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DECODING NETS AND THE THEORY OF GRAPHS*

ZDZISLAW PAWLAK

Decoding nets are basic components of digital computers and other similar devices. The definition and the general theory of decoding nets are given in [3]. It is shown in this paper that the problem of minimizing decoding nets may be expressed in the language of the theory of graphs. (A decoding net may be assumed to be a graph; diodes are interpreted as branches, and wire connections between diodes as nodes of a graph). The necessary condition that a decoding net has the least number of diodes is given. In this paper, "decoding" has a little wider significance than in [3] and it will be defined exactly in what follows.

A graph G is a set $N(G)$ of nodes together with a set $B(G)$ of branches (the two sets having no common elements) such that:

- a. With each branch $A \in B(G)$, the ordered pair of nodes $(a, b) \in N(G)$ is associated. (We say that A is *directed* from a to b , and a, b are called, respectively, the *beginning* and the *end* of the branch A .)
- b. There is at most one branch between every pair of nodes $(a, b) \in N(G)$.
- c. For all nodes $a \in N(G)$, there is a branch $A \in B(G)$ such that a is the end or the beginning of A .

In this paper, we restrict ourselves to *finite* graphs, i.e., graphs for which $N(G)$ and $B(G)$ are both finite.

We denote by $\varphi_N(G)$ and $\varphi_B(G)$ the number of elements of $N(G)$ and $B(G)$ respectively. In the following, $\varphi(A)$ denotes the number of elements of the set A . A sequence $a_0, A_1, a_1, A_2, \dots, A_n, a_n$, ($n \geq 1, a_i \in N(G), A_i \in B(G)$), will be called a *way* from a_0 to a_n in G , if for all i ($1 \leq i \leq n$), a_{i-1} and a_i are the beginning and the end of A_i respectively.

If $(a, b) \in N(G)$, and there is exactly one way from a to b in G , we say that a is *connected* to b in G . (The case where there is more than one way from a to b in G will not be considered in this paper.)

If a is connected to b in G , we will write $a \text{ Con } b$. Of course, the relation Con is not an equivalence relation.

A way which contains only two nodes is called *simple*.

We now introduce definitions of the relation Con between a set of nodes and a single node. These will be useful later. Let $M(G) \subset N(G)$ and $b \in N(G) - M(G)$. Then we define $M(G) \text{ Con } b$ to mean that $a \in M(G)$ implies $a \text{ Con } b$. Similarly, $b \text{ Con } M(G)$ means that $a \in M(G)$ implies $b \text{ Con } a$.

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A graph G is a *tree* if for all ordered pairs $(a, b) \in N(G)$ there is at most one way from a to b in G .

A graph G is *simple* if all ways in it are simple.

COROLLARY 1. *Each simple graph is a tree.*

If $a \in N(G)$, and there is no $b \in N(G)$ such that $a \text{ Con } b$, then a is an *output* of G .

If $a \in N(G)$ and there is no $b \in N(G)$ such that $b \text{ Con } a$, then a is an *input* of G .

Let $I(G)$ (or $O(G)$) be the set of all inputs (or outputs) of a graph G , partitioned into n classes $K_1(G), K_2(G), \dots, K_n(G)$ (i.e., $I(G) = \bigcup_{i=1}^n K_i(G)$ and $\bigcap_{i=1}^n K_i(G) = \emptyset$). The set $R_I(G)$ of n elements r_1, r_2, \dots, r_n such that $r_i \in K_i(G)$ ($i = 1, 2, \dots, n$) will be called a *representation* of a set $I(G)$. If K_1, K_2, \dots, K_n are nonempty classes, then the set $I(G)$ has $\prod_{i=1}^n \varphi(K_i(G))$ different representations. For example, if $\varphi(K_1) = 2$, $\varphi(K_2) = 2$, $\varphi(K_3) = 4$, $\varphi(K_4) = 8$, then $I(G)$ has $2 \cdot 2 \cdot 4 \cdot 8$ ($= 128$) different representations.

A graph G is a *decoding net* (d.n.) if

- (a) the set $O(G)$ of outputs is not empty,
- (b) the set $I(G)$ can be partitioned into classes $K_1(G), K_2(G), \dots, K_n(G)$, $n \geq 2$ such that $\varphi(K_i(G)) \geq 2$, and such that
- (c) for all representations $R_I(G)$ there exists at most one $a \in O(G)$ such that $R_I(G) \text{ Con } a$.

