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3 **Direct and transgenerational impact on *Daphnia magna* of**
4 **chemicals with a known effect on DNA methylation**

5

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25 **Abstract**

26 The purpose of this study is to investigate (1) the induction of epigenetic effects in the
27 crustacean *Daphnia magna* using DNA methylation as an epigenetic mark and (2) the
28 potential stable transfer of such an epigenetic effect to non-exposed subsequent generations.
29 Daphnids were exposed to chemical substances known to affect DNA methylation in
30 mammals: vinclozolin, 5-azacytidine, 2'-deoxy-5-azacytidine, genistein and biochanin A.
31 Effects on overall DNA cytosine methylation, body length and reproduction were evaluated
32 in 21 day experiments. Using a multi-generational experimental design these endpoints
33 were also evaluated in the F₁ and F₂ generation of both exposed and non-exposed offspring
34 from F₀ daphnids exposed to 5-azacytidine, genistein or vinclozolin. A reduction in DNA
35 methylation was consistently observed in daphnids exposed to vinclozolin and 5-
36 azacytidine. Only in organisms exposed to 5-azacytidine was this effect transferred to the
37 two subsequent non-exposed generations. A concurrent reduction in body length at day 7
38 was observed in these treatments. For the first time, exposure to environmental chemicals
39 was shown to affect DNA methylation in the parental generation of *D. magna*. We also
40 demonstrated a transgenerational alteration in an epigenetic system in *D. magna*, which
41 indicates the possibility of transgenerational inheritance of environment-induced epigenetic
42 changes in non-exposed subsequent generations.

43

44 **Keywords.** 2'-deoxy-5-azacytidine, 5-azacytidine, biochanin A, ecotoxicology,
45 epigenetics, genistein, inheritance, vinclozolin

46

47 **1 Introduction**

48

49 Epigenetics has been defined as the inheritance of DNA activity that does not depend on
50 the naked DNA nucleotide sequence (Esteller 2008b). Three mechanisms involved in
51 epigenetic control are: DNA methylation, Polycomb and Trithorax group proteins in
52 association with histone modifications and non-coding RNA molecules (Feil 2008).
53 Numerous forms of interplay between these mechanisms have been reported (Chuang et al.
54 2007; Guil et al. 2009).
55 Exposure to environmental toxicants can induce epigenetic changes (Reamon-Buettner et

56 al. 2008). A recent review lists several environmental chemicals - such as metals,
57 peroxisome proliferators, air pollutants and endocrine-disrupting chemicals - that are
58 capable of modifying epigenetic marks (Baccarelli et al. 2009). In most cases DNA
59 methylation is affected, but also histone modifications and microRNA expression can be
60 altered by toxic stress. Different mechanisms may underlie the interaction between
61 environmental toxicants and epigenetic changes. Tributyltin and triphenyltin for example
62 have been shown to induce hypomethylation in the liver of the false kelpfish *Sebastes*
63 *marmoratus* (Wang et al. 2009). This was attributed to imbalances in the transmethylation
64 reaction between DNA and S-adenosylmethionine (SAM) / S-adenosylhomocysteine.
65 The inheritance of epigenetic factors can be mitotic, i.e. between cells of one organism or
66 between different organisms in case of mitotic parthenogenesis, or meiotic, i.e. between
67 different generations of sexually reproducing organisms. Although most studies on
68 transgenerational epigenetic inheritance deal with plants or mammals, it has also been
69 reported in insects (Youngson et al. 2008). Transgenerational activation of a
70 polycomb/trithorax response element and histone H4 hyperacetylation have been
71 demonstrated in *Drosophila* (Cavalli et al. 1998). Transgenerational transfer of
72 chromosome sets with hypomethylated DNA has been reported in the mealybug
73 *Planococcus citri* (Bongiorni et al. 1999; Bongiorni et al. 2009).

74 An interesting aspect of epigenetics for the field of environmental sciences is that
75 environment-induced epigenetic changes can be transferred to subsequent generations even
76 if the triggering environmental factor is removed. Mice fed with a methyl donor
77 supplemented diet during gestation resulted in a shift in phenotypes up to two generations
78 later, demonstrating a germ-line epigenetic change in a specific allele (Cropley et al. 2006).
79 Anway et al. (2005) reported that non-exposed offspring of gestating female rats transiently
80 exposed to vinclozolin and methoxychlor, exhibited reduced reproduction and altered DNA
81 methylation patterns. If wide-spread epigenetic effects of environmental exposure are
82 transferred to non-exposed future generations, this may have major consequences for the
83 way ecological risk assessments of chemicals are performed as temporary exposures to
84 contaminants may then compromise the future status of ecosystem structure and
85 functioning.

86 DNA methylation, which is the addition of a methyl group on the 5 position of DNA

87 cytosines, is one of the best studied epigenetic marks (Clark et al. 1994; Oakeley 1999;
88 Bird 2002; Watson et al. 2002). It is hypothesized that DNA methylation at CpG sites
89 represses transcriptional initiation, but not necessarily represses transcription as such (Bird
90 1995). Recent research supports this hypothesis for infrequently transcribed genes
91 (Mandrioli 2007; Suzuki et al. 2007). This implies that the presence or absence of DNA
92 methylation at transcription start sites may have important consequences for various
93 cellular processes.

94 Recently, DNA methylation in CpG sites has been detected in the waterflea *Daphnia*
95 *magna*, an important species in many aquatic ecosystems and a model organism used in
96 aquatic toxicology and environmental risk assessment (Vandegheuchte et al. 2009a). The
97 total amount of cytosine methylation in *D. magna* DNA is lower than in mammals and
98 plants, but was shown to differ in daphnids with different exposure histories. Although
99 local hypo- or hypermethylation could not be measured with the LC-MS based technique
100 used, it was shown that one generation exposure to a sublethal Zn concentration caused an
101 overall reduction in DNA methylation in the F₁ offspring, which, however, was not passed
102 on to the next generation (Vandegheuchte et al. 2009b). *Daphnia* has an interesting life
103 cycle. It reproduces mainly through female parthenogenesis. However, certain
104 environmental triggers (e.g. light, food) induce the production of males resulting in sexual
105 reproduction (Zaffagnini 1987). In the laboratory, daphnids are maintained in their
106 parthenogenetic state, in which diploid eggs develop into adult females. Oogenesis is in this
107 case not fully meiotic nor strictly mitotic. However, no recombination occurs during
108 parthenogenesis and as such parthenogenetic offspring are genetically identical to their
109 mother (Hebert 1987). This makes *Daphnia* an ideal model organism for studying
110 epigenetic transgenerational changes. It has been suggested that transgenerational effects in
111 *Daphnia*, such as differences in the size of defensive helmets in offspring of females
112 exposed to different predator kairomone concentrations, are based on gametic epigenetic
113 inheritance (Agrawal et al. 1999; Youngson et al. 2008).

