

Development and Implantation of a Minimally-Invasive Wireless Sub-Retinal Neurostimulator

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Abstract—A wirelessly-operated, minimally invasive retinal prosthesis was developed for pre-clinical chronic implantation studies in Yucatan mini-pig models. The implant conforms to the outer wall of the eye and drives a microfabricated polyimide stimulating electrode array with sputtered iridium oxide electrodes. This array is implanted in the sub-retinal space using a specially designed *ab externo* surgical technique that fixes the bulk of the prosthesis to the outer surface of the sclera. The implanted device is fabricated on a host polyimide flexible circuit. It consists of a 15-channel stimulator chip, secondary power and data receiving coils, and discrete power supply components. The completed device is encapsulated in poly(dimethylsiloxane) except for the reference/counter electrode and the thin electrode array. *In vitro* testing was performed to verify the performance of the system in biological saline using a custom RF transmitter circuit and primary coils. Stimulation patterns as well as pulse strength, duration and frequency were programmed wirelessly using custom software and a graphical user interface. Wireless operation of the retinal implant has been verified both *in vitro* and *in vivo* in three pigs for >3 months, the latter by measuring stimulus artifacts on the eye surface using contact lens electrodes.

Index Terms—Neural prosthesis, Retinal implant, Electrode array, Sub-retinal, Stimulation, Retinal prosthesis

I. INTRODUCTION

Retinal prostheses are actively being developed by a number of groups worldwide [1] – [37]. These devices have been designed to restore lost visual function due to degenerative retinal diseases such as retinitis pigmentosa (RP) and age-related macular degeneration (AMD). These conditions cause a gradual loss of photoreceptor cells (which can cause blindness), yet a substantial fraction of the neural pathways from the retina to the visual cortex remain functional despite the remodeling of the retinal neuronal architecture which results [38] – [48]. Existing treatments can perhaps slow the progress of these diseases, and no cure is available, but recent use of molecular genetic strategies has provided some restoration of vision in dogs and humans with RP [49]. There are approximately 1,700,000 affected individuals worldwide. AMD, meanwhile, is the leading cause of blindness in the developed world, with roughly 2 million affected patients in the United States alone. This number is expected to increase by 50% by the year 2020, as the population ages [50] – [51].

Focal electrical stimulation of the remaining retinal ganglion cells in degenerated retinae can yield visual percepts that correlate with the strength and location of the stimuli [37]; [52] – [58]. It was also observed that threshold currents sufficient to elicit phosphenes were higher in subjects with retinal degenerations compared with normal subjects [33]. This collective body of research has made it clear that although severely impaired patients can see phosphenes upon stimulation even after years of blindness, they are fairly crude. There is a need to learn more effective stimulation strategies to improve the quality of artificial vision, hence a chronically implantable device is required. As such, several research groups have endeavored to create the materials and methods to perform long-term implantations of retinal prostheses (e.g., [1,2,5,6,8,12]). In addition, regulatory bodies require substantial pre-clinical evaluation prior to obtaining permission to perform human clinical trials. This paper describes our own initial prosthetic design and the surgical procedures that we used to perform the necessary chronic animal implantations of our device.

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Device Design Evolution

While early work in the vision restoration field focused on a cortical visual prosthesis [59] – [61], and efforts toward both this end and optic nerve stimulators continue to this day [62] – [72], the majority of the groups currently working in visual prosthetics are concentrating either on epi-retinal (e.g., [4,10]) or sub-retinal (e.g., [7,16]) electrical stimulation, or less direct stimulation of the retina using a supra-choroidal or trans-scleral approach (e.g., [2,5,12]). Our team’s approach for a number of years focused on epi-retinal prosthesis design, culminating in several acute human surgical trials using comparable flexible, polyimide-based stimulating electrode arrays to those presented here [33,34]. A number of practical factors, however, led to a decision to take an *ab externo*, sub-retinal surgical approach to chronic implantation of a wirelessly driven microstimulator (see Figure 1). These factors are briefly summarized below.

TABLE I
 COMPARISON BETWEEN SUB- AND EPI-RETINAL
 VISUAL PROSTHESIS CHARACTERISTICS

Sub-Retinal Visual Prosthesis Characteristics	Epi-Retinal Visual Prosthesis Characteristics
<i>Ab externo</i> surgical approach is minimally invasive	Requires chronic <i>pars plana</i> micro-cable entry into eye and/or intra-ocular electronic components
Heat-dissipating implanted electronic components can be mounted outside the sclera	Heat dissipation by intra-ocular prosthesis components may cause elevated temperature of the retina
Electrical stimulation is introduced closer to former photoreceptor sites and pre-synaptic cells	Electrical stimulation is introduced very close to remaining retinal ganglion cells
Uses specialized surgical tools and techniques that can be mastered by retinal surgeons with practice	Uses common vitreo-retinal surgical techniques, e.g. retinal tacks
Bulk of prosthesis is located against fatty tissue of eye orbit; device moves together with the eye	Has intra-ocular and/or intra-cranial components
Natural fixation of electrode array due to suction which holds the retina in place; retinal detachment due to surgical procedure resolves quickly, and array’s presence does not cause subsequent detachment.	Fixation of electrode array requires some form of retinal tack which can dislodge, e.g. due to trauma

Most of these changes related to surgical safety and biocompatibility. This transition affected many aspects of our engineering design, which is summarized in Figure 2. The overall shape of the implant places the larger coil and electronics structures at a distance of approximately 1.5 cm from each other, so that the device may be implanted in the superior nasal and superior temporal quadrants of the ocular orbit. The middle section of the device contains only the polyimide host flexible circuit and a large reference/return electrode, and in the Yucatan mini-pig this region is implanted underneath the superior *rectus* muscle. Only the flexible, 16 μm -thick polyimide array with iridium oxide (IrO_x) stimulating electrodes enters the scleral flap, which is typically made 10 mm posterior to the limbus (i.e., the circumferential junction between the cornea and the sclera).

