

## Review

# Dietary Effects on Cardiovascular Disease Risk Factors: Beyond Saturated Fatty Acids and Cholesterol

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Hypercholesterolemia represents a significant risk for cardiovascular disease (CVD). While diet intervention remains the initial choice for the prevention and treatment of CVD, the nature of the dietary modification remains controversial. For example, reducing calories from total fat, without decreasing saturated fat intake results in insignificant changes in low density lipoprotein cholesterol (LDL-C). Similarly, diet interventions that focus solely on lowering dietary cholesterol and saturated fat intake not only decrease LDL-C, but also high density lipoprotein cholesterol (HDL-C) and therefore may not improve the lipoprotein profile. This brief review summarizes dietary interventions that lower LDL-C without affecting HDL-C levels. These interventions include soy protein, soluble fiber, soy lecithin and plant sterols. This review also includes some of the reported dietary interventions, such as polyphenols, isoflavones, folic acid and vitamins B<sub>6</sub> and B<sub>12</sub>, which reduce the risk of CVD without changes in lipoprotein cholesterol.

### Key teaching points:

- Hypercholesterolemia is one of several risk factors for coronary heart disease (CHD).
- Dietary interventions should be designed to produce optimal lipoprotein profiles which decrease LDL-C without reductions in HDL-C.
- In general, dietary interventions which focus on reducing saturated fatty acids and cholesterol lower both LDL-C and HDL-C, thereby not improving the lipoprotein profile.
- Interventions including soy protein, soluble fiber, soy lecithin and plant sterols, especially sterol or stanol esters, are nutrients which reduce LDL-C without associated reductions in HDL-C and may be prescribed for individuals with low HDL-C.
- Polyphenols in fruits, vegetables and minimally-processed vegetable oils are antioxidants which could reduce the incidence and severity of CHD.
- Recent intervention studies which utilize vitamin E supplements have not demonstrated efficacy in humans as it relates to preventing or treating CHD.

## INTRODUCTION

Coronary heart disease (CHD) is the leading cause of death in the United States. High blood cholesterol, which affects more than 90 million adults, is a risk factor for this disease. To lower blood cholesterol levels and the risk for CHD, diets reduced in saturated fat and cholesterol and increased in unsaturated fat are recom-

mended [1–5]. The American Heart Association's recently revised dietary guidelines advocate a population-wide limitation of saturated fat to <10% of energy and cholesterol <300 mg/day to reduce the risk for CHD [1]. Most saturated fats (SFA) increase serum total cholesterol (TC) and low density lipoprotein cholesterol (LDL-C); polyunsaturated fatty acids (PUFA) lower serum cholesterol concentrations [6]; and monounsaturated fats (MUFA) either lower [3] or have no influence on plasma TC or LDL-C [6].

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For many individuals, a mean reduction of 10% in plasma cholesterol, as noted in the Adult Treatment Panel (ATP) II report of the National Cholesterol Education Program (NCEP) Expert Panel, is insufficient to avoid drug intervention [2]. Equally important, dietary interventions that focus on reducing dietary saturated fat and cholesterol can also reduce high density lipoprotein cholesterol (HDL-C) concentrations, thereby failing to improve the lipoprotein profile, i.e., TC/HDL-C or LDL-C/HDL-C ratios [7,8].

## DIETARY INTERVENTIONS FOCUSING ON LOWERING BLOOD CHOLESTEROL LEVELS

As discussed below, several dietary interventions have been demonstrated to lower plasma total cholesterol, predominantly LDL-C, without affecting HDL-C levels.

