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Development of a clinical risk score for pain and function following total knee arthroplasty: results from the TRIO study

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Abstract

Objectives

To develop and validate a simple clinical prediction model, based on easily collected preoperative

information, to identify patients at high risk of pain and functional disability 6 months after total

knee arthroplasty (TKA).

Methods

This was a multi-centre cohort study of patients from 9 centres across the UK, who were undergoing

a primary TKA for osteoarthritis. Information on socio-demographic, psychosocial, clinical, and

quality of life measures were collected at recruitment. The primary outcome measure for this

analysis was Oxford Knee Score, measured 6 months postoperatively by postal questionnaire.

Multivariable logistic regression was used to develop the model. Model performance (discrimination

and calibration) and internal validity was assessed, and a simple clinical risk score developed.

Results

721 participants (mean age 68.3 years; 53% female) provided data for the current analysis and 14%

had a poor outcome at 6 months. Key predictors were poor clinical status, widespread body pain,

high expectation of postoperative pain, and lack of active coping. The developed model based on

these variables demonstrated good discrimination. At the optimal cut-off, the final model had a

sensitivity of 83%, specificity of 61%, and positive likelihood ratio of 2.11. Excellent agreement was

found between observed and predicted outcomes, and there was no evidence of overfitting in the

model.

Conclusion

We have developed and validated a clinical prediction model that can be used to identify patients at

high risk of a poor outcome after TKA. This clinical risk score may be an aid to shared

decision-making between patient and clinician.

Keywords:

Knee pain, Osteoarthritis, Total knee arthroplasty, Prediction Modelling, Clinical risk score, Model

calibration, Model discrimination

Key messages:

• Predictors of poor outcome following total knee arthroplasty included illness attitudes and

behaviours and clinical factors.

• A model based on easily measurable variables demonstrates good performance.

• The prediction tool developed can be an aid to shared decision-making between patient and

clinician.

Introduction

Total knee arthroplasty (TKA) is one of the most common and effective treatments for severe knee

osteoarthritis with over 100,000 knee replacements performed in the UK annually [1, 2]. Despite

success in reduction of pain after knee replacements, approximately 20% to 30% of patients

continue to experience pain and limited function after their TKA, which cannot be entirely explained

by biomedical factors [3-5].

Clinical determinants of outcomes after TKA which have been consistently shown to be related to

outcome across reviews include preoperative pain and function, pain at other sites, and aspects of

surgery (longer duration of surgery, lengthy wait times) [6-12]. For other factors, the evidence is not

consistent and may be related to the outcome studied. For example, one systematic review focusing

on patients' characteristics found that younger age and being male were related to risk of revision,

older age was associated with increased risk of mortality and poorer function after TKA, but age and

sex did not influence postoperative pain [13].

The importance of psychosocial and individual factors, as predictors of musculoskeletal outcomes

has also been increasingly recognised [14-16]. Adverse psychological factors such as anxiety and

depression may have an effect on pain perception and mediate the development of chronic pain and

disability [17, 18]. The relationship between psychosocial factors and TKA outcomes has been

examined in several systematic reviews, which have consistently indicated poor preoperative mental

health and pain catastrophizing to be strongly associated with greater postoperative pain and

functional disability [7, 10, 19]. Limited or conflicting evidence was found for other psychological

factors. It is clear from the reviews that there is a lack of consensus on the most important clinical

and psychological risk factors for poor outcomes after TKA.

Although the decision to operate is primarily based on radiographic evidence of osteoarthritis and

the patient's report of symptoms, variation in the use of surgery reflects the different beliefs among

patients and surgeons as to the risks and benefits of surgery. In a US-based study, Riddle et al. (2014)

reported that a third of cases reviewed that underwent knee replacement surgery were

'inappropriate' and as a group, these patients demonstrated worse outcomes [20]. The fact that

surgery might not be successful for certain patients still highlights the need for robust predictive

models to inform the clinical decision-making process.

Therefore, our study aimed to firstly predict the impact of pain and functional disability 6 months

after TKA using routinely-collected patient preoperative information and secondly, incorporate this

information into a clinical prediction tool.

