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Differential and phase-diverse electrooptic modulators

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Abstract

Bandwidth and noise are fundamental considerations in all communication and signal processing systems. The group-velocity dispersion of optical fibers creates nulls in their frequency response, limiting the bandwidth and hence the temporal response of communication and signal processing systems. Intensity noise is often the dominant optical noise source for semiconductor lasers in data communication. In this paper, we propose and demonstrate a new class of electrooptic modulators that is capable of mitigating both of these problems.

047 Fabricated in thin-film lithium niobate, the modulator simultaneously
048 achieves phase diversity and differential operations. The former compen-
049 sates for the dispersion penalty of the fiber, and the latter overcomes
050 the intensity noise and other common mode fluctuations. Applica-
051 tions of the so-called four-phase electrooptic modulator in time-stretch
052 data acquisition and in optical communication are demonstrated.

053
054

055 **Introduction**

056

057 Carrying torrents of data between internet hubs as well as connecting servers,
058 storage elements and switches inside data centers, optical fiber communication
059 is the backbone on which the digital world is built. The basic constituents of
060 such links are the optical fiber, semiconductor laser, optical modulator, and
061 photoreceiver, all of which place limits on the bandwidth and the accuracy of
062 data transmission.

063

064 The three most fundamental limitations in optical communication are those
065 placed by the dispersion of the fiber, the laser noise and fiber nonlinearities
066 [1]. In transmission of analog signals, the linearity of the electrooptic (EO)
067 modulation is also paramount. In this paper, we propose and demonstrate a
068 new EO modulator that addresses two of these problems, namely fiber disper-
069 sion and laser noise. Specifically, the new modulator eliminates the dispersion
070 penalty and the common mode noises, such as the relative intensity noise
071 (RIN), by providing multiple diverse outputs that are processed via simple
072 digital processing.

073

074 Chromatic dispersion of optical fibers leads to group-velocity dispersion,
075 causing optical pulses to broaden in the time domain, leading to intersymbol
076 interference. This places a limit on the maximum data rate that can be trans-
077 mitted for a given fiber length [1]. Dispersion can be mitigated using optical
078 dispersion compensation, electronic equalization, or a combination of both.
079 The main noise mechanism in semiconductor lasers is spontaneous emission
080 with random phase contribution, leading to RIN and degraded signal-to-noise
081 ratio (SNR) at the receiver side.

081

082 The main figures of merit of any optical communication or sensing system
083 are bandwidth and the sensitivity. Notwithstanding the speed limitations of
084 the transmitter and the receiver, the bandwidth is primarily constrained by the
085 frequency fading effect due to the dispersion penalty. In a typical optical link
086 or time-stretch instrument, the sensitivity is limited by the laser RIN or the
087 thermal noise of the receiver. With respect to dispersion penalty, there are two
088 main techniques to mitigate it, namely single-sideband modulation (SSB) and
089 phase-diversity [2, 3]. The SSB technique is difficult to implement in practice,
090 as it is highly sensitive to mismatches in the signal paths in the optical hybrid.
091 Meanwhile, to mitigate the RIN, the differential push-pull modulation can be
092 employed [4].

The main objective of the present work is to create a modulator that is capable of providing both phase diversity as well as differential modulation, concurrently. Existing EO modulator structures are incapable of simultaneously achieving phase diversity and differential functionalities. Both require a dual output design, but phase diversity is traditionally based on a single electrode, whereas differential operation requires a dual electrode design.

Existing coherent communication links rely on advanced modulation formats such as quadrature phase shift keying (QPSK). As shown in Fig. 1a, a QPSK optical modulator consists of two nested interferometers, followed by a phase modulator. This achieves the desired $\pi/2$ phase difference between the in-phase and quadrature components of the optical signal. The dual-polarization (DP) variation of a QPSK modulator is capable of taking advantage of two orthogonal guided modes in optical fibers, albeit with a more complex optical architecture (Fig. 1b).

Neither of these modulators offer phase diversity to cancel out the fiber dispersion penalty. They also do not provide differential modulation for cancellation of common mode noise. Herein, we propose a unique modulator architecture, dubbed four-phase electrooptic modulator (FEOM), that performs both functionalities at the same time. We demonstrated such a modulator fabricated in thin-film lithium niobate (TFLN) offering a small footprint.

A conceptual FEOM is depicted in Fig. 1c and consists of two single-drive, dual-output Mach-Zehnder modulators (MZMs) nested in another MZM. The four outputs of in-phase (I), out of phase (\bar{I}), quadrature (Q), and inverse quadrature (\bar{Q}) components are also shown. The modulator imparts a π phase difference between I and \bar{I} (similarly Q and \bar{Q}) components, enabling the attainment of differential operation. Subsequently, the FEOM initiates a $\pi/2$ phase difference between the $\{I, \bar{I}\}$ and $\{Q, \bar{Q}\}$ component sets, which facilitates the realization of phase diversity operation, as shown later in Fig. 2. Furthermore, the two modulators work at the same quadrature point.

It should be noted that the terms *in-phase* and *quadrature* have different definitions in FEOM and QPSK modulators. In FEOMs, they are associated with two of the four output components, whereas in QPSK modulators, they refer to two independent inputs to the sub-modulators. The FEOM has only one input for encoding data, which appears to reduce its transmitted bit rate by half compared to a QPSK modulator for identical baud rates. Nonetheless, the ability of FEOMs to remove dispersion-induced nulls in frequency response results in a significantly higher effective bandwidth and hence higher bit rate (e.g., compare Fig. 1a and d). In addition, an FEOM is able to cancel the common laser noise and improve SNR, thus much lower bit error rate compared to QPSK modulators.

