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Decomposition of semigroup algebras

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# DECOMPOSITION OF SEMIGROUP ALGEBRAS

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ABSTRACT. Let  $A \subseteq B$  be cancellative abelian semigroups, and let  $R$  be an integral domain. We show that the semigroup ring  $R[B]$  can be decomposed, as an  $R[A]$ -module, into a direct sum of  $R[A]$ -submodules of the quotient ring of  $R[A]$ . In the case of a finite extension of positive affine semigroup rings we obtain an algorithm computing the decomposition. When  $R[A]$  is a polynomial ring over a field we explain how to compute many ring-theoretic properties of  $R[B]$  in terms of this decomposition. In particular we obtain a fast algorithm to compute the Castelnuovo-Mumford regularity of homogeneous semigroup rings. As an application we confirm the Eisenbud-Goto conjecture in a range of new cases. Our algorithms are implemented in the MACAULAY2 package MONOMIALALGEBRAS.

## 1. INTRODUCTION

Let  $A \subseteq B$  be cancellative abelian semigroups, and let  $R$  be an integral domain. Denote by  $G(B)$  the group generated by  $B$ , and by  $R[B]$  the semigroup ring associated to  $B$ , that is, the free  $R$ -module with basis formed by the symbols  $t^a$  for  $a \in B$ , and multiplication given by the  $R$ -bilinear extension of  $t^a \cdot t^b = t^{a+b}$ . Extending a result of Hoa and Stückrad in [16], we show that the semigroup ring  $R[B]$  can be decomposed, as an  $R[A]$ -module, into a direct sum of  $R[A]$ -submodules of  $R[G(A)]$  indexed by the elements of the factor group  $G(B)/G(A)$ .

By a *positive affine semigroup* we mean a finitely generated subsemigroup  $B \subseteq \mathbb{N}^m$ , for some  $m$ . If  $A \subseteq B \subseteq \mathbb{N}^m$  are positive affine semigroups,  $K$  is a field, and the positive rational cones  $C(A) \subseteq C(B)$  spanned by  $A$  and  $B$  are equal, then  $K[B]$  is a finitely generated  $K[A]$ -module and we can make the decomposition above effective. In this case the number of submodules  $I_g$  in the decomposition is finite, and we can choose them to be ideals of  $K[A]$ . We give an algorithm for computing the decomposition, implemented in our MACAULAY2 [12] package MONOMIALALGEBRAS [4].

By a *simplicial semigroup*, we mean a positive affine semigroup  $B$  such that  $C(B)$  is a simplicial cone. If  $B$  is simplicial and  $A$  is a subsemigroup generated by elements on the extremal rays of  $B$ , many ring-theoretic properties of  $K[B]$  such as being Gorenstein, Cohen-Macaulay, Buchsbaum, normal, or seminormal, can be characterized in terms of the decomposition, see Proposition 3.1. Using this we can provide functions to test those properties efficiently.

Recall that any positive affine semigroup  $B$  has a unique minimal generating set called its *Hilbert basis*  $\text{Hilb}(B)$ . By a *homogeneous semigroup* we mean a positive affine semigroup that admits an  $\mathbb{N}$ -grading where all the elements of  $\text{Hilb}(B)$  have degree 1.

One motivation for developing the decomposition algorithm was to have a more efficient algorithm to compute the Castelnuovo-Mumford regularity (see Section 4 for the definition)

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of a homogeneous semigroup ring  $K[B]$ . This invariant is often computed from a minimal graded free resolution of  $K[B]$  as a module over a polynomial ring in  $n$  variables, where  $n$  is the cardinality of  $\text{Hilb}(B)$ . The free resolution could have length  $n - 1$ , and if  $n$  is large (say  $n \geq 15$ ) this computation becomes very slow. But in fact the Castelnuovo-Mumford regularity of  $K[B]$  can be computed from a minimal graded free resolution of  $K[B]$  as a module over any polynomial ring, so long as  $K[B]$  is finitely generated. For example, if  $A$  is the subsemigroup generated by elements of  $\text{Hilb}(B)$  that lie on the extremal rays of  $B$ , and  $K[B] \cong \bigoplus_g I_g$  is a decomposition as graded  $K[A]$ -modules, then the regularity of  $K[B]$  is the maximum of the regularities of the  $I_g$  as  $K[A]$ -modules (Proposition 4.1). Since the minimal graded free resolution of  $I_g$  has length at most the cardinality of  $\text{Hilb}(A)$  (equal to the dimension of  $K[B]$  in the simplicial case), and the decomposition can be obtained very efficiently, this method of computing the regularity is typically much faster. See Section 4 for timings.

The Eisenbud-Goto conjecture gives a bound on the Castelnuovo-Mumford regularity [9]. It is known to hold in relatively few cases. The efficiency of our algorithm allows us to test many new cases of the conjecture (Proposition 4.3).

## 2. DECOMPOSITION

If  $X \subseteq G(B)$  we write  $t^X := \{t^x \mid x \in X\}$ .