Methods

The Targeted Rehabilitation to Improve Outcome- preoperative predictors of unfavourable outcome

following knee arthroplasty (TRIO-POPULAR) study was a multi-centre cohort study to investigate

potential preoperative predictors of poor outcome following TKA. The study recruited from 9

participating centres across the UK between December 2013 and July 2016. The study was

conducted alongside a randomised controlled trial of targeted rehabilitation to improve outcome

after TKA [21].

Adults aged 16 years or over, undergoing primary TKA for osteoarthritis were invited to take part in

the study either by letter or in person at a clinic visit prior to surgery. Participants were excluded if

they: were undergoing a revision TKA or fully constrained knee arthroplasty; had a TKA for a

diagnosis other than osteoarthritis; or had existing medical conditions such as stroke, or other

musculoskeletal conditions that cause a limitation of function. Participants completed a

questionnaire at the time of recruitment and consent was obtained for access to medical records for

research purposes. Follow-up questionnaires were mailed to participants 6 weeks, 3, and 6 months

after surgery. Ethical approval was granted by the office for Research Ethics Committees Northern

Ireland (ORECNI) (13/NI/0101).

The preoperative questionnaire included the following items:

Sociodemographic factors: Age, gender, marital status, socio-economic status (highest

education level achieved), and employment status were measured.

Clinical factors: Clinical factors measured included duration of knee pain, baseline pain and

function using the Oxford Knee Score (OKS) [22], and the Chronic Pain Grade (CPG) [23]. The

CPG contains 7-items which allow respondents to be classified into five categories: Grade 0

(no pain), Grade I (low disability/low intensity), Grade II (low disability/high intensity), Grade

III (high disability/moderately limiting intensity), and Grade IV (high disability and highly

limiting disability). Body manikins were used to determine whether participants meet the

definition of 'chronic widespread pain' used in the American College of Rheumatology

criteria for fibromyalgia [24]. The Sleep Problem Scale consists of four questions, rated on a

6-point frequency rating scale, ranging from 0 (not at all) to 5 (22 to 31 days/month) [25].

Sleep disturbance was defined as a mean score ≥ 4, corresponding to at least 15 troubled

nights per month [25]. Self-reported comorbidities in this cohort were also recorded.

Psychosocial factors: The Illness Attitude Scales (IAS) [26, 27] measures personal attitudes,

fears, and beliefs associated with hypochondriasis and abnormal illness behaviour. It

consists of nine subscales, each with three items on a 0-4 Likert scale. Scores are summed to

give the total IAS score, with a higher score representing greater hypochondriacal fears and

beliefs. Among participants who reported they had aches or pains lasting one day or longer

in the past month, the Vanderbilt Pain Management Inventory was used to assess chronic

pain coping strategies [28]. This questionnaire consists of 18-items, rated on a 5-point

frequency Likert scale. From this data, two subscales can be calculated; active coping score

and passive coping score. High scores indicate a high use of active and passive coping

strategies respectively. Patient expectations of pain, and limitations in everyday activities

after TKA were measured using visual analogue scales (VAS); 0 representing 'not at all

painful' or 'not limited at all' and 100 'very painful' or 'greatly limited', respectively [29].

Mental and Physical Health: Mental and physical health was measured by the Hospital

Anxiety and Depression Scale (HADS) [30] and the Patient Reported Outcome Measurement

System 10 (PROMIS-10) Global Health Questionnaire [31]. The HADS is a 14-item

questionnaire; seven items measuring anxiety and seven items measuring depression. Each

item is rated on a 0-3 Likert scale with higher scores indicating poorer mental health. The

PROMIS-10 Questionnaire has 10-items which allow the Global Physical Health and Global

Mental Health sub-scales to be derived. Scores range from 4 to 20 with higher scores

indicating better health.

Quality of life: The EuroQoL-5 dimension (EQ-5D) is a measure of quality of life [32]. It

consists of five dimensions; mobility, self-care, usual activities, pain/discomfort, and

anxiety/depression, rated on a 3-point scale. Each EQ-5D profile was converted to a single

summary index based on the valuation of health states in the UK. A score of 1.0 indicates the

best possible health.

