

# Design of LDPC Codes: A Survey and New Results

Gianluigi Liva, Shumei Song, Lan Lan, Yifei Zhang, Shu Lin, and William E. Ryan

**Abstract**—This survey paper provides fundamentals in the design of LDPC codes. To provide a target for the code designer, we first summarize the EXIT chart technique for determining (near-)optimal degree distributions for LDPC code ensembles. We also demonstrate the simplicity of representing codes by protographs and how this naturally leads to quasi-cyclic LDPC codes. The EXIT chart technique is then extended to the special case of protograph-based LDPC codes. Next, we present several design approaches for LDPC codes which incorporate one or more accumulators, including quasi-cyclic accumulator-based codes. The second half the paper then surveys several algebraic LDPC code design techniques. First, codes based on finite geometries are discussed and then codes whose designs are based on Reed-Solomon codes are covered. The algebraic designs lead to cyclic, quasi-cyclic, and structured codes. The masking technique for converting regular quasi-cyclic LDPC codes to irregular codes is also presented. Some of these results and codes have not been presented elsewhere. The paper focuses on the binary-input AWGN channel (BI-AWGN). However, as discussed in the paper, good BI-AWGN codes tend to be universally good across many channels. Alternatively, the reader may treat this paper as a starting point for extensions to more advanced channels. The paper concludes with a brief discussion of open problems.

## I. INTRODUCTION

The class of low-density parity-check (LDPC) codes represents the leading edge in modern channel coding. They have held the attention of coding theorists and practitioners in the past decade because of their near-capacity performance on a large variety of data transmission and storage channels and because their decoders can be implemented with manageable complexity. They were invented by Gallager in his 1960 doctoral dissertation [1] and were scarcely considered in the 35 years that followed. One notable exception is Tanner, who wrote an important paper in 1981 [2] which generalized LDPC codes and introduced a graphical representation of LDPC codes, now called Tanner graphs. Apparently independent of Gallager's work, LDPC codes were re-invented in the mid-1990's by MacKay, Luby, and others [3][4][5][6] who noticed the advantages of linear block codes which possess sparse (low-density) parity-check matrices.

This paper surveys the state-of-the-art in LDPC code design for binary-input channels while including a few new

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results as well. While it is tutorial in some aspects, it is not entirely a tutorial paper, and the reader is expected to be fairly versed on the topic of LDPC codes. Tutorial coverages of LDPC codes can be found in [7][8]. The purpose of this paper is to give the reader a detailed overview of various LDPC code design approaches and also to point the reader to the literature. While our emphasis is on code design for the binary-input AWGN channel (BI-AWGN), the results in [9][10][11][12] demonstrate that a LDPC code that is good on the BI-AWGN tends to be universally good and can be expected to be good on most wireless, optical, and storage channels.

We favor code designs which are most appropriate for applications, by which we mean codes which have low-complexity encoding, good waterfall regions, and low error floors. Thus, we discuss quasi-cyclic (QC) codes because their encoders may be implemented by shift-register circuits [13]. We also discuss accumulator-based codes because low-complexity encoding is possible from their parity-check matrices, whether they are quasi-cyclic or not. The code classes discussed tend to be the ones (or related to the ones) used in applications or adopted for standards. Due to time and space limitations, we cannot provide a complete survey. The present survey is biased toward the expertise and interests of the authors.

Before a code can be designed, the code designer needs to know the design target. For this reason, Section II first briefly reviews the belief propagation decoder for LDPC codes and then presents the so-called extrinsic information transfer (EXIT) chart technique for this decoder. The EXIT chart technique allows one to obtain near-optimal parameters for LDPC code ensembles which guide the code designer. The EXIT technique is extended in Section III to the case of codes based on protographs. Section IV considers LDPC codes based on accumulators. The code types treated in that section are: repeat-accumulate, irregular repeat-accumulate, irregular repeat-accumulate-accumulate, generalized irregular repeat-accumulate, and accumulate-repeat-accumulate. That section also gives examples of quasi-cyclic code design using protograph (or base matrix) representations. Section V surveys the literature on cyclic and quasi-cyclic LDPC code design based on finite geometries. Section VI presents several LDPC code design techniques based on Reed-Solomon codes. Section VII presents the masking technique for converting regular QC codes to irregular QC codes to conform to prescribed code parameters. Section VIII contains some concluding remarks and some open problems.

## II. DESIGN VIA EXIT CHARTS

We start with an  $m \times n$  low-density parity-check matrix  $\mathbf{H}$ , which corresponds to a code with design rate  $(n - m)/n$ ,

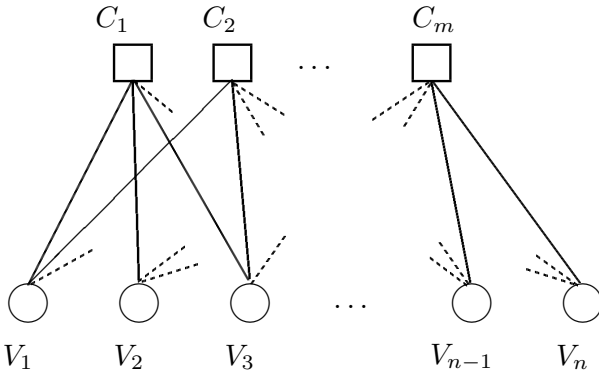


Fig. 1. Tanner graph representation of LDPC codes.

which could be less than the actual rate,  $R = k/n$ , where  $k$  is the number of information bits per codeword.  $\mathbf{H}$  gives rise to a Tanner graph which has  $m$  check nodes, one for each row of  $\mathbf{H}$ , and  $n$  variable nodes, one for each column of  $\mathbf{H}$ . Considering the general case in which  $\mathbf{H}$  has non-uniform row and column weight, the Tanner graph can be characterized by degree assignments  $\{d_v(i)\}_{i=1}^n$  and  $\{d_c(j)\}_{j=1}^m$ , where  $d_v(i)$  is the degree of the  $i$ -th variable node and  $d_c(j)$  is the degree of the  $j$ -th check node. Such a graph, depicted in Fig. 1, is representative of the iterative decoder, with each node representing a soft-in/soft-out processor (or node decoder).

We shall assume the BI-AWGNC in our description of the LDPC iterative decoder. In this model, a received channel sample  $y$  is given by  $y = x + w$ , where  $x = (-1)^c \in \{\pm 1\}$  is the bipolar representation of the transmitted code bit  $c \in \{0, 1\}$  and  $w$  is a white Gaussian noise sample distributed as  $\eta(0, \sigma_w^2)$ , where  $\sigma_w^2 = N_0/2$ , following convention. The channel bit log-likelihood ratios (LLRs) are computed as

$$L_{ch} = \log \left( \frac{p(x = +1 | y)}{p(x = -1 | y)} \right) = \frac{2y}{\sigma_w^2}. \quad (1)$$

In one iteration of the conventional, flooding-schedule iterative decoder, the variable node decoders (VNDs) first process their input LLRs and send the computed outputs (messages) to each of their neighboring check node decoders (CNDs); then the CNDs process their input LLRs and send the computed outputs (messages) to each of their neighboring VNDs. More specifically, the message from the  $i$ -th VND to the  $j$ -th CND is

$$L_{i \rightarrow j} = L_{ch,i} + \sum_{j' \neq j} L_{j' \rightarrow i} \quad (2)$$

where  $L_{j' \rightarrow i}$  is the incoming message from CND  $j'$  to VND  $i$  and where the summation is over the  $d_v(i) - 1$  check node neighbors of variable node  $i$ , excluding check node  $j$ . The message from CND  $j$  to VND  $i$  is given by

$$L_{j \rightarrow i} = 2 \tanh^{-1} \left( \prod_{i' \neq i} \tanh(L_{i' \rightarrow j}) \right) \quad (3)$$

where  $L_{i' \rightarrow j}$  is the incoming message from VND  $i'$  to CND  $j$  and where the product is over the  $d_c(j) - 1$  variable node neighbors of check node  $j$ , excluding variable node  $i$ . This decoding algorithm is called the sum-product algorithm (SPA).

We now discuss the EXIT chart technique [14][15][11] for this decoder and channel model. The idea is that the VNDs and the CNDs work cooperatively and iteratively to make bit decisions, with the metric of interest generally improving with each half-iteration. A transfer curve which plots the input metric versus the output metric can be obtained for both the VNDs and the CNDs, where the transfer curve for the VNDs depends on the channel SNR. Further, since the output metric for one processor is the input metric for its companion processor, one can plot both transfer curves on the same axes, but with the abscissa and ordinate reversed for one processor. Such a chart aids in the prediction of the *decoding threshold* of the ensemble of codes characterized by given VN and CN degree distributions: the decoding threshold is the SNR at which the two transfer curves just touch, precluding convergence of the two processors. EXIT chart computations are thus integral to the optimization of Tanner graph node degree distributions for LDPC codes and are the main computation in the optimization process. We emphasize that decoding threshold prediction techniques such as EXIT charts or density evolution [16] assume a graph with no cycles, an infinite codeword length, and an infinite number of decoding iterations.

An EXIT chart example is depicted in Fig. 2 for the ensemble of regular LDPC codes on the BI-AWGNC with  $d_v(i) = d_v = 3$  for  $i = 1, \dots, n$ , and  $d_c(j) = d_c = 6$  for  $j = 1, \dots, m$ . In the figure, the metric used for the transfer curves is extrinsic mutual information, giving rise to the name extrinsic information transfer (EXIT) chart. (The notation used in the figure is explained below.) Also shown in the figure is the decoding trajectory corresponding to these EXIT curves. As the SNR increases, the top curve shifts upwards, increasing the "tunnel" between the two curves and thus the decoder convergence rate. The SNR for this figure is just above the decoding threshold for codes with  $(d_v, d_c) = (3, 6)$ ,  $(E_b/N_0)_{thres} = 1.1$  dB. Other metrics, such as SNR and mean [17][18] and error probability [19] are possible, but mutual information generally gives the most accurate prediction of the decoding threshold [14][20] and is a universally good metric across many channels [9][10][11][12].

To facilitate EXIT chart computations, the following Gaussian assumption is made. First, we note that the LLR  $L_{ch}$  in (1) corresponding to the BI-AWGNC is Gaussian with mean  $\mu_{ch} = 2x/\sigma_w^2$  and variance  $\sigma_{ch}^2 = 4/\sigma_w^2$ . From this and the usual assumption that the all-zeros codeword was transmitted (thus,  $x_i = +1$  for  $i = 1, \dots, n$ ),  $\sigma_{ch}^2 = 2\mu_{ch}$ . This is equivalent to the *symmetric condition* of [16] which states that the conditional pdf of an LLR value  $L$  must satisfy  $p_L(l | x) = p_L(-l | x) e^{xl}$ . Now, it has been observed that under normal operating conditions and after a few iterations, the LLRs  $L_{i \rightarrow j}$  and  $L_{j \rightarrow i}$  are approximately Gaussian and, further, if they are assumed to be symmetric-Gaussian, as is the case for  $L_{ch}$ , the decoding threshold predictions are very accurate (e.g., when compared to the more accurate, but more computationally intensive density evolution results [16]). Moreover, the symmetric-Gaussian assumption vastly simplifies EXIT chart analyses.

We now consider the computation of EXIT transfer curves

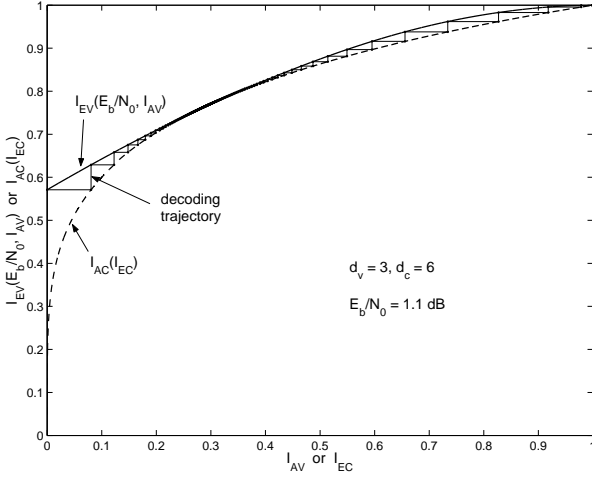


Fig. 2. EXIT chart example for  $(d_v, d_c) = (3, 6)$  regular LDPC code.

for both VNDs and the CNDs, first for regular LDPC codes and then for irregular codes. Following [14][15], excluding the inputs from the channel, we consider VND and CND inputs to be *a priori* information, designated by ‘A’, and their outputs to be extrinsic information, designated by ‘E’. Thus, an extrinsic information transfer curve for the VNDs plots the extrinsic information  $I_E$  as a function of its input *a priori* information,  $I_A$ , and similarly for the CNDs.

The VND EXIT curve,  $I_{E,V}$  versus  $I_{A,V}$ , under the symmetric-Gaussian assumption for VND inputs,  $L_{ch,i}$  and  $\{L_{j \rightarrow i}\}$ , and outputs,  $L_{i \rightarrow j}$ , can be obtained as follows. From (2) and an independent-message assumption,  $L_{i \rightarrow j}$  is Gaussian with variance  $\sigma^2 = \sigma_{ch}^2 + (d_v - 1)\sigma_A^2$  (hence, mean  $\sigma^2/2$ ). The mutual information between the random variable  $X$  (corresponding to the realization  $x_i$ ) and the extrinsic LLR  $L_{i \rightarrow j}$  is therefore (for simplicity, we write  $L$  for  $L_{i \rightarrow j}$ ,  $x$  for  $x_i$ , and  $p_L(l | \pm)$  for  $p_L(l | x = \pm 1)$ )

$$\begin{aligned}
 I_{E,V} &= H(X) - H(X | L) \\
 &= 1 - E[\log_2(1/p_{X|L}(x | l))] \\
 &= 1 - \sum_{x=\pm 1} \frac{1}{2} \int_{-\infty}^{\infty} p_L(l | x) \\
 &\quad \cdot \log_2\left(\frac{p_L(l | +) + p_L(l | -)}{p_L(l | x)}\right) dl \\
 &= 1 - \int_{-\infty}^{\infty} p_L(l | +) \log\left(1 + \frac{p_L(l | -)}{p_L(l | +)}\right) dl \\
 &= 1 - \int_{-\infty}^{\infty} p_L(l | +) \log(1 + e^{-l}) dl
 \end{aligned}$$

where the last line follows from the symmetry condition and because  $p_L(l | x = -1) = p_L(-l | x = +1)$  for Gaussian densities.