**Theorem 2.1.** *Let  $A \subseteq B$  be cancellative abelian semigroups, and let  $R$  be an integral domain. The  $R[A]$ -module  $R[B]$  is isomorphic to the direct sum of submodules  $I_g \subseteq R[G(A)]$  indexed by elements  $g \in G := G(B)/G(A)$ .*

*Proof.* We think of an element  $g \in G$  as a subset of  $G(B)$ . For  $g \in G$  let

$$\Gamma'_g := \{b \in B \mid b \in g\}.$$

By construction, we have

$$R[B] = \bigoplus_{g \in G} R \cdot t^{\Gamma'_g}.$$

For each  $g \in G$ , choose a representative  $h_g \in g \subseteq G(B)$ . The module  $R \cdot t^{\Gamma'_g}$  is an  $R[A]$ -submodule of  $R[B]$  and, as such, it is isomorphic to

$$I_g := R \cdot \{t^{b-h_g} \mid b \in \Gamma'_g\} \subseteq R[G(A)].$$

□

With notation as in the proof, we have

$$R[B] \cong_{R[A]} \bigoplus_{g \in G} I_g \cdot t^{h_g}.$$

This decomposition, together with the ring structure of  $R[A]$  and the group structure of  $G$  actually determines the ring structure of  $R[B]$ : if  $x \in I_{g_1}$  and  $y \in I_{g_2}$  and  $xy = z$  as elements of  $R[G(A)]$  then as elements in the decomposition of  $R[B]$

$$x \cdot_{R[B]} y = \frac{t^{h_{g_1}} t^{h_{g_2}}}{t^{h_{g_1+g_2}}} z \in I_{g_1+g_2}.$$

**Henceforward we assume that  $A \subseteq B \subseteq \mathbb{N}^m$  are positive affine semigroups, and we work with monomial algebras over a field  $K$ .**

The set  $B_A = \{x \in B \mid x \notin B + (A \setminus \{0\})\}$  is the unique minimal subset of  $B$  such that  $t^{B_A}$  generates  $K[B]$  as a  $K[A]$ -module. We define  $\Gamma_g := \{b \in B_A \mid b \in g\}$ . Then  $\Gamma_g + A = \Gamma'_g$ .

We can compute the decomposition of Theorem 2.1 if  $K[B]$  is a finitely generated  $K[A]$ -module, or equivalently  $B_A$  is a finite set. This finiteness (for positive affine semigroups  $A \subseteq B$ ) is equivalent to the property  $C(A) = C(B)$ , where  $C(X)$  denotes the positive rational cone spanned by  $X$  in  $\mathbb{Q}^m$ . (Proof: if  $C(A) \subsetneq C(B)$  we can choose an element  $x \in B$  on a ray of  $C(B)$  not in  $C(A)$ , so  $nx \in B_A$  for all  $n \in \mathbb{N}^+$ . Thus,  $B_A$  is not finite. Conversely, if  $C(A) = C(B)$ , then for all  $b \in B$  there exists  $n_b \in \mathbb{N}^+$  such that  $n_b b \in A$ . To generate  $K[B]$  as a  $K[A]$ -module, it suffices to take all possible sums of the multiples  $mb$  such that  $m < n_b$  for all  $b$  in a (finite) generating set for the semigroup  $B$ .) Note that if  $B_A$  is finite, then  $G(B)/G(A)$  is also finite.

From these observations we obtain Algorithm 1 computing the set  $B_A$  and the decomposition of  $K[B]$ .

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**Algorithm 1** Decompose monomial algebra

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**Input:** A homogeneous ring homomorphism

$$\psi : K[y_1, \dots, y_d] \rightarrow K[x_1, \dots, x_n]$$

of  $\mathbb{N}^m$ -graded polynomial rings over a field  $K$  with  $\deg y_i = e_i$  and  $\deg x_j = b_j$  such that  $\psi(y_i)$  is a monomial for all  $i$  and the gradings specify positive affine semigroups  $A = \langle e_1, \dots, e_d \rangle \subseteq B = \langle b_1, \dots, b_n \rangle \subseteq \mathbb{N}^m$  with  $C(A) = C(B)$ .

**Output:** An ideal  $I_g \subseteq K[A]$  and a shift  $h_g \in G(B)$  for each  $g \in G := G(B)/G(A)$  with

$$K[B] \cong \bigoplus_{g \in G} I_g(-h_g)$$

as  $\mathbb{Z}^m$ -graded  $K[A]$ -modules (with  $\deg t^b = b$ ).

- 1: Compute the set  $B_A = \{b \in B \mid b \notin B + (A \setminus \{0\})\}$ , and let  $\{v_1, \dots, v_r\}$  be the monomials in  $K[B]$  corresponding to elements of  $B_A$ . For example, this can be done by computing the toric ideal  $I_B := \ker \varphi$  associated to  $B$ , where

$$\varphi : K[x_1, \dots, x_n] \rightarrow K[B], \quad x_i \mapsto t^{b_i},$$

and then computing a monomial  $K$ -basis  $v_1, \dots, v_r$  of

$$K[x_1, \dots, x_n]/(I_B + \psi(\langle y_1, \dots, y_d \rangle)).$$

- 2: Partition the elements  $v_i$  by their class modulo  $G(A)$ , forming the decomposition

$$B_A = \bigcup_{g \in G} \Gamma_g.$$

- 3: For each  $g \in G$ , choose a representative  $\bar{g} \in \Gamma_g$ .
- 4: For each  $v \in \Gamma_g$ , choose  $c_{v,j} \in \mathbb{Z}$  such that

$$v = \bar{g} + \sum_{j=1}^d c_{v,j} e_j.$$

- 5: Let  $\bar{c}_{g,j} := \min\{c_{v,j} \mid v \in \Gamma_g\}$ .

6: **return**

$$\left\{ h_g := \bar{g} + \sum_{j=1}^d \bar{c}_{g,j} e_j, \quad I_g := K[A]\{t^{v-h_g} \mid v \in \Gamma_g\} \mid g \in G \right\}$$


---

For  $v \in \Gamma_g$  the element  $t^{v-h_g}$  is in  $K[A]$  because

$$v - h_g = \sum_{j=1}^d (c_{v,j} - \bar{c}_{g,j}) e_j$$

is an expression with non-negative integer coefficients. Thus,  $I_g$  is a monomial ideal of  $K[A]$  and  $h_g \in G(B)$  for each  $g \in G$ , as required.