Given the use of multiple nested interferometers in the same device, FEOM is best implemented in an integrated-optic platform and ideally one that provides a pure EO effect (as opposed to electroabsorption or a combination of both). As argued in the next section, TFLN [5] is an ideal platform to

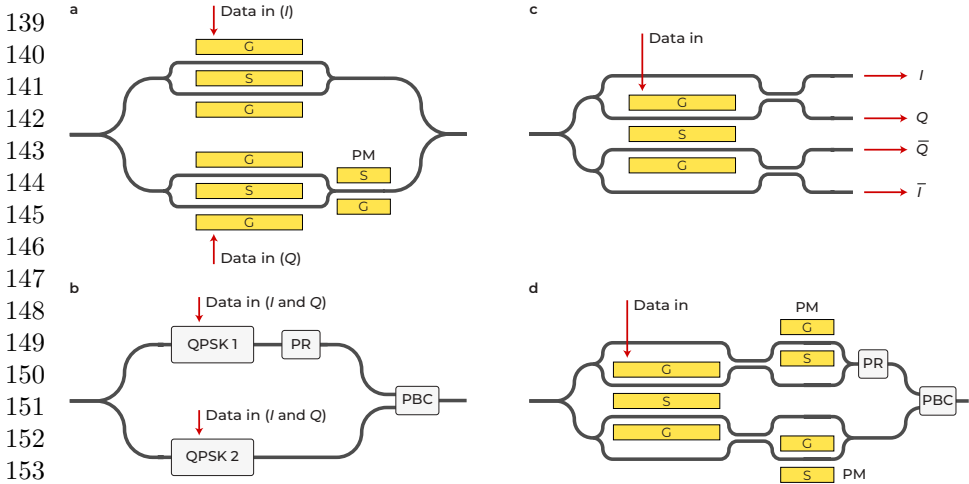


Fig. 1 Several common advanced modulation formats and FEOM variations: an illustrative example. **a** QPSK modulator. PM: phase modulator, G: ground, S: signal. **b** Dual-polarization QPSK modulator. PR: polarization rotator, PBC: polarization beam combiner. **c** Proposed and demonstrated FEOM. **d** Dual-polarization version of FEOM.

realize such a circuit. Lithium niobate (LiNbO_3 , LN) is a widely known material for its strong electro- and nonlinear-optic properties. The invention of TFLN on silicon substrates [6] has been a significant breakthrough in photonic integrated circuits (PICs) with several achieved milestones [5]. The optical waveguides on this maturing thin-film technology offer unrivaled properties, compared with the traditional titanium-diffused or proton-exchanged waveguides. They include high refractive-index contrast waveguides that lead to submicron cross-sections and small bending radii, as well as low-voltage and high-speed electrooptic modulators (EOMs) [7–19]. The FEOM presented in Fig. 1c is designed and fabricated on TFLN in this work.

We demonstrate the utility of the new modulator in two application domains. First, the fabricated FEOM is utilized in an experimental time-stretch system. Second, the utility of this modulator in canceling the dispersion penalty in a dual-polarization (DP) optical communication link is demonstrated via simulations.

Photonic time stretch is a real-time data acquisition technology [20, 21] that has spawned a vast number of scientific and technological advancements [22, 23]. This class of real-time measurement systems have been exceptionally successful in capturing single shot phenomena such as optical rogue waves [24], relativistic electron dynamics [25–27], chemical transients in combustion [28], shock waves [29], internal dynamics of soliton molecules [30], birth of laser mode-locking [31], and single-shot spectroscopy of chemical bonds [32, 33]. They have also evolved into high throughput microscopy [34] of biological cells [35], label-free classification of cells [36–38], gyroscope [39], mid-infrared spectroscopy [40] and many other applications [41–46]. This paper

shows the efficacy of the new modulator in canceling the dispersion penalty in optical fibers with emphasize on time-stretch systems.

In a photonic time-stretch system, an electrical signal is fed to an electrooptic modulator (EOM) in order to modulate a chirped optical pulse. Then, the signal is stretched in the time domain by passing the modulated optical wave through a dispersive element. Eventually, the stretched signal, with a considerably lower analog bandwidth, is converted back to the electrical domain for digitization by using a photodetector.

Similar to the mentioned generic optical communication links, bandwidth and dynamic range are critical figures of merits for photonic time stretch analog-to-digital converters (TSADCs) [47]. In this application, the non-uniform envelope of chirped pulses exacerbates the noise issue. Therefore, simultaneous phase-diversity and differential operations can play a critical impact in improving the performance of time-stretch systems.

This work introduces such a TSADC configuration by utilizing the discussed FEOM architecture. As discussed before, an FEOM has two nested single-electrode dual output EOMs (Fig. 1c) for concurrent operation of differential and phase diversity operations, which cannot be achieved by conventional architectures or off-the-shelf optical components.

Results

Theoretical description of four-phase electrooptic modulators

To gain a more in-depth understanding of the operational dynamics of the FEOM, analyzing it within the framework of a time stretch system can be beneficial. We have developed a comprehensive analytical model for the operation of the FEOM in the Method section.