The outcome for this analysis was the OKS [33], measured 6 months postoperatively by postal

questionnaire. The OKS measures the impact of pain and functional disability in patients undergoing

knee replacement [34, 35]. Poor outcome was defined by a score of 26 or less (out of a maximum

score of 48) according to the modified Kalairajah classification [36].

Statistical analysis

The study aimed to recruit 750 participants and if 80% of participants (n=600) provided follow-up

data, this would give 80% power to detect an odds ratio of 1.5 for a poor outcome, comparing the

highest with the other two tertiles of exposure. Descriptive statistics were carried out to describe

the study sample and normality of individual variables were assessed. Categorical variables; the

Sleep Problem Scale, CPG, and the HADS anxiety and depression were categorised according to

standard cut-offs.

In preparation for the modelling, the relationship between continuous predictor variables and the

observed log odds of a poor outcome were assessed for linearity. Health scores measured by the

EQ5D and the PROMIS-10 questionnaire, measures of active and passive coping strategies

determined by the Vanderbilt Pain Management Inventory, patient expectations of outcomes after

surgery, and illness attitude scores were analysed as continuous variables. However, a maximum

health index of one in the EQ5D results in regression coefficients (expressed as change in outcome

per one unit increase in predictor) which are not intuitive to interpret, and values were therefore

multiplied by 10 for the purpose of the univariable and multivariable analyses. Logistic regression

analysis was used to explore the association between each of the potential preoperative predictor

variables and the OKS at 6 months. In the univariable analysis, variables showing an association with

a significance level of p < 0.2 were candidates for entry into a forward stepwise regression as part of

a bootstrap selection process as described below. Entry and removal criteria for the stepwise

models were $p \le 0.1$ and p > 0.15, respectively. We used stepwise regression to suggest predictor

variables for the model followed by the incorporation of clinical knowledge. Associations were

expressed as odds ratios (ORs) with 95% confidence intervals (95% CIs). To aid clinical decision-

making, a simplified point-based risk-scoring system was developed using coefficients from the final

model [37].

Multiple imputation with chained equations (MICE) was used to impute missing predictor data with

the aim to reduce bias and improve efficiency; 20 imputed datasets were generated [38, 39].

Detailed descriptions of the post-estimation procedure can be found in Appendix 1 (electronic

supplementary material).

Model discrimination was quantified using the area under the Receiver Operating Characteristic

(ROC) curve or concordance (c) statistic to estimate predictive accuracy. A c-statistic value of 1

represents perfect discrimination whilst a c-statistic of 0.5 indicates a discriminative value equivalent

to chance [40]. A pooled c-statistic of the 20 imputed datasets, was calculated. A shrinkage estimate

was also calculated to assess overfitting. A shrinkage estimate of less than 0.8 would reflect a need

for shrinkage of the regression coefficients in a prediction model using methods such as Lasso or

ridge regression [41].

Model calibration, which refers to the agreement between the observed and predicted probabilities,

was also assessed using calibration-in-the-large [42]. This indicates whether the predictions are

systematically too low or too high.

Overfitting occurs when a model is too strongly tailored to the specifics of the sample population

used in development such that it predicts well for patients within the derivative cohort but is not

generalisable to other samples [41]. A bootstrap resampling technique was used to test for

overfitting. Details of the bootstrap approach can be found in Appendix 1. Data were analysed using STATA version 13.0 and Rstudio version 1.0.143.

Results

721 of the 972 (75.7%) participants completed and returned the baseline and 6-month follow-up

questionnaire and were eligible for this analysis. The mean age of the participants was 68.6 years,

there was an even gender split and approximately half were educated to secondary-school level

(Table 1). Most participants were retired (56.5%) but approximately 1 in 4 were still working either

full-time or part-time. 99 patients (14.1%) met the definition of poor outcome 6-months post-TKA.

