

# Decreases in Stomatal Conductance of Soybean under Open-Air Elevation of [CO<sub>2</sub>] Are Closely Coupled with Decreases in Ecosystem Evapotranspiration<sup>1,2</sup>[W][OA]

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Stomatal responses to atmospheric change have been well documented through a range of laboratory- and field-based experiments. Increases in atmospheric concentration of CO<sub>2</sub> ([CO<sub>2</sub>]) have been shown to decrease stomatal conductance ( $g_s$ ) for a wide range of species under numerous conditions. Less well understood, however, is the extent to which leaf-level responses translate to changes in ecosystem evapotranspiration (ET). Since many changes at the soil, plant, and canopy microclimate levels may feed back on ET, it is not certain that a decrease in  $g_s$  will decrease ET in rain-fed crops. To examine the scaling of the effect of elevated [CO<sub>2</sub>] on  $g_s$  at the leaf to ecosystem ET, soybean (*Glycine max*) was grown in field conditions under control (approximately 375  $\mu\text{mol CO}_2 \text{ mol}^{-1}$  air) and elevated [CO<sub>2</sub>] (approximately 550  $\mu\text{mol mol}^{-1}$ ) using free air CO<sub>2</sub> enrichment. ET was determined from the time of canopy closure to crop senescence using a residual energy balance approach over four growing seasons. Elevated [CO<sub>2</sub>] caused ET to decrease between 9% and 16% depending on year and despite large increases in photosynthesis and seed yield. Ecosystem ET was linked with  $g_s$  of the upper canopy leaves when averaged across the growing seasons, such that a 10% decrease in  $g_s$  results in a 8.6% decrease in ET; this relationship was not altered by growth at elevated [CO<sub>2</sub>]. The findings are consistent with model and historical analyses that suggest that, despite system feedbacks, decreased  $g_s$  of upper canopy leaves at elevated [CO<sub>2</sub>] results in decreased transfer of water vapor to the atmosphere.

Soybean (*Glycine max*) is one of two major species that, with maize (*Zea mays*), comprises the largest ecosystem type in temperate North America and covers more than 61.8 million ha in the United States (U.S. Department of Agriculture, 2004). Most of this ecosystem is rain fed, and, since it is located in a continental interior, any direct effect of rising concentration of CO<sub>2</sub> [CO<sub>2</sub>] on its transpiration could have a large impact on

the regional climate (Sellers et al., 1997). With very few exceptions, decreased stomatal conductance ( $g_s$ ) is one of the most consistent and conserved responses of leaves to growth at elevated [CO<sub>2</sub>] (Curtis, 1996; Lee et al., 2001; Medlyn et al., 2001; Zheng and Peng, 2001; Ainsworth et al., 2002; Long et al., 2004; Ainsworth and Long, 2005). Although transpiration will be proportional to  $g_s$  under constant environmental conditions where leaves are tightly coupled with the atmosphere, in the open air the relationship may be more complex. The major resistance pathways between water uptake at the root and transpiration through the stomata influence leaf transpiration, whereas additional resistance pathways exist between the stomata and the bulk atmosphere that can feed back on ecosystem evapotranspiration (ET; Bazzaz and Sombroek, 1996). Resistances occur at the leaf boundary layer, transfer within the plant canopy, transfer to the canopy boundary layer, and entrance into the mixed layer between the canopy and the overlying air. For example, in the short term a lower  $g_s$  at elevated CO<sub>2</sub> will increase leaf temperature and, in turn, water vapor pressure deficit ( $D$ ), which will tend to increase transpiration partially offsetting the response of  $g_s$  to CO<sub>2</sub>. In the longer term, conservation of soil moisture due to decreased  $g_s$  may result in increased leaf growth and, in turn, more transpiration. Conservation of soil moisture will also allow the plant

<sup>1</sup> The views expressed in this work are those of the authors and do not necessarily reflect those of the U.S. Department of Energy or the Illinois State Water Survey.

<sup>2</sup> This work was supported in part by the Office of Science (Biological and Environmental Research program), U.S. Department of Energy (grant no. DE-FG02-03ER63685 to C.J.B.). SoyFACE was funded by the Illinois Council for Food and Agricultural Research, Archer Daniels Midland Company, Pioneer Hi-Bred International, and the U.S. Department of Agriculture Agricultural Research Service.

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www.plantphysiol.org/cgi/doi/10.1104/pp.106.089557

to maintain  $g_s$  and ET for longer during a drought. Such feedbacks may decrease or eliminate a long-term effect of decreased  $g_s$  on ecosystem ET. These uncertainties led the Intergovernmental Panel on Climate Change to conclude that “it is apparent that reductions in  $g_s$  do not necessarily translate into reductions in catchment-scale evapotranspiration” (Arnell and Liu, 2001).

Some studies in field and laboratory chambers have shown a decrease in ET with elevated CO<sub>2</sub> (Chaudhuri et al., 1990; Li et al., 2004; Kim et al., 2006), and others have not (Hileman et al., 1994). However, coupling to the atmosphere, humidity, radiation, and temperature are all altered by chambers, such that they may modify ecosystem response to CO<sub>2</sub> (McLeod and Long, 1999). A free air CO<sub>2</sub> enrichment (FACE) experiment in Maricopa, AZ, demonstrated decreases in ET for wheat (*Triticum aestivum*) grown at elevated [CO<sub>2</sub>] (550  $\mu\text{mol mol}^{-2}$ ) of approximately 7% (Kimball et al., 1999) and for sorghum (*Sorghum bicolor*) about 12% (Triggs et al., 2004), but observed no change in ET for cotton (*Gossypium hirsutum*; Kimball et al., 1994). FACE experiments in central Italy and in Japan observed a 12% reduction in ET for potato (*Solanum tuberosum*; Magliulo et al., 2003) and a 8% reduction in ET for rice (*Oryza sativa*; Yoshimoto et al., 2005) grown at elevated [CO<sub>2</sub>] (550  $\mu\text{mol mol}^{-2}$ ). However, all of these experiments concerned irrigated or flooded systems in which the potential feedback from vegetation to the climate would be expected to be low compared to rain-fed areas in continental interiors (Sellers et al., 1997), such as the Midwestern United States.

The observed decreases in ET for the FACE experiments range from no change to approximately 12% lower with growth in elevated [CO<sub>2</sub>], whereas meta-analyses across the FACE experiments show a decrease in  $g_s$  of 20%, with 95% confidence limits ranging from 22.6% to 17.3% (Ainsworth and Long, 2005). This suggests that the decrease in ET is substantially less than the decrease in  $g_s$ . Does decreased  $g_s$  result in decreased ET in rain-fed systems, which represent most terrestrial vegetation, and, specifically, the Midwest Corn-Belt ecosystem?

The Soybean Free Air Concentration Enrichment (SoyFACE) experiment located in central Illinois within the most productive portion of the Corn Belt provided an opportunity to test the question of whether rising CO<sub>2</sub> will depress ecosystem ET for a rain-fed agricultural ecosystem. Here, across three complete growing seasons, elevation of CO<sub>2</sub> from current ambient (approximately 375 ppm) to 550 ppm decreased midday  $g_s$  of soybean by 16% (Bernacchi et al., 2006). However, leaf area index (LAI) of the same crop in elevated CO<sub>2</sub> was increased, on average, by 10% (Dermody et al., 2006), which could potentially offset the decrease in individual leaf  $g_s$  at the canopy level.

