

Dimers as Fast Diffusing Species for the Aggregation of Oxygen in Boron-Doped Czochralski Silicon: Formation of New Thermal Donors

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ABSTRACT

The thermal behaviors of oxygen-related complexes in boron doped Czochralski Silicon (Cz-Si) wafers at 450°C and 800°C were investigated using Fourier transform infrared spectroscopy (FTIR) and Hall mobility measurements. Activation of thermal donors (TDs) at 450°C leads to a decrease of both mobility and majority carrier concentration using the four point probes configuration of Van Der Pauw. It was found that annealing at 450°C would possibly affect the electronic properties of the Si wafers via the formation of interstitial di-oxygen defects (IO_{2i}), which exhibit an IR absorption band positioned at 545 cm⁻¹. A strengthening of the IR bands peaking at around 1595 cm⁻¹, 1667 cm⁻¹, 1720 cm⁻¹ and 1765 cm⁻¹ occurs at 450°C, while they disappear at 800°C. At high temperatures, the precipitation of interstitial oxygen becomes predominant over all other oxygen-related reactions. The dynamic of oxygen-thermal donor generation-annihilation in Cz-Si involving the formation of small oxygen clusters is discussed.

Keywords: Cz-Silicon; Infrared Spectroscopy; Interstitial Oxygen; Thermal Donors; Oxygen Vacancies; Oxygen Dimers; Oxygen Aggregation

1. Introduction

During the Czochralski (CZ) growth of silicon ingots, oxygen is incorporated into the crystal as an electrically inactive interstitial defect (O_i), in major part due to the partial dissolution of the quartz crucible. Usually, interstitial oxygen may form small electrically active agglomerates commonly called thermal donors (TDs) at temperatures ranging between 350°C and 500°C [1], which have a detrimental effect in device processing and operation. It is suggested that single interstitial oxygen (O_i) may become substitutional oxygen (O_s) if it combines with a mobile vacancy (V) producing a vacancy-oxygen complex (VO) [2,3]. The VO complex is called off-center substitutional oxygen, or the A-center, and consists of bridging oxygen with a pair of Si neighbors of the vacancy. The VO-type complexes are also accounted as oxygen-related thermal donors [3], since they can induce a shallow donor level into the silicon band-gap, then compensating the electrical effect of the majority carriers. Despite all research efforts, the detailed formation mechanisms of TDs in silicon crystals still remain not well understood, and therefore there is no complete model that

could account for all experimental data.

An attempt to understand the dynamic of oxygen-thermal donor generation-annihilation in Cz-Si is presented. Following the process developed in this work, a thermal treatment at 450°C leads to the formation of small oxygen clusters that introduce a shallow donor level in the semiconductor band gap. Hall Effect measurements show that a successive thermal treatment at 800°C reduces the donor concentration. In this work, the radial variation of [O_i] and TDs in Cz-Si wafers, determined by FTIR spectroscopy, is taken as a key point to explain the disappearing of some TDs and VO complexes while annealing at high temperatures (>600°C), and hence to try clarifying their dependence on interstitial oxygen concentration. The electrical activity of oxygen related TDs and the resulting compensation phenomenon are claimed basing on a series of resistivity and Hall Effect measurements. These results enable conceiving efficient treatments that may overcome photo-degradation due to related boron-oxygen defects, then improving the quality of solar grade Czochralski silicon.

2. Experimental

In this study, we used both solar and electronic grade

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boron-doped p-type monocrystalline CZ Si wafers, having a resistivity in the ranges of 1 - 2 $\Omega\cdot\text{cm}$ and 7 - 8 $\Omega\cdot\text{cm}$, respectively. The concentration of the interstitial oxygen (O_i) was evaluated by means of FTIR spectroscopy using the well-known absorption band at 1107 cm^{-1} using the IOC88 calibration factor of $3.14 \times 10^{17} \text{ cm}^{-2}$ [4]. The various oxygen complexes forming in Cz-Silicon are determined using a Nicolet instrument Magna system 550 Spectrometer having a resolution of 4 cm^{-1} . Moreover, it is found that the substitutional carbon concentrations are less than $5 \times 10^{15} \text{ cm}^{-3}$ of the adjacent samples subjected to thermal treatments. In order to study the formation of oxygen-related defects, Cz-Si samples were heat treated at 450°C and 800°C during 4 hours in a closed tubular furnace using tungsten-halogen lamps under nitrogen ambient. Clustering of oxygen atoms occurs, resulting in the formation of different oxygen complexes from small clusters like dimer (O_{2i}), interstitial-dioxygen centre to oxygen-related thermal donors (TDs). The resistivity and the Hall Effect measurements were carried out using the four point probes and the Van Der Pauw methods at a current density and a magnetic field of about 100 μA and 0.15 T, respectively.

