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Q. Magis, Caroline Gaudy-Marqueste, Agnès Basire, Anderson Loundou ...+9 more authors

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## **Diabetes and Blood Glucose Disorders Under Anti-PD1**

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2

3 Quentin Magis<sup>1</sup>, Caroline Gaudy-Marqueste<sup>1</sup>, Agnes Basire<sup>3</sup>, Anderson Loundou<sup>4</sup>,  
4 Nausicaa Malissen<sup>1</sup>, Laura Troin<sup>1</sup>, Sandrine Monestier<sup>1</sup>, Stéphanie Mallet<sup>1</sup>, Sylvie  
5 Hesse<sup>1</sup>, Marie-Aleth Richard<sup>1</sup>, René Valéro<sup>2</sup>, Sophie Beliard<sup>2</sup>, Jean-Jacques Grob<sup>1</sup>

6

7 (1) Aix-Marseille university, UMR 911, INSERM CR02, Dermatology and skin cancer  
8 department, Hôpital de la Timone, APHM, Marseille, France

9 (2) Aix-Marseille university, Endocrinology department, Hôpital de la Conception,  
10 APHM, Marseille, France

11 (3) Etablissement Français du Sang, Marseille, France

12 (4) Public health department, Aix-Marseille university, APHM, Marseille, France

13

14 Corresponding author :

15 Pr Caroline Gaudy-Marqueste

16 Dermatology and skin cancer department.

17 264 rue Saiint Pierre

18 13385 Marseille Cedex 5

19 France

20 Phone number : 0033491388591

21 Email : [caroline.gaudy @ap-hm.fr](mailto:caroline.gaudy@ap-hm.fr)

22

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31 **Abstract**

32

33 Acute type 1 diabetes (AD1) is a rare but definitive immune related adverse event  
34 associated with anti-PD1. Most of reported cases are close to what has been described  
35 as “fulminant type 1 diabetes”. We **sought** to determine **whether** anti-PD1 could  
36 impair glycoregulation and whether occurrence of AD1 could be anticipated by prior  
37 glycemic changes. Fasting glycaemia collected before, under and after treatment in  
38 melanoma patients treated with anti-PD1 over a period of 36 months were  
39 retrospectively analyzed. Glycemic trend analyses was performed using linear  
40 regression analysis. 1470 **glucose values** were monitored in 163 patients treated for  
41 a mean duration of 5.96 months. Three patients developed an AD1 (1, 84%). Two other  
42 cases were observed in the same period in a still blinded trial of anti-PD1 vs  
43 Ipilimumab. All cases of AD1 occurred in patients with a normal pretreatment glycaemia  
44 and there was no detectable drift of glycaemia prior to ketoacidosis onset. In 4 of the  
45 5 cases of AD1, HLA subgroups were DRB1\* 03 or 04 known to increase type 1  
46 diabetes risk in general population. In the 28 patients with preexisting type 2 diabetes,  
47 there was a slight trend for glycaemia increase with anti-PD1 infusions (0.05  
48 mmol/L/infusion p=0.004). In the 132 patients with normal pretreatment glycaemia,  
49 there was a slight trend for a decrease of glycaemia with anti-PD1 infusions (-  
50 0.012/mmol/L/infusion p=0.026). **These data suggest that the monitoring of**  
51 **glycaemia under anti-PD1 cannot help to anticipate AD1, and there is no general**  
52 **tendency to glycemic disorder.** HLA-genotyping before treatment may help to focus  
53 surveillance in patients with the HLA DRB1\*03/04 group.

54

55 **Key words:** anti-PD1, melanoma, diabetes, glycaemia, immune related adverse  
56 event, HLA

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65 **INTRODUCTION**

66 The development of new immunotherapies with check point inhibitors including anti-  
67 CTLA4 antibodies and more recently anti-PD1 antibodies has dramatically improved  
68 the **prognosis** of metastatic melanoma [1–5]. Anti-PD1 have also demonstrated  
69 efficacy in many other malignancies like non-small cell lung cancer, renal cell  
70 carcinoma, head and neck squamous cell carcinoma and relapsing Hodgkin  
71 lymphoma.

72 Many immune-related adverse events (IRAE) have been reported with checkpoint-  
73 inhibitors including dermatitis, enterocolitis, hepatitis, thyroiditis, hypophysitis **as well**  
74 **as some less frequent but potentially life threatening rare AEs** [6,7]. In the  
75 context of an increasing number of patients exposed to these drugs, management of  
76 these IRAEs has become a priority and specific guidelines have been established [6].  
77 While no warning signal for diabetes induced by checkpoint inhibitors has been  
78 detected during the clinical trials, several cases of acute insulin-dependent type 1  
79 diabetes (AD1) have been recently reported under anti-PD1, and anti- PDL1 antibodies  
80 [8–10], and more recently under **the anti-CTLA4 and anti-PD1 combination** [11].

