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Detection and quantification of enteric pathogens in aerosols near open wastewater canals in cities with poor sanitation

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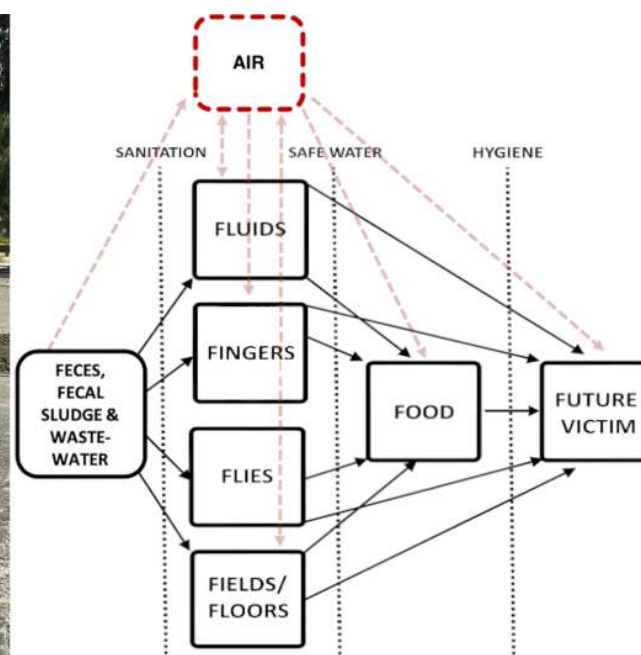
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SYNOPSIS

We detect and quantify molecular targets associated with important enteric pathogens in outdoor aerosols from cities with poor sanitation to assess the potential role of the aeromicrobiological pathway in enteric infection transmission in such settings.

ABSTRACT

Urban sanitation infrastructure is inadequate in many low-income countries, leading to the presence of highly concentrated, uncontained fecal waste streams in densely populated areas. Combined with mechanisms of aerosolization, airborne transport of enteric microbes and their genetic material is possible in such settings but remains poorly characterized. We detected and quantified enteric pathogen-associated gene targets in aerosol samples near open wastewater canals (OWCs) or impacted surface waters and control sites in La Paz, Bolivia; Kanpur, India; and Atlanta, USA via multiplex qPCR (37 targets) and ddPCR (13 targets). We detected a wide range enteric pathogen-specific targets, some not previously reported in extramural urban aerosols, with more frequent detections of all enteric targets at higher densities in La Paz and Kanpur near OWCs. We report density estimates ranging from non-detects to 4.7×10^2 gc per m^3_{air} for targets including ST-EPEC, *C. jejuni*, EIEC/*Shigella* spp., *Salmonella* spp., norovirus, and *Cryptosporidium* spp. An estimated 25%, 76%, and 0% of samples containing positive pathogen detects were accompanied by culturable *E. coli* in La Paz, Kanpur, and Atlanta, respectively, suggesting potential for viability of enteric microbes at the point of sampling. Airborne transmission of enteric pathogens merits further investigation in cities with poor sanitation.



INTRODUCTION

With few exceptions, large cities in low- and middle-income countries (LMICs) have inadequate sanitation infrastructure¹⁻³. Unsafe water and sanitation enable the transmission of enteric pathogens from infected individuals to susceptible hosts via direct contact or through the environment in multiple interconnected pathways^{4,5}. While a rich and rapidly growing body of literature describes microbial risks associated with direct or indirect exposure to fecal contamination in a wide variety of settings, relatively few studies have examined the potential for transmission of enteric pathogens via the aeromicrobiological pathway in high-risk settings. In cities in LMICs, the transport of enteric pathogens in aerosols may be possible due to a confluence of inadequate sanitation infrastructure resulting in concentrated flows of fecal wastes, a high disease burden resulting in high-risk waste containing human enteric pathogens, high population density, and environmental conditions that may be conducive to the aerosolization of concentrated fecal wastes. The aerosolization, transport, and deposition of microbial pathogens in cities lacking good sanitation could lead to exposure either through inhalation or through ingestion via other pathways (e.g., food, water, direct contact).

Aerosolization of biological material is known to be possible via several mechanisms including bubble bursting⁶⁻⁸, evaporation, raindrop impaction^{9,10}, and others¹¹⁻¹⁴. The creation and persistence of bioaerosols can be associated with a range of variables related to environmental conditions and the built environment including rain events¹⁵⁻¹⁸, meteorological conditions¹⁹⁻²¹, urban surface waters and water features²²⁻²⁴, wastewater treatment unit processes that include mechanical mechanisms^{25,26}, and other infrastructure. The mechanisms behind aerosolization and transport of microorganisms from liquid surfaces and the microbial effects on droplet lifetime have been well-characterized under controlled conditions^{6,7}. Laboratory studies have revealed that bubbles in contaminated water surfaces may experience conditions manipulated by

microorganisms, allowing for smaller, more numerous, and higher velocity droplets to transition from water to air⁸. Similarly, it has been shown that plant pathogens may be released from plant surfaces through raindrop impaction and such releases escape the laminar boundary layer of leaves, allowing for long-distance, airborne transport of some pathogens^{9,10}. Although the aerosolization and transport of plant pathogens and respiratory viruses (in indoor environments) have been studied, these phenomena and their implications are less well characterized for sanitation-related pathogens of public health importance.

Studies in high-risk, extramural (outdoor) settings in the USA and in other high-income countries have revealed that bioaerosols containing enteric microbes are common where concentrated fecal waste and one or more mechanisms for aerosolization exist. Enteric microbes in aerosols have been best characterized in ambient air surrounding wastewater treatment plants^{27–37} and in the context of land application of biosolids^{38–48}; several studies have examined bioaerosols surrounding composting facilities^{49,50}, meat markets⁵¹, urban areas^{52–54}, and concentrated animal feeding operations^{55–57}. The majority of such studies are based on detection of fecal indicator bacteria including members of the coliform group^{54,58}, partly because the presence of important enteric pathogens is unexpected outside high-burden settings. A small number of studies have reported relative abundance of potentially pathogenic genera in 16S sequencing studies^{53,59}. No previously reported studies have captured a broad range of enteric pathogens in bioaerosols from high-risk outdoor settings and no studies have conducted absolute quantification of enteric pathogens in bioaerosols in cities of LMICs, a necessary step in further assessment of the potential public health relevance of this poorly understood pathway of transmission. Based on previous literature on the presence of enteric microbes in aerosols from well-studied settings in wealthy countries, we hypothesized that aerosolized enteric pathogens could be present and quantifiable where urban sanitation is lacking. We assessed this hypothesis in two cities with poor sanitation and in one city with established and maintained wastewater infrastructure as a reference site.