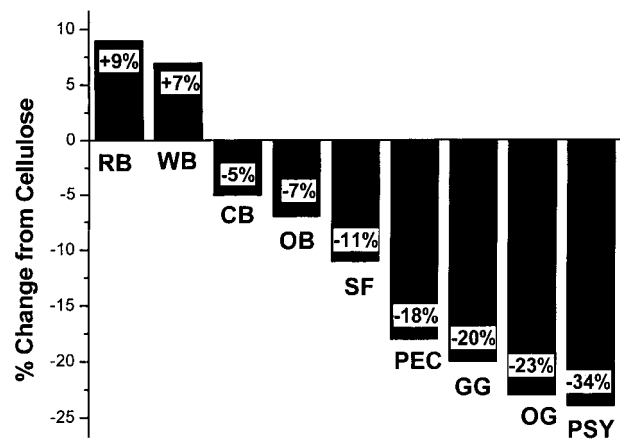
### Soy Protein

According to many reports, consuming a diet high in vegetable products versus animal products reduces plasma cholesterol concentrations and risk for developing CHD [9–11]. Dietary plant proteins such as soy protein appear to lower plasma cholesterol concentrations in humans and animals [9,12,13], although the mechanism by which soy protein lowers blood cholesterol levels remains unclear. According to one hypothesis, soy protein's effect on serum cholesterol concentrations is due largely to its amino acid composition. However, in studies in which amino acids patterned after soy protein or casein were fed to experimental animals, other nonprotein components present in soy appeared to be partially responsible for this protein's hypocholesterolemic effect [14].

A number of non-amino acid components in soy products, in particular phytoestrogens, have been associated with lowering blood lipids. In studies of nonhuman primates conducted by Anthony *et al.* [15], alcohol-extracted soy protein, from which phytoestrogens have been removed, was less effective at lowering plasma cholesterol levels and preventing the development of atherosclerosis than water-washed soy protein diets. Similar studies in humans by Crouse *et al.* [16] demonstrated that isolated soy protein containing 62 mg of isoflavones fed to hypercholesterolemic individuals resulted in reductions of 9% and 10%, respectively, for TC and LDL-C compared to casein-fed individuals.

### Soluble Fibers

According to studies in laboratory rats, diets containing high amounts of soluble fibers such as pectin, guar gum and oat bran, as well as viscous non-fermentable fibers such as psyllium, can lower plasma cholesterol concentrations in rats when fed up to 60 g/kg diet/day (Fig. 1) [17]. These diets not only are effective at lowering plasma LDL-C concentrations, but they

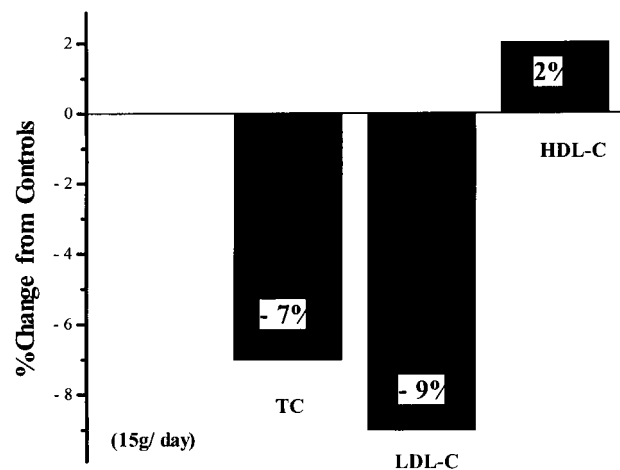


**Fig. 1.** Effect of rice bran (RB), wheat bran (WB), corn bran (CB), oat bran (OB), soy fiber (SF), pectin (PEC), guar gum (GG), oat gum (OG) and psyllium (PSY) on serum cholesterol levels in rats. The soluble and viscous fibers SF, PEC, GG, OG, and PSY produce significant cholesterol-lowering in rats [17].

do so without affecting HDL-C levels [17]. This same group of investigators also demonstrated in human studies that oat bran feeding relative to wheat bran was associated with a 12% decrease in LDL-C without any significant changes in HDL-C [18]. A recent study from our laboratory demonstrated that FiberCel™, a high  $\beta$ -glucan-containing fiber isolated from yeast cell walls, resulted in a 7% to 9% decrease in TC and LDL-C, respectively, when fed to hypercholesterolemic humans at 15 g/day (Fig. 2) [19].