As depicted in Fig. 2, a broadband optical pulse is first subjected to pre-stretching via utilization of a fiber-based dispersion element, prior to being introduced into the FEOM. A radio-frequency (RF) signal is added to a pre-stretched optical pulse in an FEOM, resulting in the generation of components I , \bar{I} , Q , and \bar{Q} . The $\{I, \bar{I}\}$ set is sent through a pair of optical circulators to a second dispersive fiber, where they are then converted into an electrical signal by a balanced photodetector (BPD). Similarly, the $\{Q, \bar{Q}\}$ set is sent through a pair of circulators to a third dispersive fiber and detected by a second BPD. The photocurrents prior to the differential operation is given by

$$P_4^{(k)}(t) = P_{\text{env}}(t)[1 + A(t; \delta(k)) + B(t)], \quad k \in \{I, Q, \bar{Q}, \bar{I}\} \quad (1)$$

where for each component k is the induced phase and equals $\delta = [\pi/4, 3\pi/4, -\pi/4, -3\pi/4]$, respectively. The time-dependent functions $A(t; \delta) = (m/\sqrt{2}) \cos(\omega_{\text{RF}}t/S) \cos(\phi_{\text{DIP}} - \delta)$ and $B(t) = (m^2/8) \cos^2(\omega_{\text{RF}}t/S)$. Here, I_{env} is the photocurrent in the absence of an electrical field, ω_{RF} is the angular frequency of the original electric signal, S is the

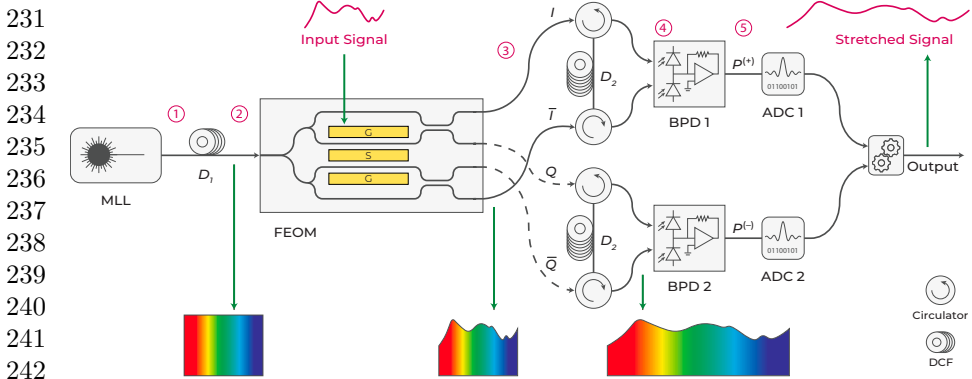


Fig. 2 FEOM in a time-stretch system. Schematic of an FEOM employed in a photonic time-stretch system for demonstrating its phase diversity capability. MLL: mode-locked laser, DCF: dispersion compensation fiber, BPD: balanced photodetector, ADC: analog-to-digital converter.

time stretch factor, and m is the modulation index. Equation (1) illustrates the differential functionality of the FEOM, where a π phase difference between the I and \bar{I} , and similarly between the Q and \bar{Q} , components effectively eliminates intensity noise and enhances the system's dynamic range.

In the BPD, after performing the differential operation, the photocurrents are $P_5^{(+)}(t) = P_4^{(I)}(t) - P_4^{(\bar{I})}(t)$ and $P_5^{(-)}(t) = P_4^{(Q)}(t) - P_4^{(\bar{Q})}(t)$, which are equivalent to

$$P_5^{(\pm)}(t) = \sqrt{2} m P_{\text{env}}(t) \cos(\omega_{\text{RF}}t/S) \sin(\phi_{\text{DIP}} \pm \pi/4). \quad (2)$$

For simplicity, we use $P^{(\pm)}$ instead of $P_5^{(\pm)}$ throughout the remainder of the paper. Due to the differential modulation, the supercontinuum pulse's envelope and the second-order modulation component can be effectively canceled. According to equation (2), the output signals possess a frequency of ω_{RF}/S , which shows that the signals have stretched in time. The inclusion of the phase term, $\sin(\phi_{\text{DIP}})$, leads to frequency fading, also known as dispersion penalty. This is caused by the destructive interference of RF components generated by the beating of the carrier and the modulation sidebands inside the BPD. The FEOM architecture, as represented in equation (2), manifests a unique and powerful feature in the form of complementary fading characteristics between the $P^{(+)}$ and $P^{(-)}$ channels. These phase-diverse outputs enable the effective counteraction of the detrimental effects of dispersion penalty on the full recovery of the original analog signal through the utilization of the maximal ratio combining (MRC) algorithm [2]. The algorithm increases the SNR as opposed to simply combining the two outputs [3].

