# DEPTIH OF TDEMPOTENT-GENERATED SUBSEMIGROUPS OF A REGULAR RING 

John Hannah and K.C. O'Meara

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Abstract
If $S$ is an idempotent-generated semigroup, its depth is the minimum number of idempotents needed to express a general element as a product of idempotents. Here we study the depth of $S$ where $S$ is the semigroup generated by all the idempotents of a von Neumann regular ring, and the depth of various subsemigroups of $S$. For example, if $R$ is directly finite, the depth of $S$ equals the index of nilpotence of $R$, which considerably extends a result of Ballantine (1978) for matrices over a field. We also answer a query of professor Howie by supplying a ring-theoretic explanation of Reynolds and Sullivan's (1985) result that the depth is 3 for certain subsemigroups in the infinite-dimensional full linear case.

## Introduction

The depth. $\triangle(S)$ of an idempotent-generated semigroup $S$ is the least positive integer $n$ such that each element of $S$ can be written as a product of $n$ idempotents, if such an $n$ exists, otherwise $\triangle(S)=\infty$. Thus depth is a measure of how far the elements of $S$ are from being idempotent (or how far the semiband $S$ is from being a band). In 1981, Howie [H2] calculated $\triangle(S)$ for the semigroup $S$ generated by the idempotents of a full transformation semigroup, and also the depth of certain of its subsemigroups. Ballantine [B] (see also Dawlings [D1]) and Reynolds and Sullivan [RS] obtained analogous results for the semigroup generated by the idempotent linear transformations of a vector space, in the finite-dimensional and infinite-dimensional cases respectively. In this paper we provide a very much broader setting for the results of Ballantine and Reynolds and Sullivan, by employing ring-theoretic machinery to calculate the depth of idempotent-generated subsemigroups of a (von Neumann) regular ring, in the case where the ring is directly finite or right self-injective. The basic theme is relating depth to the more established ring-theoretic concept of nilpotent index, index $(R)$, of a ring $R$ (or of an ideal of $R$ ). Our results rely heavily on the work in $[\mathrm{OM}]$ and $[\mathrm{HO}]$, which gave purely ring-theoretic characterizations of products of idempotents in regular rings.

Let $R$ be a regular ring and let $S$ be the multiplicative semigroup generated by its idempotents. In $\S 1$ we show (Theorem 1.3) that always $\triangle(S)=$ index $(R)$ if $R$ is directly finite. This generalizes Ballantine's 1978 result that $\triangle(S)=n$ if $R=M_{n}(F)$, the ring of $n \times n$ matrices over a field $F$. It also establishes that a directly finite regular ring has bounded index of nilpotence exactly when $S$ has finite depth. For directly infinite rings, the connection between depth and index is more subtle. We show in Theorem 1.6, that for a regular, right self-injective ring $R, \triangle(S)=i n d e x(R)$ except when $R$ is a direct product $R_{1} \times R_{2}$ of a ring $R_{1}$ of bounded index of nilpotence and a nonzero ring $R_{2}$ of Type III, in which case

$$
\triangle(S)=\max \left\{\operatorname{index}\left(R_{1}\right), 3\right\}
$$

Thus finite depth for this class of rings is equivalent to the ring being a direct product of a ring of bounded index and one of Type III.

Both Howie [H2] and Reynolds and Sullivan [RS] broke up their idempotent-generated semigroups $S$ into a disjoint union of a "finite" part and "infinite" parts, the latter being labelled by infinite cardinals. Each part is in turn a regular, idempotent-generated subsemigroup of $S$, and the finite part has depth $\infty$ (for an infinite set or infinite dimensional space). In the full transformation setting of Howie, the infinite parts have depth 4. But in contrast, the infinite parts in the full linear setting of Reynolds and Sullivan turned out to have depth 3. (This phenomenon turned up first in Dawlings' [D2] 1983 study of products of idempotent transformations of a separable Hilbert space.) This prompted Professor Howie to ask (the second author) whether this depth 3 was a quirk or was there a ring-theoretic explanation for it. In $\S 2$ we provide just such an explanation. Let $S$ be the semigroup generated by the idempotents of a unit-regular or regular, right self-injective ring $R$. Then $S$ can be split up into a disjoint union of regular, idempotent-generated subsemigroups $S_{I}$ labelled by the principal ideals $I$ of $R$, namely

$$
S_{I}=\{a \in R \mid \operatorname{Rr}(a)=\ell(a) R=R(1-a) R=I\}
$$

(which is the "slice" consisting of all "balanced elements of $R$ of weight I "). Moreover, if $R$ is regular, right self-injective, the depth of $S_{I}$ is determined as follows (Theorem 2.12): if $I$ is directly finite, then $\triangle\left(S_{I}\right)=$ index $(I)$; if $I$ is purely infinite, then $\triangle\left(S_{I}\right)=3$; in the mixed case, if $I$ is directly infinite, then $\Delta\left(S_{I}\right)=\max \left\{\operatorname{index}\left(I_{1}\right), 3\right\}$ where $I_{1}$ is the directly finite part of $I$. These subsemigroups $S_{I}$ agree with the Reynolds and Sullivan components in the full linear case because of the natural labelling of the ideals of a full linear ring (or any prime, regular, right self-injective ring) by cardinals. Thus their result is the special case where the regular, right self-injective ring $R$ is a full linear ring. However, as a guide to the limit of such results, we give an example of a directly finite regular ring with comparability for which $\triangle\left(S_{I}\right) \neq \operatorname{index}(I)$ for the principal ideal $I=R$.

This broader setting for the slices $S_{I}$ brings to light a phenomenon that was too simple to be worth noticing in Reynolds and Sullivan's full linear ring case, and indeed in Howie's full transformation case, namely, that when $R$ is unit-regular or regular, right self-injective, then $S$ is a semilattice of the semigroups $S_{I}$. This last statement means $S=\dot{U} S_{I}$ and $S_{I} S_{J} \subseteq S_{I+J}$, where we are viewing the set of principal ideals of $R$ as a semilattice under
addition. In particular, $S$ can be mapped homomorphically onto the additive semilattice of principal ideals of $R$. Even for a general regular ring $R$, our techniques show that the map $a \mapsto R(1-a) R$ is a semigroup homomorphism from the multiplicative semigroup generated by the idempotents of $R$ onto the additive semilattice of principal ideals of $R$. In view of the complexity of this semilattice in general, we find this "duality" in such a general setting a little surprising.

In the final section, §3, we show that for a unit-regular ring or a regular, right selfinjective ring $R$, the "bottom slice" $S_{R}$ is precisely the subsemigroup generated by the nilpotent elements of $R$ (Corollary 3.4).

## Preliminaries

All rings considered here are associative with an identity element. Semigroups, on the other hand, need not have an identity. The unqualified term ideal always refers to a twosided ideal. For a subset $X$ of a ring $R$, we let $r(X)=\{a \in R \mid X a=0\}$ denote the right annihilator of $X$ in $R$, or if the parent ring is in doubt, the notation is $r_{R}(X)$. Similarly $\ell(X)$ or $\ell_{R}(X)$ denotes the left annihilator. A module $A$ is subisomorphic to a module $B$, written $A \lesssim B$, if $A$ is isomorphic to a submodule of $B$. The direct sum of $n$ copies of a module $A$ is denoted $n A$ (for $n$ a positive integer).

A ring (or semigroup) $R$ is (von Neumann) regular if for each $x \in R$ there exists $y \in R$ such that $x=x y x$. If $y$ can always be chosen to be a unit (i.e. an invertible element), then $R$ is unit-regular. All our rings are regular. Throughout the paper, the reader will frequently be referred to Goodearl's book [G] for properties of regular rings. Any unexplained notation or terms relating to regular rings can be found there. A ring $R$ is right self-injective if the module $R_{R}$ is injective.

A module $A$ is directly finite if $A$ is not isomorphic to a proper direct summand of itself. Otherwise $A$ is directly infinite. A module $A$ is purely infinite if it does not contain any nonzero, fully invariant, directly finite, direct summands. A ring $R$ is directly finite if $x y=1$
implies $y x=1$ (this is equivalent to the module $R_{R}$ being directly finite); otherwise $R$ is directly infinite. A regular ring $R$ is called purely infinite if it contains no nonzero, directly finite, central idempotents (this is equivalent to the module $R_{R}$ being purely infinite).