### Lecithin

Several studies indicate that lecithin, a phosphatidylcholine-containing phospholipid, has hypocholesterolemic properties.



**Fig. 2.** Effect of FiberCel™ on lipoprotein cholesterol levels in humans. A dose of 15 g/day of this high  $\beta$ -glucan fiber was associated with 7% to 9% reductions in total cholesterol (TC) and low density lipoprotein cholesterol (LDL-C) without significantly affecting HDL-C [19].

Data on its hypocholesterolemic properties, however, are inconsistent, possibly as a result of differences in the degree of initial hypercholesterolemia of the population studied, and/or the type and level of lecithin fed. For example, the cholesterol-lowering effects of lecithin have been mainly observed in hyperlipidemic animals [20–23] and humans [24–26] and not in animals or humans with normal blood lipid levels [25,27]. Lecithin-induced reductions in LDL-C were not associated with changes in HDL-C. One remarkable study [26] found that feeding 10 g/day of lecithin to very hypercholesterolemic individuals resulted in a 36% reduction of LDL-C and a 46% increase in HDL-C. The underlying mechanism(s) for the hypocholesterolemic effect of lecithin in hypercholesterolemic individuals has yet to be elucidated. While our studies in hamsters and monkeys [22] indicate a cholesterol-lowering effect of lecithin beyond its linoleate content, this finding remains controversial.

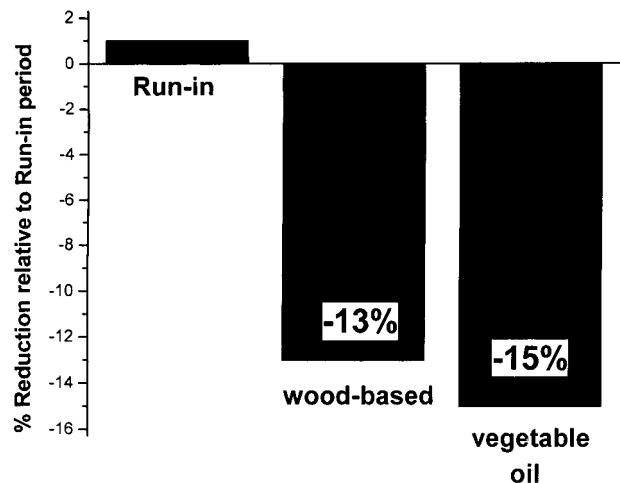
**Plant Sterols (Stanol/Sterol Esters)**

The cholesterol-lowering effect of phytosterols has been studied since the 1950s and is well known [28]. Phytosterols interfere with the uptake of both dietary and biliary cholesterol from the intestinal tract in humans [29]. The mechanism(s) for this activity is not well known. However, phytosterols appear to decrease the solubility of cholesterol in the oil and micellar phases, thus displacing cholesterol from bile salt micelles and interfering with its absorption [30]. Phytosterols differ from cholesterol by the presence of an extra methyl or ethyl group on the cholesterol side chain [31]. The major dietary phytosterols are sitosterol, campesterol, and stigmasterol. The most common dietary phytostanol, sitostanol, is a saturated derivative of sitosterol [32].

Studies in animals [33–36] and humans [37–48] have demonstrated the predominant LDL cholesterol-lowering effects of plant sterols, in particular sterol and stanol esters, without accompanying alterations in HDL-C levels. Earlier studies in humans indicated that the stanols were more effective than the sterols [37–39]. However, in many of these studies, crystalline preparations of the sterols and stanols were used in the free, unesterified form and incorporated into oil or margarine-like vehicles. More recent human studies [39,49] indicate that both plant stanol and sterol esters are equally efficacious in reducing LDL-C (Fig. 3). The mechanism(s) of action is reported to be inhibition of cholesterol absorption [28–30,35,36,38,46,47].