METHODS

Sampling locations. We conducted sampling in Kanpur, India (May – July 2017); La Paz, Bolivia (March 2018, June 2018, March 2019, June and July 2019); and Atlanta, Georgia, USA (March 2018-January 2019). Kanpur has distinct dry (October to June) and rainy (July to September) seasons; we sampled from May to August to capture both periods. Similarly, we intentionally sampled in La Paz during both rainy (December to March) and dry (May to August) seasons.

Kanpur is densely populated (Nagar district: 4.6 million people, population density of 1500 persons/km²)⁶⁰ with a majority of untreated industrial, agricultural, and sewage waste conveyed via a system of uncovered canals (open wastewater canals, OWCs) discharging to the Ganges River^{61,62}. In La Paz, a network of rivers receive untreated sewage discharge, industry effluent, and stormwater runoff; most of the waterway flows in a series of engineered channels^{63,64}, also characterized as OWCs. The largest of these is the highly impacted Choqueyapu River, flowing through central La Paz (population: 900,000, 900 persons/km²)^{65,66} where it is joined by tangential tributaries including the Orkojahuira, Irpavi, and Achumani rivers. In past studies, this river system and its basin – eventually flowing into the Amazon – has been shown to contain a diverse and rich array of enteric microbes indicating high levels of fecal contamination^{63,66,67}. As a reference site, Atlanta is characterized by having an established and maintained subsurface wastewater

infrastructure, although urban surface waters in Atlanta's watershed experience elevated levels of fecal-associated pathogens⁶⁸⁻⁷⁰ due to nonpoint source pollution and combined sewer overflows^{71,72}. The city of Atlanta's population density is an estimated 1500 persons/km²⁷³, though sampling locations near impacted streams were in suburban locations at lower than mean population density.

We identified 18 sites in Kanpur, 37 sites in La Paz, and 8 sites in Atlanta meeting the following criteria: (1) proximity to sources of bioaerosols (<1 km) containing enteric microbes, OWCs in the cases of India and Bolivia and impacted surface waters in Atlanta; (2) public and ground level accessibility; and (3) unintrusive to members of the community during multi-hour sampling events. In Kanpur, we selected a control site greater than 1 km away from known OWCs and located on the Indian Institute of Technology (IIT)-Kanpur's campus. The campus is a controlled private area with limited access to non-students and non-faculty, is less densely populated, has underground piped sewerage, and has a much lower animal presence. In La Paz, we identified two control sites >1 km from known concentrated wastewaters or other contaminated sources: (1) Chacaltaya, a weather station and environmental observatory located at 5380 m in elevation and far from human habitation and (2) Pampalarama, an undisturbed site near the Choqueyapu headwaters. In Atlanta, we sampled at eight sites adjacent to impacted streams and rivers in Atlanta's watershed: the Chattahoochee River, Proctor Creek, Foe Killer Creek, and South Fork Peachtree Creek. Additionally, we sampled on the roof of our laboratory and at ground level on Georgia Tech's campus (located in Midtown Atlanta), >1 km from surface waters.

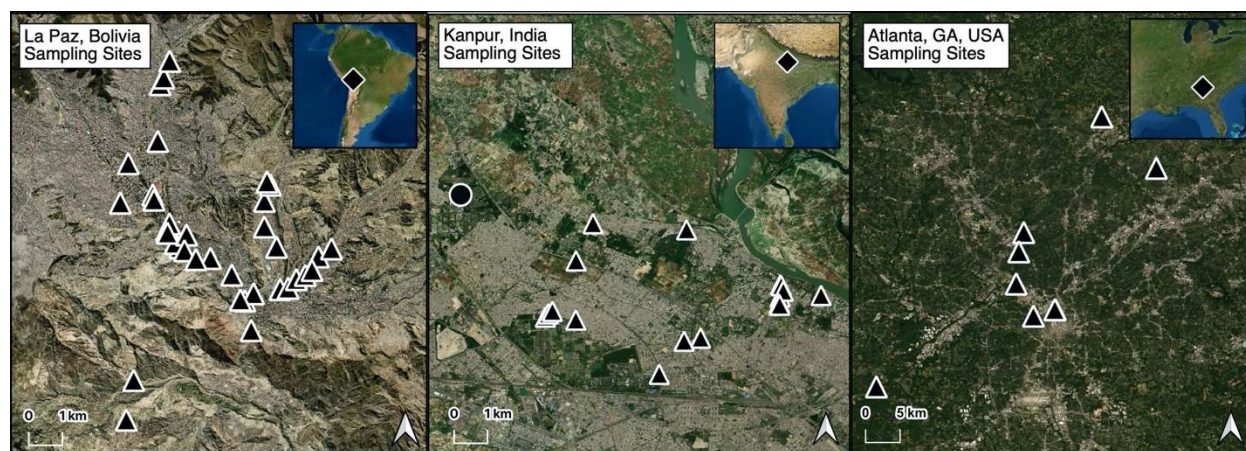


Figure 1. Aerosol sampling sites in La Paz, Bolivia; Kanpur, India; and Atlanta, USA. Sites located <1 km from OWCs are represented by triangles and sites located >1 km from OWCs are represented by circles. Control sites outside the city of La Paz not shown.

Bioaerosol sampling, extraction and analysis. We used a combination of high-volume filtration and aerosol impaction in sampling across sites. We used the ACD-200 BobCat Dry Filter Continuous Air Sampler (InnovaPrep, Drexel, MO, USA) with 52 mm electret filters and a flow rate of 200 L/min for downstream molecular analysis post extraction. We applied a single-use wet foam carbon compressed elution kit (InnovaPrep, Drexel, MO, USA) to flush the filter following the manufacturer's instructions, yielding approximately 6 mL of liquid eluate⁷⁴. We treated the eluate with guanidine thiocyanate-based universal extraction (UNEX; Microbiologics, St. Cloud, MN, USA) lysis buffer in a 1:1 ratio, storing the

mix in bead tubes for sample transport to the laboratory. As a process control prior to extraction, we spiked the mix with 5uL of Inforce 3 Bovine Vaccine (Zoetis, Parsippany, NJ) containing bovine respiratory syncytial virus (BRSV) and bovine herpes virus (BoHV). After DNA and RNA lysis, purification, and elution of nucleic acids following the manufacturer's protocol⁷⁵, we stored extracted nucleic acids in 50-75 μ L of 10 mM Tris-1 mM EDTA (pH 8) at -80°C until further analysis. In total, we collected 75 high-volume air samples from La Paz (71 collected near OWCs and 4 collected from reference sites >1 km from OWCs), 53 high-volume air samples from Kanpur (45 collected near OWCs and 8 collected from one reference site >1 km from OWCs), and 15 high-volume air samples in Atlanta.

