Development of multi-substituted hydroxyapatite nanopowders as biomedical materials for bone tissue engineering applications

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ABSTRACT

Ionic substitutions have been proposed as a tool to control the functional behavior of synthetic hydroxyapatite (HA), particularly for Bone Tissue Engineering (BTE) applications. The effect of simultaneous substitution of different levels of carbonate (CO₃) and silicon (Si) ions in the HA lattice was investigated. Furthermore, human bone marrow-derived mesenchymal stem cells (hMSCs) were cultured on multi-substituted HA (SiCHA) to determine if biomimetic chemical compositions were osteoconductive. Of the four different compositions investigates, SiCHA-1 (0.58wt% Si) and SiCHA-2 (0.45wt% Si) showed missing bands for CO₃ and Si using FTIR analysis, indicating competition for occupation of the phosphate site in the HA lattice. 500°C was considered the most favourable calcination temperature as: (i) the powders produced possessed a similar amount of CO₃ (2-8wt%) and Si (<1.0wt%) as present in native bone; and (ii) there was a minimal loss of CO3 and Si from the HA structure to the surroundings during calcination. Higher Si content in SiCHA-1 led to lower cell viability and at most hindered proliferation, but no toxicity effect occurred. While, lower Si content in SiCHA-2 showed the highest ALP/DNA ratio after 21 days culture with hMSCs, indicating that the powder may stimulate osteogenic behaviour to a greater extent than other powders.

Key Words: hydroxyapatite, carbonate, silicon, *in vitro* test, human mesenchymal stem cells

INTRODUCTION

In the 1980's, Hydroxyapatite (HA) was considered to be the material of choice for the development of bone substitutes to repair bone fractures and other defects due to its strong affinity with the mineral constituents of bones, good bioactivity, osteoconductivity and biocompatibility with the human bone tissue [1-5]. Although, stoichiometric HA, composed of calcium (Ca), phosphates (PO₄) and hydroxyl (OH) groups $[Ca_{10} (PO_4)_6 (OH)_2]$, the chemical composition of biological apatites differs from the stoichiometric HA [6-9]. The biological apatites are uniquely similar in that they all comprise carbonate (CO₃) in varying amounts of 2-8wt%, preferentially substituting the phosphate site (B-type) compared with hydroxyl (A-type) ions in the apatite lattice [9-13]. The composition of CO₃ depends on bone age, site, sex and health of the individual [8, 14, 15].

The beneficial impact of CO₃ substitution into phosphate and/or hydroxyl site in HA structure has been reported in the literature. For instance, the presence of Btype CO₃₋HA (CHA) has been shown to cause a decrease in crystallinity and enhance solubility both *in vitro* and *in vivo* [6, 16, 17]. *In vivo* tests using an ovine model demonstrated that more dissolution was observed from the CHA at the bone implant interface and within the implant, when compared to pure HA [18].

Among other trace elements present in natural bone, silicon (Si) plays an important role in early stage of bone formation [5, 19]. Back in 1970's, Carlisle found that Si deficiency resulted in abnormal bone formation and this finding was supported by Schwartz & Milne who confirmed that Si play an important role as cross-linking agent in connective tissue and its importance to vascular health [20, 21]. Si also plays an important role in bone metabolism [20, 22]. At physiological levels, soluble Si was reported to enhance osteoblastic cell proliferation and differentiation [23], stimulates 3

the enzymes involved in collagen type I synthesis [19] and increases the bone mineral density (BMD) [24]. However, there is still a discrepancy on the effect of Sisubstituted HA (SiHA) on biological responses, which has been reported in the literature. This is mainly because Si substitution into HA lattice is known to have a dose-dependent effect on the proliferation, differentiation and collagen synthesis of osteoblast, with a direct influence on the remodelling process and osteoclast development and resorption activities [8, 24-26].

In this context, we are now focussing on the development of siliconcarbonated HA (SiCHA) powders with a fully controlled level of CO₃- and Sisubstitutions into the HA structure as this may result in synergy between the bioresorbable properties of CHA and the release of soluble Si [15, 26]. To date, several studies on the development of SiCHA powders focused on different synthesis techniques, physico-chemical characterizations and some solubility tests in simulated body fluid (SBF) to predict the ability to form apatite layer in vitro [6, 7, 27-29]. However, to our knowledge, limited investigations have considered the cell responses. Recently, Landi and colleagues have highlighted the benefits of CHA, SiHA and SiCHA in stimulating better cell responses compared to HA alone, where high Si content (0.88wt%) substituted the HA lattice was found to cause a toxic effect to human osteoblasts cells [8]. Even though these bioceramics are easily producible by both dry and wet chemical method and appear to be biocompatible in their bulk form, knowledge concerning the effect of ionic substitutions into the HA structure and their releases to the surrounding cells during culture are still lacking. Therefore, the aim of the present study was to develop SiCHA powders with controlled amounts of CO₃and Si-substituted into the apatite structure to closely mimic the range of compositions observed within bone mineral and investigated the effect of ionic 4

substitutions in response to human bone marrow derived-mesenchymal stem cells to finally select the most osteoconductive powders as the potential biomedical materials for BTE applications.

MATERIALS AND METHODS

Preparation of powders

The synthesis of silicon-carbonated HA (SiCHA) powders was performed based on a nanoemulsion method at ambient temperature described elsewhere [27]. Acetone was used as organic solvent to create the nanoemulsion phase. An acetone solution of calcium nitrate tetrahydrate, Ca(NO₃)₂.4H₂O (99.0% pure) was added dropwise into the di-ammonium hydrogen phosphate, (NH₄)₂HPO₄ (98.0% pure), ammonium hydrogen carbonate, NH₄HCO₃ (99.0% pure) and silicon tetra acetate, Si(CH₃COO)₄ (98.0% pure) in aqueous solution; all reactants were purchased from Sigma-Aldrich. Four different groups of powders with different CO₃ (*x*) and Si (*y*) molar contents were synthesized in this work: CO₃-substituted HA (CHA), Sisubstituted HA (SiHA) and silicon-carbonated HA (SiCHA-1 and SiCHA-2), as shown in Table 1. The general formula for the synthesized powders can be written as follows:

$$\underline{CHA} \qquad \underline{Ca_{10-x/2}(PO_4)_{6-x}(CO_3)_x(OH)_2}$$
(1)

$$\underline{\text{SiHA}} \qquad \underline{\text{Ca}_{10}(\text{PO}_4)_{6-y}(\text{SiO}_4)_y(\text{OH})_{2-y}} \tag{2}$$

Prior to production of SiCHA powders, pure CHA and SiHA were synthesized to optimize the composition of CO_3 - and Si- substituted into the apatite structure. The compositions of the pure CHA (x= 2.0) and SiHA (y= 0.3 and 0.5) powders were 5 chosen to closely mimic the composition of CO_3 - and Si in bone mineral; these powders then used as the control compositions.

