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# de Vries liquid crystals based on a chiral 5-phenylpyrimidine benzoate core with a tri- and tetra-carbosilane backbone

S. P. Sreenilayam,<sup>1</sup> D. Rodriguez-Lojo,<sup>2</sup> D. M. Agra-Kooijman,<sup>3</sup> J. K. Vij,<sup>1,\*</sup> V. P. Panov,<sup>1</sup> A. Panov,<sup>2</sup> M. R. Fisch,<sup>4</sup> Satyendra Kumar,<sup>5</sup> and P. J. Stevenson<sup>2</sup>

<sup>1</sup>Department of Electronic and Electrical Engineering, Trinity College Dublin, The University of Dublin, Dublin 2, Ireland <sup>2</sup>School of Chemistry and Chemical Engineering, Queens University, Belfast BT7 1NN, United Kingdom

<sup>3</sup>Liquid Crystal Institute, Kent State University, Kent, Ohio 44242, USA

<sup>4</sup>College of Aeronautics and Engineering, Kent State University, Kent, Ohio 44242, USA

<sup>5</sup>Division for Research and Department of Physics, University at Albany, New York 12222, USA

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New chiral de Vries smectic liquid-crystalline compounds are designed, synthesized, and investigated for perspective applications in defect-free bistable surface-stabilized ferroelectric liquid-crystal displays. In these compounds, a 5-phenyl-pyrimidine benzoate core is terminated on one side by a tri- or tetra-carbosilane group linked through an alkoxy group and an alkyl spacer and on the opposite side terminated by a chiral 2-octanol group. The stereogenic center contains either a methyl or perfluoromethyl functional group. These compounds exhibit Iso-SmA\*-SmC\*-SmX-Cr phases under cooling from the isotropic state. Measurements of the temperaturedependent smectic layer spacing by x-ray diffraction experiments combined with the measured apparent optical tilt angle and the birefringence reveal that  $SmA^*$  phase in these compounds is of the de Vries type. In addition, the chiral compound with a tetra-carbosilane backbone, DR277, exhibits good de Vries properties with the SmC\* phase exhibited over a wide temperature range. By varying the carbosilane end group, the de Vries properties are enhanced, that is, the layer shrinkage of  $\sim 1.9\%$  for the tri-carbosilane DR276 is reduced to  $\sim 0.9\%$  for tetra-carbosilane **DR277** at 10 °C below Sm $A^*$  to Sm $C^*$  transition temperature,  $T_{AC}$ . For **DR277**, the reduction factor  $R \approx 0.22$  for  $T = (T_{AC} - 10)^{\circ}$ C is reasonably low and the apparent optical tilt angle  $\theta_{app} = 35.1^{\circ}$ , hence this compound is a "good de Vries smectic" LC. Therefore, synthesis of the chiral mesogen with an even higher number of carbosilane groups may lead to a further reduction or even zero-layer shrinkage exhibited at T<sub>AC</sub> with  $SmC^*$  phase extending over a wide temperature range close to the room temperature for perspective suitability in device applications. Our results for 5-phenyl-pyrimidine benzoate core-based compounds support a recently drawn conclusion by Schubert et al. [J. Mater. Chem. C 4, 8483 (2016)] from a different compound, namely that a carbosilane backbone in chiral mesogens strongly influences the de Vries properties.

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# I. INTRODUCTION

Liquid-crystal (LC) devices based on ferroelectric smectics display much faster switching speeds (usually of the order of a few microseconds) compared to currently used nematic LCs where the switching speed is slow and is of the order of a few milliseconds [1]. It is therefore essential to explore ferroelectric smectics for the next generation of devices. In most chiral ferroelectric smectic LCs, an emergence of the molecular tilt at the  $SmA^*$ - $SmC^*$  transition leads to a contraction of the smectic layer spacing under cooling [Fig. 1(a)]. This layer contraction combined with the surface anchoring of constituent molecules leads to an irreversible transformation of the arrangement of molecules in layers from bookshelf to chevron structures. In the latter, the layer structures fold themselves in opposite directions; these opposite folds create zigzag defects in the optical texture of a LC cell, thus degrading the quality of the devices and severely limiting their uses for a successful commercialization of ferroelectric LC displays [2-4]. An immediate solution is to avoid this problem altogether by developing new chiral LCs with a minimal layer shrinkage at the  $\text{Sm}A^*$ - $\text{Sm}C^*$  transition temperature as well as continuing to have it within the  $\text{Sm}C^*$  phase [4].

The first experimental observation of smectic liquid crystals having the same layer spacing in both SmC and SmA phases was made by Diele et al. in Halle [5]. They also found that the layer spacing d in these materials was actually much lower than the length of the molecule L in its most extended configuration. Based on the layer-spacing results and on having determined that the SmA-SmC phase transition in these materials is of first-order, de Vries proposed that in these materials the tilt angle in the SmA phase is not zero contrary to conventional assumption made [Fig. 1(a)] until that time [6]. This unusual behavior was originally explained by the diffuse cone model [Fig. 1(b)] in which molecules in the SmA phase have a tilted orientation and these tilt directions are disordered in azimuthal  $(\varphi)$  angle [7,8]. According to this model, the SmA-SmC phase transition is affected by ordering of  $\varphi$  to a specific tilt direction with a certain value. This explains why the SmA-SmC transition is first-order with zero-layer contraction. These observations were made when most smectic LCs were formed from

<sup>\*</sup>Author to whom all correspondence should be addressed: jvij@tcd.ie

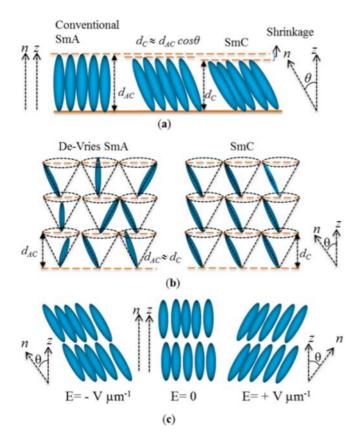


FIG. 1. Schematic representation of the molecular arrangements in SmA and SmC phases in accordance with (a) a conventional model for the smectic A and C phases, (b) the de Vries diffuse-cone model, and (c) a demonstration of the electroclinic effect in a SmA\* phase. z is the layer normal, n is the average direction of the molecular long axis orientation,  $\theta$  is the angle between n and z,  $d_C$  and  $d_A$  are the layer spacings in SmC and SmA, whereas  $d_{AC}$  is the layer spacing at the SmA to SmC phase transition temperature  $T_{AC}$ .

achiral molecules. If we introduce chirality, then these phases are denoted by Sm $A^*$  and Sm $C^*$ . This special class of chiral smectics possesses a large field-induced electroclinic effect (linear dependence of the induced apparent tilt angle  $\theta_{app}$  on a weak applied electric field E) [9,10] [Fig. 1(c)], large molecular fluctuations especially in the tilt [11–13], and a significantly large increase in the birefringence with the electric field, especially close to the Sm $A^*$ -Sm $C^*$  transition temperature [14,15].