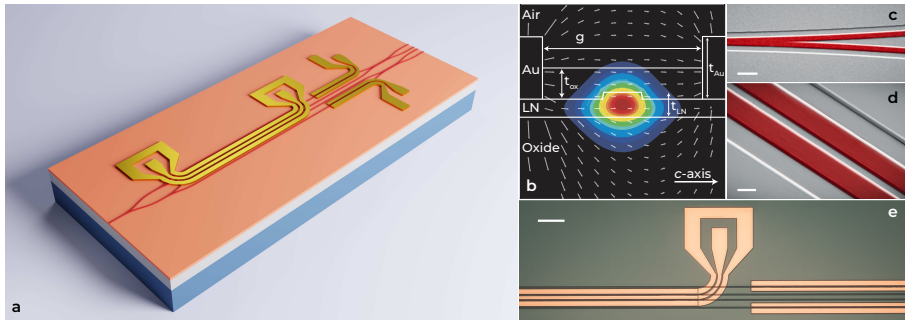


Fig. 3 Integrated TFLN FEOM. **a** Schematic of the FEOM. **b** Electric field distribution of overlapping microwave and optical modes of an Mach-Zehnder arm. The TFLN thickness (t_{LN}) is 400 nm. The rib waveguide's width and height are $1.3\ \mu\text{m}$ and $110\ \text{nm}$, respectively. The thickness of CPW electrodes (t_{Au}) are $1.0\ \mu\text{m}$ with a signal-to-ground gap (g) of $5.5\ \mu\text{m}$. The thickness of silicon oxide passivation layer (t_{ox}) is $500\ \text{nm}$. **c, d** False-color SEM images of the fabricated 3-dB Y-junction and 3-dB directional coupler. Scale bars indicates $4\ \mu\text{m}$ and $1\ \mu\text{m}$, respectively. **e** Microscope image of a section of a CPW and a phase modulator. Scale bar denotes $100\ \mu\text{m}$.

Device Design

The devices are optimized for high electrooptic (EO) bandwidth and modulation efficiency, and designed for transverse-electric (TE) single-mode operation utilizing the RF module of the COMSOLTM simulation tool. Additionally, the Ansys Lumerical finite-difference time-domain (FDTD) simulation software package is employed for the design of passive components operating at the $1560\ \text{nm}$ optical wavelength. A 3-D schematic of the FEOM, RF electric field and optical mode profiles, as well as images of a fabricated device, are presented in Fig. 3. The actual implemented device (Fig. 3a) incorporates two phase modulators, as opposed to the original FEOM concept (Fig. 1c). The phase modulators allow fine-tuning of the phase, and can neutralize fabrication imperfections and associated deviations in the expected phase of each channel.

The RF coplanar waveguides (CPWs) are oriented along the crystal's y axis of X-cut TFLN, in order to capitalize on the highest EO coefficient, r_{33} of LN for TE modes. The layer structure of one of the inner arms of the nested MZMs is illustrated in Fig. 3b with dimensions specified in the caption. A false-color scanning-electron microscope (SEM) and optical microscope images from different sections of the fabricated devices are shown in Figs. 3c-e.

A comprehensive series of simulations were carried out utilizing a TSADC system as the platform for examination to evaluate the functionalities devised in the design of the FEOM. The parameters of the simulation were chosen to be consistent with the experimental setup described in the study, including the dispersion parameters of the first and second fiber elements, represented by D_1 and D_2 , which were set to -120 and $-984\ \text{ps}/(\text{nm}\cdot\text{km})$ respectively. This resulted in a system stretch factor of $S = 9.2$. The analog-to-digital converter utilized in the simulation had a sampling rate of $50\ \text{GSa/s}$, and an effective number of bits (ENOB) of 7.

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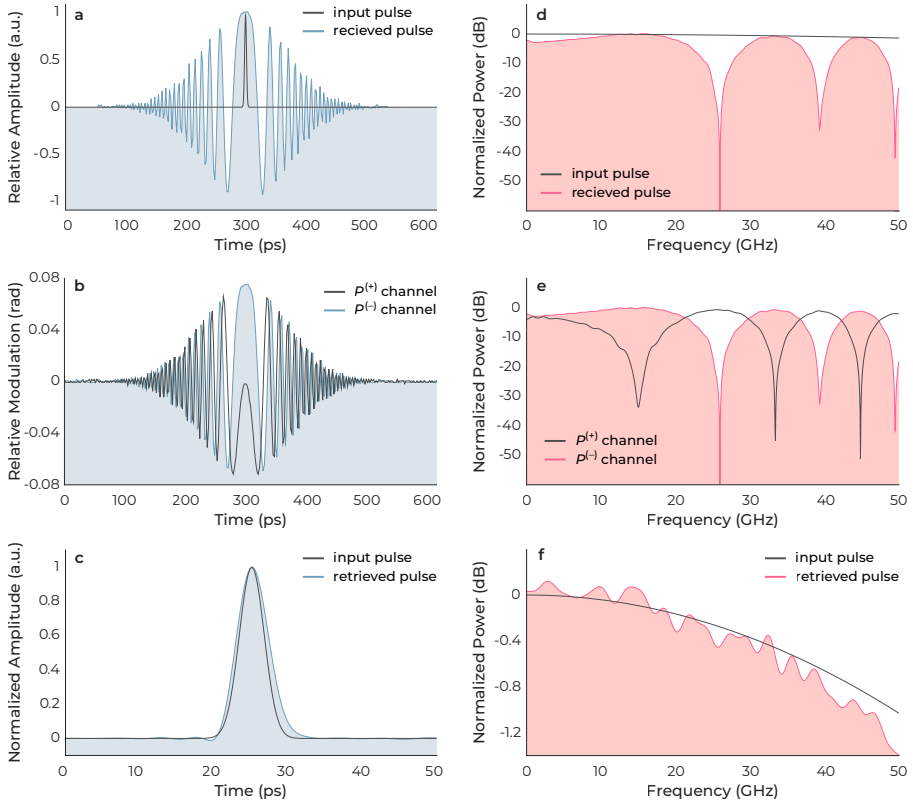


Fig. 4 Concurrent employment of differential and phase diversity in a FEOM. The time-domain representation of an input and a retrieved pulse in a time-stretch system **a** Without incorporating FEOM. **b** After utilizing FEOM. **c** After performing the MRC algorithm. **d–f** Exhibits the frequency domain response of the same pulses shown in **a, b,** and **c**.