Calcination was then performed on the as-synthesized powders at 500, 600 and 700°C with a heating rate of 10°C /min and at least one hour soaking time in ambient atmosphere.

Physical and chemical characterization techniques

Physical and chemical characterizations were firstly performed to optimize the calcination temperatures. Powders having the closest compositions to the natural human bone mineral were selected as the optimum condition.

X-Ray Diffraction Analysis

X-Ray Diffraction (XRD) was used to determine the crystallinity of powders after calcination. XRD was carried out using a Bruker D8 XRD with a copper anode (Cu K_{α}, λ =1.5406 Å) as X-Ray source. The range of x-ray scan was fixed from 2θ =10° to 90°. HA standard pattern with ICDD file number of 09-0432 was used as the reference pattern. <u>Data analyses were done using X'Pert HighScore Plus software.</u> Lattice parameters and crystallite size were calculated based on Rietveld refinement.

Fourier Transform Infra-Red Spectroscopy

Fourier Transform Infra-Red (FTIR) Spectroscopy was used to identify any changes in the mechanisms of CO_3 and Si ions substitution within the HA structure after calcination. The wavenumber range was 4000 to 650 cm⁻¹; with a spectral resolution of Spectrum 100 Software. Each sample was scanned four times using transmittance mode.

Transmission Electron Microscopy

Philips CM100 Transmission Electron Microscope (TEM) was used to examine the morphology of the calcined powders in terms of their particle size and shape. Prior imaging the samples, 0.1 mg of powders were suspended in pure water and sonicated for ten minutes to allow the powders to be well dispersed. A drop of the suspension was then carefully placed onto a copper grid (diameter= $3.05 \mu m$, mesh=400) and allowed to dry. Samples were imaged at a magnification of 130 kX at HV=100.0kV.

Carbon, Hydrogen, Nitrogen Analysis

The percentages of CO_3 incorporated in the calcined powders were determined using Carbon, Hydrogen, Nitrogen (CHN) analysis using Carlo Erba 1180 Elemental Analyser controlled with CE Eager 200 Software, run in accordance to the manufacturer's instruction and weighed using a certified Mettler MX5 Microbalance. The powders (1.5-2.0 mg) were combusted at high temperatures in a stream of oxygen, and the products of the combustion for carbon, hydrogen and nitrogen were measured by the instrument in a single analysis. In order to estimate the amount of CO_3 present in the sample, the wt% of carbon obtain was multiplied by a factor of five [10, 32].

Inductively Coupled Plasma-Optical Emission Spectroscopy Measurement

0.01 g of the calcined powders was digested in 1 M HNO₃ (2.5 mL of HNO₃, 1.5 mL of H_2O_2 , and 0.3 mL of HCl) in a 100 mL Erlenmeyer flask. The concentrations of Ca, P and Si in the calcined powders were determined by 7 inductively coupled plasma with optical emission spectroscopy (ICP-OES) using Perkin Elmer Optimal 4300DV instrument.

X-Ray Fluorescence Analysis

X-Ray Fluorescence (XRF) is an emission spectroscopic technique, which identify the elements present in the sample. The ratio of Ca/P and percentages of Si that successfully incorporated into the apatite structure were determined using XRF. The sample preparation and analysis of the calcined powders were performed at Glass Technology Service (GTS) in Sheffield. The elemental compositions in the powders were quantified using a Rigaku RIX-3000 wavelength dispersive XRF spectrometer. The 2910a sample (54.48% CaO, 41.25% P₂O₅, 0.21% SiO₂, 0.043% Na, 0.011% Mg, 0.094% Al, 0.078% Sr, 0.011% Fe and 0.01% Zr) was used as check standards for the analysis, which were run pre- and post-sample analyses to ensure the accuracy of the results obtained.

In vitro biocompatibility assessment

International Organization for Standardization (ISO) 10993-5: Biological Evaluation of Medical Devices, Part 5: Tests for Cytotoxicity, was adapted and used as guideline in this study. This test involved the study on the cell viability, proliferation, metabolic activity and early osteogenic differentiation of hMSCs in direct contact with the investigated samples. The *in vitro* biocompatibility test was performed only on the optimized calcined powders.

Sample Preparation

As all samples tested were in powder form, aliquots of 0.05 g of the <u>optimized</u> <u>calcined powders</u> were sterilized in 1.0 mL of 70% industrial methylated spirit (IMS) for three hours followed by rinsing twice with PBS.

Cell culture and seeding

Human bone marrow derived-mesenchymal stem cells (hMSCs) obtained from a 24-year old male (Lonza, United States) at passage zero (P0) were expanded until passage two (P2) when the required cell number was obtained. Cells were cultured in high glucose expansion media consists of 4.5g/L Dulbecco's Modified Eagle Medium, DMEM (Lonza, United Kingdom), 1% v/v L-glutamine (Lonza, United Kingdom), 1% v/v Penicilin-Streptomycin (Lonza, United Kingdom) and 10% v/v Fetal Bovine Serum (Biosera labtech, United Kingdom) followed by, incubation at humidified environment at 37°C with 5% CO₂. hMSCs at passage 3 (P3) were seeded at $5X10^4$ per well in a 24 well cell culture plate and allowed to adhere for three hours. Prior to direct contact of the powders with the seeded cells, 1.0 mL of osteogenic media was directly added into the aliquots containing 0.05 g of sterile powders, mixed well and carefully transferred to the relevant wells. Culture media was replenished every three days for 21 days. A glass pipette was used to carefully discard the media from the side of the relevant wells without disrupting the culture. In all cases, tissue culture plastic alone cultured in osteogenic media acts as the positive control (non-toxic) whilst negative control (toxic) consists of 0.1% Triton-X in high glucose expansion media. At 7, 14 and 21 days, cells were rinsed with Phosphate Buffer Saline, PBS (Sigma-Aldrich, United Kingdom), trypsinized, washed again

with PBS and samples were lysed in 1.0 mL of dH_2O followed by being frozen at - 80°C.

Cell viability

The cell viability was observed using Confocal Laser Scanning Microscope (CLSM) Olympus Fluoview FV 1200 with Fluoview Version 4.1 software (Olympus, UK). The viability of the cells was assessed at 7, 14 and 21 days using the Live/Dead Assay Kit (Invitrogen, United Kingdom) according to the manufacturer's instructions. Calcein-AM ester was used to fluorescently label viable cells (green); the nucleus of dead cells is labelled with Propidium Iodide (red). Briefly, cell culture media was removed from samples. They were washed with 1.0 mL PBS then immersed in 0.5 mL PBS staining solution containing 10 μ M Calcein-AM and 1 μ M Propidium Iodide and incubated at 37°C for 20 minutes in the dark. The samples (*n*=1) were then washed once with 1.0 mL of PBS and immediately imaged using CLSM.