Since  $L_{i \rightarrow j} \sim \eta(\sigma^2/2, \sigma^2)$  (when conditioned on  $x_i = +1$ ), we have

$$I_{E,V} = 1 - \int_{-\infty}^{\infty} \frac{1}{\sqrt{2\pi}\sigma} e^{-(l-\sigma^2/2)^2/2\sigma^2} \log(1 + e^{-l}) dl. \quad (4)$$

For convenience we write this as

$$I_{E,V} = J(\sigma) = J\left(\sqrt{(d_v - 1)\sigma_A^2 + \sigma_{ch}^2}\right), \quad (5)$$

following [15]. To plot  $I_{E,V}$  versus  $I_{A,V}$ , where  $I_{A,V}$  is the mutual information between the VND inputs  $L_{j \rightarrow i}$  and the channel bits  $x_i$ , we apply the symmetric-Gaussian assumption to these inputs so that

$$I_{A,V} = J(\sigma_A) \quad (6)$$

and

$$I_{E,V} = J(\sigma) = J\left(\sqrt{(d_v - 1)[J^{-1}(I_{A,V})]^2 + \sigma_{ch}^2}\right). \quad (7)$$

The inverse function  $J^{-1}(\cdot)$  exists since  $J(\sigma_A)$  is monotonic in  $\sigma_A$ . Lastly,  $I_{E,V}$  can be parameterized by  $E_b/N_0$  for a given code rate  $R$  since  $\sigma_{ch}^2 = 4/\sigma_w^2 = 8R(E_b/N_0)$ . Approximations of the functions  $J(\cdot)$  and  $J^{-1}(\cdot)$  are given in [15].

To obtain the CND EXIT curve,  $I_{E,C}$  versus  $I_{A,C}$ , we can proceed as we did in the VND case, e.g., begin with the symmetric-Gaussian assumption. However, this assumption is not sufficient because determining the mean and variance for a CND output  $L_{j \rightarrow i}$  is not straightforward, as is evident from the computation for CNDs in (3). Closed-form expressions have been derived for the check node EXIT curves [21][22]. Computer-based numerical techniques can also be used to obtain these curves. However, the simplest technique exploits the following duality relationship (proven to be exact for the binary erasure channel [11]): the EXIT curve for a degree- $d_c$  check node (i.e., rate- $(d_c - 1)/d_c$  single-parity check (SPC) code) and that of a degree- $d_c$  variable node (i.e., rate- $1/d_c$  repetition code) are related as

$$I_{E,SPC}(d_c, I_A) = 1 - I_{E,REP}(d_c, 1 - I_A).$$

This relationship was shown to be very accurate for the BI-AWGNC in [21][22]. Thus,

$$\begin{aligned}
 I_{E,C} &= 1 - I_{E,V}(\sigma_{ch} = 0, d_v \leftarrow d_c, I_{A,V} \leftarrow 1 - I_{A,C}) \\
 &= 1 - J\left(\sqrt{(d_c - 1)[J^{-1}(1 - I_{A,C})]^2}\right). \quad (8)
 \end{aligned}$$

For irregular LDPC codes,  $I_{E,V}$  and  $I_{E,C}$  are computed as weighted averages. The weighting is given by the coefficients of the “edge perspective” degree distribution polynomials  $\lambda(z) = \sum_{d=1}^{d_v} \lambda_d z^{d-1}$  and  $\rho(z) = \sum_{d=1}^{d_c} \rho_d z^{d-1}$ , where  $\lambda_d$  is the fraction of edges in the Tanner graph connected to degree- $d$  variable nodes,  $\rho_d$  is the fraction of edges connected to degree- $d$  check nodes, and  $\lambda(1) = \rho(1) = 1$ . Then, for irregular LDPC codes,

$$I_{E,V} = \sum_{d=1}^{d_v} \lambda_d I_{E,V}(d, I_{A,V}) \quad (9)$$

where  $I_{E,V}(d)$  is given by (7) with  $d_v$  replaced by  $d$ , and

$$I_{E,C} = \sum_{d=1}^{d_c} \rho_d I_{E,C}(d, I_{A,C}) \quad (10)$$

where  $I_{E,C}(d)$  is given by (8) with  $d_c$  replaced by  $d$ .

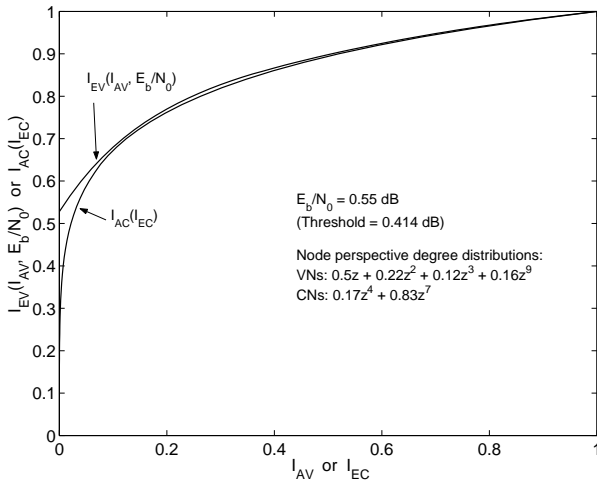


Fig. 3. EXIT chart for rate-1/2 irregular LDPC code. (Ack: S. AbuSurra)

It has been shown [11] that to optimize the decoding threshold on the binary erasure channel, the shapes of the VND and CND transfer curves must be well matched in the sense that the CND curve fits inside the VND curve (an example will follow). This situation has also been observed on the BI-AWGNC [15]. Further, to achieve a good match, the number of different VN degrees need only be about 3 or 4 and the number of different CN degrees need only be 1 or 2.

*Example 1:* We consider the design of a rate-1/2 irregular LDPC code with four possible VN degrees and two possible CN degrees. Given that  $\lambda(1) = \rho(1) = 1$  and  $R = 1 - \int_0^1 \rho(z) dz / \int_0^1 \lambda(z) dz$  [16],[4], only two of the four coefficients for  $\lambda(z)$  need be specified and only one of the two for  $\rho(z)$  need be specified. A non-exhaustive search yielded  $\lambda(z) = 0.267z + 0.176z^2 + 0.127z^3 + 0.430z^9$  and  $\rho(z) = 0.113z^4 + 0.887z^7$  with a decoding threshold of  $(E_b/N_0)_{thres} = 0.414$  dB. The EXIT chart for  $E_b/N_0 = 0.55$  dB is presented in Fig. 3. The figure also gives the "node perspective" degree distribution information.  $\square$

The references contain additional information on EXIT charts, including the so-called area property, EXIT charts for the Rayleigh channel, for higher-order modulation, and for multi-input/multi-output channels [14][15][11][23].

### III. DESIGN OF PROTOGRAPH-BASED CODES

#### A. Definition and Problem Statement

A protograph [24][25][26][27] is a relatively small bipartite graph from which a larger graph can be obtained by a copy-and-permute procedure: the protograph is copied  $Q$  times, and then the edges of the individual replicas are permuted among the replicas (under restrictions described below) to obtain a single, large graph. An example is presented in Fig. 4. The permuted edge connections are specified by the parity-check matrix  $\mathbf{H}$ . Note that the edge permutations cannot be arbitrary. In particular, the nodes of the protograph are labeled so that if variable node  $V$  is connected to check node  $C$  in the protograph, then variable node  $V$  in a replica can only connect to one of the  $Q$  replicated  $C$  check nodes. Doing so

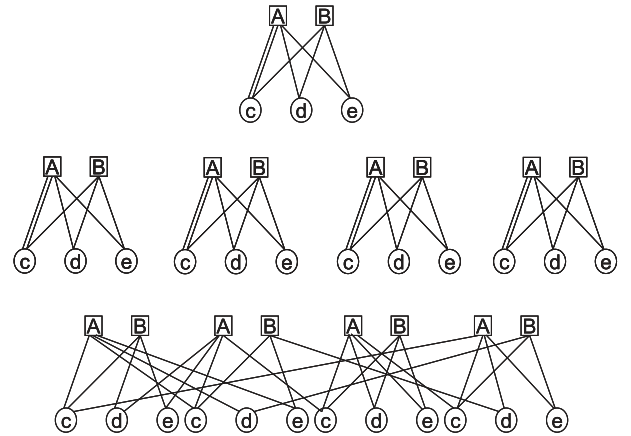


Fig. 4. Illustration of the protograph copy and permute procedure with  $q = 4$  copies.

preserves the decoding threshold properties of the protograph. A protograph can possess parallel edges, i.e., two nodes can be connected by more than one edge. For LDPC codes, the copy-and-permute procedure must eliminate such parallel connections in order to obtain a derived graph appropriate for a parity-check matrix.

It is convenient to choose the parity-check matrix  $\mathbf{H}$  as an  $M \times N$  array of  $Q \times Q$  (weight-one) circulant permutation matrices (some of which may be the  $Q \times Q$  zero matrix). When  $\mathbf{H}$  is an array of circulants, the LDPC code will be quasi-cyclic. Such a structure has a favorable impact on both the encoder and the decoder. The encoder for QC codes can be implemented with shift-register circuits with complexity linearly proportional to  $m$  for serial encoding and to  $n$  for parallel encoding [13]. By contrast, encoders for unstructured LDPC codes require much more work. The decoder for QC LDPC codes can be implemented in a modular fashion by exploiting the circulant-array structure of  $\mathbf{H}$  [28][29].

Below we present an extension of the EXIT approach to codes defined by protographs. This extension is a multi-dimensional numerical technique and as such does not have a two-dimensional EXIT chart representation of the iterative decoding procedure. Still, the technique yields decoding thresholds for LDPC code ensembles specified by protographs. This multi-dimensional technique is facilitated by the relatively small size of protographs and permits the analysis of protograph code ensembles characterized by the presence of *critical node types*, i.e., node types which can lead to failed EXIT-based convergence of code ensembles. Examples of critical node types are degree-1 variable nodes and punctured variable nodes.

A code ensemble specified by a protograph is a refinement (sub-ensemble) of a code ensemble specified simply by the protograph's (hence, LDPC code's) degree distributions. To demonstrate this, we introduce the adjacency matrix  $\mathbf{B} = [b_{ji}]$  for a protograph, also called a base matrix [25], where  $b_{ji}$  is the number of edges between CN  $j$  and VN  $i$ . As an example, for the protograph at the top of Fig. 4,

$$\mathbf{B} = \begin{pmatrix} 2 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}.$$

Consider also an alternative protograph and base matrix specified by

$$\mathbf{B}' = \begin{pmatrix} 2 & 0 & 2 \\ 1 & 2 & 0 \end{pmatrix}.$$

The degree distributions of both of these protographs are identical and are easily seen to be

$$\begin{aligned} \lambda(z) &= \frac{4}{7}z + \frac{3}{7}z^2 \\ \rho(z) &= \frac{3}{7}z^2 + \frac{4}{7}z^3. \end{aligned}$$

However, the ensemble corresponding to  $\mathbf{B}$  has a threshold of  $E_b/N_0 = 0.78$  dB and that corresponding to  $\mathbf{B}'$  has a threshold at 0.83 dB. (For reference, density evolution [16] applied to the above degree distributions gives 0.817 dB.)

As another example, let

$$\mathbf{B} = \begin{pmatrix} 1 & 2 & 1 & 1 & 0 \\ 2 & 1 & 1 & 1 & 0 \\ 1 & 2 & 0 & 0 & 1 \end{pmatrix}$$

and

$$\mathbf{B}' = \begin{pmatrix} 1 & 3 & 1 & 0 & 0 \\ 2 & 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 \end{pmatrix},$$

noting that they have identical degree distributions. We also puncture the bits corresponding to the second column in each base matrix. Using the multidimensional EXIT algorithm described below, the thresholds for  $\mathbf{B}$  and  $\mathbf{B}'$  in this case were computed to be 0.48 dB and  $+\infty$ , respectively.

Thus, standard EXIT analysis based on degree distributions is inadequate for protograph-based LDPC code design. In fact, the presence of degree-1 variable nodes as in our second example implies that there is a term in the summation in (9) of the form

$$\lambda_1 I_{E,V}(1, I_{A,V}) = J(\sigma_{ch}).$$

Since  $J(\sigma_{ch})$  is always less than one for  $0 < \sigma_{ch} < \infty$  and since  $\sum_{d=1}^{d_u} \lambda_d = 1$ , the summation in (9), that is,  $I_{E,V}$ , will be strictly less than one. Again, standard EXIT analysis implies failed convergence for codes with the same degree distributions as  $\mathbf{B}$  and  $\mathbf{B}'$ . This is in contrast with the fact that codes in the  $\mathbf{B}$  ensemble do converge when the SNR exceeds the threshold of 0.48 dB.

In the following, a multidimensional EXIT technique [30][31] will be presented which overcomes this issue and allows the determination of the decoding threshold for codes based on protographs (possibly with punctured nodes).

### B. Multidimensional EXIT Analysis

The algorithm presented in [30][31] eliminates the average in (9) and considers the propagation of the messages on a decoding tree which is specified by the protograph of the ensemble. Let  $\mathbf{B} = [b_{ji}]$  be the  $M \times N$  base matrix for the protograph under analysis. Let  $I_{E,V}^{i \rightarrow j}$  be the extrinsic mutual information between code bits associated with “type  $i$ ” VNs and the LLRs  $L_{i \rightarrow j}$  sent from these VNs to “type  $j$ ” CNs. Similarly, let  $I_{E,C}^{j \rightarrow i}$  be the extrinsic mutual information

code bits associated with “type  $i$ ” VNs and the LLRs  $L_{j \rightarrow i}$  sent from “type  $j$ ” CNs to these VNs. Then, because  $I_{E,C}^{j \rightarrow i}$  acts as *a priori* mutual information in the calculation of  $I_{E,V}^{i \rightarrow j}$ , following (7) we have (given an edge exists between CN  $j$  and VN  $i$ , i.e., given  $b_{ji} \neq 0$ )

$$I_{E,V}^{i \rightarrow j} = J \left( \sqrt{\sum_{c=1}^M (b_{ci} - \delta_{cj}) \left( J^{-1}(I_{E,C}^{c \rightarrow i}) \right)^2 + \sigma_{ch,i}^2} \right), \quad (11)$$

where  $\delta_{cj} = 1$  when  $c = j$  and  $\delta_{cj} = 0$  when  $c \neq j$ .  $\sigma_{ch,i}^2$  is set to zero if code bit  $i$  is punctured. Similarly, because  $I_{E,V}^{i \rightarrow j}$  acts as *a priori* mutual information in the calculation of  $I_{E,C}^{j \rightarrow i}$ , following (8) we have (when  $b_{ji} \neq 0$ )

$$I_{E,C}^{j \rightarrow i} = 1 - J \left( \sqrt{\sum_{v=1}^N (b_{jv} - \delta_{ci}) \left( J^{-1}(1 - I_{E,V}^{v \rightarrow j}) \right)^2} \right). \quad (12)$$

The multidimensional EXIT algorithm can now be presented as follows.