**Example 2.2.** Consider  $B = \langle (2, 0, 3), (4, 0, 1), (0, 2, 3), (1, 3, 1), (1, 2, 2) \rangle \subset \mathbb{N}^3$  and the sub-semigroup  $A = \langle (2, 0, 3), (4, 0, 1), (0, 2, 3), (1, 3, 1) \rangle$ . We get the decomposition of  $B_A$  into equivalence classes  $B_A = \{0, (2, 4, 4)\} \cup \{(1, 2, 2), (3, 6, 6)\}$ . Choosing shifts  $h_1 = (-2, 0, -3)$  and  $h_2 = (-1, 2, -1)$  in  $G(B)$  we have

$$\begin{aligned} K[B] &\cong K[A]\{t^{(2,0,3)}, t^{(4,4,7)}\}(-h_1) \oplus K[A]\{t^{(2,0,3)}, t^{(4,4,7)}\}(-h_2) \\ &\cong \langle x_0, x_1x_2^2 \rangle(-h_1) \oplus \langle x_0, x_1x_2^2 \rangle(-h_2), \end{aligned}$$

where  $K[A] \cong K[x_0, x_1, x_2, x_3]/\langle x_1^2x_2^3 - x_0^3x_3^2 \rangle$ .

**Example 2.3.** Using our implementation of Algorithm 1 in the MACAULAY2 package MONOMIALALGEBRAS we compute the decomposition of  $\mathbb{Q}[B]$  over  $\mathbb{Q}[A]$  in case of Example 2.2:

```
i1: loadPackage "MonomialAlgebras";
i2: A = {{2,0,3},{4,0,1},{0,2,3},{1,3,1}};
i3: B = {{2,0,3},{4,0,1},{0,2,3},{1,3,1},{1,2,2}};
i4: S = QQ[x_0 .. x_4, Degrees=>B];
i5: P = QQ[x_0 .. x_3, Degrees=>A];
i6: f = map(S,P);
i7: dc = decomposeMonomialAlgebra f
o7: HashTable{ {0,0,0} => { ideal ( x_0, x_1x_2^2 ), {-2,0,-3} }
              {5,0,0} => { ideal ( x_0, x_1x_2^2 ), {-1,2,-1} } }
i8: ring first first values dc
o8:  $\frac{P}{x_1^2x_2^3 - x_0^3x_3^2}$ 
```

The keys of the hash table represent the elements of  $G$ .

### 3. RING-THEORETIC PROPERTIES

Recall that a positive affine semigroup  $B$  is simplicial if it spans a simplicial cone, or equivalently, there are linearly independent elements  $e_1, \dots, e_d \in B$  with  $C(B) = C(\{e_1, \dots, e_d\})$ . Many ring-theoretic properties of semigroup algebras can be determined from the combinatorics of the semigroup; see [10, 18, 19, 21, 27]. Here we give characterizations in terms of the decomposition of Theorem 2.1.

**Proposition 3.1.** *Let  $K$  be a field,  $B \subseteq \mathbb{N}^m$  a simplicial semigroup, and let  $A$  be the submonoid of  $B$  which is generated by linearly independent elements  $e_1, \dots, e_d$  of  $B$  with  $C(A) = C(B)$ . Let  $B_A$  be as above, and  $K[B] \cong \bigoplus_{g \in G} I_g(-h_g)$  be the output of Algorithm 1 with respect to  $A \subseteq B$  using minimal generators of  $A$ . We have:*

- (1) *The depth of  $K[B]$  is the minimum of the depths of the ideals  $I_g$ .*
- (2)  *$K[B]$  is Cohen-Macaulay if and only if every ideal  $I_g$  is equal to  $K[A]$ .*
- (3)  *$K[B]$  is Gorenstein if and only if  $K[B]$  is Cohen-Macaulay and the set of shifts  $\{h_g\}_{g \in G}$  has exactly one maximal element with respect to  $\leq$  given by  $x \leq y$  if there is an element  $z \in B$  such that  $x + z = y$ .*
- (4)  *$K[B]$  is Buchsbaum if and only if each ideal  $I_g$  is either equal to  $K[A]$ , or to the homogeneous maximal ideal of  $K[A]$  and  $h_g + b \in B$  for all  $b \in \text{Hilb}(B)$ .*
- (5)  *$K[B]$  is normal if and only if for every element  $x$  in  $B_A$  there exist  $\lambda_1, \dots, \lambda_d \in \mathbb{Q}$  with  $0 \leq \lambda_i < 1$  for all  $i$  such that  $x = \sum_{i=1}^d \lambda_i e_i$ .*
- (6)  *$K[B]$  is seminormal if and only if for every element  $x$  in  $B_A$  there exist  $\lambda_1, \dots, \lambda_d \in \mathbb{Q}$  with  $0 \leq \lambda_i \leq 1$  for all  $i$  such that  $x = \sum_{i=1}^d \lambda_i e_i$ .*

*Proof.* For every  $x \in G(B)$  there are uniquely determined elements  $\lambda_1^x, \dots, \lambda_d^x \in \mathbb{Q}$  such that  $x = \sum_{j=1}^d \lambda_j^x e_j$ . Then by construction

$$h_g = \sum_{j=1}^d \min \{ \lambda_j^v \mid v \in \Gamma_g \} e_j.$$

Assertion (1) and (2) follow immediately; (2) was already mentioned in [27, Theorem 6.4]. Assertion (3) can be found in [27, Corollary 6.5].