For all high-volume air samples in Kanpur (n=53), we applied 1 mL of BobCat eluate to Compact Dry-EC (CD-EC) plates (Hardy Diagnostics, Santa Maria, CA, USA)⁷⁶ for culture of total coliform and *E. coli*. Concurrent with high-volume air sampling in La Paz (n=31) and in Atlanta (n=15), we simultaneously used the Six-Stage Viable Andersen Cascade Impactor (ACI) with plates in six partitioned chambers at a flow rate of approximately 28.5 L/min for 1 hour to collect size-resolved bioaerosols in the size range of 0.65 to >7 μ m (ACI, Thermo ScientificTM, USA)⁷⁷. We used AquaTest medium (Sisco Research Laboratories PVT. LTD., India) in the ACI to detect *E. coli*⁷⁸⁻⁸⁰. All culture samples were incubated at 37 °C and counted per the manufacturer's instructions after 18-24 hours for colony forming units (CFUs).

Enteric pathogen screening: multiplex qPCR. As a first step in screening enteric targets, we analyzed high-volume aerosol samples using a custom multiplex qPCR-based TaqMan Array Card (TAC) for the presence or absence of selected targets including enteric viruses (pan-adenovirus, pan-astrovirus, pan-enterovirus, norovirus GI/II, rotavirus A-C, and sapovirus I/II/IV/V), bacteria (*Aeromonas* spp., *Campylobacter coli*, *Clostridium difficile*, numerous genes of *Escherichia coli* (SI Table 1), *Enterococcus faecalis*, *Enterococcus faecium*, *Mycobacterium tuberculosis*, *Salmonella* spp., Shiga toxins, *Vibrio cholerae*, *Yersinia* spp.), protozoa (*Cryptosporidium parvum*, *Entamoeba histolytica*, *Giardia duodenalis*), and helminths (*Trichuris trichiura*, *Ascaris lumbricoides*) as well as multiple internal controls⁸¹. We summarize methods previously described below⁸² and further detail them in Supporting Information (SI). Each TAC included eight ports: a no template control (NTC) in the first port, six samples in ports two through 7 and a positive control (PC) in port eight. We used a total reaction mixture of 100 μ L distributed across each row that included 50 uL of template DNA and 50 uL of qScript XLT 1-step RT-qPCR ToughMix that includes (Quantabio, MA, USA). For the NTC we used molecular water extracted using the same protocol as the samples. For the PC template, we used a single-use aliquot mixture of nucleic acid for each target (gene targets inserted into plasmids) (IDT, Coralville, IA) which were developed using methods previously described⁸³. Amplification under Ct=40 was counted as a positive detection given the following criteria were met: (1) there was no amplification in the NTC row except for the internal positive control, (2) the internal positive control column amplified for each row, and (3) there is amplification in the PC row for all targets in all wells. The threshold of amplification was set for each individual assay at the point of inflection and we interpreted samples as positive if there was a clear distinction between the positive and negative amplification curves. A complete description of methods, descriptive statistics, targets, specific classifications of strains and types included in these assays, and their pathogen relevance are detailed in SI Text and SI Table 1.

Quantitative molecular assays: ddPCR. For density estimation, we conducted absolute quantification of 12 enteric pathogen targets in high-volume aerosol samples via Droplet Digital PCR (ddPCR; QX200 Droplet Digital PCR System, Bio-Rad, Hercules, CA, USA). Targets included nucleic acids associated with selected viruses (adenovirus A-F, pan-enterovirus, norovirus GI, and norovirus GII), bacteria (*Campylobacter jejuni*, *Shigella*/EIEC, ST-EPEC, and two targets for *Salmonella* spp.), and protozoa (*Cryptosporidium* spp. and *Giardia duodenalis*) and are detailed in SI Table 2. Targets represent a subset from TAC chosen based on public health relevance in the context of the global enteric disease burden⁸⁴. Although by necessity a sub-set of globally important diarrheagenic pathogens, it includes those responsible for the highest burdens of morbidity and mortality resulting from acute diarrhea⁸⁵ and that have been implicated in large-scale studies of diarrheal etiology^{84,86-89}.

Before ddPCR for RNA targets (detailed in SI Table 2), we performed reverse transcription (RT) of RNA to cDNA with a High Capacity cDNA Reverse Transcription Kit with RNase Inhibitor (ThermoFisher Scientific, Waltham, MA). Following the manufacturer's instructions, we added 10 μ L of nucleic acid extract to 10 μ L of RT master mix and stored the resulting cDNA at -80 °C until further molecular analysis.

During ddPCR, the PCR reaction is partitioned into thousands of individual reaction partitions before amplification and all droplets are analyzed at end-point to enable absolute quantification of target DNA. Unlike qPCR, no standard curve is necessary as targets are quantitatively estimated using a most-probable number technique based on the Poisson distribution and the observed proportion of droplets positive for the target of interest⁹⁰. For probe-based assays, we set reaction mixes to a total volume of 20 μ L including 0.5 μ L each of forward and reverse primer for a final concentration of 900 nM; 0.05 μ L of probe for a final concentration of 250 nM; 10 μ L of 2X Supermix for Probes (Bio-Rad, Hercules, CA, USA), 5 μ L of molecular grade water, and 4 μ L of extract. The only assay not using a probe-based assay was *E. coli* (ybbW) for which we used EvaGreen chemistry in a total reaction volume of 20 μ L that included 1 μ L each of forward and reverse primers for final concentrations of 250 nM; 10 μ L of 2X EvaGreen Supermix (Bio-Rad, Hercules, CA, USA); 4 μ L of molecular grade water, and 4 μ L of extract (Supporting Information). We performed each ddPCR experiment using the Bio-Rad QX200 Droplet Digital PCR System and C1000 Touch Thermal Cycler (Bio-Rad, Hercules, CA, USA). On each ddPCR plate, we included two positive controls, two sample blank controls (extracted elution buffer) and two no-template controls using molecular-grade water to control for contamination via human or other error and to detect false positives. We experimentally determined 95% limits of detection (LODs) for each assay using a ten-replicate serial dilution series of positive control material and a probit analysis outlined by Stokdyk et. al.^{91,92} The 95% LOD represents the concentration for which the probability of a single ddPCR reaction being positive is 95%. Positive control sequences, primers, probes, and experimentally determined 95% LODs are detailed for each assay in Table S2.