Cells activity and proliferation

The Quant-iTTM Picogreen® dsDNA assay kit (Invitrogen, United Kingdom) was used according to the manufacturer's instruction. The Picogreen solution was prepared as 1: 200 dilutions in 1 X Tris-EDTA (TE) buffer. Ranges of DNA dilutions (0-2 μ g/mL) were used to construct a standard curve. 100 μ L of cell lysate or DNA standard was placed each well of a 96 well plate, followed by 100 μ L of Picogreen reagent to each well. This was placed in the dark for 5 minutes before reading the fluorescence at 485/535 nm (excitation/emission) using Synergy II BioTek plate reader.

Alkaline phosphatase (ALP) activity obtained was from 4а Methylumbelliferyl phosphate, 4-MUP (Sigma-Aldrich, United Kingdom) reaction. Ranges of 4-Methylumbelliferone, 4-MU (Sigma-Aldrich, Switzerland) dilutions (0-2 μ g/mL) were used to construct a standard curve. 50 μ L of the cell lysate from each sample or standard of 4-MU and 50 µL of 4-MUP was then added into the relevant well of 96 well plate to this followed by incubation at 37°C for 90 minutes. To terminate the reaction, 100 µL of 1 X TE was added and the reading of the fluorescence was taken at 360/440 nm (excitation/emission) using Synergy II BioTek plate reader.

Cell metabolism

The levels of total protein were quantified using Bradford reagent (Sigma-Aldrich, United Kingdom). Ranges of protein standard solutions (0-2 mg/mL) were prepared by dissolving Bovine Serum Albumin, BSA (Sigma-Aldrich, United Kingdom) in distilled water. For total protein assay, 50 μ L samples or standards were placed in each well of 96 well plates, followed by addition of 50 μ L of Bradford reagent. Samples were incubated for 5 minutes at room temperature before reading the absorbance level at 595 nm using Synergy II BioTek plate reader.

Statistical analysis

Quantitative data were presented as means±standard deviation (SD). Data were initially tested for normality using the Kolmogorov-Smirnov test, with Dallal-Wilkinson-Lillie for corrected P value. To determine any differences between powders group at each time point, a two-way ANOVA with multiple comparisons Tukey test was performed. Statistical significance was considered for $p \le 0.05$ (*), 11 $p \le 0.01$ (**), $p \le 0.001$ (***) and $p \le 0.0001$ (****). For biochemical assays, tests were performed on n=3 in duplicate. All statistical analyses were performed using GraphPad Prism 7 software.

RESULTS

Physico-chemical characterizations

XRD analysis

Regardless of powder formulations, all powders remained stable in a singlephase diffraction pattern, typical of hydroxyapatite, HA (ICDD: 09-0432). Varying the calcination temperature from 500°C to 700°C shows no obvious difference between the diffraction patterns as shown in Fig. 1. However, the crystallographic properties showed evident effects on the lattice parameters and crystallite size of the calcined powders (Table 2). There were eight main peaks detected, i.e. at about $2\theta=26^{\circ}$ which was indexed at (002), $2\theta=32-34^{\circ}$ which represents three overlapped peaks of (211), (300) and (202), $2\theta=39.8^{\circ}$ indexed to (310), $2\theta=49.4^{\circ}$ for (222), and $2\theta=50$ and 53° indexed to (213) and (004), respectively. <u>Powders containing</u> carbonate demonstrated a contraction in *a*-axis and expnasion in *c*-axis while carbonate-free powders (SiHA) showed expansions in both *a*- and *c*-axes at any calcination temperature. No other phase of calcium phosphate, such as calcium oxide ($2\theta=37.3^{\circ}$) was traced even at high calcination temperature of 700°C.

FTIR analysis

The full FTIR spectra scanned at 650-4000 cm⁻¹ and their typical bands of the CO₃ and SiO₄ ions substituted into the apatite structure are represented in Fig. 2 for CHA, SiHA, SiCHA-1 and SiCHA-2 powders calcined at 500-700°C. For CHA and SiHA powders (Fig. 2 a and b), the typical peaks of hydroxyl groups were detected at 12

3570 and 1643 cm⁻¹, while only one main peak of hydroxyl was detected at 3570 cm⁻¹ for SiCHA-1 and SiCHA-2 calcined powders (Fig. 2c and d) [6, 32].

All calcined powders showed the main characteristic bands of phosphate groups at about 960, 1020 and 1080 cm⁻¹ as these are the most intense peaks in characterizing the hydroxyapatite crystals structure [33, 34]. The IR spectra also confirmed that there is no other secondary phase such as calcite ~712 cm⁻¹, aragonite ~713 and 700 cm⁻¹ and vaterite ~745 cm⁻¹ were observed for all samples [34, 35].

All CHA calcined powders regardless of the calcination temperature produced bands corresponding to the B-type CHA at about 870-875, 1410-130 and 1450-1470 cm⁻¹ [12, 13, 36]. For SiCHA -1 and SiCHA-2 calcined powders, a missing band of CO₃ at 870-875 cm⁻¹ was observed and only two main bands of CO₃ were found at 1410-1430 and 1450-1470 cm⁻¹. Despite of the missing band, no carbonation occurred at the hydroxyl sites (A-type CHA) which is normally detected at 877-880, 1500 and 1540-1545 cm⁻¹ [13, 33, 37] in any composition of the calcined powders at 700°C.

The typical bands of Si-substituted HA (SiO₄) structure were detected at 947 and 880 cm⁻¹ [26, 29] in the SiHA calcined powders at 500-700°C. Again, both SiCHA calcined powders demonstrated the absence of one of the Si-substituted HA band at about 947 cm⁻¹. A careful inspection of the IR spectra shows a small band of SiO₄ at 880-890 cm⁻¹ for both SiCHA-1 and SiCHA-2 regardless the calcination temperature. Since the band of SiO₄ appears to be too small to be observed on the spectra, the results of FTIR analysis of the calcined powders was listed in Table 3 for a clear comparison between the samples.

TEM imaging

The TEM micrographs of the calcined powders at 500°C are shown in Fig. 3. The CHA powders appeared to be more spherical (20-30 nm length, 10-30 nm width) compared to pure SiHA, which have elongated rod-like particles (50-100 nm length, 15-30 nm width). At 500°C, both SiCHA-1 and SiCHA-2 powders produced particles with dimensions which fall in the range of 30-50 nm length and 15-30 nm width, perfectly matching the dimension of biological apatites [38]. The particle sizes of the calcined powders correlates well with the crystallite sizes obtained from XRD analysis, where the same trends were seen in both analyses.