(4) Let  $I_g$  be a proper ideal, equivalently,  $\#\Gamma_g \geq 2$ . The ideal  $I_g$  is equal to the homogeneous maximal ideal of  $K[A]$  and  $h_g + b \in B$  for all  $b \in \text{Hilb}(B)$  if and only if  $\Gamma_g = \{m + e_1, \dots, m + e_d\}$  for some  $m$  with  $m + b \in B$  for all  $b \in \text{Hilb}(B)$ . Now the assertion follows from [10, Theorem 9].

(5) We set  $D_A = \{x \in G(B) \mid x = \sum_{i=1}^d \lambda_i e_i, \lambda_i \in \mathbb{Q} \text{ and } 0 \leq \lambda_i < 1 \forall i\}$ . The ring  $K[B]$  is normal if and only if  $B = C(B) \cap G(B)$  by [18, Proposition 1]. We need to show that  $C(B) \cap G(B) \subseteq B$  if and only if  $B_A \subseteq D_A$ . We have  $B_A \subseteq D_A$  if and only if  $D_A \subseteq B_A$ , since  $B_A$  has  $\#G = \#D_A$  equivalence classes and by definition of  $B_A$ . Note that  $D_A \subseteq C(B) \cap G(B)$  and  $D_A \cap B \subseteq B_A$ . The assertion follows from the fact that every element  $x \in C(B) \cap G(B)$  can be written as  $x = x' + \sum_{i=1}^d n_i e_i$  for some  $x' \in D_A$  and  $n_i \in \mathbb{N}$ .

(6) We set  $\bar{D}_A := \{x \in B \mid x = \sum_{i=1}^d \lambda_i e_i, \lambda_i \in \mathbb{Q} \text{ and } 0 \leq \lambda_i \leq 1 \forall i\}$ . By [19, Proposition 5.32] and [21, Theorem 4.1.1]  $K[B]$  is seminormal if and only if  $B_A \subseteq \bar{D}_A$ , provided that  $e_1, \dots, e_d \in \text{Hilb}(B)$ . Otherwise there is a  $k \in \{1, \dots, d\}$  with  $e_k = e'_k + e''_k$  and  $e'_k, e''_k \in B \setminus \{0\}$ . We set  $A' = \langle e_1, \dots, e'_k, \dots, e_d \rangle$  and  $A'' = \langle e_1, \dots, e''_k, \dots, e_d \rangle$ . Clearly  $C(A) = C(A') = C(A'')$ . We need to show that  $B_A \subseteq \bar{D}_A$  if and only if  $B_{A'} \subseteq \bar{D}_{A'}$ . Let  $x \in B_A \setminus \bar{D}_A$ . If  $x - e'_k \notin B$ , then  $x \in B_{A'} \setminus \bar{D}_{A'}$ . If  $x - e'_k \in B$ , then  $x - e'_k \in B_{A''} \setminus \bar{D}_{A''}$ . Let  $x \in B_{A'} \setminus \bar{D}_{A'}$ , say  $x = \sum_{j \neq k} \lambda_j e_j + \lambda_k e'_k$  and  $\lambda_j > 1$  for some  $j$ . If  $j \neq k$ , then  $x \in B_A \setminus \bar{D}_A$ . Let  $j = k$ ; consider the element  $y = x + e''_k - \sum_{j \neq k} n_j e_j \in B$  for some  $n_j \in \mathbb{N}$  such that  $\sum_{j \neq k} n_j$  is maximal. It follows that  $y \in B_A \setminus \bar{D}_A$  and we are done.  $\square$

Note that normality of positive affine semigroup rings can also be tested using the implementation of normalization in the program NORMALIZ [6]. We remark that from Proposition 3.1 it follows that every simplicial affine semigroup ring  $K[B]$  which is seminormal and Buchsbaum is also Cohen-Macaulay. This holds more generally for arbitrary positive affine semigroups by [7, Proposition 4.15].

**Example 3.2** (Smooth Rational Monomial Curves in  $\mathbb{P}^3$ ). Consider the simplicial semigroup  $B = \langle (\alpha, 0), (\alpha - 1, 1), (1, \alpha - 1), (0, \alpha) \rangle \subseteq \mathbb{N}^2$  and set  $A = \langle (\alpha, 0), (0, \alpha) \rangle$ , say  $K[A] = K[x, y]$ . Note that we have  $\alpha$  equivalence classes. We get

$$K[B] \cong K[x, y]^3 \oplus \langle x^{\alpha-3}, y \rangle \oplus \langle x^{\alpha-4}, y^2 \rangle \oplus \dots \oplus \langle x, y^{\alpha-3} \rangle,$$

as  $K[x, y]$ -modules, where the shifts are omitted. In the decomposition each ideal of the form  $\langle x^i, y^j \rangle$ ,  $1 \leq i, j \leq \alpha - 3$  with  $i + j = \alpha - 2$  appears exactly once. Hence  $K[B]$  is not Buchsbaum for  $\alpha > 4$ , since  $\langle x^{\alpha-3}, y \rangle$  is a direct summand. In case  $\alpha = 4$  there is only one proper ideal  $I_4 = \langle x, y \rangle$  and  $h_4 = (2, 2)$ ; in fact  $(2, 2) + \text{Hilb}(B) \subseteq B$  and therefore  $K[B]$  is Buchsbaum. It follows immediately that  $K[B]$  is Cohen-Macaulay for  $\alpha \leq 3$ , Gorenstein for  $\alpha \leq 2$ , seminormal for  $\alpha \leq 3$ , and normal for  $\alpha \leq 3$ . Note that we could also decompose  $K[B]$  over the subring  $K[A]$ , where  $A = \langle (2\alpha, 0), (0, 2\alpha) \rangle = K[x', y']$ , for  $\alpha = 4$  we would get

$$K[B] \cong K[x', y']^{15} \oplus \langle x', y' \rangle$$

and the corresponding shift of  $\langle x', y' \rangle$  is again  $(2, 2)$ .