114 The aim of this study is to investigate whether DNA methylation in *D. magna* is affected by
115 exposure to substances with a well-known effect on DNA methylation in mammals. Second
116 it is hypothesized that such an epigenetic effect can be transferred to multiple non-exposed
117 generations of *D. magna*. Effects on global DNA methylation levels are measured, as well

118 as effects on length and reproduction of the daphnids. Two active pharmaceutical
119 compounds that are known to inhibit DNA methyltransferases (DNMTs) were examined: 5-
120 azacytidine and 2'-deoxy-5-azacytidine (Piekarz et al. 2009). The isoflavones genistein and
121 biochanin A were also evaluated as they have been associated with DNA hypermethylation.
122 These substances were also shown to reduce DNMT activity and directly or indirectly alter
123 DNA methylation (Fang et al. 2005; Dolinoy 2006; Dolinoy 2007). Finally the endocrine
124 disrupting fungicide vinclozolin was tested as this compound induces aberrant methylation
125 patterns after intraperitoneal injection in rats (Anway et al. 2005).

126

127 **2 Materials and Methods**

128

129 *2.1 Daphnia cultures and experimental design*

130 *Daphnia magna* Straus (clone K6) used in all our experiments was originally collected
131 from a pond in Kiel (Antwerp, Belgium) and has been successfully cultured under
132 controlled laboratory conditions for more than 10 years. The culture medium used in all
133 experiments consisted of aerated carbon filtered tap-water, enriched with selenium and
134 vitamins (Elendt et al. 1990).

135 Preliminary acute tests were performed according to OECD 202 guideline (OECD 1994). A
136 series of five concentrations was made based on concentrated stock solutions of 5-
137 azacytidine (7000 mg/L in culture medium), 5-aza-2'-deoxycytidine (2333 mg/L in culture
138 medium), Biochanin A (28426 mg/L in DMSO), Genistein (27 024 mg/L in DMSO) or
139 Vinclozolin (350 g/L in acetone). All chemicals were purchased from Sigma-Aldrich,
140 Bornem, Belgium. The actual test concentrations are given in the electronic supplementary
141 material. Solvent controls were prepared for acetone and DMSO. Three replicate glass
142 vessels were used with ten neonate daphnids in 25 mL test medium. Immobility was
143 assessed after 48 hours as the number of daphnids that remained immobile for ≥ 10 s after
144 test vessel swirling.

145 Chronic tests were performed according to OECD guideline 211 (OECD 1998).

146 Concentrated stock solutions of 5-azacytidine (50000 mg/L in DMSO), 5-aza-2'-
147 deoxycytidine (27000 mg/L in DMSO), Biochanin A (28426 mg/L in DMSO), Genistein
148 (27 024 mg/L in DMSO) or Vinclozolin (71980 mg/L in DMSO), which were stored at -

149 20°C, were used to make up 4 test concentrations of each substance. The actual test
150 concentrations are given in the electronic supplementary material. For this test and for the
151 multigeneration experiment, 5-azacytidine and 5-aza-2'-deoxycytidine were purchased
152 from Carbosynth, Compton, UK. To ensure minimal mortality, test concentrations were all
153 lower than the lowest concentration which caused a significant effect in the acute test.
154 Solvent controls with 0.0176% and 0.05% DMSO were also tested. Ten replicate glass
155 vessels with a single neonate daphnid in 50 mL test medium were maintained for 21 days at
156 20°C ± 1 °C under a 16h/8h light/dark cycle. Media were renewed three times per week
157 during which the number of living offspring were counted and discarded. Daphnids were
158 fed daily with an algae mix consisting of *Pseudokirchneriella subcapitata* and
159 *Chlamydomonas reinhardtii* in a 3/1 cell number ratio. The amount fed increased during the
160 test: 250 µg/day in the first week, 500 µg/day in the second week and 750 µg/day in the
161 third week. The length of each daphnid from the top of the head to the base of the spine was
162 measured on day 7 and day 21 by analyzing a microscopic image with UTHSCSA Image
163 Tool 3.0 (San Antonio, TX, USA).

164 A multigeneration experiment was performed with daphnids exposed to 5-azacytidine,
165 genistein and vinclozolin. Based on the results of the chronic test, concentrations were
166 selected which were shown to have an effect on DNA methylation or on reproduction, but a
167 limited or no effect on mortality. Nominal concentrations were 7.4 mg/L 5-azacytidine, 4.4
168 mg/L genistein and 3.6 mg/L vinclozolin. Measured concentrations in freshly prepared
169 medium were 2.9 ± 0.4 mg/L in F₀, 2.3 ± 0.3 mg/L in F₁ for 5-azacytidine; 4.7 ± 0.7 mg/L
170 in F₀-F₂ for genistein and 0.54 ± 0.19 mg/L in F₀, 0.45 ± 0.16 mg/L in F₁, 0.18 ± 0.15 mg/L
171 in F₂ for vinclozolin. Organisms were cultured in a semi-static manner in glass vessels,
172 using a volume of 10 mL per daphnid for the first week and 20 mL per daphnid from the
173 second week onwards (Muyssen et al. 2006). Media were renewed three times per week.
174 For each treatment, ten individual daphnids were maintained in parallel as described above.
175 The length of these daphnids was measured on day 7 and 15.

176 The culturing scheme is represented in Fig. 1. Neonates from the laboratory culture were
177 divided into four batches. One batch of thirty daphnids was transferred into standard
178 medium with 0.015% DMSO and cultured in this medium for three generations as a control
179 (F₀C-F₂C). A second batch of organisms was transferred to a medium containing 5-

180 azacytidine (A^+), a third batch to medium spiked with genistein (G^+) and the fourth batch to
181 medium containing vinclozolin (V^+). Third brood F_1 neonates born from this F_0A^+ , F_0G^+ or
182 F_0V^+ generation were divided into two batches, of which one was transferred to the control
183 medium (F_1A^- , F_1G^- or F_1V^-). These daphnids were thus only briefly exposed to the test
184 substances during the first hours of their life cycle. The other batch was kept in the medium
185 containing 5-azacytidine (F_1A^+), genistein (F_1G^+) or vinclozolin (F_1V^+). F_1A^- , F_1G^- and
186 F_1V^- third brood, non-exposed offspring were further cultured in the control medium (F_2A^- ,
187 F_2G^- and F_2V^-), while offspring from F_1A^+ , F_1G^+ and F_1V^+ were cultured in the same
188 medium as their parents (F_2A^+ , F_2G^+ and F_2V^+). Organisms were fed daily with an algae
189 mix consisting of *P. subcapitata* and *C. reinhardtii* in a 3/1 cell number ratio. The amount
190 fed increased during the test: 119 $\mu\text{g}/\text{org}/\text{day}$ in the first week and 250 $\mu\text{g}/\text{org}/\text{day}$ from the
191 second week onwards.