Univariable analysis

There were several preoperative factors which predicted a poor outcome (see Table 2). Firstly,

clinical status: severe chronic pain (CPG grade IV) (OR 11.25, 95% CI 3.92 to 32.30), chronic

widespread pain (2.34, 1.30 to 4.19), and a high number of co-morbidities (≥ 4 comorbidities: 3.75,

1.90 to 7.40). In contrast, a better OKS was associated with reduced risk of poor outcome (0.87 per

unit increase in score; 0.84 to 0.91). Secondly, psychosocial factors: illness attitudes were strongly

related to poor outcome, for every one point increase in illness attitude score (1.03, 1.01 to 1.05),

the risk of poor outcome increased. Among participants who had reported aches or pains, the odds

of a poor outcome also increased for every unit increase in passive coping score (1.08; 1.05 to 1.12),

while poor outcome was less likely for every unit increase in active coping strategies (0.87; 0.83 to

0.92). Expectations were strongly associated with poor outcome, for every one point increase in

expected knee pain after recovery (1.01, 1.01 to 1.02) or expected limitations in everyday activities

(1.02, 1.01 to 1.02), the risk of poor outcome increased. Thirdly, mental health: severe anxiety (2.58,

1.48 to 4.49) and depression (3.67, 1.88 to 7.15) were associated with poor outcome and for every

one unit increase in the PROMIS mental score, the risk of poor outcome decreased (0.93, 0.89 to

0.97). Finally, poor outcome was less likely amongst those with good preoperative physical health

(PROMIS- physical health) and quality of life (EQ-5D).

There were other factors which were not significantly associated with outcome but which met the

criteria for being considered in the multivariable model: severely disturbed sleep and a long duration

of knee pain. In contrast, age and gender were not related to outcome and were not considered

further.

Model development and validation

Of the factors eligible for inclusion in the multivariable models (p < 0.2), four were entered and

retained in the final model predicting poor outcome: low preoperative OKS, chronic widespread

pain, high expectations of knee pain after recovery, and lack of active coping strategies (Table 3).

The model demonstrated good discrimination between patients at high and low risk of poor

outcome following TKA, as indicated by a pooled c-statistic of 0.78 (pooled estimates of the 20

imputations). The final predictive model had a sensitivity of 82.8%, a specificity of 60.7%, and a

positive likelihood ratio of 2.11 at the optimal cut-off identified by Youden's index (J).

Excellent agreement was found between observed and predicted probabilities. The estimate

obtained with the bootstrap resampling was very close to the original estimate across the 20

imputed datasets. After correcting for optimism, the average c-statistic was 0.77. This suggested a

reliable optimism-corrected c-statistic. Calibration-in-the-large showed no evidence of systematic

overestimation or underestimation of the predicted probability of outcome. The average calibration-

in-the-large was 0.16 (-0.07 to 0.34), which indicated there was no evidence of overfitting in the

model.

Clinical prediction tool

A simple risk-scoring system was developed from the multivariable model, which can be found in

Appendix 2 (electronic supplementary material). Scores range from 0 to 19, with higher scores

corresponding to higher risk of poor outcome at 6 months post-TKA. Risk estimates are attached to

each point total as shown in Figure 1. Two case studies demonstrating the relationship between the

estimated risks of the prediction tool and those from the logistic regression model are available in

Appendix 3 (electronic supplementary material).

Discussion

Expectations (of poor outcome) and behaviour (lack of active coping) as well as clinical factors (poor

preoperative knee status and chronic widespread pain) were key predictors of a poor outcome in

persons undergoing TKA. A clinical prediction model based on these factors demonstrated good

performance in identifying patients who had poor outcome based on OKS.

A strength of our study is the multi-centre nature and large sample size. We have measured a range

of patient-reported factors, particularly focussing on those that have been shown to predict

outcome for musculoskeletal disorders, and specifically pain. Robust statistical methods such as

multiple imputation and bootstrap resampling were employed to strengthen the development of

this clinical prediction tool. Multiple imputation encourages statistical efficiency especially when

missing data are assumed to be missing at random (MAR), which is plausible in the context of this

study [43]. With many variables and rare events, there is a risk of overfitting the model. To test for

this, we measured the shrinkage factor, an indicator for reliable estimations, to determine whether

there was a need to reduce the regression coefficients using a shrinkage method (e.g. lasso) and

overfitting was not indicated (shrinkage factor > 0.8) [41].

