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Dihydroartemisinin–piperaquine resistance in *Plasmodium falciparum* malaria in Cambodia: a multisite prospective cohort study

Chanaki Amaratunga*, Pharath Lim*, Seila Suon, Sokunthea Sreng, Sivanna Mao, Chantha Sopha, Bamey Sam, Dalin Dek, Vorleak Try, Roberto Amato, Daniel Blessborn, Lijiang Song, Gregory S Tullo, Michael P Fay, Jennifer M Anderson, Joel Tarning, and Rick M Fairhurst

Laboratory of Malaria and Vector Research (C Amaratunga PhD, P Lim PhD, G S Tullo BS, J M Anderson PhD, R M Fairhurst PhD), and Biostatistics Research Branch (M P Fay PhD), National Institute of Allergy and Infectious Diseases, National Institutes of Health, Rockville, MD, USA; National Center for Parasitology, Entomology, and Malaria Control, Phnom Penh, Cambodia (P Lim, S Suon MD, S Sreng, D Dek BA, V Try BA); Sampov Meas Referral Hospital, Pursat, Cambodia (S Mao MD); Makara 16 Referral Hospital, Preah Vihear, Cambodia (C Sopha MD); Ratanakiri Referral Hospital, Ratanakiri, Cambodia (B Sam MD); Wellcome Trust Sanger Institute, Hinxton, UK (R Amato PhD); Medical Research Council Centre for Genomics and Global Health (R Amato), Centre for Tropical Medicine and Global Health, Nuffield Department of Medicine (D Blessborn PhD, L Song PhD, J Tarning PhD), University of Oxford, Oxford, UK; and Mahidol-Oxford Tropical Medicine Research Unit, Faculty of Tropical Medicine, Mahidol University, Bangkok, Thailand (D Blessborn, L Song, J Tarning)

Abstract

Background—Artemisinin resistance in *Plasmodium falciparum* threatens to reduce the efficacy of artemisinin combination therapies (ACTs), thus compromising global efforts to eliminate malaria. Recent treatment failures with dihydroartemisinin-piperaquine, the current first-line ACT in Cambodia, suggest that piperaquine resistance may be emerging in this country. We explored the relation between artemisinin resistance and dihydroartemisinin-piperaquine failures, and sought to confirm the presence of piperaquine-resistant *P falciparum* infections in Cambodia.

Methods—In this prospective cohort study, we enrolled patients aged 2–65 years with uncomplicated *P falciparum* malaria in three Cambodian provinces: Pursat, Preah Vihear, and Ratanakiri. Participants were given standard 3-day courses of dihydroartemisinin-piperaquine. Peripheral blood parasite densities were measured until parasites cleared and then weekly to 63

Correspondence to: Dr Rick M Fairhurst, Laboratory of Malaria and Vector Research, National Institute of Allergy and Infectious Diseases, National Institutes of Health, 12735 Twinbrook Parkway, Room 3E-10A, Rockville, MD 20852, USA, rfairhurst@niaid.nih.gov.

*Contributed equally

For more on the WorldWide Antimalarial Resistance Network see <http://www.wwarn.org/toolkit/qaqc>

Contributors: CA, MPF, and RMF designed the study. CA, PL, SSu, SSr, SM, CS, BS, DD, VT, RA, DB, LS, and GST collected data. CA, PL, MPF, JT, and RMF analysed data. CA, PL, MPF, JT, and RMF interpreted data and prepared the report. CA, PL, SSu, JMA, and RMF oversaw the project.

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days. The primary outcome was recrudescence of *P. falciparum* parasitaemia within 63 days. We measured piperazine plasma concentrations at baseline, 7 days, and day of recrudescence. We assessed phenotypic and genotypic markers of drug resistance in parasite isolates. The study is registered with ClinicalTrials.gov, number NCT01736319.

Findings—Between Sept 4, 2012, and Dec 31, 2013, we enrolled 241 participants. In Pursat, where artemisinin resistance is entrenched, 37 (46%) of 81 patients had parasite recrudescence. In Preah Vihear, where artemisinin resistance is emerging, ten (16%) of 63 patients had recrudescence and in Ratanakiri, where artemisinin resistance is rare, one (2%) of 60 patients did. Patients with recrudescence of *P. falciparum* infections were more likely to have detectable piperazine plasma concentrations at baseline compared with non-recrudescence patients, but did not differ significantly in age, initial parasite density, or piperazine plasma concentrations at 7 days. Recrudescence patients had a higher prevalence of *kelch13* mutations, higher piperazine 50% inhibitory concentration (IC₅₀) values, and lower mefloquine IC₅₀ values; none had multiple *pfmdr1* copies, a genetic marker of mefloquine resistance.

Interpretation—Dihydroartemisinin–piperazine failures are caused by both artemisinin and piperazine resistance, and commonly occur in places where dihydroartemisinin–piperazine has been used in the private sector. In Cambodia, artesunate plus mefloquine may be a viable option to treat dihydroartemisinin–piperazine failures, and a more effective first-line ACT in areas where dihydroartemisinin–piperazine failures are common. The use of single low-dose primaquine to eliminate circulating gametocytes is needed in areas where artemisinin and ACT resistance is prevalent.

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Introduction

Artemisinin combination therapy—the use of a potent, short-acting artemisinin and a less-potent, long-acting partner drug—is recommended worldwide for the treatment of *Plasmodium falciparum* malaria.¹ Dihydroartemisinin–piperazine, one of the few artemisinin combination therapies still effective against multidrug-resistant *P. falciparum* in southeast Asia, was adopted as the first-line antimalarial treatment in Cambodia in 2008. Several earlier studies^{2–4} documented the excellent safety and tolerability of dihydroartemisinin–piperazine in Cambodia, as well as efficacy of 96–98% after 28 days or 63 days in the Cambodian provinces of Oddar Meanchey, Siem Reap, Pursat, and Kratie.^{3–6} However, the rapid spread of artemisinin resistance in Cambodia^{7–11} and throughout mainland southeast Asia^{10–12} threatens the efficacy of dihydroartemisinin–piperazine and all other artemisinin combination therapies.¹³ This danger arises because as more parasites become resistant to artemisinin, more parasites need to be eliminated by the lone partner drug; therefore, they are more likely to spontaneously develop genetic resistance to piperazine and other partner drugs.

