

DECOMPOSITION THEOREM AND LACUNARY CONVERGENCE
OF RIESZ-BOCHNER MEANS OF FOURIER TRANSFORMS
OF TWO VARIABLES

SATORU IGARI^{*)}

(Received September 10, 1980)

Introduction. This paper is concerned with some inequalities related to Fourier transforms of functions of two variables. Our starting points are Fefferman's divergence theorem [4] of spherical means of Fourier transforms and Carleson-Sjölin theorem [1] on the norm convergence of the Riesz-Bochner means $s_R^\sigma(f)$.

In the previous paper [8] the author showed that the lacunary subsequence of the Riesz-Bochner means $s_R^\sigma(f)$ with positive order of a function f in $L^p(\mathbf{R}^2)$, $4/3 \leq p \leq 2$, converges almost everywhere. In this note we shall apply a technique in [8] to prove Carleson-Sjölin theorem for l^2 -valued functions. It gives a partial answer of a problem in Stein [9] and also implies lacunary convergence theorem in the previous paper.

In the last section we shall prove a decomposition theorem of Littlewood-Paley type for "weak" spherical truncations.

1. Carleson-Sjölin theorem for l^2 -valued functions. For an integrable function f on the two dimensional euclidean space \mathbf{R}^2 let \hat{f} be the Fourier transform:

$$\hat{f}(\xi) = \frac{1}{2\pi} \int_{\mathbf{R}^2} f(x) e^{-i\xi x} dx, \quad \xi \in \mathbf{R}^2.$$

The Riesz-Bochner kernel s_R^σ of order $\sigma \geq 0$ is defined by $\hat{s}_R^\sigma(\xi) = (1 - |\xi|^2/R^2)^\sigma$ for $|\xi| < R$ and $= 0$ otherwise, and the Riesz-Bochner mean of f by $s_R^\sigma(f) = s_R^\sigma * f$, the convolution of f and s_R^σ .

THEOREM 1. *Let $\{R_n\}$ be a sequence of positive numbers with Hadamard's gap, i.e., $R_{n+1}/R_n > q$ ($n = 0, \pm 1, \pm 2, \dots$) for some $q > 1$. Let $4/3 \leq p \leq 4$ and $\sigma > 0$. Then*

$$(1.1) \quad \left\| \left(\sum_n |s_{R_n}^\sigma(f_n)|^2 \right)^{1/2} \right\|_p \leq c \left\| \left(\sum_n |f_n|^2 \right)^{1/2} \right\|_p$$

^{*)} Partly supported by the Grant-in-Aid for Co-operative and Scientific Research, the Ministry of Education, Science and Culture, Japan.

for $\{f_n\} \in L^p \cap L^1(\mathbf{R}^2; l^2)$, where $\|\cdot\|_p$ denotes the L^p -norm and c a constant depending only on q, p and σ .

In the following we fix $q > 1$ and $\sigma > 0$ and denote by c a positive constant depending only on q and σ which will be different in each occasion. In Theorem 1 the case $p = 4$ is most essential and other cases will follow from this case by duality and interpolation arguments. Our proof proceeds along the line of the previous paper [8] but for convenience' sake we give a complete proof.

Let ϕ be a C^∞ -function on $(-\infty, \infty)$ such that the support of $\phi \subset (1, 3)$ and $1 = \sum_{n=-\infty}^\infty \phi(2^{-n}\rho)$ for $\rho > 0$. For $\delta > 0$ put $\phi_{R,\delta}(\xi) = \phi(R^{-1}\delta^{-1}(R - |\xi|))$, $\xi \in \mathbf{R}^2$ and $s_R(f) = s_{R,\delta}^\sigma(f) = s_R^* \hat{\phi}_{R,\delta} * f$. Then (1.1) follows from the inequality

$$(1.2) \quad \left\| \left(\sum_n |s_{R_n}(f_n)|^2 \right)^{1/2} \right\|_4 \leq c\delta^\varepsilon \left\| \left(\sum_n |f_n|^2 \right)^{1/2} \right\|_4$$

where ε is a positive constant depending only on σ and q .