In addition to stratifying by sampling city and season (for La Paz and Kanpur), we further disaggregated data by distance from nearby putative sources. We collected GPS coordinates for each sample site and estimated the linear distance from the nearest OWC or impacted surface water. We use *a priori*-defined categories of 0-10 m and more than 10 m from OWCs (or impacted surface waters in Atlanta) and assessed the number of unique target detections per total assays we ran on each sample at each distance category.

We used a Wilcoxon rank sum test to evaluate whether molecular detections decreased as a function of increasing distance from OWCs. All analyses were completed in RStudio version 1.1.383 and significance was based on 95% confidence ($\alpha=0.05$).

RESULTS

Culturable fecal indicator bacteria in aerosols. Of the 45 air samples we collected in Kanpur near OWCs (<1 km) and analyzed by culture, 61% had detectable *E. coli* with an average concentration and 95% CI of 1.5 ± 1.3 CFU/m³_{air} across positive detections. Across all 45 samples including non-detects, the average concentration of *E. coli* was 0.92 ± 0.41 CFU/m³_{air}. All control samples taken >1 km away from observed fecal contamination were negative for culturable *E. coli*. In La Paz, adjacent to the Choqueyapu River and its adjoining tributaries, of the 28 air samples in close proximity to uncontained waste (<1 km) and analyzed for viable coliform, 52% were positive for *E. coli* with an average concentration and 95% CI of 11 ± 3.8 CFU/m³_{air}. Across all 28 samples including non-detects, the average concentration of *E. coli* was 5.3 ± 2.1 CFU/m³_{air}. The size distribution capabilities of the ACI revealed that 27% of culturable *E. coli* were under 2.1 μm , the size cutoff for fine aerosol particles⁹³, indicating potential transport of bioaerosols over a period of hours, with a settling velocity in still air of 0.5 m/hour for a typical particle with 2 μm diameter⁹⁴, indicating high transport potential in air near OWCs (Figure 2).

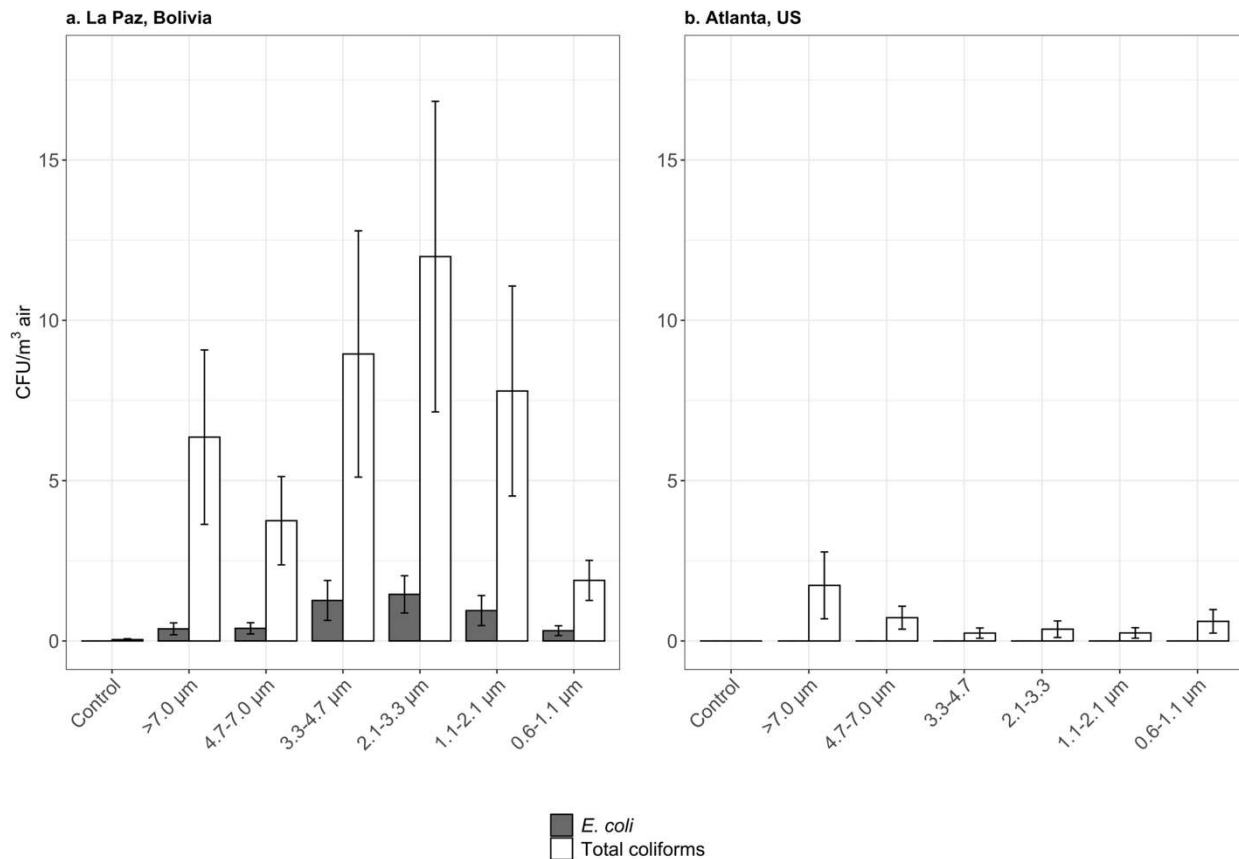


Figure 2. Size distribution of culturable *E. coli* and total coliform in La Paz and Atlanta. The mean standard error bars show 95% confidence intervals for densities in each size range. We did not collect ACI samples in Kanpur, so size-resolved *E. coli* detections by culture are not available for that site.

Screening of enteric microbes in aerosols. We analyzed a subset of 40 high-volume samples from Kanpur, 23 high-volume samples from La Paz, and 13 high-volume samples from Atlanta for the presence and absence of 42 molecular targets including those specific to an *a priori*-defined list of globally important enteric viruses, bacteria, and protozoa. In La Paz, we detected genes associated with astrovirus and *Aeromonas* spp. in one of the three control samples. In Kanpur, we detected one each of the following molecular targets in control samples (n=8): adenovirus, non-typable rotavirus, *Aeromonas* spp., *E. coli/Shigella* spp. (ipaH gene), and *Yersinia* spp. At the control site in Atlanta (n=6), we detected *Aeromonas* spp. in one sample.