Preliminary evidence for this development has been provided by three studies that show declining efficacy of dihydroartemisinin–piperazine shortly after its widespread deployment in western Cambodia. In a 2008–10 study,¹⁴ the efficacy of dihydroartemisinin–piperazine after 42 days was 75% in Pailin and 89% in Pursat, but 100% in Preah Vihear

and Ratanakiri in northern and eastern Cambodia. Because dihydroartemisinin–piperaquine failures were found not to be associated with piperaquine 50% inhibitory concentration (IC₅₀) in this study, and piperaquine plasma concentrations at 7 days were not measured, piperaquine resistance in Pailin and Pursat could not be confirmed. The emergence of piperaquine resistance is also difficult to reconcile with concomitant decreases in piperaquine IC₅₀ values in Pailin and Pursat.¹⁴ In a 2013 study,^{15,16} the efficacy of dihydroartemisinin–piperaquine after 42 days in Oddar Meanchey was 46%. Although patients with recrudescence or cure had similar exposures to piperaquine in this study, the piperaquine IC₅₀ values for recrudescing parasites were not higher than those for non-recrudescing parasites. Given this result, piperaquine resistance in this province also could not be confirmed. In a 2011–13 study,¹⁷ the proportion of recrudescing infections by 42 days after dihydroartemisinin–piperaquine treatment was higher in western Cambodia (15%) than in eastern Cambodia (3%). Patients with recrudescence or cure in this study had similar exposures to piperaquine and carried parasites with similar piperaquine IC₅₀ values. In view of these findings and the lack of a genetic marker, piperaquine resistance in western Cambodia has not been confirmed, although increasing piperaquine IC₅₀ values in northern Cambodia suggest that it may be emerging.¹⁸

The lack of clear evidence of piperaquine resistance in Cambodia hinders efforts to define its role in dihydroartemisinin–piperaquine failures, identify and validate genetic markers for use in large surveillance programmes, and study its molecular mechanism. We did a cohort study to identify piperaquine-resistant *P. falciparum* infections in Cambodia. We postulated that such infections would be associated with artemisinin resistance,¹⁹ dihydroartemisinin–piperaquine failures, adequate piperaquine exposure, and decreased susceptibility of *P. falciparum* isolates to piperaquine in vitro. We also postulated that dihydroartemisinin–piperaquine would fail more often in areas where artemisinin resistance is prevalent than where it is emerging. We therefore compared the efficacy of dihydroartemisinin–piperaquine for the treatment of uncomplicated *P. falciparum* malaria in Pursat, Preah Vihear, and Ratanakiri, where the prevalences of *kelch13* mutations—a genetic marker for artemisinin resistance in Cambodia and elsewhere in southeast Asia^{9,10}—were 76%, 21%, and 4%, respectively, in 2011–12.¹⁰ We also compared the prevalence of *kelch13* mutations, plasma piperaquine concentrations after 7 days, and in-vitro piperaquine IC₅₀ values between non-recrudescing and recrudescing infections to investigate the presence of piperaquine-resistant parasites.

Methods

Study design and participants

For this prospective cohort study, we recruited patients from provincial referral hospitals and district health centres in Pursat, Preah Vihear, and Ratanakiri provinces, Cambodia. Patients were eligible if they were aged 2–65 years and had acute, uncomplicated *P. falciparum* malaria (excluding mixed infections with non-falciparum species), parasite density no more than 200 000 parasites per μ L, and fever (a tympanic temperature $\geq 37.5^{\circ}\text{C}$) or fever in the previous 24 h. The main exclusion criteria were treatment of present symptoms with an antimalarial in the previous week, pregnancy or breastfeeding, and haematocrit $<25\%$.

The protocol was approved by the Cambodian National Ethics Committee for Health Research and the National Institute of Allergy and Infectious Diseases institutional review board. Patients or parents of children younger than 18 years provided written informed consent.

Procedures

Patients were admitted to the hospital for supervised treatment and monitoring for resolution of parasitaemia. Just before administering the first dose of treatment at 0 h, the initial parasite density was measured in thick blood films. All patients were then treated at 0 h, 24 h, and 48 h with Duo-Cotecxin tablets (Holley Pharmaceutical, Beijing, China), each containing dihydroartemisinin 40 mg and piperaquine 320 mg, according to bodyweight (<10 kg, half a tablet; 10–19 kg, one tablet; 20–29 kg, one and a half tablets; 30–39 kg, two tablets; 40 kg, three tablets) per the manufacturer's recommendation.

For patients with a parasite density of 10 000 parasites per μL or more at screening, we measured parasite densities at 0 h, 2 h, 4 h, 6 h, 8 h, 12 h, and every 6 h thereafter until three consecutive blood films showed no parasitaemia (ie, no ring-stage parasites were observed after 500 leucocytes were examined by microscopy). For patients with an initial parasite density of less than 10 000 parasites per μL , we measured parasite densities every 24 h until one blood film showed no parasitaemia.

At 7 days and then weekly to 63 days, we measured body temperature, reviewed malaria symptoms, and took a finger-prick blood sample to screen for recurrent parasitaemia using a rapid diagnostic test (First Response; Premier Medical Corporation, Nani Daman, India) and microscopy. Parasite densities were measured in samples with detectable parasitaemia. A 200- μL blood sample was also collected for measuring piperaquine plasma concentrations.