Put

$$I_{m,n} = \int |s_{R_m}(f_m) s_{R_n}(f_n)|^2 dx .$$

THEOREM (1.3) (Fefferman [4], cf. Córdoba [2]). *We have*

$$I_{n,n} \leq c\delta^\varepsilon \int |f_n|^4 dx .$$

For a locally integrable function g in \mathbf{R}^2 let g^* be the Hardy-Littlewood maximal function, i.e.,

$$g^*(x) = \sup_{r>\delta} \frac{1}{\pi r^2} \int_{|x-y|<r} |g(y)| dy .$$

LEMMA (1.4) *There exist $\varepsilon > 0$ and $2 > \gamma > 1$ such that*

$$(1.5) \quad I_{m,n} \leq c\delta^\varepsilon \int (|f_m|^{2/\gamma})^{*\gamma} |s_{R_n}(f_n)|^2 dx$$

for all $\{f_n\} \in L^p \cap L^1(\mathbf{R}^2)$ and for m, n satisfying $R_n/R_m < \delta^2$.

PROOF. Let $\{\psi^j; j = 0, 1, \dots, [2\pi\delta^{-1}] - 1\}$ be a partition of unity on the unit circle such that $\psi^j(\omega) = \psi(\delta^{-1}(\omega - j\delta))$, $0 \leq j < [2\pi\delta^{-1}] - 1$ where ψ is a C^∞ -function on $(-\infty, \infty)$ with support contained in $(-3/4, 3/4)$. Define $s_R^j = s_{R,\delta}^{\sigma,j}$ by $\hat{s}_R^j(\xi) = \hat{s}_R(\xi)\psi^j(\omega)$, where $\xi = |\xi|(\cos \omega, \sin \omega)$. Then $s_{R_m}^j(f_m) = s_{R_m}^j * f_m$ satisfies

$$s_{R_m}(f_m) = \sum_j s_{R_m}^j(f_m) .$$

Since $\hat{s}_{R_m}^j(f_m) * \hat{s}_{R_n}(f_n) \cdot \hat{s}_{R_m}^k(f_m) * \hat{s}_{R_n}(f_n) \equiv 0$ if $|j - k| > 1$, we have

$$I_{m,n} \leq 3 \sum_j \int |s_{R_m}^j(f_m) s_{R_n}(f_n)|^2 dx .$$

Define $\eta^j = \eta_{R_m, \delta}^j$ and $\eta = \eta_{R_m, \delta}$ by

$$\hat{\eta}^0(\xi_1, \xi_2) = \psi([\xi_1 - R_m]^2 + \xi_2^2 / 100 \delta^2 R_m^2) ,$$

$\eta^j(\xi) = \eta^0(M_j \xi)$, where M_j is the rotation of angle δj , and

$$\hat{\eta}(\xi) = \psi(|\xi| / 100 R_m) .$$

Then

$$s_{R_m}^j(f_m) = s_{R_m}^j * \eta^j * \eta * f_m .$$

Suppose that the support of f_m is contained in the square Q of side length $R_m^{-1} \delta^{-\gamma}$ with center at O , where $\gamma > 1$ is a number close to 1 but determined later. By Schwarz's inequality

$$|s_{R_m}^j(f_m)(x)|^2 \leq \int |s_{R_m}^j|^2 dx \int |\eta^j * \eta * f_m|^2 dx .$$

Since $\int |s_{R_m}^j|^2 dx \leq c R_m^2 \delta^{2\sigma+2}$,

$$(1.6) \quad \sum_j |s_{R_m}^j(f_m)(x)|^2 \leq c R_m^2 \delta^{2\sigma+2} \sum_j \int |\eta^j * \eta * f_m|^2 dx .$$

By the Parseval relation $\sum_j \int |\eta^j * \eta * f_m|^2 dx \leq c \int |\eta * f_m|^2 dx$. Furthermore by Young's inequality $\|\eta * f_m\|_2 \leq \|\eta\|_{2/(3-\gamma)} \|f_m\|_{2/\gamma} \leq c R_m^{\gamma-1} \|f_m\|_{2/\gamma}$. Thus the right hand side of (1.6) is bounded by $c \delta^\varepsilon \left(\int_Q |f_m|^{2/\gamma} dx \right)^\gamma$, where $\varepsilon = 2(\sigma + 1 - \gamma^2)$ which is positive for γ close to 1. Thus

$$(1.7) \quad \sum_j |s_{R_m}^j(f_m)(x)|^2 \leq c \delta^\varepsilon (|f_m|^{2/\gamma})^{*\gamma}(x)$$

for $x \in 3Q$.