CHN analysis

CHN analysis clearly demonstrated that the CO₃-substituted HA calcined powders, namely the CHA, SiCHA-1 and SiCHA-2 exhibited the loss of CO₃ as the temperature increased (Table 4). For example, even at a low calcination temperature of 500°C, there is about 21% CO₃ loss from the CHA powders; a similar trend is seen for SiCHA-1 and SiCHA-2. While, CO₃-free HA (SiHA) calcined powders showed an increment in the percentage of CO₃ with increasing temperature. However, the percentage of CO₃ present in the SiHA calcined powder is relatively low as compared to the CO₃-substituted HA powders given any calcination temperature.

Elemental analysis

The ICP-OES and XRF elemental analyses suggested that the ionic substitutions either the CO_3 and/or Si have a significant effect on the ratio of Ca/P. The ratio of Ca/P obtained for all the calcined powders are significantly higher than the stoichiometric HA which is 1.67. Higher amount of Si was detected in SiCHA-1 14

as compared to SiHA and SiCHA-2 at all given calcination temperatures. Regardless of the powder formulation, all Si-substituted HA powders contains <1wt% Si incorporated in the HA lattice (Table 5). <u>It was stated that the amount of Si present *in vivo* within the mineralising osteoid regions was 0.5wt% by Carlisle (1970) [20]. <u>Hence, the SiCHA produced in this work could be considered comparable to the</u> <u>mineral content found in bone *in vivo*.</u></u>

In vitro biocompatibility test

<u>Using the physico-chemical characterizations, a calcination temperature of 500°C was chosen as the optimal calcination temperature and the optimum powder compositions were CHA, SiHA, SiCHA-1 and SiCHA-2.</u> The osteogenic effects of these optimised powders were then investigated using human bone marrow-derived mesenchymal stem cells (hMSCs).

Cell viability

CLSM images for the controls and calcined powders at 500°C after 7, 14 and 21 days in culture are presented in Fig. 4. <u>Cells in direct contact particularly with</u> CHA, SiHA and SiCHA-2 powders demonstrated an elongated fibroblast-like morphology consistent with the positive control at each time-point. While, SiCHA-1 revealed a lower proportion of live cells relative to SiCHA-2, SiHA and CHA powders throughout the culture. However, none of the tested powders demonstrated a toxic effect, indicated by rounded cell nucleus as observed in the negative control.

Cell proliferation and osteogenic activity

The obtained DNA concentrations suggested that all the investigated powders stimulated cell proliferation (Fig. 5 a). When comparing the powders with each other there was no significant difference between SiCHA-1, CHA and SiHA on day 7, but SiCHA-2 was significantly higher over SiCHA-1 (p=0.0021). Overall, SiCHA-2 showed the highest DNA concentrations compared to all other investigated groups (TCP and powders) on day 14 and day 21 ($p \le 0.0001$).

ALP activity was clearly increased over time for all the tested powders (Fig. 5 b) with cells cultured on SiCHA-2 showed the highest mean ALP/DNA at either timepoint ($p \le 0.0001$). Between the single substitution powders, CHA showed significantly higher levels of mean ALP/DNA relative to SiHA as culture progressed ($p \le 0.0001$). SiCHA-1 demonstrated similar trend to CHA, where the levels of ALP/DNA of SiCHA-1 significantly higher as compared to SiHA on day 14 (p = 0.0047) and day 21 (p = 0.0007).

Total protein production

The total protein production followed the behaviour of cell number indicates by the DNA concentrations where SiCHA-1 had the lowest levels mean total protein among the tested powders but still significantly higher compared to TCP on day 7 (p=0.0006) and day 14 (p≤0.0001). However, the cells in direct contact with SiCHA-1 produced lower level of total protein compared to TCP on day 21 (p≤0.0001). Overall, cells on SiCHA-2 produced the highest total protein compared to all other investigated groups (TCP and powders) on day 7, 14 and 21 (p≤0.0001).

DISCUSSION

Chemical modification of HA through ionic substitution has demonstrated benefits in accelerating bone regeneration and facilitated cell-mediated resorption of the ceramic scaffold compared with the use of stoichiometric HA [6, 8]. However, the delivering of these ionic substitutions to the targeted cells should be in controlled amounts; mimicking as is presents in the native bone, i.e. carbonate (up to 8wt%), and silicon (up to 1wt%) besides calcium and phosphate as the major components [8, 19, 39].

SiCHA with different degrees of CO₃ and Si ionic substitutions (were successfully produced in this study by chemical modification of the nanoemulsion method. Calcination treatment is a mandatory step for producing high performance scaffolds for BTE application. The main aim of calcination treatment is to ensure that the synthesis process is completed and any unreacted components incorporated during synthesis are eliminated [27, 40]. CO₃ loss in air generally starts at 550°C and is completed above 900°C, depending on treatment-time and composition [13, 41]. Therefore, the calcination temperature was limited up to 700°C for 1 hour (soaking period) in this study to retain the CO₃ content.

The physical and chemical analyses established that simultaneous substitution of CO₃ and Si ions into the HA lattice remained as pure single phase HA at 500 to 700°C. The absence of (112) Miller's plane, which usually appears on a standard HA diffraction pattern is typically attributed to the substitution of the ions into the HA structure [42]. The increased in the crystallite size of the powders with the increased of calcination temperature could be easily explained as higher calcination temperature leads to an increase in the atomic mobility, which gives rise in the grain growth resulting in a larger crystallite size. The IR spectrum shows a clear comparison between CHA, SiHA and SiCHA after calcination. Both SiCHA calcined powders demonstrated similar characteristics with missing bands of CO_3 and Si ions at 870-875 cm⁻¹ and 947 cm⁻¹, which is one of the typical bands of B-type CHA and Si-substituted HA, respectively. These missing bands in SiCHA powders provide evidence of the competitive substitutions between the CO_3 and Si ions into the phosphate sites.

The low calcination temperature used in this work allow the powders to remain as B-type CHA and not transforming from B-type to more complex mixture of AB-type or A-type CHA. This phase transformation normally occurs when assynthesized powders are heat treated between 700-1200°C [32, 37, 43]. This indicated that the calcined powders produced in this study are thermally stable up to 700°C.

Surprisingly, carbonate-free substituted HA (SiHA) powders showed an increment in the percentage of CO_3 as the calcination temperature increased but was not detected in the IR spectra. This means that the CO_2 from the atmosphere was absorbed only on the surface of the powders during calcination and not incorporated in the crystal structure. The small amount of CO_3 in SiHA might also be due to the presence of acetate group that would have remained from the silicon acetate precursor [32]. In order to prevent any carbonation in the SiHA structure, the calcination of the powders could be performed in argon atmosphere instead of air [2]. At this stage of study, we concluded that 500°C was chosen as the optimum condition to produce highly controlable amounts of CO_3 - and/or Si-substituted HA nanopowders which remained as single phase B-type CHA and possess similar composition to the inorganic components of bone mineral.