Patients who developed asymptomatic *P falciparum* parasitaemia or uncomplicated *P falciparum* malaria (with or without co-incident *Plasmodium vivax* parasitaemia) within 63 days were admitted to the hospital for supervised oral treatment at 0 h, 24 h, and 48 h with artesunate (4 mg/kg; Guilin Pharmaceutical, Shanghai, China) plus Malarone tablets (GlaxoSmithKlein; Hanover, PA, USA), each containing atovaquone 250 mg and proguanil 100 mg (in adult tablets) or atovaquone 62.5 mg and proguanil 25 mg (in child tablets), according to bodyweight (5–8 kg, two child tablets; 9–10 kg, three child tablets; 11–20 kg, one adult tablet; 21–30 kg, two adult tablets; 31–40 kg, three adult tablets; >40 kg, four adult tablets) per the manufacturer's recommendation. Patients were then monitored daily for resolution of fever and clearance of parasitaemia. Patients who developed *P vivax* infection (with or without malaria symptoms) within 63 days were treated with Duo-Cotecxin tablets as described above.

Plasma samples were transported on dry ice to the Department of Clinical Pharmacology, Mahidol-Oxford Tropical Medicine Research Unit in Bangkok, Thailand. The laboratory is accredited according to ISO15189 and ISO15190, and participates in the WorldWide Antimalarial Resistance Network quality control and assurance proficiency testing programme.²⁰ Piperaquine concentrations were measured by a validated method.²¹ Quality control samples (4.5 ng/mL, 20 ng/mL, and 400 ng/mL) showed intra-day and inter-day

variabilities below 10% during drug measurements of study samples. The lower limit of quantification (LLOQ) was 1.5 ng/mL; the lower limit of detection (LLOD) was 0.375 ng/mL. Values below these limits were imputed as LLOQ/2 or LLOD/2, respectively, before statistical analysis.

We did genotyping for *pfmdr1* and *X5r* copy numbers²² and *kelch13* propeller and *pfert* mutations.²³ In 168 samples for which *kelch13* genotypes were unavailable, the propeller domain of *kelch13* was amplified by nested PCR with previously described⁹ primers (K13-1 forward 5'-cggagtgaccaaactgga-3' and K13-4 reverse 5'-gggaatctggtgtaacagc-3' for the primary reaction, and K13-N1 forward 5'-gccaaagctgccattcattg-3' and K13-N1 reverse 5'-gccttgtaagaagcaga-3' for the secondary reaction), with some modifications to PCR conditions. 1 µL of DNA was amplified with 0.2 µmol/L of each primer, 0.2 mmol/L deoxynucleoside triphosphates (Bioline USA; Taunton, MA, USA), 1.6 mmol/L MgCl₂, and 0.25 U PerfectTaq™ DNA polymerase (5 PRIME; Gaithersburg, MD, USA) according to the following cycling programme: 4 min at 94°C, 35 cycles of 30 s at 94°C, 1 min at 58°C, 1 min at 72°C, and 4 min at 72°C. For the nested PCR, 1.5 µL of primary PCR products were amplified under the same conditions, except with 1.2 mmol/L MgCl₂ and 0.375 U PerfectTaq, and annealing for 1 min at 60°C. PCR products were purified from 2% agarose gels and sequenced by MacroGen (Rockville, MD, USA). Sequences were analysed with DNASTAR Lasergene. The *kelch13* sequence of the 3D7 parasite line was used as the reference (accession number XM_001350122.1) to locate single nucleotide polymorphisms in clinical isolates. For recurrent infections, PCR genotyping was done, with *msp1*, *msp2*, and *glurp* as genetic markers to distinguish recrudescence from a newly acquired infection.²⁴ In brief, DNA samples extracted from 200 µL of whole blood were assessed for polymorphisms in these genes by nested PCR.²⁵ Genomic DNA samples from the HB3 and 3D7 parasite lines were used as controls. According to WHO recommendations,²⁶ recurrent episodes were classified as recrudescences if all *msp1*, *msp2*, and *glurp* alleles present at the time of recurrence were also present before treatment. In all other cases, they were considered new infections.

We did in-vitro testing of drug susceptibility for parasites freshly obtained from participants by means of a standard 72-h method using SYBR Green I stain.²² We calculated IC₅₀ values with use of IVART software²⁷ to fit the concentration–inhibition data. Antimalarial drug standards were provided by the WorldWide Antimalarial Resistance Network, except for piperazine (Sigma; Steinheim, Germany).

Outcomes

The primary outcome was *P falciparum* recrudescence within 63 days of starting dihydroartemisinin–piperazine treatment. Secondary outcomes were piperazine plasma concentrations at 7 days and day of recrudescence; parasite clearance half-life;^{28–30} the proportion of patients with a parasite clearance half-life longer than 5 h;¹⁰ and the proportion of patients with parasitaemia detected by microscopy at 72 h.³¹

Statistical analysis

To analyse categorical data, we used Fisher's exact test (R version 3.1.2). For quantitative data, we used a Kruskal-Wallis test (for comparing three sites) or a Mann-Whitney test (for comparing two sites; GraphPad Prism 6). If the overall test between all three sites was significant, it was followed by three tests comparing the pairs of sites. When these four tests are applied this way with the same significance level, no adjustment for multiple comparisons is necessary to bound the familywise type I error rate;³² hence, there was no need to adjust the p values. Survival analysis approximates time to recurrence or censoring at the time of blood sampling and uses Kaplan-Meier estimates and the log-rank (Mantel-Cox) test (GraphPad Prism 6). For the PCR-corrected survival analysis, reinfections and those we were unable to classify as a recrudescence or reinfection were censored. To compare piperazine IC₅₀ values with corresponding plasma concentrations, we used the paired *t* test CIs on the log-transformed values. To compare piperazine IC₅₀ values for paired initial and recrudescence isolates, we used the Wilcoxon signed-rank test (GraphPad Prism 6). Parasite clearance half-life is a measure of the parasite clearance rate derived from the linear segment of the log parasitaemia–time curve (parasite clearance half-life=log_e2 divided by the parasite clearance rate). We deemed p values of less than 0.05 as significant.