192

193 2.2 Chemical analysis

194 Samples from the different treatments were taken at the beginning and end of the acute test,
195 just before and after medium renewals in the chronic test and in each generation of the
196 multigeneration experiments. Samples were stored in glass tubes at -20°C prior to analysis.
197 The (deoxy)nucleoside analogues 5-azacytidine and 5-aza-2'-deoxycytidine were analyzed,
198 after filtration of the incubation medium over a $0.45\ \mu\text{m}$ filter, using LC-MS/MS with an
199 external standard series in methanol. Chromatography was carried out on a Thermo
200 Finnigan Surveyor LC system (San Jose, CA, USA) comprising a quaternary pump and an
201 autosampler, equipped with a $5\ \mu\text{m}$ $2.5 \times 450\ \text{mm}$ Sphinx C_{18} column obtained from
202 Macherey-Nagel (Düren, Germany). Compounds were eluted at a flow rate of $400\ \mu\text{L}/\text{min}$
203 using a linear gradient starting with a mixture of 50% A (0.01% aqueous formic acid) and
204 50% B (acetonitrile) for 5 min. The methanol percentage was increased from 50 to 100 %
205 during a 5 minute period. Analytes were detected with an LTQ ion trap mass spectrometer
206 (Thermo Finnigan, San Jose, CA, USA) in the MS-MS positive ion mode using a Heated
207 Electrospray Ionisation (HESI) interface at 180°C . Mass 245 ($[M + H]^+$) was isolated for 5-
208 azacytidine or mass 229 ($[M + H]^+$) for 5-aza-2'-deoxycytidine. The precursor isolation
209 width was set to 2 Da, the activation Q to 0.25, and the collision energy to 40 %.

210 The isoflavones genistein and biochanin A were extracted from the incubation media (2

211 mL) by solid phase extraction using Isolute C18 columns (500 mg). Prior to extraction,
212 chrysene (200 ng) was supplemented as an internal standard in both the samples and in the
213 biochanin A and genistein standard series. The Isolute cartridges were preconditioned with
214 4 mL methanol and 4 mL water. After passing the eluate and washing the cartridges with 4
215 mL water and 2 mL hexane, elution was performed with 4 mL methanol. Subsequently, the
216 extracts were evaporated to dryness under a stream of nitrogen and redissolved in 120 μ L
217 of methanol- 0.5% formic acid (50:50). Finally the extracts were centrifuged during 10 min
218 at 2500xg and 4°C and injected into the LC-MS/MS in a volume of 30 μ L. The HPLC
219 apparatus consisted of a HP 1100 series pump, an AS3000 autosampler and HP vacuum
220 degasser (Agilent, Palo Alto, USA), equipped with a Symmetry C₁₈ column (5 μ m, 150 x
221 2.1 mm, Waters, Milford, USA). For separation of the different compounds, a linear
222 gradient was used starting with a mixture of 50% A (0.5% aqueous formic acid) and 50% B
223 (methanol). The methanol percentage was increased from 50 to 100 % during a 15 minute
224 period. The flow rate was set at 300 μ L/min. Between each sample the column was allowed
225 to equilibrate at initial conditions (10 minutes). Analysis was carried out using an LCQ^{DECA}
226 Ion Trap Mass Analyzer (Thermo Electron, San Jose, USA) with an electrospray ionization
227 (ESI) interface (Thermo Electron). The compounds were detected in the MS-MS positive
228 ion mode. Alternating scans were used to isolate [M +H]⁺ ions at masses 269.30 for
229 genistein and 283.20 for biochanin A. The precursor isolation width was set to 2 Da, the
230 activation Q to 0.25, and the collision energy to 45 %.

231 Vinclozolin was extracted from the incubation medium (1 or 5 mL) by liquid /liquid
232 extraction using three sequential extraction steps with equal volumes of hexane/diethylether
233 (50:50). Prior to extraction, heptachlor was supplemented to the incubation medium (50 μ L
234 of 20 mg/L) to serve as internal standard. After centrifugation of the solvent-incubation
235 medium mixture at 2500xg for 5 min, the different solvent fractions were pooled and dried
236 under a nitrogen stream at 40°C. Finally, the extract was redissolved in hexane and
237 subsequently measured by GC-MS/MS. These analyses were performed using a Trace Gas
238 Chromatograph 2000 fitted with a Polaris ion trap mass spectrometer (Thermo Fisher,
239 Austin, TX, USA) and a Carlo Erba AS2000 Autosampler (Thermo Fisher). Helium
240 (99.99% purity, Air Liquide, France) was used as carrier gas at a flow rate of 1 mL min⁻¹
241 and perfluorotributylamine (FC43) was used as calibration gas. A sample volume of 1 μ L

242 was injected (split flow 60 mL min⁻¹, splitless time 1 min). Chromatographic separation of
243 the analytes and internal standard was performed on a BPX5 capillary column (25 m x 0.22
244 mm ID) with a 5% phenyl-polysilphenylene-siloxane phase (0.25 µm film) (SGE
245 Analytical Science Pty. Ltd., Victoria, Australia). The temperature program started at an
246 initial temperature of 80°C. Temperature was increased to 140°C applying a ramp of 50°C
247 min⁻¹. Subsequently, an increase to 260°C was assessed using a ramp of 5°C min⁻¹, holding
248 this temperature for 3 minutes. Spectra were obtained in positive electron impact ionisation
249 (EI) mode MS-MS scan. Mass range depended on the selected precursor ion, and the
250 collision energy ranged from 1.15 to 1.30 V.

251 For all analyses data processing was performed using Xcalibur[®] 2.0 software (Thermo
252 Electron).

253

254 *2.3 DNA methylation analysis*

255 DNA was extracted from 21 day-old daphnids at the end of the chronic test and from
256 daphnids on the first day the third brood was observed (day 14 to day 16) in the
257 multigeneration experiment. This was not possible in the F₁A⁺ treatment, in which no
258 reproduction was observed up to day 21. Here DNA extraction of the 21 day-old daphnids
259 was performed. The MasterPure[™] kit (Epicentre, Madison, WI, USA) was used following
260 the protocol for DNA extraction from tissue as provided by the manufacturer. Four to six
261 adult organisms per replicate were rinsed with deionized water, blotted dry and shock
262 frozen in liquid nitrogen prior to extraction. Hydrolysis of DNA was performed following
263 Crain (Crain 1990). A sample of 1.3 to 4.25 µg DNA was adjusted to 16.8 µL with Tris-
264 HCl (1 mM, pH 7.4). The DNA was denatured by heating at 100 °C for 3 min in a warm
265 water bath. The denatured DNA was hydrolyzed by adding 0.75 µL (1.5 units) nuclease P1
266 (Sigma-Aldrich, Bornem, Belgium) and 1/10 volume of 0.1 M NH₄OAc (pH 5.3). This was
267 incubated at 45°C for 2 h. Subsequently, 0.002 units phosphodiesterase I (Sigma-Aldrich,
268 Bornem, Belgium) and 1/10 volume of 1 M NH₄HCO₃ at pH 7.8 were added to the sample.
269 This was incubated at 37 °C for 2 h. Phosphates were removed by adding 0.5 units alkaline
270 phosphatase (Fermentas, St. Leon-Rot, Germany) and 1/10 volume phosphatase buffer and
271 this mixture was incubated at 37 °C for 1 h, after which it was stored at -20°C prior to
272 analysis.