The surface morphologies of the optimised powders were found to be relatively differences in the particles size and shape, due to the differences in ionic 18 substitutions. The presence of high CO₃ concentrations in the CHA powders caused the powders to be more spherical; which is a similar observation made by Zhou et al. (2008) [27]. On the other hand, SiHA powders possess more elongated and larger particle size with about 80-100 nm in length. Both SiCHA powders produced in this study perfectly matched the dimensions of the biological apatite with particle sizes falling in the range of 30-50 nm in length and 15-30 nm in width [38]. It is important to ensure that the powders produced are at the nanoscale level in particular for BTE applications as it directly affects the mechanical and biological performances of the end products. It was shown in literature that nanoparticle apatite greatly influences variety of metabolic functions and remodelling processes [44]. For instance, *in vitro* tests of fibroblast and osteoblasts cells on the nanocrystalline octacalcium phosphate (OCP) coatings, proved that both cell types could adhered, formed a normal morphology, proliferated and remained viable, thus supporting a good biocompatibility and absence of any toxicity effects.

Despite their composition, no sign of toxicity was evident from any of the powders using a direct contact method with hMSCs. It was previously reported that the toxicity of a material is mainly caused by either the release of ions or compounds, or worn debris from the ceramic material itself [45]. In the present finding, we observed that the cells in direct contact with SiCHA-1 powders were less confluent than the other tested powders at each time-point. This is due to high ion released from SiCHA-1 powders as it contains the highest Si (0.58wt%) content among them. This abrupt ion released at early stage of culture (before 7 days) could have a negative impact on the cell viability, which caused in a slight loss of cells [8]. This is because some of the hMSCs were detached from the powders and resulted in suspended cells present in the media. Being an anchorage-dependent cells, hMSCs need a substrate to 19

attach and survive. When the detached cells were in suspension, they were floating and might have been discarded during media changed. Thus, less dead cells shown by the live/dead staining particularly on day 7 as compared to other tested powders. But, the population of the viable cells in direct contact with SiCHA-1 were found to remains low at every time point. However, <30% of cell death were found on all the exposed powders at 21 days. According to ISO 10993-5, a reduction of >30% viability is considered as cytotoxic. Thus, SiCHA-1 prepared in this study is considered as non-toxic, but is hindering the proliferation of hMSCs. Similar observation was reported by Landi et al. (2010) in their finding, where 0.8wt% Si in SiCHA powders caused a toxic effect on the human osteoblast cells, while SiCHA powders with lower Si content (0.55wt%) inhibited the proliferation of human osteoblast, but after 7 days of culture, powders were not considered to be toxic [8]. The higher the Si content incorporated in the HA structure, the higher the cell death. This is due to higher ion release as powders with high Si content have higher solubility [46, 47]. In this work, SiCHA-2 which contain <0.55wt% Si shows higher cell viability compared to SiCHA-1 with >0.55wt% Si. The same trend is also shown quantitatively by the DNA concentrations (Fig. 5a), which indicates the number of cell population.

After 21 days culture, SiCHA-2 shows the highest level of ALP/DNA ratio, which indicates the powder may stimulate osteogenic behaviour to greater extent than other powders. Botelho et al. (2006) found a similar behaviour up to 21 days and decreased in the ratio of ALP/COL I after 27 days treatment, which was related to the onset of mineralization [48]. However, the later trend was not observed in this study as the culture was terminated on day 21. Further work in the future, including

20

immunostaining, qPCR and flow cytometry would provide better indications for the osteogenic bone markers.

Carbonate-substituted HA appeared to perform better than other powders in the production of total protein after 21 days culture. This indicated that hMSCs were the most metabolically active when in contact with CHA powders. Higher CO_3 content resulted in higher metabolic activity of the particular cells/tissues [49-51]. For instance, bone, which is very active tissue, contained higher CO_3 compared to almost inert enamel [7, 8]. When comparing the SiCHA powders, SiCHA-2 shows relatively higher protein production than SiCHA-1. This is related to the cell number as represented by the amount of DNA obtained. Essentially, as the cell population increases in size so does the quantity of total protein. In addition, SiCHA-2 powders contained higher CO_3 content as compared to SiCHA-1.

CONCLUSIONS

The solubility-reactivity and biomaterial-cell interactive surfaces are two key points in determining the cell survival and response. Thus, controlled amounts of the ionic substitutions into the apatite structure are mandatory in order to promote the desired osteoblast behaviour. In this study, powders with 3.98wt% carbonate and 0.45wt% Si-substituted HA namely, SiCHA-2, shows the closest compositions to the physiological range of ionic substitutions in bone mineral, thus, make it the most favourable growth environment for hMSCs up to 21 days culture *in vitro*. These powders were associated with the most rapid proliferation of cells showed the strongest potential for osteogenic activity (ALP/DNA ratio). hMSCs also produced more proteinaceous material and were most metabolically active in direct contact with SiCHA-2 as compared to SiCHA-1. It can be concluded at this point that, among the 21

tested powders, SiCHA-2 was the best powder formulation and that it was suitable for the next phase in the development of a novel 3D SiCHA scaffold for BTE.

ACKNOWLEDGEMENTS

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22

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FIGURE TITLES AND LEGENDS

Figure 1.

XRD analysis of the calcined powders at 500-700°C; (a) CHA; (b) SiHA; (c) SiCHA-1; (d) SiCHA-2, demonstrating single phase of HA without the formation of secondary phase. <u>Regardless of the powders composition, no obivious differences</u> were observed between the diffraction patterns at any given calcination temperature.

Figure 2.

FTIR spectra of (a) CHA, (b) SiHA, (c) SiCHA-1 and (d) SiCHA-2 calcined powders at 500-700°C and their typical bands of the carbonate and silicon ions substituted into the apatite structure, respectively. <u>Regardless of the calcination temperatures, three</u> <u>major bands of CO₃ were observed for the CHA powders, whilst only two vibration</u> <u>bands detected for both SiCHA powders. CO₃-free powders (SiHA) exhited no CO₃ <u>bands besides the two typical Si-substituted vibration bands. At any given calcination</u> <u>temperature, SiCHA-1 and SiCHA-2 shows a missing band of SiO₄, indicating the</u> <u>evidence of the competitive substitutions between CO₃ and/or Si into the phosphate</u> <u>sites.</u></u>

Figure 3.

TEM images of the <u>optimum</u> calcined powders calcined at 500°C; (a) CHA; (b) SiHA; (c) SiCHA-1; (d) SiCHA-2. <u>The particle sizes of the calcined powders</u> <u>correlates well with the crystallite sizes obtained from XRD analysis, where the same</u> <u>trends were seen in both analyses.</u> Scale bar = 100 nm. Figure 4.

CLSM images of the controls and optimum calcined powders of CHA, SiHA, SiCHA-1 and SiCHA-2 cultured in osteogenic media for 21 days. Green indicates viable cells and red indicates dead cells. Scale bar = 200 µm. ** PVE CTL= Positive control (hMSCs cultured in osteogenic media act as tissue culture plastic) and NVE CTL= Negative Control (hMSCs cultured in 0.1% Triton-X in high glucose expansion media). The population of viable cells in direct contact with SiCHA-1 was lower compared the other tested powders, but no toxicity effect occurred throughout the culture period.