It is easy to prove the following.

THEOREM 1. *Let the directions of all branches in the d.n. G be reversed. Call the resulting graph G^* . Then the set of outputs $O(G^*)$ can be partitioned into classes $K_1(G^*), K_2(G^*), \dots, K_n(G^*)$, and for all representations $R_O(G)$, there exists at most one $a \in I(G^*)$ such that $a \text{ Con } R_O(G^*)$.*

Such a graph G^* will be called a *reverse decoding net*, with respect to the d.n. G . In the following we will consider d.n.'s only; however all results concerning d.n.'s hold also for reverse decoding nets.

From the definition of a d.n. follows

COROLLARY 2. *Each d.n. is a tree.*

A d.n. is *complete* if for all $R_I(G)$ there exists exactly one $a \in O(G)$ such that $R_I(G) \text{ Con } a$ and for all $a \in O(G)$ there exists exactly one $R_I(G)$ such that $R_I(G) \text{ Con } a$. A complete d.n. is abbreviated c.d.n.

THEOREM 2. *For an arbitrary c.d.n. G ,*

$$\varphi(O(G)) = \prod_{i=1}^n \varphi(K_i(G)).$$

Let G and H be c.d.n.'s. Then the d.n. $G \cup H$ formed so that $O(G) =$

$K_i(H)$ will be called a *superposition* of the d.n. G into a d.n. H and will be denoted by $\text{Sup } K_i(H)/G$. We remark that a superposition of G into H does not always exist.

From the definition of a superposition, we have

COROLLARY 3. *If G and H are c.d.n.'s, then $\text{Sup } K_i(H)/G$ is also a c.d.n.*

We now give a recursive definition of decomposable c.d.n.'s.

- a. Simple c.d.n.'s are decomposable (into themselves).
- b. If G and H are decomposable c.d.n.'s, then the c.d.n.'s $\text{Sup } K_i(H)/G$ are also decomposable (if $\text{Sup } K_i(H)/G$ exists).

In the remainder of this paper, by c.d.n. we will understand a decomposable c.d.n. only.

Let A_n ($n \geq 2$) denote a sequence of K integers a_i separated by "periods" such that $a_i \geq 2, i = 1, 2, \dots, n$. A_n is a *formula* if it contains parentheses "(", ")". For example 2.8.(5.)3) is a formula. A formula is *well-formed* if it contains properly paired† parentheses. The recursive definition of well-formed formulas (w.f.f.'s) is given below.

- a. (A_n) is a w.f.f. (for example—(2.2), (2.2.2), (3.8.4)).
- b. If α and β are w.f.f.'s, then replacing a_i in β by α or in symbols

$\text{Sup} \begin{pmatrix} \beta \\ a_i \end{pmatrix} \alpha$ is also a w.f.f. For example, we have

$$\text{Sup} \begin{pmatrix} (2.4) \\ 4 \end{pmatrix} (2.2) = (2.(2.2)).$$

A w.f.f. with k pairs of parentheses will be denoted by A_n^k . In particular, $A_n^1 = (A_n^0)$.

From the definitions of a decomposable c.d.n. and a w.f.f. we have

LEMMA 1. *The set of all decomposable c.d.n.'s and the set of all w.f.f.'s are isomorphic.*

Thus w.f.f.'s may be assumed to be the names of c.d.n.'s. The w.f.f.'s are associated with c.d.n.'s in the following way.

- a. If G is a simple c.d.n., with inputs partitioned into classes $K_1(G), K_2(G), \dots, K_n(G)$, then the w.f.f. associated with it has the form $(\varphi(K_1(G)) \cdot \varphi(K_2(G)) \cdot \dots \cdot \varphi(K_n(G)))$.

- b. Let $F(G)$ and $F(H)$ denote w.f.f.'s associated with c.d.n.'s G and H respectively. With the c.d.n. $\text{Sup } K_i(H)/G$ we associate the w.f.f.