Next remark that for every $M \geq 0$ there exists a constant c such that

$$(1.8) \quad |s_{R_m}^j(x)| \leq c R_m^2 \delta^{\sigma+2} (R_m \delta |x|)^{-M}$$

for $x \neq O$. Thus

$$(1.9) \quad |s_{R_m}^j(f_m)(x)| \leq c \delta^\sigma (R_m \delta |x|)^{-M+2} (|f_m|^{2/\gamma})^{*\gamma/2}(x)$$

for $x \notin 3Q$.

To get an estimate for a general function f_m divide R^2 into non-overlapping squares $\{Q(\alpha)\}$ similar to Q and with center at $R_m^{-1} \delta^{-\gamma} \alpha$ where $\alpha = (\alpha_1, \alpha_2)$ is a lattice point. Then

$$(1.0) \quad \sum_j |s_{R_m}^j(f_m)(x)|^2 \leq c \sum_j \sum_{|\alpha| \leq 1} |s_{R_m}^j(f_m \chi_\alpha)(x)|^2 + c \sum_j \left| \sum_{|\alpha| > 1} s_{R_m}^j(f_m \chi_\alpha)(x) \right|^2$$

where χ_α is the characteristic function of $Q(\alpha)$. Suppose $x \in Q = Q(0)$. We apply (1.7) for the first term on the right hand side of (1.10) and (1.9) for the second term. Then we get

$$(1.11) \quad \begin{aligned} \sum_j |s_{R_m}^j(f_m)(x)|^2 &\leq c \delta^\varepsilon (|f_m|^{2/r})^{*\gamma}(x) + c \delta^{-1} [\delta^\sigma \sum_{|\alpha| > 1} (\delta^{1-\gamma} |\alpha|)^{-M+2} (|f_m|^{2/r})^{*\gamma/2}(x)]^2 \\ &\leq c \delta^\varepsilon (|f_m|^{2/r})^{*\gamma}(x), \end{aligned}$$

if M is sufficiently large. Thus we get (1.11) for all x in \mathbf{R}^2 . Thus we get (1.5).

We shall use the following:

THEOREM (1.12) (Fefferman and Stein [4]). *Let $1 < r, p < \infty$ and $\{f_m\}$ be a sequence of $L^p(\mathbf{R}^d)$. Then*

$$\|(\sum_m f_m^{*r})^{1/r}\|_p \leq c_{p,r} \|(\sum_m |f_m|^r)^{1/r}\|_p,$$

where $c_{p,r}$ is a constant depending only on p and r .

PROOF OF THEOREM 1. Let $\sum_{m,n}^1$ be summation over (m, n) such that $\delta^2 < R_m/R_n < \delta^{-2}$ and $\sum_{m,n}^2$ summation over (m, n) such that $R_m/R_n > \delta^{-2}$ or $R_m/R_n < \delta^2$.

By Schwarz's inequality $I_{m,n} \leq I_{m,m}^{1/2} I_{n,n}^{1/2}$. Thus $\sum_{m,n}^1 I_{m,n} \leq \sum_{m,n}^1 I_{m,m}$. For every m the number of n 's satisfying $\delta^2 < R_m/R_n < \delta^{-2}$ is less than $4 \log \delta^{-1}/\log q$. Thus, by Theorem (1.3)

$$(1.13) \quad \sum_{m,n}^1 I_{m,n} \leq c \log \delta^{-1} \sum_m I_{m,m} \leq c \delta^\varepsilon \log \delta^{-1} \sum_m \int |f_m|^4 dx.$$

By Lemma (1.4)

$$\sum_{m,n}^2 I_{m,n} \leq c \delta^\varepsilon \sum_{m,n} \int (|f_m|^{2/r})^{*\gamma} |s_{R_n}(f_n)|^2 dx.$$

Put $S = \sum_{m,n} I_{m,n} = \|(\sum_m |s_{R_m}(f_m)|^2)^{1/2}\|_4^4$. Then by Schwarz's inequality and Theorem (1.12)

$$\begin{aligned} \sum_{m,n}^2 I_{m,n} &\leq c \delta^\varepsilon \left[\int (\sum_m (|f_m|^{2/r})^{*\gamma})^2 dx \right]^{1/2} S^{1/2} \\ &\leq c \delta^\varepsilon \left[\int (\sum_m |f_m|^2)^2 dx \right]^{1/2} S^{1/2}. \end{aligned}$$

Combining the last inequality with (1.13) we get

$$S \leq c\delta^\varepsilon \left\| \left(\sum_m |f_m|^2 \right)^{1/2} \right\|_4^2 S^{1/2} + c\delta^\varepsilon \log \delta^{-1} \left\| \left(\sum_m |f_m|^2 \right)^{1/2} \right\|_4^4,$$

which proves (1.2) with norm $c\delta^{\varepsilon/8}$.

