

Detection and diagnosis of plant-wide disturbances

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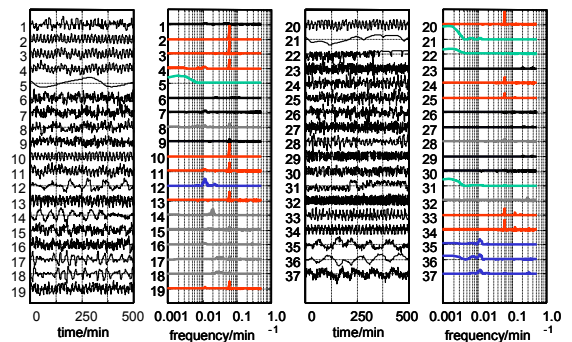
Layout of the presentation

- ❖ *Plant-wide disturbances*
 - Context of the work presented;
 - Example;
 - Principal components analysis using power spectra.
- ❖ *Diagnosis of plant wide disturbances*

Plant-wide disturbances - context

- ❖ *Automated detection and diagnosis of plant wide disturbances is a research topic.*
- ❖ *Approaches include:*
 - Feedforward/cross correlation (Stanfelj et al., 1993; Desborough and Harris, 1993);
 - Capture of cause and effect in a model using signed directional graphs (Chen and Howell, 2001);
 - An index involving controller output (Xia and Howell, 2001a,b);
 - Signatures for nonlinearity
- ❖ *Work presented here is current research*
 - Presented at conferences (2000, 2001), journal papers submitted;

Plant-wide disturbances - example



Plant-wide disturbances - example

- ❖ *The refinery data set had 37 control loops (courtesy of a SE Asian refinery). The challenge was to automate detection of loops characterized by similar disturbances;*
- ❖ *Spectral principal components analysis identified 3 clusters representing 3 plant-wide disturbances.*
- ❖ *Noted:* spectral PCA out-performed time-domain PCA because the spectra are invariant to time delays. For example, $\sin(\omega t)$ and $\cos(\omega t)$ have the same power spectrum.

Plant-wide disturbances - PCA

- ❖ *Use FFT to derive power spectra.*
- ❖ *X is matrix of spectra, 37 rows and 512 columns.*

512 frequency channels
 37 spectra, one
 for each tag

The diagram illustrates the matrix X as a grid of 37 rows and 512 columns. A horizontal arrow points to the right above the grid, labeled '512 frequency channels'. A vertical arrow points downwards to the left of the grid, labeled '37 spectra, one for each tag'.

Method

- Decompose X as a sum over basis functions $X = T \times W'$
- The T vectors are the scores. The basis functions are the rows of the loadings matrix W' .
- W is the eigenvector matrix of $X'X$ and $T = X \times W$.

Plant-wide disturbances - PCA

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- ❖ For a 3 - PC model:

$$X = \begin{pmatrix} t_{1,1} \\ \dots \\ t_{m,1} \end{pmatrix} w'_1 + \begin{pmatrix} t_{1,2} \\ \dots \\ t_{m,2} \end{pmatrix} w'_2 + \begin{pmatrix} t_{1,3} \\ \dots \\ t_{m,3} \end{pmatrix} w'_3 + E$$

- The n 'th spectrum has scores $t_{n,1}$, $t_{n,2}$ and $t_{n,3}$. These are the weights in the summation of the w' -vectors needed to approximately reconstruct the n 'th spectrum;
- Plot the t -values to give 37 spots in a 3-D score plot;
- Clusters represent process tags with similar spectra.

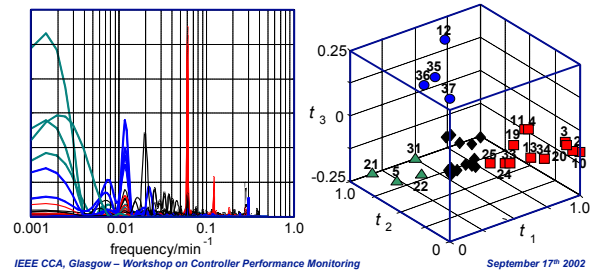
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Plant-wide disturbances - PCA

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- ❖ 3 term PCA model captured 90% of the variability in the spectra and identified clusters representing three plant-wide disturbances.