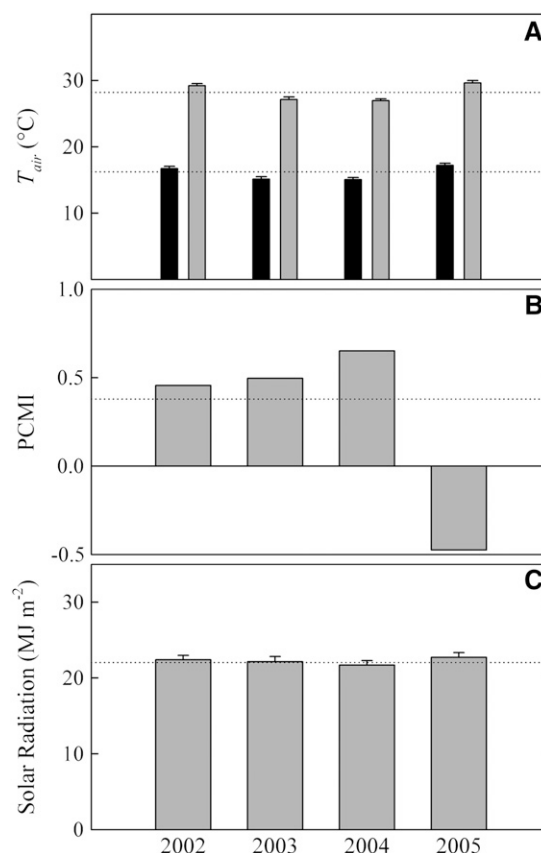
In this study, we present findings from four consecutive years (2002–2005) of season-long ET measurements made at the SoyFACE facility, 3 years of which overlap the leaf-level measurements of  $g_s$  and photosynthesis (Bernacchi et al., 2006). If feedbacks between

the canopy and the atmosphere are sufficiently large to minimize the leaf-level decreases in  $g_s$  from being expressed at the canopy scale, then plants grown in elevated [CO<sub>2</sub>] will have rates of ET similar to plants grown under control conditions.

## RESULTS

### Meteorological and Climatic Conditions Varied over the Duration of This Experiment

The four growing seasons spanning the duration of the experiment (2002–2005) represented a wide range of conditions as is typical with field-based studies on rain-fed sites. Both 2002 and 2005 had slightly above- and 2003 and 2004 slightly below-average temperatures compared with the 30-year mean (Fig. 1A). The Palmer Crop Moisture Index (PCMI) provides a simple indicator of soil moisture status (Palmer, 1968). The



**Figure 1.** A, Mean daily minimum (black bars) and maximum (gray bars) temperatures ( $\pm 1$  se) recorded at a weather station at the SoyFACE research facility in Champaign, IL, over the duration of this experiment. Seasonal 30-year mean maximum and minimum temperatures are represented by dotted lines from a weather station located within 3 km of SoyFACE. B, Seasonal (May–August) mean PCMI (Palmer, 1968; bars) and the mean (May–August) 30-year average PCMI value (dotted line) for Illinois Climate Division 5, which includes SoyFACE. C, Average daily totals ( $\pm 1$  se; bars) from May to August for each growing season and the 30-year running mean daily total solar radiation (dotted line).

mean growing season PCMI for each year from 2002 to 2004 had average or above-average soil moisture status as indicated by the positive PCMI, whereas the 2005 growing season experienced a drought (Fig. 1B). Daily average solar radiation varied slightly over the duration of this study, with 2004 being the only year where the daily mean radiation was less than the 30-year mean (Fig. 1C). The 2003 growing season was unique compared with the other years because a severe hail storm resulted in substantial damage on day of year (DOY) 198. This storm caused an instantaneous reduction in the LAI of at least 55% (Morgan et al., 2005).

### Responses of ET to Elevated $[\text{CO}_2]$ Varied Based on Meteorological Conditions for a Given Day

The analysis presented here includes data from canopy closure until senescence for four growing seasons (totaling more than 300 d). Data from days prior to canopy closure are disproportionately influenced by the dark soils typically found throughout much of the Midwestern United States and results in substantially high soil heat flux ( $G_0$ ) and sensible heat flux ( $H$ ) for this period. Latent heat flux ( $\lambda\text{ET}$ ) and ET are related, such that  $\lambda\text{ET}$  is the amount of energy ( $\text{W m}^{-2}$ ) consumed when evaporating a quantity of water over time, in this case ET. Strictly, then the units of ET are  $\text{kg m}^{-2} \text{s}^{-1}$ , but this can be converted to  $\text{mm s}^{-1}$  and integrated over the number of seconds in a growing season to obtain total millimeters of water per season. The units specific to the results presented will be referred to throughout the "Results," but the general term, ET, will be used in the "Discussion."

Two days from the four growing seasons are given as examples of energy flux, including  $\lambda\text{ET}$  for an overcast and for a clear day (Fig. 2). The overcast day showed no statistically significant  $[\text{CO}_2]$  effect on any of the measured fluxes (Fig. 2, A–E). The sunny day resulted in a statistically lower  $\lambda\text{ET}$  for elevated  $[\text{CO}_2]$  ( $F_{1,574} = 1,385.9$ ,  $P < 0.001$ ; Fig. 2J), which was driven primarily by an increase in  $H$  during the afternoon hours ( $F_{1,574} = 943.26$ ,  $P < 0.001$ ; Fig. 2H).

### Growth in Elevated $[\text{CO}_2]$ Resulted in Warmer Plant Canopies

The micrometeorological method used to assess ET responses to elevated  $[\text{CO}_2]$  relies heavily on accurate measurements of canopy temperature ( $T_c$ ) in determining  $H$ . The effect of elevated  $[\text{CO}_2]$  on the diel surface temperatures were analyzed separately for each year, and for each year the differences between control and elevated  $[\text{CO}_2]$  were statistically different. Data were averaged in 10-min intervals for all replicate plots within a year, and these values were averaged over all 4 years, not including measurements prior to canopy closure when the infrared thermometer measurements would be affected by viewing soil as well as canopy surface temperatures (canopy closure occurred at

approximately DOY 190 for each of the four growing seasons). This also affected measurements during the 2-week period immediately after the hail storm (DOYs 199–213, 2003), which were also excluded. Across the growing season, the elevated  $[\text{CO}_2]$  canopy was about  $0.2^\circ\text{C}$  warmer. This resulted entirely from warmer  $T_c$  during the day with no effect at night. On average,  $T_c$  was over  $0.5^\circ\text{C}$  higher in elevated  $[\text{CO}_2]$  around midday and early afternoon (Fig. 3A); however, this difference exceeded  $2^\circ\text{C}$  on a number of individual sunny days (Fig. 2).