- 1) *Initialization.* Select  $E_b/N_0$ . Initialize a vector  $\boldsymbol{\sigma}_{ch} = (\sigma_{ch,0}, \dots, \sigma_{ch,N-1})$  such that

$$\sigma_{ch,i} = 8R \left( \frac{E_b}{N_0} \right)_i$$

where  $(E_b/N_0)_i$  equals zero when  $x_i$  is punctured and equals the selected  $E_b/N_0$  otherwise.

- 2) *VN to CN.* For  $i = 0, \dots, N-1$  and  $j = 0, \dots, M-1$ , compute (11).
- 3) *CN to VN.* For  $i = 0, \dots, N-1$  and  $j = 0, \dots, M-1$ , compute (12).
- 4) *Cumulative mutual information.* For  $i = 0, \dots, N-1$ , compute

$$I_{CMI}^i = J \left( \sqrt{\sum_{c=1}^M \left( J^{-1}(I_{E,C}^{c \rightarrow i}) \right)^2 + \sigma_{ch,i}^2} \right).$$

- 5) If  $I_{CMI}^i = 1$  (up to desired precision) for all  $i$ , then stop; otherwise, go to step 2.

This algorithm converges only when the selected  $E_b/N_0$  is above the threshold. Thus, the threshold is the lowest value of  $E_b/N_0$  for which all  $I_{CMI}^i$  converge to 1. As shown in [30][31], the thresholds computed by this algorithm are typically within 0.05 dB of those computed by density evolution. Recalling that many classes of multi-edge type (MET) [26] LDPC codes rely on simple protographs, the above algorithm provides an accurate threshold estimation for MET ensembles, with a remarkable reduction in computational complexity relative to the density evolution analysis proposed in [26].

## IV. ACCUMULATOR-BASED CODE DESIGNS

### A. Repeat-Accumulate Codes

This section provides an overview of the design of LDPC codes that can be considered to be a concatenation of a set of repetition codes with one or more accumulators, through



designs are possible, in which case the techniques of [13] may be used.

We remark that the choice of the degree distributions of the variable nodes for an IRA code are constrained by the presence of (at least)  $n - k - 1$  degree-2 variable nodes. Although such a constraint ostensibly limits the code designer, for rates  $R \geq 1/2$ , EXIT analysis leads to optimized degree distributions having approximately  $n - k - 1$  degree-2 variable nodes. Moreover, when the number of degree-2 variable nodes is exactly  $n - k - 1$ , the edge connections involving the degree-2 variable nodes induced by the IRA structure are optimal in the sense of avoiding low weight codewords [34][35].

IRA codes and a generalization will be discussed in the next two sections. Additional information may be found in the following references: [33][35][36][24][40][41] [42][43].

### C. Structured IRA and IRAA Codes

Given the code rate, length, and degree distributions, an IRA code is defined entirely by the matrix  $\mathbf{H}_u$  (equivalently, by  $\mathbf{A}$  and  $\mathbf{\Pi}$ ). While a random-like  $\mathbf{H}_u$  would generally give good performance, it is problematic for both encoder and decoder implementations. For, in this case, a substantial amount of memory would be required to store the connection information implicit in  $\mathbf{H}_u$ . In addition, although standard message-passing decoding algorithms for LDPC codes are inherently parallel, the physical interconnections required to realize a code's bipartite graph becomes an implementation bottleneck and prohibits a fully parallel decoder [29]. Using a structured  $\mathbf{H}_u$  matrix mitigates these problems.

Tanner [24] was the first to consider structured RA codes, more specifically, quasi-cyclic RA codes, which require tailbiting in the accumulator. Simulation results in [24] demonstrate that the QC-RA codes compete well with random-like RA codes and surpass their performance at high SNR values. Similar ideas were applied to IRA codes in [29][44][36]. In [36], IRA codes with quasi-cyclic structure are called structured IRA (S-IRA) codes.

Toward the goal of attaining structure in  $\mathbf{H}$ , one cannot simply choose  $\mathbf{H}_u$  to be an array of circulant permutation matrices. For, it is easy to show that doing so will produce a poor LDPC code in the sense of minimum distance (consider weight-2 encoder inputs with adjacent ones). Instead, the following strategy is proposed in [36]. Let  $\mathbf{P}$  be an  $L \times J$  array of  $Q \times Q$  circulant permutation matrices (for some convenient  $Q$ ). (Conditions for designing  $\mathbf{P}$  to avoid 4-cycles, etc., are described in [36].) Then set  $\mathbf{A}^T = \mathbf{P}$  so that  $\mathbf{H}_u = \mathbf{\Pi}^T \mathbf{P}$  and

$$\mathbf{H}_a = [\mathbf{\Pi}^T \mathbf{P} \quad \mathbf{H}_p], \quad (15)$$

where  $\mathbf{H}_p$  represents the tailbiting accumulator. Note that  $m = L \times Q$  and  $k = J \times Q$ .

We now choose  $\mathbf{\Pi}$  to be a standard deterministic "row-column" interleaver so that row  $lQ + q$  in  $\mathbf{P}$  becomes row  $qL + l$  in  $\mathbf{\Pi}^T \mathbf{P}$ , for all  $0 \leq l < L$  and  $0 \leq q < Q$ . Next, we permute the rows of  $\mathbf{H}_a$  by  $\mathbf{\Pi}^{-T}$  to obtain

$$\mathbf{H}_b = \mathbf{\Pi}^{-T} \mathbf{H} = [\mathbf{P} \quad \mathbf{\Pi} \mathbf{H}_p], \quad (16)$$

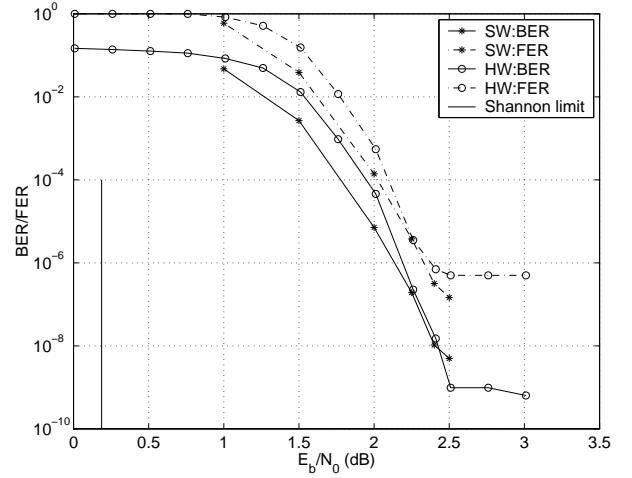


Fig. 6. Performance of a (2044,1024) S-IRA code on the BI-AWGN. HW=hardware simulator. SW=software simulator.

where we have used the fact that  $\mathbf{\Pi}^{-T} = \mathbf{\Pi}$ . Finally, we permute only the columns corresponding to the parity part of  $\mathbf{H}_b$ , which gives

$$\mathbf{H}_{S-IRA} = [\mathbf{P} \quad \mathbf{\Pi} \mathbf{H}_p \mathbf{\Pi}^T]. \quad (17)$$

It is easily shown that the parity part of  $\mathbf{H}_{S-IRA}$ , that is,  $\mathbf{\Pi} \mathbf{H}_p \mathbf{\Pi}^T$ , is exactly in QC form,

$$\begin{bmatrix} I_0 & & & & & & I_1 \\ I_0 & I_0 & & & & & \\ & & \ddots & \ddots & & & \\ & & & I_0 & I_0 & & \\ & & & & I_0 & I_0 & \end{bmatrix}, \quad (18)$$

where  $I_0$  is the  $Q \times Q$  identity matrix and  $I_1$  is obtained from  $I_0$  by cyclically shifting all of its rows leftward. Therefore,  $\mathbf{H}_{S-IRA}$  corresponds to a quasi-cyclic IRA code since  $\mathbf{P}$  is also an array of  $Q \times Q$  circulant permutation matrices. Observe that, except for a re-ordering of the parity bits,  $\mathbf{H}_{S-IRA}$  describes the same code as  $\mathbf{H}_a$  and  $\mathbf{H}_b$ .

As described in [36], in addition to simplifying encoder and decoder implementations, the QC structure simplifies the code design process. Simulation results for the example codes, which are produced by the design algorithms proposed in [36][37][38][39], show that the S-IRA codes perform as well as IRA codes in the waterfall region and possess very low error floors. As an example, Fig. 6 depicts the performance of a rate-1/2 (2044, 1024) S-IRA code simulated in software and hardware.<sup>1</sup> It is seen that the floors, both bit error rate (BER) and frame error rate (FER), are quite low (it can be lower or higher depending on the decoder implementation). Lastly, S-IRA codes are suitable for rate-compatible code family design [36].

We now consider irregular repeat-accumulate-accumulate (IRAA) codes which are obtained by concatenating the parity arm of the IRA encoder of Fig. 5(b) with another accumulator, through a permuter, as shown in Fig. 7. (ARAA codes were

<sup>1</sup>Acknowledgment to C. Jones of JPL for simulating this code for us on an FPGA decoder.







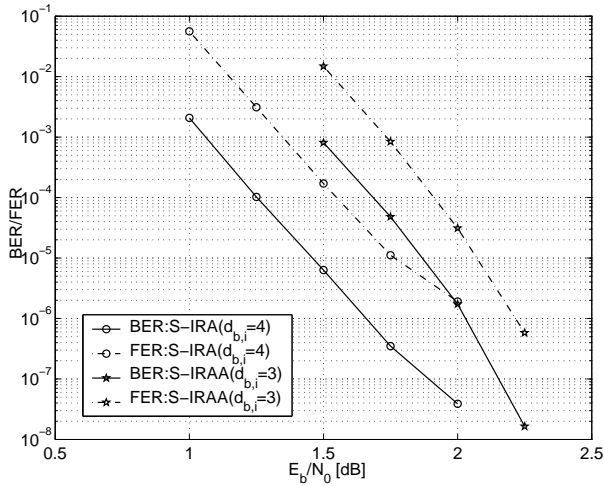


Fig. 11. Performance comparison between rate-1/3 S-IRA and S-IRAA codes on the BI-AWGNC,  $n = 3072$  and  $k = 1024$ .

To design a G-IRA code, one must choose  $g(D)$  so that the bipartite graph for  $\mathbf{H}_p$  contains no length-4 cycles [40]. Once  $g(D)$  has been chosen,  $\mathbf{H}$  can be completed by constructing the sub-matrix  $\mathbf{H}_u$ , according to some prescribed degree distribution, again avoiding short cycles, this time in all of  $\mathbf{H}$ .

G-IRA codes are highly reconfigurable in the sense that an encoder and decoder can be designed for a set of different polynomials  $g(D)$ . This could be useful when faced with different channels conditions.

### E. Accumulate-Repeat-Accumulate Codes

For accumulate-repeat-accumulate (ARA) codes, introduced in [45], an accumulator is added to precode a subset of the information bits of an IRA code. The primary role of this second accumulator is to improve the decoding threshold of a code, that is, to shift the BER waterfall region leftward. ARA codes are a subclass of LDPC codes and Fig. 12 presents a generic ARA Tanner graph in which punctured variable nodes are highlighted. The sparseness of the ARA graph is achieved at the price of these punctured variable nodes which act as auxiliary nodes that enlarge the  $\mathbf{H}$  used by the decoder. The iterative graph-based ARA decoder thus has to deal with a redundant representation of the code, implying a larger  $\mathbf{H}$  matrix than the nominal  $(n - k) \times n$ . This issue, together with the presence of a large number of degree-1 and degree-2 variable nodes, results in slow decoding convergence.

The ARA codes presented in [45] relies on very simple protographs. Several modified ARA protographs have been introduced in [46][47], leading to ARA and ARA-like code families with excellent performance in both the waterfall and floor regions of the codes' performance curves. The protograph of a rate-1/2 ARA code ensemble with repetition rate 4, denoted AR4A, is depicted in Fig. 13(a). The dark circle corresponds to a state-variable node, and it is associated with the precoded fraction of the information bits. As emphasized in the figure, such a protograph is the serial concatenation of an accumulator protograph and an IRA protograph. Half

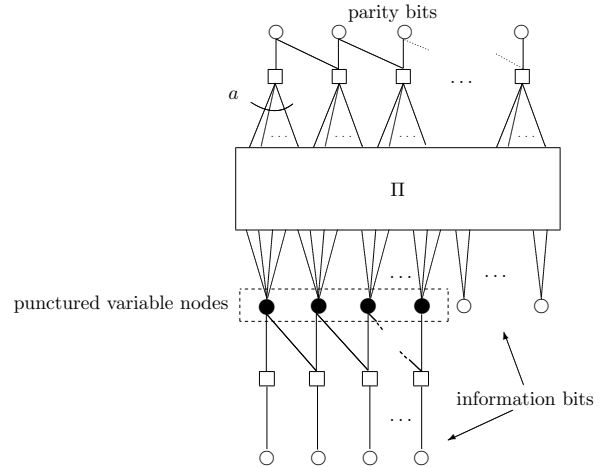


Fig. 12. Generic bipartite graph for ARA codes.