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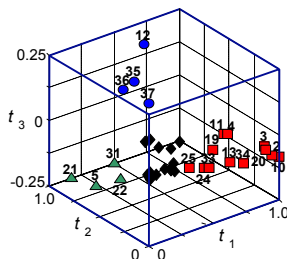
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Plant-wide disturbances - PCA

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- ❖ PCA analysis:

- Red squares: Oscillation at 0.06 min^{-1} (16.7 minutes per cycle);
- Blue circles: Oscillation at 0.01 min^{-1} (100 minutes per cycle);
- Green triangles: Tags with low frequencies and long slow deviations;
- Black diamonds: Tags near $(0,0,0)$ with no spectral features.



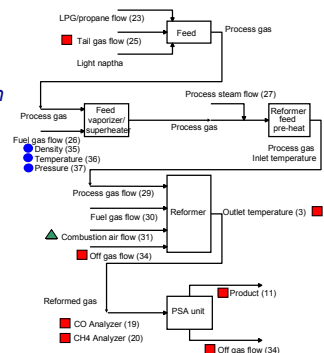
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Plant-wide disturbances - PCA

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- ❖ Process schematic with disturbances marked.
- ❖ 100 min oscillation is an upstream disturbance to fuel gas.
- ❖ 16.7 min oscillation is round the reformer, PSA unit and off-gas recycle.
- ❖ The challenge is to find the root cause.



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Layout of the presentation

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- ❖ Plant-wide disturbances
- ❖ Diagnosis of plant wide disturbances
 - Focus on finding limit-cycle root causes;
 - Signature for non-linearity that grow stronger closer to the root cause.
 - Application to refinery example.

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Diagnosis - non-linear prediction

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- ❖ Non-linearity test using surrogate data (Theiler et al. 1992). Test the zero-order non-linear prediction error (Kantz and Schreiber, 1997). Tag with smallest error (highest predictability) is a candidate for the root cause.
- ❖ Surrogates have the same spectrum and auto-covariance as the time series being tested but are phase randomised.
- ❖ e.g. (Kaplan, <http://www.maclester.edu/~kaplan/software>)

$$z = \text{FFT}(\text{test data})$$

$$z = z * \exp(j\phi) \quad (\text{where } \phi \text{ is random, } 0-2\pi)$$

$$\text{surrogate data} = \text{inverse FFT}(z)$$

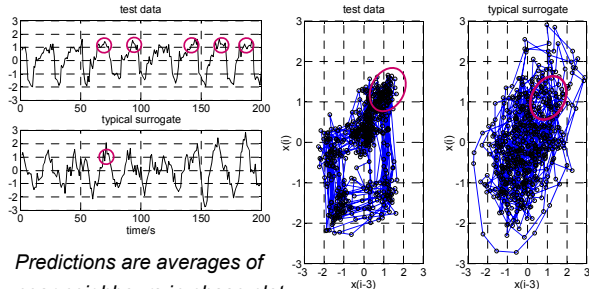
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Diagnosis - non-linear prediction 13

Time trends

e.g. 2-D phase plane plots



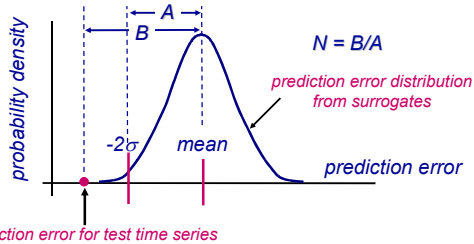
Predictions are averages of near neighbours in phase plot

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Diagnosis - non-linear prediction 14

- ❖ N is the negative offset from the mean in units of 2σ .
- ❖ $N > 1$ is interpreted as non-linearity in the time series.

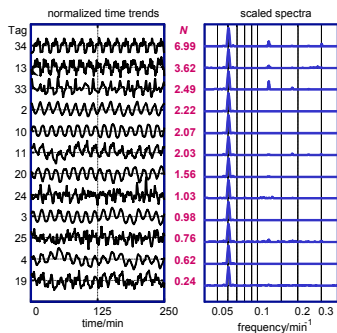


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Diagnosis - results 15

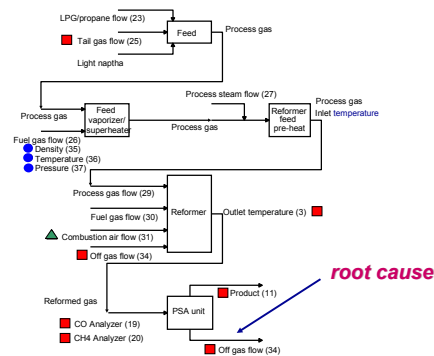
- ❖ Diagnosis of the 12 tags with the 17 min oscillation
- ❖ N suggests 34 as the root cause;
- ❖ 3, 4, 25 and 19 are furthest from the source, in terms of propagation.