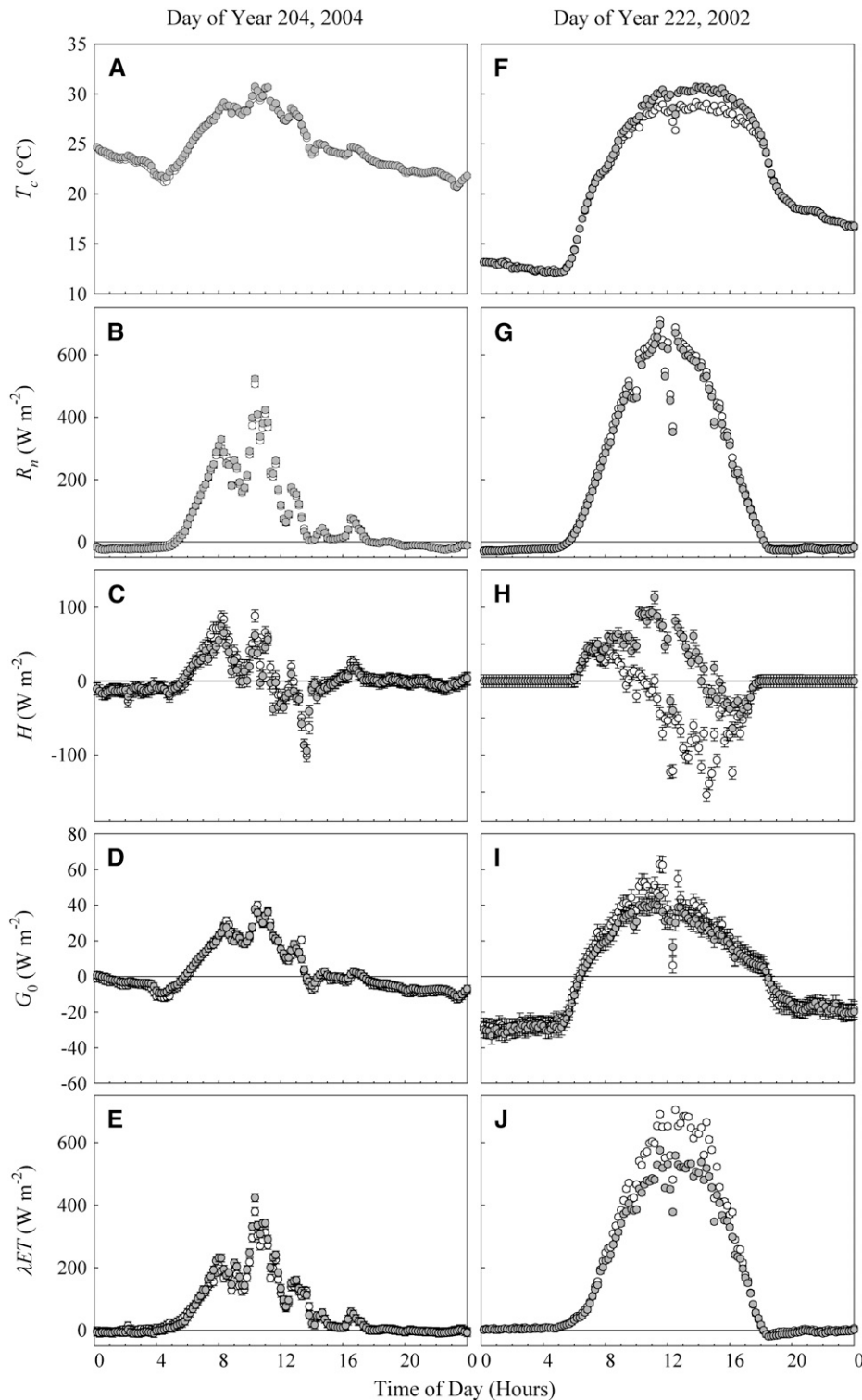
### Elevated $[\text{CO}_2]$ Altered Ecosystem Energy Fluxes

Elevated  $[\text{CO}_2]$  resulted in daytime decreases of net radiation ( $R_n$ ) for all years (Fig. 3B), though not statistically significant in 2002. This decrease was relatively small, with absolute differences between the treatment and control of at most  $10 \text{ W m}^{-2}$ , compared with maximum midday values of over  $500 \text{ W m}^{-2}$  (Fig. 2). Sensible heat flux,  $H$ , was substantially higher in elevated  $[\text{CO}_2]$  during the day, and this difference was statistically significant every year. Peak differences between control and elevated  $[\text{CO}_2]$  averaged over the 4 years were approximately  $40 \text{ W m}^{-2}$  during the afternoon portion of the day (Fig. 3C). The soybean grown in elevated  $[\text{CO}_2]$  showed less heat flux into the soil during daylight hours and less heat flux from the soil at night compared to control (Fig. 3D). Under elevated  $[\text{CO}_2]$  less heat is transferred through the canopy to the soil during the day and less heat is transferred back from the soil to the canopy at night. This diel difference under elevated  $[\text{CO}_2]$  is statistically significant for all years.

The three measured energy flux components were used to calculate  $\lambda\text{ET}$  as the residual of the energy balance equation and demonstrated a lower  $\lambda\text{ET}$  throughout daylight hours (Fig. 3E). The relationship for daily integrated  $\lambda\text{ET}$  between control and elevated  $[\text{CO}_2]$ -grown soybean for all 4 years results in a linear relationship with a slope of  $0.88 (\pm 0.02 \text{ SE})$  (Fig. 4). The final result from more than 300,000 individual measurements of energy flux across four growing seasons is that there was an average decrease in ET of 12% due to an increase in  $[\text{CO}_2]$  to  $550 \mu\text{mol mol}^{-1}$ .

### Elevated $[\text{CO}_2]$ Lowers Seasonal Water Use

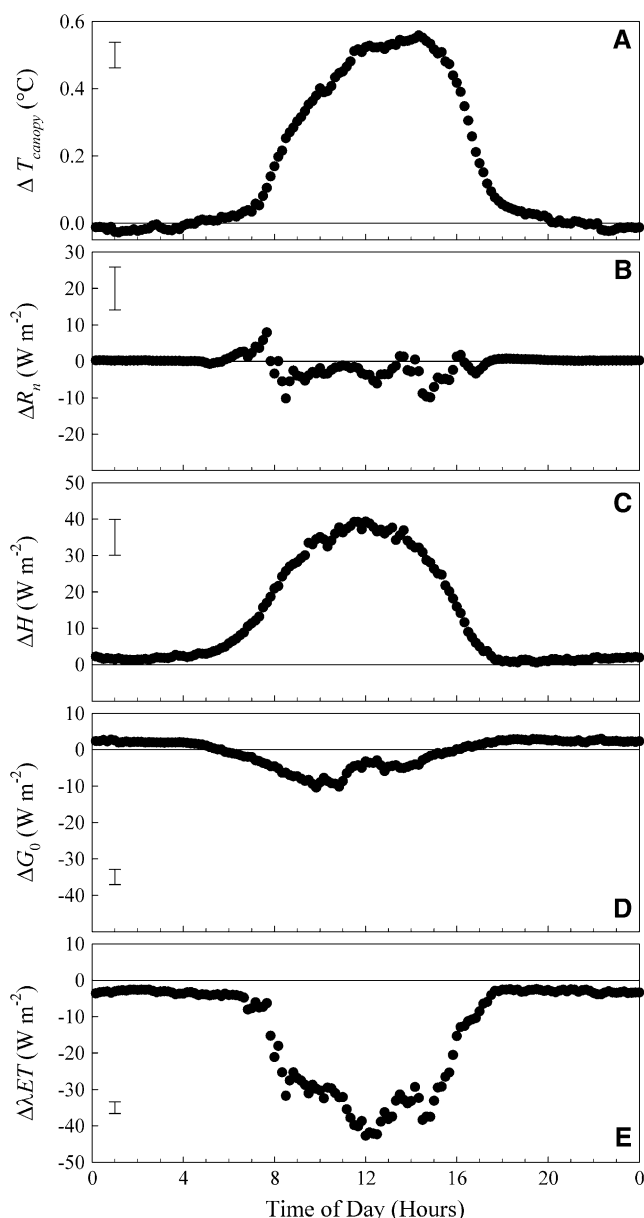
The daily  $\lambda\text{ET}$  values from canopy closure until senescence were integrated over each growing season and converted to the total amount of water emitted by the ecosystem ( $\text{mm season}^{-1}$ ; Table I). There was variation in ET across the duration of the experiment, with 2002 being the highest and 2004 the lowest water use years; however, data excluded from the analysis as a result of storm damage or equipment maintenance are confounded in the between-years analysis of absolute water use. Both 2002 and 2003 showed remarkable similarity in the difference imposed by elevated  $[\text{CO}_2]$  on water use, with a season-integrated 9%