An abelian regular ring is one in which all idempotents are central. Any property $P$ already defined for regular rings can also be conferred on an idempotent $e$ of a regular ring $R$ by the convention that $e$ has $P$ when the corner ring $e R e$ has $P$. Thus, for example, we can speak of abelian idempotents, directly finite idempotents, and so on. Incorporating these latter two notions is a well-developed theory of types for regular, right self-injective rings (see $[\mathrm{G}$, Chapter 10$]$ ): any such ring $R$ is uniquely a direct product of rings of Types I, II, and III, where (roughly speaking) Type I rings have lots of abelian idempotents, Type II rings have no nonzero abelian idempotents but lots of directly finite ones, and Type III rings have no nonzero directly finite idempotents. The archetypical Type I ring, for example, is a direct product of full linear rings over division rings.

A regular ring $R$ satisfies the comparability axiom if for any $x, y \in R$ either $x R \lesssim y R$ or $y R \lesssim x R$, while it satisfies general comparability if for any $x, y \in R$ there is a central idempotent $e \in R$ such that $e x R \lesssim e y R$ and $(1-e) y R \lesssim(1-e) x R$. By [G, Corollary 9.15] any right self-injective regular ring satisfies general comparability.

The nilpotent index of an ideal $J$ of a ring $R$ is the least positive integer $n$ such that $x^{n}=0$ for all nilpotent elements $x \in J$, if such an $n$ exists, otherwise the index is $\infty$. We denote the index of $J$ by index $(J)$. If index $(J)<\infty$, we say $J$ has bounded index of nilpotence.

Finally, we recall the depth of an idempotent-generated semigroup $S$ (defined in the introduction). It is customary to denote the depth of $S$ by $\triangle(S)$. Occasionally we also refer to the depth of an element $x$ in $S$, which is the least $n$ that works for this particular $x$. In what follows the semigroup $S$ will be the multiplicative semigroup generated by all the idempotents of a regular ring $R$, or various idempotent-generated subsemigroups of this. Here we can take $\triangle(S)$ as a measure of how far this semiband is from being a band (where all elements are idempotent). We remark that the case where $S$ is a band
( $\triangle(S)=1$ ) occurs exactly when $R$ is an abelian regular ring, because if $R$ is not abelian, it must contain nonzero nilpotent elements [G, Theorem 3.2] and these are in $S$ (see Lemma 1.2).

## 1 Depth of the full idempotent-generated semigroup

In this section, we examine the depth of the multiplicative semigroup $S$ generated by all the idempotents in a regular ring $R$. Our goal is to relate the depth of $S$ to the index of nilpotency of $R$ when $R$ is directly finite (Theorem 1.3) or right self-injective (Theorem 1.6). As a first step, we have the following general relationship.

Proposition 1.1 Let $R$ be any regular ring and let $S$ be the multiplicative semigroup generated by all its idempotents. Then $\triangle(S) \leq$ index $(R)$.

Proof. If index $(R)=\infty$ there is nothing to prove, so suppose that index $(R)=n<\infty$ and let $a \in R$ be a product of idempotents. We must show that $a$ is a product of (at most) $n$ idempotents. But since $R$ has bounded index, it is unit-regular [G, Corollary 7.11] and so, by [HO, Theorem 1.2], it is enough to show that $(1-a) R \lesssim n(r(a))$. Since $R$ is unit-regular it is thus enough, by [ G , Theorem 4.19], to show that for any prime ideal $P$ of $R$ we have

$$
(1-a) R /(1-a) P \lesssim n[r(a) / r(a) P] .
$$

Let $P$ be a prime ideal of $R$ and let ${ }^{-}$denote the homomorphism onto $\bar{R}=R / P$. Choose $x \in R$ such that $a=a x a$ and so $r(a)=(1-x a) R$. Since $\operatorname{index}(R)=n, \bar{R}$ is a $k \times k$ matrix ring over a division ring and $k \leq n$ by [G, Theorem 7.9]. Since $a$ is a product of idempotents, so is $\bar{a}$ and hence $\bar{a}$ is a product of $k \leq n$ idempotents by [B] or [HO, Theorem 1.2]. Thus by [HO, Proposition 1.1] we have $\overline{(1-a) R}=(\overline{1}-\bar{a}) \bar{R} \lesssim n\left(r_{\bar{R}}(\bar{a})\right)=n(\overline{1}-\bar{x} \bar{a}) \bar{R}=n(\overline{r(a)})$, which is what we had to show. Hence $\triangle(S) \leq n$, as required.

In the directly finite case we actually have $\triangle(S)=i n d e x(R)$ but to see this we need
the following (probably well-known) result.

Lemma 1.2 Let $R$ be a regular ring and let $a \in R$ with $a^{n}=0$. Then $a$ is a product of $n$ idempotents in $R$.

Proof. We use induction on $n$. The case $n=1$ is trivial, so suppose the result is true for elements satisfying $x^{n-1}=0$ and let $a \in R$ with $a^{n}=0$. By [G, Lemma 7.1] there is a decomposition $R=A_{1} \oplus A_{2} \oplus \cdots \oplus A_{n}$ such that $a A_{n}=0$ and $a A_{i}=A_{i+1}$ for $1 \leq i \leq n-1$. Hence there are orthogonal idempotents $e_{1}, \ldots, e_{n}$ summing to 1 , such that $a e_{n}=0$ and $a e_{i} R=e_{i+1} R$ for $1 \leq i \leq n-1$. Hence $a=a e_{1}+\cdots+a e_{n-1}=e_{2} a e_{1}+\cdots+e_{n} a e_{n-1}$. Let $x=e_{3} a e_{2}+\cdots+e_{n} a e_{n-1}$. Then $x \in\left(1-e_{1}\right) R\left(1-e_{1}\right)$ and since the idempotents are orthogonal it is easy to see that $x^{n-1}=0$. Since $\left(1-e_{1}\right) R\left(1-e_{1}\right)$ is a regular ring, by induction there are idempotents $f_{1}, \ldots, f_{n-1} \in\left(1-e_{1}\right) R\left(1-e_{1}\right)$ such that $x=f_{1} \cdots f_{n-1}$. Hence $e_{1}+x=\left(e_{1}+f_{1}\right) \cdots\left(e_{1}+f_{n-1}\right)$ is a product of $n-1$ idempotents in $R$. Since $\left[e_{2} a e_{1}+\left(1-e_{1}\right)\right]\left(e_{1}+x\right)=e_{2} a e_{1}+x=a$ and since $e_{2} a e_{1}+\left(1-e_{1}\right)$ is idempotent, it follows that $a$ is a product of $n$ idempotents, as required.

Theorem 1.3 Let $R$ be a directly finite regular ring and let $S$ be the multiplicative semigroup generated by all its idempotents. Then $\triangle(S)=$ index $(R)$. (In particular, finite depth for $S$ is equivalent to bounded index of nilpotence for $R$.)

Proof. By Proposition 1.1 we just have to show that index $(R) \leq \triangle(S)$ so we may assume that $\triangle(S)=n<\infty$. Suppose that $\operatorname{index}(R)>n$ so that by [G, Theorem 7.2] $R$ contains $n+1$ independent, pairwise isomorphic, nonzero right ideals. We may write these as $e_{1} R, \ldots, e_{n+1} R$ where the $e_{i}$ are orthogonal idempotents. From the isomorphisms we get elements $e_{i j} \in e_{i} R e_{j}$ such that $e_{i j} e_{j i}=e_{i}$ whenever $i \neq j$. Let $y=e_{21}+e_{32}+\cdots+e_{n+1, n}$ and $e=e_{1}+\cdots+e_{n+1}$ and consider the element $a=y+(1-e)$. Notice that since $y \in e R e$ satisfies $y^{n+1}=0$, Lemma 1.2 shows that $a$ is a product of $n+1$ idempotents in $R$. We show
that $a$ cannot be written as a product of $n$ idempotents, and this contradiction of $\triangle(S)=n$ will complete the proof. If $a$ is a product of $n$ idempotents, then $(1-a) R \lesssim n(r(a))$ by [HO, Proposition 1.1]. But it is easy to see that $(1-a) R=(e-y) R=e R$ while $r(a)=e_{n+1} R$. Hence $(1-a) R \cong(n+1) r(a)$ and so $(1-a) R \lesssim n(r(a))$ contradicts the fact that $R$ is directly finite. Thus $a$ is not a product of $n$ idempotents.