$\text{Sup} \begin{pmatrix} F(H) \\ a_i \end{pmatrix} F(G)$ where $a_i = \varphi(K_i(G))$. c.d.n.'s A and B are

equivalent if and only if the w.f.f.'s A and B differ at most in

- (1) the order of factors,

† Parentheses occurring in a formula are said to be properly paired if there are as many left-hand parentheses as there are right-hand parentheses.

(2) the number of parentheses, and

(3) the location of parentheses.

Thus each set of integers $a_1, a_2, \dots, a_n, n \geq 2, a_i \geq 2$ defines a class of equivalent c.d.n.'s.

From the definition of a simple c.d.n. we have

$$\text{LEMMA 2. } \varphi_B(A_n^1) = n \prod_{i=1}^n a_i.$$

$$\text{LEMMA 3. } \varphi_N(A_n^1) = \prod_{i=1}^n a_i + \sum_{i=1}^n a_i.$$

LEMMA 4. A c.d.n. A_n^k is decomposable into k simple c.d.n.'s.

From Lemmas 2 and 4 follows

THEOREM 3. $\varphi_B(A_n^k) = \sum_{r=1}^k p_r \prod_r a_i$ where $\prod_r a_i$ denotes the product of factors joined by the r th pair of parentheses, and p_r the number of factors in the r th pair of parentheses.

We assume that each pair of parentheses in the w.f.f. A_n^k is numbered with the numbers $1, 2, \dots, k$; however the manner of numeration is in our case not important. From Lemmas 3 and 4 we have

$$\text{THEOREM 4. } \varphi_N(A_n^k) = \sum_{r=1}^k \prod_r a_i + \sum_{i=1}^n a_i.$$

THEOREM 5. A necessary and sufficient condition for $\varphi_N(A_n^k)$ to be minimal for a given a_1, \dots, a_n is that $k = 1$.

THEOREM 6. A necessary condition for $\varphi_B(A_n^k)$ to be minimal for a given a_1, \dots, a_n is that $k = n - 1$, i.e., each pair of parentheses encloses two factors.

Proof. This theorem is equivalent to the inequality

$$(1) \quad \varphi_B(A_n^k) \geq \varphi_B(A_n^{k+1})$$

for $k = 1, 2, \dots, n - 2$. Let A_n^k have the form

$$(2) \quad (x_1, x_2, \dots, x_t) \quad (t < n),$$

where each x_i is either a single term a_i or a product with u_i terms. We may assume that each x_i has $u_i - 1$ parentheses (and the whole formula has k parentheses). Thus from (1), by Lemma 2 and Theorem 3, we obtain

$$(3) \quad \sum_{i=1}^t \varphi_B(x_i) + t \prod_{i=1}^t x_i \geq \sum_{i=1}^t \varphi_B(x_i) + (t - p + 1) \prod_{i=1}^t x_i + p \prod_{k+1} x_i,$$

where p is the number of factors contained in the $(k + 1)$ th pair of parentheses.

From (3), we have

$$(4) \quad p \leq 1 - \frac{1}{p},$$

where $\rho = 1/\prod_{k+1} x_i$ and $\prod_{k+1} x_i$ denotes the product of all factors in (2), with the exception of those contained in the $(k + 1)$ th pair of parentheses. Because $\prod_{k+1} x_i \geq 2$ and $p \geq 2$, the theorem is proved.

The condition is not necessary when $\prod_{k+1} x_i = 2$ and $p = 2$; for example, if $A_3^1 = (2.2.2)$, and $A_3^2 = (2.(2.2))$, then $\varphi_B(A_3^1) = 3 \cdot 8 = 24$, $\varphi_B(A_3^2) = 2 \cdot 4 + 2 \cdot 8 = 24$; or if $B_3^1 = (2.3.4)$, $B_3^2 = (2.(3.4))$, then $\varphi_B(B_3^1) = 3 \cdot 2 \cdot 3 \cdot 4 = 72$, $\varphi_B(B_3^2) = 2 \cdot 3 \cdot 4 + 2 \cdot 2 \cdot 3 \cdot 4 = 72$. But we can say that the minimum case can always be attained with $k - 1$ parentheses.

It would be interesting to give a necessary condition that $\varphi_B(A_n^{n-1})$ be minimal. However, in the general case it seems to be rather difficult.

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