The time- and frequency-domain simulation results are displayed in Fig. 4a–c and Fig. 4d–f, respectively. Fig. 4a, d illustrates the input pulse and the distorted output pulse of a time-stretch system. The nulls in the frequency spectrum of the received pulsed signal are caused by the dispersion penalty. Fig. 4b, e shows the differential outputs of the TSADC system after incorporating the FEOM. The complementary fading characteristics between the $P^{(+)}$ and $P^{(-)}$ outputs are evident. Fig. 4c, f depicts the response of the system after applying the MRC algorithm. As can be seen, the original signal is fully recovered. The small amount of distortion in the recovered signal is due to undersampling in the simulations.

Characterization for time-stretch applications

The modulator was designed to possess an EO bandwidth high enough to effectively capture the first few nulls of the $P^{(+)}$ and $P^{(-)}$ outputs in the frequency response, as illustrated in Fig. 4f. This was to allow for a clear

observation of the complementary fading characteristics of the two outputs. 369
 The 3-dB bandwidth of the fabricated FEOM was determined and resulted in 370
 an estimated value of approximately 44 GHz. 371

Further, the low-frequency half-wave voltage, V_π , of the devices was measured 372
 to be 7.66 V for a modulation length of 0.7 cm, resulting in a $V_\pi \cdot L$ of 373
 5.36 V.cm. It is worth highlighting that if the current devices were configured 374
 in a standard push-pull configuration, as commonly pursued in the TFLN 375
 modulator literature [5], the measured $V_\pi \cdot L$ would be halved to 2.68 V.cm. 376

The functionality of TFLN FEOMs is rigorously confirmed through experimental 377
 verification. The setup is based on a time-stretch enhanced recording (TiSER) 378
 oscilloscope, which is the single channel version of a TSADC, as illustrated 379
 in Fig. 5a and elaborated in the Method section. The oscilloscope's 380
 sampling rate is set at 50 GSa/s and the stretch factor of the system is 381
 9.2, resulting in an effective sampling rate (f_s) of about 460 GSa/s for the 382
 TSADC. The total effective jitter is another important performance parameter 383
 of TSADC systems, which is calculated as [2] 384

$$\tau_{j,eff} = \sqrt{\tau_{j,laser}^2 + \left(\frac{\tau_{j,clock}}{S}\right)^2}, \quad (3) \quad \begin{array}{l} 385 \\ 386 \\ 387 \\ 388 \end{array}$$

where $\tau_{j,laser}$ is the inter-pulse jitter of the laser and $\tau_{j,clock}$ is the clock jitter 389
 of the digitizer. The digitizer implemented in the present study featured an 390
 rms sampling jitter of 270 fs. The use of a single-shot system, such as TiSER, 391
 effectively negated any timing jitter that may have been present in the mode- 392
 locked laser. As a result, the effective jitter of the TSADC is ~ 29.4 fs. 393

After preliminary characterization of the FEOM and TSADC system, the 394
 modulator's differential and phase diversity capabilities were examined using 395
 the measurement setup (Fig. 5a). During the measurement, the FEOM is 396
 biased at its quadrature point to eliminate the second-order intermodulation 397
 distortion [48], and fed by a signal generator. To minimize the effect of 398
 third-order distortions, it is ensured that the modulator is not overdriven. 399

The normalized RF transfer functions of the $P^{(+)}$ and $P^{(-)}$ channels, after 400
 performing differential and phase diversity operations, are shown in Fig. 5b. 401
 The MRC algorithm was used to exclude the effect of dispersion penalty and 402
 retrieve the original signal, which was performed digitally on the $P^{(+)}$ and $P^{(-)}$ 403
 branches. The first nulls in the frequency response appeared at ~ 13.3 GHz 404
 and ~ 27.5 GHz, which were in general agreement with the simulation results 405
 presented in Fig. 4e. 406

Frequency roll-offs are evident in the shown responses. In general, time 407
 stretch systems do not inherently introduce any roll-off effect in the measured 408
 transfer function. However, both the RF sweep generator and the EOM can 409
 cause roll-offs. Furthermore, the FEOM response rolls off due to an increase 410
 in the V_π as the frequency increases, while the device is always biased at the 411
 measured low-frequency bias in the experiment. 412

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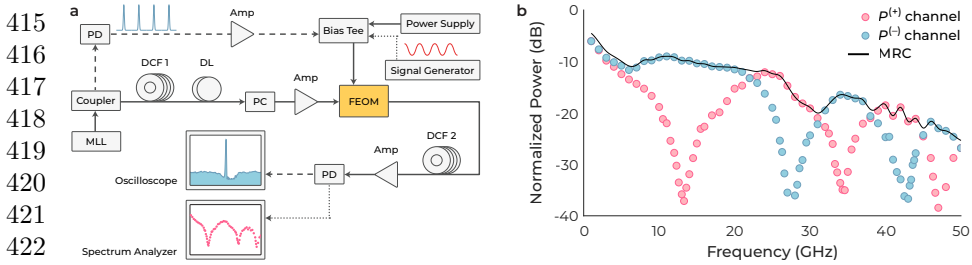