Corollary 1.4 Let $R$ be a unit-regular ring and let $T=M_{n}(R)$ be the ring of $n \times n$ matrices over $R$. Let $S$ and $U$ be respectively the semigroups generated by all the idempotents of $R$ and T. Then

$$
\triangle(U)=n \triangle(S)
$$

Proof. By [G, Theorem 7.12], index $(T)=n(i n d e x(R))$. Thus since $R$ and $T$ are both directly finite [G, Proposition 5.2], we have by Theorem 1.3 that

$$
\triangle(U)=\operatorname{index}(T)=n(\operatorname{index}(R))=n \triangle(S)
$$

To obtain Ballantine's formula for depth in the case of $n \times n$ matrices over a field, notice that when we take $R$ to be a field $F$ (or any abelian regular ring for that matter), the formula in Corollary 1.4 gives the depth of the full idempotent-generated semigroup of $M_{n}(F)$ as $n$ (because then $\triangle(S)=1$ ).

The equation $\triangle(S)=i n d e x(R)$ does not hold for all regular rings as we shall now see by calculating $\triangle(S)$ for right self-injective regular rings. Firstly we recall a result proved in [HO, Lemma 2.7].

Lemma 1.5 Let $R$ be a regular ring satisfying general comparability. If $e, f \in R$ are idempotents such that

$$
e R \lesssim(1-e) R \quad \text { and } \quad f R \lesssim(1-f) R
$$

then each $a \in e R f$ is a product $e_{1} e_{2} e_{3}$ of three idempotents each of which satisfies $e_{i} R \lesssim\left(1-e_{i}\right) R$.

Theorem 1.6 Let $R$ be a right self-injective regular ring and let $S$ be the semigroup generated by its idempotents. Then

$$
\triangle(S)=\operatorname{index}(R)
$$

except when $R$ is a direct product $R_{1} \times R_{2}$ of a ring $R_{1}$ of bounded index of nilpotence and a nonzero ring $R_{2}$ of Type III, in which case

$$
\triangle(S)=\max \left\{\operatorname{index}\left(R_{1}\right), 3\right\} .
$$

Proof. Firstly suppose $R$ is a nonzero ring of Type III. We shall show by an argument used in [HO] that then $\triangle(S)=3$ (whereas in this case, index $(R)=\infty$ because $R$ is directly infinite). Since $R$ satisfies $R_{R} \cong 2 R_{R}$, [HO, Example 2.2] shows that $\triangle(S) \geq 3$. Suppose on the other hand that $a \in S$. We want to show that $a$ is a product of 3 idempotents and by the reduction technique in [HO, Lemma 2.5] (restated below in Lemma 2.2), we may assume that

$$
\begin{equation*}
\operatorname{Rr}(a)=\ell(a) R=R \tag{1}
\end{equation*}
$$

(since the ring $g R g$ in the Lemma is still of Type III). There are idempotents $e, f \in R$ such that $e R=a R$ and $R f=R a$, and so (1) gives us $R(1-f) R=R(1-e) R=R$. Since $a \in e R f$ it is thus enough by Lemma 1.5 to show that $e R \lesssim(1-e) R$ and $f R \lesssim(1-f) R$. Hence we just need to show that $R h R=R$ implies $R \lesssim h R$. But if $R h R=R$ then $R \lesssim n(h R)$ for some integer $n$ (by [G, Corollary 2.23]). Since $R$ is Type III, it is purely infinite and so $n R \cong R \lesssim n(h R)$ (by [G, Theorem 10.16]). Hence $R \lesssim h R$ by [G, Theorem 10.34]. Thus $a$ is a product of 3 idempotents and so $\triangle(S)=3$ as required.

It now follows from Theorem 1.3 that if $R=R_{1} \times R_{2}$ is a direct product of a ring $R_{1}$ of bounded index and a nonzero ring $R_{2}$ of Type III, then $\triangle(S)=\max \left\{\operatorname{index}\left(R_{1}\right), 3\right\}$ and certainly $\triangle(S) \neq \operatorname{index}(R)=\infty$ in this case.

Conversely, suppose that $\Delta(S) \neq \operatorname{index}(R)$. We shall prove that $R$ is a direct product of a ring of bounded index and a nonzero ring of Type III. By Proposition 1.1 we know $\triangle(S)<\infty$, say $\triangle(S)=n$. We first show that $R$ cannot contain $n+1$ independent copies of a nonzero directly finite right ideal $e_{1} R$. Suppose, on the contrary that it does. As in the proof of Theorem 1.3 we can then find an element $a \in R$ such that $(1-a) R \cong(n+1) r(a)$ where $r(a) \cong e_{1} R$, and such that $a$ is a product of $n+1$ idempotents. As before $\triangle(S)=n$ implies that $(1-a) R \lesssim n(r(a))$ by [HO, Proposition 1.1]. Thus $(n+1) e_{1} R \lesssim n\left(e_{1} R\right)$. Since $R$ is right self-injective and $e_{1} R$ is directly finite, [G, Corollary 9.19] says that we can cancel $e_{1} R$ factors in such an embedding and so we get the contradiction $e_{1} R=0$.

Now let $R=R_{1} \times R_{2} \times R_{3}$ be the decomposition of $R$ into its Type I,II and III parts, respectively [G, Theorem 10.13]. Since every nonzero right ideal of $R_{1}$ contains a nonzero abelian idempotent and since any abelian idempotent is directly finite, the previous paragraph shows that $R_{1}$ cannot contain $n+1$ independent, pairwise isomorphic, nonzero right ideals. By $\left[G\right.$, Theorem 7.2], it follows that index $\left(R_{1}\right) \leq n$. To complete the proof we just have to show that $R_{2}=0$, and then Theorem 1.3 will ensure $R_{3} \neq 0$ because $\triangle(S) \neq$ index $(R)$. But if $R_{2} \neq 0$ then $R$ contains a nonzero directly finite idempotent $e$ such that $e R$ contains no nonzero abelian idempotents. By [G, Proposition 10.28] applied to the ring $e R e$ there would then be an idempotent $e_{1} \in e R e$ such that $e R \cong(n+1) e_{1} R$. Since this $e_{1} R$ would also be directly finite, the previous paragraph shows that this cannot happen and so $R_{2}=0$ as required.

Remark 1.7 As noted in the proof, if $R$ itself is of Type III, then $\triangle(S)=3$ while index $(R)=\infty$.

Regular right self-injective rings of bounded index, being finite direct products of full $n \times n$ matrix rings over abelian self-injective regular rings [ G , Theorem 7.20], are vastly different objects from those of Type III. In a ring $R$ of Type III every nonzero corner ring $e R e$ (where $e=e^{2} \in R$ ) contains an infinite-dimensional matrix ring since it is directly infinite. (Perhaps the simplest example of such a ring is the maximal right quotient ring of
a proper factor ring of an infinite dimensional full linear ring.) Thus the joint appearance of bounded index and Type III in the following corollary is unusual.

Corollary 1.8 Let $S$ be the semigroup generated by the idempotents of a regular right self-injective ring $R$. Then $\triangle(S)<\infty$ if and only if $R$ is a direct product of a ring of bounded index of nilpotence and a ring of Type III.