3. Experimental Results and Discussions

3.1. Interstitial Oxygen and Oxygen-Related Complexes in Cz-Silicon

Figure 1(a) depicts the FTIR spectra of as-grown Cz-Si samples at two interstitial oxygen concentrations. The main IR absorption band of interstitial oxygen appears at around 1100 cm^{-1} . Roughly as-grown Si wafers contain 10^{18} oxygen atoms/ cm^3 . However, growth conditions lead to the formation of a variety of TDs and oxygen-related complexes during the cool-down process. Is bonded with two silicon atoms and accordingly all four dangling bonds are passivated. The local vibration modes of VO_2 thus consist basically of the LV frequencies of two separate VO's modified by the interactions between the oxygen atoms: an asymmetric stretching mode is observed at 889 cm^{-1} . As the number of oxygen atoms surrounding the core of the preliminary formed oxygen-vacancy complexes increases and became more stable, the local vibration modes of VO_3 shift to high frequency as regard to VO_2 ; an asymmetric stretching mode appears at 966 cm^{-1} . The inset of **Figure 1(b)** indicates the various vibration modes in the 400 - 600 cm^{-1} spectral region; the band at 566 cm^{-1} is associated to the oxygen-dimer (O_{2i}) [5], while the band at 512 cm^{-1} is assigned to symmetric stretching of interstitial oxygen and the other vibration modes are related to oxygen TDs. The vibration modes located at 484 cm^{-1} and 505 cm^{-1} seem to appear only for $[O_i]$ exceeding $0.9 \times 10^{18} \text{ cm}^{-3}$. This result would signify that

activation of some vibration modes depend on interstitial oxygen concentration, and probably on the spatial distribution of interstitial oxygen atoms. **Figure 2** clearly shows that VO_2 concentration is less sensitive to $[O_i]$ than VO and VO_3 , with a minimum higher than all VO_n concentrations. However, generation of TDs, VO and VO_3 depend on $[O_i]$. Basically, IR bands in the 600 - 400 cm^{-1} and 1200 - 700 cm^{-1} spectral range (**Figure 1**), clearly reveal that even under the cool down process, the generation of TDs is strongly mediated by the formation of oxygen dimer rather than $[O_i]$. This observation indicates that dimer formation is rate limiting step for the built of small clusters behaving as TDs at low temperatures.

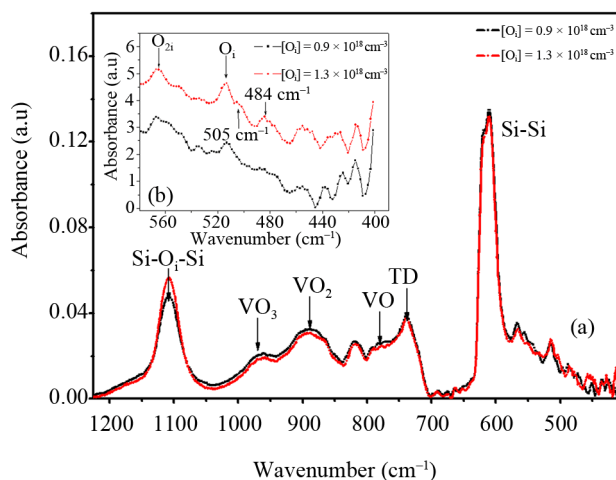


Figure 1. FTIR spectra at 300 K of as-grown Cz-Si samples for $[O_i] = 0.9 \times 10^{18} \text{ cm}^{-3}$ (squared symbol) and $[O_i] = 1.3 \times 10^{18} \text{ cm}^{-3}$ (circle symbol). The discrepancy is induced by the temperature gradient during the cool-down process. The inset shows details of IR bands in the 400 - 600 cm^{-1} spectral range.