**Figure 2.**  $T_c$ ,  $R_n$ ,  $H$ ,  $G_o$ , and  $\lambda ET$  for control (white circles) and elevated [CO<sub>2</sub>] (gray circles) over an example overcast and an example sunny day. The maximum and minimum temperatures on DOY 204 (2004) were 29.5°C and 21.8°C, and were 29.4°C and 13°C for DOY 222 (2002). Each symbol represents a mean of four replicate blocks for DOY 204 (2004) and three replicate blocks for DOY 222 (2002). Error bars represent 1 SE around the mean.

reduction each year (Table I). The largest difference between control and elevated [CO<sub>2</sub>] was observed in 2004 with a 16% decrease, followed by 2003 with a 13% decrease (Table I). The 2005 growing season was the only year declared a moderate drought; however, exceptionally good soil moisture conditions and precip-

itation at critical times during the growing season (Kunkel et al., 2006) resulted in adequate moisture availability throughout much of the season. These results show that while the size of decrease in ET depends on season, growth of soybean at elevated [CO<sub>2</sub>] consistently resulted in lower ET.



**Figure 3.** The average diurnal course of the absolute differences between elevated  $[\text{CO}_2]$  and control plots for  $T_c$  (A),  $R_n$  (B),  $H$  (C),  $G_0$  (D) and  $\lambda\text{ET}$  (E). Each point represents a 10-min interval of the day averaged across more than 300 measurement days and three to four replicate blocks, depending on the year. Values are presented as elevated  $[\text{CO}_2]$  minus control, such that negative represents higher values in the control plots and positive higher in the elevated  $[\text{CO}_2]$ . Bars on the upper (A, B, C) and lower (D and E) left side of the figures represent the 1 SE around the mean.

#### Decreased ET Was Presaged by Decreased $g_s$

Data for each plot were averaged throughout each growing season and compared with seasonal ET. The relationship of ET to  $g_s$  was not affected by  $[\text{CO}_2]$  treatment, even though both ET and  $g_s$  were lower in elevated  $[\text{CO}_2]$  (Fig. 5). This relationship suggests that

for a 10% decrease in  $g_s$ , there was an 8.6% decrease in ET within the range of values illustrated (Fig. 5).

#### A Decrease in ET with Elevated $[\text{CO}_2]$ Resulted in Improved Water-Use Efficiency

For any given ET integrated over the season, the average total canopy assimilation ( $A'$ ) was substantially and significantly greater in elevated  $[\text{CO}_2]$  (Fig. 6A). On average, yield per unit ET was significantly higher in elevated  $[\text{CO}_2]$  (Fig. 6B). Yield and  $A'$  were linearly related to ET, although the slopes of these regressions were significantly different. The intercepts were significantly higher in elevated  $[\text{CO}_2]$  (Fig. 6). Over the range of dates illustrated, this implies that percentage reduction in  $A'$  or yield for a given decrease in ET will be less in elevated  $[\text{CO}_2]$ .

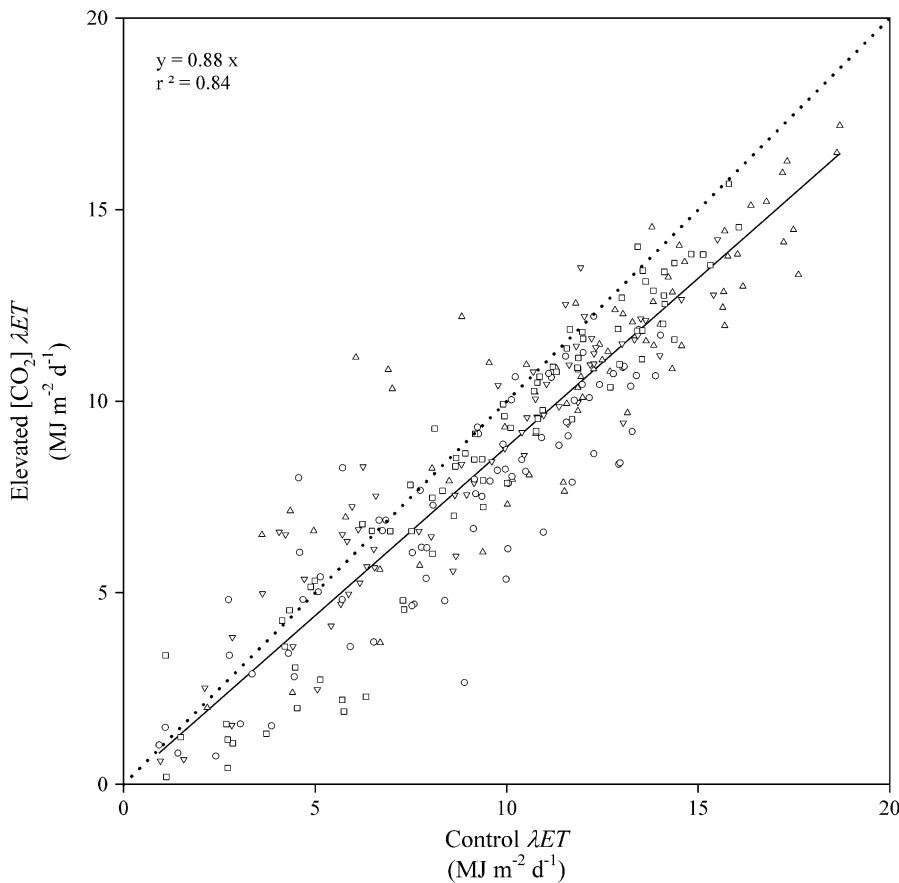
#### The Effects of Elevated $[\text{CO}_2]$ on ET Was Not Consistent Over All Meteorological Conditions

Despite the consistent decrease in ET when averaged over the growing seasons, there were times in which the effect of elevated  $[\text{CO}_2]$  was either not apparent or opposite of the general results. For example, no more than 1 mm of precipitation fell in any given day from DOY 226 to 240 during the 2003 growing season. This period was also characterized by high daily maximum temperatures and mostly clear skies (Fig. 7). At about DOY 233, the difference between  $\lambda\text{ET}$  for the control and elevated  $[\text{CO}_2]$  began to reverse with the elevated  $[\text{CO}_2]$  plots showing higher  $\lambda\text{ET}$  than the control (Fig. 7). By the end of this dry period,  $\lambda\text{ET}$  from elevated  $[\text{CO}_2]$  was greater and the canopy cooler than control, in contrast to the pattern seen over most of this 4-year period.