Several chiral and nonchiral LC materials have recently been found to exhibit maximum layer shrinkage ranging from 0.2% to 1.7% [10,16–22], or in some cases extremely low layer shrinkage at the SmA-SmC transition is displayed [14]. Among the siloxane-terminated nonchiral materials, mesogen **3(n)** [Fig. 2(a)] shows good de Vries–like properties [19] and undergoes a SmA to SmC phase transition with a layer shrinkage ranging from 0.5% to 1.4%, which is rather low. The tri-carbosilane QL32-6, a chiral analog of mesogen 3(n), shows a low-layer contraction of only 0.2% upon the phase transition from the uniaxial  $SmA^*$  to the tilted  $SmC^*$  phase [18]. The siloxane-terminated TSiKN65 [15] [Fig. 2(b)] and its carbosilane analog W599 [23] [Fig. 2(b)] are among the best chiral de Vries LCs reported to date since these materials undergo a first-order  $SmA^*$  to  $SmC^*$  phase transition upon cooling, where the layer shrinkage is <1%. The transition is

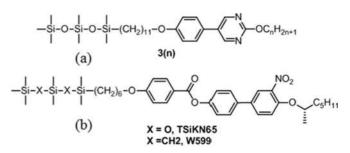


FIG. 2. Molecular structures of (a) **3(n)** [19] and (b) **TSiKN65**/ **W599** [15,23].

accompanied by a large increase in the birefringence with applied electric field. Also, these compounds exhibit remarkably high electroclinic susceptibilities, i.e., the apparent tilt angles for electric fields  $<5 \text{ V}/\mu\text{m}$  and for  $(T - T_{AC}) = +1 \text{ °C}$  are  $31^{\circ}$  and  $25^{\circ}$ , respectively. These compounds are based on a nitro biphenyl benzoate core, terminated by a chiral alkyloxy chain on one side and by the siloxane or carbosilane alkoxy chain on the opposite side [Fig. 2(b)].

With these structures in mind, we have designed a series of compounds with a 5-phenylpyrimidine benzoate core (Fig. 3) to explore the properties of mesogens that combine functional groups present in some of the most remarkable de Vries materials but with a different core of the mesogen [19,15,23]. The studied molecules are structurally related to each other. These have the same aromatic core and carbosilane backbone on one side. In particular, it is found that the carbosilane group promotes SmC behavior, whereas 5-phenylpyrimidine promotes SmA. The latter can generally be regarded as the SmApromoting element. Carbosilane-based materials ADPD003 and **DR253** are terminated by an alkyloxy chain involving chiral CF<sub>3</sub> on the opposite end of the core. However, in **DR276** and **DR277**, the  $CF_3$  group is replaced by the  $CH_3$  group. The only difference between DR277 and the tricarbosilane DR276 is the addition of one more carbosilane group, i.e., it contains a tetra-carbosilane backbone. An ester group in the mesogen core increases the polarity of the molecule, and the chiral center is responsible for inducing chiral smectic phases. The transition temperatures with the enthalpy of transitions and phases of the studied compounds using differential scanning calorimetry (DSC) are given in Table I.

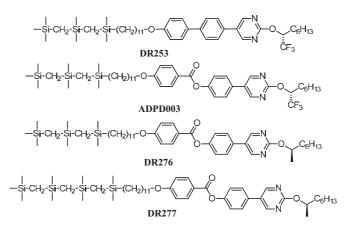


FIG. 3. Molecular structure and names of the compounds under investigation.

TABLE I. Mesophases with transition temperatures that have been determined experimentally as stated in the text. Transition enthalpies
$\Delta H$ (in J/g) are detected upon second cooling carried out at the rate of 10 °C min <sup>-1</sup> by differential scanning calorimetry (DSC). Mesophase
transitions are also investigated using a polarizing optical microscope (POM). Iso and Cr denote the isotropic liquid and the solid crystal states,
respectively. The negative value within the parenthesis is the enthalpy change in J/g obtained by DSC under cooling.

LC Material	Mesophases and transition temperatures with transition enthalpies ( $\Delta H$ in J/g) under cooling
DR253	Cr 50.2 °C (-15.3) Iso
ADPD003	Cr 52 °C (–26.7) Iso
DR276	Cr 14 °C (-23.7) SmX 48 °C (-) SmC*78.5 °C (-0.4) SmA*87 °C (-2.7) Iso
DR277	Cr 6 °C (-17.3) SmX 35 °C (-) SmC*65.5 °C (-0.4) SmA*77 °C (-1.1) Iso

The main objective of this study is to develop de Vries LCs for bistable surface-stabilized ferroelectric liquid-crystal (SSFLC) displays that exhibit defect-free behavior. These are made possible by investigating the de Vries properties while systematically varying the length of the carbosilane tail. In the de Vries scenario, the LC molecules in the  $SmA^*$  phase are already tilted in the absence of electric field. Application of the electric field only orders the azimuthal directions of the tilt, such that in doing so, the field does not induce any layer contraction and thereby avoids chevron defects from appearing in the optical texture. In this work, we report on the design, synthesis, and characterization of carbosilaneterminated smectic materials, denoted as DR253, ADPD003, DR276, and DR277. The molecular design involves two elements: (i) a carbosilane tail, which promotes SmC, and (ii) 5-phenylpyrimidine, which promotes SmA. Liquid-crystalline phase transitions are investigated by using DSC, polarized optical microscopy (POM), and x-ray diffraction (XRD). The electro-optical studies include the results of birefringence and the apparent tilt angle. In this paper, we investigate ferroelectric properties, the electroclinic effect, and the corresponding "de Vries-like" behavior in the carbosilane-terminated DR276 and DR277 mesogens. Mulligan et al. studied the effect of varying the length of the carbosilane end group on the de Vries properties of nonchiral LCs with chloroterminated alkoxy chains [22]. They reported that the de Vries properties in 5 phenyl-1,3,4-thiadiazole achiral mesogen are enhanced by an increase in the length of the end group: from monocarbosilane to tricarbosilane. To the best of our knowledge, no other compounds have been reported in the literature so far involving a further increase in the carbosilane chain length from the tricarbosilane tail. Therefore, for drawing a comparison we have synthesized chiral materials with tri- and tetra-carbosilane attachments, and these are studied here in detail. Herein, we discuss the effect of varying the length of the carbosilane tail from tri- to tetra- on the de Vries properties in the chiral 5-phenylpyrimidinebenzoate mesogen. The tetra-carbosilane-terminated mesogen **DR277** undergoes  $SmA^*-SmC^*$  transition with a maximum layer contraction of only 0.9% in the  $SmC^*$  phase and a reduction faction *R* of ~0.222 relative to its thickness at the  $SmA^*-SmC^*$  transition temperature,  $T_{AC}$ . These parameters suggest that **DR277** is one of the best chiral de Vries smectics synthesized so far with a wide temperature range of smectic  $A^*$  and  $C^*$  phases and specifically  $SmC^*$  occurring close to the room temperature.