Fig. 5 Phase diversity measurement. **a** Schematic of the TiSER system used to examine the differential and phase diversity capabilities of the FEOM. The temporal domain measurement is represented by black dashed lines, the frequency domain measurement is indicated by black dotted lines, and black solid lines are utilized for both temporal and frequency domain measurements. PD: photodetector, PC: polarization controller, DL: optical delay line, Amp: amplifier. **b** The measured transfer functions of the two FEOM outputs in a TiSER system and application of the MRC algorithm on them.

Simulation for long-haul communication

Combining the four outputs of the structure in Fig. 1c is not suitable for taking advantage of the differential operation for telecommunication applications. However, if the $\{I, Q\}$ and $\{\bar{I}, \bar{Q}\}$ sets are transmitted through the orthogonal polarization modes of a fiber link, all the information required for differential operation during balanced detection can be retrieved. The DP FEOM in Fig. 1d can achieve this goal. In this proposed device, the I and Q components are multiplexed into one guided-mode polarization and the \bar{I} and \bar{Q} components are multiplexed into another. This polarization-based separation of the four components allows eliminating the common mode noise using the differential operation after a long-fiber dispersive element.

Simulation of such a DP FEOM was carried out using a commercial software package, Virtual Photonics Inc. The output of the DP FEOM was sent through a 100-km long optical fiber with a dispersion of 16 ps/(nm.km) and demultiplexed before being sent to a pair of optical coherent detectors. The $P^{(+)}$ and $P^{(-)}$ signals were generated by a pair of differential detectors.

The $P^{(+)}$ and $P^{(-)}$ signals are depicted in the time and frequency domains in Fig. 6a, b, respectively. The phase-diversity characteristics of the DP FEOM between the two channels are evident in Fig. 6b. The comparison of the original input signal and the recovered signal, after performing the MRC algorithm, is shown in Fig. 6c, d in the time and frequency domains, respectively. The simulation results demonstrate that the DP variation of FEOM has the capability to counteract the dispersion penalty in long-haul communication—a key feature missing from current coherent optical transmission systems.

Discussion

A novel class of integrated photonic devices, namely FEOMs, has been proposed, fabricated and characterized. The architecture effectively surmounts

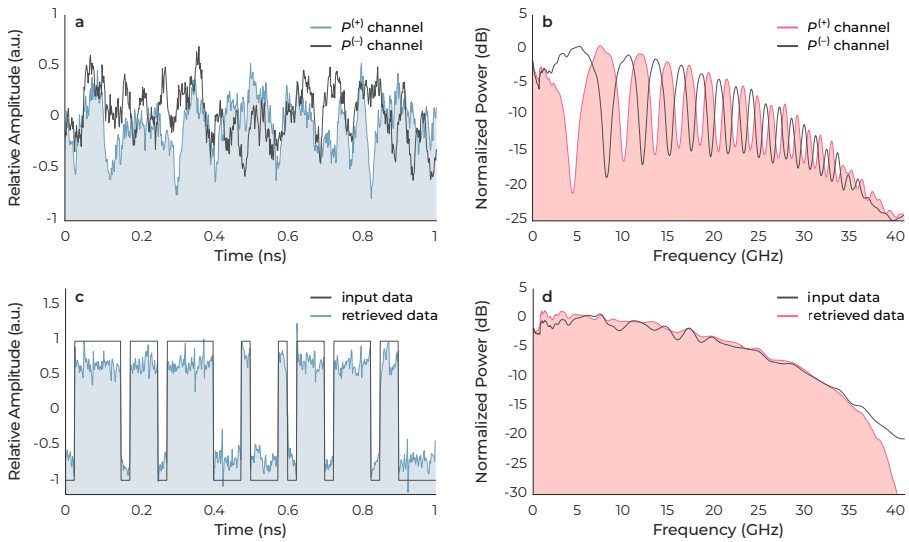


Fig. 6 Mitigation of the dispersion effect in optical communication systems.
a, b Outputs of the DP FEOM communication link after performing differential and phase diversity operations in time and frequency domains, before performing MRC algorithm. **c, d** Display the same results as **a** and **b**, but only after performing the MRC algorithm. For clarity, the input data is also included.

the bandwidth and dynamic-range limitations of photonic systems due to dispersion penalty and semiconductor laser noise, respectively. The architecture enables the concurrent execution of phase diversity and differential operations on a single PIC and is implemented on the TFLN platform. The circuit comprises of two nested MZMs. It is verified that the proposed FEOM is capable of canceling the dispersion penalty and noise in a dual-polarization (DP) optical communication link. Furthermore, the FEOM is augmented by two dispersive optical-fiber elements and fiber-optic delay lines for time-stretching and synchronization, respectively. It is experimentally demonstrated that the inherent nulls in the frequency response of a time-stretch enhanced recording (TiSER) oscilloscope can be eliminated. This demonstration is a significant achievement and a noteworthy advancement in the practical implementation of photonic time-stretch systems, as well as coherent optical communication.

