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# Dense Convolutional Networks With Focal Loss and Image Generation for Electrocardiogram Classification

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**ABSTRACT** In this paper, we propose a novel end-to-end learnable architecture based on Dense Convolutional Networks (DCN) for the classification of electrocardiogram (ECG) signals. This architecture is based on two main modules: the first is a generative module and the second is a discriminative one. The task of the generative module is to convert the one dimensional ECG signal into an image by means of fully connected, up-sampling, and convolution layers. The discriminative module takes as input the generated image and carries out feature learning and classification. To handle the data imbalance problem characterizing the ECG data, we propose to use the focal loss (FL) that is based on the idea of reshaping the standard cross-entropy loss such that it reduces the loss assigned to well-classified ECG beats. In the experiments, we validate the method using the well-known MIT-BIH arrhythmia database in four different scenarios, using four classes in the first scenario, five in the second and 12 in the third. Finally, supraventricular versus the other three and ventricular versus the other three from the scenario with four classes are used as the fourth scenario. The results obtained show that the method proposed here achieves a significant accuracy improvement over all previous state-of-the-art methods.

**INDEX TERMS** Generative, discriminative, ECG, classification, arrhythmia.

## I. INTRODUCTION

The classification of electrocardiogram signals is one of the areas that has received the most attention in the field of biosignal analysis. Cardiac arrhythmias refer to a large group of conditions in which there is abnormal activity or behavior in the heart and represent an important group of cardiovascular diseases (CVD). The algorithms in computer-aided diagnosis systems play an important role in the detection and classification of cardiac arrhythmias. These algorithms have been designed to automate the process of ECG classification. This, in turn, will help greatly the cardiologists to monitor the physiological conditions of the heart at regular intervals. Towards this end, various strategies have been put forward to handle the classification problem [1]–[15].

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Some of these strategies focus on signal processing techniques, such as frequency analysis [9], wavelet transform [10], hidden Markov models [12], support vector machines [13] and mixture-of-experts methods [15]. Because of the inter-subject variability of the ECG signals, the aforementioned techniques have not performed well in classifying a new patient's ECG signal. To address this problem new strategies have recently been introduced [16]–[22]. A multi-view learning approach for heartbeat classification was proposed in [16], it consists of two models, a general classification model, and a specific classification model. The general model is trained using similar subjects out of a population dataset, where a pattern matching based algorithm is developed to select the subjects that are "similar" to the particular test subject. The two models complement each other and are combined to achieve an improved subject-specific ECG analysis. Marinho in [17] also used handcrafted features extracted

using Fourier analysis, Goertzel, Higher-Order Statistics, and structural co-occurrence matrix. These features were then used to train several machine-learning algorithm including support vector machine and multilayer perceptron networks. In [18] an energy-efficient electrocardiogram (ECG) processor with weak-strong hybrid classifier was put forwards for arrhythmia detection, the proposed method uses a weak linear classifier (WLC), which is only used to identify beats with distinct characteristics. It does this by performing a simple threshold comparison based on the beat interval features and a novel morphology feature called the QRS area ratio. Luo *et al.* introduced a similar method, incorporating a subject-specific constraint to improve the classification performance of the deep neural network [21]. In [22], P. Li *et al.* implemented a parallel general regression neural network (GRNN) to classify heartbeats and designed an online learning program to form a personalized classification model for each patient. Recently deep learning techniques have generated a lot of interest as powerful computer-based methods capable of solving various recognition problems. First introduced by Hinton in [23], they focus on obtaining a good feature representation automatically from the input data [23]–[27].

Deep convolutional neural networks (CNNs) have performed well on a variety of applications including image classification [28]–[30], object detection [31]–[34] and image segmentation [35], [36]. In the biomedical engineering field, several authors have used deep learning methods to solve various problems such as detection and classification of brain tumors in MR images [37]–[40], breast cancer diagnosis and mass classification [41], [42], abdominal adipose tissue extraction [43], and skeletal bone age assessment in X-ray images [44].

Within the field of ECG research, there have been notable studies using deep learning for ECG signal analysis and arrhythmia detection. [20], [45]–[55]. A support vector machine (SVM) classifier has been used to classify the beats that are left unclassified by the WLC. In [20], Kiranyaz proposed a patient-specific ECG classification and monitoring system, by applying the learning model 1-D CNN to each patient in an adaptive manner. This method takes advantage of the additional patient-specific information prior to tackling the inter-class data variations caused by inter-subject variability. In [46] the authors propose a classification module for paroxysmal atrial fibrillation (PAF) based on deep convolutional neural networks (CNN). The features are learned directly from the raw ECG time series data by using a CNN with one fully-connected layer. The learned features can effectively replace the traditional ad-hoc and time-consuming processes of hand-crafting user features. For a long time manually handcrafted features have been used and are still used to classify arrhythmia such as in [48] were they used a downsampled signal to generate handcrafted features including RR intervals, heartbeat intervals, and segmented morphologies. These features were the used to train a deep belief network. Another work that uses handcrafted feature is done by Sannino and De Pietro [49], they used features

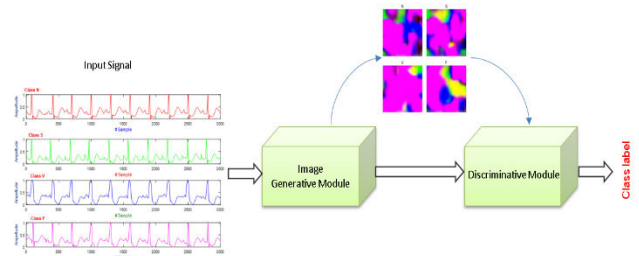


FIGURE 1. Flowchart of the proposed method.

such as Pre-RR interval and local average RR interval as input to a 7-layers multilayer perceptron networks and to combat the imbalanced data problem they used a subsample of the majority class in the data. In some papers no manual features engineering was used for example Zhou and Tan [50] used the raw signals as input to a convolutional neural network trained in two stages first using backpropagation then extreme learning machine fine turning of the last layer. The convolutional network is a 1-D network which received vector of length 250 as input.

In other work [51] raw ECG signal was also used as input to a deep learning network constructed as a restricted Boltzmann machine. Each heartbeat is centered around R peak and either padded or truncated to make all signal of the same length. They try to improve the result by duplicating the minority classes.

Recurrent neural network alongside convolutional network was also used to classify ECG signals [52]. Here the input is also a 1-D vector signal taken as a 10 seconds interval from the original signal and labeled with the most occurring label in the interval. Li *et al.* [53] tried to create patient-specific network by first training in a large corpus of multiple patient data then fine-tuning a network for individual patients. They also used a 1-D vector from the ECG signals as input to the network.