#### **II. EXPERIMENT**

# A. Material synthesis

The chiral mesogen DR253 was formed following the synthetic approach as shown in Fig. 4. Nucleophilic aromatic substitution of 5-bromo-2-chloropyrimidine with a fluorinated chiral alcohol gave 1. 11-Bromoundec-1ene was hydrosilylated with ((dimethylsilyl)methyl)dimethyl((trimethylsilyl)methyl)silane (prepared as described) [24] under standard conditions using Karstedt's catalyst to form a silyl-terminated bromide chain. An  $S_N 2$  substitution of the silvlated bromide with 4-bromo-4'-hydroxybiphenyl in basic media led to compound 2 in 82% yield. From this boronic acid, 3 was formed from 2 using standard conditions of <sup>t</sup>BuLi and trimethylborate at -78 °C. A Suzuki cross coupling was used to combine the fragments 1 and 3 leading to DR253 in 51% yield.

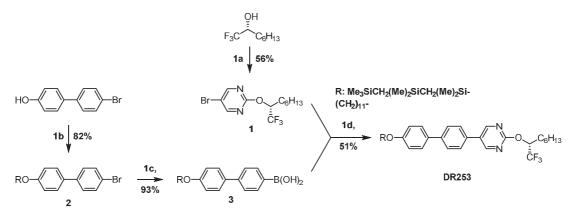


FIG. 4. Reagents and conditions: (1a) Na, toluene, 50 °C; (1b) Me<sub>3</sub>SiCH<sub>2</sub>(Me)<sub>2</sub>SiCH<sub>2</sub>(Me)<sub>2</sub>Si-(CH<sub>2</sub>)<sub>11</sub>-Br, K<sub>2</sub>CO<sub>3</sub>, DMF, 80 °C; (1c) <sup>*t*</sup>BuLi, B(OMe)<sub>3</sub>, THF, -78 °C; (1d) Pd(PPh<sub>3</sub>)<sub>4</sub>, K<sub>2</sub>CO<sub>3(ac)</sub>, toluene/MeOH, reflux.

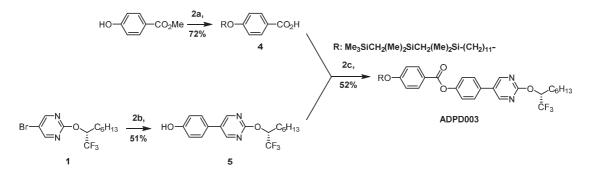


FIG. 5. Reagents and conditions: (2a) 1.)  $Me_3SiCH_2(Me)_2SiCH_2(Me)_2Si - (CH_2)_{11}$ -Br,  $K_2CO_3$ , DMF; 2.) NaOH, EtOH/H<sub>2</sub>O, reflux; (2b) 4-hydroxyphenylboronic acid, Pd(PPh<sub>3</sub>)<sub>4</sub>,  $K_2CO_{3_{(ac)}}$ , toluene/MeOH, reflux; (2c) DCC, DMAP, DCM.

The chiral mesogen **ADPD003** was prepared following the synthetic approach shown in Fig. 5. The aforementioned silyl-terminated bromide was substituted with methyl 4-hydroxybenzoate in basic media. The ester was then hydrolyzed using sodium hydroxide under reflux giving acid 4 in 72% yield over the two steps. Suzuki cross coupling of 4hydroxyphenylboronic acid with aryl bromide 1 gave product 5 in 51% yield. The acid 4 and phenol 5 were condensed using N,N'-dicyclohexylcarbodiimide (DCC) to give the final product in 52% yield.

The compounds DR276 and DR277 were prepared following the synthetic approach shown in Fig. 6. The first step was an  $S_N 2$  displacement of 11-bromoundec-1-ene with ethyl 4-hydroxybenzoate in basic media followed by treatment with LiOH in MeOH/H2O, which led to the carboxylic acid 6 in 86% yield. Suzuki cross coupling of (4-hydroxyphenyl)boronic acid and pyrimidine ether 7 gave pyrimidine 8 in 59% yield. Coupling of 6 and 8 using DCC and 4-Dimethylaminopyridine (DMAP) in Dichloromethane (DCM) led to the ester 9 in 82% yield. DR276 was prepared by hydrosilylation of the alkene 9 using ((dimethylsilyl)methyl)dimethyl-((trimethylsilyl)methyl)silane and platinum(0)-1,3-divinyl-1,1,3,3-tetramethyldisiloxane as a catalyst in 56% yield. The same conditions were used for DR277 except the silane used was 2,2,4,4,6,6,8-heptamethyl-2,4,6,8-tetrasilanonane in 43% yield.

# B. Experimental techniques and measurements: Calorimetry, electro-optical studies, and x-ray diffraction

Differential scanning calorimetry (Perkin-Elmer DSC-7 calorimeter) is initially used to characterize the smectic LCs

studied here, where the phase transition is investigated by the enthalpy change at the transition temperature(s). Using this technique, we can effectively measure a change in the heat capacity of a material as a function of temperature by comparing it with a standard reference material. Measurements are carried out under both cooling and heating cycles at a rate of  $10 \,^{\circ}\mathrm{C\,min^{-1}}$ . It is emphasized here that a heating and cooling rate of  $10 \,^{\circ}\mathrm{C\,min^{-1}}$  is actually a fairly fast scanning rate, and for this reason alone the results of very small enthalpies of transitions may be somewhat inaccurate. Furthermore, this may also introduce significant hysteresis in transition temperatures between the cooling and heating runs.

The electro-optical studies are carried out on planar-aligned cells with a parallel rubbed polyimide alignment layer KSRP-XX/D611P6NSS05 purchased from EHC, Japan. The LC cells are studied using an Olympus BX 52 polarizing optical microscope (POM) equipped with a hot stage. The latter is connected to a temperature controller, Eurotherm 2604.

X-ray diffraction (XRD) experiments were carried out using a Rigaku Screen Machine. This unit has a microfocus sealed x-ray tube with a copper anode that generates x rays at a wavelength of  $\lambda = 1.5418$  Å. X rays are incident on the sample contained in a flamed sealed quartz capillary (1.0 mm diam, wall thickness 0.01 mm), and the diffracted x-ray patterns are recorded by a Mercury 3 CCD detector with a resolution of 1024 × 1024 pixels, with a pixel size of 73.2  $\mu$ m × 73.2  $\mu$ m. The detector was positioned at a distance of approximately 77 mm from the sample to cover the observable length scale characteristics of the molecular system with dimensions ranging from 3.4 to 60 Å. The sample capillary was mounted in a Linkam HFS350-CAP hot stage with a temperature-controlled

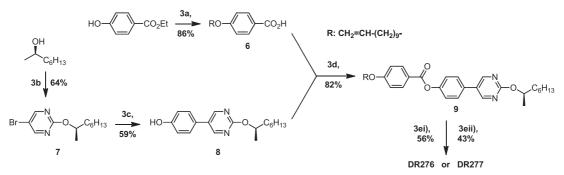


FIG. 6. Reagents and conditions: (3a) 1.) 11-bromoundec-1-ene,  $K_2CO_3$ , DMF; 2.) LiOH, MeOH/H<sub>2</sub>O, reflux; (3b) Na, toluene, 55 °C; (3c) (4-hydroxyphenyl)boronic acid, Pd(PPh<sub>3</sub>)<sub>4</sub>,  $K_2CO_{3(aq)}$ , toluene/MeOH, reflux; (3d) DCC, DMAP, DCM; (3ei) Karstedt's catalyst, Me<sub>3</sub>SiCH<sub>2</sub>(Me)<sub>2</sub>SiCH<sub>2</sub>(Me)<sub>2</sub>SiCH<sub>2</sub>(Me)<sub>2</sub>SiH, THF; (3eii) Karstedt's catalyst, Me<sub>3</sub>SiCH<sub>2</sub>(Me)<sub>2</sub>SiCH<sub>2</sub>(Me)<sub>2</sub>SiH, THF.

oven with a temperature stability of  $\pm 0.05$  °C. A built-in pair of samarium cobalt rare-earth magnets was placed between the capillary mount to aid the orientation of the LC molecules. The sample temperature was controlled with the LINKSYS32 software [25] installed in the computer system used for data collection. All scans were calibrated against a Silver Behenate standard, and the background scattering is subtracted (scattering from the empty capillary in the same sample position) before the data were analyzed with the aid of FIT2D software [26] and MATHEMATICA. Smectic layer spacing was determined from the position of the main Bragg reflection peaks.

