-1) + T(n-2) + 1$$

$$\geq 2T(n-2) + 1$$

$$\geq 4T(n-4) + 2 + 1$$

$$\geq 8T(n-6) + 2^2 + 2 + 1$$

$$\geq \dots$$

$$\geq 2^k T(n-2k) + \sum_{i=0}^{k-1} 2^i$$

$$\geq \dots$$

$$\geq 2^{\lfloor n/2 \rfloor} + \frac{2^{\lfloor n/2 \rfloor} - 1}{2 - 1}$$

$$\geq 2^{\lfloor n/2 \rfloor}$$

We exploit the fact that  $T(n)$  is monotone increasing

Recursion ends when  $k=n/2$

# Can we do it better?

- Let's use a vector of size  $n$  to compute and store the values of  $F_1, F_2, \dots, F_n$

```
algorithm Fibonacci3(int n) → int
  let Fib[1..n] be an array of n ints
  Fib[1] := 1;
  Fib[2] := 1;
  for i:=3 to n do
    Fib[i] := Fib[i-1] + Fib[i-2];
  endfor
  return Fib[n];
```

# How much does it cost?

- Let's estimate the cost of Fibonacci3 by counting the number of pseudocode operations executed

```
algorithm Fibonacci3(int n) → int
  let Fib[1..n] be an array of n integers
  Fib[1] := 1; // ..... 1 time
  Fib[2] := 1; // ..... 1 time
  for i:=3 to n do // ..... (n-1) times
    Fib[i] := Fib[i-1] + Fib[i-2]; // (n-2) times
  endfor
  return Fib[n]; // ..... 1 time
                // Total..... 2n
```

- Time is proportional to  $n$
- Space is proportional to  $n$

# Can we do it even better?

- Memory usage of Fibonacci3 is proportional to  $n$ . Can we use less memory?
- Yes, because to calculate  $F_n$  we simply need  $F_{n-1}$  e  $F_{n-2}$

```
algorithm Fibonacci4(int n) → int
if ( n==1 || n==2 ) then
    return 1;
else
    F_nm1 := 1;
    F_nm2 := 1;
    for i:=3 to n do
        F_n := F_nm1 + F_nm2;
        F_nm2 := F_nm1;
        F_nm1 := F_n;
    endfor
    return F_n;
endif
```

# How much does it cost?

- let's count the number of operations executed

```
algorithm Fibonacci4(int n) → int
if ( n==1 || n==2 ) then
    return 1;
else
    F_nm1 := 1;    // ..... 1 time
    F_nm2 := 1;    // ..... 1 time
    for i:=3 to n do // ..... (n-1) times
        F_n := F_nm1 + F_nm2;    // . (n-2) times
        F_nm2 := F_nm1;    // ..... (n-2) times
        F_nm1 := F_n;    // ..... (n-2) times
    endfor
    return F_n;    // ..... 1 time
endif

// Total..... 4n-4
```

# That's all folks! Or not?

- Let's consider the matrix A:

$$A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$$

- Theorem: for any  $n \geq 2$ , we have:

$$A^{n-1} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n-1} = \begin{pmatrix} F_n & F_{n-1} \\ F_{n-1} & F_{n-2} \end{pmatrix}$$

(demonstrable by induction)



# Idea! Algorithm Fibonacci6

- We exploit the previous theorem to define algorithm Fibonacci6 as follows

```
algorithm Fibonacci6(int n) : int
```

$$A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$$

```
M = MatPow( A, n-1 );
```

```
return M[1][1];
```

M[1][1] is the first  
item of the row

# Yes but...Algorithm MatPow?

- To compute the k-th power of a matrix A, we exploit the fact that, for even K,  $A^k = (A^{k/2})^2$

```
algorithm MatPow(Matrix A, int k) → Matrix
if ( k==0 ) then
    
$$M = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

else
    if ( k is even ) then
        tmp := MatPow(A, k/2)
        M := tmp '*' tmp;
    else
        tmp := MatPow(A, (k-1)/2);
        M := tmp '*' tmp '*' A;
    endif
endif
return M;
```

operator '\*' computes the product of matrices

# To sum up

Exponential time



Logarithmic time

Algorithm	Time	Memory
Fibonacci2	$\Omega(2^{n/2})$	$O(n)$
Fibonacci3	$O(n)$	$O(n)$
Fibonacci4	$O(n)$	$O(1)$
Fibonacci6	$O(\log n)$	$O(\log n)$

# Lessons learned?

- For a given problem, we started from a inefficient algorithm (exponential cost) to reach a very efficient algorithm (logarithmic cost).
- The choice of the good algorithm makes the difference between being able to solve a problem or NOT.

# Warmup exercise

- Given an array  $A[1..n-1]$  containing a permutation of all values  $1 - n$  (extremes included) but one; values in  $A$  can be in any order
  - Eg:  $A = [1, 3, 4, 5]$  is a permutation of  $1..5$  without the value 2
  - Eg:  $A = [7, 1, 3, 5, 4, 2]$  is a permutation of  $1..7$  without the value 6
- Let's write an algorithm which takes  $A[1..n-1]$ , and returns the value in the interval  $1..n$  which is not in  $A$ .