2. Lacunary convergence of $s_{R_m}^\sigma(f)$. In this section we shall prove the almost everywhere convergence of $s_{R_m}^\sigma(f)$ where $f \in L^p(\mathbf{R}^2)$, $4/3 \leq p \leq 4$, $\sigma > 0$ and $\{R_m\}$ is a lacunary sequence with Hadamard's gap $q > 1$.

Put $\phi_m(\xi) = \phi(2R_m^{-1}|\xi|)$. Remark that $\phi_m(\xi) = 1$ if $3R_m/4 \leq |\xi| \leq R_m$.

By the lacunarity of $\{R_m\}$ we have (cf., e.g., [5; p. 120]):

LEMMA (2.1). *Let $1 < p < \infty$. Then*

$$\left\| \left(\sum_m |f * \hat{\phi}_m|^2 \right)^{1/2} \right\|_p \leq c_p \|f\|_p$$

for $f \in L^p(\mathbf{R}^2)$, where c_p is a constant not depending on f .

Let $\psi_m(\xi) = 1 - \phi_m(\xi)$ for $|\xi| \leq R_m$ and $= 0$ otherwise.

LEMMA (2.2). *Suppose $\sigma \geq 0$. Then*

$$\sup_n |s_{R_n}^\sigma * \hat{\psi}_n * f(x)| \leq cf^*(x)$$

for $f \in L^1(\mathbf{R}^2)$.

PROOF. Since $\hat{s}_{R_n}^\sigma(\xi)\psi_n(\xi) = \eta(R_n^{-1}\xi)$ for some C^∞ -function η with compact support, Lemma (2.2) follows from a routine work.

THEOREM 2. *Let $\{R_n\}$ be a sequence of positive numbers with Hadamard's gap $q > 1$. Let $4/3 \leq p \leq 4$ and $\sigma > 0$. Then $s_{R_n}^\sigma(f)$ converges almost everywhere to f for all $f \in L^p(\mathbf{R}^2)$.*

PROOF. Suppose $f \in L^p$. Since $s_{R_n}^\sigma = s_{R_n}^\sigma * \hat{\psi}_n + s_{R_n}^\sigma * \hat{\phi}_n$,

$$\sup_n |s_{R_n}^\sigma(f)(x)| \leq cf^*(x) + \sup_n |s_{R_n}^\sigma(\hat{\phi}_n * f)|.$$

By Lemma (2.1) and Theorem 1

$$\left\| \left(\sum_n |s_{R_n}^\sigma(\hat{\phi}_n * f)|^2 \right)^{1/2} \right\|_p \leq c \|f\|_p.$$

Thus

$$\left\| \sup_n |s_{R_n}^\sigma(\hat{\phi}_n * f)| \right\|_p \leq c \|f\|_p.$$

Thus by the Hardy-Littlewood maximal theorem

$$\left\| \sup_n |s_{R_n}^\sigma(f)| \right\|_p \leq c \|f\|_p$$

from which our theorem follows.

3. Decomposition theorem. Define k_n by the Fourier transform $\widehat{k}_n(\xi) = \phi(2^{-n}|\xi|)$. Let $1 < p < \infty$ and $f \in L^p(\mathbf{R}^2)$. Then we have

$$(3.1) \quad \|f\|_p \approx \left\| \left(\sum_{n=-\infty}^{\infty} |k_n * f|^2 \right)^{1/2} \right\|_p,$$

that is, two norms of f are equivalent (cf., e.g., [5; p. 120]).

Remark that $\widehat{k}_n \in C^\infty$, the support of $\widehat{k}_n \subset \{2^n \leq |\xi| \leq 3 \cdot 2^n\}$ and $\widehat{k}_n = 1$ on $\{3 \cdot 2^{n-1} \leq |\xi| \leq 2^{n+1}\}$, and that (3.1) is valid with \widehat{k}_n replaced by the characteristic function of $\{\xi; 2^n \leq |\xi| < 2^{n+1}\}$ if and only if $p=2$ (Fefferman [4]).