**Example 3.3.** Let  $B = \langle (1, 0, 0), (0, 1, 0), (0, 0, 2), (1, 0, 1), (0, 1, 1) \rangle \subset \mathbb{N}^3$ , moreover, let  $A = \langle (1, 0, 0), (0, 1, 0), (0, 0, 2) \rangle$ , say  $K[A] = K[x, y, z]$ . This example was given in [21, Example 6.0.2] to study the relation between seminormality and the Buchsbaum property. We have

$$K[B] \cong K[A] \oplus \langle x, y \rangle(- (0, 0, 1)),$$

as  $\mathbb{Z}^3$ -graded  $K[A]$ -modules. Hence  $K[B]$  is not Buchsbaum, since  $\langle x, y \rangle$  is not maximal; moreover,  $K[B]$  is seminormal, but not normal.

**Example 3.4.** Consider the semigroup  $B = \langle (1, 0, 0), (0, 2, 0), (0, 0, 2), (1, 0, 1), (0, 1, 1) \rangle \subset \mathbb{N}^3$ , and set  $A = \langle (1, 0, 0), (0, 2, 0), (0, 0, 2) \rangle$ . We get

$$K[B] \cong K[A] \oplus K[A](- (1, 0, 1)) \oplus K[A](- (0, 1, 1)) \oplus K[A](- (1, 1, 2)).$$

Hence  $K[B]$  is Gorenstein, since  $(1, 0, 1) + (0, 1, 1) = (1, 1, 2)$ . Moreover,  $K[B]$  is not normal, since  $(1, 0, 1) = (1, 0, 0) + \frac{1}{2}(0, 0, 2)$ , but seminormal.

**Example 3.5.** We illustrate our implementation of the characterizations given in Proposition 3.1 at Example 3.4:

```
i1: B = {{1,0,0},{0,2,0},{0,0,2},{1,0,1},{0,1,1}};
i2: isGorensteinMA B
o2: true
i3: isNormalMA B
o3: false
i4: isSeminormalMA B
o4: true
```

Note that there are also commands `isCohenMacaulayMA` and `isBuchsbaumMA` available testing the Cohen-Macaulay and the Buchsbaum property, respectively.

#### 4. REGULARITY

Let  $K$  be a field and let  $R = K[x_1, \dots, x_n]$  be a standard graded polynomial ring, that is,  $\deg x_i = 1$  for all  $i = 1, \dots, n$ . Let  $R_+$  be the homogeneous maximal ideal of  $R$ , and let  $M$  be a finitely generated graded  $R$ -module. We define the *Castelnuovo-Mumford regularity*  $\operatorname{reg} M$  of  $M$  by

$$\operatorname{reg} M := \max \left\{ a(H_{R_+}^i(M)) + i \mid i \geq 0 \right\},$$

where  $a(H_{R_+}^i(M)) := \max \left\{ n \mid [H_{R_+}^i(M)]_n \neq 0 \right\}$  and  $a(0) = -\infty$ ;  $H_{R_+}^i(M)$  denotes the  $i$ -th local cohomology module of  $M$  with respect to  $R_+$ . Note that  $\operatorname{reg} M$  can also be computed in terms of the shifts in a minimal graded free resolution of  $M$ . An important application of the regularity is that it bounds the degrees in certain minimal Gröbner bases by [1]. Thus, it is of interest to compute or bound the regularity of a homogeneous ideal. The following conjecture (Eisenbud-Goto) was made in [9]: If  $K$  is algebraically closed and  $I$  is a homogeneous prime ideal of  $R$  then for  $S = R/I$

$$\operatorname{reg} S \leq \deg S - \operatorname{codim} S.$$

Here  $\deg S$  denotes the degree of  $S$  and  $\operatorname{codim} S := \dim_K S_1 - \dim S$  the codimension. The conjecture has been proved for dimension 2 by Gruson, Lazarsfeld, and Peskine [13]; for the Buchsbaum case by Stückrad and Vogel [29] (see also [30]); for  $\deg S \leq \operatorname{codim} S + 2$  by Hoa, Stückrad, and Vogel [17]; and in characteristic zero for smooth surfaces and certain smooth threefolds by Lazarsfeld [20] and Ran [25]. There is also a stronger version in which  $S$  is only required to be reduced and connected in codimension 1; this version has been proved in



dimension 2 by Giaimo in [11]. For homogeneous semigroup rings of codimension 2 the conjecture was proved by Peeva and Sturmfels [24]. Even in the simplicial setting the conjecture is largely open, though it was proved for the isolated singularity case by Herzog and Hibi [15]; for the seminormal case by [22]; and for a few other cases by [16, 23].