273 Hydrolyzed DNA samples were analyzed for the detection of 5-methyl-2'-deoxycytidine on
274 a Waters Acquity Ultra Performance Liquid Chromatography (UPLC) system with a
275 Tandem Quadrupole (TQ) detector (Waters, Zellik, Belgium). The system was controlled
276 by MassLynx software (version 4.1, Waters). LC separation was performed on a Waters
277 Acquity UPLC HSS T3 1.8 μm column of 2.1 x 100 mm at a flow rate of 300 $\mu\text{L}/\text{min}$. A
278 binary solvent system was used: 0.1% formic acid in water and 0.1% formic acid in
279 acetonitrile. Inlet method, gradient, mass spectrometric methods and conditions, standard
280 curves and monitored transition pairs were as described before (Vandegheuchte et al.
281 2009b) For a number of samples the solvent flow was 350 $\mu\text{L}/\text{min}$ and for the samples from
282 the multigeneration experiment a different inlet method was used to optimize system
283 stability. From $t = 0$ min to $t = 2$ min elution remained isocratic at 300 $\mu\text{L}/\text{min}$ and 99% of
284 water, after which a gradient was created to 70.9 % aqueous at $t = 4.40$ min. This dropped
285 to 65% aqueous at $t = 4.50$ min and was set to a washing step of 90% organic from $t = 4.51$
286 min to $t = 5.51$ min. Subsequently an equilibration step at initial conditions but with a flow
287 of 500 $\mu\text{L}/\text{min}$ followed from $t = 5.52$ min to $t = 7.51$ min, after which the flow was set
288 back to the initial 300 μL at the end of the run at $t = 7.52$ min.

289 The relative 5-methyl-2'-deoxycytidine (mdC) content is expressed as a fraction of the total
290 measured dG concentration or as % $[\text{mdC}]/[\text{dG}]$ (Song et al. 2005). Both $[\text{mdC}]$ and $[\text{dG}]$
291 were quantified using an external standard series prepared with commercially available
292 mdC (US Biological, Swampscott, MA, USA) and dG (Aldrich, Bornem, Belgium).
293 It should be clear that this method measures overall cytosine methylation, implying that
294 effects on the DNA methylation at specific loci may go undetected, e.g. when
295 hypomethylation in a certain region of the genome is accompanied by hypermethylation in
296 another region.

297

298 *2.4 Statistical analysis*

299 $\text{EC}_{50\text{s}}$ (Effective Concentration causing immobility in 50% of the daphnids) for the acute
300 tests were calculated with the trimmed Spearman-Kärber method using the US EPA
301 software (<http://www.epa.gov/nerleerd/stat2.htm>) (Hamilton et al. 1977). All other statistics
302 were performed with Statistica (Statistica, Tulsa, USA) or with Excel (Microsoft,
303 Redmond, USA). Differences in reproduction (total number of juveniles per surviving

304 female adult), length or DNA methylation between treatments in the chronic and
305 multigeneration experiment were assessed using Dunnett's test based on the pooled residual
306 standard deviation, which was calculated with ANOVA. If an increase or decrease in
307 reproduction, length or DNA methylation could be expected *a priori*, a one-tailed Dunnett's
308 test was used. In all other cases a two-tailed Dunnett's test was performed. For DNA
309 methylation as % [mdC]/[dG], a bootstrapping method was used to incorporate the error
310 due to the uncertainty of the standard curves of mdC and dG (Vandegheuchte et al. 2009b).
311 The method (either with or without the bootstrapping) resulting in the largest standard
312 deviation was used for assessing differences between treatments. For reproduction in the
313 multigeneration experiment, F_0A^+ and F_1A^+ were treated as outliers due to the large number
314 of replicates with zero reproduction (which caused the variance in these treatments to be
315 very low). Assumptions of normality and homoscedasticity were tested with Shapiro-
316 Wilk's test and Bartlett's test, respectively. When the homoscedasticity assumption was not
317 met, a Kruskal-Wallis non parametric test was used. If differences between treatments were
318 detected with Kruskal-Wallis, treatments were compared with controls using Mann-
319 Whitney U tests. When the DNA methylation between a treatment and a control was
320 compared with Mann-Whitney U, a bootstrapping method was also used to incorporate the
321 uncertainties on the standard curves of mdC and dG. For both treatments with r replicates, a
322 random replicate was sampled r times (with replacement). For each selected replicate, a
323 random value was selected from the t distribution associated with the uncertainty of the
324 regression curves. This was repeated 2000 times. On these 2000 sets of two treatments, a
325 Mann-Whitney U test was performed, with an associated p -value. The average of these
326 2000 p -values was taken as the final p -value for this Mann-Whitney U test. In all tests, the
327 limit of significance was set at $p = 0.05$.

328

329 **3 Results**

330

331 *3.1 Acute tests*

332 Control immobility was 0 % in all controls, including the solvent controls. EC_{50} s are
333 summarized in Table 1. For 5-aza-2'-deoxycytidine, no immobility was observed in any of
334 the concentrations tested, while for vinclozolin, only 2 out of 30 daphnids were immobile

335 after 48 h exposure to the highest concentration. The EC₅₀s are based on concentrations
336 measured at the beginning of the test. The concentrations generally decreased during the
337 48h tests. At the end of the test, the concentrations of 5-azacytidine, 5-aza-2'-deoxycytidine
338 and vinclozolin were reduced to the following fractions of the initial concentrations: 6 to 77
339 %, 29% and 1 to 15%, respectively (see electronic supplementary material). The isoflavone
340 concentrations remained rather constant throughout the test. From nominal concentration of
341 ≥ 3.6 mg/L (measured concentration 0.182 ± 0.099 mg/L) vinclozolin, small non-dissolved
342 particles could be observed in the test medium. This is in accordance with the water
343 solubility of 3.5 mg/L vinclozolin at 20 °C (Vallero et al. 2003).

344

345 *3.2 Chronic experiments*

346 Differences between treatments will only be discussed when they are statistically
347 significant ($p < 0.05$).

348 LOECs are expressed as average measured concentrations in freshly prepared medium
349 (Table 2). The concentration of some compounds decreased considerably between two
350 medium renewals. 5-azacytidine and 5-aza-2'-deoxycytidine were not detectable after three
351 days, while after two days on average 22% and 49% (respectively) of the original
352 concentration was present in the medium. For biochanin A and genistein, there was no
353 consistent trend. Vinclozolin concentrations decreased to approximately 0.4 to 1.5% of the
354 initial concentration after three days.

355 Two quality controls (QCs) for DNA methylation were measured in triplicate, resulting in
356 relative standard deviations (RSDs) of 2.5% and 7.4% for mdC and 1.3% and 1.6% for dG.
357 Relative Errors (REs) were -0.1% for mdC for both QCs and 5.2 and 0.3% for dG.

358 No difference was detected in reproduction, length or DNA methylation between controls
359 with 0, 0.0176 and 0.05% DMSO that were started with the same batch of daphnids. These
360 controls were pooled for the calculation of the pooled residual standard deviation with
361 ANOVA for the experiments with biochanin A, genistein and vinclozolin.

362 For all test substances, an effect on at least one of the endpoints (reproduction, length and
363 overall DNA methylation) could be observed. Vinclozolin did not elicit an effect on
364 reproduction at the tested concentrations. 5-aza-2'-deoxycytidine did not induce an effect
365 on body length at any of the tested concentrations, while biochanin A, 5-aza-2'-

366 deoxycytidine and genistein did not affect overall DNA cytosine methylation.
367 The initial two highest concentrations of the 5-azacytidine test caused 100% mortality after
368 two days. Therefore, two lower 5-azacytidine concentrations and a new control were
369 introduced into the design. Reproduction was determined as the number of living juvenile
370 daphnids per surviving female adult. In the 5-azacytidine experiment, a large number of
371 aborted broods was observed at the three highest concentrations.