81 The exact mechanisms of these acute insulin-dependent diabetes under anti-PD1  
82 therapies is currently unknown. Evidence that blockade of PD1-PDL1 checkpoint can  
83 accelerate the emergence of autoimmune diabetes in the non-obese diabetic mouse-  
84 model [12] suggests it may play a role in protecting against the development of  
85 autoimmune diabetes. **Anti-Glutamic Acid Decarboxylase (GAD) autoantibodies**  
86 **or Insulin auto antibodies (IAA)** have been identified in approximately half of the  
87 anti-PD1 induced AD1 [7,8,11,17–21]. Several reported cases share close similarities  
88 with the “fulminant type 1 diabetes” frequent in East Asia [22]: i) abrupt onset of  
89 ketoacidosis, ii) low HbA1c value despite a high plasma glucose level iii) absence of  
90 insulin secretion capacity after glucagon test. This brutal onset suggests a drastic  
91 immune reaction against  $\beta$ -cell.

92 **Apart from “fulminant diabetes”, a case of diabetic ketoacidosis with insulin**  
93 **requirement has also been reported in a patient who had preexisting type 2**  
94 **diabetes controlled with metformin [17], suggesting that some patients with**  
95 **preexisting type 2 diabetes might become more difficult to equilibrate under anti-**  
96 **PD1.**

97

98 In order to determine whether anti-PD1 could impair glycoregulation in more patients  
99 than expected, especially in those with preexisting type 2 diabetes, and whether AD1  
100 could be anticipated by prior glycaemic changes we retrospectively analyzed blood  
101 glucose samples of a series of 163 consecutive patients treated by anti-PD1 antibodies  
102 for melanoma.

103

## 104 **PATIENTS AND METHODS**

105

106 We performed a **single institution**, descriptive study of consecutive patients treated  
107 with anti-PD1 for melanoma in the department of dermatology of CHU Timone in  
108 Marseille, FRANCE **since September 2013**. Each patient gave is written consent.  
109 Data were recorded for each patient between the first anti-PD1 infusion and the date  
110 of final analysis on May 2016. Available fasting blood glucose values were collected  
111 from the hospital files and retrieved from external laboratories before (in the year  
112 preceding the treatment with anti-PD1), **under** (usually 24-72h before each infusion),  
113 and within the year after anti-PD1 discontinuation for those in whom it was  
114 discontinued. The following variables were also collected: initial and per-treatment  
115 weight changes, BMI (body mass index); personal or familial history of type 1 or type  
116 2 diabetes; personal history and history of autoimmune disease; previous treatment  
117 with Ipilimumab (yes or no), type of anti-PD1 administered (Nivolumab or  
118 Pembrolizumab), dosage, number of infusions, and cumulative dose of **exposure**;  
119 treatment efficacy (partial or complete response, stable disease or disease  
120 progression); date and cause of anti-PD1 discontinuation. The World Health  
121 Organization (WHO) definition was used for the diagnosis of diabetes i.e. fasting  
122 plasma glucose  $\geq 7.0$ mmol/L [23].

123

### 124 **Statistical analysis**

125

126 Statistical analysis was performed using IBM SPSS Statistics version 20 (IBM SPSS  
127 Inc., Chicago, IL, USA). Continuous variables are expressed as means  $\pm$ SD or as  
128 median with range (min, max), and categorical variables are reported as count and  
129 percentages. When the distribution of differences between pairs was non-normally  
130 distributed, the Wilcoxon signed-rank test was used to compare pre and post **glycaemia**  
131 measurements. Glycemic trend analyses were performed using linear regression

132 analysis. All the tests were two-sided. The statistical significance was defined as  
133  $p < 0.05$ .

134

135

136

## 137 **RESULTS**

### 138 **Population and treatment**

139 The characteristics of the 163 consecutive patients treated with anti-PD1 over a period  
140 of 36 months are presented in table 1. **Twenty-eight patients (17.2%) had at least**  
141 **one elevated pre-treatment glycaemia. Twelve of these 28 patients had a known**  
142 **history of type 2 diabetes whereas 16 were not known to be diabetic.** No patient  
143 had a previous history of type 1 diabetes. Ninety-five patients (64%) were treated with  
144 Nivolumab and 68 (36%) with Pembrolizumab, 27 of which in the context of therapeutic  
145 trials. All patients, but 3 (1.8%) who were treated in the adjuvant setting, had a  
146 metastatic melanoma. Treatment was first-line in 109 (66.9%) patients. Fifty-four  
147 (32.9%) had previously been treated with ipilimumab. A total of 1920 infusions (1146  
148 Nivolumab and 774 Pembrolizumab) were **administered** over the study period. The  
149 mean duration of the anti-PD1 treatment was 4.5 (0.5-40) months. Mean number of  
150 anti-PD1 infusions was 12.1 (1-53).