## 2 Depth of the slices $S_{I}$

Both Howie [H2] and Reynolds and Sullivan [RS] study the depth of their idempotentgenerated semigroups in more detail by decomposing the semigroups into disjoint unions of a "finite" part and "infinite" parts, the latter labelled by infinite cardinal numbers. Each of these parts is in turn an idempotent-generated regular subsemigroup of the original semigroup and so each has a depth in its own right. In [HO, Theorem 2.8] we showed that in the context of regular rings, Reynolds and Sullivan's characterization of products of idempotent linear transformations, in terms of vector space dimension of certain associated subspaces, could be replaced by the condition

$$
\begin{equation*}
R r(a)=\ell(a) R=R(1-a) R \tag{*}
\end{equation*}
$$

on the associated principal ideals in the ring (for $a \in R$ ). This suggests that we look at subsemigroups of $S$ consisting of elements associated with a common principal ideal of $R$.

Definition 2.1 Let $R$ be a regular ring. Given any principal ideal $I$ of $R$, we set

$$
S_{I}=\{a \in R \mid \operatorname{Rr}(a)=\ell(a) R=R(1-a) R=I\}
$$

Thus $S_{I}$ consists of all elements of $R$ for which the ideals in condition (*) take the common value $I$. Following Howie [H2] we say that $a \in R$ is balanced if it satisfies (*) and
that its weight is $I$ if $a \in S_{I}$. In the case where $R$ is the full linear ring $E n d_{F}(V)$ these sets of balanced elements correspond to the components of the decomposition considered by Reynolds and Sullivan in [RS]. Their finite part $\mathcal{F}$ consists of those transformations $a$ on $V$ for which

$$
0<n(a)=d(a) \leq s(a)<\aleph_{0}
$$

where $n(a)=\operatorname{dim}(\operatorname{ker}(a)), d(a)=\operatorname{codim}(\operatorname{Im}(a)), s(a)=\operatorname{codim}\{v \in V: a v=v\}$ and this is precisely the set $S_{I}$ where $I=\operatorname{soc}(R)$ is the ideal of all transformations of finite rank (see [OM, Corollary 12]). On the other hand their infinite parts are defined as the subsemigroups

$$
I(\epsilon)=\{a \in R: n(a)=d(a)=s(a)=\epsilon\}
$$

where $\aleph_{0} \leq \epsilon \leq \operatorname{dim} V$, and these are just the sets $S_{I}$ where $I$ is the ideal of $R$ consisting of all transformations of rank $\leq \epsilon$ (again see [OM, Corollary 12]). Notice that with our labelling of the components $S_{I}$ by the principal ideals $I$ of $R$, the finite part no longer needs to be singled out for a separate notation.

In this section we show that these "slices" $S_{I}$ are regular subsemigroups of $R$, which are idempotent-generated if $R$ is unit-regular or right self-injective. Our principal result (Theorem 2.8) is a precise determination of $\triangle\left(S_{I}\right)$ when $R$ is regular, right self-injective. This yields the Reynolds and Sullivan full linear case as a special instance.

The following "corner reduction" technique was introduced in [OM] and also used in [HO, Lemma 2.5]. Here we restate it in terms of $S_{I}$. It simplifies many situations by allowing us to pass from a balanced element $a \in R$ of weight $I$ (that is, $a \in S_{I}$ ) to an element $y$ in some corner $A$ of $I$ such that, in the ring $A, y$ is a balanced element of maximum weight (that is, $y \in S_{A}$ ).

Lemma 2.2 Let $I$ be a principal ideal of a regular ring $R$ and let $a \in S_{I}$. Then there exists an idempotent $g \in I$ such that $I=R g R$ and an element $y \in A \equiv g R g$ such that

$$
a=y+(1-g)
$$

and in the ring $A, y \in S_{A}$. Conversely, if $g \in I$ is an idempotent with $I=R g R$ (that is, $\left.1-g \in S_{I}\right)$ and $y \in S_{A}$, then $y+(1-g)$ is in $S_{I}$.

Proposition 2.3 For any principal ideal $I$ of a regular ring $R$, the set $S_{I}$ is a regular subsemigroup of the multiplicative semigroup of $R$. If $R$ is unit-regular or regular, right self-injective, then $S_{I}$ is idempotent-generated.

Proof. Since $I$ is a principal ideal in a regular ring, $I=R g R$ for some $g=g^{2} \in R$. Then $1-g \in S_{I}$, which establishes that $S_{I}$ is nonempty. Let $a, b \in S_{I}$. Noting that $1-a b=a(1-b)+(1-a)$, we have

$$
\operatorname{Rr}(a b) \supseteq \operatorname{Rr}(b)=I \supseteq R(1-b) R+R(1-a) R \supseteq R(1-a b) R
$$

and so $\operatorname{Rr}(a b)=R(1-a b) R=I$. Similarly $\ell(a b) R=I$ and therefore $\operatorname{Rr}(a b)=\ell(a b) R=$ $R(1-a b) R=I$. This $a b \in S_{I}$ and hence $S_{I}$ is a subsemigroup of $R$.

To show $S_{I}$ is regular, it is sufficient by Lemma 2.2 to consider the case $I=R$. Let $a \in S_{R}$. Because $R$ is a regular semigroup, there exists $b \in R$ with $a=a b a$ and $b=b a b$. Observing that $\ell(a)=R(1-a b)$ and $r(b)=(1-a b) R$, we see that $\operatorname{Rr}(b)=\ell(a) R=R$. Similarly $\ell(b) R=R$. Hence $\operatorname{Rr}(b)=\ell(b) R=R(1-b) R=R$, which shows $b$ is the desired "inverse" in $S_{R}$ of $a$.

Now assume $R$ is unit-regular. Let $a \in S_{I}$. By [HO, Corollary 1.4], $a$ is a product $f_{1} f_{2} \cdots f_{k}$ of idempotents of $R$. By [HO, Remark 1.3], the $f_{i}$ can be chosen such that $f_{i} R \cong a R$. Then, because $R$ is unit-regular, $\left(1-f_{i}\right) R \cong r(a)$ and hence $R\left(1-f_{i}\right) R=$ $\operatorname{Rr}(a)=I$. Thus each $f_{i} \in S_{I}$ which shows that $S_{I}$ is idempotent-generated.

It will be shown in Theorem 2.12 that $S_{I}$ is also idempotent-generated when $R$ is regular, right self-injective.

When (*) characterizes products of idempotents in a regular ring $R$, then the $S_{I}$ give a disjoint covering of the semigroup $S$ generated by all the idempotents of $R$. Our next
proposition gives a coarse description of the products $a b$ for $a \in S_{I}$ and $b \in S_{J}$.

Proposition 2.4 Let $R$ be a regular ring.
(1) If $I$ and $J$ are principal ideals of $R$, then

$$
S_{I} S_{J} \subseteq S_{I+J}
$$

(2) If the condition

$$
\begin{equation*}
\operatorname{Rr}(a)=\ell(a) R=R(1-a) R \tag{*}
\end{equation*}
$$

characterizes products of idempotents in $R$ (for example, if $R$ is unit-regular or regular right self-injective), then the multiplicative semigroup $S$ generated by all the idempotents of $R$ is a semilattice of the semigroups $S_{I}$, where $I$ ranges over the additive semilattice of principal ideals of $R$.

Proof. (1) Observe that if $x \in R$, then $\ell(x) R \subseteq R y R$ for any $y \in R$ for which $x R+y R=$ $R$. For if we choose $e=e^{2} \in R$ with $x R=e R$ and $(1-e) R \subseteq y R$, then $\ell(x) R=R(1-e) R \subseteq$ $R y R$.