Figure 5.

Effect of CHA, SiHA, SiCHA-1 and SiCHA-2 as-calcined powders on (a) cell proliferation indicated by the amount of DNA, (b) the ALP activity per unit cell (ALP/DNA) and (c) the levels of total protein production. Control represents the tissue culture plastic in osteogenic media (positive control). Values represent the mean±SD of three samples in duplicate. (* $p \le 0.05$, ** $p \le 0.01$, *** $p \le 0.001$, **** $p \le 0.0001$). Cells in direct contact with SiCHA-2 demonstrtaed the highest DNA concentrations and total protein production than the other tested powders and controls. The lower Si content in SiCHA-2 showed the highest ALP/DNA ratio after 21 days culture with hMSCs, indicating that the powder may stimulate osteogenic behaviour to a greater extent than other powders.

TABLE TITLES AND LEGENDS

Table 1.

Different formulations of the prepared powders.

Note: Provided no carbonation in A site occurred.

Table 2.

Lattice parameters and crystallite sizes of CHA, SiHA, SiCHA-1 and SiCHA-2 powders at 500-700°C. Increasing the calcination temperature from 500 to 700°C altered the lattice parameters and increased the crystallite sizes of the calcined powders.

Table 3.

Results of FTIR analysis of the calcined powders at 500-700°C. Of the four different compositions investigates, SiCHA-1 and SiCHA-2 showed missing bands for CO₃ and Si using FTIR analysis.

Table 4.

CHN analysis of the calcined powders at 500-700°C. <u>Powders containing CO₃</u> <u>showed CO₃ loss as the calcination temperature increased. While, CO₃-free powders</u> <u>demonstrated an increment in CO₃ wt%, indicating the adsorption of CO₂ from the</u> <u>atmosphere during calcination.</u>

Table 5.

Elemental analyses of the calcined powders at 500-700°C. The ratio of Ca/P obtained for all the tested powders are relatively higher than the stoichiometric HA (1.67), suggesting that the ionic substitutions either the CO₃ and/or Si have a significant effect on Ca/P ratio.

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Reviewer
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Comments

The introduction contains general statements, such as C lines 41-43 of page 2/30 where the authors only refer to "benefits" without giving details, or line 3-5 page 3/30 saying there is "influence on remodelling" without including supportive data from the papers. This continues along the intro, lines 26-27 + 33-45 p3/30 "several studies" which? All these statements are general and do not provide factual data saying there was an increase of x% on the endpoints.

For the materials and methods, information on each individual formulation (powder preparation) is not clear enough for other teams to reproduce these experiments. The biocompatibility methods section is not clear on the experimental design.

For characterisation calcination temperatures were 500,600 and 700° C, while there is no mention of this on the biocompatibility methods nor results section.

Most importantly, the powder was added in the media and it is not clear how the authors obtained a homogeneous distribution of this powder on top of the cells and how this was not disrupted or washed away during media changes?

The authors could add more on the protocol to clarify this, although ideally experiments conducted on a coated surface or on disc of each material would demonstrate a more thorough experimental design.

Why was only one concentration tested for biocompatibility? Is not clear if this is one experiment with 3 samples and assays read twice? or how many times each experiment was repeated?

Also the manuscript mentions hMSC are expanded until passage 2, however Lonza provides hMSCs at p2, did the authors mean 2 additional passages? Why was confocal used instead of fluorescent when the experiments are 2D and no confocal 3D images are shown?

Action

Comments have been defined in text

Comments have been explained in text

The optimum calcination temperature was chosen based on the physico-chemical characterizations obtained. The biocompatibility tests were performed only on the optimum powders.

Comments have been clarified in text

The aim of the study was to study the effect of ionic substitutions on cell survival and response, not on compacted discs. But culturing cells on coated surface can be considered in future work.

For this work, only one concentration of powders was used for the biocompatibility tests as this concentration has sufficiently covered the surface area of each relevant well. Each experiment was repeated three times with 3 samples per experiment and assays were read from duplicates for each sample (n= 3x3x2 for each sample)

Comments have been justified in text

Confocal was used instead of fluorescent microscope because the UV generated by fluorescent microscope give too much background fluorescent effect from cells/particles, which make the observation difficult. Confocal is able to slice of several planes, thus resulted in clearer images. Besides, the cells attached to and grow around the particles in the powder. The point of confocal was to

Is there a control not grown on osteogenic media?

For the results section, it mentions tests were done with powders from 500 calcination, why not 600 or 700C? Fig 5 shows no death cells although it says so in the manuscript line 32 p12/30, if there are death cells, authors could use arrows to make them obvious.

Figures 5/6 does not match the DNA amount data as in the images, cell proliferation is high at day 7 and there is no change on day 14, 21, if any, a visual analysis could detect some decrease on cells overtime. This data needs to be validated via image analysis to quantify area coverage and compare among conditions/timepoints.

Cells were cultured in osteogenic media but there is no follow up of change of phenotype from the initial hMSC, could authors add phenotype analysis or increase of specific markers after culture in osteogenic media?

It is not clear why the experimental design includes total protein content instead of targeting a specific protein, i.e. collagen I?

References are outdated, only 7/38 were published from 2010, authors need to update references.

Captions for all figures/tables need to be improved to include details of what is shown in each and not only the conditions.

Figure 2 and 3 should be one figure, as well as fig5and6.

This paper describes the synthesis and characterization of multi-substituted hydroxyapatite nano powders. In particular the effect of multisubstitution toward cell interaction has been evaluated. This issue is still poorly investigated in literature, so the paper has acceptable level of novelty.

The experimental design is well defined and results are correctly and clearly exposed. However, the authors should try to improve the presentation of FTIR spectra. Even if the table 2 resumes all the signals commented in the text, it is very difficult to appreciate the very low signals that evidence that the cells spread over the surface of the particles. The results in 3D are not reported in this article, it was performed for author's understanding and observation.

Comments have been highlighted in text

Comments have been explained in text

Comments have been explained in text. All data have been validated using two way ANOVA.

Comments have been explained in text.

At this stage of study, the aim of the work was to test the toxicity of different powders formulation and select the most osteoconductive powders, not targeting any specific type of protein. This can be considered for future work.

References have been updated accordingly. 21/51 were published from 2010.