While most deep learning approaches including the ones using convolutional networks, use 1-D signal approach, there were some attempts to utilize 2-D convolution network by converting the 1-D ECG signal into a 2-D one. For example in [54] each signal is divided into 10 seconds intervals then a short-time Fourier transform was used in the small chunks to transform them into 2-D signal as spectrogram. These spectrograms are then used to train convolutional neural network to classify arrhythmias. Kim *et al.* [55] also used 2-D signal but not to classify arrhythmias. They used it to recognize users based on ECG feature using MIT-BHI NSRDB database. They projected the 1-D signal into 2-D space by minimizing a loss function then used the resulted 2-D signal as input to an ensemble of deep convolutional network. While the work in [54] and [55] converted the signal into a 2-D image using handcrafted features, the method used is not robust enough to discover latent features of the signal and convert them into pixel values.

In this paper, we propose an alternative approach based on deep learning for the classification of ECG signals. Typically,

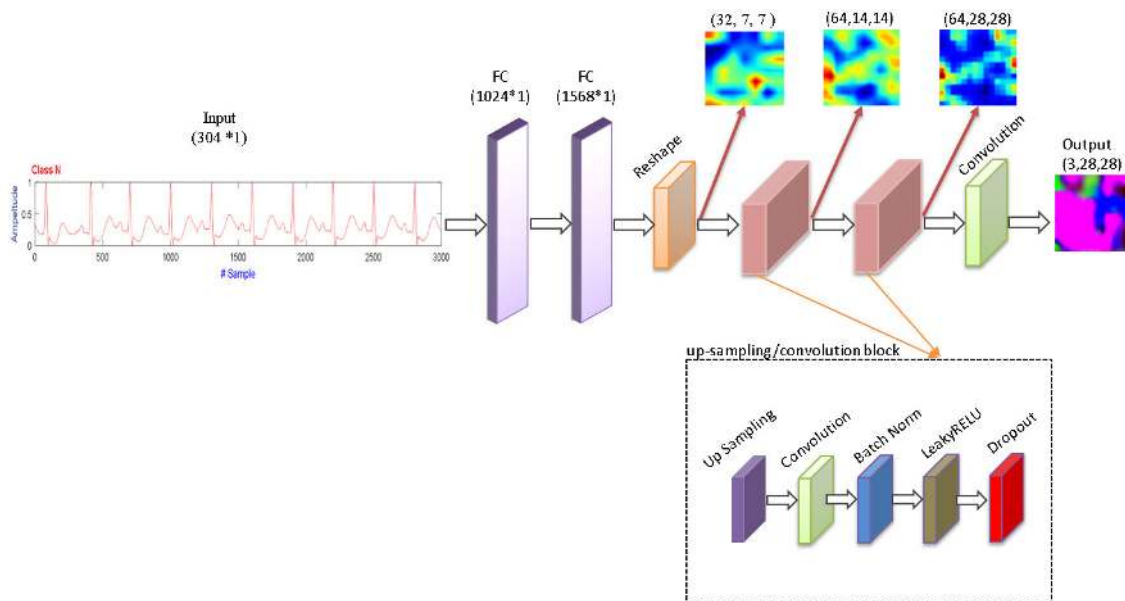


FIGURE 2. Generator network.

our approach uses a two-stage CNNs for carrying classification. The first module aims to convert the one dimensional ECG signal to an image using set an opportune generative network. The second one called discriminative network mainly based on dense convolutional networks (DCNs) takes the output of the generative module and carries out classification as in standard image classification paradigms. To handle the class imbalance problem, we propose to exploit the focal loss (FL) instead of the cross-entropy loss to down-weight the loss for the well-classified ECG beats. This paper conveys the following main contribution:

- 1) Propose a novel end-to-end learnable architecture for the classification of ECG signals with signal to image conversion using a generator network;
- 2) Handle the class unbalance problem using the focal loss;
- 3) The experimental results obtained on the MIT-BIH database confirm its promising capabilities compared to state-of-the-art method in terms of classification accuracy.

The remainder of the paper is organized as follows. In Section II, a detailed description of the proposed method is presented. Results and discussion are shown in Section III. Finally, our conclusions and future developments are sketched out in Section IV.

## II. PROPOSED METHOD

Let  $Tr = \{\mathbf{x}_i, y_i\}_{i=1}^n$  be a training set where  $\mathbf{x}_i \in \mathcal{R}^d$  is an ECG beat signal,  $y_i \in \{1, 2, \dots, K\}$  is its corresponding class label,  $K$  is the number of classes and  $n$  is the number of training samples. Our aim is to develop a CNN architecture that allows the classification of the test ECG record  $Ts = \{\mathbf{x}_j\}_{j=n+1}^{n+m}$  based on the available training set.

Fig. 1 shows a flowchart of the proposed method, which consists of two modules. The detailed descriptions for these modules are provided in the next subsections.

### A. GENERATIVE MODULE

The task of the generative network is to convert the one dimensional ECG beat into an image. Fig. 2 shows the main architecture of the generator network. It is composed of two fully-connected (FC) layers, a reshape layer, two sets of up-sampling / convolution layer blocks and a final convolution layer. In the first stage, the signal is fed into two consecutive fully-connected layers to generate the ECG features, with dimensions 1024 and 1568 respectively. The second step is to reshape the 1D feature tensor of shape (1568,1) into a tensor of dimensions (32,7,7) (channels, height, width), as shown in figure 2. We can describe the process with the equation:

$$X_t^r = \text{reshape}(\text{ReLU}(X_t))_7^7 \quad (1)$$

After that, the signal is fed through two up-sampling/convolution blocks to obtain a tensor of dimensions (128,28,28) (channels, height, width). The final tensor is then passed through a convolution layer to obtain an image of dimension (3,28,28) (channels, height, width).

It is worth recalling that the first FC layer is followed by a batch-normalization, a ReLU activation function, and a dropout. The reshape layer is also followed by batch normalization, activation, and dropout layers. Each of the up-sampling/convolution blocks consist of a layer of up-sampling followed by convolution, batch normalization, ReLU activation then dropout regularization layers. Batch normalization allows each layer of the network to learn by itself a little more independently of other layers, while