#### DISCUSSION

Short- and long-term decrease in  $g_s$  is one of the best-documented effects of elevated  $[\text{CO}_2]$  on terrestrial plants. Whether this results in decreased ET under open-air field conditions has not previously been assessed for rain-fed crops. This study showed that elevation of  $[\text{CO}_2]$  to expected 2050 levels (Intergovernmental Panel on Climate Change, 2001) results in a highly significant 12% decrease in ecosystem ET based on over 300,000 individual measurements across four full growing seasons. This decrease was shown to be closely correlated with decrease in upper canopy  $g_s$ .

There was considerable variation in response of ET within and among years (Fig. 4). The effect of elevated  $[\text{CO}_2]$  on ET, when integrated over each growing season, ranged from approximately 9% to 16% (Table I). Soybean grown in 2004 showed the highest percentage of decrease in ET with elevated  $[\text{CO}_2]$  (Table I). What could cause the wettest year to have the greatest difference? A greater difference during wet years might be explained by the fact that elevated  $[\text{CO}_2]$  plots



**Figure 4.** The relationship between ET for elevated [CO<sub>2</sub>]-grown soybean and control-grown soybean over four complete growing seasons. Each symbol represents the mean of three to four replicate blocks, depending on the year. Different symbols represent separate measurement years (Δ for 2002, ▽ for 2003, ○ for 2004, and □ for 2005). The linear regression was fit to all data with an intercept of zero because prior regression analysis showed the intercept not statistically different from zero. The slope is statistically significant from unity with the 99% confidence limits of the slope ranging from 0.86 to 0.90.

conserve more soil moisture, and as drought develops these canopies can continue transpiring for longer. The hypothesis that soil moisture would be higher in elevated [CO<sub>2</sub>] has been confirmed through measurements at the SoyFACE facility (A.D.B. Leakey, personal communication). Thus, during dry periods the differences in ET between control and elevated [CO<sub>2</sub>] are minimized or even reversed (Fig. 7). These conditions are rare or nonexistent during wet years, maximizing differences in ET between control and elevated [CO<sub>2</sub>].

Overall, decreases in ET were compensated by increases in  $H$  in the elevated [CO<sub>2</sub>] plots. The measurements used in calculating  $H$  are numerous and presented as supplemental online material; however, the measure with the largest difference between the control and elevated [CO<sub>2</sub>] plots affecting  $H$  was  $T_c$ . The warmer  $T_c$  in elevated [CO<sub>2</sub>] is consistent with previous FACE experiments (e.g. Magliulo et al., 2003; Triggs et al., 2004; Yoshimoto et al., 2005; Kimball and Bernacchi, 2006). These studies, however, were of irrigated fields, and none was representative of large continental ecosystems.

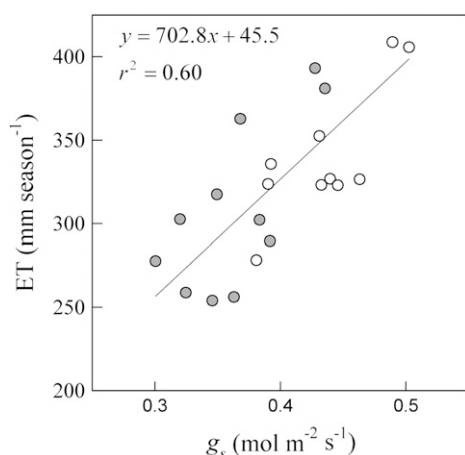
Soybean represents a large amount of the land cover in the Midwest Corn Belt, and, therefore, any decrease in ET compensated by increased  $T_c$  and  $H$  is likely to have a more profound influence on atmospheric conditions (e.g. Sellers et al., 1997). While soybean only

represents half of the maize-soybean ecosystem, leaf-level  $g_s$  for maize has been shown to decrease in elevated [CO<sub>2</sub>] at SoyFACE by as much if not more than soybean (Leakey et al., 2004, 2006b). Although 20-m-diameter open-air FACE plots may better represent feedbacks at the soil and canopy levels than small chambers, while maintaining natural atmospheric coupling, they cannot represent regional atmospheric feedbacks on ET (McLeod and Long, 1999). For example, if ET is suppressed for the whole region, then humidity of the bulk atmosphere may drop and air temperature increase. Both factors would act to increase water vapor pressure deficit, which would tend

**Table 1.** Total ecosystem ET (mm season<sup>-1</sup>) and percentage of change for control- and elevated [CO<sub>2</sub>]-grown soybean at the soybean FACE experiment

Values represent three or four replicate blocks, depending on year. Measurement days include the number of days from canopy closure until senescence included in the analysis.

Year	Measurement Days	Control	Elevated [CO <sub>2</sub> ]	% Change
2002	74	407.8 ± 9.3	372.6 ± 9.3	-8.6
2003	70	334.5 ± 7.9	303.0 ± 7.9	-9.4
2004	82	320.6 ± 7.9	268.2 ± 7.9	-16.3
2005	75	363.7 ± 9.3	316.8 ± 7.9	-12.9



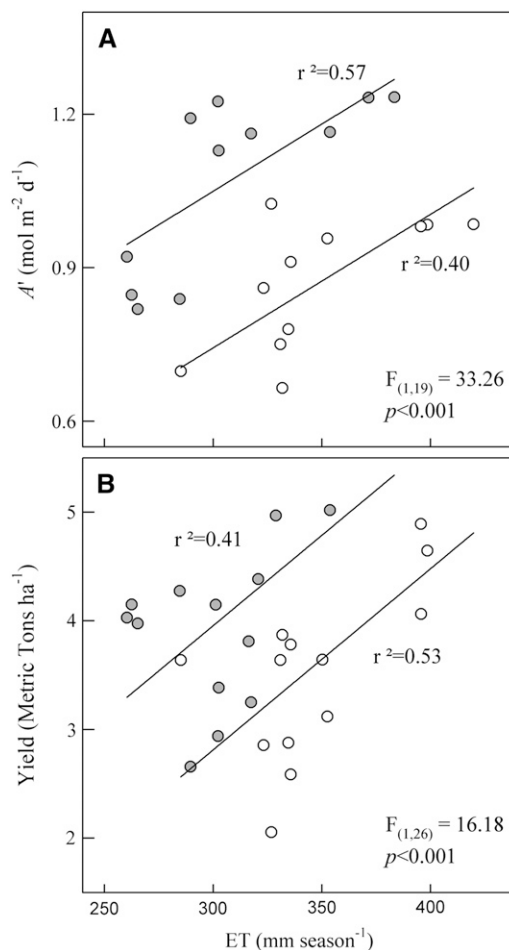
**Figure 5.** The relationship of ET to  $g_s$  based on several days of simultaneous measurements across the 2002, 2003, and 2004 growing seasons. Stomatal conductance of the upper canopy leaves was averaged across the daylight hours and days of measurement (Bernacchi et al., 2006). Total season ET for each plot is plotted against its corresponding  $g_s$ ; control (white symbols) and elevated  $[\text{CO}_2]$  (gray symbols). Since no differences between control and elevated  $[\text{CO}_2]$  were observed based on analysis of covariance with treatment as the main effect and  $g_s$  as a covariance term ( $F_{(1,19)} = 1.07$ ,  $P = 0.31$ ), a linear regression was fit to data for both the control and elevated  $[\text{CO}_2]$  plots over all 4 years.

to increase ET and offset the effect of elevated  $[\text{CO}_2]$ . Similarly, lower ET at the regional level might decrease precipitation, increasing the incidence of dry periods, in which the soil moisture conservation effect of elevated  $[\text{CO}_2]$  may result in more frequent occurrences of the reversal in the ET response illustrated in Figure 7. This too could offset the decrease in ET due to elevated  $[\text{CO}_2]$  across the season. Could these regional feedbacks nullify the decreased ET and increased  $H$  observed here?