Limitations of our study include that only a few clinical factors were measured and some such as

joint damage or body mass index (BMI) was not available. Although BMI is often associated with

many conditions including osteoarthritis, there is no evidence in the literature to suggest that BMI is

a clinically important predictor of postoperative outcome [44, 45]. Though the absolute risk remains

small, higher BMI is, however, associated with an increased relative risk of revisions and post-

surgical complications, which are important factors to consider in decision-making [46-48]. There

were also no intra-operative factors collected, some of which have been related to poor outcome.

However, as the purpose was to develop a clinical prediction tool to aid shared decision-making by

the clinician and the patient about proceeding to knee replacement surgery, then by de facto this

must be based only on factors available at this time. At the optimal cut-off for clinical use, there was

a sensitivity and specificity of 82.8% and 60.7% respectively, with a positive likelihood ratio of 2.11.

Although the likelihood ratio (LR) of the positive test falls below the recommended value for a strong

diagnostic test (LR=5), it is comparable to other prediction rules reported in the literature (e.g. Lungu

et al. 2014 [49]). Our study predicted a binary outcome, using a recommended cut-off of the OKS.

We tested our model using other cut-offs which have been proposed (OKS ≤ 19/ > 19) [50] and also

developed a model which predicted the actual score rather than a binary state. Each of these

alternative strategies produced very similar predictive models (data not shown).

To our knowledge, only two other studies have translated determinants of TKA outcomes into a

clinical prediction rule [44, 49]. Lungu et al. (2014) explored an extensive list of potential predictors,

and included 5 of the 24 items from the Western Ontario and McMaster Osteoarthritis Index

questionnaire in their prediction rule [49]. Four of the questions were specific to preoperative

function and the other measured stiffness. Their model, based on a small sample size of 141

patients, demonstrated good overall predictive validity for outcomes 6 month post-surgery:

sensitivity 82%, specificity 72%, positive likelihood ratio of 2.9. The second study was an extensive

programme of work funded by the National Institute for Health Research (NIHR) [44]. Using data

from the Knee Arthroplasty Trial (KAT), Arden et al. (2017) developed the Clinical Outcomes in

Arthroplasty Study (COASt) knee model to predict 12-month postoperative OKS. This model included

patient characteristics (age, sex, preoperative OKS, BMI, deprivation score, SF-12 mental component

summary score) and clinical factors (the American Society of Anesthesiologists grade (a measure of

fitness for surgery), comorbidities, previous knee surgery, fixed flexion deformity, valgus or varus

deformity and preoperative anterior cruciate ligament state) [44]. Internal validation of the model

demonstrated overall good discrimination (R²=20%) and calibration but did not perform well in their

validation cohort [44]. They attributed this to fundamental differences in patient characteristics,

surgical techniques and implants, proportion of missing data, and varying proxy variables between

the development and validation cohorts. A further cost-utility analysis did not find the COASt knee

model to be cost-effective and therefore, the implementation in practice could not be

recommended. It is of note that previous models are solely focussed on clinical factors, while the

evidence from this study and others [7, 10, 19] demonstrate that outcome is influenced by both

clinical factors and psychosocial factors (including patient beliefs and health behaviour). It is likely

that any clinical prediction model will need to incorporate both these domains to be optimal in

predicting outcomes.

Our findings highlight the importance of biopsychosocial assessment in patients undergoing TKA.

Alattas et al. (2017) in a systematic review which included 10 studies, found consistent evidence for

the role of anxiety and some evidence for the role of depression in predicting poor outcome [51].

We found that people with high expectations of knee pain after recovery also have poorer outcome.

Taking into account their condition and their requirements, patients may make a realistic

assessment of their outcome. However, pessimism has been linked to long-term poorer physical

health, even when controlling for the health status at the time of pessimism [50]. Misplaced adverse

beliefs may influence one's perception of events and affect the way we cope [16]. Studies have

found that active coping strategies such as remaining active and positive refocusing are associated

with less pain and functional impairment [28, 52] whereas adopting passive coping strategies such as

catastrophizing has been related to poorer functional outcomes [16]. The role of psychosocial

factors in predicting outcome is important because such factors are potentially modifiable

preoperatively and if the relationship is causal, could improve outcome. Cognitive and other

behavioural therapies, which can include focussing on behavioural activation, pacing and changes in

lifestyle can alter patients expectation and coping style, and indeed have been shown to have

positive effects on pain experience and positive coping measures [53].