Role of the funding source

The funder of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

Results

Between Sept 4, 2012, and Dec 31, 2013, we screened 6209 individuals presenting with symptoms consistent with malaria for eligibility (table 1). We enrolled 241 (table 2). Most patients were male and median age was 24 years. A greater proportion of patients in Pursat and Preah Vihear were male, were older, and had greater bodyweight than did those in Ratanakiri (table 2). Median haematocrit was 39% and was significantly higher in patients in Pursat than in Ratanakiri. Median parasite density was 12 249 cells per μL , and did not differ between the three sites. 11% of patients had gametocytaemia at enrolment, with significantly more in Pursat than in Ratanakiri (table 2). More patients in Pursat had detectable and higher piperazine concentrations than did those in Ratanakiri. The relative piperazine concentrations in the three sites paralleled the numbers of patients excluded from our study because of previous use of artemisinin combination therapies in the private sector (table 1).

29 patients were censored in the survival analysis because they were lost to follow-up (n=18), withdrew from the study (n=2), or developed *P vivax* parasitaemia between 42 days and 63 days that required retreatment with dihydroartemisinin–piperazine (n=9; table 3). Of these 29 patients, 23 were from Pursat, reflecting the higher incidence of *P vivax* malaria and emigration from this province during the study.

Among the 212 patients who were followed up to 63 days, the proportion of those with recurrent *P falciparum* infection differed significantly by site, with the most in Pursat and the least in Ratanakiri (table 3). Recurrent infections were detected between 14 days and 63 days (median 28 days). Neither the day nor parasite density of recurrent infections differed significantly between sites. More than three quarters of patients with recurrent *P falciparum* infection were febrile (table 3), and all cleared their parasitaemia within 72 h of receiving Malarone. PCR correction identified seven recurrent parasitaemias as reinfections and one as indeterminate. The efficacy of dihydroartemisinin–piperaquine with PCR correction also differed significantly by site, being greatest in Ratanakiri (figure, table 3).

Piperaquine concentrations at 7 days were significantly higher in patients in Pursat and Preah Vihear than in Ratanakiri (table 3). These differences were still significant after correcting for each individual's dose of piperaquine. At the time of recrudescence, mean piperaquine concentration was 22.6 ng/mL (SD 35.5). Piperaquine concentrations correlated significantly with the day of recrudescence (Spearman's $r=-0.40$, $p=0.005$; appendix p 1).

The parasite clearance half-life was significantly longer in Pursat than in Preah Vihear or Ratanakiri (table 4). The time to 90% (but not 50%) parasite clearance was also significantly longer in Pursat than in Preah Vihear and Ratanakiri (table 4). The proportions of patients with parasite clearance half-life longer than 5 h or detectable parasitaemia at 72 h were significantly greater in Pursat than in Preah Vihear, and greater in Preah Vihear than in Ratanakiri. The presence of a nonsynonymous single nucleotide polymorphism in *kelch13* after position 440 was higher in Pursat than in Preah Vihear, and higher in Preah Vihear than in Ratanakiri (table 3).

To investigate patient and parasite factors associated with dihydroartemisinin–piperaquine failure, we compared the characteristics of recrudescence and non-recrudescence infections (table 5). A larger proportion of patients with recrudescence were male, and had detectable and higher piperaquine plasma concentrations at the time of enrolment than those with no recrudescence, but their age, initial parasite density, total piper aquine dose, and piperaquine plasma concentration at 7 days did not differ significantly (table 5, appendix p 2).

Compared with non-recrudescence parasites, recrudescence parasites had higher chloroquine, piperaquine, and atovaquone IC₅₀ values; similar artesunate, dihydroartemisinin, quinine, and pyronaridine IC₅₀ values; and lower mefloquine IC₅₀ values (table 5, appendix p 3). These data are consistent with observations^{7,8} that artemisinin resistance is not associated with increased artesunate or dihydroartemisinin IC₅₀ values. Recrudescence parasites had piperaquine IC₅₀ values (geometric mean 64.6 ng/mL) that were 3.85-times (95% CI 2.70–5.47) higher than the corresponding patients' piperaquine plasma concentrations (16.8 ng/mL, n=30) at the time of recrudescence, suggesting that they were resistant to piperaquine. Piperaquine IC₅₀ values did not differ between paired initial and recrudescence isolates ($p=0.13$, n=23), suggesting that piperaquine resistance did not arise within patients during the study.

Significantly more recrudescence parasites carried *kelch13* mutations than did non-recrudescence parasites (table 5). None of 48 recrudescence parasites had multiple *pfmdr1*

copies, compared with 11% of non-recrudescence parasites (table 5). Although multiple chromosome 5 region (*X5r*) copies and the *pfcr* cys101phe mutation have been associated with in-vitro piperazine resistance,³³ multiple *X5r* copies were not associated with recrudescence or piperazine IC₅₀ values in our study, and *pfcr* cys101phe was not present in any sample.

Discussion

The intensive spread of artemisinin resistance in Cambodia^{7–10} is rapidly threatening to reduce the efficacy of all artemisinin combination therapies used in this country and in bordering areas of Vietnam, Laos, and Thailand. This threat arises because more parasites survive exposure to the fast-acting artemisinin component, increasing the chance that some of them will spontaneously develop genetic resistance to long-acting partner drugs. In this study, dihydro artemisinin–piperazine cured 63% of patients in Pursat province, where artemisinin resistance is entrenched, 85% of patients in Preah Vihear province, where artemisinin resistance is emerging, and 98% of patients in Ratanakiri province, where artemisinin resistance is rare. The proportion of patients cured paralleled the prevalence of *kelch13* mutations (77% vs 34% vs 11%). Treatment failures were not associated with patient age, initial parasite density, or piperazine plasma concentration at 7 days, suggesting that they did not result from lower levels of age-dependent, parasite-clearing immunity,^{34,35} higher parasite load, or lower plasma exposure to piperazine. Although patients in Ratanakiri had significantly lower piperazine concentrations at 7 days than those in other provinces (probably due to the greater proportion of children, who clear piperazine more rapidly than do adults),^{3,36} recrudescences in Ratanakiri were rare.