Now let $a \in S_{I}$ and $b \in S_{J}$. We first show $\ell(a b) R=\operatorname{Rr}(a b)$ using the corresponding properties of $a$ and $b$. Since $R$ is regular, there is a decomposition $r(a b)=r(b) \oplus f R$ for some $f \in R$. Then $b f R=r(a) \cap b R$ and so $f R \cong r(a) \cap b R$. Hence

$$
\begin{equation*}
R r(a b)=R r(b)+R(r(a) \cap b R) \tag{1}
\end{equation*}
$$

Similarly

$$
\begin{equation*}
\ell(a b) R=\ell(a) R+(\ell(b) \cap R a) R \tag{2}
\end{equation*}
$$

Also by regularity, there exists $h \in R$ such that $R=(r(a)+b R) \oplus h R$. Note that $R h R=$ $\ell(r(a)+b R) R=(\ell(b) \cap R a) R$. Using our initial observation, we have from $R=b R+(r(a)+h R)$ and $r(b) \subseteq \ell(b) R$ that $r(b) \subseteq \operatorname{Rr}(a)+R h R$, and hence $r(b) \subseteq$
$\operatorname{Rr}(a)+(\ell(b) \cap R a) R$. Hence from (1) and (2) and the fact that $\operatorname{Rr}(a)=\ell(a) R$ (because $a \in S_{I}$ ), we have

$$
\begin{aligned}
\operatorname{Rr}(a b) & =\operatorname{Rr}(b)+R(r(a) \cap b R) \\
& \subseteq \operatorname{Rr}(a)+(\ell(b) \cap R a) R \\
& =\ell(a) R+(\ell(b) \cap R a) R \\
& =\ell(a b) R
\end{aligned}
$$

which establishes that $\operatorname{Rr}(a b) \subseteq \ell(a b) R$. The reverse containment follows from symmetry, and so $\operatorname{Rr}(a b)=\ell(a b) R$.

To complete the proof that $a b \in S_{I+J}$, note that from $1-a b=(1-a)+a(1-b)$ we have $R(1-a b) R \subseteq R(1-a) R+R(1-b) R=I+J$. Also $I=\ell(a) R \subseteq \ell(a b) R$ and $J=\operatorname{Rr}(b) \subseteq \operatorname{Rr}(a b)$, hence $I+J \subseteq \ell(a b) R+\operatorname{Rr}(a b)=\operatorname{Rr}(a b)$. Since $\operatorname{Rr}(a b) \subseteq R(1-a b) R$, we have

$$
\operatorname{Rr}(a b)=\ell(a b) R=R(1-a b) R=I+J
$$

which says $a b \in S_{I+J}$. Therefore $S_{I} S_{J} \subseteq S_{I+J}$.
(2) When (*) characterizes products of idempotents, $S$ is the disjoint union of the semigroups $S_{I}$ as $I$ ranges over the principal ideals of $R$. The set of principal ideals of $R$ forms a semilattice (commutative semigroup of idempotents) under addition, whence by (1) we have that $S$ is a semilatice of the $S_{I}$ (see $[\mathrm{H} 1, \mathrm{p} 89]$ for this concept).

Remark 2.5 Because of (1), and the fact that (*) is a necessary condition for an element to be a product of idempotents (see [HO, Proposition 2.3]), the map

$$
a \mapsto R(1-a) R
$$

is always a semigroup homomorphism from the multiplicative semigroup $S$ generated by the idempotents of $R$ onto the semilattice of principal ideals of $R$ under addition - an unexpected duality in such a general setting. In particular, if we choose generators from
the set $\{1-a \mid a \in S\}$, then principal ideals add according to the rule

$$
R x R+R y R=R(x+y-x y) R
$$

which extends the well-known rule for adding central idempotents. What (2) is saying is that when (*) characterizes products of idempotents, the congruence classes of the kernel of the above homomorphism are precisely the semigroups $S_{I}$, as $I$ ranges over the principal ideals of $R$.

The semilattice structure of the semigroup $S$ was of course present in Reynolds and Sullivan's full linear ring case, and indeed in Howie's full transformation semigroup. However in both cases the semilattice was too simple to be worth noticing, being essentially a set of infinite cardinal numbers under addition (see [H2, Lemma 2.10] for example). For general regular rings, though, the semilattice can be quite complicated.

Example 2.6 Let $B$ be any Boolean ring and $n$ a positive integer, and let $R=M_{n}(B)$. Then $R$ has index $n$ and so is unit regular [G, Theorem 7.12 and Corollary 7.11]. Hence by Proposition 2.4 the semigroup $S$ generated by the idempotents of $R$ is a semilattice of the subsemigroups $S_{I}$. On the other hand, each principal ideal of $R$ is generated by a central idempotent of $B$ because finitely generated ideals of $B$ are generated by central idempotents. Thus we see that the semilattice of principal ideals of $R$ (under + ) is isomorphic to the semilattice of idempotents of $B$ (under $\vee$ ). In particular, by choosing $B$ to have no atoms we see that the semilattice need not be a product of well-ordered chains. Of course, the example would be simpler if $n=1$, in which case $S=R=B$, but then the subsemigroups $S_{I}$ would be trivial, consisting of just one idempotent element each. It is perhaps worth noticing that in this case, the homomorphism $a \mapsto R(1-a) R$ mentioned in Remark 2.5 from the semigroup $S$ onto the semilattice of principal ideals is essentially the complement map $e \mapsto 1-e$ of the Boolean algebra structure on $B$ which maps the multiplicative structure to the additive structure $\left((e \wedge f)^{\prime}=e^{\prime} \vee f^{\prime}\right)$. The next lemma, applied to the present example, shows for a general $n$ that if $I$ is a nonzero principal ideal of $R$, then
$S_{I}$ is idempotent-generated with $\triangle\left(S_{I}\right)=i n d e x(I)=n$ (so here these slices have uniform depth). By Corollary 1.4, $S$ itself also has depth $n$. Also, if $n>1$ and $B$ is infinite, then the $S_{I}$ are infinite.

We now aim to describe the depth of $S_{I}$ when $R$ is a regular, right self-injective ring (Theorem 2.12). As preliminaries we need a number of lemmas.

Lemma 2.7 If $I$ is a principal ideal of bounded index in a regular ring $R$, then $S_{I}$ is idempotent-generated with

$$
\triangle\left(S_{I}\right)=\text { index }(I)
$$

Proof. Let $a \in S_{I}$. By Lemma 2.2 there exists $g=g^{2} \in R$ with $R g R=I$ such that

$$
a=y+(1-g)
$$

for some $y \in S_{A}$, where $A=g R g$. Certainly index $(A)<\infty$ because $A \subseteq I$. By [G, Corollary 7.11], $A$ is unit-regular. Hence by Propositions 1.1, 2.3 and [HO, Remark 1.3], $y$ is a product of $k=\operatorname{index}(A)$ idempotents in $S_{A}$, say $y=g_{1} g_{2} \cdots g_{k}$. Then $a=\left[g_{1}+(1-g)\right]\left[g_{2}+\right.$ $(1-g)] \cdots\left[g_{k}+(1-g)\right]$ is a product of $k$ idempotents in $S_{I}$. Since $k=$ index $(A) \leq \operatorname{index}(I)$, this shows that $S_{I}$ is idempotent-generated with $\triangle\left(S_{I}\right) \leq$ index $(I)$.

To show that $\triangle\left(S_{I}\right)=$ index $(I)$ we just need to find an element of $S_{I}$ which is a product of $n=\operatorname{index}(I)$ idempotents in $R$ but no fewer. By [G, Lemma 7.17] there is a central idempotent $e \in I$ such that $e R \cong M_{n}(A)$ for some abelian regular ring $A$. Thus $e R$ is a directly finite regular ring and is isomorphic to a direct sum of $n$ isomorphic right ideals. As in the proof of Theorem 1.3 there is some $y \in e R$ such that $(e-y) R=e R$ and $y$ is a product of $n$ idempotents in $e R$ but no fewer. Since $I$ is principal there is an idempotent $f \in(1-e) R$ such that $I=e R+R f R$. Now let $x=y+(1-e-f)$ so that $x$ is a product of $n$ idempotents in $R$ but no fewer (since $e$ is central). Also $R(1-x) R=I$ and so $x \in S_{I}$ as required.

For regular rings (with identity), and modules over such rings, the concepts of directly finite, directly infinite, and purely infinite are well established. We now formulate their
appropriate analogues for any principal ideal $I=R w R$ of a regular, right self-injective ring $R$, in terms of the $R$-module $w R$. In this situation, the result is independent of the generator $w$. However, in view of the open problem [ G , Problem 1,p344] of whether $M_{n}(R)$ is always directly finite for a general directly finite regular ring $R$, we would be unable to make this claim of independence even for the concept of a directly finite principal ideal in an arbitrary regular ring.