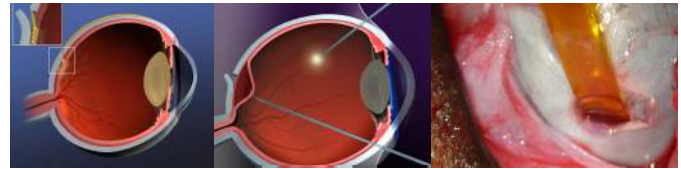
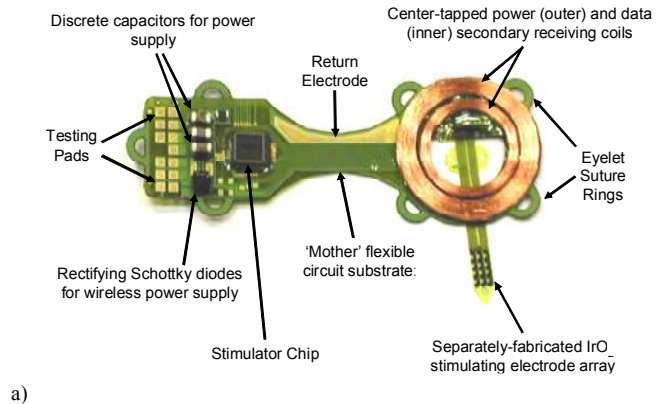
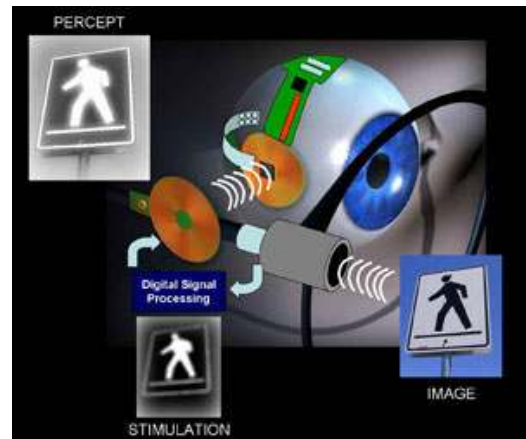


Fig. 1. Left, Center: Graphical images of the *ab externo* approach for insertion of the electrode array. Inset: the array enters the sub-retinal space through a scleral flap, after a retinal bleb (Center) has been raised to keep the delicate retina out of harm’s way. Right: Photograph showing a polyimide guide strip entering the eye of a Yucatan mini-pig prior to insertion of the 16 μm -thick stimulating electrode array.



a)



b)

Fig. 2 Engineering design of the sub-retinal microstimulator system. a) Implanted components are built on a flexible, polyimide substrate. After assembly, the entire unit is coated in poly(dimethylsiloxane) except for the stimulating array and the current return electrode. The overall dimensions of the device are 12 x 31 mm. b) Schematic diagram showing wireless operation of the visual prosthesis system. A camera (or external computer) and transmitter collect and then re-broadcast an image signal to the implanted stimulator chip, which is commanded to retransmit biphasic current pulses, in patterns corresponding to the desired image, to the stimulating electrode array located in the sub-retinal space.

The primary goal of this development effort was to demonstrate the feasibility of the *ab externo*, sub-retinal surgical approach by using conventional surface-mount electronic assembly techniques to create and implant a wirelessly driven microstimulator device. In passive life testing at 37 $^{\circ}\text{C}$, our team determined that parylene-C encapsulated implants could be exposed to saline

environments for several months, until evidence of fluid leakage became apparent. Based on these experiments (which will be reported elsewhere), poly(dimethylsiloxane)-insulated devices were adopted for perfecting surgical techniques during animal surgical trials lasting several months. Meanwhile, our group has been developing next-generation, hermetically-packaged devices that will ultimately be required for clinically relevant visual prosthetics.

The overall system design, outlined in Figure 2(b), incorporates an external video capture unit (or computer) and a transmitter that sends image data wirelessly to the implanted portion of the device. There, a custom, application-specific stimulator IC (ASIC) translates the image information into biphasic current pulses of programmable strength, duration, and frequency to the electrode array. Since the optimal current level and stimulation protocols for providing restoration of usable visual percepts are not known, our design concept was to keep the ‘smart’ image processing hardware and/or firmware in the external control unit, and for the implanted system to be as flexible and simple as possible.

II. METHODS

A. Wireless Transmission System and Stimulator Chip

The implanted ASIC received data and power by inductive coupling on independent channels. The data were encoded by amplitude shift keying (ASK) on a 15MHz carrier at 100Kbps. The data include configuration values and stimulus current values. Real-time commands were sent to start and stop each stimulus pulse. The power was transmitted at 125KHz and was rectified off-chip by two half-wave rectifiers to produce $\pm 2.5V$, regulated by an off-chip 5.1V Zener diode. An off-chip resistor and capacitor provide a delay on power-up to allow the ASIC registers to reset to the proper state. A flow chart of the power and data transmission systems is shown in Figure 3.