Positive detections for *a priori*-defined enteric pathogen-associated gene targets were enriched in samples taken less than 1 km from known fecal waste flows across all cities. In Kanpur, 53% of samples (n=13) were positive for at least one target, 28% (n=10) were positive for at least two targets, and 3% (n=4) were positive for at least five targets. Among these positive detections were genes associated with two protozoan parasites (*Cryptosporidium parvum* and *Giardia duodenalis*), four viruses (pan-astrovirus, pan-enterovirus, norovirus GII, and rotavirus), and five bacteria (*Aeromonas* spp., *Campylobacter coli*, pathogenic *E. coli* (aatA gene), *Enterococcus faecium*, and *Vibrio cholerae*). In Bolivia, 76% of samples (n=16) were positive for at least one target, 62% (n=13) were positive for at least two targets, and 19% (n=4) were positive for

at least five targets. Among these positive detections were five viral targets (adenovirus 40/41, pan-adenovirus, pan-astrovirus, pan-enterovirus, and norovirus GII), and nine bacterial targets (*Aeromonas* spp., EAEC, ST-EPEC, LT-EPEC, EIEC/*Shigella* spp., *Enterococcus faecium*, *Salmonella* spp., and *Yersinia* spp.). In Atlanta, 6 of 13 samples were positive for one target (46%). Targets detected included one virus (pan-adenovirus) and two bacteria (*Aeromonas* spp. and *Campylobacter coli*). There were two Atlanta samples adjacent to the Chattahoochee River positive for adenovirus nucleic acid and one adjacent to Proctor Creek with *Campylobacter coli* nucleic acid, both above their respective 95% LODs (SI Table 2). The Chattahoochee commonly experiences levels of fecal indicator bacteria beyond EPA-recommended limits for recreational use, above 235 CFU per 100 mL^{70,95,96}. To compare across cities, we normalized the collection volume to 2000 m³ and calculated the expected number of positives based on measured values. We also highlight the fraction of samples with positive detects that also tested positive for viable *E. coli* through culture (Figure 3). We detected the highest pathogen diversity in La Paz, with genes specific to two enteric protozoa, nine bacteria, and six viruses among pre-defined targets. In La Paz, we detected multiple genes associated with a diverse array of pathogenic *E. coli* including EIEC/*Shigella*, LT and ST-EPEC, and two gene targets for EAEC. In Kanpur, we detected genes associated with two protozoa, five bacteria, and four viruses among pre-defined targets. For all targets, at least one of the positive samples also had culturable *E. coli*. In Atlanta, we detected gene targets associated with two bacteria and one virus with no co-detection of culturable *E. coli*.

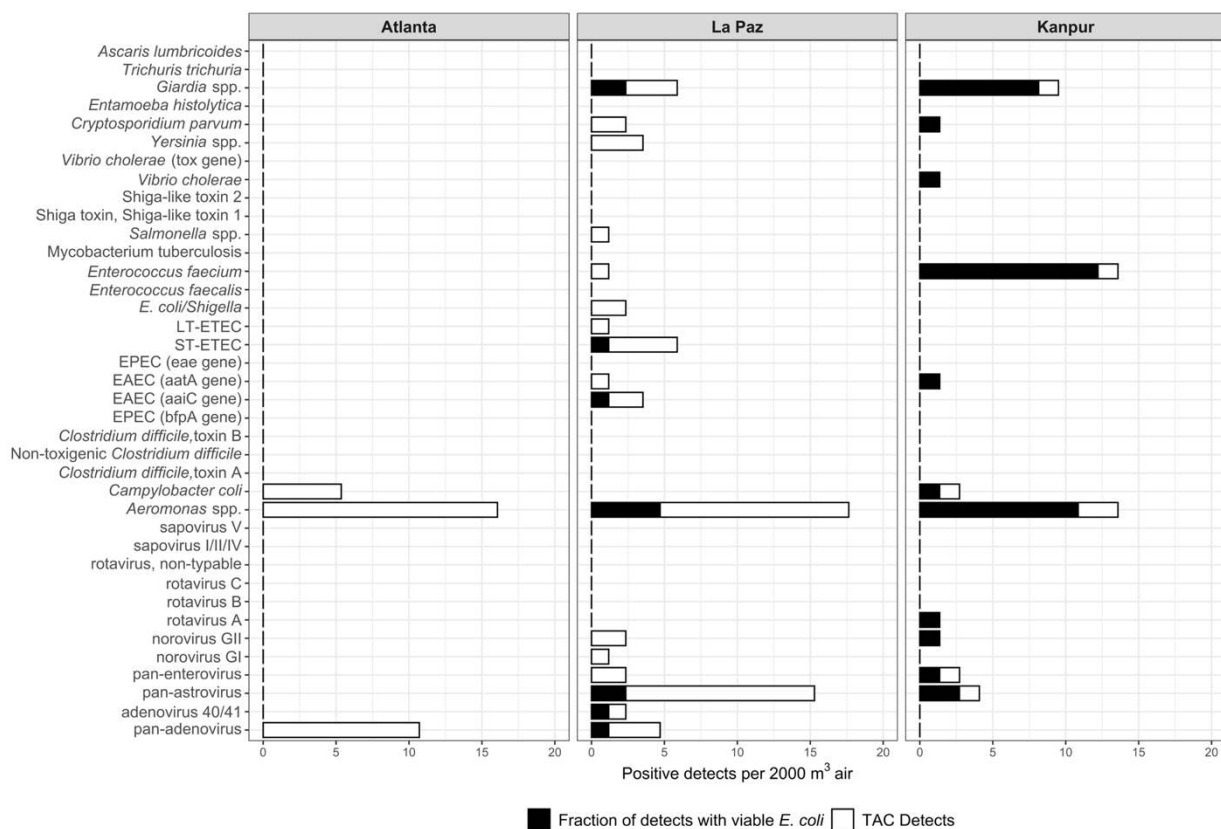


Figure 3. Positive detects via TAC per 2000 m³ air and the fraction of samples positive for culturable *E. coli*.