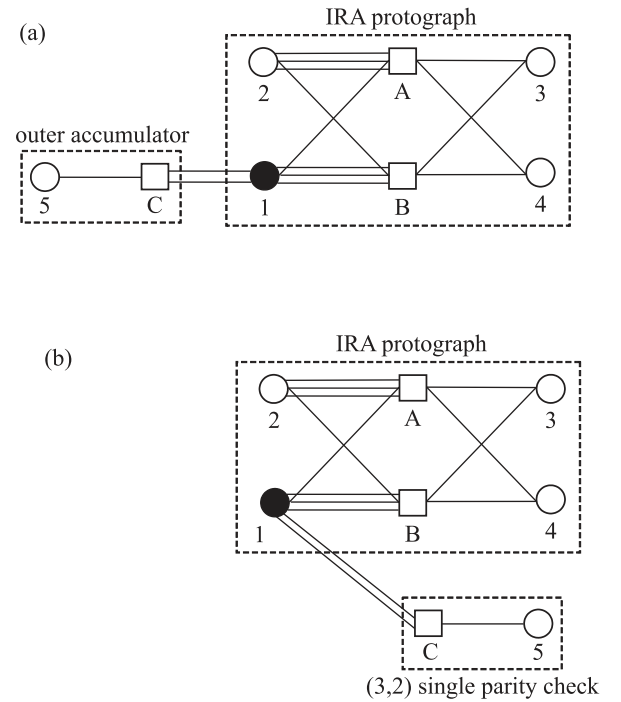


Fig. 13. AR4A protographs in (a) serial-concatenated form and (b) parallel-concatenated form.  $(E_b/N_0)_{thres} = 0.55$  dB.

(node 2) of the information bits are sent directly to the IRA encoder, while the other half (node 1) is first precoded by the outer accumulator. This encoding procedure corresponds to a systematic code.

A different code structure is represented by the protograph in Fig. 13(b), which has a parallel-concatenated form. In this case, half (node 2) of the information bits are encoded by the IRA encoder and the other half (node 3) are encoded by both the IRA encoder and a (3, 2) single-parity-check encoder. The node-3 information bits (corresponding to the dark circle in the protograph) are punctured and so codes corresponding to this protograph are non-systematic. While the codes (actually, code ensembles) specified by the protographs in Fig. 13(a) are the same in the sense that the same set of codewords are

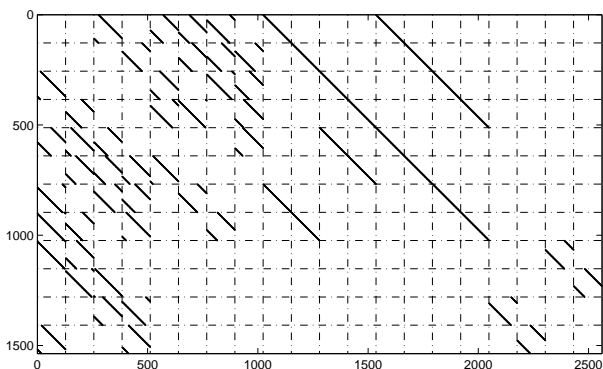


Fig. 14.  $\mathbf{H}$  matrix for the (2048,1024) AR4A code.

implied, the  $\mathbf{u} \rightarrow \mathbf{c}$  mappings are different. The advantage of the non-systematic protograph is that, although the node-3 information bits in Fig. 13(b) are punctured, the node degree is 6, in contrast with the node-1 information bits in Fig. 13(a), in which the node degree is only 1. Given that ARA code decoders converge so slowly, the faster-converging degree-6 node is to be preferred over the slowly converging degree-1 node.

To demonstrate this, we designed a (2048,1024) QC AR4A code whose  $\mathbf{H}$  matrix is depicted in Fig. 14. The first group of 512 columns (of weight 6) correspond to variable node type 1 (Fig. 13) whose bits are punctured, and the subsequent four groups of 512 columns correspond, respectively, to node types 2, 3, 4, and 5. The first group of 512 rows correspond to check node type A, and the two subsequent groups of rows correspond to node types B and C, respectively. The performance of the code, with a maximum of  $I_{max} = 50$  iterations is shown in Fig. 15. We note that the (2048,1024) AR4A code reported in [47] achieves  $BER = 10^{-7}$  at  $E_b/N_0 = 2$  dB with 200 iterations, whereas in the simulation here,  $BER = 10^{-7}$  is achieved at  $E_b/N_0 = 2.2$  dB with 50 iterations. In Fig. 16, we present the BER performance at  $E_b/N_0 = 2.25$  dB for the five node types that appear in Fig. 13 for  $I_{max}$  ranging from 5 to 20. With 20 iterations, we collected 400 error events, while with fewer iterations, the numbers of collected error events were larger. From the figure, we see that the high-degree variable nodes (node types 2 and 3) converge the fastest. We note also that, while type 3 nodes have degree 6 and type 2 nodes have degree 4, type 3 nodes initially converge slower because the bits corresponding to those nodes are punctured so that the decoder receives no channel LLRs for those bits. However, by 20 iterations, the type 3 bits become more reliable than the type 2 bits.

#### F. Accumulator-Based Codes in Standards

IRA codes and IRA-influenced codes are being considered for several communication standards. The ETSI DVB S2 [48] standard for digital video broadcast specifies two IRA code families with block lengths 64800 and 16200. The code rates supported by this standard range from 1/4 to 9/10, and a wide range of spectral efficiencies is achieved by coupling these LDPC codes with QPSK, 8-PSK, 16-APSK, and 32-APSK

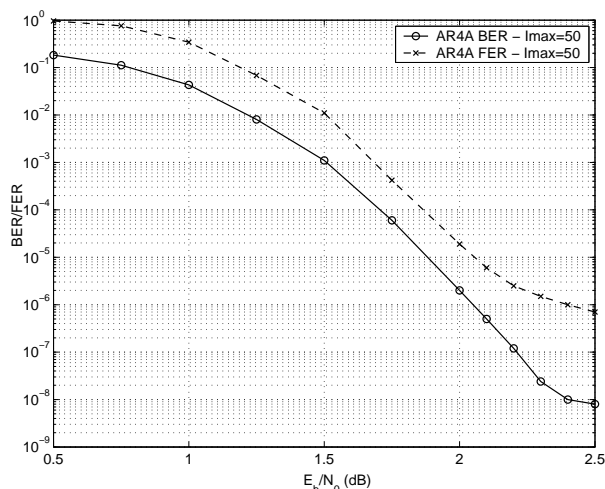


Fig. 15. BER and FER performance for an AR4A code.

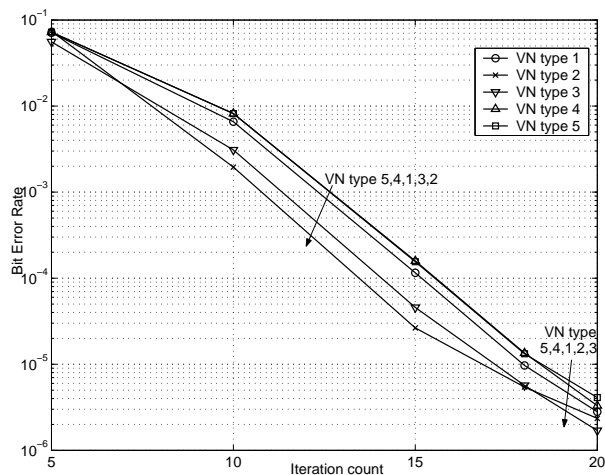


Fig. 16. Node convergence analysis for a (2048,1024) AR4A code at  $E_b/N_0 = 2.25$  dB.

modulation formats. A further level of protection is afforded by an outer BCH code.

The IEEE standards bodies are also considering IRA-influenced QC LDPC codes for 802.11n (wireless local-area networks) and 802.16e (wireless metropolitan-area networks). Rather than employing a tailing-biting accumulator (which avoids weight-one columns), these standards have replaced the last block-column in (18) with a weight-three block-column and moved it to the first column, as displayed below. Encoding is facilitated by this matrix since the sum of all block-rows gives the block-row  $(I_0 \ 0 \ \cdots \ 0)$ , so that encoding is initialized by summing all of the block-rows of  $\mathbf{H}$  and solving



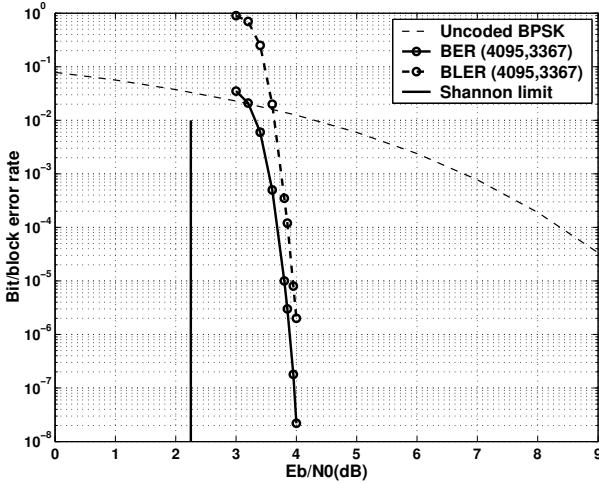


Fig. 17. Performance of the binary (4095,3367) cyclic EG-LDPC code given in Example 4 over the BI-AWGN.

of the code with parity-check matrix  $\mathbf{H}_{\text{EG}(2,q)}$  are as follows [7]:

Length	$n = 2^{2s} - 1$ ,
Number of parity bits	$n - k = 3^s - 1$ ,
Dimension	$k = 2^{2s} - 3^s$ ,
Minimum distance	$d_{\min} \geq 2^s + 1$ ,
Size of the LDPC matrix	$(2^{2s} - 1) \times (2^{2s} - 1)$ ,
Row weight	$2^s$ ,
Column weight	$2^s$ .

Generators polynomials for these codes can be readily obtained from [7].

*Example 4:* The cyclic LDPC code constructed based on the two-dimensional Euclidean geometry  $\text{EG}(2, 2^6)$  over  $\text{GF}(2^6)$  is a (4095, 3367) LDPC code with rate 0.822 and minimum distance 65. The performance of this code with iterative decoding using the SPA is shown in Fig. 17. At a BER of  $10^{-6}$ , it performs 1.65 dB from the Shannon limit. Since it has a very large minimum distance, it has a very low error-floor.  $\square$

### B. Cyclic Projective Geometry LDPC Codes

The  $m$ -dimensional projective geometry over  $\text{GF}(q)$ , denoted by  $\text{PG}(m, q)$ , consists of  $n_{\text{PG}} = (q^{m+1} - 1)/(q - 1)$  points. Each point is represented by a non-zero  $(m + 1)$ -tuple over  $\text{GF}(q)$  such that all  $q - 1$  non-zero multiples  $\beta \mathbf{a}$ , where  $\beta$  is a non-zero element in  $\text{GF}(q)$ , represent the same point. A line in  $\text{PG}(m, q)$  consists of all points of the form  $\beta_1 \mathbf{a}_1 + \beta_2 \mathbf{a}_2$ , where  $\mathbf{a}_1$  and  $\mathbf{a}_2$  are two  $(m + 1)$ -tuples that are linearly independent over  $\text{GF}(q)$  and  $\beta_1$  and  $\beta_2$  are elements in  $\text{GF}(q)$ , with  $\beta_1$  and  $\beta_2$  not simultaneously equal to zero. There are  $(q^{m+1} - 1)(q^m - 1)/(q^2 - 1)(q - 1)$  lines in  $\text{PG}(m, q)$  and each line consists of  $q + 1$  points. Two points are connected by one and only one line and each point lies on  $(q^m - 1)/(q - 1)$  lines.

The extension field  $\text{GF}(q^{m+1})$  of  $\text{GF}(q)$  is a realization of  $\text{PG}(m, q)$  [7]. Let  $\alpha$  be a primitive element of  $\text{GF}(q^{m+1})$ . A

point in  $\text{PG}(m, q)$  is represented by a non-zero element  $\alpha^i$ . Every nonzero element in the base field  $\text{GF}(q)$  can be written as  $\alpha^l$  for some  $l$  which is divisible by  $(q^{m+1} - 1)/(q - 1)$ . Hence, the elements  $\alpha^i$  and  $\alpha^j$  represent the same point in  $\text{PG}(m, q)$  if and only if  $i \equiv j \pmod{(q^{m+1} - 1)/(q - 1)}$ . Therefore, we can take the elements  $1, \alpha, \dots, \alpha^{n_{\text{PG}} - 1}$  to represent all the points in  $\text{PG}(m, q)$ .

Let  $\mathcal{L}$  be a line in  $\text{PG}(m, q)$ . Define the  $n_{\text{PG}}$ -tuple over  $\text{GF}(2)$   $\mathbf{v}_{\mathcal{L}} = (v_0, v_1, \dots, v_{n_{\text{PG}} - 1})$  whose components correspond to the  $n_{\text{PG}} = (q^{m+1} - 1)/(q - 1)$  points of  $\text{PG}(m, q)$ , where  $v_i = 1$  if the point represented by  $\alpha^i$  lies on  $\mathcal{L}$ , otherwise  $v_i = 0$ . The vector  $\mathbf{v}_{\mathcal{L}}$  is called the incidence vector of  $\mathcal{L}$ . Clearly,  $\alpha \mathcal{L}$  is also a line in the geometry whose incidence vector  $\mathbf{v}_{\alpha \mathcal{L}}$  is the cyclic-shift of  $\mathbf{v}_{\mathcal{L}}$ .

For even  $m$ , the lines in  $\text{PG}(m, q)$  can be partitioned into  $K_1 = (q^m - 1)/(q^2 - 1)$  cyclic classes  $\mathcal{Q}_1, \mathcal{Q}_2, \dots, \mathcal{Q}_{K_1}$ , each class consisting of  $n_{\text{PG}}$  lines. For each cyclic class  $\mathcal{Q}_i$ , we can form an  $n_{\text{PG}} \times n_{\text{PG}}$  circulant  $\mathbf{H}_{\text{PG},i}$  with both column and row weights equal to  $q + 1$ . For  $1 \leq k \leq K_1$ , form the following matrix:

$$\mathbf{H}_{\text{PG}(m,q),k}^{(1)} = \begin{bmatrix} \mathbf{H}_{\text{PG},1} \\ \mathbf{H}_{\text{PG},2} \\ \vdots \\ \mathbf{H}_{\text{PG},k} \end{bmatrix}, \quad (21)$$

which has column and row weights  $k(q + 1)$  and  $q + 1$ , respectively. The null space of  $\mathbf{H}_{\text{PG}(m,q),k}^{(1)}$  gives a cyclic PG-LDPC code of length  $n_{\text{PG}} = (q^{m+1} - 1)/(q - 1)$  and minimum distance at least  $k(q + 1) + 1$  whose Tanner graph has a girth of at least 6. For odd  $m$ , the lines in  $\text{PG}(m, q)$  can be partitioned into  $K_2 + 1$  cyclic classes,  $\mathcal{Q}_0, \mathcal{Q}_1, \mathcal{Q}_2, \dots, \mathcal{Q}_{K_2}$ , where  $K_2 = q(q^{m-1} - 1)/(q^2 - 1)$ . Except for  $\mathcal{Q}_0$ , each cyclic class consists of  $n_{\text{PG}}$  lines. The cyclic class  $\mathcal{Q}_0$  consists of only  $\lambda = (q^{m+1} - 1)/(q^2 - 1)$  lines. For each cyclic class  $\mathcal{Q}_i$  with  $i \neq 0$ , we can form a  $n_{\text{PG}} \times n_{\text{PG}}$  circulant  $\mathbf{H}_{\text{PG},i}$  with the incidence vectors of the lines in  $\mathcal{Q}_i$  as rows. For  $1 \leq k \leq K_2$ , we can form a matrix  $\mathbf{H}_{\text{PG}(m,q),k}^{(2)}$  of the form given by (21).