The ASK encoded waveforms containing the image data are amplified by a separate class A power amplifier with a 40V power supply, and sent to the primary data coil via a 25 Ω coaxial cable. A simple class D amplifier with a variable dual power supply generated the power waveforms; this was typically set between $\pm 1.8V$ and $\pm 2.8V$ p-p, but the supply resonated to achieve a peak primary coil voltage of over 50V. The data frequency was chosen to allow the potential for higher data rates, while the power frequency was kept lower to improve efficiency by limiting losses. The primary and secondary data and power coil specifications are summarized in Table 2. The secondary coil specifications derive from the maximum size of the implant that could fit on the temporal side of Yucatan mini-pig eye, while the primary coil specifications were chosen to maximize the field received at the secondary coil. In bench testing, we found a maximum transmission distance of approximately 22 mm when an average power of ~ 100 mW was input to the primary power coil. In biological saline, this was reduced to 20 mm, given

the same input. With implanted devices, we achieved reliable data transmission over $\sim 5 - 10$ mm of separation between the coils. The differences were attributed to signal absorption by the orbital tissue and misalignment of the coil pairs due to the bone structure of the pigs.

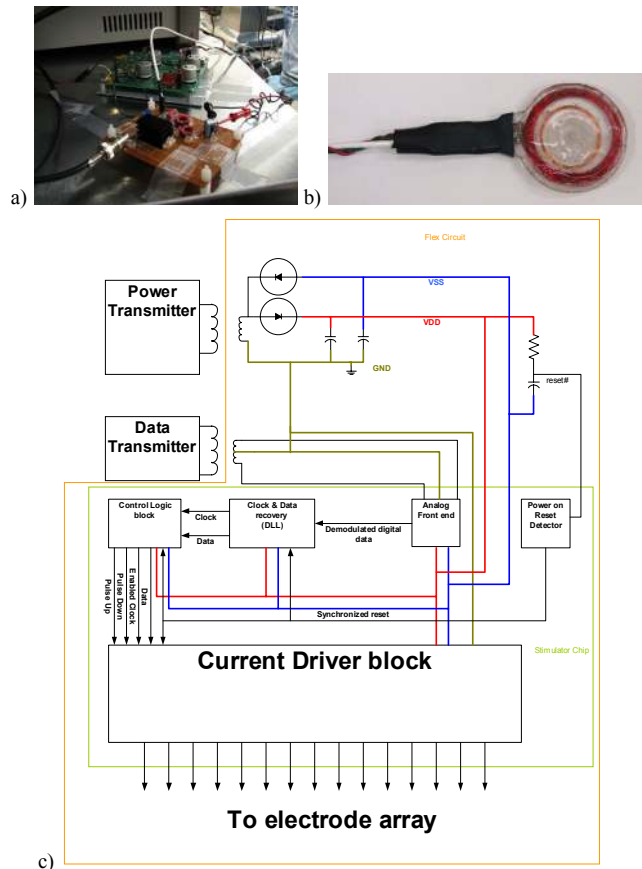


Fig. 3 a) Primary power and data transmitter circuits. b) Primary transmitting coils encapsulated in poly(dimethylsiloxane). c) Schematic diagram of the power and data inductive link-based transmission systems for the visual prosthesis. The implanted components are contained within the outer envelope, the rectifier and reset delay circuit are near the top, and the ASIC architecture is shown in the bottom half.

TABLE II
 IMPLANT COIL PARAMETERS

Coil	N	L	OD	ID	t
Power Primary	47	142 μ H	41.3mm	33.7mm	2.4mm
Data Primary	10	6.6 μ H	27mm	24mm	0.1mm
Power Secondary	47	34.3 μ H	10.3mm	8.3mm	1mm
Data Secondary	12*	1.34 μ H*	7.6mm	5.8mm	0.5mm

*The data secondary was center-tapped, so the number of turns per coil half and inductance per coil half are listed.

The heart of the implant was a communication and stimulation ASIC, which contains 30,000 transistors in a 2.3 x 2.3mm² area and was fabricated in a 0.5 μ m CMOS process (MOSIS, Irvine, CA) [73]. The chip drove 15 electrodes with 15 current source drivers, of which 14 were capable of delivering up to 775 μ A, and one was capable of driving up to 1.55 mA. A third-order RC high-pass filter on the chip attenuated the power waveform and some of its harmonics

before the input signal entered the data receiver circuit. A light micrograph showing the different sections of the ASIC is shown in Figure 4.

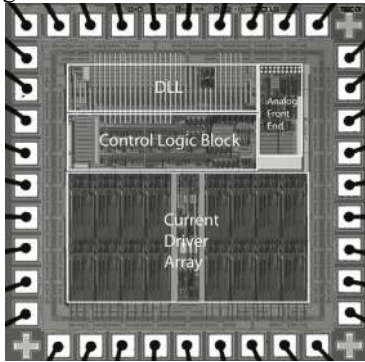


Fig. 4. Micrograph of the custom stimulator chip used in these trials (Theogarajan *et al.* [73]). There are 15 output current drivers. Reconstruction of the input waveform is performed using a delay locked loop.