# **III. RESULTS AND DISCUSSION**

# A. Differential scanning calorimetry and polarizing optical microscopy

The DSC thermograms of compounds **DR253** [see Fig. S1(a) in the Supplemental Material [44]] and **ADPD003** [see Fig. S1(b) in the Supplemental Material [44]] are shown to exhibit first-order transitions on cooling from the isotropic

liquid to the crystalline state with enthalpy change ( $\Delta H$ ) of  $-50 \text{ J g}^{-1}$  at 52 °C and  $-26.4 \text{ J g}^{-1}$  at 52 °C, respectively. The phases and the transition temperatures are confirmed using a polarizing optical microscope (POM) (see Figs. S2 and S3 in the Supplemental Material [44]).

Figure 7(a) shows the DSC thermogram of the material **DR276**, 5-phenyl-pyrimidine benzoate core, terminated on one side by a tri-carbosilane backbone and on the opposite by the chiral alkyloxy chain involving the CH<sub>3</sub> group. Upon cooling the sample from the isotropic to Sm*A*\*, a first-order phase transition is observed at a temperature of ~87 °C with an enthalpy ( $\Delta H$ ) change of ~ - 2.7 J g<sup>-1</sup>. The Sm*A*\* phase was characterized by the observations of a focal conic fan-shaped texture in a 9  $\mu$ m planar-aligned cell in a POM [Fig. 7(c)], and an optically uniaxial dark texture is seen in a 4.3  $\mu$ m homeotropically aligned cell [Fig. 7(f)] under a POM [27]. Upon a further reduction of temperature, the material undergoes a weakly first-order Sm*A*\* to Sm*C*\* phase transition at a temperature ~78.5 °C with a transition enthalpy  $\Delta H \sim -0.4 \text{ J}^{-1}$ . The POM textures for the phase transition are

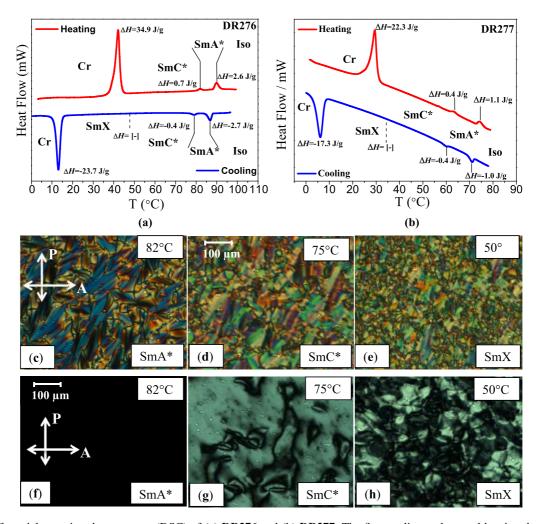


FIG. 7. Differential scanning thermograms (DSC) of (a) **DR276** and (b) **DR277**. The first cooling and second heating thermograms are obtained by ramping the temperature at a rate of 10 °C min<sup>-1</sup>; continuous mesophase transitions without visible enthalpy are indicated by dashed lines. The POM images of mesophases observed in **DR276**; (c)–(h) under crossed polarized conditions, textures are recorded as (c)–(e) for a planar-aligned cell (of thickness  $d = 9 \mu$ m) and as (f)–(h) for a homeotropically aligned cell ( $d = 4.3 \mu$ m). Textures are recorded under cooling cycles, (c) and (f) Sm*A*\* phase at 82 °C; (d) and (g) Sm*C*\* phase at 75 °C; and (e) and (h) Sm*X* phase at 50 °C; Sm*X* is not characterized/identified here.

shown in Figs. 7(d) and 7(g). The Sm $C^*$  phase is distinguished from  $SmA^*$  by the observation of a change in the optical texture [28]. The fan-shaped texture characteristic of  $SmA^*$ on cooling to the  $SmC^*$  leads to the broken fan-shaped texture seen in Fig. 7(d) in a planar-aligned cell; the latter texture is characteristic of  $SmC^*$ . The dark texture of  $SmA^*$  in a homeotropically aligned cell arises as LC molecules are oriented normal to the substrates; this changes to a Schlieren texture upon a transition from  $\text{Sm}A^*$  to  $\text{Sm}C^*$  [Fig. 7(g)]. For a conventional  $SmA^*-SmC^*$  phase transition, the tilt angle remains at the zero value in  $SmA^*$  phase down to the transition temperature  $T_{AC}$ , the DSC thermogram usually exhibits a second-order transition with a step in the baseline without showing a peak for the enthalpy of the transition, however a change in the heat capacity is observed [29]. Upon lowering the temperature again, a monotropic Sm X is formed, distinguished by a POM texture of a homeotropically aligned cell [Fig. 7(h)]. In a DSC thermogram, a  $SmA^*$  to SmX phase transition is

at the transition [see Figs. 7(a) and 7(b)]. A representative DSC plot of **DR277**, in which a 5-phenylpyrimidine benzoate core is terminated by tetra-carbosilane on

observed to be of second order without showing any enthalpy

one side and on the opposite side by a chiral alkyloxy chain with a CH<sub>3</sub> group (structure given in Fig. 3), is shown in Fig. 7(b). This undercooling exhibits three transition peaks. Similar to the tricarbosilane **DR276**, this tetra-carbosilane smectic shows a first-order isotropic to Sm*A*\* transition with  $\Delta H \sim -1.0 \text{ J g}^{-1}$  at a temperature of ~77 °C. Upon lowering the temperature, the compound undergoes a weakly first-order Sm*A*\* to Sm*C*\* transition with  $\Delta H$  of ~  $-0.4 \text{ J g}^{-1}$  at 65.5 °C. Phases Sm*A*\* and Sm*C*\* that correspond to within the transition peaks are confirmed in planar-aligned ( $d = 9 \mu \text{m}$ ) and homeotropically aligned ( $d = 4.3 \mu \text{m}$ ) cells using a POM (see Figs. S4a and S4b and Figs. S5a and S5b in the Supplemental Material [44]). Also, the monotropic Sm*X* phase (see Figs. S4c and S5c in the Supplemental Material [44]) exhibits a second-order transition without showing any enthalpy change.