The purpose of designing a clinical prediction tool is not to determine who should and should not

undergo total knee arthroplasty but instead act as an aid to shared decision-making between the

patient and clinician in terms of highlighting patients at higher risk of a poor outcome and also

establishing realistic expectations of postoperative pain and function.

In conclusion, we have developed a prediction model for outcome after TKA, including both clinical

factors and patient attitudes and behaviour in terms of self-management. Future work may

investigate the validation of the model in another cohort and its impact on clinical decision-making.

The results also offer the possibility that modifying illness beliefs and behaviours may result in better

TKA outcomes.

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all authors.

Disclosure statement

All authors have no conflicts of interest or financial ties to disclose.

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Table 1. Characteristics of the study population

edictors			N
			respondent
Demographic and socioeconomi	c characteris	stics	
Age (median years, IQR)	68.6	63.3-74.6	721
No. female (n, %)	379	52.6%	721
Marital status (n, %)			
Single	35	4.9%	
Married	485	67.5%	
Widowed	100	13.9%	
Divorced	67	9.3%	
Separated	8	1.1%	
Co-habiting	24	3.4%	719
Education (n, %)			
Secondary school	356	49.5%	
Apprenticeship	81	11.3%	
Further education college	188	26.2%	
University degree	69	9.6%	
Further degree	25	3.5%	719
Centres			
Edinburgh	242	33.6%	
Aberdeen	118	16.4%	
Royal Orthopaedic Hospital	146	20.3%	
Weston General Hospital	45	6.2%	
Barts Health NHS Trust	17	2.4%	
Warrington	20	2.8%	
Fife	67	9.3%	
Dudley	13	1.8%	
Pennine Acute	53	7.4%	721
Work			
Current employment status (n, %)			
Working full time	117	16.6%	
Working part-time	68	9.7%	
Retired	397	56.5%	
Unable to work because of illness or disability	41	5.8%	
Student	0	0	
Unemployed and looking for work	6	0.9%	
Not looking for paid employment	74	10.5%	703
Clinical factors			
Duration of knee pain (median years, IQR)	7.2	2.0-10.0	699
Baseline Oxford Knee Score (mean, IQR)	20.6	15.0-26.0	709
Chronic Pain Grade (n, %)			664

No pain – Grade 0	126	19.0%
Low disability and low intensity – Grade I	55	8.3%
Low disability and high intensity – Grade II	175	26.4%
High disability and moderate intensity – Grade III	145	21.8%
High disability and high intensity – Grade IV	163	24.6%

Table 2. Univariable associations between individual preoperative variables and poor outcome