Recrudescence parasites were almost three-times more likely to have *kelch13* mutations than were non-recrudescence parasites. Recrudescence parasites also had higher piperazine IC₅₀ values than non-recrudescence parasites, and had piperazine IC₅₀ values that were nearly four-times higher than piperazine plasma concentrations at the time of recrudescence, strongly indicating that piperazine resistance has emerged and spread in Cambodia. Surprisingly, patients with recrudescence were much more likely to have detectable and higher piperazine plasma concentrations at the time of enrolment than were patients without recrudescence, suggesting that they presented to our study with a recrudescence parasitaemia following an earlier dihydro artemisinin–piperazine failure in the private sector. This result is reminiscent of a finding of detectable piperazine plasma concentrations in 15% of patients in Pursat in 2008,³⁷ and suggests that intensified efforts are needed to discourage what appears to be a highly ineffective approach of self-treatment in the private sector, and instead to encourage hospital admission for patients in areas where artemisinin combination therapy-resistant falciparum malaria is prevalent.

Recrudescence parasites had significantly lower mefloquine IC₅₀ values and all had only one copy of *pfmdr1*. This latter finding is consistent with that of a previous study¹⁴ in which 17 of 18 dihydroartemisinin–piperazine failures in Pailin and Pursat were also associated with one *pfmdr1* copy. Together, all available data suggest that dihydroartemisinin–piperazine failures are due to both artemisinin and piperazine resistance. They also suggest that artesunate plus mefloquine should be tested as a front-line artemisinin combination therapy

in areas of Cambodia where dihydro artemisinin–piperaquine failures have been documented, and also as a salvage treatment for patients with dihydroartemisinin–piperaquine failures elsewhere in the country. Whether deamplification of *pfmdr1* and increased sensitivity to mefloquine is a result of the removal of mefloquine selection pressure, the addition of piperaquine selection pressure, or both, awaits further investigation. Given that piperaquine-resistant parasites are highly susceptible to atovaquone and pyronaridine in vitro, artesunate plus atovaquone–proguanil or artesunate–pyronaridine³⁸ might be effective alternatives for patients who cannot take mefloquine.

Our study is the third report of poor clinical efficacy of dihydroartemisinin–piperaquine in Cambodia, and extends this finding to Preah Vihear. In Pursat, where the prevalence of mutant *kelch13* alleles has increased from 40% in 2003–04,⁹ to 77% in 2012–13, the efficacy of dihydroartemisinin–piperaquine has decreased from 98% in 2005,⁶ to 63% in 2012–13. These findings, and the observation that piperaquine IC₅₀ values have increased since dihydroartemisinin–piperaquine was widely used in 2010,^{15,22} suggest that parasites resistant to artemisinin and piperaquine are spreading rapidly in Cambodia, that the parasites most sensitive to piperaquine are being eliminated, or both. Results from this study and two previous studies^{10,16} have documented an increased gametocyte prevalence in patients with artemisinin-resistant parasites, suggesting that they have increased transmission potential. Whether this finding is related to increased transmissibility of slow-clearing parasites following dihydroartemisinin–piperaquine treatment in Kenya³⁹ requires further investigation. Studies are also needed to test whether single low-dose primaquine⁴⁰ prevents the transmission of dihydro artemisinin–piperaquine-resistant parasites to native and non-native mosquito vectors.⁴¹

Because few other artemisinin combination therapies (eg, artemether-lumefantrine^{6,42} and artesunate-pyronaridine³⁸) are available, and because artemisinin resistance will probably accelerate resistance to any partner drug, investigations of alternative treatment approaches are urgently needed. These include further clinical testing of new compounds;⁴³ frequent cycling between combination therapies, which has tremendous logistic challenges; deployment of multiple first-line artemisinin combination therapies simultaneously at the population level; treating patients sequentially with two artemisinin combination therapies, such as dihydroartemisinin–piperaquine followed by artesunate plus mefloquine;⁴⁴ using extended combination therapies, such as three doses of artesunate followed by a full course of an artemisinin combination therapy;¹⁰ and introducing three-drug regimens such as dihydro artemisinin–piperaquine plus mefloquine (as is being tested in a clinical trial; ClinicalTrials.gov number NCT02453308). Improvements in the treatment of *P falciparum* malaria with real-time drug resistance data, identification and treatment of asymptomatic parasite carriers through community treatment campaigns, and prevention of gametocyte transmission to mosquitoes with single low-dose primaquine, are now needed more than ever if malaria elimination is to succeed in southeast Asia.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Panel: Research in context**Evidence before this study**

We searched PubMed using the terms “dihydroartemisinin”, “piperaquine”, “efficacy”, and “Cambodia” without any date or language restrictions on June 5, 2015. We identified 13 articles, six of which were original clinical trials of the efficacy of dihydroartemisinin–piperaquine for treatment of uncomplicated *Plasmodium falciparum* malaria in Cambodia. Three studies from 2001–05 showed that efficacy was 96–98% before dihydroartemisinin–piperaquine was widely used. Three later studies reported reduced efficacy (46–89%) in 2008–13, after dihydroartemisinin–piperaquine became widely used. Treatment failure has been linked to parasite *kelch13* mutations, which are associated with artemisinin resistance. All three of the later studies found no association between treatment failures and high piperaquine in-vitro IC₅₀ values (a measure of parasite susceptibility to piperaquine). The role of in-vivo piperaquine resistance in treatment failures has not been adequately assessed.