The null space of  $\mathbf{H}_{\text{PG}(m,q),k}^{(2)}$  gives a cyclic PG-LDPC code of length  $n_{\text{PG}}$  and minimum distance at least  $k(q + 1) + 1$  whose Tanner graph has a girth of at least 6.

As in the case of Euclidean geometries, the two-dimensional projective geometry,  $\text{PG}(2, q)$ , which is also called a projective plane over  $\text{GF}(q)$  [52], is of particular interest. This geometry has  $q^2 + q + 1$  points and  $q^2 + q + 1$  lines. Each line has  $q + 1$  points and each point lies on  $q + 1$  lines. If  $\mathcal{L}$  is a line in  $\text{PG}(2, q)$ , then  $\mathcal{L}, \alpha \mathcal{L}, \dots, \alpha^{q^2 + q} \mathcal{L}$ , where  $\alpha$  is a primitive element in  $\text{GF}(q^2)$ , are all the lines in the geometry. Hence, all the lines in  $\text{PG}(2, q)$  form a single cyclic class  $\mathcal{Q}$  (i.e.,  $K_1 = 1$ ). Let  $\mathbf{H}_{\text{PG}(2,q)}$  denote the  $n_{\text{PG}} \times n_{\text{PG}}$  circulant formed by the incidence vectors of the lines in  $\mathcal{Q}$ . It is a  $(q^2 + q + 1) \times (q^2 + q + 1)$  matrix over  $\text{GF}(2)$  with both column and row weights equal to  $q + 1$ . The null space of  $\mathbf{H}_{\text{PG}(2,q)}$  gives a cyclic PG-LDPC code of length  $q^2 + q + 1$  and minimum distance at least  $q + 2$ . For  $q = 2^s$ , the parameters of the cyclic PG-LDPC code given by the null space of  $\mathbf{H}_{\text{PG}(2,q)}$  are as follows [7]:

Length	$n = 2^{2s} + 2^s + 1,$
Number of parity bits	$n - k = 3^s + 1,$
Dimension	$k = 2^{2s} + 2^s - 3^s,$
Minimum distance	$d_{\min} \geq 2^s + 2,$
Size of the LDPC matrix	$(2^{2s} + 2^s + 1) \times (2^{2s} + 2^s + 1),$
Row weight	$2^s + 1,$
Column weight	$2^s + 1.$

Generators polynomials for these codes can also be readily obtained from [7].

### C. Quasi-Cyclic Finite Geometry LDPC Codes

Let  $\mathbf{R}_{\text{EG}(m,q),k}$  be the transpose of the parity-check matrix  $\mathbf{H}_{\text{EG}(m,q),k}$  of a cyclic EG-LDPC code given by (20), i.e.,

$$\mathbf{R}_{\text{EG}(m,q),k} \triangleq \mathbf{H}_{\text{EG}(m,q),k}^T = [\mathbf{H}_1^T \mathbf{H}_2^T \cdots \mathbf{H}_k^T], \quad (22)$$

which consists of a row of  $k$  circulants of size  $n_{\text{EG}} \times n_{\text{EG}}$ . It is a  $(q^m - 1) \times k(q^m - 1)$  matrix with column and row weights  $q$  and  $kq$ , respectively. The null space of  $\mathbf{R}_{\text{EG}(m,q),k}$  gives a quasi-cyclic EG-LDPC code of length  $k(q^m - 1)$  and minimum distance at least  $q + 1$  whose Tanner graph has a girth of at least 6.

Similarly, let  $\mathbf{R}_{\text{PG}(m,q),k}^{(e)}$  be the transpose of  $\mathbf{H}_{\text{PG}(m,q),k}^{(e)}$  with  $e = 1$  or 2. Then the null space of  $\mathbf{H}_{\text{PG}(m,q),k}^{(e)}$  gives a quasi-cyclic PG-LDPC code of length  $k(q^{m+1} - 1)/(q - 1)$  and minimum distance at least  $q + 2$ .

*Example 5:* Consider the 3-dimensional projective geometries  $\text{PG}(3, 2^3)$  over  $\text{GF}(2^3)$ . This geometry consists of 585 points and 4745 lines, each line consists of 9 points. The lines in this geometry can be partitioned into 9 cyclic classes,  $\mathcal{Q}_0, \mathcal{Q}_1, \dots, \mathcal{Q}_8$ , where  $\mathcal{Q}_0$  consists of 65 lines and each of the other 8 cyclic classes consists of 585 lines. For each  $\mathcal{Q}_i$  with  $1 \leq i \leq 8$ , we can form a  $585 \times 585$  circulant  $\mathbf{H}_{\text{PG},i}$  over  $\text{GF}(2)$  with the incidence vectors in  $\mathcal{Q}_i$  as the rows. Set  $k = 6$ . Form the following  $585 \times 3510$  matrix:  $\mathbf{R}_{\text{PG}(3,2^3),6}^{(2)} = [\mathbf{H}_{\text{PG},1}^T \mathbf{H}_{\text{PG},2}^T \cdots \mathbf{H}_{\text{PG},6}^T]$ , which has column and row weights 9 and 54, respectively. The null space of this matrix gives a (3510, 3109) quasi-cyclic PG-LDPC code with rate 0.8858 and minimum distance at least 10. The performance of this code decoded with iterative decoding using the SPA is shown in Fig. 18. At a BER of  $10^{-6}$ , it performs 1.3 dB from the Shannon limit.  $\square$

Other LDPC codes constructed based on finite geometries can be found in [53][54][55][56][57]. Finite geometry LDPC codes can also be effectively decoded with one-step majority-logic decoding [7], hard-decision bit-flipping (BF) decoding [1][50][7] and weighted BF decoding [50][58][59][60]. These decoding methods together with the soft-input and soft-output (SISO) iterative decoding based on belief propagation offer various trade-offs between performance and decoding complexity. The one-step majority-logic decoding requires the least decoding complexity while the (SISO) iterative decoding based on belief propagation requires the most decoding complexity and the other two decoding methods are in between. Fig. 19 shows the performances of the (4095, 3367) cyclic EG-LDPC code given in Example 4 with various decoding methods.

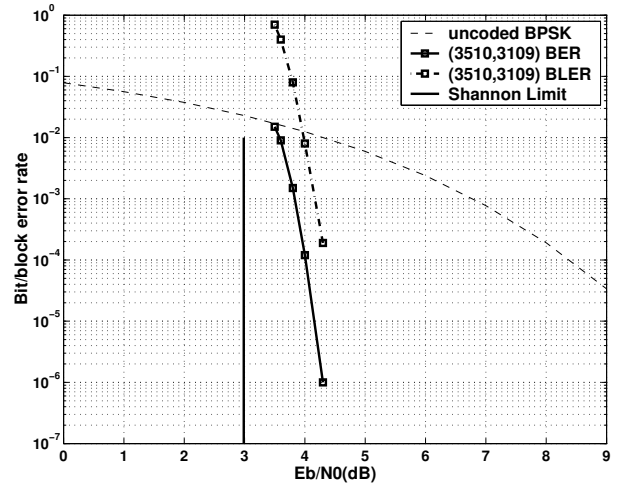


Fig. 18. Performance of the binary (3510,3109) quasi-cyclic PG-LDPC code given in Example 5 over the BI-AWGNC.

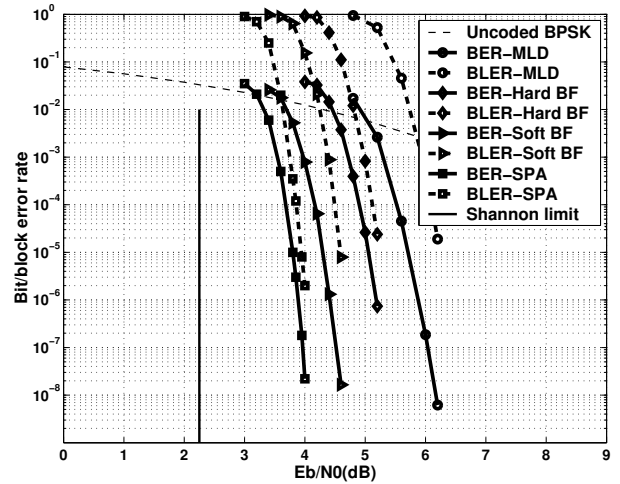


Fig. 19. Performance of the binary (4095,3367) EG-LDPC code given in Example 4 with various decoding techniques over the BI-AWGNC. MLD = majority-logic decoding. BF = bit-flipping. SPA = sum-product algorithm.

## VI. REGULAR RS-BASED LDPC CODES

This section first gives a brief survey of a class of structured LDPC codes that are constructed from the codewords of Reed-Solomon (RS) codes with two information symbols. Then two new classes of Reed-Solomon-based quasi-cyclic LDPC codes are presented. Experimental results show that constructed codes perform very well over the AWGN channel with iterative decoding.

In [61], a class of structured regular LDPC codes was presented which were constructed from the codewords of RS codes with two information symbols. These codes are referred to as RS-based LDPC codes and their parity-check matrices are arrays of permutation matrices. RS-based LDPC codes perform well with iterative decoding over the AWGN channel. Most importantly, they have low error-floors and their decoding converges very fast. These features are important in high-speed communication systems where very low error rates are required, such as the 10G Base-T Ethernet. In this section, we first give a more general form of the RS-based LDPC codes

presented in [61] and then we present two classes of RS-based QC LDPC codes.

Let  $\alpha$  be a primitive element of the finite field  $\text{GF}(q)$ . Then the following powers of  $\alpha$ ,  $\alpha^{-\infty} \triangleq 0, \alpha^0 = 1, \alpha, \dots, \alpha^{q-2}$ , form the  $q$  elements of  $\text{GF}(q)$  and  $\alpha^{q-1} = 1$ . For  $i = -\infty, 0, 1, \dots, q-2$ , represent each element  $\alpha^i$  of  $\text{GF}(q)$  by a  $q$ -tuple over  $\text{GF}(2)$ ,

$$\mathbf{z}(\alpha^i) = (z_{-\infty}, z_0, z_1, z_2, \dots, z_{q-2}), \quad (23)$$

with components corresponding to the  $q$  elements,  $\alpha^{-\infty}, \alpha^0, \dots, \alpha^{q-2}$ , of  $\text{GF}(q)$ , where the  $i$ -th component  $z_i = 1$  and all the other components equal to zero. This binary  $q$ -tuple  $\mathbf{z}(\alpha^i)$  is a unit-vector with one and only one 1-component and is called the *location vector* of  $\alpha^i$ . It is clear that the location vectors of two different elements in  $\text{GF}(q)$  have their 1-components at two different locations. Suppose we form a  $q \times q$  matrix  $\mathbf{A}$  over  $\text{GF}(2)$  with the location vectors of the  $q$  elements of  $\text{GF}(q)$  as rows arranged in any order. Then  $\mathbf{A}$  is a  $q \times q$  permutation matrix.

Consider an extended  $(q, 2, q-1)$  RS code  $\mathcal{C}_b$  over  $\text{GF}(q)$  [7] of length  $q$  with two information symbols and minimum distance  $q-1$ . The nonzero codewords of  $\mathcal{C}_b$  have two different weights,  $q-1$  and  $q$ . Because the minimum distance of  $\mathcal{C}_b$  is  $q-1$ , two codewords in  $\mathcal{C}_b$  differ in at least  $q-1$  places, i.e., they have at most one place where they have the same code symbols. Let  $\mathbf{v}$  be a nonzero codeword in  $\mathcal{C}_b$  with weight  $q$ . Then, the set  $\mathcal{C}_b^{(0)} = \{c\mathbf{v} : c \in \text{GF}(q)\}$  of  $q$  codewords in  $\mathcal{C}_b$  of weight  $q$  forms a one-dimensional subcode of  $\mathcal{C}_b$  with minimum distance  $q$  and is a  $(q, 1, q)$  extended RS code over  $\text{GF}(q)$ . Any two codewords in  $\mathcal{C}_b^{(0)}$  differ at every location. Partition  $\mathcal{C}_b$  into  $q$  cosets,  $\mathcal{C}_b^{(0)}, \mathcal{C}_b^{(1)}, \dots, \mathcal{C}_b^{(q-1)}$ , based on the subcode  $\mathcal{C}_b^{(0)}$ . Then two codewords in any coset  $\mathcal{C}_b^{(i)}$  differ at every location and two codewords from two different cosets  $\mathcal{C}_b^{(i)}$  and  $\mathcal{C}_b^{(j)}$  with  $i \neq j$  differ in at least  $q-1$  locations. For  $0 \leq i < q$ , form a  $q \times q$  matrix  $\mathbf{G}_i$  over  $\text{GF}(q)$  with the codewords in  $\mathcal{C}_b^{(i)}$  as rows. Then all the  $q$  entries in a column of  $\mathbf{G}_i$  are different and they form all the  $q$  elements of  $\text{GF}(q)$ . It follows from the structural properties of the cosets of  $\mathcal{C}_b^{(0)}$  that any two rows from any matrix  $\mathbf{G}_i$  differ at every position and any two rows from two different matrices  $\mathbf{G}_i$  and  $\mathbf{G}_j$  with  $i \neq j$  can have at most one location where they have identical symbols.