Definition 2.8 Let $I=R w R$ be a principal ideal of a regular, right self-injective ring $R$. Then I is called directly finite, directly infinite, or purely infinite according to whether the $R$-module $w R$ is directly finite, directly infinite, or purely infinite, respectively.

Lemma 2.9 The definition in 2.8 is independent of the generator $w$ for $I$.

Proof. Assume $I=R w R=R v R$ for some $w, v \in R$. Then by [G, Corollary 2.23], there are positive integers $k$ and $m$ such that $w R \lesssim k(v R)$ and $v R \lesssim m(w R)$.

Firstly suppose $w R$ is directly finite. Then so is $m(w R)$ by [G, Corollary 9.20 ] because $w R$ is a nonsingular injective module. Hence, since $v R \lesssim m(w R)$, we have that $v R$ is directly finite. This argument shows that $w R$ is directly finite (respectively, directly infinite) if and only if $v R$ is directly finite (respectively, directly infinite).

Next suppose $w R$ is purely infinite. Since $w R$ is nonsingular and injective, by [G, Proposition 10.33] this means $w R \cong n(w R)$ for all positive integers $n$. Hence $k(w R) \cong$ $w R \lesssim k(v R)$, which implies $w R \lesssim v R$ by [G, Theorem 10.34] because $w R$ and $v R$ are nonsingular injective modules. Also $v R \lesssim m(w R) \cong w R$ yields $v R \lesssim w R$, whence by the injectivity of $w R$ and $v R$ we have $w R \cong v R$ [G, Theorem 10.14]. Thus $v R$ is purely infinite.

The following lemma generalizes the fact that any regular, right self-injective ring is uniquely a direct product of a directly finite ring and a purely infinite ring [G, Proposition 10.21].

Lemma 2.10 Let I be a principal ideal of a regular, right self-injective ring $R$. Then $I$ is uniquely a direct sum

$$
I=I_{1} \oplus I_{2}
$$

of a directly finite principal ideal $I_{1}$ and a purely infinite principal ideal $I_{2}$. Moreover, there is a central idempotent $e$ of $R$ such that $I_{1}=e I$ and $I_{2}=(1-e) I$.

Proof. Suppose $I=R w R$ where $w \in R$. Applying [G, Theorem 10.32] to the nonsingular injective module $w R$, we see that there exists a central idempotent $e \in R$ such that $e w R$ is directly finite and $(1-e) w R$ is purely infinite. Then

$$
I=e I \oplus(1-e) I
$$

where $e I=\operatorname{Rew} R$ is a directly finite principal ideal and $(1-e) I=R(1-e) w R$ is a purely infinite principal ideal.

For the uniqueness, suppose also $R w R=J_{1} \oplus J_{2}$ where $J_{1}$ is directly finite and $J_{2}$ is purely infinite. Write $w=w_{1}+w_{2}$ where $w_{i} \in J_{i}$. Then $J_{i}=R w_{i} R$. Hence we have a decomposition $w R=w_{1} R \oplus w_{2} R$ of the nonsingular injective module $w R$ as a direct sum of a fully invariant directly finite submodule $w_{1} R$ and a fully invariant purely infinite submodule $w_{2} R$. By the uniqueness of such decompositions [ $G$, Theorem 10.31], we have $w_{1} R=e w R$ and $w_{2} R=(1-e) w R$ where $e$ is the central idempotent above. Thus $J_{1}=e I$ and $J_{2}=(1-e) I$.

Lemma 2.11 Let I be a principal ideal of a regular ring $R$ and lete be a central idempotent of $R$. Then

$$
S_{I}=S_{e I} \times S_{(1-e) I}
$$

Proof. It is easily verified that the map

$$
a \mapsto(e a+(1-e), e+(1-e) a)
$$

provides a semigroup isomorphism from $S_{I}$ onto $S_{e I} \times S_{(1-e) I}$. Internally, $S_{I}=S_{e I} S_{(1-e) I}$ and each $a \in S_{I}$ has a unique representation as a product, namely, $a=[e a+(1-e)][e+$ $(1-e) a]$. Moreover, these factors commute and so we are justified in writing $S_{I}$ as an internal direct product of $S_{e I}$ and $S_{(1-e) I}$ (despite the fact that these semigroups do not necessarily have an identity, and so do not have natural copies inside $S_{I}$ ).

We are now in a position to present the main result of this section. It is worth noticing that the formulae given in the following theorem for the depth of the slices $S_{I}$ in a regular, right self-injective ring $R$ are much "cleaner" than the corresponding formulae for the depth of the full idempotent-generated semigroup $S$ in Theorem 1.6. For instance, if $R$ is purely infinite, $S$ may have infinite depth (for example, if $R$ is an infinite-dimensional full linear ring) or finite depth (for example, $R$ of Type III), whereas for a purely infinite ideal $I, S_{I}$ always has depth 3 .

Theorem 2.12 Let I be a principal ideal of a regular, right self-injective ring $R$. Then $S_{I}$ is a regular, idempotent-generated semigroup whose depth is determined as follows:
(1) If I is directly finite, then

$$
\triangle\left(S_{I}\right)=\operatorname{index}(I)
$$

(2) If I is purely infinite, then

$$
\triangle\left(S_{I}\right)=3 .
$$

(3) If $I$ is directly infinite, and $I=-I_{1} \oplus I_{2}$ is the unique decomposition of $I$ as a direct sum of a directly finite ideal $I_{1}$ and a purely infinite ideal $I_{2}$, then

$$
S_{I}=S_{I_{1}} \times S_{I_{2}}
$$

and

$$
\triangle\left(S_{I}\right)=\max \left\{\operatorname{index}\left(I_{1}\right), 3\right\}
$$

Proof. By Lemma 2.3, $S_{I}$ is a regular semigroup. In the course of proving (1),(2), and (3), we show that $S_{I}$ is idempotent-generated.
(1) We consider first the special case $I=R$. Then by Lemma $2.9 R$ is directly finite, and hence unit-regular by [G, Theorem 9.17]. Let $n \leq i n d e x(R)$ be a positive integer. Then by [ G , Theorem 7.2] there exist nonzero orthogonal idempotents $e_{1}, \cdots, e_{n} \in R$ such that $e_{i} R \cong e_{j} R$ for all $i, j$. Let $e=e_{1}+e_{2}+\cdots+e_{n}$. Since $R$ is directly finite and right self-injective, by [G, Theorem 9.25] the ideal $R e R$ contains a nonzero central idempotent $f$ of $R$. Let $B=f R$. In the ring $B$,

$$
f e B=f e_{1} B \oplus \cdots \oplus f e_{n} B
$$

with $f e_{i} B \cong f e_{j} B$ for all $i, j$. Hence by the argument of Theorem 1.3, there exists $x \in B$ with $r_{B}(x)=f e_{n} B$ and $(f-x) B=f e B \cong n\left(r_{B}(x)\right)$. Now $B r_{B}(x)=B f e_{n} B=B f e B=B$ whence $x \in S_{B}$ (relative to the ring B), and $\operatorname{Rr}(x)=R$ which implies $x \in S_{R}$. Because $B$ is unit-regular and $(f-x) B \cong n\left(r_{B}(x)\right)$, we have by [HO, Theorem 1.2 and Remark 1.3] that $x$ is a product of $n$ idempotents in $S_{B}$. But, as $B$ is directly finite, we cannot have $(f-x) B \lesssim m\left(r_{B}(x)\right)$ for any $m<n$, whence [HO, Proposition 1.1] shows that $x$ has depth exactly $n$ in $S_{B}$. It follows that $x$ also has depth $n$ in $S_{R}$. From Proposition 2.3 we already know that $S_{R}$ is idempotent-generated and we have just shown that $n \leq \triangle\left(S_{R}\right)$. Thus index $(R) \leq \triangle\left(S_{R}\right)$. By Lemma 2.7 we have $\triangle\left(S_{R}\right) \leq$ index $(R)$, hence $\triangle\left(S_{R}\right)=$ index $(R)$, completing the special case.

