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# 1 Direct Band Gap Mixed-Valence Organic–inorganic Gold Perovskite 2 as Visible Light Absorbers

3 Biplab Ghosh, Benny Febriansyah, Padinhare Cholakkal Harikesh, Teck Ming Koh, Shreyash Hadke,  
4 Lydia H. Wong, Jason England, Subodh G. Mhaisalkar, and Nripan Mathews\*

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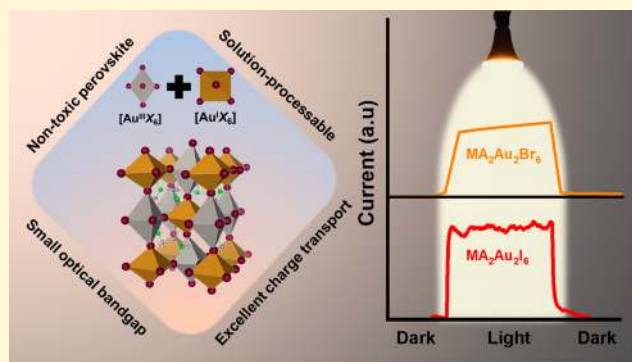
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5 **ABSTRACT:** Lead-free halide perovskite semiconductors are  
6 necessary due to the atmospheric instability and lead toxicity  
7 associated with the 3D lead halide perovskites. However, a stable  
8 lead-free perovskite with an ideal band gap (1.2–1.4 eV) for  
9 photovoltaics is still missing. In this work, we synthesized organic–  
10 inorganic gold halide double perovskites ((CH<sub>3</sub>NH<sub>3</sub>)<sub>2</sub>Au<sub>2</sub>X<sub>6</sub>, X =  
11 Br, I) through a solution-processed route that offers an ideal direct  
12 band gap for photovoltaic applications. Density functional theory  
13 calculations confirm the direct nature of the band gap with  
14 reasonable absorption coefficients in the visible range and excellent  
15 charge transport properties. In addition, the Au-halide perovskites  
16 show high chemical stability and photoresponse. These combined  
17 properties demonstrate that Au-based halide perovskites can be a  
18 promising group of compounds for optoelectronic applications.



## 19 ■ INTRODUCTION

20 Lead-based halide perovskites with the general formula of  
21 AMX<sub>3</sub> (A = protonated cation, M = Pb, X = Cl, Br, I) have  
22 emerged as one of the most promising semiconductor  
23 materials due to ease of fabrication, along with excellent  
24 optoelectronic properties. Although the atmospheric stability  
25 was poor at the beginning, subsequent research resulted in an  
26 unprecedented improvement in stability with a multication  
27 approach.<sup>1,2</sup> However, despite their rapid success in high-  
28 performance optoelectronic devices and reasonable stability,  
29 toxicity of the heavy metal (due to Pb<sup>2+</sup>) remains a bottleneck  
30 in widespread commercialization. Given this issue, identifying a  
31 stable and nontoxic lead-free perovskite is now a key  
32 motivation and challenge for the perovskite community.  
33 Over the past decades, several lead-free perovskites and  
34 perovskite -derivatives have been proposed as potential  
35 substitutes for lead-based perovskites. Monovalent  
36 substitutions of lead with other metal ions carrying a +2  
37 oxidation state such as Ge<sup>2+</sup> or Sn<sup>2+</sup> remain an excellent choice  
38 considering the ideal perovskite structure and the low band gap  
39 derived from these materials. However, both Ge- and Sn-based  
40 halide perovskites suffer from extreme instability.<sup>3–5</sup> Other  
41 metal cations with a +2 oxidation state are expected to exhibit  
42 inferior photovoltaic (PV) properties due to large optical band  
43 gaps.<sup>5,6</sup> Heterovalent substitutions with Bi<sup>3+</sup> or Sb<sup>3+</sup> offer much  
44 better atmospheric stability, but these halide compounds  
45 usually tend to crystallize into vacancy-ordered A<sub>3</sub>M<sub>2</sub>X<sub>9</sub> (M =  
46 Bi<sup>3+</sup>, Sb<sup>3+</sup>) structures, which exhibit poor PV performances due