151 At the time of datalock, study treatment had been discontinued in 97 (59.5%) patients  
152 for disease-related death in 34 (35.1%), disease progression in 44 (45.4 %), complete  
153 response in 8 patients (8.2%), IRAEs in 7 patients (7.2%), and other adverse events  
154 non considered as IRAEs in 4 patients (4.1%) (Bilateral lower-limb ischemia in 1,  
155 dyspnea worsening in 1, intestinal ischemia in 1, and septic shock in 1 patient).

156

### 157 **Fasting glycaemia in the whole cohort**

158 Blood glucose samples were available in 160 of the 163 patients, and a total of 1470  
159 **before, under and after**-treatment glycaemia were collected. There was a non-  
160 significant trend toward a decrease of glycaemia with anti-PD1 infusions (-0.012  
161 mmol/L/infusions  $p = 0.656$ ). The median of the glycaemia did not change over the time  
162 of anti-PD1 exposure. The evolution of the median (min, max) glycaemia according to  
163 the number of anti-PD1 infusion is presented in figure 1.

164 The patients weight did not significantly change under treatment (71.8 kg +/- 15.1 vs  
165 71.6 kg +/- 15.1, p = 0.429, for mean pre-treatment and last available weight,  
166 respectively).

167 There was no difference in mean fasting glycaemia values according to the anti-PD1  
168 molecule administered (Nivolumab or Pembrolizumab) nor to the fact that they had  
169 received ipilimumab before (data not shown).

170

### 171 **Patients with normal glycaemia before-treatment**

172 Among the 132 patients without known preexisting type 2 diabetes, and having normal  
173 glycaemia **before treatment**, 3 (2.22%) developed an AD1, all fulfilling the “fulminant  
174 diabetes” criteria. Figure 2 represents the evolution of median (min, max) glycaemia  
175 with successive anti-PD1 infusion in this population.

176 Apart from these 3 AD1, only one patient had one isolated increased glycaemia  
177 (8.7mmol/L) under anti-PD1 therapy, which may correspond to inadequate non-fasting  
178 sampling. In the 132 patients with normal glycaemia **before treatment**, there was a  
179 **statistically** significant negative but very low trend toward a decrease of glycaemia (-  
180 0.012/mmol/L/infusion (p=0.026)). **Five patients received systemic corticosteroids**  
181 **(1mg/kg), for IRAE management (colitis n=2, 1 skin rash n=1) or for symptomatic**  
182 **reason (2 cerebral edema).**

183

### 184 **Patients with abnormal glycaemia before treatment**

185 Twelve patients had a preexisting treated type 2 diabetes, and 16 others had pre-  
186 treatment fasting glycaemia compatible with type 2 diabetes definition (table 2). Out of  
187 the 16 who were previously untreated, only one patient required the introduction of  
188 repaglinide, whereas dietetic measures were sufficient to maintain glycaemia within  
189 the normal range in the 15 others. The 12 patients with a diagnosis of type 2 diabetes  
190 **before treatment** were respectively treated with insulin (n=5), oral hypoglycemic  
191 agents (n=15) (metformine (4), repaglinide (4), gliclazide (3), vildagliptine-metformine  
192 (1), sitagliptine-metformine (1), sitagliptine (1), glimepiride (1)). Among these 28  
193 patients, 8 (28.6%) had at least one elevated glycaemia (>10mmol/L) under anti-PD1  
194 therapy. Evolution of median glycaemia in this population is represented in figure 3.