Method

Mathematical framework

A detailed analytical analysis of FEOM is provided here. Here, we use the notation $E_s(t)$ and $\tilde{E}_s(\omega)$ to denote the electric field in time and frequency domains, respectively. The subscript s corresponds to the steps 1–5 in our experimental setup (Fig. 2).

In the first step, we apply a frequency-dependent phase shift to the output pulses of a supercontinuum source, $E_1(t)$, using group-velocity dispersion

507 (GVD) in an optical fiber with length L_1 and second-order dispersion param-
 508 eter β_2 . This transformation results in the generation of chirped carrier pulses
 509 such that

$$510 \quad \tilde{E}_2(\omega) = \tilde{E}_1(\omega) e^{-j\omega^2 \beta_2 L_1/2}. \quad (4)$$

511 Here, we neglect the non-quadratic phase shifts induced by the third-order dis-
 512 persion parameter. Then, the chirped electric field enters the FEOM, which
 513 is composed of four waveguides. The electric fields propagating along the first
 514 and the fourth waveguides just acquire the spatial phase due to propagation.
 515 However, the electric fields in the second and third waveguides accumulate
 516 additional phases of $\phi(t)/2$ and $-\phi(t)/2$, respectively, due to the applied
 517 electric field to the coplanar waveguide. Here, $\phi(t) = m \cos(\omega_{RF}t)$ is the mod-
 518 ulation phase by the single tone electrical signal of frequency ω_{RF} and the
 519 modulation index $m = \pi V_{\text{amp}}/V_\pi$, where V_{amp} and V_π represent the signal
 520 amplitude and the half-wave voltage of the modulator, respectively. Hereafter,
 521 we use ϕ instead of $\phi(t)$ to simplify the notation. The output electric field of
 522 the modulator in each component k can be expressed as

$$524 \quad E_3^{(k)}(t) = \frac{\sqrt{2}}{4} E_2(t) f(t; k), \quad k \in \{I, Q, \bar{Q}, \bar{I}\} \quad (5)$$

526 where $f(t; k) = [1 - j \exp(j\phi/2), \exp(j\phi/2) - j, \exp(-j\phi/2) - j, 1 -$
 527 $j \exp(-j\phi/2)]$, respectively. All components k are labeled in Fig. 2. In the
 528 next step, we expand the phase terms $\exp(\pm\phi/2)$ in a Taylor series and make
 529 the linear approximation, ignoring the second and higher order terms of ϕ .
 530 Under this approximation, the Fourier-domain representation of the field in
 531 equation (5) can be written as

$$533 \quad \tilde{E}_3^{(k)}(\omega) = a_1 \tilde{E}_2(\omega) + a_2 m e^{j\delta(k)} \left[\tilde{E}_2(\omega - \omega_{RF}) + \tilde{E}_2(\omega + \omega_{RF}) \right], \quad (6)$$

536 where $\delta(k) = [\pi/4, 3\pi/4, -\pi/4, -3\pi/4]$, respectively, and terms $a_1 =$
 537 $(1/2) \exp(-j\pi/4)$ and $a_2 = (1/8\sqrt{2}) \exp(-j\pi/4)$ are constant complex coef-
 538 ficients. Propagating through the second GVD component of length L_2 , the
 539 electric field will be

$$541 \quad \tilde{E}_4^{(k)}(\omega) = \tilde{E}_3^{(k)}(\omega) e^{-j\omega^2 \beta_2 L_2/2}. \quad (7)$$

543 Using equations (4-7), we can write $\tilde{E}_4^{(k)}(\omega)$ as a function of $\tilde{E}_1(\omega)$, where
 544 the terms $\omega \pm \omega_{RF}$ appear. For wideband supercontinuum pulses with slow
 545 frequency-dependent variations ($\Delta\omega_{\text{optical}} \gg \Delta\omega_{RF}$), we can use the approxi-
 546 mation $\tilde{E}_1(\omega \pm \omega_{RF}) \cong \tilde{E}_1(\omega \pm \omega_{RF}/S)$, where $S = 1 + L_2/L_1$ denotes the time
 547 stretch factor. Using this approximation, the Fourier-domain electric field can
 548 be summarized as

$$550 \quad \tilde{E}_4^{(k)}(\omega) = \tilde{E}_{\text{env}}(\omega) + \left(\frac{a_2}{a_1} \right) m e^{-j(\phi_{\text{DIP}} - \delta(k))} \left[\tilde{E}_{\text{env}}\left(\omega - \frac{\omega_{RF}}{S}\right) + \tilde{E}_{\text{env}}\left(\omega + \frac{\omega_{RF}}{S}\right) \right], \quad (8)$$

where $\tilde{E}_{\text{env}}(\omega) = a_1 \tilde{E}_1(\omega) \exp(-j\omega^2 \beta_2 L/2)$ shows the envelope function of the electric field, $\phi_{\text{DIP}} = \omega_{\text{RF}}^2 \beta_2 L_2 / 2S$ is the dispersion phase, and $L = L_1 + L_2$ is the total length of the GVD elements. By applying the inverse Fourier transform to equation (8), the time-domain electrical field will be

$$E_4^{(k)}(t) = E_{\text{env}}(t) \left[1 + \left(\frac{a_2}{a_1} \right) m e^{-j(\phi_{\text{DIP}} - \delta(k))} \left(e^{j\omega_{\text{RF}} t/S} + e^{-j\omega_{\text{RF}} t/S} \right) \right]. \quad (9)$$