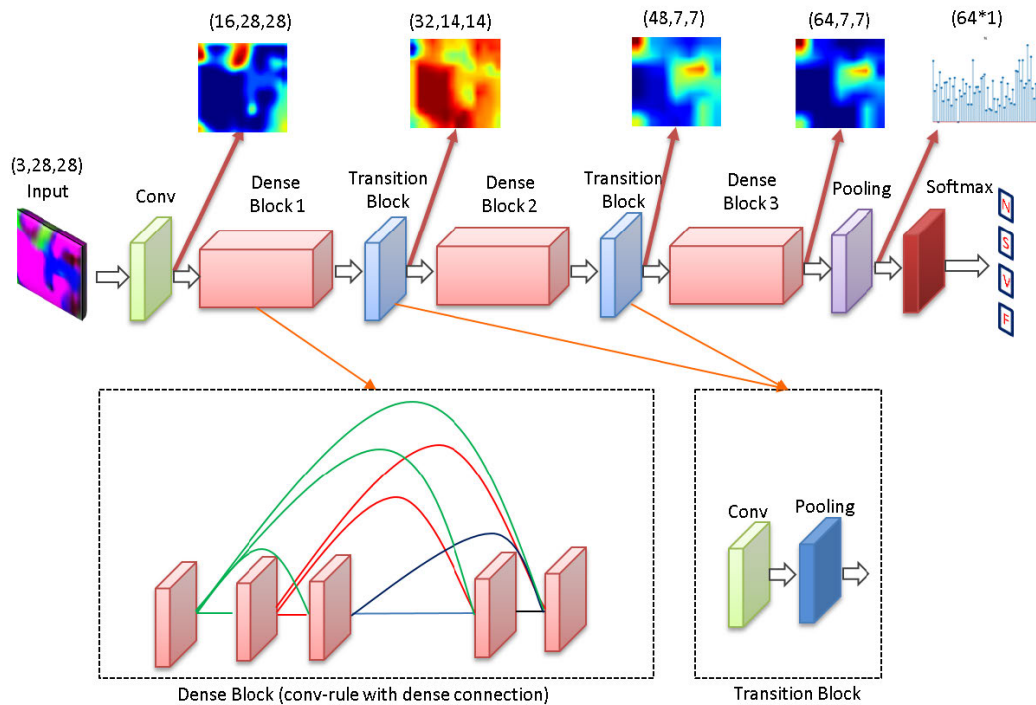


FIGURE 3. Discriminative module.

dropout is a regularization technique used to prevent overfitting during the training phase, by randomly dropping nodes from the hidden layers.

**B. DISCRIMINATIVE MODULE**

The discriminative module takes the images produced by the generative module as its input and classifies them into their respective classes. Fig 3. shows the discriminative module, it consists mainly of DenseNet blocks [56].

In traditional convolutional networks, a single image  $x_0$  or a single batch of images is passed through the network, which is comprised of  $L$  layers. Each layer performs a non-linear transformation  $H_\ell(\cdot)$  on the image or batch of images, where  $\ell$  is the layer index.  $H_\ell(\cdot)$  can be a composite function of operations, such as Batch Normalization (BN) [57], rectified linear units (ReLU) [58], Pooling [59] or Convolution (Conv). We denote the output of the  $\ell^{th}$  layer as  $x_\ell$ . In traditional convolutional networks, the input of layer  $\ell$  comes exclusively from the layer  $\ell - 1$ .

To enhance the information sharing and information flow between layers, direct connections from any layer to its subsequent layers were introduced [56]. Fig. 4 shows the DenseNet block connectivity between layers.

With this configuration, the  $l^{th}$  layer receives the feature-maps of all preceding layers,  $x_0, x_1, \dots, x_{\ell-1}$  as input, and its output becomes:

$$x_\ell = H_\ell([x_0, x_1, \dots, x_{\ell-1}]) \tag{2}$$

where  $[x_0, x_1, \dots, x_{\ell-1}]$  refers to the concatenation of feature-maps from previous layers.

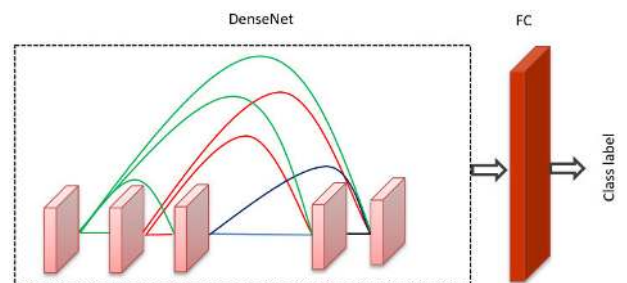


FIGURE 4. DensNet.

The concatenation operation used in Eq. (2) will not function correctly if the size of the feature-map from one of the previous  $\ell - 1$  layers is not the same as the current layer  $\ell$ . As down-sampling is an essential part of convolutional networks, changing the size of feature-maps, the connectivity after these down-sampling layers is treated differently. To include down-sampling, the network is divided into multiple densely-connected dense blocks, as shown in Fig3. The layers between the dense blocks are referred to as transition layers; they perform the convolution and pooling.

**C. HANDLING CLASS IMBALANCE USING FL**

Imbalanced data sets can negatively affect the overall performance of classification systems. To overcome this issue, one can apply sampling strategies such as a Synthetic Minority Over-sampling Technique (SMOTE) [60]. Another possible solution is to exploit the focal loss introduced recently in the context of object recognition. It relies mainly on

The focal loss technique [61] is designed to address the scenario in which there is an extreme imbalance between the classes during the dataset training (e.g., in the DS1 large class imbalance,  $N = 54777$ ,  $S = 973$ ,  $V = 3769$ , and  $F = 414$ ). In focal loss, a modulating factor,  $(1 - p_t)^\gamma$  is added to the cross entropy loss, with tunable focusing parameter  $\gamma \geq 0$ . The focal loss is defined as:

$$FL(p_t) = -(1 - p_t)^\gamma \log(p_t) \quad (3)$$

With the values of  $\gamma \in [0, 5]$ .

### III. EXPERIMENTAL RESULTS

#### A. DATASET DESCRIPTION

In experiments, we used three ECG databases to evaluate our method. The first is the MIT-BIH Arrhythmia database (MIT-BIH) [62], [63]. This Database contains 48 excerpts of two-channel ambulatory ECG recordings, obtained from 47 subjects. The recordings were collected from a mixed population of inpatients ( $\sim 60\%$ ) and outpatients ( $\sim 40\%$ ). Of the 47 patients there were 25 men aged from 32 to 89 years old, and 22 women aged from 23 to 89 years old. Each record is slightly over 30 minutes long and sampled at 360 Hz. The original raw dataset consists of 4000 24-hour ambulatory ECG recordings, for the MIT-BIH dataset the first 23 records were chosen at random from this raw dataset, they were numbered from 100 to 124 inclusive with some numbers missing. The remaining 25 records were selected from the same original set to include a variety of rare but clinically significant arrhythmias.

The second database is INCART. It consists of 75 records which are annotated and derived from 32 Holter records. Each record includes 12 generic leads and was obtained from number of patients (17 men and 15 women, aged between 18 to 80 ) undergoing tests for coronary artery disease. Each record is 30 minutes and sampled at 257 Hz. An automatic algorithm generated the initial annotations, then the annotation was corrected manually.

The third database is called MITBIH Supraventricular Arrhythmia Database (SVDB) and consists of 78 two-lead records of approximately 30 minutes and 128 Hz sampling rate. The recordings' beat type annotations were first performed automatically by the Marquette Electronics 8000 Holter scanner and then checked and updated by a medical student.

Table 1. shows classes distribution in this scenario, it is clear that class S and F are minor classes comparing to classes N, and V.

#### B. EXPERIMENTAL SETTINGS

The training was done for 250 epochs and the batch size was set to 100. Adam optimizer with learning rate of 0.001 was used for weight update. The gamma value for the focal loss (in equation 3) was set to 0.5. The DenseNet consisted of 3 blocks and a total of 16 layers. The initial filter size in the DenseNet was 32 with a growth rate of 4 and dropout of 0.5. For a detailed explanation of these parameters refer to the

TABLE 1. Classes distribution in the training and testing datasets.