During heating cycles, both compounds **DR276** and **DR277** display a Sm $C^*$  phase that upon further heating transforms to Sm $A^*$  and then finally changes to the isotropic state. As shown in Figs. 7(a) and 7(b), increasing the length of the carbosilane backbone results in a gradual widening of the temperature range of Sm $A^*$  phase. The tri-carbosilane **DR276** having an ~8.5°C-wide Sm $A^*$  phase shows a first-order crystallization

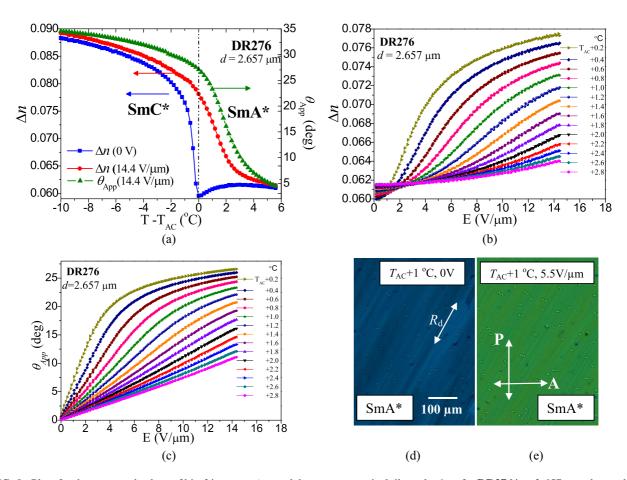


FIG. 8. Plots for the measured values of birefringence,  $\Delta n$ , and the apparent optical tilt angle,  $\theta_{app}$ , for **DR276** in a 2.657  $\mu$ m planar-aligned cell as a function of (a) temperature in the absence of the field and at an ac field of 14.4 V/ $\mu$ m (f = 81.7 Hz) in the Sm $A^*$  and Sm $C^*$  phases. The frequency is low enough that it is regarded to be close to dc but it high enough to prevent ions from contributing to the results. (b)  $\Delta n$  and (c)  $\theta_{app}$  for selected temperatures in the Sm $A^*$  phase. Parts (d) and (e) are the POM textures of the Sm $A^*$  phase for 0 V and 5.5 V/ $\mu$ m at 1 °C ( $T_{AC} + 1$  °C) above the Sm $A^*$  to Sm $C^*$  phase-transition temperature  $T_{AC}$ . Texture observations of a 9  $\mu$ m planar-aligned cell under crossed polarizers are carried out by using a 110 Hz square wave AC signal and by keeping the rubbing direction at an angle of  $\alpha = 23^\circ$  to the polarizer/analyzer position.

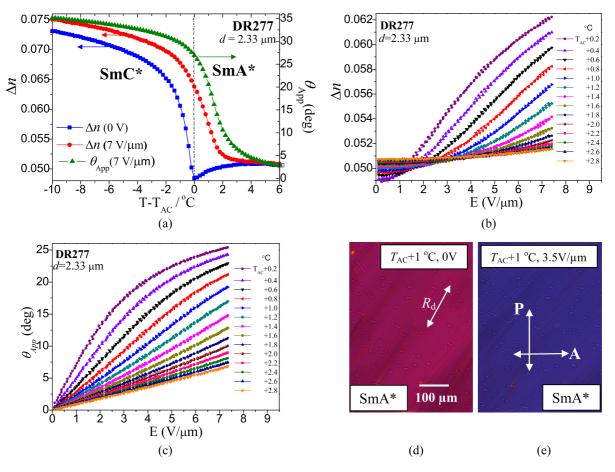


FIG. 9. Plots of  $\Delta n$  and  $\theta_{app}$  determined as indicated for **DR277** in a planar-aligned cell of cell thickness 2.33 µm. (a) Temperature dependences of  $\Delta n$  at 0 V,  $\Delta n$  at 7 V/µm, and  $\theta_{app}$  at 7 V/µm (f = 44 Hz) in the SmA\* and SmC\* phases. Electric field (f = 44 Hz) dependences of (b)  $\Delta n$  and (c)  $\theta_{app}$  for selected temperatures in the SmA\* phase. Parts (d) and (e) are the POM textures of the SmA\* phase for 0 V and 3.5 V/µm at 1 °C ( $T_{AC} + 1$ ) °C above the SmA\* to SmC\* phase-transition temperature  $T_{AC}$ . Texture observations under crossed polarizers are made in a planar-aligned cell of cell thickness 9 µm by using 110 Hz square wave ac voltage and keeping the rubbing direction at an angle of  $\alpha = 23^{\circ}$  to the polarizer/analyzer position.

transition with  $\Delta H$  of  $\sim -23.7 \text{ J g}^{-1}$  at a temperature of  $\sim 14 \,^{\circ}\text{C}$  [Fig. 7(a)]. However, an addition of one more carbosilane group in **DR277** further widens the temperature range of Sm*A*<sup>\*</sup> to  $\sim 12.5 \,^{\circ}\text{C}$  and the crystallization transition temperature is lowered to  $\sim 6 \,^{\circ}\text{C}$  with  $\Delta H = \sim -17.3 \,\text{ J g}^{-1}$ [Fig. 7(b)].

# B. The measurements of birefringence $(\Delta n)$ , apparent tilt angle $(\theta_{app})$ , and the polarization $(P_s)$

Birefringence ( $\Delta n$ ) and the apparent optical tilt angle ( $\theta_{app}$ ) measurements are conducted on planar-aligned cells of **DR276** [results shown in Figs. 8(a)–8(c)] and **DR277** [results shown in Figs. 9(a)–9(c)] LCs, having cell thicknesses of d = 2.67and 2.33 µm, respectively.  $\Delta n$  and  $\theta_{app}$  are measured by recording the transmitted light while varying the orientations of the polarizer and the analyzer [30]. The experiment is performed by applying a triangular signal of 14.4 V<sub>0-pk</sub>µm<sup>-1</sup> (f = 81.7 Hz) for **DR276** [Figs. 8(a)–8(c)] and 7V<sub>0-pk</sub>µm<sup>-1</sup> (f = 44 Hz) for **DR277** [Figs. 9(a)–9(c)]. Frequencies of the applied signal are chosen to be low enough in order to allow for a sufficient time for electro-optical switching to occur while at the same time it is high enough to avoid the ionic conductivity to make a contribution to the switching current. The amplitude of the voltage applied to a planar-aligned cell of **DR276** is large enough to make  $\theta_{app}$  increase slowly at first but eventually to saturate with the maximum applied electric field [Figs. 8(b) and 8(c)]. However, for **DR277** the applied electric field is not large enough to saturate  $\theta_{app}$  completely [Figs. 9(b) and 9(c)].