For  $0 \leq i < q$ , replacing each entry in  $\mathbf{G}_i$  by its location vector, we obtain a  $q \times q^2$  matrix  $\mathbf{B}_i$  over  $\text{GF}(2)$  which consists of a row of  $q$  permutation matrices of size  $q \times q$ ,

$$\mathbf{B}_i = [\mathbf{A}_{i,0} \ \mathbf{A}_{i,1} \ \dots \ \mathbf{A}_{i,q}], \quad (24)$$

where  $\mathbf{A}_{i,j}$  has the location vectors of the  $q$  entries of the  $j$ -th column of  $\mathbf{G}_i$  as rows. Next, we form the following  $q \times q$  array of  $q \times q$  permutation matrices with  $\mathbf{B}_0, \mathbf{B}_1, \dots, \mathbf{B}_{q-1}$

as submatrices arranged in a column:

$$\mathbf{H}_{rs,1} = \begin{bmatrix} \mathbf{B}_0 \\ \mathbf{B}_1 \\ \vdots \\ \mathbf{B}_{q-1} \end{bmatrix} \quad (25)$$

$$= \begin{bmatrix} \mathbf{A}_{0,0} & \mathbf{A}_{0,1} & \dots & \mathbf{A}_{0,q-1} \\ \mathbf{A}_{1,0} & \mathbf{A}_{1,1} & \dots & \mathbf{A}_{1,q-1} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{A}_{q-1,0} & \mathbf{A}_{q-1,1} & \dots & \mathbf{A}_{q-1,q-1} \end{bmatrix}.$$

$\mathbf{H}_{rs,1}$  is a  $q^2 \times q^2$  matrix over  $\text{GF}(2)$  with both column and row weights  $q$ . For  $q > 7$ , each permutation matrix  $\mathbf{A}_{i,j}$  is a sparse matrix and hence  $\mathbf{H}_{rs,1}$  is also a sparse matrix. It follows from the structural properties of the matrices  $\mathbf{G}_i$ 's that no two rows (or two columns) of  $\mathbf{H}_{rs,1}$  can have more than one 1-component in common. This implies that there are no four 1-components at the four corners of a rectangle in  $\mathbf{H}_{rs,1}$ , that is,  $\mathbf{H}_{rs,1}$  satisfies the RC-constraint and, hence, has a girth of at least 6 [50][7].

For any pair of integers,  $(d_v, d_c)$ , with  $1 \leq d_v, d_c \leq q$ , let  $\mathbf{H}_{rs,1}(d_v, d_c)$  be a  $d_v \times d_c$  subarray of  $\mathbf{H}_{rs,1}$ . Then  $\mathbf{H}_{rs,1}(d_v, d_c)$  is a  $d_v q \times d_c q$  matrix over  $\text{GF}(2)$  with column and row weights  $d_v$  and  $d_c$ , respectively. It is a  $(d_v, d_c)$ -regular matrix which also satisfies the RC-constraint. The null space of  $\mathbf{H}_{rs,1}(d_v, d_c)$  gives a  $(d_v, d_c)$ -regular RS-based LDPC code  $\mathcal{C}_{rs,1}$  of length  $d_c q$  with rate at least  $(d_c - d_v)/d_c$  and minimum distance at least  $d_v + 1$  [50], [7], whose Tanner graph has a girth of at least 6. Since  $\mathbf{H}_{rs,1}$  consists of an array of permutation matrices, no odd number of columns of  $\mathbf{H}_{rs,1}$  can be added to zero. This implies that the RS-based regular LDPC code  $\mathcal{C}_{rs,1}$  has only even-weight codewords. Consequently, its minimum distance is even, at least  $d_v + 2$  for even  $d_v$  and  $d_v + 1$  for odd  $d_v$ . The above construction gives a class of regular LDPC codes whose Tanner graphs have girth at least 6. For each  $(q, 2, q-1)$  extended RS code  $\mathcal{C}_b$  over  $\text{GF}(q)$ , we can construct a family of regular RS-based LDPC codes with various lengths, rates and minimum distances.  $\mathcal{C}_b$  is referred to as the base code.

*Example 6:* Consider the  $(64, 2, 63)$  extended RS code  $\mathcal{C}_b$  over  $\text{GF}(2^6)$ . Based on the codewords of this RS code  $\mathcal{C}_b$ , we can construct a  $64 \times 64$  array  $\mathbf{H}_{rs,1}$  of  $64 \times 64$  permutation matrices. Suppose we choose  $d_v = 6$  and  $d_c = 32$ . Take a  $6 \times 32$  subarray  $\mathbf{H}_{rs,1}(6, 32)$  from  $\mathbf{H}_{rs,1}$ , say the  $6 \times 32$  subarray at the upper left corner of  $\mathbf{H}_{rs,1}$ .  $\mathbf{H}_{rs,1}(6, 32)$  is a  $384 \times 2048$  matrix over  $\text{GF}(2)$  with column and row weights 6 and 32, respectively. The null space of this matrix gives a  $(2048, 1723)$  regular RS-based LDPC code with rate 0.841 and minimum distance at least 8. Assume transmission over the AWGN channel with BPSK signaling. The performance of this code with iterative decoding using the SPA (50 iterations) is shown in Fig. 20. At a BER of  $10^{-6}$ , the code performs 1.55 dB from the Shannon limit. The standard code for the IEEE 802.2 10G Base-T Ethernet is a  $(2048, 1723)$  regular RS-based LDPC code given by the null space of a  $6 \times 32$  subarray of the array  $\mathbf{H}_{rs,1}$  constructed above.  $\square$

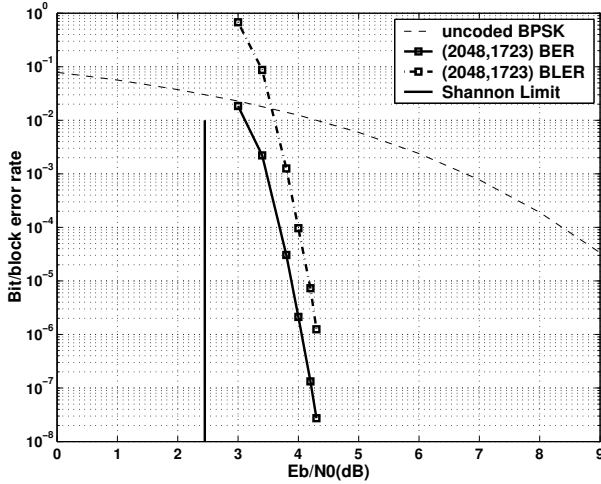


Fig. 20. Performance of the binary (2048,1723) regular RS-based LDPC code given in Example 6 over the BI-AWGNC.

#### A. Class-I RS-Based QC-LDPC Codes

RS codes were originally defined in polynomial form in frequency domain [63]. Using the polynomial form, arrays of circulant permutation matrices that satisfy the RC-constraint can be constructed from all the codewords of an RS code over a prime field  $GF(p)$  with two information symbols. Based on these arrays of circulant permutation matrices, a class of QC-LDPC codes can be constructed.

Let  $p$  be a prime. Consider the prime field  $GF(p) = \{0, 1, \dots, p-1\}$  under modulo- $p$  addition and multiplication. Let  $\mathcal{P} = \{\mathbf{a}(X) = a_1X + a_0 : a_1, a_0 \in GF(p)\}$  be the set of  $p^2$  polynomials of degree one or less with coefficients from  $GF(p)$ . For each polynomial  $\mathbf{a}(X)$  in  $\mathcal{P}$ , define the following  $p$ -tuple over  $GF(p)$ :  $\mathbf{v} = (\mathbf{a}(0), \mathbf{a}(1), \dots, \mathbf{a}(p-1))$ , where  $\mathbf{a}(j) = a_1 \cdot j + a_0$  with  $j \in GF(p)$ . Then the set of  $p^2$   $p$ -tuples,

$$\mathcal{C}_b = \{\mathbf{v} = (\mathbf{a}(0), \mathbf{a}(1), \dots, \mathbf{a}(p-1)) : \mathbf{a}(X) \in \mathcal{P}\}, \quad (26)$$

gives a  $(p, 2, p-1)$  RS code over  $GF(p)$  with two information symbols. The RS code  $\mathcal{C}_b$  given by (26) is not cyclic.

Consider the subset  $\mathcal{P}_0 = \{\mathbf{a}(X) = a_0 : a_0 \in GF(p)\}$  of zero-degree polynomials in  $\mathcal{P}$ . Then the set of  $p$ -tuples,

$$\mathcal{C}_b^{(0)} = \{(\mathbf{a}(0), \mathbf{a}(1), \dots, \mathbf{a}(p-1)) : \mathbf{a}(X) \in \mathcal{P}_0\} = \{(a_0, a_0, \dots, a_0) : a_0 \in GF(p)\}, \quad (27)$$

constructed from the zero-degree polynomials in  $\mathcal{P}_0$  forms a one-dimensional subcode of  $\mathcal{C}_b$  and is a  $(p, 1, p-1)$  RS code over  $GF(p)$  with minimum distance  $p$ . Partition  $\mathcal{C}_b$  with respect to  $\mathcal{C}_b^{(0)}$  into  $p$  cosets,  $\mathcal{C}_b^{(0)}, \mathcal{C}_b^{(1)}, \dots, \mathcal{C}_b^{(p-1)}$ , where

$$\mathcal{C}_b^{(i)} = \{(\mathbf{a}(0), \dots, \mathbf{a}(p-1)) : \mathbf{a}(X) = iX + a_0, a_0 \in GF(p)\}. \quad (28)$$

For  $0 \leq i < p$ ,  $\mathcal{C}_b^{(i)}$  contains  $p$  codewords in  $\mathcal{C}_b$  of the following form:

$$(i \cdot 0 + a_0, i \cdot 1 + a_0, \dots, i \cdot (p-1) + a_0). \quad (29)$$

The codeword  $(i \cdot 0, i \cdot 1, \dots, i \cdot (p-1))$  in  $\mathcal{C}_b^{(i)}$  is the coset leader.

For  $0 \leq i < p$ , form a  $p \times p$  matrix  $\mathbf{G}_i$  over  $GF(p)$  with the codewords in the  $i$ -th coset  $\mathcal{C}_b^{(i)}$  as rows. For  $0 \leq j < p$ , the  $j$ -th column of  $\mathbf{G}_i$  consists of the following entries:  $i \cdot j + 0, i \cdot j + 1, \dots, i \cdot j + (p-1)$ , which form all the  $p$  elements of  $GF(p)$ . From (27) to (29), we readily see that any two rows in  $\mathbf{G}_i$  differ in all  $p$  places. Replacing each entry in  $\mathbf{G}_i$  by its location vector, we obtain a row of  $p$  permutation matrices of size  $p \times p$ ,

$$\mathbf{B}_i = [\mathbf{A}_{i,0} \quad \mathbf{A}_{i,1} \quad \dots \quad \mathbf{A}_{i,p-1}],$$

where  $\mathbf{A}_{i,j}$  has the location vectors of  $i \cdot j + 0, i \cdot j + 1, \dots, i \cdot j + (p-1)$  as the rows,

$$\mathbf{A}_{i,j} = \begin{bmatrix} \mathbf{z}(i \cdot j + 0) \\ \mathbf{z}(i \cdot j + 1) \\ \vdots \\ \mathbf{z}(i \cdot j + (p-1)) \end{bmatrix}. \quad (30)$$

Under modulo- $p$  addition and multiplication, the location vector  $\mathbf{z}(i \cdot j + (k+1))$  of the field element  $i \cdot j + (k+1)$  is the right cyclic-shift (one place to the right) of the location vector  $\mathbf{z}(i \cdot j + k)$  of the field element  $i \cdot j + k$  and  $\mathbf{z}(i \cdot j + 0)$  is the right cyclic-shift of  $\mathbf{z}(i \cdot j + (p-1))$ . Therefore  $\mathbf{A}_{i,j}$  is not just a permutation matrix but also a circulant, called a circulant permutation matrix. For  $0 \leq i < p$ ,  $\mathbf{B}_i$  is hence a row of  $p$  circulant permutation matrices of size  $p \times p$ .

Form the following  $p \times p$  array of  $p \times p$  circulant permutation matrices:

$$\mathbf{H}_{rs,2} = \begin{bmatrix} \mathbf{B}_0 \\ \mathbf{B}_1 \\ \vdots \\ \mathbf{B}_{p-1} \end{bmatrix} = \begin{bmatrix} \mathbf{A}_{0,0} & \mathbf{A}_{0,1} & \dots & \mathbf{A}_{0,p-1} \\ \mathbf{A}_{1,0} & \mathbf{A}_{1,1} & \dots & \mathbf{A}_{1,p-1} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{A}_{p-1,0} & \mathbf{A}_{p-1,1} & \dots & \mathbf{A}_{p-1,p-1} \end{bmatrix}. \quad (31)$$

$\mathbf{H}_{rs,2}$  is a  $p^2 \times p^2$  matrix over  $GF(2)$  with both column and row weights  $p$ . Since the rows of  $\mathbf{H}_{rs,2}$  correspond to the codewords in the  $(p, 2, p-1)$  RS code  $\mathcal{C}_b$  over  $GF(p)$  given by (26) and two codewords in  $\mathcal{C}_b$  can have at most one place with the same code symbol, no two rows (or two columns) in  $\mathbf{H}_{rs,2}$  can have more than one 1-component in common. Hence  $\mathbf{H}_{rs,2}$  satisfies the RC-constraint and its associated Tanner graph has a girth of at least 6.

For any pair of integers,  $(d_v, d_c)$ , with  $1 \leq d_v, d_c \leq p$ , let  $\mathbf{H}_{rs,2}(d_v, d_c)$  be a  $d_v \times d_c$  subarray of  $\mathbf{H}_{rs,2}$ .  $\mathbf{H}_{rs,2}(d_v, d_c)$  is a  $d_v p \times d_c p$  matrix over  $GF(2)$  with column and row weights  $d_v$  and  $d_c$ , respectively, and it also satisfies the RC-constraint. The null space of  $\mathbf{H}_{rs,2}(d_v, d_c)$  gives a regular RS-based QC-LDPC code of length  $d_c p$  with rate at least  $(d_c - d_v)/d_c$  and minimum distance at least  $d_v + 2$  for even  $d_v$ , and  $d_v + 1$  for odd  $d_v$ , whose Tanner graph has a girth of at least 6. The above construction gives a class of QC-LDPC codes with various lengths, rates and minimum distances.