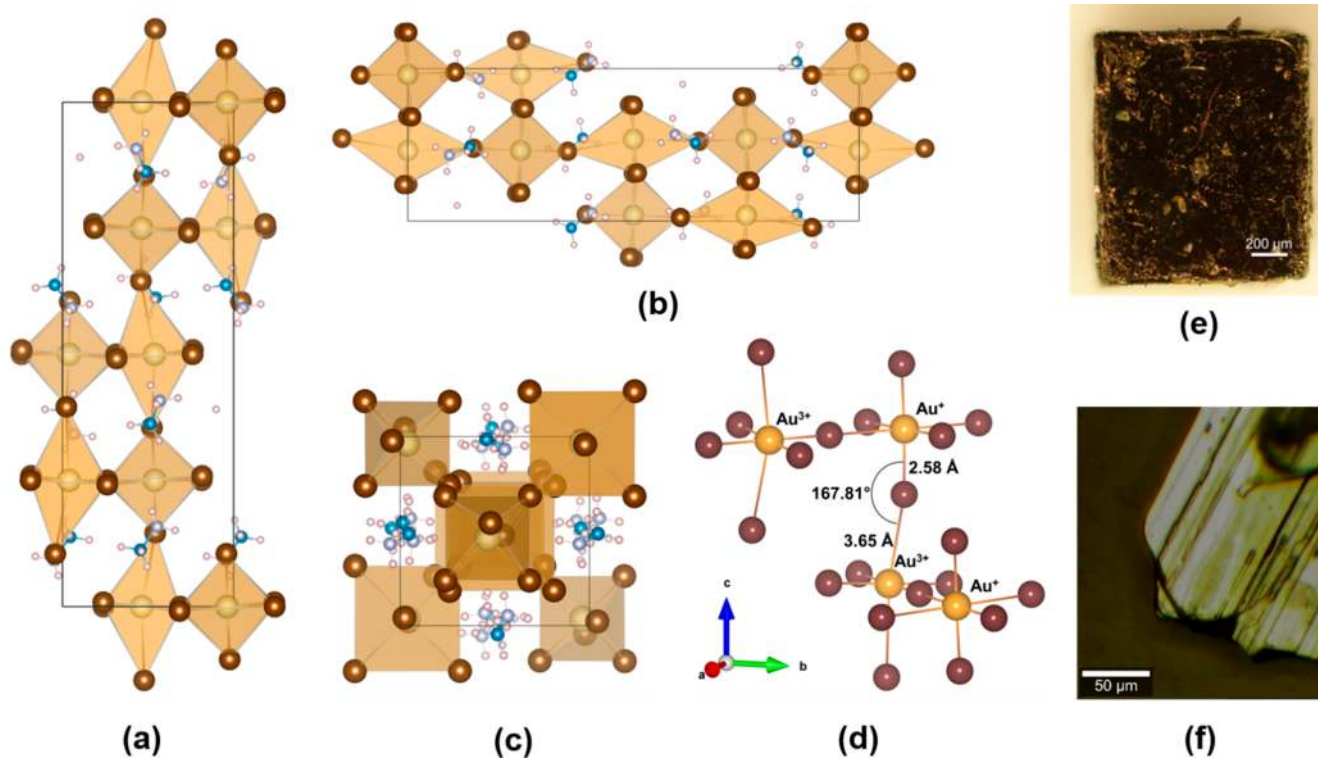
to low electronic dimensionality and large optical band  
gaps.<sup>7–10</sup>

To address these issues, cation-ordered double perovskites  
with the general formula of A<sub>2</sub>M'M''X<sub>6</sub> (M' and M'' are  
different metal cations with +1 and +3 oxidation states,  
respectively) have been proposed recently.<sup>11</sup> A notable  
example is Cs<sub>2</sub>AgBiBr<sub>6</sub> which shows a long carrier lifetime  
and reasonable PV performances.<sup>12–14</sup> However, the optical  
band gaps of this family of compounds are large and indirect  
(1.9 to 2.2 eV).<sup>15</sup> Additionally, indirect band gap semi-  
conductors require thicker absorber layers which are  
susceptible to nonradiative recombination, limiting their PV  
applications. Volonakis et al.<sup>16</sup> have synthesized a direct band  
gap Cs<sub>2</sub>InAgCl<sub>6</sub> double perovskite, yet the compound does not  
absorb light in the visible region (measured band gap of 3.3  
eV), thus making it unsuitable as a PV absorber. Among other  
double perovskites, In<sup>+</sup>-based Cs<sub>2</sub>InBiCl<sub>6</sub> and Cs<sub>2</sub>InSbCl<sub>6</sub> have  
been predicted to have small direct band gaps and a small  
carrier effective mass. However, experimental validation of In<sup>+</sup>-  
based double perovskites has not been realized yet.<sup>17</sup> 66  
Moreover, Xiao et al.<sup>18</sup> have demonstrated that In/Bi-based 67