372

373 *3.3 Multigeneration experiment*

374 As observed also in the chronic experiment, the 5-azacytidine concentration decreased
375 between medium renewals, with no detectable concentration after three days and on
376 average 29% of the initial concentration after two days. The genistein concentration of 4.7
377 ± 0.7 mg/L was very similar to the highest concentration in the chronic experiment and
378 remained stable throughout the multigeneration experiment. Vinclozolin concentrations
379 measured in the fresh test media of the multigeneration experiment decreased with time
380 from 0.54 ± 0.19 mg/L in F_0 to 0.45 ± 0.16 mg/L in F_1 and 0.18 ± 0.15 mg/L in F_2 . The
381 vinclozolin concentration decreased between two renewals. After three days, approximately
382 0.1% to 1.1% of the initial vinclozolin concentration in freshly prepared medium was
383 detected.

384 The highest 5-azacytidine concentration of the chronic test, for which a reduction in overall
385 DNA methylation was observed, yielded a reproduction of only 1.5 juveniles per surviving
386 female. A reproduction as low as this is not suitable for a multigeneration experiment.

387 Therefore the second highest concentration was chosen for the A^+ exposures. The highest
388 genistein concentration of the chronic experiment was selected for the G^+ exposures, to
389 confirm the absence of an effect on overall DNA methylation. For vinclozolin, the highest
390 concentration of the chronic test, in which a reduction in overall DNA methylation was
391 observed, was chosen as exposure concentration in the multigeneration experiment.

392 Reproduction was affected in the F_0 daphnids exposed to 5-azacytidine and genistein (Fig.
393 2). This effect was not passed on to the F_1G^- offspring. The F_1A^- treatment was accidentally
394 stopped at day 14, at which time no third brood was present yet. However, reproduction at
395 day 14 was significantly lower in F_1A^- compared to F_1C (Mann-Whitney U test, $p = 0.029$).

396 A clear effect on reproduction was also observed in F_1A^+ , in which no reproduction was

397 observed up to the end of the test (day 21). In the F_2 generation, none of the treatments
398 exhibited a significantly lower reproduction than the control. No mortality was observed in
399 the controls of the three generations.

400 On day 7, reductions in the length of the daphnids was noted in all exposed treatments
401 except in F_1V^+ (Fig. 3). Of the non-exposed F_1 and F_2 treatments, only A^- exhibited a
402 reduction in length.

403 Overall DNA methylation expressed as $\%[\text{mdC}]/[\text{dG}]$ ranged from 0.11% to 0.40%. The
404 two quality controls for DNA methylation showed that the RSDs were 6.9% and 0.7% for
405 mdC and 2.7% and 0.001% for dG. REs were 2.8% and -6.7% for mdC and -0.4% and
406 5.4% for dG. The relative proportion of 5mdC in DNA was reduced in F_0A^+ and F_0V^+ , but
407 not reduced nor increased in F_0G^+ (Fig. 4). The reduction in F_0A^+ was also observed in its
408 F_1A^- and F_2A^- offspring. In the F_1A^+ treatment, only one replicate could be measured due to
409 high mortality and low biomass of the organisms. The overall DNA cytosine methylation
410 level was only 57% of that in the control, but no statistical significance could be attributed
411 to this. The reduction in methylation observed in F_0V^+ was also present in the F_1V^+
412 offspring (exposed), but not in the F_1V^- (non-exposed) offspring. In the subsequent
413 generation however, the F_2V^- organisms exhibited a smaller amount of global DNA
414 methylation than the F_2C .

415

416 **4 Discussion**

417

418 *4.1 Acute tests*

419 From the acute test results, it is clear that 2'-deoxy-5-azacytidine (21 mg/L) and vinclozolin
420 (1.685 mg/L) had no effect on the immobility of the daphnids at the tested concentrations.
421 This is somewhat unexpected because the material safety data sheet of vinclozolin (Sigma)
422 reports a (nominal) 48 h EC_{50} for *D. magna* of 3.65 mg/L. However, our results are in
423 agreement with those of Haeba et al. (2008), who found no acute effect of vinclozolin up to
424 its water solubility. The noted decrease in concentration of the (deoxy)nucleoside analogues
425 and vinclozolin during the exposure, which was also observed in the subsequent chronic
426 and multigeneration experiments, was not unexpected. Indeed, 5-azacytidine, 2'-deoxy-5-
427 azacytidine and vinclozolin are not stable in aqueous environments and hydrolyze to

428 several by-products (Lin et al. 1981; Szeto et al. 1989; Zhao et al. 2004). However, it was
429 not the purpose of this study to determine exact effective concentrations of these
430 substances. Instead the main goal of this study was to investigate whether the substances or
431 their degradation products could elicit possible transgenerational epigenetic effects (and
432 this based on measured substance concentrations).

433

434 4.2 Chronic experiments

435 Based on the results of the acute tests, a range of concentrations was chosen for the chronic
436 experiment aimed at establishing a sublethal concentration which has an effect on DNA
437 methylation and reproduction or growth. This concentration could subsequently be used in
438 the multigeneration experiment. For all five compounds, an effect on length or reproduction
439 was noted in at least one of the tested concentrations.

440 No effect on overall DNA methylation was observed in the chronic experiments with
441 biochanin A, genistein and 2'-deoxy-5-azacytidine. The potential inhibition of DNMT
442 activity by biochanin A and 2'-deoxy-5-azacytidine, as described by Fang et al.(2005) and
443 Piekarcz et al. (2009) respectively, did not result in an overall decrease in DNA methylation
444 in exposed *Daphnia*. Genistein has been shown to inhibit DNMT activity, resulting in
445 reduced methylation in the methylated promoter regions of three genes in a human
446 esophagous carcinoma cell line (Fang et al. 2005). On the other hand, genistein induced
447 hypermethylation in CpG islands and restored hypomethylated loci in mice (Day et al.
448 2002; Dolinoy 2007). Our results suggest that in *D. magna*, genistein either did not affect
449 DNA methylation mechanisms at all, or induced hypomethylation and hypermethylation at
450 different loci, resulting in an unchanged overall DNA methylation compared to the control.
451 In the highest genistein concentration, which was selected for the multigeneration
452 experiment, reproduction was reduced. A negative effect on reproduction has also been
453 described in mice, where administration of genistein via drinking water resulted in
454 decreased oocyte maturation and *in vitro* fertilization, as well as early embryonic
455 developmental injury (Chan 2009).