*Example 7:* Consider the  $(73, 2, 72)$  RS code  $\mathcal{C}_b$  over the prime field  $GF(73)$  that is constructed based on the set of



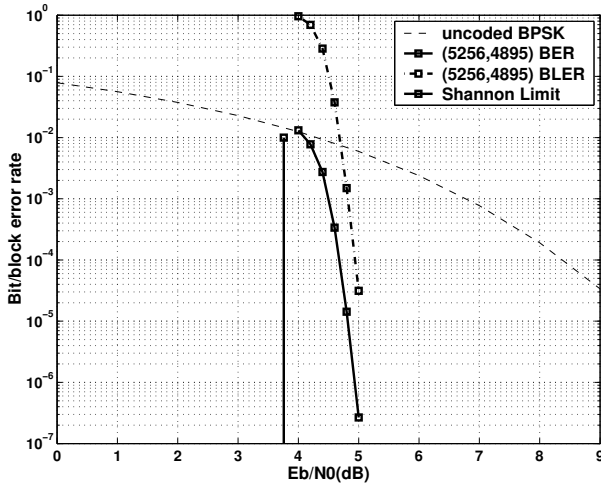


Fig. 21. Performance of the binary (5256,4895) regular RS-based QC-LDPC code given in Example 7 over the BI-AWGN.

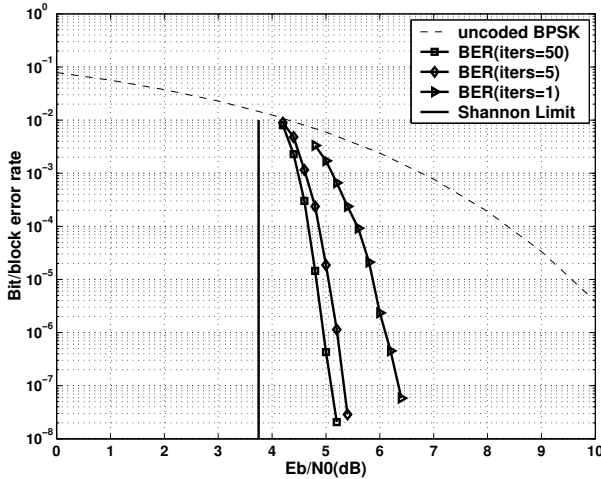


Fig. 22. The decoding convergence rate of the (5256,4895) QC-LDPC code given in Example 7.

polynomials of degree 1 or less over  $GF(73)$ . Using this base RS code, we can construct a  $73 \times 73$  array  $\mathbf{H}_{rs,2}$  of  $73 \times 73$  circulant permutation matrices. Choose  $d_v = 5$  and  $d_c = 72$ . Take a  $5 \times 72$  subarray  $\mathbf{H}_{rs,2}(5, 72)$  from  $\mathbf{H}_{rs,2}$ .  $\mathbf{H}_{rs,2}(5, 72)$  is a  $365 \times 5256$  matrix over  $GF(2)$  with column and row weights 5 and 72, respectively. The null space of  $\mathbf{H}_{rs,2}(5, 72)$  gives a (5256, 4895) regular RS-based QC-LDPC code with rate 0.9313. The minimum distance of this code is estimated to be 12 which is twice as large as its lower bound  $d_v + 1 = 6$ . The performance of this code with iterative decoding using the SPA with 50 iterations is shown in Fig. 21. At a BER of  $10^{-6}$ , it perform 1.15 dB from the Shannon limit. The rate of decoding convergence of this code is shown in Fig. 22. We see decoding of this code converges very fast. At a BER of  $10^{-6}$ , the gap between 5 and 50 iterations is about 0.2 dB.  $\square$

### B. Class-II RS-Based QC-LDPC Codes

So far in this section, we have presented two classes of RS-based LDPC codes. A code in either class is constructed based on partitioning all the codewords of an extended RS

code with two information symbols into cosets with respect to a one-dimensional RS subcode. In this subsection, we present another class of RS-based LDPC codes. The construction of this class of LDPC codes is based on only the minimum weight (m-w) codewords of extended RS codes with two information symbols. In the construction, the m-w codewords of an extended RS code with two information symbols are first partitioned into  $q$  uniform classes (defined below), each with  $q-1$  m-w codewords. Then based on these uniform classes, a  $q \times q$  array of  $(q-1) \times (q-1)$  circulant permutation matrices is formed. The null space of any subarray of this array of circulant permutation matrices gives a QC-LDPC code.

Earlier we defined the location vector of an element in the Galois field  $GF(q)$  as a  $q$ -tuple with exactly one 1-component. In our new construction of RS-based LDPC codes, we introduce a new type of location vector for the elements of  $GF(q)$ . Let  $\alpha$  be a primitive element in  $GF(q)$ . For each nonzero element  $\alpha^i$  in  $GF(q)$  with  $0 \leq i < q-1$ , its location vector  $\mathbf{z}(\alpha^i)$  is defined as a  $(q-1)$ -tuple,

$$\mathbf{z}(\alpha^i) = (z_0, z_1, \dots, z_{q-1}), \quad (32)$$

with components corresponding to the  $q-1$  nonzero elements,  $\alpha^0, \alpha^1, \dots, \alpha^{q-2}$ , of  $GF(q)$ , where the  $i$ -th component  $z_i = 1$  and all the other  $q-2$  components are zeros. Note that the 0-element of  $GF(q)$  is not included in formation of this location vector of a nonzero element in  $GF(q)$ . The location vector of the 0-element of  $GF(q)$  is defined as the all-zero  $(q-1)$ -tuple,  $(0, 0, \dots, 0)$ .

Again consider the  $(q, 2, q-1)$  extended RS code  $\mathcal{C}_b$  with two information symbols. It contains  $q(q-1)$  codewords of weight  $q-1$ . Each of these m-w codewords contains one and only one 0-component. For  $i = -\infty, 0, 1, \dots, q-2$ , let  $\mathbf{v}_i = (v_{-\infty}, v_0, v_1, \dots, v_{q-2})$  be a m-w codeword in  $\mathcal{C}_b$  with  $i$ -th component  $v_{i,i} = 0$ . Let  $U_i = \{\mathbf{v}_i, \alpha\mathbf{v}_i, \dots, \alpha^{q-2}\mathbf{v}_i\}$  be the set of  $q-1$  m-w codewords with the  $i$ -th components equal to zero. Then the  $q(q-1)$  m-w codewords can be partitioned into  $q$  subsets,  $U_{-\infty}, U_0, U_1, \dots, U_{q-2}$ , each consisting of  $q-1$  m-w codewords. These sets are called uniform classes of m-w codewords in  $\mathcal{C}_b$ . Two m-w codewords in the same uniform class  $U_i$  differ in all the  $q-1$  nonzero positions and they both have zeros at the  $i$ -th position. Two m-w codewords from two different classes differ in at least  $q-1$  positions.

For the  $i$ -th uniform class  $U_i$  of m-w codewords, we form a  $(q-1) \times q$  matrix  $\mathbf{G}_i$  over  $GF(q)$  with the  $q-1$  m-w codewords in  $U_i$  as rows,

$$\mathbf{G}_i = \begin{bmatrix} \mathbf{v}_i \\ \alpha\mathbf{v}_i \\ \vdots \\ \alpha^{q-2}\mathbf{v}_i \end{bmatrix} \quad (33)$$

$$= \begin{bmatrix} v_{i,-\infty} & v_{i,0} & \cdots & v_{i,q-2} \\ \alpha v_{i,-\infty} & \alpha v_{i,0} & \cdots & \alpha v_{i,q-2} \\ \vdots & \vdots & \ddots & \vdots \\ \alpha^{q-2}v_{i,-\infty} & \alpha^{q-2}v_{i,0} & \cdots & \alpha^{q-2}v_{i,q-2} \end{bmatrix}.$$

The  $i$ -th column of  $\mathbf{G}_i$  is a column of  $q-1$  zeros and any other column consists of  $q-1$  distinct nonzero entries which are the  $q-1$  nonzero elements of  $GF(q)$ . It follows from the

structural properties of the uniform classes of  $m$ - $w$  codewords of the  $(q, 2, q-2)$  extended RS code  $\mathcal{C}_b$  that any two rows in the same matrix  $\mathbf{G}_i$  differ in exactly  $q-1$  places and any two rows from two different matrices  $\mathbf{G}_i$  and  $\mathbf{G}_j$  differ in at least  $q-1$  places.

Replacing each entry in  $\mathbf{G}_i$  by its location vector defined by (32), we obtain a row of  $q$  submatrices of size  $(q-1) \times (q-1)$ ,

$$\mathbf{B}_i = [ \mathbf{A}_{i,-\infty} \quad \mathbf{A}_{i,0} \quad \cdots \quad \mathbf{A}_{i,q-2} ], \quad (34)$$

where  $\mathbf{A}_{i,i}$  is a  $(q-1) \times (q-1)$  zero matrix and all the other  $q-1$  submatrices  $\mathbf{A}_{i,j}$ 's are  $(q-1) \times (q-1)$  circulant permutation matrices. Form the following  $q \times q$  array of  $(q-1) \times (q-1)$  circulant permutation and zero matrices:

$$\mathbf{H}_{rs,3} = \begin{bmatrix} \mathbf{B}_{-\infty} \\ \mathbf{B}_0 \\ \vdots \\ \mathbf{B}_{q-2} \end{bmatrix} \quad (35)$$

$$= \begin{bmatrix} \mathbf{A}_{-\infty,-\infty} & \mathbf{A}_{-\infty,0} & \cdots & \mathbf{A}_{-\infty,q-2} \\ \mathbf{A}_{0,-\infty} & \mathbf{A}_{0,0} & \cdots & \mathbf{A}_{0,q-2} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{A}_{q-2,-\infty} & \mathbf{A}_{q-2,0} & \cdots & \mathbf{A}_{q-2,q-2} \end{bmatrix},$$

where the submatrices,  $\mathbf{A}_{-\infty,-\infty}, \mathbf{A}_{0,0}, \dots, \mathbf{A}_{q-2,q-2}$ , on the main diagonal of  $\mathbf{H}_{rs,3}$  are zero matrices and the other submatrices are  $(q-1) \times (q-1)$  circulant permutation matrices.  $\mathbf{H}_{rs,3}$  is a  $q(q-1) \times q(q-1)$  matrix over  $\text{GF}(2)$  with both column and row weights  $q-1$ . It follows from the structural properties of matrices  $\mathbf{G}_i$ 's that no two rows (or two columns) of  $\mathbf{H}_{rs,3}$  have more than one 1-component in common and hence it satisfies the RC-constraint. The associated Tanner graph of  $\mathbf{H}_{rs,3}$  is free of cycles of length 4 and hence has a girth of at least 6.

For  $1 \leq d_v, d_c \leq q$ , let  $\mathbf{H}_{rs,3}(d_v, d_c)$  be a  $d_v \times d_c$  subarray of  $\mathbf{H}_{rs,3}$ . It is a  $d_v(q-1) \times d_c(q-1)$  matrix over  $\text{GF}(2)$ . If  $\mathbf{H}_{rs,3}(d_v, d_c)$  does not contain zero matrices on the main diagonal of  $\mathbf{H}_{rs,3}$ , it is a regular matrix with column and row weights  $d_v$  and  $d_c$ , respectively. The null space of  $\mathbf{H}_{rs,3}(d_v, d_c)$  gives a  $(d_v, d_c)$ -regular RS-based QC-LDPC code of length  $d_c(q-1)$  with minimum distance at least  $d_v+2$  for even  $d_v$  and  $d_v+1$  for odd  $d_v$ , whose Tanner graph has a girth of at least 6. If  $\mathbf{H}_{rs,3}(d_v, d_c)$  contains some zero matrices of  $\mathbf{H}_{rs,3}$ , then it has two column weights  $d_v-1$  and  $d_v$  and may have two row weights  $d_c-1$  and  $d_c$ . In this case, the null space of  $\mathbf{H}_{rs,3}(d_v, d_c)$  gives a near regular QC-LDPC code. The above construction gives another class of RS-based QC-LDPC codes.

*Example 8:* Suppose the  $(64, 2, 63)$  extended RS code over  $\text{GF}(2^6)$  is used as the base code  $\mathcal{C}_b$  for constructing QC-LDPC codes. Based on the  $m$ - $w$  codewords of this base code, we can construct a  $64 \times 64$  array  $\mathbf{H}_{rs,3}$  of  $63 \times 63$  circulant permutation and zero matrices. Set  $d_v = 6$  and  $d_c = 32$ . Take a  $6 \times 32$  subarray  $\mathbf{H}_{rs,3}(6, 32)$  from  $\mathbf{H}_{rs,3}$ , avoiding the zero matrices. Then  $\mathbf{H}_{rs,3}(6, 32)$  is a  $378 \times 2016$  matrix over  $\text{GF}(2)$  with column and row weights 6 and 32, respectively. The null space of  $\mathbf{H}_{rs,3}(6, 32)$  gives a  $(2016, 1692)$  regular RS-based QC-LDPC code with rate 0.8392. The performance of this code

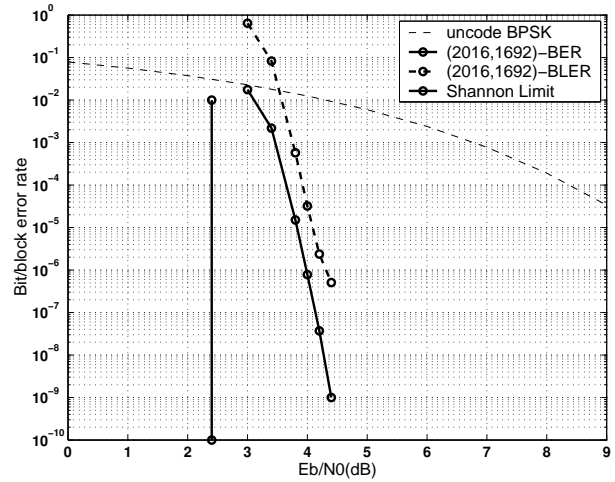


Fig. 23. Performance of the binary  $(2016,1692)$  QC-LDPC code given in Example 8 over the BI-AWGNC.

with iterative decoding using the SPA with 50 iterations is shown in Fig. 23. At a BER of  $10^{-6}$ , it performs 1.55 dB from the Shannon limit. This code is the quasi-cyclic counterpart of the  $(2048, 1723)$  regular RS-based LDPC code given in Example 6 (or the standard code for the IEEE 802.3 10G Base-T Ethernet). Its encoding can be implemented with 6 shift-register-adder-accumulator (SRAA) units [13], each consisting of 126 flip-flops, 64 two-input XOR gates and 64 two-input AND gates. The performance of this code is almost the same as that of the standard code for the IEEE 802.3 10G Base-T Ethernet.  $\square$

## VII. MASKING

Given a  $d_v \times d_c$  array of permutation matrices,  $\mathbf{H}_{rs,e} = [\mathbf{A}_{i,j}]$  with  $e = 1, 2$  or  $3$ , a set of permutation matrices can be masked (i.e., replaced by zero matrices) to generate a new LDPC code. The masking operation can be modeled mathematically as a special matrix product [7][57]. Let  $\mathbf{W}(d_v, d_c) = [w_{i,j}]$  be a  $d_v \times d_c$  matrix over  $\text{GF}(2)$ . Define the following matrix product:

$$\mathbf{M}_{rs,e}(d_v, d_c) = \mathbf{W}(d_v, d_c) \circledast \mathbf{H}_{rs,e}(d_v, d_c) = [w_{i,j} \mathbf{A}_{i,j}], \quad (36)$$

where  $w_{i,j} \mathbf{A}_{i,j} = \mathbf{A}_{i,j}$  for  $w_{i,j} = 1$  and  $w_{i,j} \mathbf{A}_{i,j} = \mathbf{0}$  (a zero matrix) for  $w_{i,j} = 0$ . With this operation, a set of permutation matrices in  $\mathbf{H}_{rs,e}(d_v, d_c)$  is masked by the 0-entries of  $\mathbf{W}(d_v, d_c)$ . We call  $\mathbf{W}(d_v, d_c)$  the masking matrix,  $\mathbf{H}_{rs,e}(d_v, d_c)$  the base array (or base matrix), and  $\mathbf{M}_{rs,e}(d_v, d_c)$  the masked array (or matrix). The masked matrix  $\mathbf{M}_{rs,e}(d_v, d_c)$  is an array of permutation and zero matrices. The distribution of permutation matrices in  $\mathbf{M}_{rs,3}(d_v, d_c)$  is identical to the distribution of 1-entries in the masking matrix  $\mathbf{W}(d_v, d_c)$ .