Added value of this study

Our findings suggest that dihydroartemisinin–piperaquine treatment is failing in Pursat and Preah Vihear, where artemisinin resistance is prevalent, but remains highly efficacious in Ratanakiri where artemisinin resistance is uncommon. Treatment failures were not associated with older patient age, higher initial parasite density, or high piperaquine plasma concentration at 7 days. Instead, recrudescence parasites had more *kelch13* mutations and high piperaquine IC₅₀ values, indicating that dihydroartemisinin–piperaquine failures are due to both artemisinin and piperaquine resistance. These recrudescence parasites also have reduced mefloquine IC₅₀ values and lack multiple copies of *pfmdr1*, a genetic marker for mefloquine resistance.

Implications of all the available evidence

Dihydroartemisinin–piperaquine is failing quickly in four western Cambodian provinces (Pailin, Pursat, Oddar Meanchey, and Preah Vihear), and is associated with parasite resistance to both artemisinin derivatives and piperaquine. Evidence of piperaquine resistance in *P falciparum* should prompt efforts to map this phenotype in Cambodia and other southeast Asian countries, to elucidate its molecular mechanism, and to discover new drugs that circumvent piperaquine resistance. Artesunate plus mefloquine should be tested as a first-line therapy where dihydroartemisinin–piperaquine failures have been documented, and also as a salvage treatment for dihydroartemisinin–piperaquine failures in Cambodia. Clinical trials should be done of a triple-drug regimen of dihydroartemisinin–piperaquine plus mefloquine.

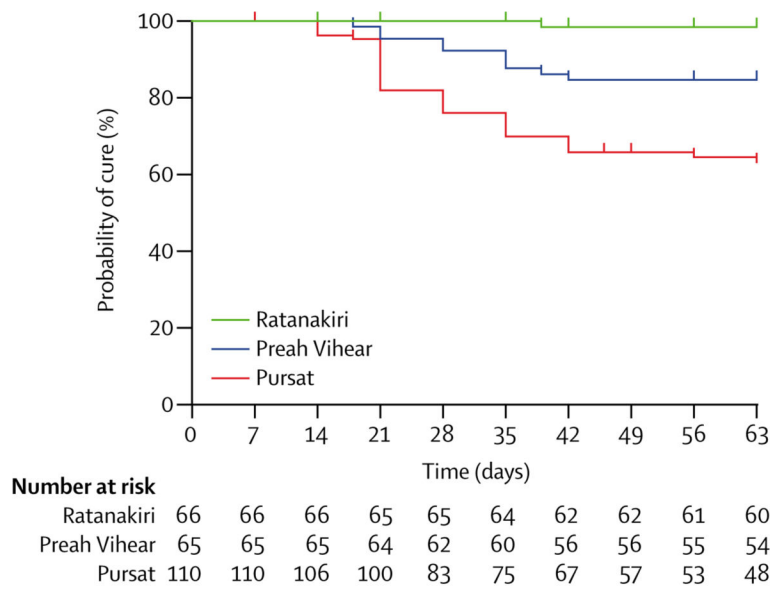


Figure.
Kaplan-Meier curves showing efficacy of dihydroartemisinin-piperaquine with PCR correction for reinfection

Table 1
Characteristics of screened patients

| | Pursat | Preah Vihear | Ratanakiri |
|---|--------|--------------|------------|
| Screened | 3063 | 1580 | 1566 |
| Negative | 2485 | 1351 | 1337 |
| Positive | 578 | 229 | 229 |
| Pv positive | 280 | 141 | 58 |
| Pv and Pf positive | 63 | 11 | 35 |
| Pf positive | 235 | 77 | 136 |
| Previous ACT use | 59 | 0 | 7 |
| Severe malaria | 3 | 4 | 8 |
| Haematocrit <25% | 4 | 1 | 2 |
| Pregnant | 2 | 0 | 1 |
| Breast-feeding | 1 | 0 | 2 |
| Refused | 4 | 1 | 12 |
| Pf density >200 000 parasites per μ L | 20 | 6 | 4 |
| Previous enrolment | 2 | 0 | 0 |
| Cannot follow up | 30 | 0 | 34 |
| Enrolled | 110 | 65 | 66 |

Pv=*Plasmodium vivax*. Pf=*Plasmodium falciparum*. ACT=artemisinin combination therapy.

Table 2

Baseline characteristics of patients

| | All sites | Pursat | Preah Vihear | Ratanakiri | p value |
|--|----------------------|----------------------|----------------------|----------------------|----------------------|
| Patients (n) | 241 | 110 | 65 | 66 | |
| Male participants (n, %) | 183 (76%) | 93 (85%) | 51 (78%) | 39 (59%) | 0.0008* |
| Median age (IQR; years) | 24 (18–32) | 24 (19.75–33) | 28 (20–33) | 19 (11.75–31) | 0.0005 [‡] |
| Weight (kg) | 48 (14) | 51 (9) | 50 (13) | 39 (16) | <0.0001 [‡] |
| Haematocrit (%) | 39.15 (4.95) | 39.66 (5.06) | 39.55 (4.29) | 37.88 (5.22) | 0.037 [‡] |
| Median parasite density (IQR; parasites per μ L) | 12 249 (2042–43 893) | 11 159 (2260–40 074) | 15 212 (1694–47 180) | 12 504 (1859–51 926) | 0.65 [‡] |
| Gametocytaemia at 0 h (n, %) [‡] | 26 (11%) | 19 (17%) | 6 (9%) | 1 (2%) | 0.0022* |
| Median gametocyte density (IQR; gametocytes per μ L) | 32 (16–99) | 32 (32–95) | 16 (15.75–107) | 1163 (1163–1163) | 0.20 [§] |
| Detectable piperazine at 0 h (n, %) [¶] | 97 (40%) | 70 (64%) | 9 (14%) | 18 (27%) | <0.0001* |
| Piperazine plasma concentration (ng/mL) | 8.05 (22.95) | 14.43 (29.38) | 1.53 (5.20) | 3.79 (18.37) | <0.0001 [‡] |

Data are mean (SD) unless otherwise stated. p values in the table are for difference between all three sites.