456 Exposure to the nucleoside analog 5-azacytidine caused a concentration dependent effect
457 on reproduction, with a high number of aborted broods in the highest treatment. This
458 compound is known to cause preimplantation loss and reduced fertility when administered

459 to male rats before mating (Doerksen et al. 1996). The demethylating effect, which was
460 detected in *D. magna* exposed to the highest concentration, was expected based on the
461 known interaction of 5-azacytidine with DNMTs (Ghoshal et al. 2002).
462 The absence of an effect on reproduction of vinclozolin at the highest tested concentration
463 of 0.43 mg/L corroborates the results of Haeba et al. (2008) who reported no effects on
464 reproduction at a nominal concentration of 1 mg/L. However, whereas a small but
465 significant decrease in body length was observed in daphnids exposed to 0.43 mg/L
466 vinclozolin in the current study, no such effect was noted by those authors. Vinclozolin
467 exposure induced both hypermethylation and hypomethylation events at 25 regions in the
468 rat genome (Anway et al. 2005). Inawaka et al. (2009), however, could not confirm the
469 vinclozolin-induced DNA methylation changes in one of those regions within the
470 lysophospholipase gene. In *D. magna*, we observed a reduction in overall DNA methylation
471 upon exposure to 0.43 mg/L vinclozolin, indicating that vinclozolin or its degradation
472 products do interact with DNA methylation.
473 Global DNA hypomethylation, as observed here in vinclozolin and 5-azacytidine exposed
474 daphnids, has been associated with cell proliferation and with hypomethylation of
475 transposable elements which can alter gene expression (Schulz 2006; Huang et al. 2008).
476 This observation has also been reported in rat, mouse and human cells or tissues after
477 exposure to various environmental chemicals (Baccarelli et al. 2009)

478

479 *4.3 Multigeneration experiment*

480 First, the results of the F₀ generation are compared with the results of the chronic
481 experiment. Effects on length, reproduction and DNA methylation in exposed F₀ daphnids
482 generally corroborate the effects observed in the chronic experiment. In F₀A⁺, however, the
483 reduced length at day 7 and the reduction in DNA methylation were not observed at the
484 corresponding nominal concentration in the chronic test. It may be noted that the control
485 length of 2.61 ± 0.08 mm at day 7 in the multigeneration experiment is lower than that of
486 2.73 ± 0.12 in the chronic 5-azacytidine experiment. The batch of smaller daphnids with
487 which the multigeneration experiment was initiated appears to be more sensitive to 5-
488 azacytidine exposure.

489 The following paragraph discusses the effects in daphnids exposed during consecutive

490 generations. Increased effects on reproduction (reduced number of produced eggs) in
491 different generations under continuous exposure to environmental stress have been reported
492 for *D. magna* (Alonzo et al. 2008). Similar continuing phenotypic effects were observed in
493 our study for reproduction during azacytidine exposure and for length during azacytidine
494 and genistein exposure. Global DNA hypomethylation in both F_0A^+ and F_1A^+ indicates a
495 possible link with the reduced length and reproduction. In porcine fetal fibroblasts, growth
496 reduction combined with lower DNA methylation was observed after treatment with 5-
497 azacytidine (Mohana Kumar et al. 2006). No connection between overall DNA methylation
498 status, which was not altered, and length reduction in genistein exposed daphnids can be
499 made. The length reduction in the F_0V^+ daphnids was not observed in the F_1V^+ daphnids,
500 but returned in the F_2V^+ daphnids. The overall DNA-methylation in F_1V^+ on the contrary
501 remained smaller than that of the control organisms, while it was not significantly different
502 from the control in F_2V^+ , suggesting that the length reduction in the V^+ treatments is not
503 directly linked to the reduced DNA methylation.

504 When evaluating the effects in non-exposed offspring produced by exposed F_0 daphnids, a
505 reduction in length and reproduction was noted in the F_1A^- daphnids, who were only
506 exposed to 5-azacytidine during the first hours of their life cycle. This coincided with a
507 similar decrease in DNA methylation compared to the control as in F_0 . The reduced DNA
508 methylation in the non-exposed F_2A^- daphnids demonstrates, for the first time in *Daphnia*,
509 a transgenerational alteration in an epigenetic system. The reproduction in F_2A^- returned to
510 a level not significantly differing from the control. However, body length at day 7 remained
511 reduced. Although we cannot demonstrate any direct relationship with the epigenome, these
512 observations suggest the possibility of an epigenetic transgenerational effect on juvenile
513 growth in *D. magna*. Transgenerational transfer of 5-azacytidine to F_2A^- is highly unlikely
514 because of its short half-lives of 1.82 ± 1.51 h in plasma and approximately 4 h in neutral to
515 alkaline solutions. It can be demonstrated that metabolites of 5-azacytidine do not inhibit
516 DNMTs (Zhao et al. 2004; Chabner et al. 2006; Esteller 2008a).

517 The absence of any effect on body length, reproduction/mortality or overall DNA
518 methylation in F_1G^- and F_1V^- reveals that the observed effects in the genistein and
519 vinclozolin exposed F_0 treatments are not transgenerationally heritable to non-exposed
520 offspring. There is no obvious explanation for the reduction in overall DNA methylation in

521 F₂V⁻. If this would be an epigenetic effect induced by the F₀V⁺ vinclozolin exposure, a
522 similar reduction in DNA methylation should have been observed in F₂V⁺.
523 It should be noted that with the methylation assessment method used in this study, no
524 information could be obtained on the location and hence the possible function of the
525 methylated cytosines in *D. magna* DNA from different treatments. The *D. magna* genome
526 is currently being sequenced at Indiana University's Center for Genomics and
527 Bioinformatics and next-generation sequencing also opens new possibilities with regard to
528 genome wide DNA methylation analysis. Future research should therefore focus on the
529 specificity of the epigenetic effects on DNA methylation caused by exposure to
530 environmental chemicals and the molecular pathways involved. This may elucidate the
531 possible epigenetic mechanism behind the juvenile growth reduction in the offspring of 5-
532 azacytidine exposed daphnids.

533

534 **5 Conclusions**

535

536 For the first time, direct effects of exposure to chemicals on overall DNA methylation in
537 *Daphnia* have been described. Exposure to elevated concentrations of the fungicide
538 vinclozolin and the nucleoside analog 5-azacytidine (in combination with their degradation
539 products in aqueous media) resulted in a decrease in overall DNA-methylation. This effect
540 on DNA methylation was not observed after exposure to lower concentrations of these
541 substances. The isoflavones genistein and biochanin A and the deoxynucleoside analog 2'-
542 deoxy-5-azacytidine did not induce an effect on overall *D. magna* DNA methylation at
543 exposure concentrations for which effects on reproduction were observed. 5-azacytidine
544 was the only compound for which the effect of reduced DNA methylation was stably
545 transferred to two subsequent non-exposed generations. The demonstration of a
546 transgenerational alteration in an epigenetic system in *D. magna* indicates the possibility of
547 transgenerational inheritance of environment-induced epigenetic changes in non-exposed
548 subsequent generations.

549

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555

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557

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679

680 **Figure captions**

681 Fig. 1 Overview of the experimental culture setup for the multigeneration
 682 experiment. F₀, F₁, F₂: generations. White rectangles represent control medium.
 683 Grey rectangles represent medium with Vinclozolin (0.54 ± 0.19 mg/L in F₀, 0.45 ±
 684 0.16 mg/L in F₁, 0.18 ± 0.15 mg/L in F₂), Genistein (4.7 ± 0.7 mg/L in F₀-F₂) or 5-
 685 azacytidine (2.9 ± 0.4 mg/L in F₀, 2.3 ± 0.3 mg/L in F₁). Arrows represent offspring.