It is clear that masking operation preserves the RC-constraint on the rows and columns of the base array  $\mathbf{H}_{rs,e}(d_v, d_c)$  and hence the masked matrix  $\mathbf{M}_{rs,e}(d_v, d_c)$  also satisfies the RC-constraint. Furthermore, masking reduces the density of 1-entries in the base matrix and therefore

the masked matrix is a sparser matrix. Consequently, the associated Tanner graph of  $\mathbf{M}_{r,s,e}(d_v, d_c)$  has either a larger girth or a smaller number of short cycles than that of the base matrix. If the girth of the masking matrix is  $g > 6$ , then the girth of the Tanner graph of the masked matrix is at least  $g$ . Since the size of a masking matrix is in general not very large, it is quite easy to construct masking matrices with relatively large girth, say 8, 10 and 12, either by computer search or by the techniques given in [64][65].

The null space of the masked matrix  $\mathbf{M}_{r,s,e}(d_v, d_c)$  gives an LDPC code  $\mathcal{C}_{r,s,e}^{(m)}$  with girth at least 6. For  $e = 2$  or  $3$ ,  $\mathcal{C}_{r,s,e}^{(m)}$  is a QC-LDPC code. If the masking matrix is a regular matrix with constant column and row weights, then  $\mathcal{C}_{r,s,e}^{(m)}$  is a regular LDPC code. If the masking matrix has varying column and row weights, then  $\mathcal{C}_{r,s,e}^{(m)}$  is an irregular LDPC code. Masking is an effective technique for constructing long structured regular and irregular LDPC codes. The performance of an LDPC code constructed by masking depends on the choice of the masking matrix. Regular masking matrices can be constructed using algebraic or combinatorial methods. An irregular masking matrix can be constructed by computer search based on the variable- and check-node degree distributions of a code's Tanner graph derived by the evolution of the probability densities of the messages passed between the two types of nodes in a belief propagation decoder as proposed in [66].

*Example 9:* In this example, we choose the  $(257, 2, 256)$  extended RS code over  $\text{GF}(257)$  as the base code  $\mathcal{C}_b$  for code construction. Using the method given in Section VI-B, a  $257 \times 257$  array  $\mathbf{H}_{r,s,3}$  of  $256 \times 256$  circulant permutation matrices can be constructed based on the minimum weight codewords of  $\mathcal{C}_b$ . Choose  $d_v = 8$  and  $d_c = 64$ . Take a  $8 \times 64$  subarray  $\mathbf{H}_{r,s,3}(8, 64)$  from  $\mathbf{H}_{r,s,3}$  (avoiding zero matrices) as the base array for masking. Construct an  $8 \times 64$  masking matrix  $\mathbf{W}(8, 64)$  that consists of a row of eight  $8 \times 8$  circulant matrices whose generators (top rows) are given in Table 1.  $\mathbf{W}(8, 64)$  has column and row weights 4 and 32, respectively. Masking the base array  $\mathbf{H}_{r,s,3}(8, 16)$  with  $\mathbf{W}(8, 64)$ , we obtain a  $2048 \times 16384$  regular masked matrix  $\mathbf{M}_{r,s,3}(8, 64)$  with column and row weights 4 and 32, respectively. The null space of  $\mathbf{M}_{r,s,3}(8, 64)$  gives a  $(16384, 14337)$  regular RS-based QC-LDPC code with rate 0.875. The performance of this code with iterative decoding using the SPA is shown in Fig. 24. At a BER of  $10^{-6}$ , it performs 0.85 dB from the Shannon limit.  $\square$

TABLE I. GENERATORS OF CIRCULANTS IN THE MASKING MATRIX OF EXAMPLE 9.

$\mathbf{g}_1 = (10011010)$	$\mathbf{g}_2 = (11011000)$
$\mathbf{g}_3 = (00111010)$	$\mathbf{g}_4 = (01100110)$
$\mathbf{g}_5 = (01111000)$	$\mathbf{g}_6 = (11100010)$
$\mathbf{g}_7 = (11010010)$	$\mathbf{g}_8 = (01010110)$

An irregular LDPC code is given by the null space of a sparse matrix  $\mathbf{H}$  with varying column weights and/or varying row weights so that the code's Tanner graph has varying nodal degrees. The nodal degree distributions (hence, row/column weight distributions) from the node perspective (see Example 1) are expressed in terms of two polynomials [66],  $v(X) = \sum_{i=1}^{d'_v} v_i X^{i-1}$  and  $c(X) = \sum_{i=1}^{d'_c} c_i X^{i-1}$ , where  $v_i$  and  $c_i$  denote the fractions of variable- and check-node with degree

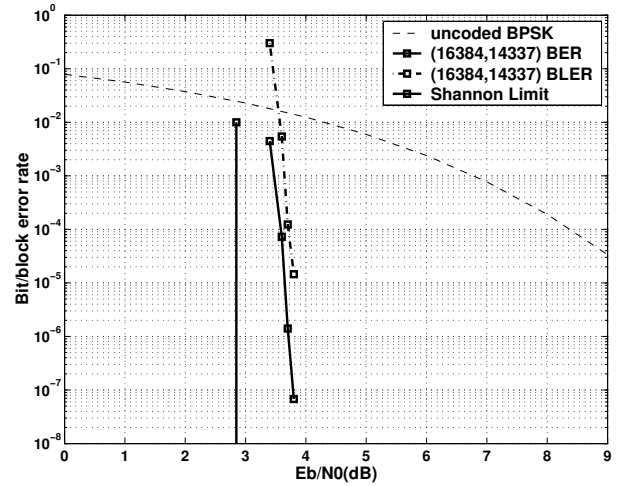


Fig. 24. Performance of the binary  $(16384, 14337)$  QC-LDPC code given in Example 9 over the BI-AWGN.

$i$ , respectively,  $d'_v$  and  $d'_c$  denote the maximum variable- and check-node degrees, respectively. Irregular LDPC codes can be constructed based on the degree distributions of a code graph and masking an array of permutation matrices. First we design the degree distributions,  $v(X)$  and  $c(X)$ , of the variable- and check-nodes of the graph of a code of rate  $R$  based on EXIT charts (or density evolution [16]). Then choose proper parameters,  $d_v, d_c$  and  $q$  (or  $p$ ) that will give us the desired code length and rate  $R$ , where  $d_v \geq d'_v$  and  $d_c \geq d'_c$ . By computer search, we construct a masking matrix  $\mathbf{W}(d_v, d_c)$  that has column and row weight distributions identical (or close) to  $v(X)$  and  $c(X)$ . Construct a base array  $\mathbf{H}_{r,s,e}(d_v, d_c)$  with  $e = 1, 2$  or  $3$  using a method described above. Masking the base matrix  $\mathbf{H}_{r,s,e}(d_v, d_c)$  by  $\mathbf{W}(d_v, d_c)$ , we obtain a masked matrix  $\mathbf{M}_{r,s,e}(d_v, d_c)$  which has column and row weight distributions identical (or close) to  $v(X)$  and  $c(X)$ . This masking not only gives a structured irregular LDPC code but also simplifies the code construction. Since the Tanner graph of the base matrix  $\mathbf{H}_{r,s,e}(d_v, d_c)$  is already free of cycles of length 4, the Tanner graph of the resultant irregular LDPC code is also free of cycles of length 4 and hence has a girth of at least 6. By contrast, in random construction, a large random bipartite graph based on the degree distributions must first be constructed. In the process of constructing a code graph by computer, effort must be made to avoid cycles of length 4, which may not be easy.

Since optimal degree distributions for a given code rate are derived based on the assumptions of infinite code length, cycle-free code graph, and an infinite number of decoding iterations. When applied to construct short codes, the optimal degree distributions are no longer optimal any more and they usually result in an irregular code with a high error-floor. Therefore, proper adjustment of the degree distributions must be made to achieve good performance.

*Example 10:* The following degree distributions of variable- and check-nodes of a bipartite graph are designed for a code with rate  $1/2$  and length between 4000 and 5000:  $v(X) = 0.25X + 0.625X^2 + 0.125X^8$  and  $c(X) = X^6$ .

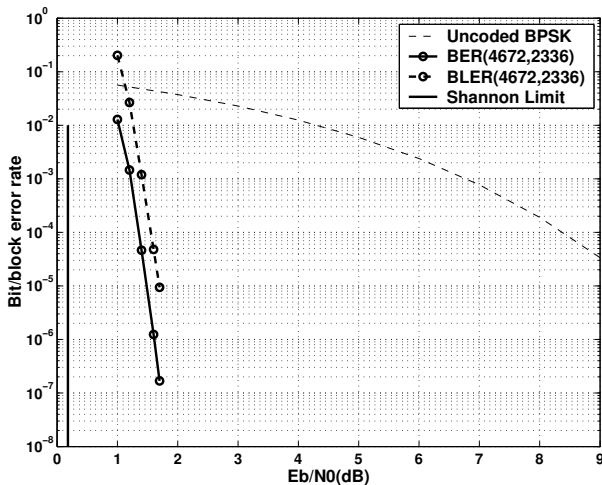


Fig. 25. Performance of the binary (4672,2336) QC-LDPC code given in Example 10 over the BI-AWGNC.

The average variable-node and check-node degrees are 3.5 and 7, respectively. Suppose we want to construct a code of length about 4600. To construct such a code, we choose the  $(73, 2, 72)$  RS code  $C_b$  over  $GF(73)$  as the base code and construct a  $73 \times 73$  array  $\mathbf{H}_{r,s,2}$  of  $73 \times 73$  circulant permutation matrices based on the method presented in Section VI-A. Choose  $d_v = 32$  and  $d_c = 64$ . Take a  $32 \times 64$  subarray  $\mathbf{H}_{r,s,2}(32, 64)$  from  $\mathbf{H}_{r,s,2}$  as the base array for masking. It is a  $2336 \times 4672$  matrix over  $GF(2)$  with column and row weights 32 and 64, respectively. Construct a masking matrix  $\mathbf{W}(\gamma, \rho)$  by computer search with column and row weight distributions close to the degree distributions  $\nu(X)$  and  $c(X)$  given above. Masking the base array  $\mathbf{H}_{r,s,2}(32, 64)$  with  $\mathbf{W}(32, 64)$ , we obtain a masked  $32 \times 64$  array  $\mathbf{M}_{r,s,2}(32, 64)$  of circulant permutation and zero matrices. The column and row weight distributions of  $\mathbf{M}_{r,s,2}(32, 64)$  are identical to  $\nu(X)$  and  $c(X)$ . The null space of  $\mathbf{M}_{r,s,2}(32, 64)$  gives a (4672, 2336) irregular RS-based QC-LDPC code. The performance of this code with iterative decoding using the SPA (50 iterations) is shown in Fig. 25. The code performs very well: at a BER of  $10^{-6}$ , it is 1.6 dB from the Shannon limit.  $\square$

### VIII. CONCLUSION AND OPEN PROBLEMS

This paper provided fundamentals in the design of LDPC codes. The EXIT chart technique for determining near-optimal degree distributions for LDPC code ensembles was first discussed to provide a target for the code designer. The utility of representing codes by protographs and how this naturally leads to quasi-cyclic LDPC codes was also discussed, after which the EXIT chart technique was extended to the special case of protograph-based LDPC codes. Discussed next was several design approaches for LDPC codes which incorporate one or more accumulators, including quasi-cyclic accumulator-based codes. The second half the paper then switched to several algebraic LDPC code design techniques including codes based on finite geometries and codes whose designs are based on Reed-Solomon codes. The algebraic designs lead to

cyclic, quasi-cyclic, and structured codes. Finally, the masking technique for converting regular quasi-cyclic LDPC codes to irregular codes was presented. While the paper focuses on the BI-AWGNC, as discussed in the paper, good BI-AWGNC codes tend to be universally good across many channels.

The ultimate goal in the LDPC code field is a situation that is analog of BCH or RS codes, that is, a straightforward design technique and a straightforward performance analysis. While this may be possible someday, in the short term, some of the open problems that are undergoing studies by researchers are as follows. It is well known that error-floors can be due to a small minimum distance or it can be the fault of the iterative decoder. Thus, there is a tremendous amount of research being undertaken to understand the floor phenomenon. Another issue is the design of short codes. As mentioned in Section II, decoding threshold prediction techniques assume an infinite codeword length and an infinite number of decoding iterations. This leads one to ask about threshold prediction for short codes with a finite number of iterations. Another problem being studied is generalized LDPC codes in which the single parity-check nodes and repetition nodes of Tanner graphs were replaced by more complex constraints. This was first considered by Tanner [2]. Other problems include lower bounding the minimum distance of an LDPC code and understanding the impact of cycle structure and distribution on an iterative decoder.

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