Quantitative estimation of enteric microbes in aerosols. We analyzed high-volume samples from the three sites for quantitative estimation of select, pre-defined gene targets associated with globally important enteric pathogens. We censored raw data using the 95% LOD as a conservative threshold for positivity. In Atlanta, we quantified *Shigella* spp./EIEC (3 detections). In La Paz, we quantified ST-EPEC (2 detections), *Shigella* spp./EIEC (16 detections), *Campylobacter jejuni* (2 detections), *Salmonella* spp. ttr gene (3 detections), pan-enterovirus (3 detections), adenovirus A-F (1 detection), norovirus GI (3 detections), norovirus GII (3 detections), and *Cryptosporidium* spp. (3 detections). In Kanpur, we quantified *Campylobacter jejuni* (1 detection in 53), norovirus GI (33 detections), norovirus GII (1 detection), MS2, (1 detection), and *Cryptosporidium* spp. (3 detections). Among the detections above the 95% LOD threshold, target densities ranged from 1.5×10^1 gc per m^3_{air} to 4.7×10^2 gc per m^3_{air} (Figure 4).

We stratified data by distance from OWCs (for Kanpur and La Paz) or impacted urban water sources (Atlanta) (Figure 5). The number of unique targets detected decreased as distance from OWCs increased in La Paz and Kanpur. We observed a downward trend in density for one target in La Paz (EIEC/*Shigella* spp.) and Kanpur (norovirus GI). Overall, we observed a clear decrease ($p=0.005$) in the probability of detecting any positive molecular pathogen target (including ddPCR and qPCR detections above LOD) between samples collected within 10 m and samples collected greater than 10 m from OWCs in La Paz and Kanpur and impacted urban surface waters in Atlanta. We also observed a decrease in the probability of detecting bacterial, viral, and protozoan molecular pathogen targets ($p=0.006$, $p=0.02$, and $p=0.009$ respectively) between samples collected within 10 m and samples collected greater than 10 m from potential sources. We observed no differences in probability of detection across all targets by seasonality (rainy/dry) in La Paz and Kanpur.

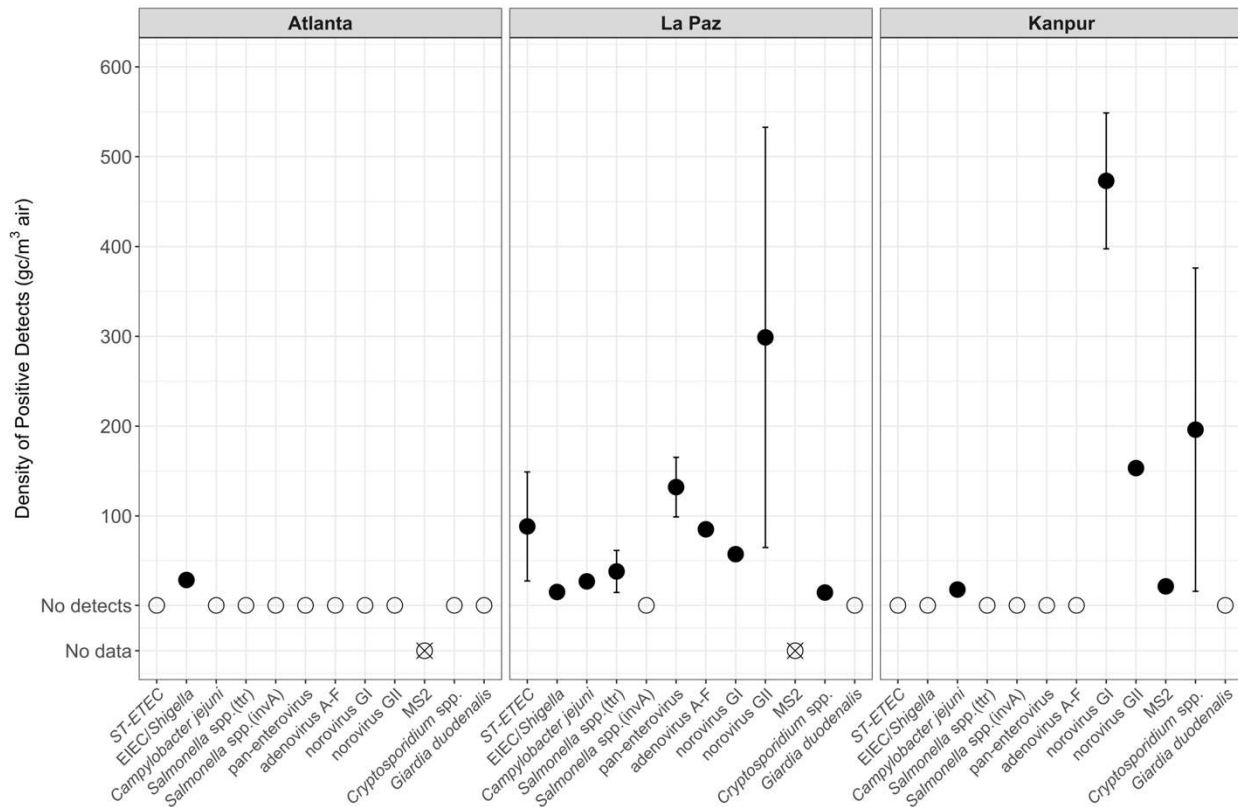


Figure 4. Mean densities of gene targets associated with enteric microbes with mean standard error bars as observed among the distribution of positive detects in gene copies per cubic meter of air. Densities were censored according to the assay-specific 95% LOD.