* Calculated with Fisher's exact test. The proportion of males was significantly lower in Ratanakiri than in Pursat ($p<0.001$) and than in Preah Vihear ($p=0.023$), with no significant difference between Pursat and Preah Vihear ($p=0.31$); gametocytaemia was more common in Pursat than in Ratanakiri ($p=0.0010$), and with no significant difference between Preah Vihear and Ratanakiri ($p=0.062$) or between Pursat and Preah Vihear ($p=0.18$); and detectable piperazine concentration before treatment was more common in Pursat than in Preah Vihear ($p<0.0001$) and than in Ratanakiri ($p<0.0001$), with no significant difference between Ratanakiri and Preah Vihear ($p=0.083$).

[‡] Calculated with the Kruskal-Wallis test; Mann-Whitney tests indicate that: age was lower in Ratanakiri than in Pursat ($p=0.0005$) and Preah Vihear ($p=0.0023$), with no significant difference between Pursat and Preah Vihear ($p=0.71$); weight was lower in Ratanakiri than in Pursat ($p<0.0001$) and Preah Vihear ($p<0.0001$), with no significant difference between Pursat and Preah Vihear ($p=0.63$); haematocrit was higher in Pursat than in Ratanakiri ($p=0.012$), with no significant difference between Pursat and Preah Vihear ($p=0.52$) or between Ratanakiri and Preah Vihear ($p=0.075$); and piperazine concentration was lower in Ratanakiri than in Pursat ($p<0.0001$) and lower in Pursat than in Preah Vihear ($p<0.0001$), with no significant difference between Ratanakiri and Preah Vihear ($p=0.083$).

[‡] One patient in Pursat (at 24 h) and three patients in Preah Vihear (at 24 h, 72 h, and 78 h) developed gametocytaemia.

[§] Calculated with the Mann-Whitney test.

[¶] Piperazine plasma concentration at enrolment was not measured for one patient in Ratanakiri.

Follow-up of patients

Table 3

| | All sites | Pursat | Preah Vihear | Ratanakiri | p value |
|--|-------------------|------------------|------------------|-------------------|----------------------|
| Patients (n) | 241 | 110 | 65 | 66 | |
| Piperazine plasma concentration at 7 days (ng/mL) * | 67.34 (51.84) | 71.63 (53.56) | 73.00 (51.70) | 54.98 (47.91) | 0.0059 [†] |
| Dose-normalised piperazine plasma concentration at day 7 (ng/mL per dose) | 3.58 (2.70) | 3.86 (2.86) | 3.96 (2.81) | 2.77 (2.15) | 0.0013 [†] |
| Recurrent <i>Plasmodium falciparum</i> infection by day 63 (n, %) [‡] | 56/212 (26%) | 43/87 (49%) | 11/64 (17%) | 2/62 (3%) | <0.0001 [§] |
| Patients with fever (> 37.5°C) at day of recurrent infection (n, %) | 43/56 (77%) | 35/43 (81%) | 6/11 (55%) | 2/2 (100%) | 0.15 [§] |
| Median day of recurrent <i>P. falciparum</i> infections by day 63 (IQR) | 28 (21–38) | 28 (21–35) | 35 (21–39) | 51 (39–63) | 0.098 [‡] |
| Median parasite density (IQR; parasites per μ L) | 1508 (234.5–5895) | 1263 (186–4691) | 3400 (288–6772) | 9857 (609–19 104) | 0.51 [‡] |
| Efficacy | | | | | |
| Without PCR correction (95% CI, %) | 75.8 (69.7–80.8) | 58 (47.7–66.9) | 83.1 (71.5–90.2) | 96.8 (87.7–99.2) | <0.0001 [¶] |
| With PCR correction (95% CI, %) | 79.2 (73.3–83.9) | 63.2 (52.8–71.8) | 84.6 (73.3–91.4) | 98.4 (89.2–99.8) | <0.0001 [¶] |

Data are mean (SD) unless otherwise stated. p values in the table are for difference between all three sites.

* Not measured for 21 patients because of missed visit (16 in Pursat, one in Preah Vihear, three in Ratanakiri) or low sample quantity (one in Ratanakiri).

[†] Calculated with the Kruskal-Wallis test; Mann-Whitney tests indicate that absolute piperazine plasma concentrations at day 7 were significantly lower in Ratanakiri than in Pursat (p=0.0020) and than in Preah Vihear (p=0.012), with no significant difference between Pursat and Preah Vihear (p=0.97); and that normalised piperazine plasma concentrations at day 7 were also significantly lower in Ratanakiri than in Pursat (p=0.0004) and than in Preah Vihear (p=0.0038), with no significant difference between Pursat and Preah Vihear (p=0.91).

[‡] The denominator excludes patients who were lost to follow-up (n=18), withdrew themselves from the study (n=2), or developed *Plasmodium vivax* parasitaemia between 42 days and 63 days that required retreatment with dihydroartemisinin-piperazine (n=9).

[§] Calculated with Fisher's exact test; recurrence was higher in Pursat than in Preah Vihear (p=0.0001) and Ratanakiri (p<0.0001), and higher in Preah Vihear than in Ratanakiri (p=0.016); these effects remained significant after dose-normalisation.

[¶] Calculated with the log-rank (Mantel-Cox) test.