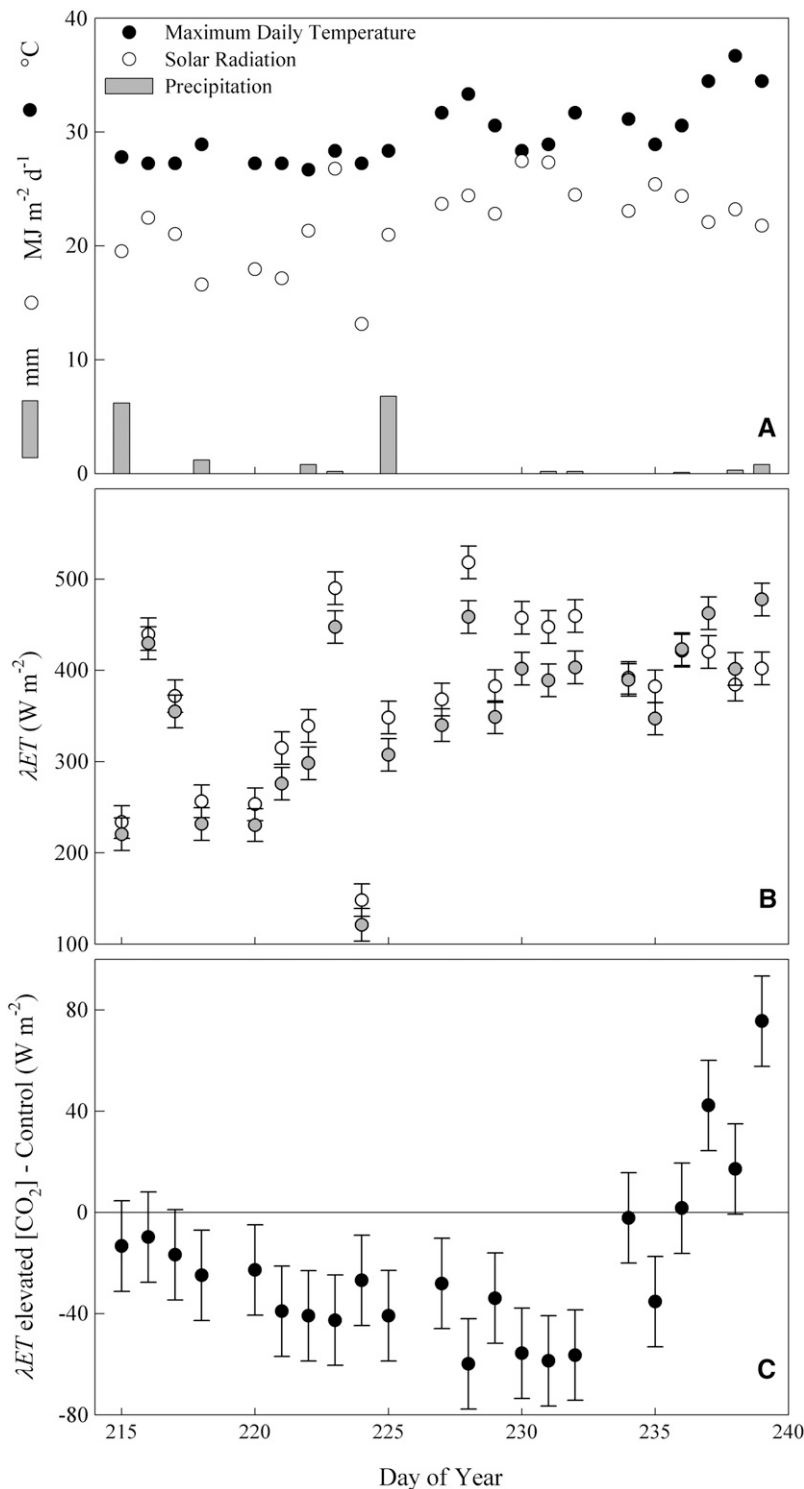
Regional feedbacks can, however, be included in models. By linking a physiological model of stomatal response to elevated  $[\text{CO}_2]$  at the canopy level to an Atmospheric Global Circulation model, Sellers et al. (1996) and Bounoua et al. (1999) simulated the extent to which decreased  $g_s$  in elevated  $[\text{CO}_2]$  would translate into decreased ET when these regional and larger-scale feedbacks were included. They showed that for a decrease in  $g_s$  similar to that observed here that annual continental ET would be decreased by 2.3% to 3.5% and air temperature increased by  $0.3^\circ\text{C}$  to  $0.7^\circ\text{C}$ . This suggests that while larger-scale feedbacks would decrease the effect observed at the FACE plot level, a very significant effect in terms of regional climate would result. Decreases in ET in continental regions have been identified as a potential amplifier for abrupt climate change (Alley et al., 2003). The findings are also consistent with the recent mechanistic modeling and statistical fingerprinting analysis of global trends in increasing river discharge across the 20th century. This suggests that suppression of plant transpiration due to the effect of rising  $[\text{CO}_2]$  on stomatal closure is the

most consistent factor in explaining observed changes (Gedney et al., 2006). Since ET and  $H$  are coupled inversely, warming due to atmospheric change will be greater than that previously predicted, based on the enhanced greenhouse gas effect alone (e.g. Intergovernmental Panel on Climate Change, 2001).

The ET calculated in this study, as in previous studies, is by difference, i.e. from the measured components of the energy balance equation. Its accuracy therefore depends on internal consistency between the measured components and absence of unexplained variation. The responses of the component fluxes for the energy balance were highly consistent. For example, both  $H$  and  $R_n$  were measured independently, yet the slight decrease in  $R_n$  during the day for elevated  $[\text{CO}_2]$  is in agreement with the warmer canopies. From Figure 3A, midday  $T_c$  increased about  $0.5^\circ\text{C}$  in elevated  $[\text{CO}_2]$ . From Figure 1A, this corresponds to higher  $T_c$  from about  $29.0^\circ\text{C}$  to  $29.5^\circ\text{C}$ . This would cause increases



**Figure 6.** A and B, Daily integrated leaf-level carbon assimilation ( $A'$ ; Bernacchi et al., 2006; A) and yield (2002–2003 from Morgan et al., 2005; 2004–2005 from R. Nelson, personal communication; B) were plotted against ET. Statistical significance reported in each section represents the results from an analysis of covariance, with ET as the covariance term and treatment as the main effect.