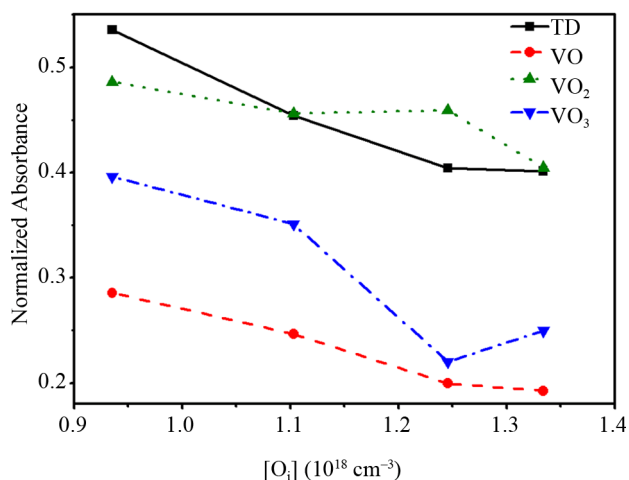


Figure 2. Room temperature FTIR absorbance intensity related to TDs (appearing at 736 cm^{-1} , squared symbol), VO (circle), VO_2 (up triangle), and VO_3 (down triangle) complexes versus $[O_i]$ in as-grown Cz-Si.

Figure 3 shows the variation of the mobility and the majority charge carrier concentration versus interstitial oxygen concentrations. We pointed out slightly higher resistivity (about $1 \Omega\cdot\text{cm}$ more) in Si wafers with low $[\text{O}_i]$. The majority carrier concentration exhibits similar tendencies as $[\text{O}_i]$. The majority carrier mobility was found to increase from 191 to $381 \text{ cm}^2\cdot\text{V}^{-1}\cdot\text{s}^{-1}$ with increasing $[\text{O}_i]$. Hence, oxygen-vacancy complexes behave like TDs and accumulation of impurities and defects could be responsible of the low carrier mobility. The effects of TDs and oxygen-related defects are lower in region of high oxygen concentrations.

3.2. Generation and Annihilation of TDs

Formation and annihilation of TDs and oxygen-point like defects are well known to be thermal-dependent processes [6]. A quantitative comparison of the free carrier concentrations before and after annealing at 450°C and subsequently at 800°C let us draw some qualitative conclusions about the relationship between the majority charge carrier and oxygen aggregation. Our study was focused on some bands, which were affected by annealing at 450°C and successive annealing at 800°C , exhibiting weak absorption in the $1800 - 1300 \text{ cm}^{-1}$ and $600 - 400 \text{ cm}^{-1}$ spectral ranges. The FTIR absorption modes relative to thermal generation of new TDs in the $1200 - 700 \text{ cm}^{-1}$ spectral range are not observed due to an overlap of the absorption bands belonging to interstitial oxygen and oxygen-vacancy complexes (VO_n).

In **Figure 4**, the effect of oxygen aggregation in Cz-silicon treated at 450°C is correlated with a group of infrared absorption bands at 566 cm^{-1} , 545 cm^{-1} and 517 cm^{-1} . Dimer vibration band at 566 cm^{-1} grows up and broadens giving rise to a new band located at around 545 cm^{-1} . The loss of interstitial oxygen atoms successive to annealing at 450°C is due to the increase of oxygen dimer concentration and to a novel oxygen-related defect [7] having an IR absorption mode around 545 cm^{-1} . After annealing at 450°C , the IR bands related to VO_2 and VO_3 defects are still stable, while VO shows a slight absorbance decrease (**Figure 5**). Thermal annealing at 800°C decreases the $[\text{O}_{2i}]$ that becomes comparable to that of the as-grown sample. The formation of the interstitial oxygen pairs as well as the development of oxygen-point like defects (VO_n and IO_{2i} complexes), play an important role in understanding aggregation. When the interstitial oxygen atoms approach each other to form the O_{2i} dimer in a staggered or skewed $\text{O}_i\text{-Si-Si-O}_i$ configuration [5,8,9], the mutual interactions between the O_i 's cause splitting and shift in the local vibration frequencies of O_i . **Figure 6** shows that the IR bands located at 1595 cm^{-1} , 1667 cm^{-1} , 1720 cm^{-1} and 1765 cm^{-1} strengthen following annealing at 450°C , while they weaken after subsequent annealing

at 800°C . At elevated temperatures ($>700^\circ\text{C}$) the formation of new TDs and nucleation sites that start oxygen precipitation become predominant and are associated with a loss of O_i atoms, as indicated in **Figure 5**.