Let $\sigma > 0$ and $1 > \tau > 0$. Define D_n ($n = 0, \pm 1, \pm 2, \dots$) as follows. Put $\widehat{D}_0(\xi) = 1$ for $|\xi| < 2$, $[(2 + \tau - |\xi|)/\tau]^\sigma$ for $2 \leq |\xi| < 2 + \tau$ and 0 for $2 + \tau \leq |\xi|$, and $\widehat{D}_n(\xi) = \widehat{D}_0(2^{-n}\xi)$. Let $\Delta_n = D_n - D_{n-1}$.

THEOREM 3. *Let $4/3 \leq p \leq 4$. Then*

$$(3.2) \quad \|f\|_p \approx \left\| \left(\sum_{n=-\infty}^{\infty} |\Delta_n * f|^2 \right)^{1/2} \right\|_p$$

for $f \in L^p(\mathbf{R}^2)$.

PROOF. Suppose $\{f_n\} \in L^4(l^2)$. First we remark that (1.1) holds if $\{s_{R_n}^j(f_n)\}$ is replaced by $\{D_n * f_n\}$. In fact, (1.3) with $\{D_n * f_n\}$ in place of $\{s_{R_n}^j(f_n)\}$ is valid by an elementary reduction process. On the other hand we have an estimate similar to (1.8) with D_m^j and 2^m in place of $s_{R_m}^j$ and R_m respectively where a definition of D_m^j will be obvious. Thus we get Lemma (1.4) for the kernels D_n .

Thus we have

$$(3.3) \quad \left\| \left(\sum_{n=-\infty}^{\infty} |D_n * f_n|^2 \right)^{1/2} \right\|_4 \leq c \left\| \left(\sum_{n=-\infty}^{\infty} |f_n|^2 \right)^{1/2} \right\|_4.$$

Thus

$$\|(\sum | \Delta_n * f_n |^2)^{1/2}\|_4 \leq c \|(\sum | f_n |^2)^{1/2}\|_4.$$

By the duality and interpolation arguments we have

$$(3.4) \quad \|(\sum | \Delta_n * f_n |^2)^{1/2}\|_p \leq c \|(\sum | f_n |^2)^{1/2}\|_p$$

for $\{f_n\} \in L^p(l^2)$, $4/3 \leq p \leq 4$.

Let $f \in L^p(\mathbf{R}^2)$. Then $\{(k_{n-1} + k_n + k_{n+1}) * f\} \in L^p(l^2)$ and by (3.1) and (3.4) we have

$$(3.5) \quad \|(\sum | \Delta_n * f |^2)^{1/2}\|_p \leq c \|f\|_p.$$

On the other hand, since

$$\int f g dx = \sum_n \int (\Delta_n * f)(k_{n-1} + k_n + k_{n+1}) * g dx$$

for smooth functions f and g with compact support, we have an opposite inequality.

REMARK. The author discussed with H. Dappa to organize this note. His result ([3]) on radial multipliers will be related to Theorem 3.

REFERENCES

- [1] L. CARLESON AND P. SJÖLIN, Oscillatory integrals and a multiplier problem for the disc, *Studia Math.* 44 (1972), 287-299.
- [2] A. CORDOBA, A note on Bochner-Riesz operators, *Duke Math. J.* 46 (1979), 505-511.
- [3] H. DAPPA, Oral communication.
- [4] C. FEFFERMAN, The multiplier problem for the ball, *Ann. of Math.* 94 (1972), 330-336.
- [5] C. FEFFERMAN, A note on spherical summation multipliers, *Israel J. Math.* 15 (1973), 44-52.
- [6] C. FEFFERMAN AND E. M. STEIN, Some maximal inequalities, *Amer. J. Math.* 93 (1971), 107-115.
- [7] L. HÖRMANDER, Estimates for translation invariant operators in L^p spaces, *Acta Math.* 104 (1960), 93-140.
- [8] S. IGARI, On the almost everywhere convergence of lacunary Riesz-Bochner means of Fourier transform of two variables, to appear.
- [9] E. M. STEIN, Some problems in harmonic analysis, vol. 1, *Proc. Symp. in Pure Math. A.M.S.* 35 (1979), 3-20.

MATHEMATICAL INSTITUTE
TÔHOKU UNIVERSITY
SENDAI, 980
JAPAN