**Figure 7.** A subset of data from a period in 2003 showing maximum daily temperature, total daily integrated solar radiation, and precipitation (A); ET from 11 AM until 1 PM (Central solar time) for soybean grown at SoyFACE under control (white symbols) and elevated  $[\text{CO}_2]$  (gray symbols; B); and the difference of  $\lambda\text{ET}$  for elevated  $[\text{CO}_2]$  versus control treatments (C). After 9 d with only minimal precipitation, the elevated  $[\text{CO}_2]$  plots begin to show higher rates of  $\lambda\text{ET}$  compared with the control, the opposite to the usual response seen when water is not limiting. Each symbol represents a mean of four replicates and error bars represent 1 SE around the mean.

in up-welling, long-wave radiation of about  $3 \text{ W m}^{-2}$ , in close agreement with the observed decreases in down-welling midday  $R_n$  (Fig. 3B). Similarly, the  $G_0$  data agree well with the increase in aboveground biomass and leaf area observed at SoyFACE (Morgan et al., 2005; Dermody et al., 2006). With more aboveground biomass and a larger canopy, it is expected that less

energy would penetrate through the canopy. Thus, there was less heat flowing into the soil during the day in elevated  $[\text{CO}_2]$ . It follows that at night more heat would be released from the soil in the control plots from the increased daytime warming, as observed. While  $G_0$  represents a small portion of the overall energy balance for a closed canopy and the measurements are



prone to more variability from sunflecks, soil heterogeneity, soil moisture, etc., these results were consistent over all 4 years.

Previously, we showed greater decreases in leaf-level  $g_s$  in 2004 compared with 2002 and 2003 for soybean grown in elevated  $[\text{CO}_2]$  (Bernacchi et al., 2006). Seasonal decreases in  $g_s$  for soybean grown in elevated  $[\text{CO}_2]$  averaged 14%, 11%, and 20% in 2002, 2003, and 2004, respectively (Bernacchi et al., 2006), mimicking the pattern of observed decrease in ET for the first 3 years of this experiment. When ET data from individual replicates were plotted against  $g_s$ , a single relationship, independent of  $[\text{CO}_2]$  treatment, is apparent (Fig. 5). While the elevated  $[\text{CO}_2]$  treatment points cluster at the lower end of the relationship and vice versa, there is no statistical evidence that the  $[\text{CO}_2]$  treatment alters the dependence of ET on  $g_s$ . This implies that soil, canopy, and microclimate feedbacks have a negligible effect on ET. This is despite significant measured increases in LAI and  $T_c$ , both of which must remove a part of the effect of decreased  $g_s$  on ET at elevated  $[\text{CO}_2]$ . Acclimation of the stomatal response to growth at elevated  $[\text{CO}_2]$  could also alter the response of ET to  $g_s$ . However, it has been shown independently for these plants that  $g_s$  at the leaf level did not acclimate to elevated  $[\text{CO}_2]$  (Leakey et al., 2006a).

The relationship also shows a coupling between upper canopy  $g_s$  and ET, such that a 10% decrease in  $g_s$  at the level of individual upper canopy leaves across the season would translate into an 8.6% decrease in ET. This suggests that the upper canopy leaves either reflect the behavior of the lower canopy leaves or/and account for most of the ET. The latter would explain why a significant increase in LAI at elevated  $[\text{CO}_2]$  did not significantly alter the relationship of ET on  $g_s$  (Fig. 5). Unfortunately, there are no other data with other crops with which to compare this finding, since no other FACE studies appear to have measured  $g_s$  across the day at multiple dates and simultaneously and independently measured ET. If this may be extrapolated more widely to productive row crops, then it suggests that decreases in ET at elevated  $[\text{CO}_2]$  might be predicted effectively from decreases in  $g_s$ .

Although there is no apparent change in the relationship of ET to  $g_s$  with  $[\text{CO}_2]$  treatment, both are substantially and significantly decreased at elevated  $[\text{CO}_2]$ , yet  $A'$  and seed yield are substantially higher, resulting in large increases in the efficiency of water use (Fig. 6). Overall, this first study of the effect of elevated  $[\text{CO}_2]$  on water use on a rain-fed crop under fully open-air conditions confirms that at the scale of FACE plots,  $g_s$  appears closely coupled to ecosystem ET. This suggests that feedbacks at the plant, canopy, and soil levels have little impact, in contrast to previous speculation (Arnell and Liu, 2001). The effects are consistent with both model and regional analyses that suggest that decreasing  $g_s$  with rising  $[\text{CO}_2]$  will lower water transfer to the atmosphere, so altering regional hydrology and climate (Sellers et al., 1996; Gedney et al., 2006).

## MATERIALS AND METHODS

### Site Description

The SoyFACE facility is situated in a 32-ha (80-acre) field at the University of Illinois at Urbana-Champaign (40°03'21.3"N, 88°12'3.4"E, 230-m elevation; www.soyface.uiuc.edu). Soybean (*Glycine max* L. Merr. cv Pioneer 93B15) and maize (*Zea mays*) each occupied one-half of the field and followed an annual rotation. The agricultural practices at SoyFACE followed those typical for Illinois rain-fed agriculture and have been described previously (Ainsworth et al., 2004; Rogers et al., 2004; Bernacchi et al., 2006).

The treatment plots of 20-m diameter were arranged in a randomized complete block design ( $n$  of 4 for the control and elevated  $[\text{CO}_2]$  treatment) to allow for topographic and soil variation across the field. All were equipped for micrometeorological measurement of ET, except in 2002 when three of the four blocks were equipped. A complete description of the SoyFACE experiment is given elsewhere (Rogers et al., 2004; Bernacchi et al., 2005; Morgan et al., 2005). The target elevated  $[\text{CO}_2]$  was 550  $\mu\text{mol mol}^{-1}$  based on projected future mean global tropospheric concentrations for 2050 (Intergovernmental Panel on Climate Change, 2001). Actual seasonal daytime average  $[\text{CO}_2]$  was 552  $\mu\text{mol mol}^{-1}$  in 2002 and 2003 and 550  $\mu\text{mol mol}^{-1}$  in 2004 and 2005, compared to an ambient daytime  $[\text{CO}_2]$  of approximately 375  $\mu\text{mol mol}^{-1}$ . No enrichment of  $[\text{CO}_2]$  was undertaken at night.

### Micrometeorological Measurements

A residual energy balance approach was used to determine ET from individual plots (Huband and Monteith, 1986; Jackson et al., 1987; Kimball et al., 1994, 1995, 1999; Triggs et al., 2004) according to the relationship:

$$\lambda \text{ET} = R_n - G_0 - H, \quad (1)$$

where  $\lambda$  is latent heat of vaporization ( $\text{J kg}^{-1}$ ), ET is evapotranspiration ( $\text{kg m}^{-2} \text{s}^{-1}$ ; positive upward),  $R_n$  is net radiation ( $\text{W m}^{-2}$ ; positive downward),  $G_0$  is soil surface heat flux ( $\text{W m}^{-2}$ ; positive downward), and  $H$  is sensible heat flux ( $\text{W m}^{-2}$ ; positive upward). Each of the energy flux components will be briefly discussed below, and a list of symbols, units, and equations is presented in supplemental online material.

The residual energy balance approach, while not directly measuring ET, has proven effective in obtaining quantitative estimates of ET (e.g. Kimball et al., 1999). Other meteorological methods that provide more direct measures of ET, such as eddy covariance or flux gradient analysis (Baldocchi et al., 1988), require a much larger fetch than can be provided using FACE (Kimball et al., 1999; Triggs et al., 2004). The residual energy balance approach used here depends on four energy fluxes into and out of soybean canopies. This assumes that energy fluxes due to photosynthesis, respiration, and heat storage within the canopy over a 24-h period, which will be less than 1% of incoming radiation, can be ignored (Meyers and Hollinger, 2004).