Yong Lee *et al.* suggest that long oxygen chains can be developed yielding new TDs generation [10-12]. It is possible that the decrease of oxygen-vacancy defects at 800°C (**Figure 5**) is associated with O_i atoms emission, which means that $[\text{O}_i]$ increases but we find experimentally low $[\text{O}_i]$ for Cz-Si samples heat treated at temperatures greater than 550°C (inset of **Figure 5**). This work shows that both O_i and O_{2i} contribute to the formation of late TDs. Hence, more than one reaction is envisaged for the formation of larger oxygen chains [11] and oxygen-vacancy

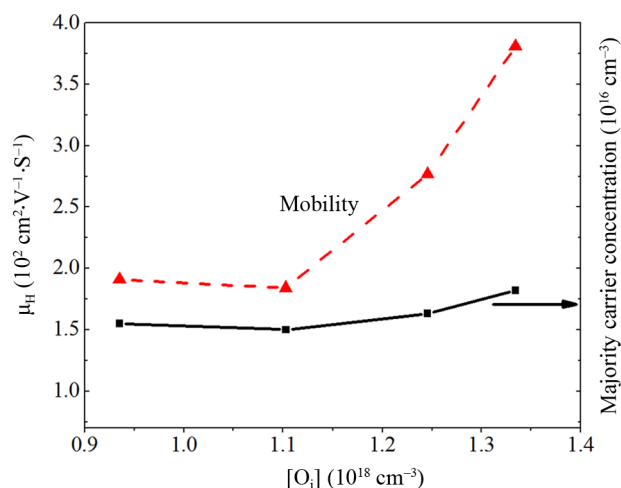


Figure 3. Dependence of the mobility and the majority carrier concentration on interstitial oxygen concentration in boron doped Cz-Si.

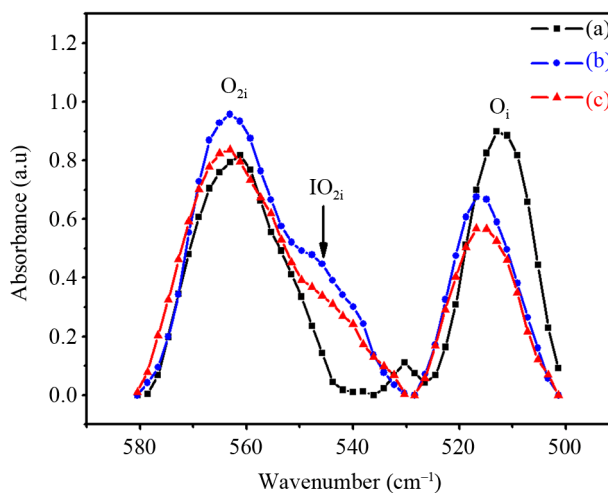


Figure 4. Room temperature IR absorption spectra for: as-grown Si sample (a) heat treated at 450°C (b) and 800°C (c) both during 4 h. In this spectral range oxygen dimer formation compete well with the loss of oxygen atoms at high temperatures.

defects (VO_4) favoring the generation of TDs [13]. Several models of TDs have been suggested, but their configurations are not yet well known. Si-O moleculechains developing at 450°C and then forming oxygen clustering is one of the configurations that have been suggested [11,12].

In the following, we try correlating TDs' behavior with the resistivity of the Si samples using the four-point probe technique. **Figure 7** shows the variation of the resistivity as a function of $[\text{O}_i]$ in Cz-Si samples submitted to annealing at 450°C and subsequent heat treatment at 800°C under N_2 ambient. The increase of the resistivity after thermal annealing at 450°C may be attributed to clustering of oxygen atoms considered as more electrically active TDs at this temperature [11].

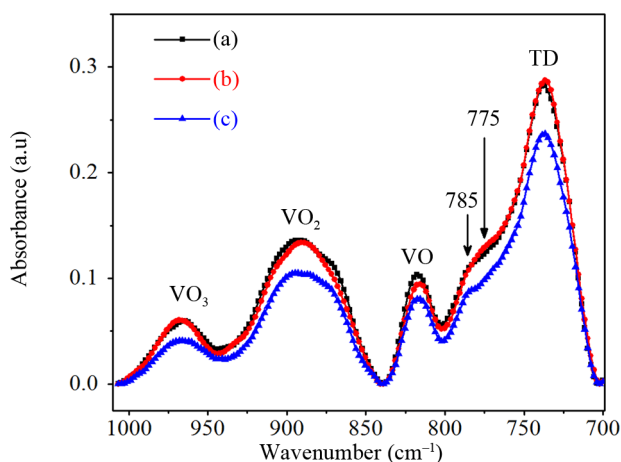


Figure 5. Room temperature FTIR spectra describing the progress of O_i , VO_n and TDs absorption bands for as-grown sample (a) heat treated at 450°C (b) and subsequently at 800°C (c) during 4 h. The inset shows the effect of annealing on the main IR absorption band of interstitial oxygen appearing at around 1100 cm^{-1} .