We now focus on computing the regularity of a homogeneous semigroup ring  $K[B]$ . Note that a positive affine semigroup  $B$  is homogeneous if and only if there is a group homomorphism  $\deg : G(B) \rightarrow \mathbb{Z}$  with  $\deg b = 1$  for all  $b \in \text{Hilb}(B)$ . We always consider the  $R$ -module structure on  $K[B]$  given by the homogeneous surjective  $K$ -algebra homomorphism  $R \rightarrow K[B], x_i \mapsto t^{b_i}$ , where  $\text{Hilb}(B) = \{b_1, \dots, b_n\}$ . Generalizing the results from [16], the regularity can be computed in terms of the decomposition of Theorem 2.1 as follows:

**Proposition 4.1.** *Let  $K$  be an arbitrary field and let  $B \subseteq \mathbb{N}^m$  be a homogeneous semigroup. Fix a group homomorphism  $\deg : G(B) \rightarrow \mathbb{Z}$  with  $\deg b = 1$  for all  $b \in \text{Hilb}(B)$ . Moreover, let  $A$  be a submonoid of  $B$  with  $\text{Hilb}(A) = \{e_1, \dots, e_d\}$ ,  $\deg e_i = 1$  for all  $i$ , and  $C(A) = C(B)$ . Let  $K[B] \cong \bigoplus_{g \in G} I_g(-h_g)$  be the output of Algorithm 1 with respect to  $A \subseteq B$ . Then*

- (1)  $\text{reg } K[B] = \max \{\text{reg } I_g + \deg h_g \mid g \in G\}$ ; where  $\text{reg } I_g$  denotes the regularity of the ideal  $I_g \subseteq K[A]$  with respect to the canonical  $K[x_1, \dots, x_d]$ -module structure.
- (2)  $\deg K[B] = \#G \cdot \deg K[A]$ .

*Proof.* (1) Consider the  $T = K[x_1, \dots, x_d]$ -module structure on  $K[B]$  which is given by  $T \rightarrow K[A] \subseteq K[B], x_i \mapsto t^{e_i}$ . Since  $C(A) = C(B)$  we get by [5, Theorem 13.1.6]

$$H_{K[B]_+}^i(K[B]) \cong H_{T_+}^i(K[B]),$$

as  $\mathbb{Z}$ -graded  $T$ -modules (where  $K[B]_+$  is the homogeneous maximal ideal of  $K[B]$ ). By the same theorem we obtain  $H_{K[B]_+}^i(K[B]) \cong H_{R_+}^i(K[B])$ . Then the assertion follows from  $K[B] \cong \bigoplus_{g \in G} I_g(-\deg h_g)$  as  $\mathbb{Z}$ -graded  $T$ -modules.

- (2) Follows from  $\deg I_g = \deg K[A]$  for all  $g \in G$ . □

Using Proposition 4.1 we obtain Algorithm 2.

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**Algorithm 2** The regularity algorithm

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**Input:** The Hilbert basis  $\text{Hilb}(B)$  of a homogeneous semigroup  $B \subseteq \mathbb{N}^m$  and a field  $K$ .

**Output:** The Castelnuovo-Mumford regularity  $\text{reg } K[B]$ .

- 1: Choose a minimal subset  $\{e_1, \dots, e_d\}$  of  $\text{Hilb}(B)$  with  $C(\{e_1, \dots, e_d\}) = C(B)$ , and set  $A = \langle e_1, \dots, e_d \rangle$ .
  - 2: Compute the decomposition  $K[B] \cong \bigoplus_{g \in G} I_g(-h_g)$  over  $K[A]$  by Algorithm 1.
  - 3: Compute a hyperplane  $H = \{(t_1, \dots, t_m) \in \mathbb{R}^m \mid \sum_{j=1}^m a_j t_j = c\}$  with  $c \neq 0$  such that  $\text{Hilb}(B) \subseteq H$ . Define  $\deg : \mathbb{R}^m \rightarrow \mathbb{R}$  by  $\deg(t_1, \dots, t_m) = (\sum_{j=1}^m a_j t_j)/c$ .
  - 4: **return**  $\text{reg } K[B] = \max \{\text{reg } I_g + \deg h_g \mid g \in G\}$ .
- 

By Algorithm 2 the computation of  $\text{reg } K[B]$  reduces to computing minimal graded free resolutions of the monomial ideals  $I_g$  in  $K[A]$  as  $K[x_1, \dots, x_d]$ -modules.

**Example 4.2.** We apply Algorithm 2 using the decomposition computed in Example 2.3. A resolution of  $I = \langle x_0, x_1 x_2^2 \rangle$  as a  $T = \mathbb{Q}[x_0, x_1, x_2, x_3]$ -module is

$$0 \longrightarrow T(-4) \oplus T(-5) \xrightarrow{d} T(-1) \oplus T(-3) \longrightarrow I \longrightarrow 0$$

with

$$d = \begin{pmatrix} x_1x_2^2 & x_0^2x_3^2 \\ -x_0 & -x_1x_2 \end{pmatrix},$$

hence  $\text{reg } I = 4$ . The group homomorphism is given by  $\deg b = (b_1 + b_2 + b_3)/5$  and therefore  $\text{reg } \mathbb{Q}[B] = \max\{4 - 1, 4 - 0\} = 4$ .

With respect to timings, we first focus on dimension 3 comparing our implementation of Algorithm 2 in the MACAULAY2 package MONOMIALALGEBRAS (marked in the tables by MA) with other methods. Here we consider the computation of the regularity via a minimal graded free resolution both in MACAULAY2 (M2) and SINGULAR [8] (S). Furthermore, we compare with the algorithm of Bermejo and Gimenez [2]. This method does not require the computation of a free resolution, and is implemented in the SINGULAR package MREGULAR.LIB [3] (BG-S) and the MACAULAY2 package REGULARITY [26] (BG-M2). For comparability we obtain the toric ideal  $I_B$  always through the program 4TI2 [14], which can be called optionally in our implementation (using [28]). We give the average computation times over  $n$  examples generated by the function `randomSemigroup( $\alpha, d, c, \text{num}=>n, \text{setSeed}=>\text{true}$ )`. Starting with the standard random seed, this function generates  $n$  random semigroups  $B \subseteq \mathbb{N}^d$  such that

- $\dim K[B] = d$ .
- $\text{codim } K[B] = c$ ; that is the number of generators of  $B$  is  $d + c$ .
- Each generator of  $B$  has coordinate sum equal to  $\alpha$ .