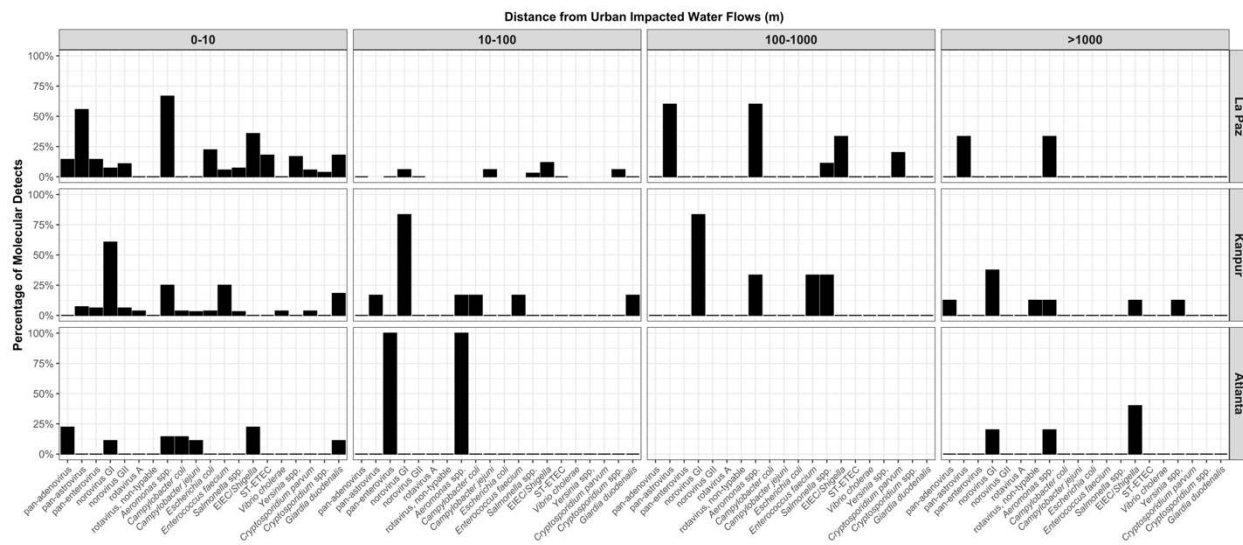


Figure 5. Percentage of positive detects (via TAC or ddPCR) in sample sets for each site versus straight-line distance from impacted water flows, where densities were censored according to the assay-specific 95% LOD.

DISCUSSION

Open sewers conveying domestic, institutional, commercial, and industrial effluent are common in cities in LMICs. They may also serve important drainage functions including flooding control. Sewers are often open to the atmosphere because solid waste can clog closed drains, and a lack of adequate solid waste management in some cities makes OWCs a rational approach to removing concentrated waste away from human habitation. They may pose risks, however, both to downstream communities and also people in close proximity to open urban wastewater flows.

Our results suggest that in cities of LMICs with poor sanitation infrastructure and the presence of concentrated, uncontained fecal waste streams in open sewers, genes specific to enteric microbes, many pathogenic, are present in aerosols and may disseminate in the environment. Overall, detection of fecal microbes in aerosols was higher than we expected at each of our study sites, suggesting this phenomenon may be common in similar settings. The diversity and density of enteric microbes was enriched in La Paz and Kanpur compared with reference sampling in Atlanta and was greater near open wastewater canals and impacted surface waters. The co-detection of culturable *E. coli* in a high percentage of samples in La Paz and in Kanpur suggests, indirectly, that some pathogens detected could have been viable at the point of sampling. We observed no culturable *E. coli* in aerosols sampling in Atlanta. The health risk implications of the presence of aerosolized enteric microbes in these settings are unknown but merit further study.

Our study included a range of pathogen targets of global public health relevance, many of which have not been previously detected in urban outdoor aerosols where infection risk is a clear possibility due to the proximity of concentrated waste and high population density. In La Paz, we quantified heat-stable enterotoxigenic *E. coli* (ST-EPEC) in two aerosol samples at densities of 28 gc/m³_{air} and 150 gc/m³_{air}. EPEC was responsible for 50,000 deaths worldwide in 2016⁹⁷ but has not been previously quantified in extramural aerosols in cities where transmission is endemic. Also in La Paz, we report the first quantitative estimate of enteroinvasive *E. coli* or *Shigella* (ipaH gene, n=16) in a similar setting at densities ranging from 1.8 gc/m³_{air} to 53 gc/m³_{air}. We detected and quantified other enteric bacteria not previously observed in extramural urban aerosols such as *Campylobacter coli* and *Salmonella* spp., though they have been previously quantified in air near concentrated animal feeding operations^{98,99}.

We observed comparable prevalence of *Aeromonas* spp. associated nucleic acids in Atlanta, La Paz, and Kanpur with 8, 9, and 7 positive detections per 1000 m³_{air} at each site respectively. *Aeromonas* spp. have been consistently detected in environmental media in a variety of settings¹¹⁰. Although some aeromonads are important human pathogens, in 2016 *Aeromonas* spp. were responsible for only 1% of total diarrheal deaths and only 19 of 36 subtypes are known to be pathogenic.^{97,111}

Among viral detects in Kanpur and in La Paz, norovirus GI and GII may be most risk-relevant. We detected norovirus GI and GII at the highest average density across all targets in Kanpur aerosol samples (320 gc/m³_{air} and 150 gc/m³_{air} respectively). In La Paz, we detected norovirus GII at the highest average density across all targets (13 gc/m³_{air}) and norovirus GI at a mean of 2.4 gc/m³_{air}. Norovirus is relatively resistant to inactivation in environmental media¹⁰⁰, may persist on environmental surfaces for up to two weeks¹⁰¹, has been shown to survive in aerosols¹⁰²⁻¹⁰⁶, and has an estimated relatively low median infectious dose

between 18 and 10^3 virus particles¹⁰⁷. It is among the most widely prevalent viral enteric pathogens, globally, with an estimated 33,000 cases and 20,000 deaths per year^{97,108,109}.

We are aware of only one previous study reporting detection of enteric protozoan parasites in air samples, from rural Mexico, by microscopy; the study reported 8 of 12 samples positive for *Cryptosporidium* and 10 of 12 samples positive for *Giardia*, possibly via aerosolization of soil¹¹². By comparison we detected *Giardia duodenalis* via qPCR in 22% of samples in Bolivia and 18% of samples in India, with 3 and 5 positive detections per 1000 m³_{air} at each site respectively. *Cryptosporidium* was present in 9% and 3% of samples in Bolivia and India respectively with 1 positive detection per 1000 m³_{air} at both sites. We quantified *Cryptosporidium* spp. in aerosol samples via ddPCR in La Paz (n=3) and in India (n=2) at average densities ranging from 9.3 gc/m³_{air} to 560 gc/m³_{air}, the second time *Cryptosporidium* has been reported in an aerosol and the first quantitative estimate.