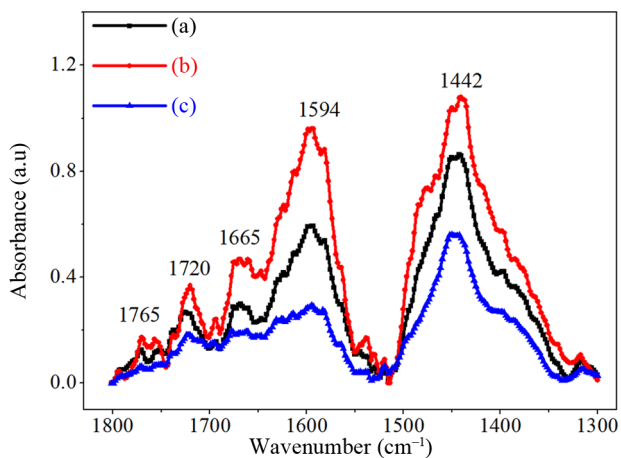


Figure 6. Room temperature absorption spectra of as-grown sample (a) heat treated at 450°C (b) and subsequently annealed at 800°C (c) both during 4 h. It shows the relative disappearance of the IR bands at 1665 cm^{-1} , 1720 cm^{-1} and 1765 cm^{-1} upon successive annealing at 800°C .

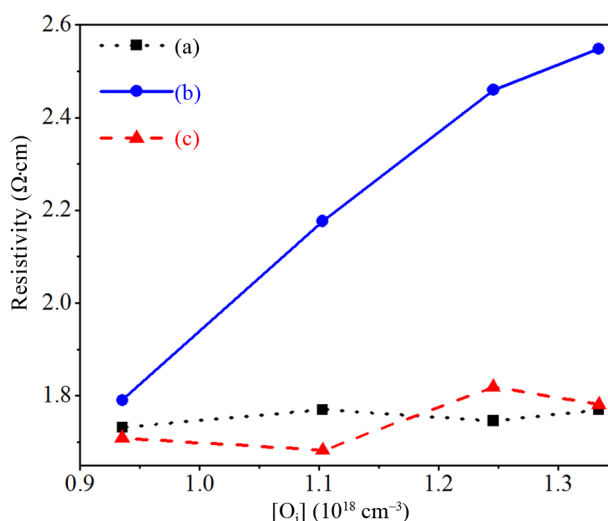


Figure 7. Variation of the resistivity with the concentration of interstitial oxygen for as-grown c-Si wafers (a) after thermal treatment at 450°C (b) and 800°C (c) during 4 hours under N_2 ambient.

The generation of TDs is strongly dependent on the initial concentration of oxygen, and annealing temperature and duration.

It should be mentioned that oxygen aggregation at 800°C in the pre-annealed samples is accompanied by an effective generation of new thermal donors [14]. The increase of the resistivity to about $2.6\ \Omega\cdot\text{cm}$ (**Figure 7**) is due to TDs activation after heat treatment at 450°C and the resistivity recovers its initial values following annealing at 800°C . Independently on interstitial oxygen concentration (**Figure 7**), an increase in annealing temperature (800°C) reduce the donor concentration, which in turn lead to an increase of the hole concentration and a reduction of the resistivity. This phenomenon can be explained by proposing that the addition of further oxygen atoms to the core of the electrically active clusters neutralized its electrical activity.

3.3. Discussions

It seems to be clear that the formation of TDs is slightly dependent on $[\text{O}_i]$. However, IR absorption bands in the $600 - 400\text{ cm}^{-1}$ (**Figure 4**) and $300 - 700\text{ cm}^{-1}$ (**Figure 6**) spectral ranges, clearly reveal that even under the cool down process, the generation of TDs is strongly mediated by the formation of vacancies.