Figure 8(a) shows the temperature dependence of  $\Delta n$  for **DR276** at zero field as well as for a maximum applied field of 14.4 V  $\mu$ m<sup>-1</sup>. Upon cooling the planar-aligned cell of **DR276**, the magnitude of the zero-field birefringence,  $\Delta n$ , decreases within the  $SmA^*$  phase with a reduction in temperature and especially dramatically closer to the  $SmA^*$ -Sm $C^*$  transition temperature  $T_{\rm AC}$  ( $\Delta n = \sim 0.059$ ). This behavior is different from that of a conventional  $\text{Sm}A^*$  phase where  $\Delta n$  increases with decreasing temperature due to an increase in the orientational order parameter. Thus, the tilt angle of the molecules in the conventional  $SmA^*$  phase changes little with temperature and field close to the transition temperature. A continuous disorder in the azimuthal angle with increasing molecular tilt with a reduction in temperature results in  $\Delta n$  decreasing as temperature is lowered. As the temperature approaches the  $\text{Sm}A^*$ - $\text{Sm}C^*$  phase transition  $T_{\text{AC}}$ , the zero-field  $\Delta n$  suddenly increases in the  $SmC^*$  followed by a slow increase with a reduction in temperature within the  $SmC^*$  phase itself. This is because the azimuthal angles are ordered close to the transition, but the optical tilt starts increasing with a further reduction in temperature. The temperature dependence of  $\Delta n$  with an applied electric field (14.4 V/ $\mu$ m) across a planar-aligned cell shows behavior in the  $SmA^*$  phase that is entirely different from its zero-field value [Fig. 8(a)]. The magnitude of  $\Delta n$  in the entire temperature range of the  $SmA^*$  phase [Figs. 8(a) and 8(b) now increases continuously. This is affected by reorienting the molecular azimuthal tilts through breaking the degeneracy in the azimuthal angle. An emergence of the optical tilt leads to a substantial increase in  $\Delta n$  with field. Thus, the observed behavior is consistent with the diffuse-cone model of the  $SmA^*$  with a first-order  $SmA^*$ - $SmC^*$  phase-transition temperature [Fig. 7(a)]. This field-induced increase in  $\Delta n$  in the  $SmA^*$  phase is in agreement with a change in the interference colors recorded by the POM at a temperature of 79.5 °C, approximately 7.5 °C below the Iso-SmA\* transition temperature and 1 °C above  $T_{AC}$  [Figs. 8(d) and 8(e)]. POM textures of a planar-aligned cell of thickness 9  $\mu$ m, shown in Figs. 8(d) and 8(e), are recorded by fixing the rubbing direction  $R_d$  at an angle of  $\alpha \approx 23^{\circ}$  to the polarizer/analyzer direction. When placed between the crossed polarizer/analyzer, a SmA\* phase at 79.5 °C in the planar-aligned cell shows a uniform dark blue color due to a finite value of  $\Delta n$  [Fig. 8(d)]. An application of the external electric field, 5.5 V  $\mu$ m<sup>-1</sup> across the planar-aligned cell, shows a significant change in the color of the texture as a result of the increase in the birefringence. This is shown in Fig. 8(e).

Temperature dependences of  $\theta_{app}$  in the SmA<sup>\*</sup> and SmC<sup>\*</sup> phases of **DR276** for a maximum applied field of 14.4 V  $\mu$ m<sup>-1</sup> are plotted in Fig. 8(a) (green triangles). The dependences of  $\Delta n$  (E) on temperature are also given [red circles in Fig. 8(a)]. Such a coupling between  $\theta_{app}$  and  $\Delta n$ , first proposed by Lagerwall et al. [31], is being demonstrated here. The dependence of  $\theta_{app}$  on E is plotted in Fig. 8(c) in the SmA\* phase of **DR276**. The data are shown for a few temperatures only in order to avoid the figure being overcrowded. At higher temperatures in the SmA<sup>\*</sup> phase where  $\theta_{app}$  is low, a linear increase in  $\theta_{app}$  with electric field is observed. However, in the vicinity of the  $\text{Sm}A^*$ -Sm $C^*$  transition temperature, the electroclinic response is seen to increase dramatically and a nonlinearity in  $\theta_{app}$  with E is recorded especially for  $\theta_{app} >$  $15^{\circ}$ . In the SmA<sup>\*</sup> phase, close to the transition temperature  $T_{\rm AC}$ ,  $\theta_{\rm app}$  rises rapidly with the applied electric field to a value of  $\sim 23^{\circ}$  and then it increases more slowly with increasing field, finally reaching almost saturation at 26.5° for a field of  $14.4 \text{ V} \,\mu\text{m}^{-1}$ .

Figure 9(a) shows the temperature dependence of  $\Delta n$  for a planar-aligned cell of **DR277** for (i) the absence of an electric field and (ii) a maximum applied *E* of 7 V  $\mu$ m<sup>-1</sup>. Similar to the behavior of **DR276**, the magnitude of zero-field  $\Delta n$  for **DR277** is also shown to decrease within the Sm*A*\* phase upon cooling, where a much larger drop closer to the Sm*A*\*-Sm*C*\* transition temperature  $T_{AC}$  is recorded. A comparison of the zero-field  $\Delta n$  at  $T_{AC} = \sim 0.048$ ) shows a relatively lower magnitude than for trisiloxane **DR277** (zero-field  $\Delta n$  at  $T_{AC} = \sim 0.059$ ). This may be due to the presence of more bulky siloxane groups in **DR277** than in **DR276**. Since the siloxane groups are more flexible and may exhibit more irregular conformations [15] than a bunch

of hydrocarbon groups, the magnitude of  $\Delta n$  for both **DR276** and **DR277** in planar-aligned cells is relatively low, but more so for the latter. This may be due to the shape anisotropy of the LC molecules, and as a consequence it increases the onset of crystallinity to a higher temperature in **DR276** compared to **DR277**. This is observed in Figs. 7(a) and 7(b).

The temperature and the electric-field dependences of  $\theta_{app}$ of **DR277** are shown in Figs. 9(a) and 9(c). Upon the application of a 7 V  $\mu$ m<sup>-1</sup> electric field in the Sm*A*<sup>\*</sup> phase,  $\theta_{app}$  shows an increasing trend with a reduction in temperature, and the magnitude of  $\theta_{app}$  at  $(T_{AC} + 0.2)$  °C is ~26.1° [Fig. 9(a)]. At higher temperatures in the Sm*A*<sup>\*</sup> phase, we find an expected linear increase in  $\theta_{app}$  with applied field [Fig. 9(c)], and the electroclinic response becomes nonlinear closer to  $T_{AC}$ . Here, the applied 7 V  $\mu$ m<sup>-1</sup> electric field is not large enough to saturate  $\theta_{app}$  even at the lower-temperature range of the Sm*A*<sup>\*</sup> phase. 7 V  $\mu$ m<sup>-1</sup> is the maximum field that can be applied to the sample without the material deteriorating.

The POM images of a planar-aligned cell of thickness 9  $\mu$ m containing **DR277** recorded at 65.5 °C (~11.5 °C below the isotropic to Sm*A*\* transition temperature and 1 °C above *T*<sub>AC</sub>) are shown in Fig. 9(d). For texture observations, the cell is fixed in the hot stage by keeping the LC cell rubbing direction *R*<sub>d</sub> at an angle  $\alpha = \sim 23^{\circ}$  to the polarizer/analyzer. Like **DR276**, the electric-field treatment at higher temperatures of **DR277** in the Sm*A*\* phase closer to the isotropic phase generates a uniform monodomain alignment. This is reasonably satisfactory for carrying out the electro-optical studies (Fig. 9). Under crossed polarizers, the Sm*A*\* phase shows a uniform pink birefringence color due to a finite value of  $\Delta n$  [Fig. 9(d)], and application of an external field, 7 V  $\mu$ m<sup>-1</sup>, produces an obvious change in the color of the POM texture [Fig. 9(e)], indicating an increase in the magnitude of  $\Delta n$  [Figs. 9(a) and 9(b)].