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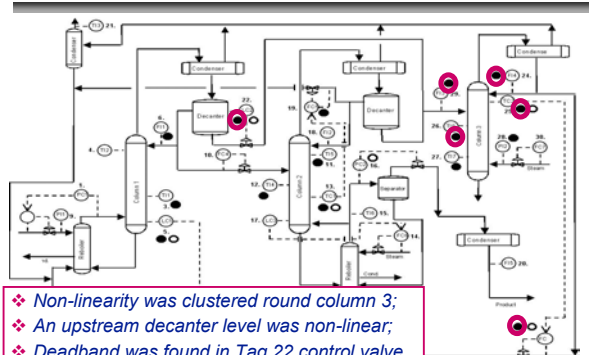
Diagnosis - results 16



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Diagnosis of valve stiction 17

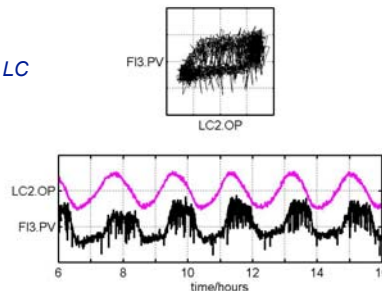


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Diagnosis of valve stiction 18

- ❖ Check valve using pv-op map;
- ❖ The decanter LC valve has a deadband.



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❖ Literature on signatures for valve stiction:

- Thornhill, N.F., and Hägglund, T., 1997, Detection and diagnosis of oscillation in control loops, *Control Engng. Prac.*, 5, 1343-1354.
- Ruel, M., and Gerry, J., 1998, Quebec quandary solved by Fourier transform, *Intech* (Aug), 53-55.
- Horch, A. (1999). A simple method for detection of stiction in control valves, *Control. Engng. Prac.*, 7, 1221-1231
- Horch, A. (1999). PhD Thesis, KTH, (The Camel index)
- Choudhury, M.D.A., Shah, S.L. and Thornhill, N.F., 2002, Diagnosis of poor control loop performance using higher order statistics, submitted to *Automatica*.

- ❖ Spectra offer a signature for classification of the nature of a time trend. Spectral PCA found clusters of similar spectra;
- ❖ Spectral PCA linked with the process schematic gave insights into plant-wide disturbances;
- ❖ A signature of non-linearity grew stronger closer to the source of disturbance;
- ❖ The signature identified the root cause;

- ❖ Chen, J., and Howell, J., 2001, A self-validating control system based approach to plant fault detection and diagnosis, *Comput. Chem. Engng.* 25, 337–358.
- ❖ Desborough, L. and Harris, T. J., 1993, Performance assessment measures for univariate feedforward/feedback control, *Can. J. Chem. Engng.*, 71, 605-616.
- ❖ Kantz, H. and Schreiber, T., 1997, *Nonlinear time series analysis*. Cambridge University Press, Cambridge, UK.
- ❖ Stanfelj, N., Marlin, TE., and Macgregor, JF., 1993, Monitoring and diagnosing process-control performance – the single-loop case, *Ind. Eng. Chem. Res.*, 32, 301-314.
- ❖ Theiler, J., Eubank, S., Longtin, A., Galdrikian, B., and Farmer, J.D., 1992, Testing for nonlinearity in time-series, the method of surrogate data. *Physica D*, 15, 77-94.
- ❖ Thornhill, N.F., Shah, S.L., and Huang, B., 2001, Detection of distributed oscillations and root-cause diagnosis, CHEMFAS4, Korea, 7-8 June.
- ❖ Thornhill, N.F., Shah, S.L., and Huang, B., 2000, Detection and diagnosis of unit-wide oscillations, PCI 2000, Glasgow, 26-28 July.
- ❖ Xia, C., and Howell, J., 2001, Controller Output Based, Single Number Statistics for Control Loop Status Monitoring, CHEMFAS4, Korea, 7-8 June.
- ❖ Xia, C., and Howell, J., 2001, Loop Status Statistics, CHEMFAS4, Korea, 7-8 June.