B. Microfabrication and Assembly

The host flexible circuit onto which all of the prosthesis components were assembled was made by defining 50 μm -wide metal traces on the host polyimide substrate using a photolithographic lift-off process. Since the implantation period for the animal surgical trials was of limited duration, these traces were made from a Cu/Ni/Au metallization commonly used in the microelectronics industry, and the coils were fabricated from Cu wire. In subsequent trials, our team developed fabrication techniques for flexible circuits using entirely biocompatible materials. In all cases, the sub-retinal electrode arrays were fabricated with materials that have previously been well-tolerated during chronic implantations. Standard surface-mounted parts were used for all the off-chip power supply components, and these were assembled on the flex circuit using conventional wave soldering techniques. The ASICs were mounted by stud bumping with 75 μm -high Au bumps, followed by flip-chip die attachment to the host substrate. Stud bumping was also used for the flex-to-flex connections between the flex circuits and the electrode arrays. This approach proved to be prone to reliability problems, however, and in subsequent designs, we integrated the electrode array formation with the flex circuit fabrication process. Once the electrode array was attached and the stud bump connections were encapsulated in non-conductive epoxy, the power and data coils were added. The resulting assemblies were then coated in poly(dimethylsiloxane), with the exception of the active electrode array and the return/reference electrode on the host flex circuit.

The electrode arrays, shown in Figure 5, were fabricated by first spin-coating and curing a 12 μm thick base coating onto a 100 mm-diameter silicon wafer using HD Microsystems PI-2611 polyimide. A 3-layer metallization, comprised of two titanium adhesion layers and a gold conductor layer (Ti/Au/Ti), was deposited on the polyimide by physical vapor deposition and patterned using a lift-off resist process. (The Ti and Au films were 50 nm and 1.5 μm thick, respectively.) A 3 μm -thick polyimide overlayer was

spun over the metallized polyimide and cured at 350°C. Electrode sites and contact pads were formed by patterning the wafer with photoresist and exposing the underlying metallization by O₂ reactive ion etching (RIE). The wafer was then re-patterned to expose only the electrode sites, which were then coated with 300 nm of a reactive DC-sputtered iridium oxide film (SIROF) from an iridium metal target [74] – [76]. A reactive gas mixture of Ar, O₂ and H₂ was employed to produce SIROF with a mixed Ir³⁺/Ir⁴⁺ reduction-oxidation state. The wafers were patterned a final time, and O₂ RIE was used to define the perimeter of each individual array by etching through the combined 15 μm thickness of the polyimide layers. After soaking in water, the individual arrays were then readily removed from the silicon wafers for testing; typical yields of perfectly functional devices depended on array size, but exceeded 80%.

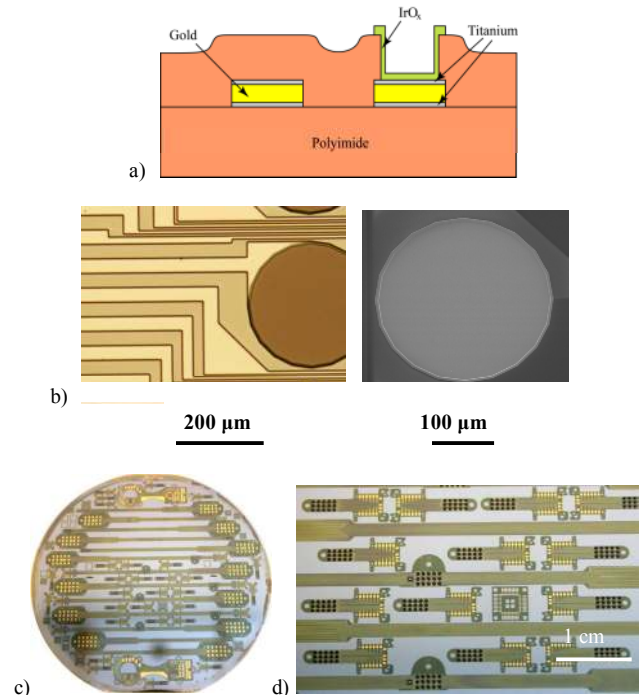


Fig. 5 a) Schematic cross section diagram showing the electrode array fabrication process. b) Light micrograph of an IrOx stimulating site immediately post-fabrication, and at right, an SEM photo of an identical 400 μm -diameter site after 1 year of continuous, biphasic current pulsing (0.76 mC/cm^2 , 0.95 $\mu\text{C}/\text{phase}$). c) A 100 mm diameter Si host wafer with IrOx electrode arrays for both acute and chronic stimulation studies. d) Close-up micrograph showing numerous arrays, each having 15 IrOx electrode sites (small dark circles).