A small number of previous studies have identified the likely presence of aerosolized fecal material and potential for pathogen transmission in bioaerosols in similar settings. Our previous studies in La Paz and Kanpur reported detection of culturable Enterobacteriaceae and *E. coli* in ambient urban air that was hypothesized to also originate from OWCs^{113–115}. A study in Malawi measured the presence of enteric microbes in ambient air (enterotoxigenic *E. coli*) before, during, and after pit latrine emptying, confirming that these microbes increased in nearby aerosols during pit latrine emptying events⁵⁸. In 22 samples of outdoor aerosols collected in Mumbai, 28 species of culturable bacteria were identified including several opportunistic pathogens: *Staphylococcus* spp., *Serratia plymuthica*, *Serratia haemolyticus*, and *Enterobacter aerogenes*⁵⁴. Bacterial bioaerosols including opportunistic pathogens have similarly been identified using 16S rDNA sequencing near composting facilities in India⁵⁰. *Staphylococcus aureus* and other opportunistic pathogens have been identified in urban environments in the Philippines⁵⁹. A larger study from Beijing, China identified many of the same genera in addition to 16 species of *Pseudomonas* (some potential opportunistic pathogens) and the possibly fecal-associated genera *Enterococcus*, *Escherichia*, *Vibrio*, and *Yersinia*⁵³. Our results further support previous limited evidence that fecal microbes can be present in urban aerosols. Our quantitative estimates of specific pathogens are an initial step toward further work in understanding the implications of the presence of these microbes in air, including fate and transport modeling and risk assessment.

The concurrent detection of culturable *E. coli* in many samples from La Paz and Kanpur suggests that some of these important pathogenic bacteria (including pathogenic *E. coli*), viruses, and protozoa we detected may have been viable at the point of sampling. As a commonly used fecal indicator bacterium, *E. coli* suggests – though does not conclusively demonstrate – the presence of aerosolized fecal material in samples¹¹⁶. Culturable *E. coli* also may indicate recently aerosolized material, since vegetative bacteria are not persistent in the aerosolized state and may be quickly inactivated if ideal conditions are not met.¹⁰² Other sanitation-related pathogens, such as those showing greater persistence in the environment (e.g., *Cryptosporidium* oocysts) may persist for longer periods in environmental media¹¹⁷ than co-occurring vegetative bacteria¹¹⁸, suggesting that culturable *E. coli* may conservatively represent the potential viability of other enteric pathogens. More work on the comparative survival of pathogens in extramural aerosols is

needed, however. Such studies are challenging to conduct, because the methods for capturing aerosolized enteric pathogens at low densities are not conducive to preserving viability^{119–121}.

There is some epidemiological evidence that proximity to concentrated fecal waste streams in urban areas can be related to enteric infection risk. Contreras *et al.*¹²², assessing the spatial relationship between household proximity to fecal contaminated surface water canals used for crop irrigation and diarrheal disease in children in multiple municipalities in the Mezquital Valley in Mexico, determined that compared to children under 5 living within 10 m from a canal, children living 100 m from a canal had 45% lower odds of diarrhea and children living 1000 m from a canal had 70% lower odds of diarrhea. They further estimated that 24% of all diarrheal cases in the study and 50% of all cases within 100 m from a canal were attributable to canal exposure. The authors posited aerosolization of pathogens from canals as a potential pathway of exposure in this setting.

This study had a number of important limitations that deserve consideration. First, the viability of enteric pathogens detected by molecular methods in aerosols cannot be assumed, even with co-culture of fecal indicator bacteria. Although *E. coli* viability in samples may indirectly indicate potential viability of other microbes present in bioaerosols, and vegetative bacteria may represent a conservative proxy since they have been shown to survive relatively poorly in aerosols^{117,123}, we did not measure viability of other microbes directly. The methods we used for high-volume samples present high pressure and desiccating conditions that may reduce viability of microbes captured on the filter¹²⁴, and may also have resulted in an underestimation of culturable total coliforms and *E. coli* in Kanpur; in contrast, the ACI used in Bolivia and Atlanta is suitable for coliform survival and growth since bioaerosols are impacted at a lower flow rate onto nutrient-rich, semi-solid agar media. High-volume aerosol sampling generally presents conditions that are known to limit recovery of viable microbes¹²⁵. Capture methods that preserve viability, such as impingement⁵⁶ and water-vapor condensation¹²⁶ typically operate at relatively low flow rates (8 - 13 liters per minute), requiring extended periods to capture targets present at low densities. We further acknowledge that pathogen-specific nucleic acids in aerosols could be attributable to either viable or inactivated microbes, or may exist as extracellular genetic material in the environment. Second, while our data suggest concentrated fecal waste streams as potential sources of aerosolized sanitation-related microbes in nearby air samples, and we observed a trend of decreasing density with increasing distance from fecal waste streams, we cannot definitively conclude that enteric microbes in aerosols derive from these sources. Cities with poor sanitation infrastructure typically have many contaminated sites, including OWCs and drains but also latrine pits^{58,127}, composting sites⁵⁰, or solid waste^{128,129}. Animals and animal waste may be common and could be aerosolized. Further work is needed on methods for source-tracking of bioaerosols, including via sequencing approaches. Third, our molecular results are likely conservative representations of target densities in ambient air of the sampling locations based on laboratory experiments with the high-volume sampler that reveal recovery efficiencies of 1 μm particles ranging from 73% in conditions most similar to those of our study¹²⁵ to 101% under controlled laboratory conditions¹³⁰. Finally, this study included a limited sample size across the wide range of enteric pathogen targets we sought, constraining statistical power for assessment of risk factors for pathogen detection across sites. Further studies of specific pathogen transport under specific controlled conditions are needed to fully describe mechanisms of aerosolization, transport, deposition, viability and persistence in aerosols, and risk of exposure to humans.

We highlight aerosol transport as playing a potentially important and understudied role in the spread of microbes originating in fecal wastes in outdoor environments with uncertain implications for human exposure, infection, and disease transmission. Fecal-oral transmission of enteric pathogens is often summarized in the so-called F-diagram, describing key media that serve as direct and indirect sources of enteric pathogen spread: water, soil, hands, fomites and surfaces, food, and flies^{5,131,132}. Aerosols should be added to this conceptual framework. Aerosols may allow for transport of enteric pathogens between and among media, contributing to the spread of fecal contamination and associated microbes, resulting in potential for greater exposure via contact, inhalation, or ingestion either directly or indirectly following deposition on a surface, food, water or other subsequent exposure pathway^{24,122}. In many settings, multiple relevant pathways of exposure may exist¹³³, and aerosols may be one more whose risk relevance remains uncharacterized but cannot be excluded from consideration. A complete accounting of enteric pathogen transport is required to design intervention strategies with the potential to control exposures. In high-burden settings such as rapidly densifying cities in LMICs with poor sanitation, enteric pathogen transport via aerosols near concentrated fecal waste flows merits further investigation. Direct measures of pathogen viability and persistence in aerosols, exposure modeling, quantitative microbial risk assessment, and epidemiological studies would be useful next steps in further characterizing the public health relevance of this phenomenon.

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