For the general case we use the corner reduction technique. By Lemma 2.2, if $a \in S_{I}$ then for some idempotent $g \in I$ with $R g R=I, a$ takes the form

$$
a=y+(1-g)
$$

where, if $A \equiv g R g, y \in S_{A}$. Conversely, any $a$ of this form is in $S_{I}$. Since $I$ is a directly finite ideal, $A$ is a directly finite, regular right self-injective ring. From the above special case applied to $y \in S_{A}$, we see that $a$ is therefore a product of idempotents in $S_{I}$. Suppose
such a product involves $k$ idempotents. Then $(1-a) R \lesssim k(r(a))$ by [HO, Proposition 1.1], which implies $(g-y) A \lesssim k\left(r_{A}(y)\right)$ because $r_{A}(y)=r(a) g$. In turn, since $A$ is unit-regular, this implies by [HO, Theorem 1.2 and Remark 1.3] that $y$ is a product of $k$ idempotents in $S_{A}$. It follows that

$$
\text { depth of } a \text { in } S_{I}=\text { depth of } y \text { in } S_{A} .
$$

Now by the earlier special case, we have

$$
\begin{aligned}
\Delta\left(S_{I}\right) & =\sup \left\{\triangle\left(S_{A}\right) \mid A=g R g, g^{2}=g, R g R=I\right\} \\
& =\sup \left\{\operatorname{index}(A) \mid A=g R g, g^{2}=g, R g R=I\right\} \\
& =\operatorname{index}(I)
\end{aligned}
$$

because $I=\bigcup\left\{g R g \mid g^{2}=g \in R, R g R=I\right\}$ (by [HO, Lemma 2.4] for example).
(2) Again we first treat the special case $I=R$, and show $\triangle\left(S_{R}\right) \leq 3$. Thus by Lemma 2.9 we are supposing $R$ is purely infinite. Let $a \in S_{R}$ and let $e, f \in R$ be idempotents with $a R=e R$ and $R a=R f$. Then $\ell(a) R=R=R r(a)$ implies $R(1-e) R=R=R(1-f) R$, which as in the proof of Theorem 1.6 yields $R \lesssim(1-e) R$ and $R \lesssim(1-f) R$ because $R$ is purely infinite. Hence by Lemma 1.5, $a=e_{1} e_{2} e_{3}$ for some idempotents $e_{i} \in R$ satisfying $e_{i} R \lesssim\left(1-e_{i}\right) R$. In particular, $R\left(1-e_{i}\right) R=R$, placing each $e_{i}$ in $S_{R}$. Thus $S_{R}$ is idempotent-generated with $\triangle\left(S_{R}\right) \leq 3$.

For the general case, if $a \in S_{I}$ then by Lemma 2.2 there is an idempotent $g \in R$ such that $R g R=I$ and $a$ has the form

$$
a=y+(1-g)
$$

for some $y \in S_{A}$, where $A=g R g$. Since $I$ is a purely infinite ideal, $g R$ is a purely infinite Rmodule and so $g R g$ is a purely infinite ring. Invoking the special case, we know $\triangle\left(S_{A}\right) \leq 3$ and

$$
y=g_{1} g_{2} g_{3} \quad \text { with each } g_{i} \in S_{A} .
$$

Now

$$
a=\left[g_{1}+(1-g)\right]\left[g_{2}+(1-g)\right]\left[g_{3}+(1-g)\right]
$$

is a product of 3 idempotents in $S_{I}$. Thus $S_{I}$ is idempotent-generated with $\triangle\left(S_{I}\right) \leq 3$.
To show $\triangle\left(S_{I}\right)=3$, fix an idempotent $h \in R$ with $R h R=I$, and let $B=h R h$. Since $B$ is a purely infinite, regular, right self-injective ring, $B \cong 2 B$. Hence by [HO, Example 2.2] there exists $z \in S_{B}$ with $r_{B}(z) \subseteq z B$ and $z^{2} \neq z^{3}$. The element $x=z+(1-h)$ is now in $S_{I}$ but is not a product of two idempotents (even in $R$ ) by [HO,Lemma 2.1], because $r(x) \subseteq x R$ whereas $x^{2} \neq x^{3}$. Thus $\triangle\left(S_{I}\right)=3$.
(3) This follows directly from Lemmas 2.10 and 2.11 together with (1) and (2).

Corollary 2.13 (Reynolds and Sullivan [RS]) Let $V_{F}$ be an infinite-dimensional vector space and let $L=L(V)$ be the full linear semigroup.
(1) The set $\mathcal{F}=\left\{a \in L \mid 0<n(a)=d(a) \leq s(a)<\mathcal{K}_{0}\right\}$ is a regular, idempotentgenerated subsemigroup of $L$ of infinite depth.
(2) For $\aleph_{0} \leq \aleph \leq \operatorname{dim} V$, the set $I(\aleph)=\{a \in L \mid n(a)=d(a)=s(a)=\aleph\}$ is a regular, idempotent -generated subsemigroup of depth 3.
(3) The semigroup $S$ generated by all the proper $(\neq 1)$ idempotent linear transformations is the union of $\mathcal{F}$ and the $I(\aleph)$ for $\aleph_{0} \leq \aleph \leq \operatorname{dim} V$.

Proof. Let $R=E n d_{F}(V)$ be the full linear ring, which is a regular, right self-injective ring $\left[G\right.$, Theorem 9.12]. Then $\mathcal{F}=S_{J}$ where $J=\operatorname{soc}(R)$ is the ideal of all transformations of finite rank, while $I(\aleph)=S_{I}$ where $I$ is the ideal of all transformations of rank $\leq \aleph$. Thus $\mathcal{F}$ and the $I(\mathbb{K})$ are regular, idempotent-generated subsemigroups by Proposition 2.3. Also $S=\mathcal{F} \cup\left\{I(\aleph) \mid \aleph_{0} \leq \aleph \leq \operatorname{dim} V\right\}$ by Proposition $2.4(2)$ and the fact that a nonzero principal ideal of $R$ is either $J$ or of the form $\{a \in R \mid \operatorname{rank}(a) \leq \aleph\}$ for some $\aleph_{0} \leq \aleph \leq$ $\operatorname{dim} V$. Inasmuch as $J$ is a directly finite ideal of infinite index, $\triangle(\mathcal{F})=\triangle\left(S_{J}\right)=\infty$ by Theorem 2.12(1). On the other hand, for $\aleph_{0} \leq \aleph \leq \operatorname{dim} V$, the ideal $I=\{a \in R \mid$ $\operatorname{rank}(a) \leq \aleph\}$ is purely infinite, whence Theorem $2.12(2)$ says $\triangle(I(\aleph))=\triangle\left(S_{I}\right)=3$.

Remark 2.14 Since $R$ (above) is a.prime ring, it has no nontrivial central idempotents and so the mixed case (3) in Theorem 2.12 does not occur.

Although the relationship $\triangle(S)=$ index $(R)$ holds for any directly finite, regular ring (Theorem 1.3), the connection in part (1) of Theorem 2.12 between depth and index at the subsemigroup level $S_{I}$ breaks down in general, even for directly finite, regular rings with comparability, as the following example illustrates.

Example 2.15 There is a directly finite ring $R$ satisfying the comparability axiom and such that the subsemigroup $S_{R}$ has depth 2 but $R$ has index $\infty$ (cf. Theorem 2.12(1)).