C. Electrode Array Testing

Long-term pulsing studies were performed on 400 μm -diameter electrodes at a charge-injection density of 0.76 mC/cm^2 for 16 pulses/sec. In the inter-pulse period, our stimulator used a weak current source to pull the electrode potential back up to +0.6V with respect to the gold counter electrode, which was determined to be the optimal biasing condition for the iridium oxide stimulating sites. In the inter-phase (or intra-pulse) period, the electrode potential was allowed to float, but only briefly (on the order of microseconds.) An asymmetric current waveform was

employed with a 1 ms leading cathodal phase followed by a 4 ms anodal phase with a fourth of the cathodal current. Pulsing of a total of 84 electrodes was conducted in model interstitial fluid (ISF) at 37 °C for an average of 177 days, and a maximum of 409 days. ISF was used as it was believed to be the closest analog to the inorganic environment of the sub-retinal space. A representative comparison of the cyclic voltammogram (CV) of a site on one array is shown in Figure 6 at the initiation and at the 228-day time point of the pulsing study. The observed increase in charge storage capacity (determined from the time-integral of the cathodal current in one CV cycle) occurred early in the experiment, and is related to rehydration and possibly some structural modification of the SIROF during pulsing. Of the 84 total electrode sites, 59 were functioning normally when testing was ended. In this one example, the metallization of ten electrodes became discontinuous due to gold dissolution at sites where the polyimide did not completely cover the metal traces, and 15 sites were judged to have failed because of separation of the gold metallization from the underlying polyimide at the charge-injection site. In only one electrode was there evidence of SIROF delamination from the underlying gold due to pulsing, and this was limited to a small area along the perimeter of the electrode adjacent to the polyimide. In general, those sites exhibiting partial or full gold delamination from the polyimide exhibited normal driving voltage and CV responses until the charge-injection coating (Au and SIROF) separated from the array. Our team judged from these data that electrode arrays constructed in the manner described would be quite adequate for months-long animal implantation trials, but that further work was necessary to improve the long-term integrity of these flexible, polyimide-based structures to create clinically appropriate devices. We now have encouraging preliminary results indicating that the lifetime of arrays made with an improved process can be extended to >10 years, using accelerated tests performed at 87 °C.

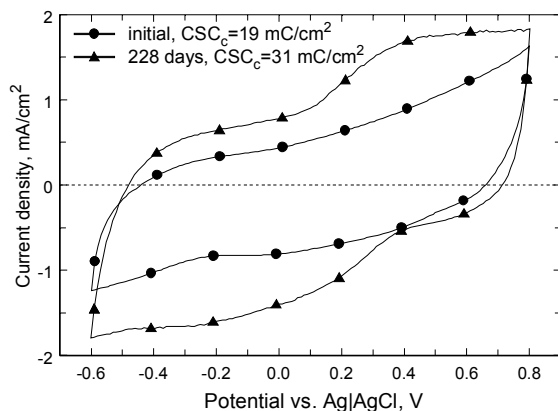


Fig. 6 Comparison of the cyclic voltammograms of a representative SIROF stimulating electrode, initially and after 228 days pulsing (0.76 mC/cm², 0.95 μC/phase) at 16 pulses/s. The increase in charge storage capacity is attributed to rehydration and structural modification of the SIROF during pulsing.

D. Ab Externo Implantation Procedure

Microstimulators were implanted in three Yucatan mini-pigs weighing ~20 kg by the following procedure, which is depicted in Figure 7. Animal protocols were approved by local animal care and use committees, and conformed to NIH guidelines.

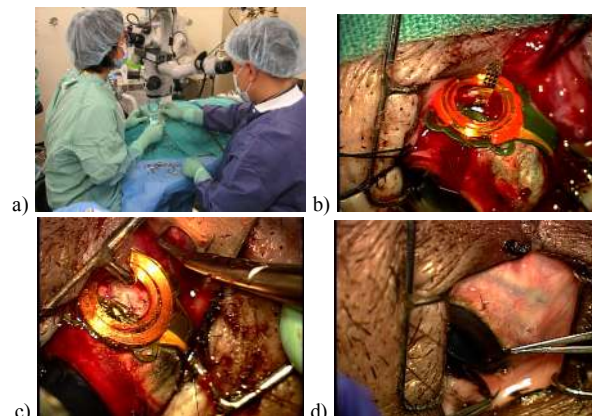


Fig. 7 a) Implantation of a microstimulator. b) The prosthesis is sutured over the location of the sclera flap. In this surgery, a polyimide guide was used to prepare the way for the electrode array (seen here prior to insertion). c) The array has been inserted, the guide removed, and the sclera flap sutured back in place. d) The conjunctiva has been sutured back over the implant.

A pre-operative electro-retinogram (ERG) measurement was made to verify the overall health of the pig retina using a computer-controlled flash lamp and a contact lens electrode. Next, after making a lateral canthotomy and cutting open the conjunctiva, traction sutures were placed around the *rectus* muscles, and a partial thickness scleral flap (3 x 2.5 mm) was dissected 10 mm posterior to the limbus in the superior temporal quadrant. By applying pressure to the outside of the eye at this site while observing the retina, the intended site of implantation of the electrode array was visualized. A partial vitrectomy (to remove some of the “core” region of the vitreous body and enable the raising of a retinal bleb) was then performed near this location. The tip of a 30-gauge cannula was inserted through the retina, and a small amount of buffered saline solution and then Healon™ was injected to create the bleb, thus elevating and protecting the retina from harm. The superior *rectus* muscle was then cut at its anterior end, and the microstimulator (shown in Figure 2) was sutured in place with the center of the device fixed underneath the former muscle location and the coils positioned over the scleral flap. Moderate systemic hypotension (achieved using a sodium nitroprusside drip) was used to reduce the potential for bleeding as the array was inserted through the highly vascular choroid that lies beneath the retina. In the first implantation, a polyimide guide (2 mm x 15 mm x 75 μm) was inserted through the scleral flap into the sub-retinal space under the bleb. This guide was used as a substrate upon which to extend a 6 to 8 mm length of the array. In subsequent implantations, we found it more expedient to bond a 16 μm-thick stiffener to the choroidal side of the electrode array with a silicone adhesive prior to surgery. This eliminated the need for a separate guide, since the stiffened

array could now be inserted without one. Once the array was in place, the scleral flap was closed, and the final location of the electrodes was visually confirmed. The superior *rectus* muscle was sutured back, and the sclerotomies and the conjunctiva were closed. Figure 8 shows a fundus photograph of an array in the subretinal space and a histological slide indicating good biocompatibility of the polyimide material after weeks of implantation.