Dataset	N	S	V	F	No. of rec
MIT(BIH) DS1	45,777	973	3769	414	22
MIT(BIH) DS2	44,011	2049	3216	388	22
INCART	153,545	1958	2000	219	75
SVDB	145,436	10733	8281	23	70

DenseNet paper [47]. The experiments were carried out using a computer with Intel Xeon E5620 processor, 24 GB RAM, and NVIDIA GeForce GTX 1060 GPU with 6 GB of memory.

The experiments were carried out using three strategies: cross-entropy, focal loss, and cross-entropy with resampling. The cross-entropy strategy takes the unmodified training dataset and uses the cross-entropy loss to update the network weights. The focal loss strategy also takes the unmodified training dataset but uses instead the focal loss to update network weights, to combat the unbalanced classes problem. The last strategy is the cross-entropy with the resampling method; this strategy uses the cross-entropy loss, but to deal with unbalanced classes it uses the resampling technique to oversample the classes with low numbers of samples. Fig 5. shows the convergence when using focal loss and resampling strategies. These strategies were used in four different scenarios: 4 classes, 5 classes, 12 classes, and a scenario with class S (Supraventricular) versus the other classes and class V (ventricular) versus the other classes. More details about these scenarios are provided in the next section.

#### C. RESULTS

According to state-of-the-art ECG classification techniques, performance can be measured using standard metrics such as classification accuracy (Acc), sensitivity (Sen), specificity (Spe) and positive predictivity (Ppr). While accuracy measures the system performance across all classes of ECG beats, the other metrics are specific to each class, and they measure the ability of the classification algorithm to distinguish certain events. The respective definitions of these four common metrics using true positive (TP), true negative (TN), false positive (FP), and false negative (FN) are written as:

$$Acc = \frac{TP + TN}{TP + TN + FP + FN} \quad (4)$$

$$Ppr = \frac{TP}{TP + FP} \quad (5)$$

$$Sen = \frac{TP}{TP + FN} \quad (6)$$

$$Spe = \frac{TN}{TN + FP} \quad (7)$$

In order to evaluate the proposed approach, we used six different scenarios as shown in Table 1. These are described in the following sections:

##### 1) SCENARIO 1

In this scenario the performance measurements are based in terms of four classes: 1 - Normal (N); 2 - Supraventricular (S);

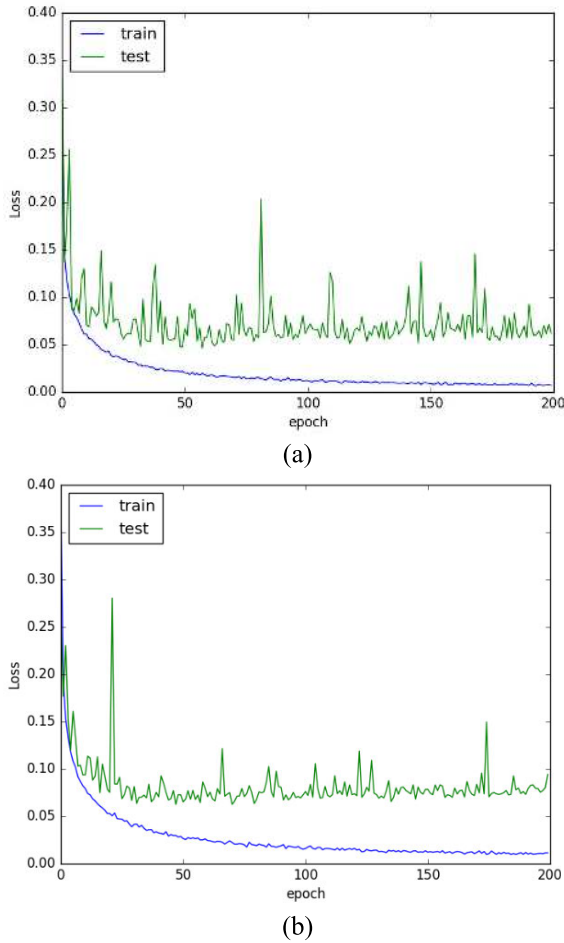


FIGURE 5. Loss convergence plot by using: a) focal loss strategy, b) resampling strategy.

TABLE 2. Training and test datasets for proposed scenarios.

Scenarios	Training	Test
1	DS1	DS2
2	50% (17 records)	50% (17 records)
3	50% (Ds1+DS2)	50% (Ds1+DS2)
4	DS1	11 Rec(VEB)
		14 Rec (SVEB)
	DS1	24 Rec
	DS1	(Ds1+DS2)44 Rec
5	DS1	SVDB (7 0Rec)
6	DS1	INCART(75 Rec)

3 - Ventricular (V) and 4 - Fusion (F). Fig 6. shows examples of these classes.

In all experiments, similar to [64], we constructed the training set DS1 = {101, 106, 108, 109, 112, 114, 115, 116, 118, 119, 122, 124, 201, 203, 205, 207, 208, 209, 215, 220, 223, 230} of the MIT-BIH dataset. The remaining records of this database are taken to form DS2 = {100, 103, 105, 111, 113, 117, 121, 123, 200, 202, 210, 212, 213, 214, 219, 221, 222, 228, 231, 232, 233, 234}, which is used as the testing set.

TABLE 3. Classification performance for scenario 1 vs. methods in the literature.

Methods	N		S		V		F	
	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr
Jiang et al [65]	0.98	0.98	0.64	0.64	0.91	0.90	0.77	0.44
FL	0.99	0.99	0.77	0.94	0.97	0.95	0.80	0.68
CE	0.99	0.99	0.77	0.92	0.97	0.95	0.78	0.67
CERE	0.99	0.99	0.80	0.80	0.97	0.94	0.73	0.75

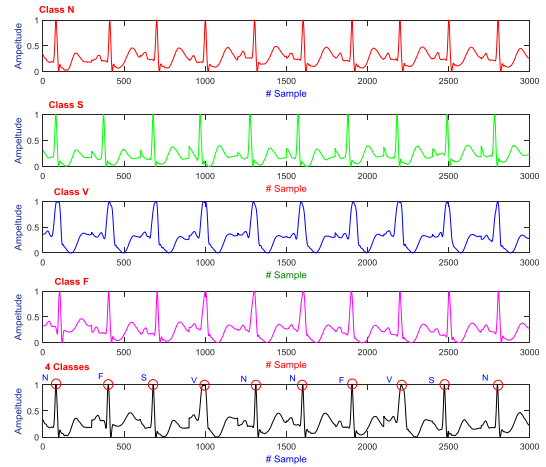


FIGURE 6. ECG example for four different classes.

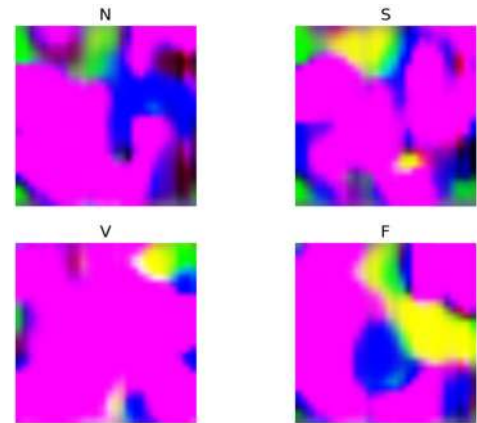


FIGURE 7. Example of the generator's output images for the classes N, S, V, and F.