Proof. (See [G, Example 16.23].) Let $V$ be a countable-dimensional vector space over a field $F$ and let $Q=E n d_{F}(V)$, the elements of $Q$ being viewed as column-finite $\aleph_{0} \times \aleph_{0}$ matrices over $F$. For each integer $n$ let $J_{n}$ be the set of all $x \in Q$ of the form

$$
\left(\begin{array}{c|c}
* & 0 \\
\hline 0 & 0
\end{array}\right)
$$

where $*$ indicates an $n \times n$ block, and let $R_{n}=F+J_{n}$. Thus $R_{n}$ is a subring of $Q$ such that $R_{n} \cong F \times M_{n}(F)$ and so $R_{n}$ is unit-regular. Also $R_{1} \subseteq R_{2} \subseteq \cdots$ so that if we let $R=\bigcup R_{n}$ then $R$ is a unit-regular, and hence directly finite, subring of $Q$. It is easy to see that the only ideals of $R$ are $0, R$ and $J=\bigcup J_{n}$. Also $R$ satisfies the comparability axiom and has nilpotent index $\infty$. To see that $\triangle\left(S_{R}\right)=2$ consider any $a \in S_{R}$. Then $\operatorname{Rr}(a)=R$ implies that $a \in J$ and so $a \in J_{n}$ for some $n$. Hence there is an idempotent $e \in J_{n}$ with $a \in e R e$ and $e R \lesssim(1-e) R$. Then $e=y z$ for some $y \in e R(1-e)$ and $z \in(1-e) R e$. We now have the factorization (cf. Lemma 1.5)

$$
a=[e+y][e+z(a-e)] \equiv e_{1} e_{2}
$$

where the idempotents $e_{1}, e_{2}$ satisfy $e_{1} R=e R$ and $R e_{2}=R e$ and hence both lie in $S_{R}$ because $e \in S_{R}$. (Notice that this shortens the factorization given in [OM, Proposition 2].)

In matrix form this factorization is

$$
a=\left[\begin{array}{ll|l}
A & 0 & 0 \\
0 & 0 & 0 \\
\hline 0 & 0 & 0
\end{array}\right]=\left[\begin{array}{ll|l}
I & I & 0 \\
0 & 0 & 0 \\
\hline 0 & 0 & 0
\end{array}\right]\left[\begin{array}{cc|c}
I & 0 & 0 \\
A-I & 0 & 0 \\
\hline 0 & 0 & 0
\end{array}\right]
$$

where the entries in the top left-hand block are $n \times n$ matrices (see [L]). Hence $\triangle\left(S_{R}\right)=2$.
Of course, by Theorem 1.3, we must have $\triangle(S)=\operatorname{index}(R)=\infty$. What has happened here is that all the elements of $S$ needing more than 2 idempotents have been gathered into the subsemigroup $S_{J}$. (By Proposition 2.4, $\left.S=S_{0} \cup S_{J} \cup S_{R}=\{1\} \cup S_{J} \cup S_{R}.\right)$

A similar example, using the ring $R$ of $\aleph_{1} \times \aleph_{1}$ column-finite matrices which have an arbitrary countable-by-countable top left-hand block, a scalar down the rest of the diagonal, and zeroes elsewhere, produces a regular ring with comparability and a purely infinite ideal $I=R$ such that $S_{I}$ is idempotent-generated with $\triangle\left(S_{I}\right)=2$. Thus even parts (2) and (3) of Theorem 2.12 break down here.

## 3 Subsemigroup generated by the nilpotent elements

We have seen that any nilpotent element in a regular ring $R$ is a product of idempotents (Lemma 1.2). Since $1-a$ is a unit whenever $a$ is nilpotent it follows that the nilpotent elements of $R$ all belong to the subsemigroup $S_{R}$. In this section we characterize those idempotents which are products of nilpotent elements and show that as long as $R$ is unitregular or regular, right self-injective, then $S_{R}$ is in fact the subsemigroup of $R$ generated by all the nilpotent elements of $R$. We begin with a lemma which may be of independent interest.

Lemma 3.1 Suppose $A, B$ are finitely generated projective modules over a regular ring $R$ and that $k$ is a positive integer. Then $A \lesssim k B$ if and only if there is a decomposition $A=A_{1} \oplus \cdots \oplus A_{k}$ such that

$$
A_{1} \lesssim A_{2} \lesssim \cdots \lesssim A_{k} \lesssim B
$$

Proof. It is easy to see that $A \lesssim k B$ is necessary for such a decomposition. We prove the converse by using induction on $k$, the case $k=1$ being trivial. Suppose $A \lesssim k B$ where $k \geq 2$. By [G, Corollary 2.9] there is a decomposition $A=U \oplus W$ where $U \lesssim(k-1) B$ and $W \lesssim B$. By the induction hypothesis, there is a chain

$$
C_{1} \subseteq C_{2} \subseteq \cdots \subseteq C_{k-1}
$$

of submodules of $B$ such that $U \cong C_{1} \oplus C_{2} \oplus \cdots \oplus C_{k-1}$. Let $D_{1}, \cdots, D_{k}$ be submodules of $B$ such that $D_{1}=C_{1}, C_{i}=C_{i-1} \oplus D_{i}$ for $2 \leq i \leq k-1$, and $B=C_{k-1} \oplus D_{k}$. Then $B=D_{1} \oplus \cdots \oplus D_{k}$. Inasmuch as $W \lesssim B,[G$, Corollary 2.9$]$ shows that there are submodules $X_{i} \subseteq D_{i}$ such that $W \cong X_{1} \oplus \cdots \oplus X_{k}$. Hence

$$
\begin{aligned}
A & =U \oplus W \\
& \cong C_{1} \oplus \cdots \oplus C_{k-1} \oplus X_{1} \oplus \cdots \oplus X_{k} \\
& =X_{1} \oplus\left(C_{1} \oplus X_{2}\right) \oplus\left(C_{2} \oplus X_{3}\right) \oplus \cdots \oplus\left(C_{k-1} \oplus X_{k}\right)
\end{aligned}
$$

where

$$
X_{\mathbf{1}} \subseteq C_{\mathbf{1}} \oplus X_{\mathbf{2}} \subseteq C_{2} \oplus X_{3} \subseteq \cdots \subseteq C_{k-1} \oplus X_{k} \subseteq B
$$

and so the induction step is complete.

Remark 3.2, An equivalent formulation of the lemma would be that $A \lesssim k B$ if and only if there are decompositions

$$
\begin{aligned}
& A=A_{1} \oplus \cdots \oplus A_{k} \\
\text { and } & B=B_{1} \oplus \cdots \oplus B_{k} \oplus B_{k+1}
\end{aligned}
$$

such that $A_{i} \cong i B_{i}$ for $i=1, \cdots, k$.

Proposition 3.3 Let $R$ be any regular ring and let $e=e^{2} \in R$. Then the following conditions are equivalent:
(i) $e \in S_{R}$
(ii) $R(1-e) R=R$
(iii) $e$ is a product of 2 nilpotent elements of $R$
(iv) $e$ is a product of nilpotent elements of $R$

Proof. The equivalence of (i) and (ii) follows from the definition of $S_{R}$ since $r(e)=$ ( $1-e$ ) $R$ and $\ell(e)=R(1-e)$. Also (iii) $\Rightarrow$ (iv) is trivial, while (iv) $\Rightarrow$ (i) because nilpotent elements all belong to $S_{R}$ and $S_{R}$ is closed under multiplication (Proposition 2.3). This leaves only (ii) $\Rightarrow$ (iii). So suppose $R(1-e) R=R$. By [G, Corollary 2.23] we have $e R \subseteq R \lesssim k(1-e) R$ for some integer $k$. By Lemma 3.1 there are orthogonal idempotents $e_{1}, \cdots, e_{k}$ such that $e=e_{1}+\cdots+e_{k}$ and $e_{1} R \lesssim \cdots \lesssim e_{k} R \lesssim(1-e) R$. Let $e_{k+1}=1-e$ and for $1 \leq i \leq k$ pick $x_{i} \in e_{i} R e_{i+1}$ and $y_{i} \in e_{i+1} R e_{i}$ such that $x_{i} y_{i}=e_{i}$. Then $x=x_{1}+\cdots+x_{k}$ and $y=y_{1}+\cdots+y_{k}$ satisfy $x^{k+1}=0=y^{k+1}$ and $x y=e$. Thus $e$ is a product of two nilpotent elements.

Corollary 3.4 Let $R$ be a regular ring which is unit-regular or right self-injective. Then the subsemigroup

$$
S_{R}=\{a \in R \mid \operatorname{Rr}(a)=\ell(a) R=R\}
$$

(which is regular and idempotent-generated) is precisely the subsemigroup of $R$ generated by the nilpotent elements of $R$.

Proof. This follows immediately from Proposition 3.3 because $S_{R}$ is idempotent-generated (Proposition 2.3).

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