All timings are in seconds on a single 2.7 GHz core and 4 GB of RAM. In the cases marked by a star at least one of the computations ran out of memory or did not finish within 1200 seconds. Note that the computation of  $\text{reg } I_g$  in step 4 of Algorithm 2 could easily be parallelized. This is not available in our MACAULAY2 implementation so far.

The next table shows the comparison for  $K = \mathbb{Q}$ ,  $d = 3$ ,  $\alpha = 5$ , and  $n = 15$  examples.

$c$	1	2	3	4	5	6	7	8	9
MA	.073	.089	.095	.10	.13	.14	.14	.19	.16
M2	.0084	.0089	.011	.017	.043	.10	.45	2.8	21
S	.0099	.0089	.011	.013	.020	.046	.18	1.1	6.8
BG-S	.016	.030	.19	1.2	15	24	59	44	77
BG-M2	.036	.053	.47	1.8	9.0	19	34	39	43
$c$	10	11	12	13	14	15	16	17	18
MA	.21	.26	.22	.26	.29	.30	.31	.36	.47
M2	180	*	*	*	*	*	*	*	*
S	30	*	*	*	*	*	*	*	*
BG-S	170	520	*	*	*	*	360	460	350
BG-M2	85	150	140	250	310	290	300	410	320

For small codimension  $c$  the decomposition approach has slightly higher overhead than the traditional algorithms. For larger codimensions, however, both the resolution approach in MACAULAY2 and SINGULAR and the Bermejo-Gimenez implementation in SINGULAR fail. The average computation times of the REGULARITY package increase significantly, whereas those for Algorithm 2 stay under one second. The traditional approaches become more competitive when considering the same setup over the finite field  $K = \mathbb{Z}/101$ , but are still much slower than Algorithm 2:

$c$	1	2	3	4	5	6	7	8	9
MA	.072	.088	.093	.10	.12	.13	.13	.19	.16
M2	.0075	.0095	.010	.013	.020	.032	.090	.40	2.8
S	.0067	.010	.011	.015	.023	.041	.16	.99	6.3
BG-S	.017	.020	.031	.052	.094	.12	.18	.34	.42
BG-M2	.030	.037	.064	.14	.34	.48	.80	1.5	2.0
$c$	10	11	12	13	14	15	16	17	18
MA	.21	.25	.22	.25	.29	.29	.31	.35	.39
M2	26	*	*	*	*	*	*	*	*
S	28	250	*	*	*	*	*	*	*
BG-S	.57	.88	.88	1.1	1.4	1.5	1.7	2.5	2.4
BG-M2	3.3	4.4	4.4	6.4	7.9	7.8	9.2	12	13

Note that over a finite field there may not exist a homogeneous linear transformation such that the initial ideal is of nested type, see for example [2, Remark 4.9]. This case is not covered and hence does not terminate in the implementation of the Bermejo-Gimenez algorithm in the REGULARITY package. In the standard configuration the package MREGULAR.LIB can handle this case, but then does not perform well over a finite field in our setup. Hence we use its alternative option, which takes the same approach as the REGULARITY package and applies a random homogeneous linear transformation.

Increasing the dimension to  $d = 4$  we compare our implementation with the most competitive one, that is, MREGULAR.LIB ( $K = \mathbb{Z}/101$ ,  $\alpha = 5$ ,  $n = 1$ ). Here also the SINGULAR implementation of the Bermejo-Gimenez algorithm fails:

$c$	4	8	12	16	20	24	28	32	36	40	44	48	52
MA	.13	.31	3.8	13	.69	2.2	1.7	1.9	1.5	4.4	6.0	8.9	13
BG-S	.61	2.2	46	150	380	840	940	*	*	*	*	*	*

To illustrate the performance of Algorithm 2 we present the computation times ( $K = \mathbb{Z}/101$ ,  $n = 1$ ) of our implementation for  $d = 3$  and various  $\alpha$  and  $c$ :

$\alpha \backslash c$	4	8	12	16	20	24	28	32	36	40	44	48	52
3	.083												
4	.073	.10	.24										
5	.11	.13	.15	.22									
6	.11	.31	.21	.22	.27	.75							
7	.10	.16	.18	.24	.29	.86	1.0	1.4					
8	.11	.22	.26	.31	.35	.54	.67	.85	1.2	3.6			
9	.13	.25	.31	.38	.56	.64	.77	.98	1.4	3.8	5.7	8.6	13

The following table is based on a similar setup for  $d = 4$ :

$\alpha \backslash c$	8	16	24	32	40	48	56	64	72	80
3	.18	.51								
4	.26	.32	.54							
5	.31	13	2.2	1.9	4.4	8.9				
6	9.6	120	*	*	3.4	7.8	15	36	66	120

Obtaining the regularity via Algorithm 2 involves two main computations - decomposing  $K[B]$  into a direct sum of monomial ideals  $I_g \subseteq K[A]$  via Algorithm 1 and computing a minimal graded free resolution for each  $I_g$ . The computation time for the first task is increasing with the codimension. On the other hand the complexity of the second task grows with the cardinality of  $\text{Hilb}(A)$ , which tends to be small for big codimension. This explains the good performance of the algorithm for large codimension observed in the table above. In particular the simplicial case shows an impressive performance as illustrated by the following table for simplicial semigroups with  $d = 5$  and  $\alpha = 5$  (same setup as before). The examples are generated by the function `randomSemigroup` using the option `simplicial=>true`.