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**Figure 1.** Polyhedral representations of the  $\text{MA}_2\text{Au}_2\text{I}_6$  crystal structures. The crystal structure as viewed along the (a)  $a$ -axis, (b)  $b$ -axis, and (c)  $c$ -axis; (d) two relative orientations between linear  $[\text{Au}^{\text{I}}_2]^-$  and square-planar  $[\text{Au}^{\text{III}}_4]^-$ ; and optical photograph of (e)  $\text{MA}_2\text{Au}_2\text{I}_6$  and (f)  $\text{MA}_2\text{Au}_2\text{Br}_6$  single crystals.

68 double perovskites suffer from inherent In vacancies, thus  
 69 limiting their optoelectronic applications. Another interesting  
 70 group of double perovskites is the mixed-valence perovskites in  
 71 which a single metal cation with two different oxidation states  
 72 (+1 and +3 for perovskite structure) is stacked alternatively to  
 73 form a halogen-bridged perovskite structure. A notable  
 74 example is  $\text{Cs}_2\text{Au}_2\text{X}_6$  ( $X = \text{Cl}, \text{Br}, \text{I}$ ) which was investigated  
 75 in the early 2000s for its pressure-induced phase transition.<sup>19</sup>  
 76 Debbichi et al.<sup>20</sup> have also predicted their excellent  
 77 optoelectronic properties from density functional theory-  
 78 based calculations. Interestingly, Au-based ternary halides  
 79 also exhibit rich structural diversity. Recently, Worley et al.<sup>21</sup>  
 80 reported several monovalent  $\text{Au}^{\text{III}}$ -based ternary halides with  
 81 tunable optical band gaps. The hydrated compounds  
 82  $(\text{CH}_3\text{NH}_3\text{AuX}_4 \cdot \text{H}_2\text{O})$  ( $X = \text{Cl}, \text{Br}$ ) show layered structures  
 83 with an optical band gap of 1.41 ( $X = \text{Br}$ ) and 2.12 eV ( $X =$   
 84  $\text{Cl}$ ), whereas nonhydrated  $\text{CH}_3\text{NH}_3\text{AuCl}_4$  shows a corner-  
 85 shared crystal structure with a band gap of 2.14 eV. The  
 86 apparently large band gap of monovalent compounds as  
 87 compared to mixed-valence perovskites could be due to low  
 88 electronic dimensionality, similar to  $\text{Bi}^{\text{III}}/\text{Sb}^{\text{III}}$ -based halide  
 89 perovskites.<sup>9</sup> Here we report the synthesis of organic-  
 90 inorganic hybrid mixed-valence Au-based double perovskites  
 91  $((\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{X}_6, X = \text{Br}, \text{I})$  from a solution processing  
 92 route. Contrary to low-dimensional  $\text{Au}^{\text{III}}$ -based hybrid perov-  
 93 skites, mixed-valence gold perovskites consist of three-dimen-  
 94 sional metal-halide frameworks formed by elongated octahedra  
 95 with  $\text{Au}^{\text{III}}$  and compressed octahedra with  $\text{Au}^{\text{I}}$  (Figure 1).  
 96 These compounds, having direct and smaller band gaps, exhibit  
 97 reasonable optical absorption in the visible region which offers  
 98 new opportunities for lead-free perovskite materials in  
 99 optoelectronic applications.