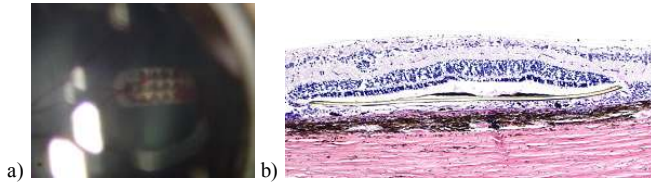


Fig. 8 a) Fundus photograph of an electrode array in the sub-retinal space taken 1 week post-surgery. Note retinal blood vessels over the implant site. b) Histological slide showing minimal adverse tissue responses to the presence of a polyimide strip (center of photo) in the sub-retinal space of a Yucatan mini-pig. There is only slight gliosis and limited proliferation of dark-colored RPE cells near the array (after Montezuma *et al.* [17]).

III. RESULTS

A. In Vitro Functional Testing

In vitro evaluation consisted of dry and “wet” functional testing in buffered saline solution to simulate the overall orbital environment. In both cases, the prosthesis was placed in close proximity to a primary coil assembly while data waveforms to drive the device were generated by a portable PXI computer system with a LabVIEW graphical user interface that allowed selection of current levels, pulse timing, bias values, data carrier frequency, and data rate (see Table 3 for typical parameters). Manually generated stimulation patterns were used rather than employing the video input that would be used in a clinical device; an electronic vision system is under development, and will be reported elsewhere. Prior to encapsulating the completed implants for surgery, a ‘test tail’ extension to the electrode array was used to measure output waveforms at the electrodes while the device was wirelessly driven. Figure 9 shows a typical electrode waveform measured in this manner. The circuit configuration of the dummy load used for our *in vitro* trials to simulate the *in vivo* site impedance consisted of a series resistor of 4 K Ω (representing the access resistance and the series resistance of the lead), and a parallel combination of a 20 K Ω resistor and a 0.047 μ F capacitor representing the electrode-tissue interface. The effect of the access resistance can be seen in Figure 9 as the small, sharp voltage drop at the onset of the pulse. The effect of the capacitor, whose voltage would be linear with time when driven by a constant current, can be seen as the sloped trace following the vertical drop. If there is a charge imbalance between the two pulses, the charge on the capacitor will slowly dissipate through the parallel resistor.

TABLE III
 TYPICAL PARAMETERS TRANSMITTED WIRELESSLY TO THE
 MICROSTIMULATOR

Stimulation Pattern	Biphasic, cathodal pulse first
Pulse duration	1 ms cathodal pulse immediately followed by a 1 ms anodal pulse
Pulse amplitude	25 μ A each phase on any of 15 electrodes, programmable up to 775 μ A in 25 μ A steps
Repetition Interval	\sim 2 Hz

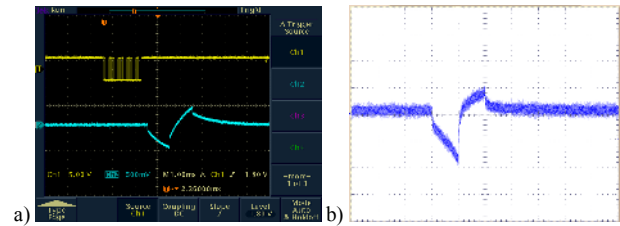


Fig. 9 Typical electrode voltage waveforms a) when the stimulator drove a dummy load consisting of a series resistor of 4 K Ω (representing the access resistance and the series resistance of the lead), and a parallel combination of a 20 K Ω resistor and a 0.047 μ F capacitor representing the electrode-tissue interface (the top trace shows the binary bit-stream used to command the device, and the bottom trace shows the voltage output.) b) Representative electrode voltage waveform when the stimulator was wirelessly powered in saline solution, measured using a ‘test tail’ extension of the IrOx electrode array that reached back out of the bath. The noise is due to RF interference from the transmitter.

After testing the implants in a “dry” environment, we immersed the devices in buffered saline solution. In order to simulate the *in vivo* test conditions, where we would no longer have direct access to the electrode voltage waveforms, we measured the potential generated by the stimulating sites by placing 2 needle electrodes (as well as a third, reference electrode) into the saline solution and measuring the voltage difference between the two. This was proportional to the current sourced or sunk by the stimulating electrodes. The absolute magnitude of this voltage difference was highly dependent upon the placement of the two sensing electrodes. If they were placed on equipotential lines, no voltage difference was measured at all. At the other extreme, one needle electrode could be placed in close proximity to the electrode array, and the other could be in close proximity to the return electrode on the flexible substrate. In order to best model the *in vivo* experiments, neither of these two extremes was used for *in vitro* testing. Although the micro-stimulator used did not have ‘reverse’ telemetry capabilities with which to monitor the actual instantaneous electrode waveforms, we assumed that the total *in vivo* potential at the stimulating and return electrodes was less than the maximal power supply voltage swing because a) our team had previously built prostheses which incorporated a ‘test tail’ on the electrode array that allowed continuous *in vitro* monitoring of the actual electrode waveforms, and b) the charge density at which the electrodes were driven (20 μ C/cm²) was two orders of magnitude below the maximal charge density for the