195 Hemoglobin A1c (HbA1c) values were available only in 7 of these 28 patients. An  
196 HbA1c >7% was found in 2 patients during anti-PD1 treatment course, while their  
197 weight remained stable. **No type 2 patient not requiring insulin at baseline**



198 **subsequently required insulin to manage hyperglycemia.** Glycemic trend analysis  
199 by linear regression analysis suggested a slight increase of blood glucose values along  
200 with increasing number of infusions (0.05 mmol/L/infusion p=0.004). **Three patients**  
201 **received systemic corticosteroids for IRAE management (1 colitis) or**  
202 **symptomatic reason (2 cerebral edema).**

203

#### 204 **New onset AD1 under anti-PD1**

205 Three cases (1.84%) of AD1 were diagnosed in the cohort: one after 2 **doses** of  
206 Pembrolizumab, and the two others after 4 and 11 doses of Nivolumab respectively.  
207 Two additional cases (n° 4 and 5) were diagnosed in patient receiving immunotherapy  
208 in blinded therapeutic trials (Ipilimumab versus Anti-PD1). **One of them (n°4) have**  
209 **since been unblinded and confirmed having received nivolumab.** As patient 5 is  
210 still blinded, we are not certain that he received anti-PD1. A summary of these 5 cases  
211 is provided in Table 3. **Briefly, all of them presented a cardinal syndrome with**  
212 **diabetic ketoacidosis, normal or subnormal HbA1C levels (range 6.4 to 7.6%),**  
213 **and collapsed C-peptide secretion. Two patients had slightly positive anti islet**  
214 **antigen-2 antibodies. Four patients carried a predisposition HLA DRB1\*03 or**  
215 **HLA DRB1\*04 haplotypes. Insulin therapy was initiated insulin therapy upon**  
216 **presentation for all patients, and they all remained insulin-dependent at this**  
217 **time.**

218

219

#### 220 **DISCUSSION**

221 This is the first systematic study of glycaemia in patients treated by anti-PD1 in the real  
222 life setting. It does not support the idea that anti-PD1 could systematically induce  
223 glycemic disorders or make preexisting diabetes more difficult to manage despite a  
224 small trend for an increase of glycaemia along with anti-PD1 infusions. However, our  
225 data confirm the possibility of anti-PD1-induced AD1, and suggest that incidence of  
226 AD1 (1.8% in this series) could be underestimated. The glycaemia monitoring shows  
227 that AD1 cannot be anticipated by any preliminary drift in glucose metabolism. Our  
228 data also suggest that some HLA group (HLA DRB1\*03/04) may be a risk marker for  
229 anti-PD1 induced AD1 in the Caucasian population.

230

231 More than 25 cases of type 1 acute diabetes, most of them diagnosed in patients  
232 treated for a melanoma, have been reported so far in the literature, 22 under anti-PD1,  
233 1 under anti-CTLA4 and anti-PD1 combination and 3 under anti-PDL1 [7–9,11,17–  
234 21,24–30]. (Table 4). Among these reported cases, 3 patients had a personal history  
235 of autoimmune thyroiditis, like 2 of our patients, and 8 had previously been treated with  
236 ipilimumab [7,17,18,20,29,31].

237  
238 The five cases of AD1 described herein fulfill the criteria of “ fulminant diabetes”[22]: a  
239 sudden onset of hyperglycemia with ketoacidosis, normal or subnormal HbA1C levels  
240 and collapsed **C-peptide** secretion reflecting an absence of insulin-secreting capacity.  
241 In the Japanese population where fulminant diabetes was described, it was suspected  
242 to result from a rapid destruction of  $\beta$ -pancreatic cells secondary to a viral pancreatic  
243 infection [32,33] on a genetic predisposed background (DRB1 \* 0405-DQB1 \* 0401),  
244 [34,35]. In the present study, no previous change in glycaemia was predictive, making  
245 the anticipation of this complication impossible, a characteristic that justifies the term  
246 **fulminant**.

247  
248 In the general population the annual incidence of AD1 is estimated 0.1 to 36/ 100.000  
249 [36–38]. In our cohort, 2% of patients developed an AD1 under a mean period under  
250 treatment of 4 months, which suggests a huge incidence increase 100 to 1000 times  
251 higher compared to basic risk.

252  
253 Our anti-PD1 AD1 cases lack the HLA haplotypes identified in the fuminant diabetes  
254 described **in the** Asian population. However, it is noteworthy that 4 of our 5 pts carried  
255 a HLA DRB1\*03 or HLA DRB1\*04 haplotypes known to be associated with a life-time  
256 risk of AD1 3 to 5 times higher than in the general population and even 20 to 40 times  
257 higher in patients carrying both the HLA DR3 and DR4 haplotypes [39]. As these  
258 haplotypes were mentioned in several other **Caucasian** cases of AD1 under anti-PD1,  
259 HLA DRB1\*03 and 04 genotyping can be suspected to be a risk marker for AD1 in  
260 patients treated by anti-PD1. These data are compatible with the hypothesis that anti-  
261 PD1 could trigger AD1 in genetically predisposed patients, who would have been  
262 natural candidate to AD1 later on. The delay between the introduction of  
263 immunotherapy and the onset of AD1 ranged from one week to 12 months, suggesting  
264 that, when the genetic background is there, anti-PD1 can trigger the disease very fast.