$c$	10	20	30	40	50	60	70	80	90	100	110	120
MA	13	13	17	32	69	86	110	170	250	400	650	1000

In case of a homogeneous semigroup ring of dimension 2 the ideals  $I_g$  are monomial ideals in two variables. Hence we can read off  $\text{reg } I_g$  by ordering the monomials with respect to the lexicographic order (see, for example, [23, Proposition 4.1]). This further improves the performance of the algorithm.

Due to the good performance of Algorithm 2 we can actually do the regularity computation for all possible semigroups  $B$  in  $\mathbb{N}^d$  such that the generators have coordinate sum  $\alpha$  for some  $\alpha$  and  $d$ . This confirms the Eisenbud-Goto conjecture for some cases.

**Proposition 4.3.** *The regularity of  $\mathbb{Q}[B]$  is bounded by  $\deg \mathbb{Q}[B] - \text{codim } \mathbb{Q}[B]$ , provided that the minimal generators of  $B$  in  $\mathbb{N}^d$  have fixed coordinate sum  $\alpha$  for  $d = 3$  and  $\alpha \leq 5$ , for  $d = 4$  and  $\alpha \leq 3$ , as well as for  $d = 5$  and  $\alpha = 2$ .*

*Proof.* The list of all generating sets  $\text{Hilb}(B)$  together with  $\text{reg } \mathbb{Q}[B]$ ,  $\deg \mathbb{Q}[B]$ , and  $\text{codim } \mathbb{Q}[B]$  can be found under the link given in [4].  $\square$

Figure 1 depicts the values of  $\deg \mathbb{Q}[B] - \text{codim } \mathbb{Q}[B]$  plotted against  $\text{reg } \mathbb{Q}[B]$  for all semigroups with  $\alpha = 3$  and  $d = 4$ . For the same setup Figure 2 shows  $\text{reg } \mathbb{Q}[B]$  on top of  $\text{codim } \mathbb{Q}[B]$  plotted against  $\deg \mathbb{Q}[B]$ . The line corresponds to the projection of the plane  $\text{reg } \mathbb{Q}[B] - \deg \mathbb{Q}[B] + \text{codim } \mathbb{Q}[B] = 0$ . Figures for the remaining cases can be found at [4].

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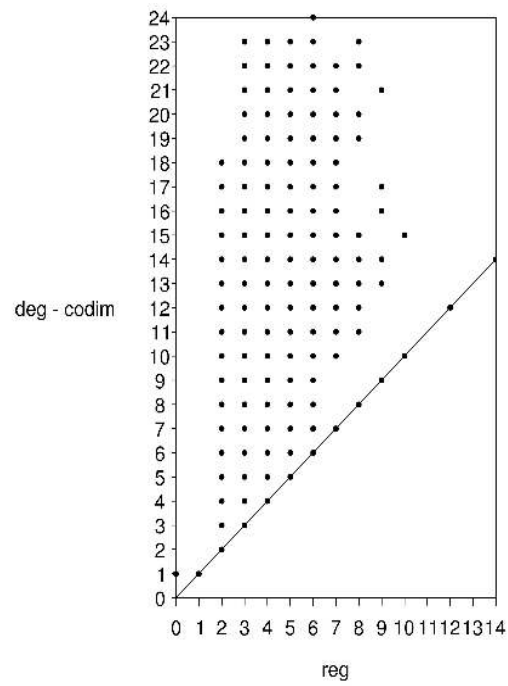


FIGURE 1.  $\deg \mathbb{Q}[B] - \text{codim} \mathbb{Q}[B]$  against  $\text{reg} \mathbb{Q}[B]$  for  $\alpha = 3$  and  $d = 4$ .

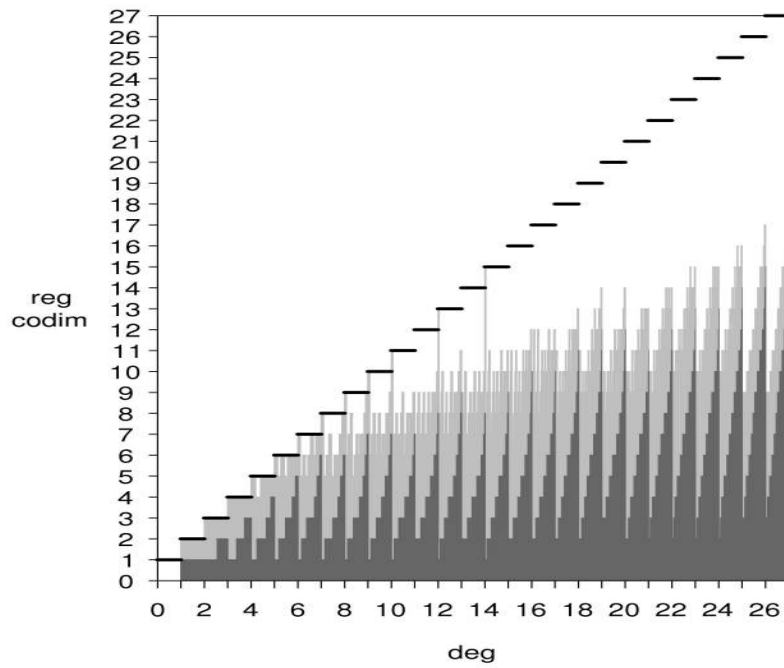


FIGURE 2.  $\text{reg} \mathbb{Q}[B] + \text{codim} \mathbb{Q}[B]$  against  $\deg \mathbb{Q}[B]$  for  $\alpha = 3$  and  $d = 4$ .

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