Each plot contained a micrometeorological station equipped to measure each of the three major energy flux terms on the right side of Equation 1 ( $R_n$ , heat flux to the soil,  $H$  to the atmosphere) connected to a datalogger (CR10, CR10x, CR21, CR23X, or CR7 Micrologger; Campbell Scientific), which transmitted its measurements to a central computer via radio. Measurements were made in 10-s intervals and averaged over 10 min throughout the growing season, and then relayed to and stored on the central computer. Each station was operated with online power, but provided with battery back-up to minimize down time in the event of a power outage. Measurements were made from planting until harvest. Data were checked for errors daily, and instruments were inspected, cleaned, and adjusted in height to maintain a constant distance of 1 m above the canopy surface, weekly. Averaged across all plots and all years, periods in which data were not obtained due to storm damage, equipment failure, and maintenance were <10% of the growing season.

### $R_n$

$R_n$  is a measure of total incoming radiation minus the fraction of upwelling radiation from the canopy. Measurements of  $R_n$  were collected using single-channel net radiometers (model Q\*6 or Q\*7; Radiation and Energy Balance Systems) in each plot, complete with ventilators to remove the need for wind corrections and to minimize condensation on the net radiometer domes. Net radiometers were positioned 1 m above the crop surface and were raised as the crop canopy grew. A cross-calibration was performed prior to, or immediately after, each growing season. All net radiometers were placed over

a carefully raked portion of bare soil for at least 1 d and compared with a “standard” factory calibrated net radiometer (Q\*7; Radiation and Energy Balance Systems) that was reserved for cross-calibration and not otherwise deployed at any point into the field. During the 2002 and 2003 growing seasons, the net radiometers were rotated weekly to a new plot, the data from that day being excluded. This accounted for the bulk of missing data (see above). The rotation scheme in 2002 ensured that each net radiometer was situated for at least 1 week in all experimental plots. In 2003 the net radiometers were rotated to all plots within a block. It was determined after the 2003 growing season that the four replicates for the control and elevated [CO<sub>2</sub>] plots were sufficient to overcome any systematic error associated with stationing the net radiometers in the same plot for the duration of the growing season.

## G<sub>0</sub>

The replication of G<sub>0</sub> measurements differed throughout the experiment depending on the number of available instruments. G<sub>0</sub> measurements were collected in one block in 2002 and three blocks in 2003 to 2005. Soil heat flux plates (model HFT-3; Radiation and Energy Balance Systems) were buried at 10-mm depths between and within planting rows. The total number of heat flux plates in each replicate was four in 2002 and two in 2003 to 2005 and were arranged perpendicular to the direction of planting, such that equal numbers of measurements were made within and between rows. Measurements of G<sub>0</sub> also included the heat storage in the 10 mm of soil above each heat flux plate, obtained by placing thermocouples below the soil surface and above each plate (Kimball et al., 1994). Measurements of G<sub>0</sub> were not as well replicated as R<sub>n</sub> and H, but it is a much smaller portion of the total energy balance. Further, variability in G<sub>0</sub> within plots was assumed more critical than variation between plots.

## Sensible Heat Flux

Sensible heat flux relied on a number of sensors and independent measurements, and was calculated as:

$$H = \rho_a c_p \frac{T_s - T_a}{r_a}, \quad (2)$$

where  $\rho_a$  is air density (kg m<sup>-3</sup>),  $c_p$  the heat capacity of air (J kg<sup>-1</sup> °C<sup>-1</sup>),  $T_s$  and  $T_a$  the surface and air temperatures (°C), and  $r_a$  the aerodynamic resistance (s m<sup>-1</sup>). Air temperature was measured using custom-built aspirated psychrometers, from the design of Peresta et al. (1991), which were located in each plot. Surface temperatures,  $T_s$ , were measured using infrared thermometers (IRT-P; Apogee Instruments), which were calibrated between each growing season according to the method of Triggs et al. (2004). Aerodynamic resistance was calculated based on a previously described model (Jackson et al., 1987; Kimball et al., 1994, 1999; Triggs et al., 2004), which can be found in the supplemental material. This model relies on wind speed measured using a 3-cup anemometer (model 12102D; R.M. Young Company),  $T_a$ ,  $T_s$ , dew point temperatures ( $T_d$ ), and canopy height. Canopy height was measured in weekly intervals throughout each season. Upon the completion of each growing season, height data were fitted to an equation describing the sigmoidal increase in height throughout the season and the equation was incorporated into the appropriate equations. The infrared thermometers were similarly rotated among the plots in 2002 and 2003 as with the net radiometers above.

## Meteorology

Precipitation and solar radiation were recorded at a weather station located in the center of the SoyFACE facility. Temperature and humidity were from the individual plot sensors described above. Thirty-year mean averages (1971–2000) of meteorological variables were from a weather station within 3 km of the SoyFACE (Midwestern Climate Information System; <http://mrcc.sws.uiuc.edu/>). The PCMI is an estimate of short-term moisture conditions calculated from temperature, precipitation, and modeled soil water content (Palmer, 1968). As PCMI decreases below zero, it indicates progressively greater drought stress conditions. PCMI from 1973 to 2004 for East Central Illinois were provided by the Climate Operation Branch of the National Oceanic and Atmospheric Administration (<http://www.usda.gov/oce/waob/jawf/>).

## Data Analysis

Data for each plot were collected in 10-min intervals throughout the day and separated into individual files by day. Comparisons of ET, R<sub>n</sub>, H, and G<sub>0</sub>

between control and elevated [CO<sub>2</sub>] for each 10-min time point throughout the day was performed using a complete block repeated-measures ANOVA with DOY as the repeated measure, treatment as a main effect, and DOY and block as random factors (The Mixed Procedure, SAS 9.1; The SAS Institute). This analysis was conducted separately for each year because of the annual rotation of the experiment to different sides of the field. The seasonal 10-min means from each season were averaged to provide a 4-year mean and SE for each of the flux components. The daily totals of ET were accumulated over the each growing season and converted to total crop water use (mm season<sup>-1</sup>). Differences in seasonal water use between control and elevated [CO<sub>2</sub>] plots were tested using a complete block ANOVA with treatment and year as main effects and block as a random factor. The relationship of ET between control and elevated [CO<sub>2</sub>] treatments were fit to a linear regression and the 95% confidence intervals for the slope of the line calculated (The Regression Procedure, SAS 9.1). Seasonal mean  $g_s$  and  $A'$  for each plot over the 2002 to 2004 growing seasons were calculated from Bernacchi et al. (2006), and grain harvested from each plot from 2002 to 2005 were provided by R. Nelson (personal communication). Comparisons of these versus total seasonal crop water use were performed using analysis of covariance with treatment as the main effect and ET as a covariance term (The GLM Procedure, SAS 9.1). Since the number of measurement days varied for each season, the total crop water use for these comparisons was normalized to the mean number of measurement days across all four growing seasons, which worked out to be 76 d.

## Supplemental Data

The following materials are available in the online version of this article.

**Supplemental Data S1.** All equations used in the calculation of ET using the residual energy balance approach (Huband and Monteith, 1986; Jackson et al., 1987; Kimball et al., 1994, 1995, 1999; Triggs et al., 2004) are presented.

## ACKNOWLEDGMENTS

We thank Justin McGrath, Chrissy Whitacre, Mark Harrison, Mathew Conley, and Carrie O'Brien for technical assistance for this project.

Received September 6, 2006; accepted November 14, 2006; published November 17, 2006.

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