Table 2. shows the distribution of classes in this scenario, it is clear that class S and F are minor classes comparing to classes N, and V.

Table 3. shows the classification performance of the proposed method in terms of four classes in three different strategies (focal loss, cross entropy, and cross entropy with resampling).

Fig. 7 shows an example of the image produced from the generator network for the different classes. Fig. 8 shows an example of the intermediate feature-maps from the

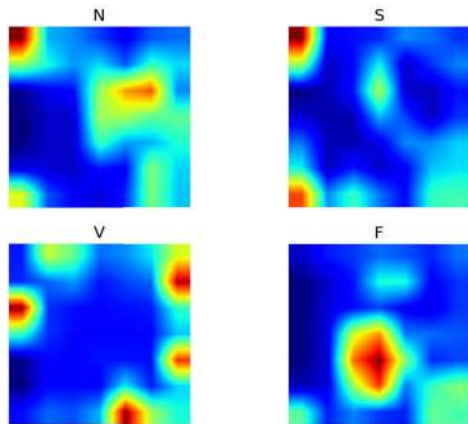


FIGURE 8. Example of feature maps from the discriminator (dense block 2 output) for the classes N,S,V, and F.

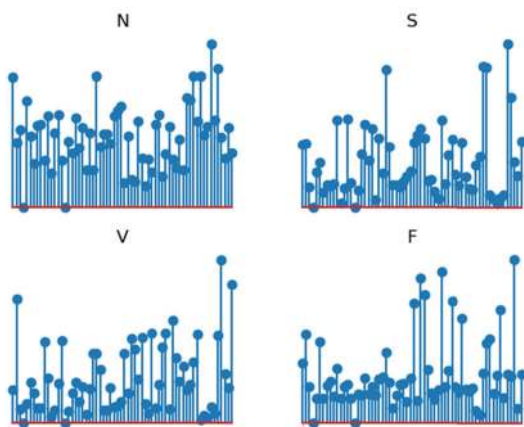


FIGURE 9. Example of the final features vector for classification from the discriminator for the classes N,S,V, and F.

discriminator network for the different classes, taken from the output of the second dense block. Fig 9 shows an example of the final feature-maps (after the global average pooling, but before the Softmax in Fig3) which are used for classification.

As can be seen in Table 3, the values of (Sen, Ppr) for all classes using the focal loss strategy are equal to (0.99, and 0.99) for class N, (0.77, and 0.94) for class S, (0.97, and 0.95) for class V and (0.80, and 0.68) for class F. With cross entropy, the values of (Sen, Ppr) for all classes are equal to (0.99, and 0.99) for class N, (0.77, and 0.92) for class S, (0.97, and 0.95) for class V and (0.78, and 0.67) for class F. Finally, by using cross entropy with resampling the values of (Sen, Ppr) are equal to (0.99, and 0.99) for class N, (0.80, and 0.80) for class S, (0.97, and 0.94) for class V and (0.73, and 0.75) for class F. We can conclude that using focal loss achieves better results than the other two strategies.

## 2) SCENARIO 2

In this experiment, in accordance with [22], 17 records were selected from the MIT-BIH arrhythmia database [62], [63], the serial numbers of these records were 100, 103, 104, 106, 112, 119, 122, 200, 203, 208, 209, 217, 222, 223, 230, 232 and 233. We then randomly selected 50% of the data as the

TABLE 4. Confusion matrix for scenario 2 (5 classes): a) without normalization b) with normalization.

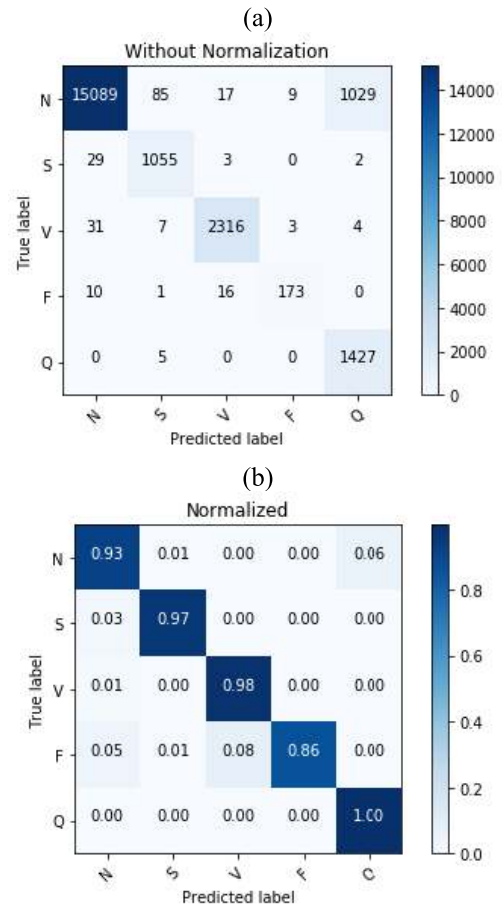


TABLE 5. Classification performance for scenario 2 vs. methods in the literature.

Method	N		S		V		F		Q	
	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr
<i>P. Li et al [22]</i>	0.97	0.97	0.98	0.89	0.92	0.88	0.82	0.84	0.84	0.76
<i>FL</i>	0.99	1.00	0.97	0.92	0.98	0.99	0.87	0.94	1.00	0.99
<i>CE</i>	0.99	0.99	0.98	0.85	0.98	0.98	0.78	0.95	1.00	1.00
<i>CERE</i>	1.00	0.99	0.94	0.96	0.97	0.99	0.90	0.91	1.00	0.99

training set and the other 50% as the test data, with the results presented in terms of five classes: 1 - N (Normal); 2 - S; 3 - Ventricular (V); 4 - F (Fusion) and 5 - Unknown (Q). Table 4. presents confusion matrices for the ECG beat classification of the 17 records in terms of the 5 classes using focal loss. Table 5. presents the classification performances using the three different strategies comparing with previous proposed method in [22], one can see that our method performs better.