The output photocurrents of the components are calculated from $P(t) = (c\epsilon_0 n \eta A_{\text{eff}}/2) E(t) E^*(t)$ where parameters n , η , and A_{eff} denote the refractive index of the fiber, photodetector responsivity, and effective optical field mode area in the fiber, respectively. The photocurrent at each channel can be calculated as

$$P_4^{(k)}(t) = P_{\text{env}}(t) \left[1 + \frac{1}{\sqrt{2}} m \cos\left(\frac{\omega_{\text{RF}} t}{S}\right) \cos(\phi_{\text{DIP}} - \delta(k)) + \frac{m^2}{8} \cos^2\left(\frac{\omega_{\text{RF}} t}{S}\right) \right] \quad (10)$$

where $P_{\text{env}}(t) = (c\epsilon_0 n \eta A_{\text{eff}}/2) E_{\text{env}}(t) E_{\text{env}}^*(t)$ represents the current in the absence of the modulating electric signal. After performing differential at the BPDs, one obtains equation (2).

Device fabrication

Low-loss waveguides on 400-nm-thick X-cut TFLN dies were formed using electron-beam lithography (EBL), ZEP520A electron-beam resist, and inductively coupled plasma etching system. The waveguide, with a 110-nm-thick rib, were then passivated with a 500-nm-thick silicon oxide layer created through plasma-enhanced chemical vapor deposition. After passivation, trenches were created inside the oxide by using EBL and reactive ion etching, to make space for the formation of RF CPWs. An additional step of EBL was carried out, followed by the deposition of a 500-nm-thick gold layer via electron-beam physical vapor deposition. The CPWs were then patterned using the liftoff process. In the final step of the fabrication process, the previous step was repeated to achieve CPWs with a total thickness of 1.0 μm .

Measurement setup

The optical source is a custom-made supercontinuum mode-locked laser at the center wavelength of 1560 nm, with a pulse width of 500 fs and a repetition rate of 37 MHz. The laser pulse is chirped with a dispersion compensation fiber (DCF 1) with $D_1 = -120 \text{ ps}/(\text{nm}\cdot\text{km})$. The chirped pulse then passes through a variable delay line (General Photonics, VDL-001-15-60-SS) and a polarization controller (PC). To compensate for the power loss during the coupling of the modulator, the pulse is amplified by an Erbium-doped fiber amplifier (EDFA, Pritel FA-15-L). The RF signal is introduced to the amplified laser pulse at the fabricated modulator via an RF probe, which is configured in a ground-signal-ground (GSG) configuration with a bandwidth of 50 GHz. To eliminate the

599 potential for back-reflected signals, a second RF probe in a GSG configuration
600 is utilized to terminate the transmission line with a load impedance of 50 Ω .
601 A bias tee (INMET 64671) is used to supply both DC bias (GW GPC-1850D
602 power supply) and phase modulation using a signal generator (HP 83650B) to
603 the FEOM. The modulated pulse is time-stretched by the second dispersion
604 element (DCF 2), with $D_2 = -984$ ps/(nm.km). Since the dispersion attenuates
605 the laser peak power, another EDFA (IPG Photonics EAD-200-CL) is used to
606 amplify the pulse. Then, a wavelength division multiplexer (WDM) is used to
607 filter out the redundant wavelength. The filter is centered at 1570 nm with an
608 optical bandwidth of 20 nm. Finally, the pulse is detected using a photodetector
609 (New focus 1554-B) and sent to an oscilloscope or an RF spectrum analyzer.

610 For differential detection, a 95/5 coupler sends 5% of the optical power into
611 a photodetector (Discovery DSC-30S, 20 GHz) for generating a synchronized
612 RF pulse. The RF signal is amplified with an electronic amplifier (Amp, Mul-
613 tilink MTC5515, 10 GHz) before modulating the chirped laser. The optical
614 delay line (DL) is tuned such that the RF pulse is synchronized with the optical
615 pulse. The final output photodetected signal is digitized using an oscilloscope
616 (Tektronix DPO6317B, 16 GHz, 50 GSa/s) for time-domain measurements.
617 We measure all four ports of the modulator one by one and perform differen-
618 tial detection digitally (mathematical subtraction). The oscilloscope was set
619 under average mode (average every 16 samples) to reduce the detection noise.

620 For measuring the dispersion penalty, the coupler after laser source is
621 replaced by a single-mode fiber. Also, the RF signal is a sinusoidal wave from
622 the signal generator. In this experiment, the delay line is not tuned since the
623 relative delay between the RF signal and the optical pulse is no longer rele-
624 vant. The final output of the photodetector is sent to an RF spectrum analyzer
625 (HP 8592B) to measure the frequency response of the system.

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631 while he was a visiting scientist at the Jalali Lab at UCLA. E.O. conducted the
632 mathematical modeling, device simulations, and chip design. T.J. performed
633 the system-level simulations. The fabrication was carried out by E.O. and
634 M.G.V. at UCF. The device testing was completed by E.O. and F.A.J. at the
635 Fathpour Lab at UCF. The experimental demonstration of the time-stretch
636 system utilizing the new modulator was conducted by E.O. and T.Z. at UCLA.
637 Manuscript preparation was contributed by B.J., S.F., E.O., M.E., T.Z., and
638 T.J. E.O. finalized the paper.

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