In the conventional Sm $A^*$  phase, LC molecules have strong orientational order even at zero electric field. Therefore, the magnitude of  $\Delta n$  in the absence of an electric field is usually large, and upon the application of a field, molecules stay parallel to each other in a planar-aligned cell, hence the field dependent variation of  $\Delta n$  is very weak. By contrast, in de Vries

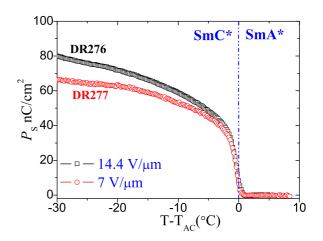


FIG. 10. Spontaneous polarization  $P_{\rm S}$  vs  $(T - T_{\rm AC})$  for **DR276** ( $\Box$ ,  $d = 2.657 \,\mu$ m,  $E = 14.4 \,\text{V}/\mu$ m,  $f = 81.7 \,\text{Hz}$ ) and **DR277** ( $\circ$ ,  $d = 2.33 \,\mu$ m,  $E = 7 \,\text{V}/\mu$ m,  $f = 44 \,\text{Hz}$ ). Measurements are carried out on planar-aligned cells under cooling by the application of triangular wave ac voltage.

 $SmA^*$ , the LC molecules are orientationally distributed about a tilt cone in the absence of the external electric field. Therefore, upon lowering the temperature from the high-temperature side of the  $SmA^*$  phase to the  $SmA^*$ - $SmC^*$  transition temperature,  $T_{\rm AC}$ , the zero-field  $\Delta n$  is greatly reduced due to the orientational averaging of molecules about the tilt cone. Upon the application of an electric field, the azimuthal tilt direction becomes biased and the azimuthal angles condense to a specific value. As a result,  $\Delta n$  of the de Vries SmA<sup>\*</sup> phase should increase substantially with applied field. In the materials under study,  $\theta_{app}$  and  $\Delta n$  depend sensitively on temperature close to  $T_{AC}$ , and the electroclinic tilt [Figs. 8(a) and 8(c) and Figs. 9(a) and 9(c)] observed in the SmA<sup>\*</sup> phase is accompanied by the variation in the magnitude of  $\Delta n$  [Figs. 8(a) and 8(b) and Figs. 9(a) and 9(b)]. Based on the temperature and electric-field dependences of  $\theta_{app}$  and  $\Delta n$ , we conclude that the SmA<sup>\*</sup> phase in both DR276 (Fig. 8) and DR277 (Fig. 9) is of the de Vries type [32–35], and the behavior of  $\theta_{app}$  and  $\Delta n$  can be explained in terms of the de Vries diffuse cone model [10,23,36].

The spontaneous polarization  $P_s$  plots for **DR276** and **DR277** are shown in Fig. 10. Measurements are carried out on planar-aligned cells for cell thicknesses of 2.657 and 2.33 µm, respectively. Values of  $P_s$  were obtained by integrating the observed current peaks recorded under the application of triangular wave voltage [37,38]. To ensure that the polarization is saturated by the field, the amplitude of the triangular wave was selected for each sample at a maximum possible value without causing an irreversible damage to the sample (14.4 V/µm for

**DR276** and 7 V/ $\mu$ m for **DR277**). The frequency of the waveform is optimized in Sm*A*<sup>\*</sup> phase to minimize the hysteresis arising from the viscosity and ionic effects (81.7 Hz for **DR276** and 44 Hz for **DR277**). At  $T = T_{AC}$ -30 °C,  $P_S$  for **DR276** is ~80 nC cm<sup>-2</sup> and is ~66 nC cm<sup>-2</sup> for **DR277**.

# C. X-ray diffraction studies

The XRD patterns of **DR276** in Figs. 11(a)-11(c) show a pair of sharp arcs in the small-angle scattering region corresponding to the smectic-layer spacing and diffuse arcs for wide-angle scattering at 4.6 Å corresponding to the effective molecular width. The pair of arcs are orthogonal to each other in the  $\text{Sm}A^*$  phase [Fig. 11(a)] as indicated by the orthogonal solid yellow lines confirming an oriented domain in the SmA\* phase. At T = 67.3 °C, Fig. 11(b), the arcs became wider. However, upon a closer inspection, the arcs split azimuthally into several reflections for the same scattering position. This indicates tilting of the layer domains confirming the tilted  $SmC^*$  phase. Because of the multiple domains, it is not possible to determine quantitatively the tilt of the molecule with respect to the smectic layer. In the low-temperature mesophase below  $SmC^*$  [T = 49.5 °C, Fig. 11(c)] assigned here as SmX, arcs appear as rings. This is consistent with diffraction patterns observed for multiple unoriented smectic domains.

The temperature dependence of the layer spacing of **DR276**, plotted in Fig. 11(d), reflects the sample undergoing a weakly first-order phase transition to the Sm $C^*$  phase at  $T = 78.5 \,^{\circ}$ C,

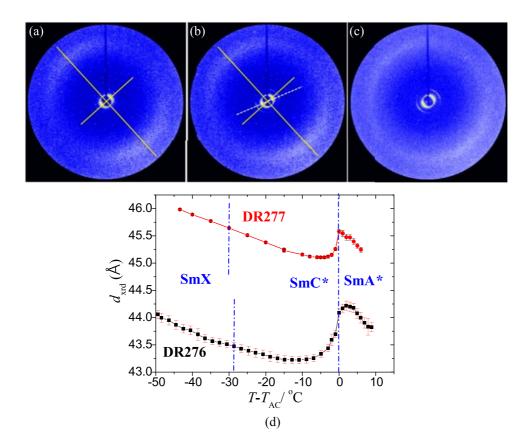


FIG. 11. X-ray diffraction patterns for **DR276** at temperatures (a)  $80.1 \degree C$ , (b)  $67.3 \degree C$ , and (c)  $49.5 \degree C$ . (d) Temperature dependence of the smectic-layer spacings for **DR276** and **DR277**. Note a reversal in the trend of the layer thickness from increasing to decreasing within the temperature range of Sm $A^*$  phase.