686

687 Fig. 2 Mean reproduction in the multigeneration experiment depicted as the
 688 number of living juvenile offspring per surviving female at the day of a third brood
 689 in the control treatment: day 16 for F₀, day 15 for F₁ and F₂. Error bars indicate
 690 standard deviations. * : significantly different from the control in the same
 691 generation (Mann-Whitney U test or Dunnett test, p = 0.0004 and 0.022 for F₀A⁺ and
 692 F₀G⁺, respectively); † : reproduction at day 14, significantly different from control
 693 reproduction at day 14 (Mann-Whitney U test, p = 0.029, see text).

694

695 Fig. 3 Mean length (mm) at day 7 and 15 for the different treatments of the
 696 multigeneration experiment. Error bars indicate standard deviations. * :
 697 significantly different from the control in the same generation (Mann-Whitney U test
 698 or Dunnett test, p < 0.05).

699

700 Fig. 4 Mean overall DNA cytosine methylation expressed as % [m d C]/[d G] at the
 701 day of the third brood in the different treatments of the multigeneration
 702 experiment. Error bars indicate standard deviations. * : significantly different from
 703 the control in the same generation (Dunnett test or Mann-Whitney U test, p < 0.05).
 704 † : Only one replicate could be measured due to high mortality; no reproduction
 705 took place and DNA samples were taken at day 21.

Table 1 – EC₅₀ (concentration causing 50% immobility) in the acute tests with *D. magna* exposed to 5-azacytidine, 5-aza-2'-deoxycytidine, biochanin A, genistein and vinclozolin, based on measured concentrations at the beginning of the test.

	EC ₅₀ ± standard deviation (mg/L)	remarks
5-azacytidine	310 ± 11 ¹	95 % confidence interval: 180-534 mg/L
5-aza-2'-deoxycytidine	> 20.8 ± 0.5	0 % immobility at this concentration
biochanin A	8.50 ± 0.89 ²	>95 % confidence interval: 6.59 – 14.67 mg/L
genistein	> 6.93 ³	33 % immobility at this concentration
vinclozolin	> 1.7 ± 1.0	6.7 % immobility at this concentration

¹ Estimated with the trimmed Spearman-Kärber method (Hamilton et al. 1977)

² Estimated with the binomial method (Stephan 1977)

³ This value is an underestimation of the real concentration found by extrapolating a polynomial standard curve

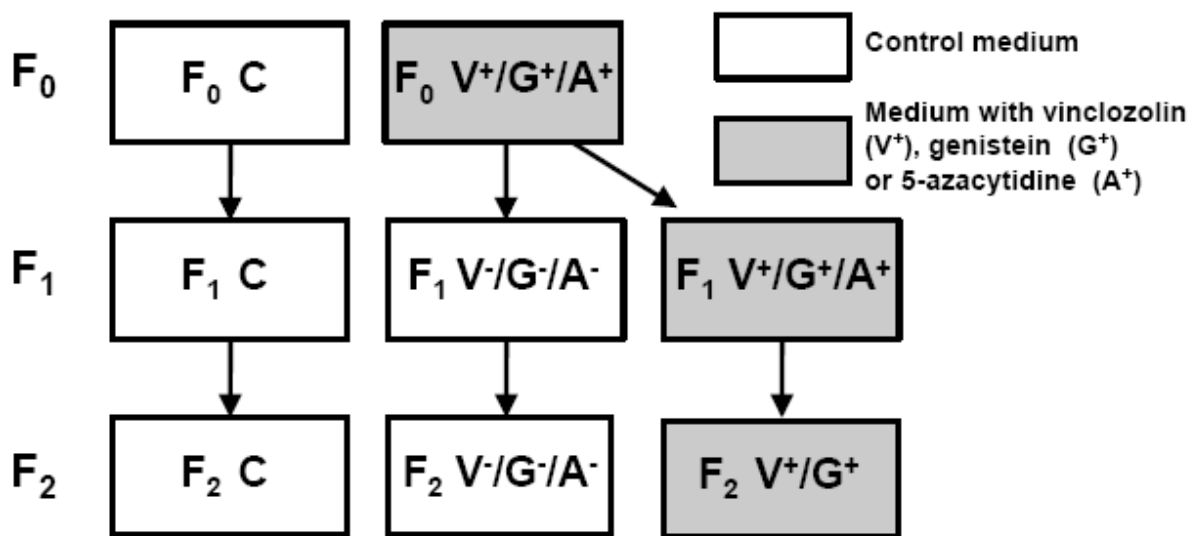
Table 2 – Lowest observed effect concentrations (LOECs) for reproduction, length and overall DNA methylation (based on one-tailed Dunnett test or Kruskal-Wallis test with Mann-Whitney U test, $p < 0.05$) as well as relative reproduction, length and DNA methylation at the LOEC as a percentage of the control (ctrl) for the chronic tests with *D. magna* exposed to 5-azacytidine, 5-aza-2'-deoxycytidine, biochanin A, genistein and vinclozolin. LOECs are given as average measured concentrations (\pm standard deviation) in freshly prepared medium.

	Reproduction (nr of juveniles per surviving female)			Length (mm)			DNA cytosine methylation (% [m d C]/[d G])		
	LOEC (mg/L)	% of ctrl	Ctrl reproduction	LOEC (mg/L)	% of ctrl	Ctrl length	LOEC (mg/L)	% of ctrl	Ctrl methylation
5-azacytidine	16 \pm 2	46	81	27.8 \pm 3.4 ^a	91	2.73 ^a	27.8 \pm 3.4	30	0.26
5-aza-2'-deoxycytidine	4.8 \pm 0.5	45	81	> 12.8 \pm 0.5 ^{a,b}	-	2.73 ^a , 3.61 ^b	> 12.8 \pm 0.5	-	0.26
biochanin A	4.9 \pm 0.9	73	76	0.11 \pm 0.04 ^b	73	3.70 ^b	> 4.9 \pm 0.9	-	0.20
genistein	3.4 \pm 1.5	56	76	1.8 \pm 0.4 ^{a,b}	93 ^{a,b}	2.84 ^a , 3.70 ^b	> 3.4 \pm 1.5	129	0.20
vinclozolin	> 0.43 \pm 0.09	-	76	0.43 \pm 0.09 ^b	91 ^b	3.70 ^b	0.43 \pm 0.09	69	0.20