265 It is noteworthy that developing an AD1 under anti-PD1 does not seem to be in itself a  
266 guarantee of successful treatment, although some results suggest some link between  
267 response to anti-PD1 and occurrence of irAE [40]. Tumor response was documented  
268 in only 10 of the previous published cases. Some degree of response was observed in  
269 8 of these 10 patients, as well as in 4 of our 5 cases.

270

271

272 When focusing on patients with a known preexisting diabetes, or at least pretreatment  
273 increased glycaemia compatible with a type 2 diabetes, we found a estimated pre-  
274 treatment prevalence of diabetes at 17.1% for a mean age of 65.2 years, which is quite  
275 similar to the prevalence in the French epidemiological study OBEPI [41]. Linear  
276 regression analysis in these patients suggests a slight increase (0.05mmol/L per  
277 infusion) along with increasing anti-PD1 infusions, but only one patient required the  
278 introduction of a new antidiabetic treatment. It should be noticed that the weight of  
279 patients did not either change significantly under anti-PD1 treatment. All these  
280 observations are not suggestive of a direct effect of anti-PD1 on glucose metabolism.  
281 The slight trend for an increasing glycaemia in patients with a preexisting glycemic  
282 disorder might result from a lower ability to control glycemic changes induced by many  
283 factors other than anti-PD1 treatment: impact of the tumor load on the general  
284 metabolism, indirect consequences of other immune-related complication, differences  
285 in dietary behavior, supportive treatments including steroids, etc.

286

287 In patients with normal pretreatment glycaemia, the trend is so low that it can be  
288 considered negligible.

289

290 The limit of our work is related to its retrospective character, and the fact that we did  
291 not have systematically access to HbA1c results. Nevertheless, no case of AD1 could  
292 be missed, and the study of blood glucose values does not suggest that we could find  
293 different results with a prospective study or prospective HbA1c collection. The  
294 advantage of this cohort is that it is not biased by any selection on disease severity,  
295 age and general status. **As steroids can potentially affect glycemic levels, it is  
296 important to notice that only eight patients were treated with systemic steroids  
297 to manage irAE or symptomatic cerebral oedema.**

298

299 **Better determining the monitoring of asymptomatic individuals under anti-PD1**  
300 **therapy has crucial cost and care implications. When investigating the**  
301 **relationship between asymptomatic grade 3 or higher increases in amylase**  
302 **and/or lipase and pancreatitis in melanoma patients who received a**  
303 **combination of nivolumab + Ipilimumab, Friedman and colleagues [42] found**  
304 **only two cases of pancreatitis, representing roughly 20% of patients with grade**  
305 **3 or higher amylase, or amylase lipase elevations.** Our data suggest that close  
306 monitoring of glycaemia in all patients treated by anti-PD1 is useless since there was  
307 no general tendency to glycemic disorder and since AD1 cannot be anticipated from  
308 blood glucose monitoring. Furthermore, for type 2 diabetic patients, there is no  
309 reason to change the regular monitoring of their diabetes under anti-PD1 therapy.  
310 From the practical point of view, it is important to sensitize practitioners to the risk of a  
311 sudden severe ketotic decompensation in patients treated with anti-PD1, but also to  
312 inform patients on the usual symptoms, since misdiagnosis or delayed management  
313 can be fatal. HLA-genotyping before treatment may be useful to focus surveillance in  
314 patients with the HLA DRB1\*03/04 group. Conversely, it would not be sensible to  
315 contraindicate anti-PD1 for these patients in the context of a deadly metastatic disease,  
316 but it may be cautious to exclude these groups from adjuvant treatment with anti-PD1.  
317  
318 The occurrence of IRAE under anti-PD1 being potentiated by use of immunotherapy  
319 such as anti-CTLA4 antibodies, it will therefore be necessary to be particularly vigilant  
320 and reactive in patients receiving combination or sequence of anti-PD1 and other  
321 immune-active agents.

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462 **Figure legends**

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464 Figure 1: Whole population (n=160): Median (min, max) glycaemia with successive  
465 anti-PD1 infusions

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467 Figure 2: Patients with normal glycaemia before treatment (n=132): evolution of  
468 median (min, max) glycaemia with successive anti-PD1 infusions.

469

470 Figure 3: Patients with abnormal glycaemia before treatment (n=28): evolution of  
471 median (min, max) glycaemia with successive anti-PD1 infusions.

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