Parasite clearance

Table 4

| | All sites (n=110) | Pursat (n=41) | Preah Vihear (n=35) | Ratanakiri (n=34) | p value |
|--|-------------------|------------------|---------------------|-------------------|----------------------|
| Parasite clearance half-life >5 h (n, %) | 41/110 (37%) | 27/41 (66%) | 13/35 (37%) | 1/34 (3%) | <0.0001 [*] |
| Positive for parasitaemia at 72 h (n, %) | 35/110 (32%) | 25/41 (61%) | 9/35 (26%) | 1/34 (3%) | <0.0001 [*] |
| Median parasite clearance half-life (IQR; h) | 3.38 (2.24–6.78) | 6.07 (4.20–7.52) | 2.99 (1.98–7.01) | 2.43 (2.03–3.22) | <0.0001 [†] |
| Median time to 50% parasite clearance (IQR; h) | 7.35 (5.42–11.6) | 8.26 (6.13–13.4) | 7.17 (4.14–11.0) | 6.60 (5.32–11.3) | 0.24 [‡] |
| Median time to 90% parasite clearance (IQR; h) | 16.6 (11.0–24.4) | 22.9 (16.2–29.7) | 15.5 (10.4–23.4) | 12.4 (10.5–17.0) | <0.0001 [‡] |
| Nonsynonymous SNPs in <i>kelch13</i> after position 440 [‡] | 111/238 (47%) | 82/107 (77%) | 22/65 (34%) | 7/66 (11%) | <0.0001 [*] |

p values in the table are for difference between all three sites. Time to 50% parasite clearance was not determined for two patients in Pursat, one patient in Preah Vihear, and three patients in Ratanakiri. Time to 90% parasite clearance was not determined for one patient in Ratanakiri. SNP=single nucleotide polymorphism.

^{*} Calculated with Fishers' exact test; the proportion of patients with parasite clearance half-life >5 h was higher in Pursat than in Preah Vihear (p=0.021), higher in Preah Vihear than in Ratanakiri (p=0.0006), and higher in Pursat than in Ratanakiri (p<0.0001); the proportion of patients still positive for parasitemia at 72 h was higher in Pursat than in Preah Vihear (p=0.0027), higher in Preah Vihear than in Ratanakiri (p=0.013), and higher in Pursat than in Ratanakiri (p<0.0001); the proportion of parasites with a *kelch13* mutation was higher in Pursat than in Preah Vihear (p<0.0001), higher in Preah Vihear than in Ratanakiri (p=0.016), and higher in Pursat than in Ratanakiri (p<0.0001).

[†] Calculated with Kruskal-Wallis test; Mann-Whitney tests indicate that half-life and time to 90% parasite clearance were longer in Pursat than in Ratanakiri (p<0.0001 for both); half-life was longer in Pursat than in Preah Vihear (p=0.0077), with no significant difference between Preah Vihear and Ratanakiri (p=0.17); 90% parasite clearance was significantly longer in Pursat than in Preah Vihear (p=0.0096), with no significant difference between Preah Vihear and Ratanakiri (p=0.14).

[‡] The denominator excludes missing and heterozygous genotypes.

Table 5
Characteristics of patients and parasites, by recrudescence

| | Recrudescence (n=48) | No recrudescence (n=156) | p value* |
|---|-----------------------------------|--------------------------|----------|
| Male participants | 42/48 (88%) | 109/156 (70%) | 0.046 |
| Median age (IQR; years) | 23.5 (20–32) | 25 (16–33) | 0.81 |
| Median parasite concentration at 0 h (IQR; parasites per μ L) | 15 731 (3789–55 733) | 11 316 (1547–41 594) | 0.13 |
| Gametocyte carriage at 0 h (n, %) | 4/48 (8%) | 9/156 (6%) | 0.51 |
| Detectable piperazine at 0 h (n, %) | 32/48 (67%) | 40/155 (26%) | <0.0001 |
| Piperazine plasma concentration at 0 h (ng/mL) | 20.74 (35.56; n=48) | 3.91 (15.52; n=155) | <0.0001 |
| Total piperazine given (mg/kg) | 55.47 (7.85) | 57.40 (8.47) | 0.059 |
| Piperazine plasma concentration on day 7 (ng/mL) | 71.87 (42.06; n=45) | 67.58 (55.59; n=148) | 0.13 |
| Dose-normalised piperazine plasma concentration on day 7 (ng/mL per dose) | 3.93 (2.37; n=45) | 3.56 (2.87; n=148) | 0.11 |
| <i>kelch13</i> mutation (n/N, %) | 41/46 (89%) | 51/155 (33%) | <0.0001 |
| <i>pfmdr1</i> copy number >1 (n/N, %) | 0/48 (0%) | 17/156 (11%) | 0.014 |
| <i>X5r</i> copy number >1 (n/N, %) | 6/47 (13%) | 10/156 (6%) | 0.21 |
| Chloroquine geometric mean IC ₅₀ (range; nmol/L) | 625 (269–1084; n=29/46) | 416 (19–1313; n=85/111) | 0.0043 |
| Quinine geometric mean IC ₅₀ (range; nmol/L) | 240 (81–992; n=45/46) | 255 (43–957) n=109/111 | 0.35 |
| Mefl oquine geometric mean IC ₅₀ (range; nmol/L) | 10 (2–52; n=45/46) | 22 (3–70; n=104/111) | <0.0001 |
| Piperazine geometric mean IC ₅₀ (range; nmol/L) | 64 [†] (17–136; n=32/46) | 40 (8–185; n=104/111) | 0.0002 |
| Artesunate geometric mean IC ₅₀ (range; nmol/L) | 3 (1–9; n=44/46) | 3 (1–9; n=104/111) | 0.41 |
| Dihydroartemisinin geometric mean IC ₅₀ (range; nmol/L) | 3 (1–6; n=45/46) | 3 (1–8; n=107/111) | 0.33 |
| Atovaquone geometric mean IC ₅₀ (range; nmol/L) | 1 (0–9; n=41/44) | 0 (0–14; n=70/74) | 0.0010 |
| Pyronaridine geometric mean IC ₅₀ (range; nmol/L) | 5 (1–17; n=41/44) | 5 (1–15; n=71/74) | 0.62 |

Data are mean (SD) unless otherwise stated.

* Calculated with Fisher's exact test for categorical variables and Mann-Whitney test for continuous variables. IC₅₀ data are for the total number of isolates with interpretable data (numerator) out of the total number of isolates tested (denominator).

[†]Equivalent to 64 ng/mL.