## 3) SCENARIO 3

In this scenario, we have 12 ECG heartbeats classes. Each class is randomly halved, 50% are used for training and the other 50% are used for testing. The confusion matrices

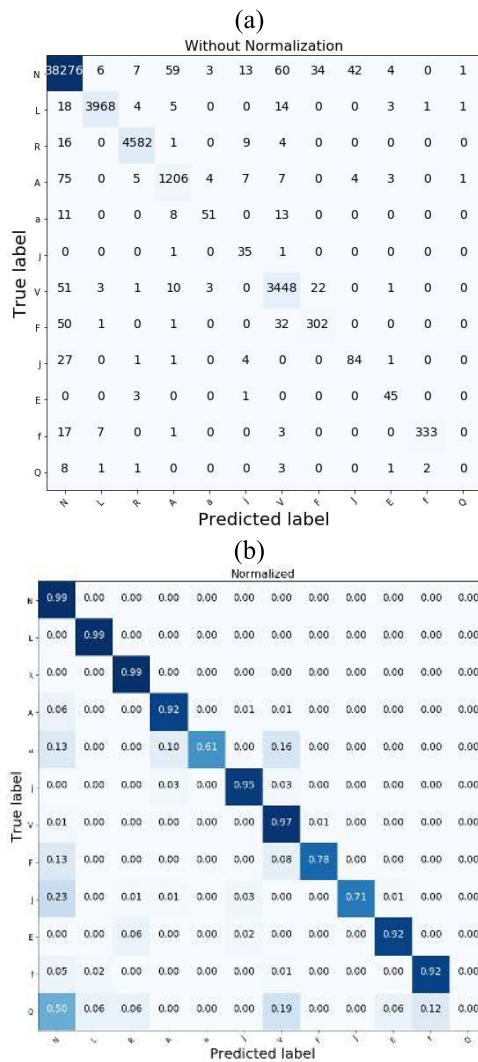
**TABLE 6.** Classification performance for scenario 3 ((A): First 6 classes), and (B) The remaining classes).

(a)												
Method	N		L		R		A		a		J	
	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr
FL	0.99	0.99	1.00	0.99	1.00	0.99	0.95	0.89	0.54	0.85	0.89	0.70
CE	0.99	0.99	0.99	1.00	0.99	1.00	0.92	0.93	0.61	0.84	0.95	0.51
CERE	1.00	0.99	0.99	0.99	1.00	0.99	0.90	0.95	0.55	0.84	0.87	0.97

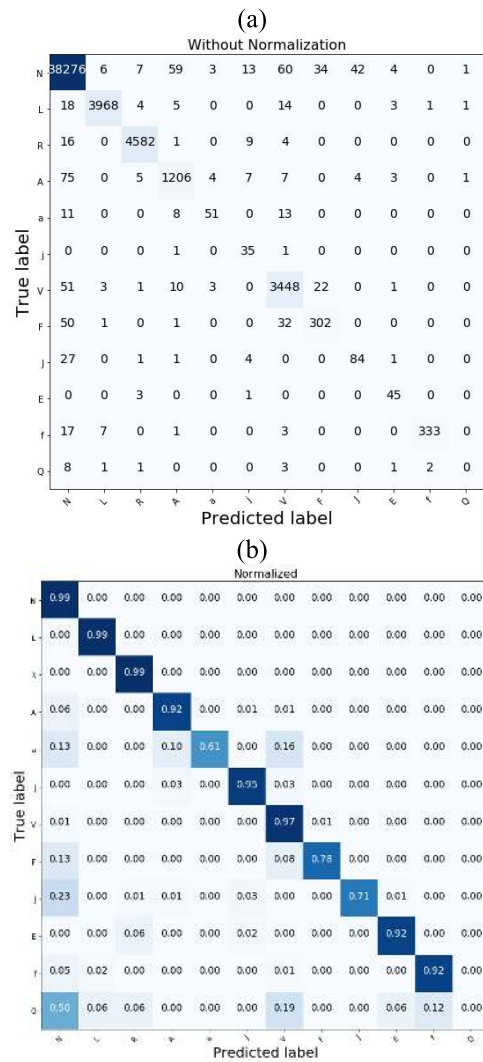
(b)												
Method	V		F		j		E		f		Q	
	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr	Sen	Ppr
FL	0.94	0.99	0.85	0.80	0.76	0.57	0.88	0.98	0.95	0.97	0.06	0.14
CE	0.97	0.96	0.78	0.84	0.71	0.65	0.92	0.80	0.92	0.99	0.00	0.00
CERE	0.98	0.95	0.67	0.98	0.58	0.79	0.84	1.00	0.93	0.99	0.13	0.50

**TABLE 7.** Confusion matrix for the ECG beat classification for scenario 3 using focal loss: a) Without normalization b) With normalization.



below show the classification results of these classes. Table 7-9 shows the confusion matrix for this 12 class

**TABLE 8.** Confusion matrix for the ECG beat classification for scenario 3 using entropy: A) Without normalization B) With normalization.



scenario using a) focal loss, b) Entropy and c) Entropy with resampling. Table 6 shows the obtained classification results.

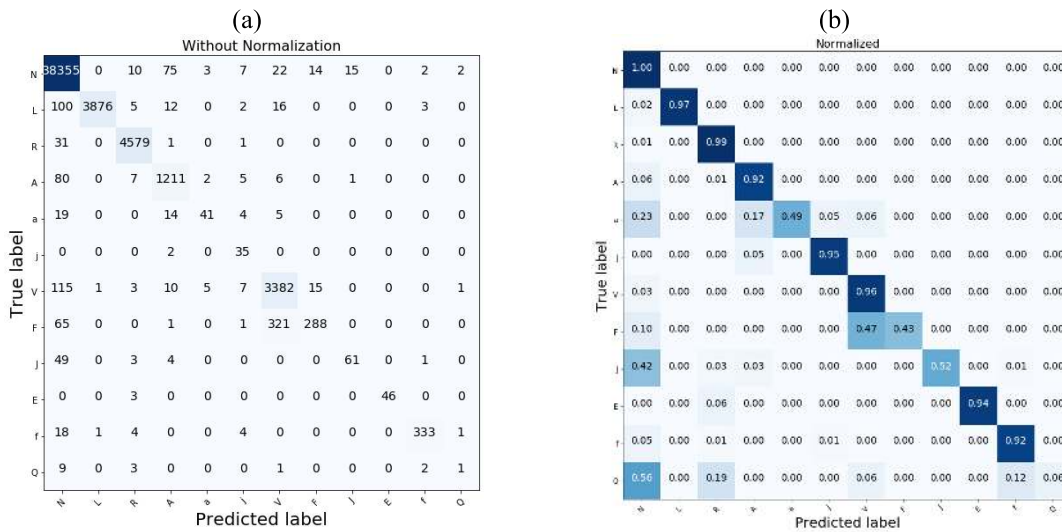
4) SCENARIO 4

In this scenario the results have been proposed in terms of VEB (V versus the other three classes) and SVEB (S versus the other three classes) detection using three different cases for building the test set: Case 1: Using the 11 common testing records for VEB (records 200, 202, 210, 213, 214, 219, 221, 228, 231, 233 and 234), and 14 testing records for SVEB (records 200, 202, 210,212, 213, 214, 219, 221, 222, 228, 231, 232, 233 and 234). Case 2: Using the 24 common testing records from 200 up to 234. Case 3: Using the 48 records as test set (i.e. DS1 and DS2).

Of the state-of-the-art techniques, the method in [20] achieved the highest sensitivity score with 95.1% for class V (VEB), and 68.8% for class S (SVEB). The proposed methods



**TABLE 9.** Confusion matrix for the ECG beat classification for scenario 3 using entropy resampling: a) Without normalization b) With normalization.