**Rice Bran Oil**

For several years, it has been known that rice bran oil contains unsaponifiables that are poorly absorbed and characterized as ferulate esters of triterpene alcohols. In a human feeding trial comparing several vegetable oils [50], rice bran oil consumption was associated with significant LDL-C lowering when compared to an olive oil-containing diet (Fig. 4). Similarly, corn fiber oil containing both fatty acid and ferulate esters

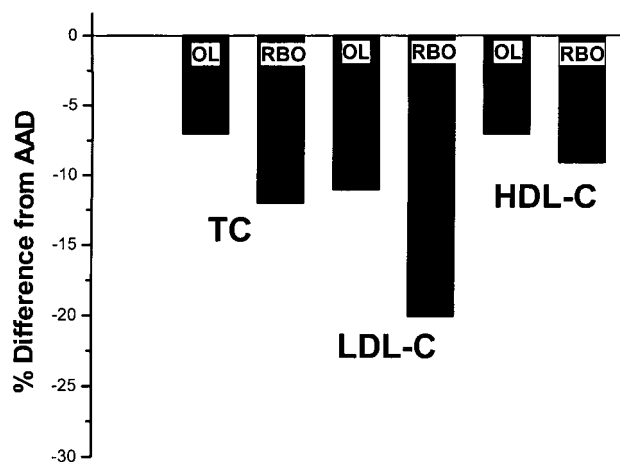


**Fig. 3.** Stanol ester effects on LDL-C. Stanol esters produced from either a wood-based or a vegetable oil source give comparable low density lipoprotein cholesterol (LDL-C) lowering in humans [39].

of stanols had significant cholesterol-lowering activity in hamsters (Fig. 5) that was associated with increased fecal cholesterol excretion (Fig. 6) [51].

**NUTRIENTS INFLUENCING CARDIOVASCULAR DISEASE RISK INDEPENDENT OF BLOOD CHOLESTEROL-LOWERING**

Up until now, our focus has been on nutrients that affect elevated cholesterol as the predominant risk factor for cardiovascular disease (CVD). However, some nutrients can dramatically influence CVD risk independent of their cholesterol-lowering effects.



**Fig. 4.** Comparative effects of olive oil (OL) and rice bran oil (RBO) substitution on lipoprotein cholesterol levels in humans consuming an average American diet (AAD). RBO feeding significantly reduced total cholesterol (TC) and low density lipoprotein cholesterol (LDL-C) relative to OL feeding [50].

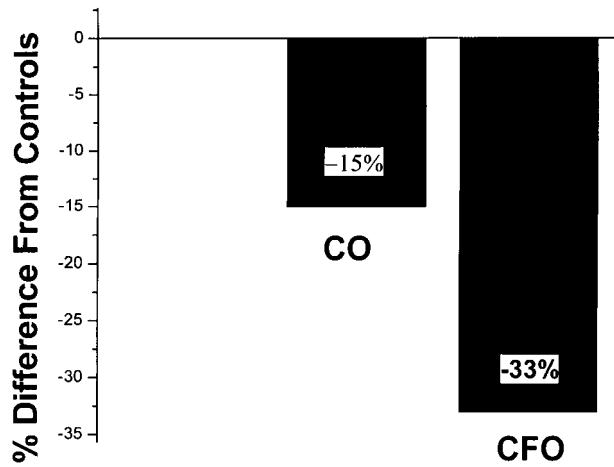


Fig. 5. Comparative effects of corn oil (CO) and corn fiber oil (CFO) on non-HDL-C in hamsters. CFO feeding was twofold greater in reducing non-HDL-C compared to corn oil [51].

**Flavonoids**

Epidemiological studies in Zutphen by Hertog *et al.* [52] demonstrate an inverse association between the flavonoid content of fruits, vegetables, and beverages and risk for CHD. A nearly 50% reduction in relative risk for CHD and incidence of first myocardial infarction was found between individuals consuming the highest and lowest tertiles of flavonoids (Fig. 7). Flavonoids are naturally occurring, water-soluble anti-oxidant substances found in fruits, vegetables, and beverages such as tea and wine.