Comments have been defined in text

Figures have been updated

Comments have been explained in text. Figure 2 (combination of figure 2 and 3) has been labeled accordingly.

are reported in the FITR spectra as an evidence of the Si and CO3 substitution. Further labelling in figure 2 and 3 could help in this sense. The discussion about the differences among the various kinds of multi-substituted hydroxyapatite on cell interaction should be improved. The authors affirms that "... It was previously reported that the toxicity of a material is mainly caused by either the release of ions or compounds, or worn debris from the ceramic material itself ..." and affirm that "...the cells in direct contact with SiCHA-1 powders were less confluent (...) due to the ions released from SiCHA-1 powders...". However any ion release test is reported to support this hypothesis. There are some references that support this affirmation, but as underlined by the authors, they are referred to different synthesis routes and composition, so they could not be used as the unique key of interpretation of the experimental results reported in the present paper. Authors should report ion release results to give support to this hypothesis, or give another

Very few editing errors should be corrected, for example:

interpretation.

Page 16, line 12: "...There is also a missing band of one of the OH - group at 1600-1700 cm-1 was detected in our study.."

This paper deals with CO3 and Si substituted hydroxyapatie.

However no remarkable results were obtained in this study.

Comments have been explained in text.

Manuscript has been edited as adviced

Comments have been explained in text in more details.

TABLES

Table 1. Different formulations of the prepared powders.

•	Sample codes	$\mathrm{CO}_{3}\left(x\right)$	Si (y)	Powders formulation
	СНА	2.0	-	$Ca_9(PO_4)_4(CO_3)_2(OH)_2$
	SiHA	-	0.3	$Ca_{10}(PO_4)_{5.7}(SiO_4)_{0.3}(OH)_{1.7}$
	SiCHA-1	2.0	0.5	Ca ₉ (PO ₄) _{3.5} (CO ₃) ₂ (SiO ₄) _{0.5} (OH) _{1.5}
	SiCHA-2	2.0	0.3	Ca ₉ (PO ₄) _{3.7} (CO ₃) ₂ (SiO ₄) _{0.3} (OH) _{1.7}

Note: Provided no carbonation in A site occurred.

Table 2. Lattice parameters and crystallite sizes of CHA, SiHA, SiCHA-1 and SiCHA-2 powders at 500-700°C.

Samples	Calcination	Lattice para	ameters (Å)	Crystallite size
	temperature (°C)	<i>a</i> ± 0.003	$c \pm 0.003$	(nm)
CHA	500	9.389	6.918	10.02
	600	9.367	6.920	17.83
	700	9.352	6.923	20.76
SiHA	500	9.452	6.921	17.21
	600	9.455	6.923	21.02
	700	9.459	6.928	28.89
SiCHA-1	500	9.399	6.901	12.86
	600	9.391	6.912	19.87
	700	9.387	6.918	23.73
SiCHA-2	500	9.378	6.898	12.09
	600	9.372	6.893	18.92
	700	9.369	6.899	21.07

Increasing the calcination temperature from 500 to 700°C altered the lattice parameters and increased the crystallite sizes of the calcined powders.

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Samples	T(°C)	ОН	PO ₄	CO ₃	Si
СНА	500	3573.0,	962.9,	873.7,	-
		1649.7	1024.4,	1414.8,	
			1083.3	1458.8	
	600	3573.3,	962.5,	873.1,	-
		1643.0	1020.0,	1414.8,	
			1080.0	1454.3	
	700	3573.5,	962.3,	872.7,	-
		1638.2	1019.9,	1417.1,	
			1081.2	1459.9	
SiHA	500	3562.5,	963.2,	-	947.4,
		1651.1	1024.9,		885.7
			1086.4		
	600	3569.7,	962.5,	-	946.9,
		1635.8	1024.7,		883.5
			1089.7		
	700	3570.9,	962.4,	-	947.2,
		1633.5	1024.1,		884.2
			1090.1		
SiCHA-1	500	3572.5	962.7,	1418.2,	883.1
			1027.7,	1461.9	
			1088.0		
	600	3570.8	962.2,	1418.6,	885.3
			1022.9,	1461.8	
			1087.9		
	700	3569.9	962.5,	1413.6,	887.7
			1023.5,	1461.9	
			1089.0		
SiCHA-2	500	3572.5	962.7,	1418.2,	883.5
			1027.7,	1461.9	
			1088.0		
	600	3578.7	962.2,	1412.8,	886.3
			1022.9,	1461.8	
			1080.0		
	700	3579.6	962.5,	1418.2,	884.9
			1023.5,	1461.3	
			1089.0		

 Table 3. Results of FTIR analysis of the calcined powders at 500-700°C.

Of the four different compositions investigates, SiCHA-1 and SiCHA-2 showed missing bands for CO_3 and Si using FTIR analysis.

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Samples	T(°C)	%C (wt%)	%CO3 (wt%)	%CO3 changes after calcination (wt%)
CHA	500	1.08	5.80	-21.63
	600	0.99	4.95	-33.11
	700	0.57	4.15	-43.92
SiHA	500	0.01	0.05	+125.00
-	600	0.02	0.08	+200.00
	700	0.02	0.12	+300.00
SiCHA-1	500	0.48	2.90	-24.68
	600	0.42	2.25	-41.56
	700	0.36	1.90	-50.65
SiCHA-2	500	0.79	3.98	-23.50
	600	0.50	3.25	-37.50
1	700	0.38	2.98	-42.69

 Table 4. CHN analysis of the calcined powders at 500-700°C.

Powders containing CO_3 showed CO_3 loss as the calcination temperature increased. While, CO_3 -free powders demonstrated an increment in CO_3 wt%, indicating the adsorption of CO_2 from the atmosphere during calcination

 Table 5. Elemental analyses of the calcined powders at 500-700°C.

Samples	T(°C)	Ca/P Measured value (XRF)	Si (wt%) Measured value (ICP-OES)
СНА	500	1.76	-
	600	1.77	-
	700	1.79	-
SiHA	500	1.71	0.49
	600	1.72	0.46
	700	1.74	0.41
SiCHA-1	500	1.89	0.58
	600	1.91	0.47
	700	1.95	0.43
SiCHA-2	500	1.86	0.45
	600	1.89	0.42
	700	1.92	0.40

The ratio of Ca/P obtained for all the tested powders are relatively higher than the stoichiometric HA (1.67), suggesting that the ionic substitutions either the CO_3 and/or Si have a significant effect on Ca/P ratio.

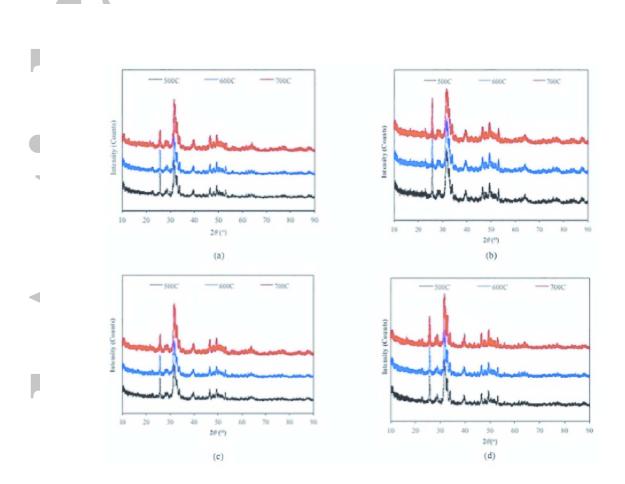


Figure 1. XRD analysis of the calcined powders at 500-700°C; (a) CHA; (b) SiHA; (c) SiCHA-1; (d) SiCHA-2, demonstrating single phase of HA without the formation of secondary phase. Regardless of the powders composition, no obivious differences were observed between the diffraction patterns at any given calcination temperature.