iridium oxide films used, and the resulting voltage at the electrode-tissue interface was thus well within the water ‘window.’ The stimulus artifacts measured by the needle electrode technique were only a few mV in amplitude, and the actual electrode voltages were clearly larger; the potential measurements were used only to obtain a relative indication of stimulator functioning. Figure 10 shows the test setup and a sample waveform collected in the manner described; note the difference between this signal (which is proportional to current) and the electrode voltage waveform of Figure 9 (which reflected the effects of the access resistance and of the electrode-tissue impedance.) The nonzero final voltage of Figure 10 does not represent a charge imbalance; rather, it represents an offset in the differential amplifier used to make the stimulus artifact measurement. Additionally, there is a very small positive inter-pulse current which is used by the stimulator chip to maintain the electrode potential.

After up to 12 weeks of implantation, devices were explanted, re-tested *in vitro*, and found to work as well as they did pre-operatively. The electrode impedance did not change significantly during the implantation period, as the IrOx sites were hydrated during initial testing prior to assembly.

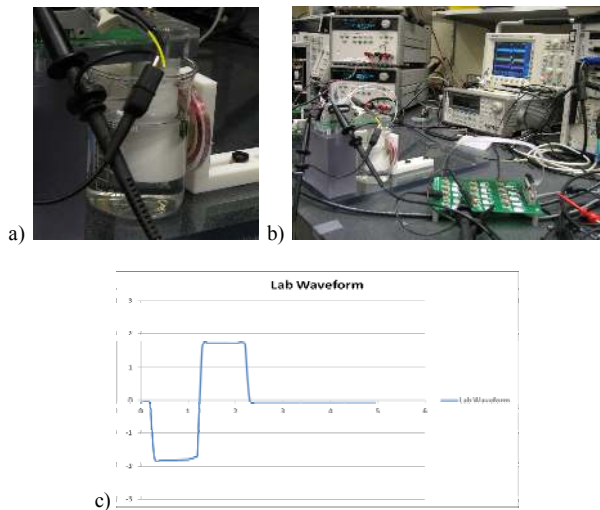


Fig. 10 a) Testing the wireless microstimulator in a saline bath. b) *In vitro* test setup. c) Measured potential difference (in mV) between two needle electrodes placed in close proximity to the prosthesis in a saline bath while biphasic test current pulses of 25 μ A were stimulated. Time scale: 1 msec/division.

B. In Vivo Testing

For animal surgical trials, the wireless driver/transmitter described above was mounted on a cart. In Figure 11a, representative electroretinogram traces show no significant changes between pre-operative and post-operative measurements. Figure 11b shows our PXI computer system along with the associated power supplies and transmitter components. In Figure 11c, two members of our team are

testing an implanted stimulator. The primary coil assembly of Figure 3b, attached at one end to the power and data transmitters, was placed over the eye of the animal (see Figure 11d,e) while artifact waveforms in response to wirelessly-generated stimuli were recorded using a contact lens electrode and displayed on the monitor. There was no measurable difference in the current source outputs to each electrode with minor variations in coil position or after months of implantation, provided that sufficient power was transmitted to activate the implant.

Representative stimulus artifact waveforms recorded in this manner are shown in Figure 12. The ‘control’ signal was collected by reducing the transmitted power to the implant sufficiently to prevent the stimulator from starting operation; clearly, there was no stimulus artifact in this case. The magnitude of the artifacts measured was strongly affected by the positioning of the contact lens electrode used to sense them; thus, Figure 12 should be interpreted primarily as an indication of ongoing microstimulator functioning, rather than any physiological phenomenon. Our team attempted surface cortical recordings in mini-pig brain to assess visually- and electrically-evoked responses to stimulation. Surgical access to this region proved difficult, however, owing to the pig anatomy, and no cortical recordings were made.

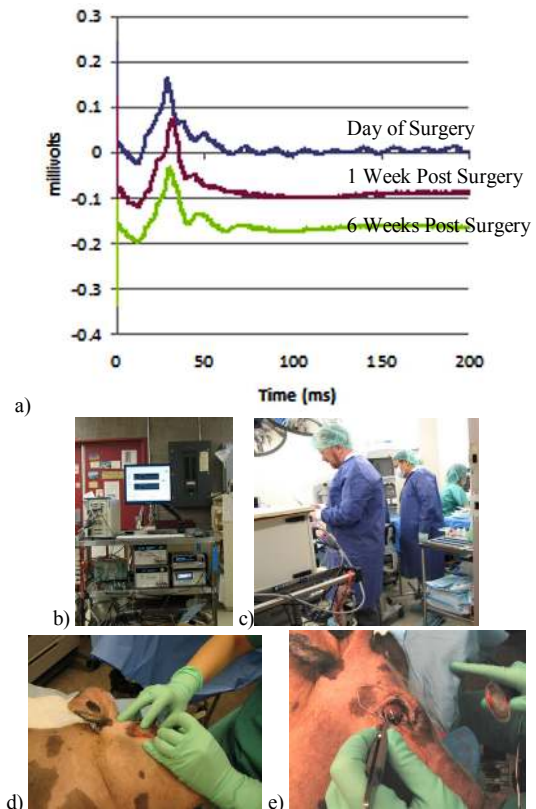


Fig. 11 *In vivo* testing. a) Representative electroretinogram traces showing no significant changes in waveform between pre-operative and post-operative measurements. b) Photograph showing the wireless transmission system and PXI computer driver in operation. The cart also contains DC power supplies and the power and data transmitters. c) System in operation during surgery. d,e) A contact lens electrode is applied to the eye to measure stimulus artifacts, and the primary coils are positioned to drive the prosthesis.