During annealing at 450°C , the first stage of oxygen aggregation is the formation of O_{2i} dimer in materials containing quite high concentrations of O_i atoms. Any O_i atom can be trapped by any other O_i but the loss of O_i atoms due to trapping by carbon or other impurity atoms (contamination) at concentration less than 10^{16} cm^{-3} is negligible; this was checked taking into account the results

of the electronic grade Cz-silicon. It has been theoretically shown that the IR absorption bands related to interstitial oxygen and different point defects including oxygen in combination with vacancy-defects increase in vibrational frequency due to ^{18}O - ^{16}O isotopic effect [15,16]. However, in this work, we experimentally find that only the interstitial oxygen band of the asymmetric stretching mode appearing at 512 cm^{-1} shows a small shift of about 5 cm^{-1} upon annealing at 450°C (Figure 4). Basically, the formation of the interstitial-oxygen defect, exhibiting an IR mode at 545 cm^{-1} (Figure 4), is associated with the enhancement of the IR intensity relative to oxygen dimer in the staggered configuration. As the annealing temperature rise up to 800°C , one may observe that the intensity of the 566 cm^{-1} , 545 cm^{-1} , and 517 cm^{-1} IR bands corresponding to oxygen pairs, A center and interstitial oxygen, respectively, decrease slowly. A noticeable decrease of the IR absorption band relative to interstitial oxygen at 1100 cm^{-1} occurs while the sample is submitted to annealing at 450°C and subsequent heat treatment at 800°C (Figure 5). However, all oxygen-vacancy IR absorption bands decrease without any shift to higher frequencies.

Obviously, the formation of dimer, oxygen-vacancy, interstitial-oxygen defects and TDs, depend on the thermal history of the wafers more than on interstitial oxygen concentration in Cz-Si [17,18]. The dissociation rate of dimer is likely to increase as the annealing temperature increases so that the measured values of $[\text{O}_i]$ (after heating) is expected to increase (Figure 4) when avoiding the precipitation. Similar results and behaviors were observed in electronic grade Cz-Silicon. When, the annealing temperature rises and reaches 800°C , SiO_2 clusters begin to form. In fact, as the annealing step proceeds a change in the precipitation kinetics may occur, moving from microscopic clusters to macroscopic ones (precipitation). For high annealing temperature, the loss of interstitial oxygen is probably mediated by the formation of VO_n , which may have more than three interstitial oxygen atoms, and the formation of new TDs as revealed from resistivity measurements. The precipitation of oxygen atoms well competes with the formation of new TDs, a recovery of the initial resistivity and a slight enhancement of the majority charge carriers mobility (Table 1) is in good agreement with this assumption.

Table 1. The effect of oxygen TDs and A-center on mobility of majority charge carriers of samples taken from the edge and the center of a Cz-silicon wafer after thermal treatment at 450°C and 800°C during 4 hours under nitrogen (N_2).

Ingot relative position	Edge	Centre
μ_{H} without thermal treatment ($\text{cm}^2\cdot\text{V}^{-1}\cdot\text{s}^{-1}$)	270	340
μ_{H} formation of TDs at 450°C ($\text{cm}^2\cdot\text{V}^{-1}\cdot\text{s}^{-1}$)	140	180
μ_{H} after annihilation of TDs at 800°C ($\text{cm}^2\cdot\text{V}^{-1}\cdot\text{s}^{-1}$)	370	440

4. Summary and Conclusions

At 450°C , oxygen aggregation was found to be limited by the increase of dimer (O_{2i}) concentration and the formation of new oxygen defect assigned to interstitial-dioxygen center with an IR vibration mode locating at 545 cm^{-1} . These changes induce a noticeable intensity decrease of the 512 cm^{-1} absorption band with a 5 cm^{-1} shift to 517 cm^{-1} explained by an isotopic effect of ^{18}O - ^{16}O nature. Moreover, it is shown that after annealing at 450°C , the VO , VO_2 and VO_3 complexes are stable (no frequency shifts were observed) and various absorption modes are prevailing in the of $1800 - 1300\text{ cm}^{-1}$ range.

Consecutive heat treatments at 800°C show that additional oxygen atoms are linked to the core of the predominantly clusters formed at 450°C . This leads to partial annihilation of TDs and decrease of the intensity of the O_i and oxygen-vacancy defects related IR bands. The resistivity recovers similar values as that for as-grown wafers; however the majority charge carrier mobility is improved. This indicates that some active oxygen-related defects are passivated following annealing at 800°C . Heat treatments at elevated temperatures ($>500^\circ\text{C}$) lead to a competition between formation and annihilation of TDs and a systematic study is of great importance to claim the kinetics of TD generation and passivation.

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