Predictors	Persons with poor outcome ¹	N total	Odds Ratio	95% Confidence Interval		
Sociodemogr	aphic factors					
Age, years	67.8 (9.0)	704	0.99	0.96-1.01		
Gender						
Female	55 (15.1)		refe	erence category		
Male	44 (12.9)	704	0.84	0.54-1.28		
Clinical	factors			'		
Duration of knee pain, years	8.4 (7.6)	682	1.02	0.998-1.05*		
Baseline Oxford Knee Score; per unit (0-48)	15.2 (6.8)	695	0.87	0.84-0.91*		
Chronic Pain Grade				'		
No pain – Grade 0	4 (3.3)		refe	eference category		
Low disability and low intensity – Grade I	2 (3.8)		1.16	0.21-6.53		
Low disability and high intensity – Grade II	12 (7.1)		2.25	0.71-7.17		
High disability and moderate intensity – Grade III	24 (16.7)		5.90	1.98-17.54*		
High disability and high intensity – Grade IV	45(27.6)	651	11.25	3.92-32.30*		
Chronic Widespread Pain						
No	78(12.5)		refe	erence category		
Yes	18 (25.0)	697	2.34	1.30-4.19*		
Sleep Problem Scale				'		
Mildly sleep disturbed (≤ 15 nights)	77 (13.0)		reference category			
Severely sleep disturbed (>15 nights)	21 (19.8)	699	1.66	0.97-2.83*		
Co-morbidities				'		
≤1 comorbidities	14 (8.5)		reference category			
2-3 comorbidities	53 (12.8)		1.58	0.85-2.93		
≥4 comorbidities	32 (25.8)	704	3.75	1.90-7.40*		
Psychosoc	ial factors			'		
Illness Attitude Score; per unit (0-108)	31.9 (13.4)	655	1.03	1.01-1.05*		
Active coping; per unit (7-35)	21.1 (4.6)	562	0.87	0.83-0.92*		
Passive coping; per unit (11-55)	33.6 (7.6)	547	1.08	1.05-1.12*		
Expectations of pain after recovery;						
per unit (0-100)	51.4 (29.0)	685	1.01	1.01-1.02*		
Expectations of limitations after recovery;						
per unit (0-100)	43.5 (25.4)	685	1.02	1.01-1.02*		
Mental and P	hysical Health	1				
HADS ² anxiety						

-

¹ For categorical variables, number and percentages of persons with poor outcome are reported. Means and standard deviations of persons with poor outcome are reported for continuous variables

² Hospital Anxiety and Depression Scale

Mild to moderate anxiety	84 (12.8)		reference category				
Severe anxiety	15 (34.9)	702	2.58	1.48-4.49*			
HADS depression							
Mild to moderate depression	78 (12.5)		reference o	category			
Severe depression	21 (26.9)	702	3.67	1.88-7.15*			
PROMIS ³ mental health; per unit (4-20)	42.6 (5.6)	696	0.93	0.89-0.97*			
PROMIS ⁴ physical health; per unit (4-20)	35.0 (3.6)	691	0.82	0.77-0.87*			
Quality of life							
EQ5D; per tenth of a unit							
(-0.5-1.0)	2.6 (1.8)	685	0.74	0.65-0.83*			

^{*}p < 0.2

³ Patient Reported Outcome Measurement System ⁴ Patient Reported Outcome Measurement System

Table 3. Predictors of poor outcome in a multivariable stepwise regression model

Predictors	Adjusted Odds ratio	95% Confidence Interval
Oxford knee score (per unit increase in score)	0.89	0.86-0.93
Expectations of knee pain after recovery (per unit increase in score)	1.01	1.005-1.02
Active coping (per unit increase in score)	0.91	0.86-0.96
Chronic Widespread Pain	1.65	0.86-3.17

Predictors					Instrume	ent scale sco	ores (clinica	al points)					Scores
Oxford Knee Score	0-4	5-8	9-12	13-16	17-20	21-24	25-28	29-32	33-36	37-40	41-44	45-48	
	(11 pts)	(10 pts)	(9 pts)	(8 pts)	(7 pts)	(6 pts)	(5 pts)	(4 pts)	(3 pts)	(2 pts)	(1 pts)	(0 pts)	
Expectations of knee	0-32	33-65	66-100										
pain after recovery	(0 pts)	(1 pts)	(2 pts)										
Active coping	7-12	13-18	19-24	25-30	31-35								
	(5 pts)	(4 pts)	(3 pts)	(1 pts)	(0 pts)								
Chronic widespread	Yes	No											
pain	(1 pts)	(0 pts)											
											Total	point	

Total point	Risk of OKS ≤ 26 in %	Total point	Risk of OKS ≤ 26 in %
< 4	≤1	12	26
5	1	13	36
6	2	14	46
7	4	15	57
8	6	16	68
9	8	17	77
10	12	18	84
11	18	19	89

Note: For each instrument scale scores, enter the corresponding clinical points in the box on the right hand side. Add up the points and enter the total. Look for the total point in the lower table and get the percentage risk of a poor outcome post-TKA.

Figure 1. Points-based risk-scoring system for estimation of poor outcome (defined as Oxford Knee Score (OKS) ≤ 26) post-TKA. Scores range from 0 to 18 points.