178x146mm (300 x 300 DPI)

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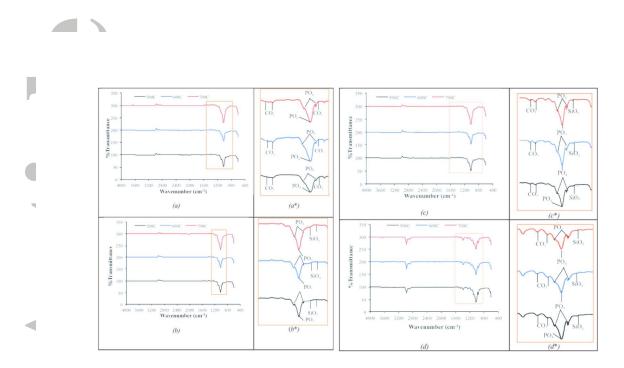


Figure 2. FTIR spectra of (a) CHA, (b) SiHA, (c) SiCHA-1 and (d) SiCHA-2 calcined powders at 500-700°C and their typical bands of the carbonate and silicon ions substituted into the apatite structure, respectively. Regardless of the calcination temperatures, three major bands of CO3 were observed for the CHA powders, whilst only two vibration bands detected for both SiCHA powders. CO3-free powders (SiHA) exhited no CO3 bands besides the two typical Si-substituted vibration bands. At any given calcination temperature, SiCHA-1 and SiCHA-2 shows a missing band of SiO4, indicating the evidence of the competitive substitutions between CO3 and/or Si into the phosphate sites.

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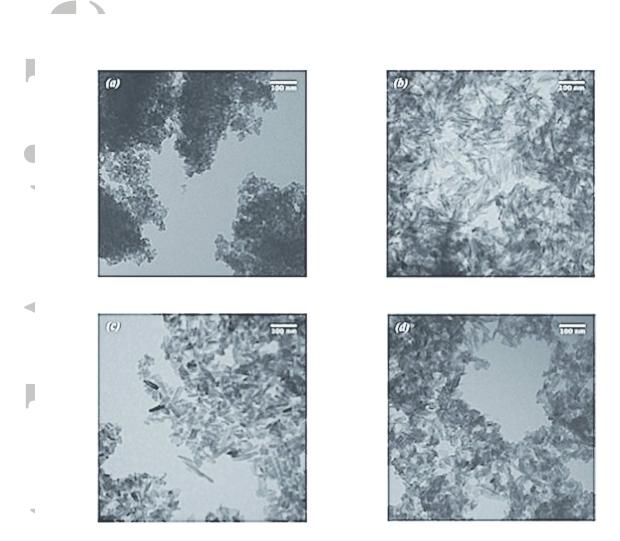


Figure 3. TEM images of the optimum calcined powders calcined at 500°C; (a) CHA; (b) SiHA; (c) SiCHA-1; (d) SiCHA-2. The particle sizes of the calcined powders correlates well with the crystallite sizes obtained from XRD analysis, where the same trends were seen in both analyses. Scale bar = 100 nm.

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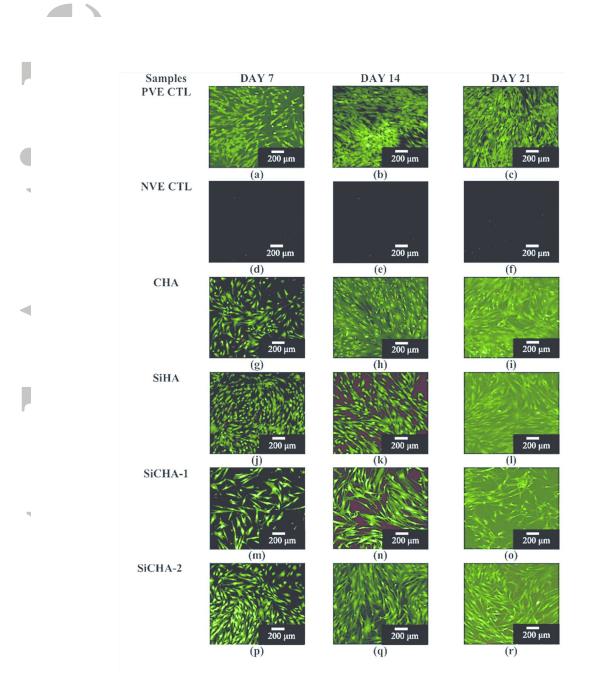


Figure 4. CLSM images of the controls and optimum calcined powders of CHA, SiHA, SiCHA-1 and SiCHA-2 cultured in osteogenic media for 21 days. Green indicates viable cells and red indicates dead cells. Scale bar = 200 µm. ** PVE CTL= Positive control (hMSCs cultured in osteogenic media act as tissue culture plastic) and NVE CTL= Negative Control (hMSCs cultured in 0.1% Triton-X in high glucose expansion media). The population of viable cells in direct contact with SiCHA-1 was lower compared the other tested powders, but no toxicity effect occurred throughout the culture period.

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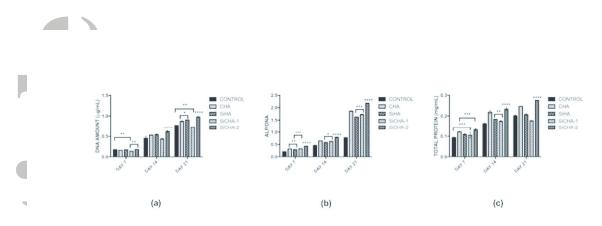


Figure 5. Effect of CHA, SiHA, SiCHA-1 and SiCHA-2 as-calcined powders on (a) cell proliferation indicated by the amount of DNA, (b) the ALP activity per unit cell (ALP/DNA) and (c) the levels of total protein production. Control represents the tissue culture plastic in osteogenic media (positive control). Values represent the mean±SD of three samples in duplicate. (*p≤0.05, **p≤ 0.01, ***p≤0.001, ****p≤0.0001). Cells in direct contact with SiCHA-2 demonstrtaed the highest DNA concentrations and total protein production than the other tested powders and controls. The lower Si content in SiCHA-2 showed the highest ALP/DNA ratio after 21 days culture with hMSCs, indicating that the powder may stimulate osteogenic behaviour to a greater extent than other powders.

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