**Isoflavones**

A recent review article [53] summarizes evidence indicating that isoflavones from soy protein may reduce cardiovascular disease risk. Potential mechanisms, in addition to the reported cholesterol-lowering activity of the isoflavones, genistein and

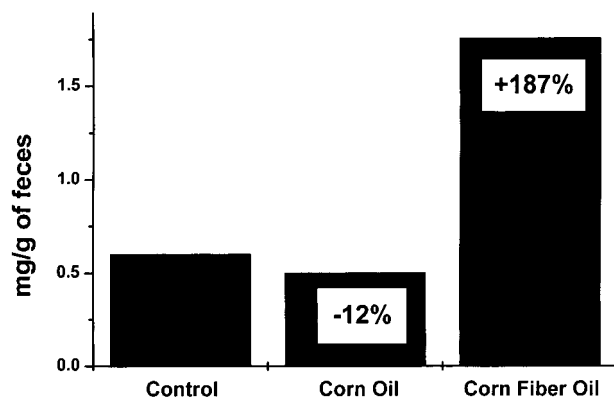


Fig. 6. Fecal cholesterol excretion in hamsters fed corn oil or corn fiber oil. Note the striking increase (+187%) in fecal cholesterol excretion in the hamsters fed corn fiber oil relative to the control or corn oil diet [51].

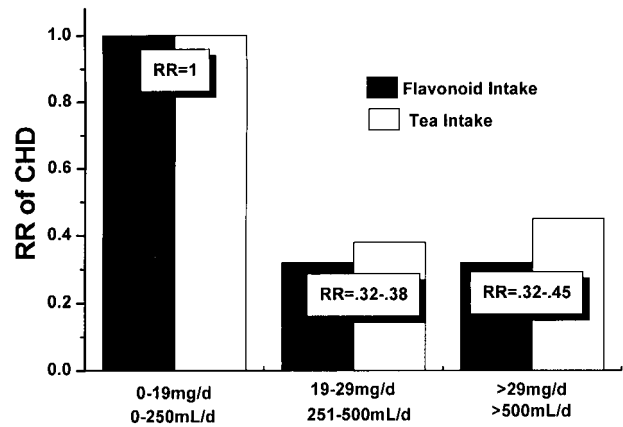


Fig. 7. Effect of flavonoids and tea intake on relative risk (RR) of coronary heart disease (CHD) in the Zutphen Study. Note the 60% decrease in RR in subjects consuming flavonoids and tea [52].

daidzein, include their effects on estrogenic activity, thyroxine activity, anti-oxidant activity, and arterial compliance.

**Vitamin E**

Evidence for a protective role for vitamin E in CVD is controversial. In general, animal data support the anti-oxidant activity of vitamin E and reduced atherosclerosis [54–56]. Similarly, prospective cohort studies support an inverse association between reported intake of vitamin E and relative risk of CVD in women [57] and men [58]. However, with the exception of one intervention study entitled the Cambridge Heart Anti-Oxidant Study (CHAOS) [59], other investigations have