^a Length at day 21

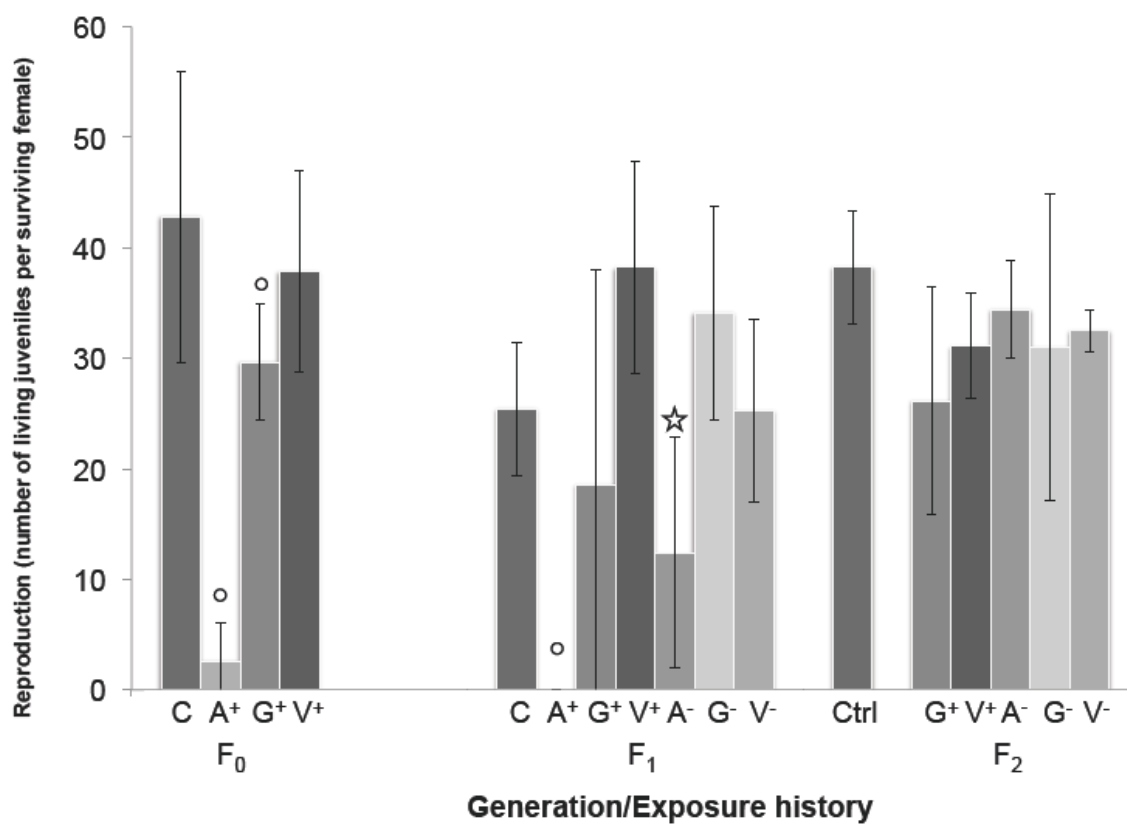
^b Length at day 7

Figure 1



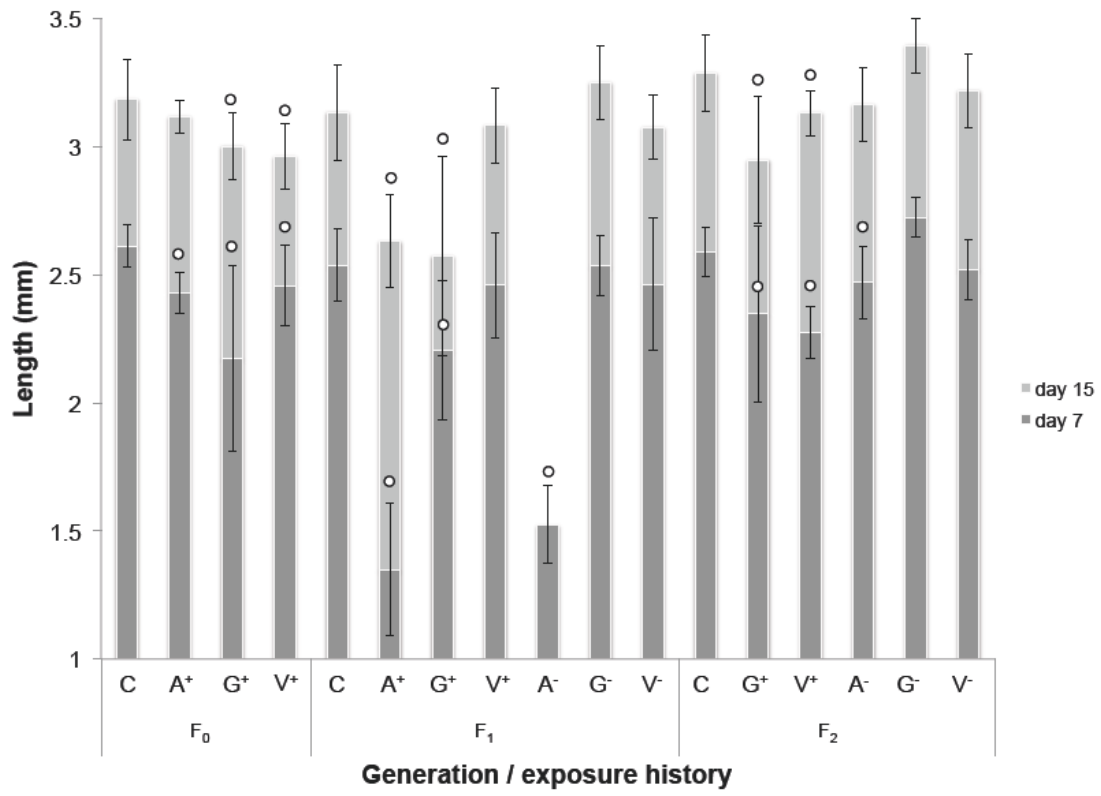
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Figure 2



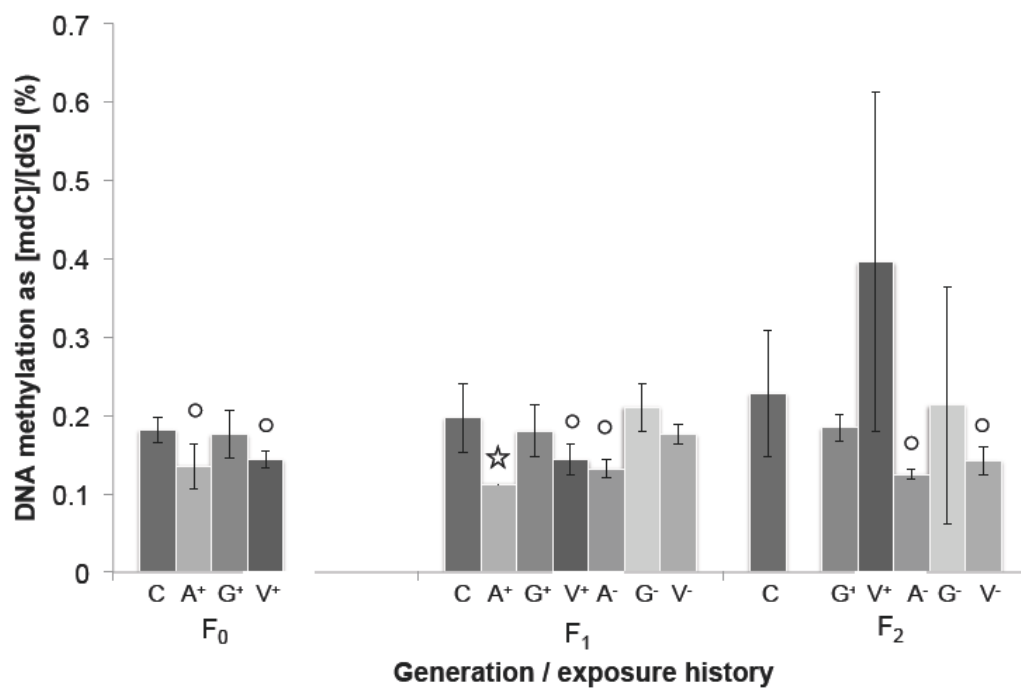
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Figure 3



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Figure 4



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