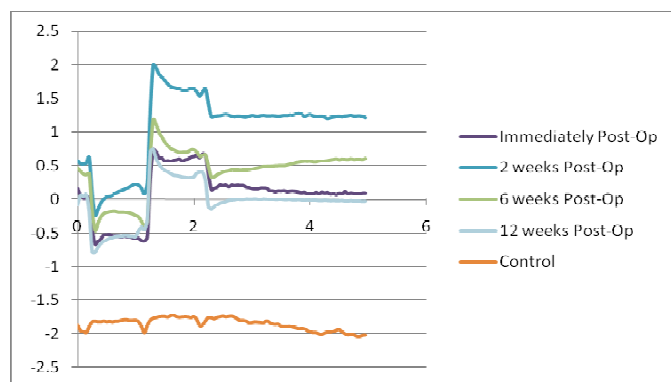


Fig. 12 Representative waveforms of stimulus artifacts from wirelessly driven retinal microstimulators in Yucatan mini-pigs at 0, 2, 6, and 12 weeks post-operation. The 'control' waveform was collected when transmitted power to the implant was reduced below the threshold which was required for stimulation to begin. The potential scale (in mV) is only relative, as the readings were highly sensitive to changes in the position of the contact lens electrode. Time scale: 1 msec/division.

Because of the bulk of the implant, exposure of parts of the prosthesis through the conjunctiva was observed in some cases. Figure 13 shows photographs of mini-pig eyes that demonstrate this phenomenon. We believe that moving the device circuitry to the posterior of the eye orbit would help to alleviate this problem, and indeed, preliminary results with new prosthesis designs indicate that this is the case. A more optimal microstimulator configuration would thus leave only a thin secondary coil underneath the conjunctiva in the anterior orbit.

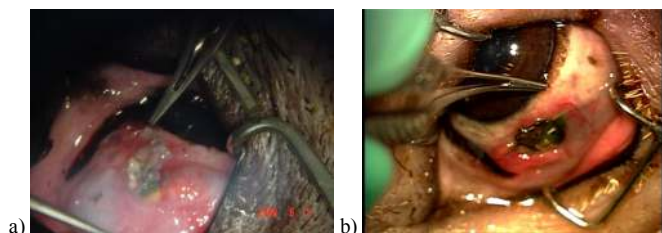


Fig. 13 Photographs demonstrating partial exposure of different implanted microstimulators through the mini-pig conjunctiva a) 3 weeks after surgery and b) 18 weeks after surgery.

IV. DISCUSSION AND CONCLUSIONS

A complete, wirelessly-driven sub-retinal neurostimulator has been developed for months-long experimental trials in Yucatan mini-pig models, along with a computer-based driver and RF transmitters. Operation of the retinal prosthesis in animals has been verified for 3 months. Long-term testing clearly indicated that degradation or delamination of implant components would limit the useful lifetime of our current device design to approximately 9 to 18 months *in vivo*. This limitation thus precludes the implantation of visual prostheses having similar construction methods for years-long clinical trials. Accordingly, our team

has focused on hermetic packaging technology and lifetime extension for microelectrode arrays to develop clinically relevant prosthetics.

Although the primary goal of this effort was to demonstrate the feasibility of our *ab externo* surgical approach to visual prosthesis implantation, we were also able to wirelessly deliver stimulation currents with the system reported here that were well in excess of perceptual threshold currents measured previously in both human and animal models. A solid foundation was thus laid for future implementation of hermetically-packaged sub-retinal neurostimulators for the restoration of useful vision to blind patients.

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Patrick Doyle received the S.B. degree in 1987 in electrical engineering from the Massachusetts Institute of Technology and the M.S. degree in 1995 in electrical engineering from Northeastern University. He has worked in industry for over 20 years developing digital communications systems for applications including intercept systems, underwater acoustic communications, cell phones, and law enforcement communications systems. Since 2007, he has worked for the Boston Retinal Implant Project as a Research Engineer. Mr. Doyle is a member of ARVO.



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Luke Theogarajan received the Ph.D. degree from MIT in 2007. He is currently an Assistant Professor of Electrical and Computer Engineering at the University of California, Santa Barbara. His research interests include combining the processing power of electronics with the versatility of synthetic chemistry to develop neural prosthetic devices, integrating CMOS circuits with nanoscale sensors to develop novel biosensors, and developing simple synthetic mimics of natural biological functions to gain a deeper physical understanding of biological phenomena. Before starting his Ph.D. research, he was with Intel for 5 years, where he was part of the Pentium 4 design team. Dr. Theogarajan has published in the fields of electrical engineering and polymer chemistry, and holds 4 patents.



B.S. degree from Princeton in 1970, University of all in Electrical dissertation was on nonlinear circuits and biological and spent a portion of his retinal



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