	LC Materials		
Properties	DR276	DR277	
Iso-SmA* transition temperature	~87 °C	~77 °C	
$SmA^*$ temperature range	~8.5 °C	~12.5 °C	
$SmA^*-SmC^*$ transition enthalpy ( $\Delta H$ )	$\sim 0.4  \mathrm{J/g}$	$\sim 0.4  \mathrm{J/g}$	
$\Delta n$ at $T_{\rm AC}$ for $E = 0$	$\sim 0.059$	$\sim 0.048$	
$\Delta n$ at $T_{\rm AC}$ for $E = E_{\rm max}$	$\sim 0.078$ (at 14.5 V/ $\mu$ m)	$\sim 0.063$ (at 7 V/ $\mu$ m)	
Difference in $\Delta n$ at $T_{AC}$	0.019	0.015	
$\theta_{app}$ at $T_{AC} + 0.2 ^{\circ}\text{C}$	$\sim 26.6^{\circ}$ (at 14.5 V/ $\mu$ m)	$\sim 26.1^\circ$ (at 7 V/ $\mu$ m)	
Layer shrinkage at $T_{AC}$ -10 °C	$\sim 1.9\%$	$\sim 0.9\%$	
Reduction factor, R at $T_{AC}$ -10 °C	~0.319	$\sim 0.222$	
$P_s$ at $T_{\rm AC}$ -30 °C	$\sim 80 \text{nC/cm}^2$ (at 14.5 V/ $\mu$ m)	$\sim$ 67 nC/cm <sup>2</sup> (at 7 V/ $\mu$ m	

TABLE II. Properties of **DR276** and **DR277** compounds.

as indicated by a vertical blue dashed line at  $T - T_{AC} = 0$ . At the SmA\*-SmC\* phase transition, the layer spacing is  $d_A =$  $(44.09 \pm 0.05)$  Å. In the SmC<sup>\*</sup> phase, the minimum layer spacing is  $d_{\rm C} = 43.23$  Å at T = 68.5 °C corresponding to T = $(T_{\rm AC} - 10)$  °C. The optimal layer shrinkage,  $\frac{d_A - d_C}{d_A} \times 100 =$ 1.9%, is minimal, which is one of the important characteristics of the de Vries smectics. The increasing layer spacing at temperatures below  $T = 48 \,^{\circ}\text{C}$  could be interpreted as the untilting of the molecules back to the orthogonal state (i.e., molecules are perpendicular to the smectic layer). However, the observed optical texture [Fig. 7(g)] is not consistent with this interpretation, which means this phase remains in the tilted orientation. Notably, the maximum smectic layer spacing, 44.22 Å, is about 5 Å less than the molecular length  $(L_{calc} = 49.71 \text{ Å})$  in *all-trans* configuration as calculated using Avogadro (v1.1.1) [39].

The temperature dependence of the layer spacing of **DR277** is also shown in Fig. 11(d). Similar to **DR276**, a weakly first-order phase transition from Sm $A^*$  to Sm $C^*$  is observed at 65.5 °C. The maximum layer spacing occurs just above  $T_{AC}$  where  $d_A = 45.58$  Å. The minimum layer spacing occurs at 10 °C below Sm $A^*$  to Sm $C^* T = (T_{AC} - 10)$  °C, where  $d_C = 45.16$  Å. The optimal layer shrinkage,  $(d_A - d_C)/d_A \times 100 = 0.9\%$ , is roughly one-half of the value obtained for **DR276**.

The reduction factor R, a measure of de Vriesness of a smectic LC, is defined as

$$R = \frac{\delta(T)}{\theta_{\text{app}(T)}} = \frac{\cos^{-1}[d_c(T)/d_{\text{AC}}]}{\theta_{\text{app}(T)}},$$
(1)

where  $\delta(T)$  determines the layer contraction relative to the layer spacing  $d_{AC}$  at the Sm $A^*$  to Sm $C^*$  transition temperature  $T_{AC}$ . This is based on the assumption that the rigid rod model of LCs is applicable to these materials [40].  $\theta_{app}$  is the apparent optical tilt angle determined using POM [Figs. 8(a) and 9(a)]. According to Eq. (1), the ideal de Vries smectic LC produces a defect-free bookshelf geometry in Sm $C^*$  with R = 0, i.e., the short-range LC molecular tilt order at a lower temperature in the Sm $A^*$  phase becomes long range close to the  $T_{AC}$ , where the de Vries cone angle is equal to the saturated  $\theta_{opt}$ at  $T = T_{AC}$ . Upon entering the Sm $C^*$ , the azimuthal ordering of the molecular director on the cone localizes these to a single orientation. In this case, the layer contraction is absent and then  $d_C(T)/d_{AC} = 1$ , and R = 0. The lowest reported magnitude of reduction factor, R, is 0.17 for the chiral de Vries QL32-6 LC, which exhibits a maximum layer contraction of only 0.2% at 3 K ( $T = T_{AC} - 3$  K) below the Sm $A^*$ -Sm $C^*$  transitions with  $\theta_{opt}$  of 20° [18]. The molecular design of QL32-6 combines a tri-carbosilane end group attached to a 5-phenylpyrimidine core. Even though the QL32-6 compound exhibits the smallest layer shrinkage, its crystallization temperature is approximately  $53 \,^{\circ}$ C and the SmC\* phase is observed only over a temperature range of  $\sim 9^{\circ}$ C. In our case, **DR276** and **DR277** exhibit approximately 30°C-wide SmC\* phase regions and their crystallization temperatures are below 15 °C. Materials **DR276** and **DR277** at 10  $^{\circ}$ C below the Sm $A^*$ -Sm $C^*$  transition temperature  $T_{AC}$  ( $T = T_{AC} - 10^{\circ}$ C) lead to  $R \approx 0.319$  and  $R \approx 0.222$  and to the apparent optical tilt angles  $\theta_{app}$  of 34.6° [Fig. 8(a)] and  $35.1^{\circ}$  [Fig. 9(a)], respectively. For **DR277**, the *R* value obtained is reasonably low and hence we can safely conclude that **DR277** is one of the "best de Vries smectic" LC.

Table II draws a comparison between the properties of **DR276** and **DR277** compounds.

# **IV. CONCLUSIONS**

The objective of our current study is to explore new "excellent de Vries LCs," and in order to advance our objective, new materials with a proposed strategy have been designed and characterized. The use of various techniques such as POM, XRD, and electro-optics including measurements of birefringence confirm that these compounds indeed have characteristics of de Vries smectics. Here, we report on the synthesis and de Vries properties of a 5-phenylpyimidine benzoate mesogen terminated with a carbosilane tail on one side and the chiral 2-octanol on the opposite side. The techniques of DSC and POM are employed to determine the mesophase transition temperatures, the individual phases, and to find the phase-transition enthalpies. Measurements of the temperaturedependent smectic-layer spacing combined with results of  $\theta_{app}$ and  $\Delta n$  reveal that the SmA<sup>\*</sup> phase in these compounds is indeed of the de Vries type. A comparison of the two materials under study shows that the compound DR277 with a tetracarbosilane backbone exhibits better de Vries properties. We also show that by increasing the length of the carbosilane tail, the layer shrinkage from  $\sim 1.9\%$  (for tri-carbosilane **DR276**) is reduced to  $\sim 0.9\%$  (for tetra-carbosilane DR277). Our future work will involve synthesis of chiral mesogen similar to those reported here but involving even higher numbers of carbosilane groups to optimize the excellent de Vries properties observed over a wide temperature range of the  $SmC^*$  phase that also includes the ambient temperature. The carbosilane compounds introduced here exhibit almost ideal de Vries characteristics, as do the siloxane compounds studied extensively in the literature [41–43]. However, the carbosilane compounds are relatively more stable than siloxanes over time, and they are easily alignable.

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