**TABLE 10.** VEB and SVEB classification performance for scenario 4 vs. methods in the literature.

Methods	VEB				SVEB			
	<i>Acc</i>	<i>Sen</i>	<i>Spe</i>	<i>Ppr</i>	<i>Acc</i>	<i>Sen</i>	<i>Spe</i>	<i>Ppr</i>
Hu et al.	94.8	78.9	96.8	75.8	N/A	N/A	N/A	N/A
[6]	98.8	94.3	99.4	95.8	97.5	74.9	98.8	78.8
Ince et al. [7]	97.9	90.3	98.8	92.2	96.1	81.8	98.5	63.4
S.Kiranyaz [20]	98.9	95.9	99.4	96.2	96.4	68.8	99.5	79.2
S. S. Xu et al. [51]	N/A	90.5	98.1	N/A	N/A	66.2	98.6	N/A
Proposed 01 FL	<b>99.4</b>	<b>96.5</b>	<b>99.7</b>	<b>97.9</b>	<b>98.3</b>	<b>79.7</b>	<b>99.4</b>	<b>87.4</b>
Proposed 01 CE	<b>99.3</b>	<b>95.6</b>	<b>99.7</b>	<b>98.2</b>	<b>98.2</b>	<b>80.5</b>	<b>99.1</b>	<b>84.0</b>
Proposed 01 CERE	<b>99.4</b>	<b>98.2</b>	<b>99.6</b>	<b>97.1</b>	<b>98.7</b>	<b>78.0</b>	<b>99.8</b>	<b>95.6</b>
Jaing and Kong [6]	98.1	86.6	93.3	93.3	96.6	50.6	98.8	67.9
Ince et al [7]	97.6	83.4	87.4	87.4	96.1	62.1	98.5	56.7
S. Kiranyaz [20]	98.6	95.0	98.1	89.5	96.4	64.6	98.6	62.1
Proposed 02 FL	<b>99.2</b>	<b>95.0</b>	<b>99.7</b>	<b>96.9</b>	<b>98.7</b>	<b>69.8</b>	<b>100</b>	<b>99.1</b>
Proposed 02 CE	<b>99.1</b>	<b>93.4</b>	<b>99.8</b>	<b>97.5</b>	<b>98.9</b>	<b>79.4</b>	<b>99.8</b>	<b>94.1</b>
Proposed 02 CERE	<b>99.1</b>	<b>94.0</b>	<b>99.7</b>	<b>96.6</b>	<b>98.6</b>	<b>69.7</b>	<b>99.9</b>	<b>97.9</b>
Ince et al [7]	98.3	84.6	87.4	87.4	97.4	63.5	99.0	53.7
S. Kiranyaz [20]	99	93.9	90.6	90.6	97.6	60.3	99.2	63.5
Proposed 03 FL	<b>98.8</b>	<b>94.9</b>	<b>99.1</b>	<b>88.8</b>	<b>98.9</b>	<b>83.4</b>	<b>99.4</b>	<b>78.4</b>
Proposed 03 CE	<b>99.1</b>	<b>87.7</b>	<b>99.9</b>	<b>98.6</b>	<b>99.1</b>	<b>76.4</b>	<b>99.8</b>	<b>90.0</b>
Proposed 03 CERE	<b>99.4</b>	<b>94.1</b>	<b>99.8</b>	<b>97.7</b>	<b>99.3</b>	<b>76.9</b>	<b>99.9</b>	<b>96.0</b>

Proposed 1: 11 records for VEB, and 14 records for SVEB  
 Proposed 2: 24 records  
 Proposed 3: 44 records

obtain 98.2% for VEB and 78.0% for SVEB, in addition to successes in the other cases. The present results found across different methods outperform the state-of-the-art techniques in terms of Acc, Sen, Spe and Ppr in VEB and SVEB as shown in Table 10.

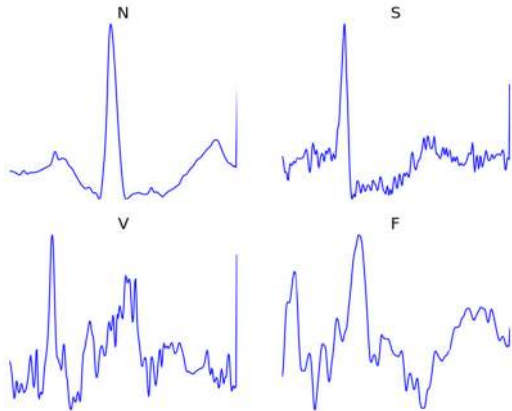
5) SCENARIO 5

In this scenario, INCART dataset was used as a test set while the training was DS1. Table 1 shows the distribution classes

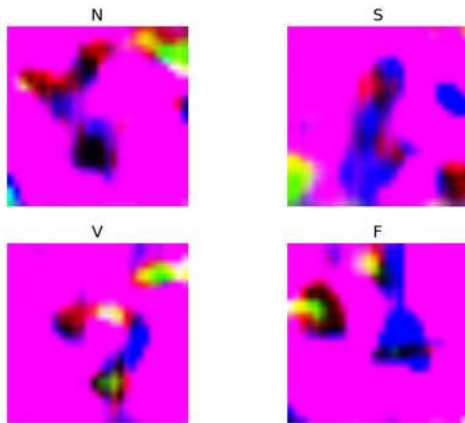
for this testing data set. Figure 10 shows example of the original input signal for the classes (N, S, V, and F) Fig. 11. shows an example of the image produced from the generator network for the input classes. Fig. 12 shows an example of the intermediate feature-maps from the discriminator network for these classes, taken from the output of the second dense block. Fig. 13 shows an example of the final feature-maps (after the global average pooling, but before the Softmax in Fig. 3) which are used for classification. Table 11 shows

**TABLE 11.** Classification performance of the proposed method in the term of (TN, FN, TP, and FP) on incart as test set.

Method	N	S	V	F
$T_N$	22168	173765	155713	175493
$F_N$	1316	438	1443	184
$T_P$	152229	1510	18557	36
$F_P$	1720	374	1157	130



**FIGURE 10.** Example of original input signal for the classes N, S, V, and F (INCART).

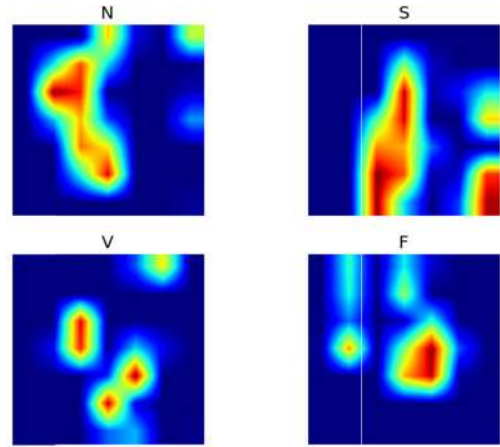


**FIGURE 11.** Example of the generator's output images for the classes N, S, V, and F (INCART).

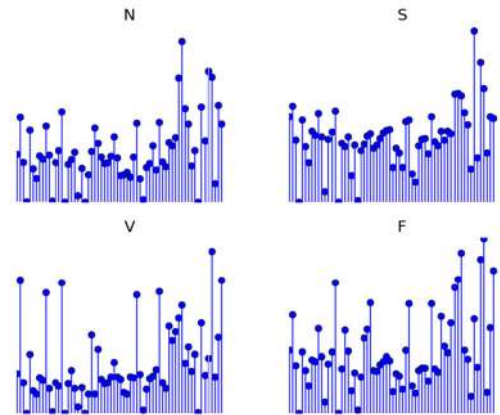
**TABLE 12.** VEB and SVEB classification performance for scenario 5 vs. methods in the literature.