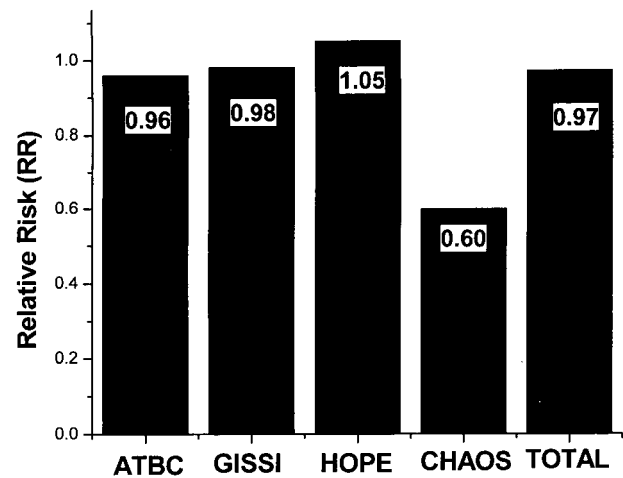
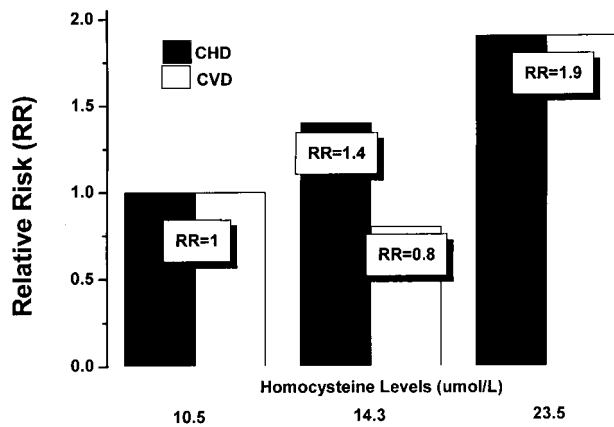
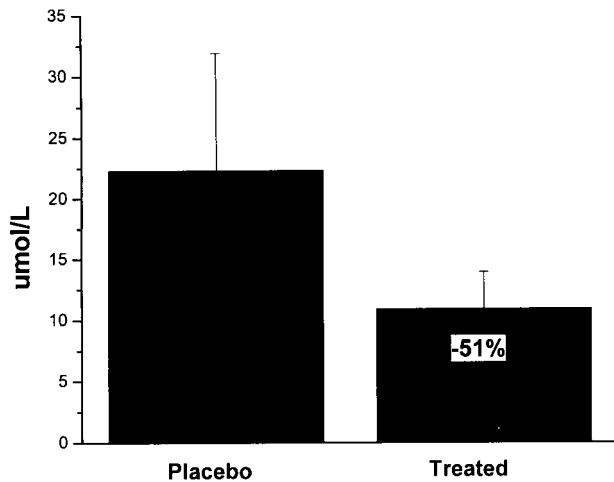


Fig. 8. Effects of vitamin E on relative risk (RR) of myocardial infarcts (MI), stroke or death from cardiovascular disease (CVD). ATBC = Alpha Tocopherol, Beta Carotene Cancer Prevention Study Group; GISSI = Gruppo Italiano per lo Studio della Sopravvivenza nell’infarto miocardico. Hope = Heart Outcomes Prevention Evaluation. CHAOS = Cambridge Heart Antioxidant Study. Note that only the CHAOS study showed an association between vitamin E intake and reduced RR.



**Fig. 9.** Relative Risk (RR) for mortality from coronary heart disease (CHD) and cardiovascular disease (CVD) according to tertiles of homocysteinemia. Note the increase in RR at increasing homocysteine levels [65].



**Fig. 10.** Effect of folic acid (1 mg/d), vitamin B<sub>6</sub> (10 mg/d) and vitamin B<sub>12</sub> (0.4 mg/d) on homocysteine levels. The treated group had a 51% reduction in homocysteine levels [69].

failed to demonstrate a protective effect for vitamin E against CVD (Fig. 8) [60–62]. Multiple reasons may explain this inconsistency, including differences in dosages used, time on supplement, primary vs. secondary prevention, and outcomes measured.

## B Vitamins and Homocysteine Lowering

Evidence supporting hyperhomocysteinemia as an independent risk factor for atherosclerotic disease is growing (Fig. 9) [63–65]. Although the mechanism(s) by which elevated levels of homocysteine increase risk of vascular disease remains to be elucidated, several dietary interventions, including folic acid alone or with various combinations of vitamins B<sub>6</sub> and B<sub>12</sub>, have been reported to reduce homocysteine levels (Fig. 10) [66–70].

## CONCLUSION

Several dietary interventions are reported to have profound effects on hypercholesterolemia and other risk factors associated with CVD. While these dietary interventions have important implications for prevention and treatment of many diseases, the need for more investigations related to their mechanism(s) of action and possible synergistic interactions is recognized.

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