Methods	VEB				SVEB			
	Acc	Sen	Spe	Ppr	Acc	Sen	Spe	Ppr
Mariano et al [66]	N/A	0.84	N/A	0.94	N/A	0.76	N/A	0.07
Rahhal et al [45]	0.82	0.75	0.83	0.37	0.92	0.15	0.93	0.02
Proposed	0.99	0.93	0.99	0.94	0.99	0.78	0.99	0.80

the classification results in terms of ( $T_N$ ,  $F_N$ ,  $T_P$ , and  $F_P$ ). The method proposed in [66] achieved the highest sensitivity



**FIGURE 12.** Example of feature maps from the discriminator (dense block 2 output) for the classes N,S,V, and F (INCART).



**FIGURE 13.** Example of the final features vector used for classification from the discriminator for the classes N,S,V, and F (INCART).

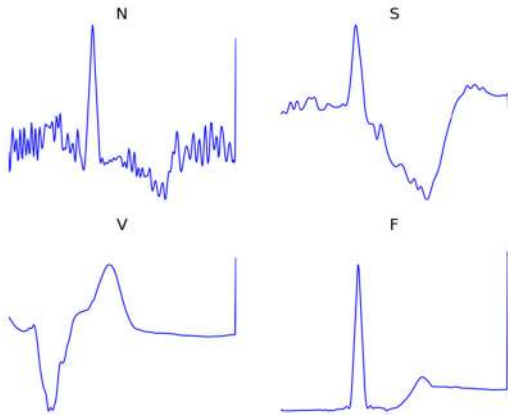
**TABLE 13.** Classification performance of the proposed method in the term of (TN, FN, TP, AND FP) on SVDB as test set.

Method	N	S	V	F
$T_N$	19037	153740	156192	164450
$F_N$	7045	3473	1208	22
$T_P$	138391	7260	7073	1
$F_P$	2574	6714	2460	0

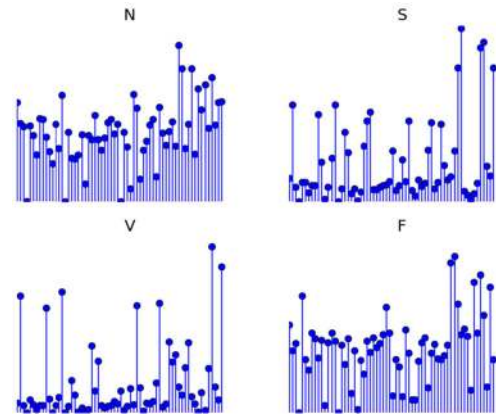
**TABLE 14.** VEB and SVEB classification performance for scenario 6 vs. methods in the literature.

Methods	VEB				SVEB			
	Acc	Sen	Spe	Ppr	Acc	Sen	Spe	Ppr
Mariano et al [66]	N/A	0.79	N/A	0.49	N/A	0.51	N/A	0.46
Rahhal et al [45]	0.66	0.65	0.66	0.09	0.90	0.08	0.96	0.14
Proposed	0.97	0.85	0.98	0.79	0.74	0.67	0.95	0.51

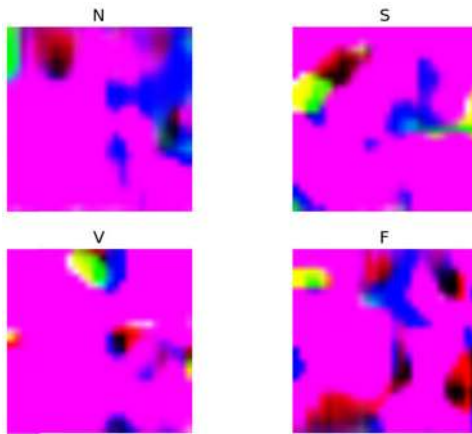
score of 84% for class V (VEB), and 77% for class S (SVEB). The proposed method obtains 93% for VEB and 78% for SVEB. The present results found across different methods is shown in Table 12.



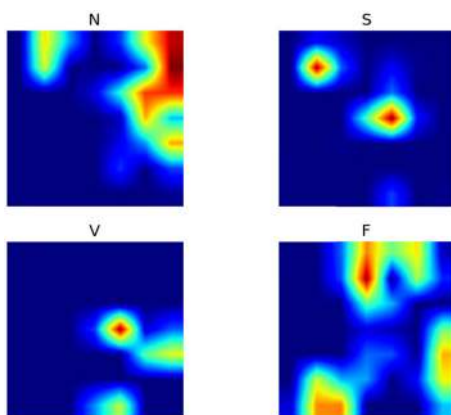
**FIGURE 14.** Example of original input signal for the classes N, S, V, and F (SVDB).



**FIGURE 17.** Example of the final features vector used for classification form the discriminator for the classes N,S,V, and F (SVDB).



**FIGURE 15.** Example of the generator's output images for the classes N, S, V, and F (SVDB).



**FIGURE 16.** Example of feature maps form the discriminator (dense block 2 output) for the classes N,S,V, and F (SVDB).

## 6) SCENARIO 6

In this scenario SVDB dataset was also used to test the generalization of the method. Table 1 shows the distribution classes for this testing data set. Fig. 14 shows example of original

input signal for the classes (N, S, V, and F) it is clear that the input signal is a noisy signal. Fig. 15 shows an example of the image produced from the generator network for the input classes. Fig. 16 shows an example of the intermediate feature-maps from the discriminator network for these classes, taken from the output of the second dense block. Fig. 17 shows an example of the final feature-maps.

Tables 13 shows the automatic classification results in terms of ( $T_N$ ,  $F_N$ ,  $T_P$ , and  $F_P$ ) Table 14 presents the results in the terms of VEB and SVEB samples, the (Acc, Sen, Spe, and Ppr) are (97%, 85%, 98%, and 79%) and (74%, 67%, 98%, and 51%) for VEB and SVEB respectively. Table 14 confirms that the obtained results are better than stat of the methods.

## IV. CONCLUSION

Our experiments show that by converting the raw 1D ECG signal data into a 2D image using a generative neural network the image can be easily fed into a state of the art convolutional neural network such as DenseNet. This produces a highly accurate classification ability, with high sensitivity and specificity. Using 4 classes N, S, V, F as well as focal loss to deal with the shortcoming of data balance performed better than oversampling the minority classes or using cross-entropy loss. When classifying V versus the other three classes and S versus the other three classes, the proposed method outperforms the state-of-the-art methods in terms of accuracy, sensitivity, and specificity.

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