## RESULTS AND DISCUSSION

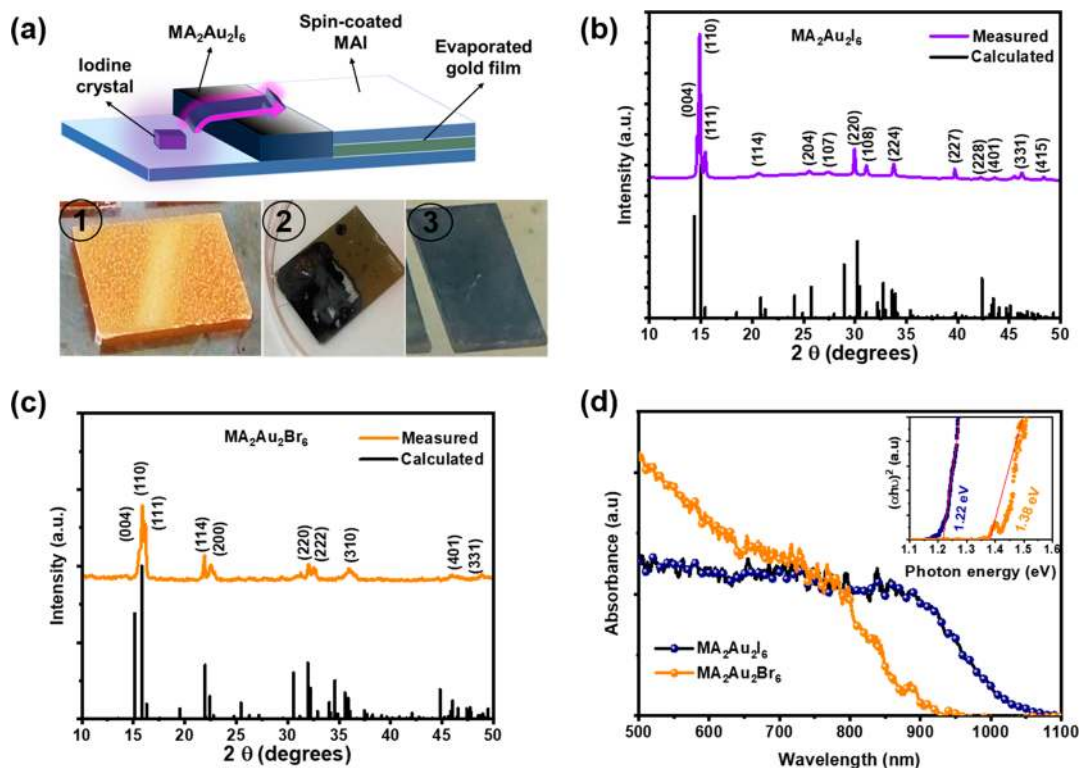
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To prepare single crystals of  $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{I}_6$ , 2 mol of gold 101  
 iodide ( $\text{AuI}$ ) and 1 mol of methylammonium iodide 102  
 $(\text{CH}_3\text{NH}_3\text{I})$  were mixed in 10 mL of aqueous hydroiodic 103  
 acid (48% in  $\text{H}_2\text{O}$ ) solution and heated to 100 °C for 104  
 dissolution. The resulting solution was filtered and kept in an 105  
 oil bath at 100 °C. After slowly cooling to room temperature, 106  
 black cuboid-shaped square crystals of  $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{I}_6$  are 107  
 formed as shown in Figure 1e. For  $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{Br}_6$  single 108  
 crystals, 1 mol of auric bromide ( $\text{AuBr}_3$ ) and 2 mol of 109  
 methylammonium bromide ( $\text{CH}_3\text{NH}_3\text{Br}$ ) were dissolved in 110  
 anhydrous ethanol at 100 °C. The solution was heated in an oil 111  
 bath at 100 °C, and black single crystals were obtained by slow 112  
 cooling of the solution (Figure 1f). It should be noted here 113  
 that the crystals are hygroscopic and readily absorb moisture if 114  
 exposed to ambient atmosphere. 115

Single-crystal X-ray diffraction (XRD) analysis reveals that 116  
 $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{X}_6$  ( $X = \text{Br}, \text{I}$ ) crystallizes into the tetragonal 117  
 perovskite structure (Figure 1a–c), with one of the unit cell 118  
 axes being nearly triple the length of the other two (Table 1). 119  
 The details of the crystal structure refinement data are 120

**Table 1.** Selected Crystallographic Parameters for  $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{X}_6$  ( $X = \text{Br}, \text{I}$ )

	$(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{Br}_6$	$(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{I}_6$
space group (number)	$P4_21_2$ (No. 92)	$P4_21_2$ (No. 96)
$a$ (Å)	7.9115	8.3537
$b$ (Å)	7.9115	8.3537
$c$ (Å)	23.3850	24.6556
$\alpha, \beta, \gamma$	90°	90°
volume (Å <sup>3</sup> )	1463.71	1720.58



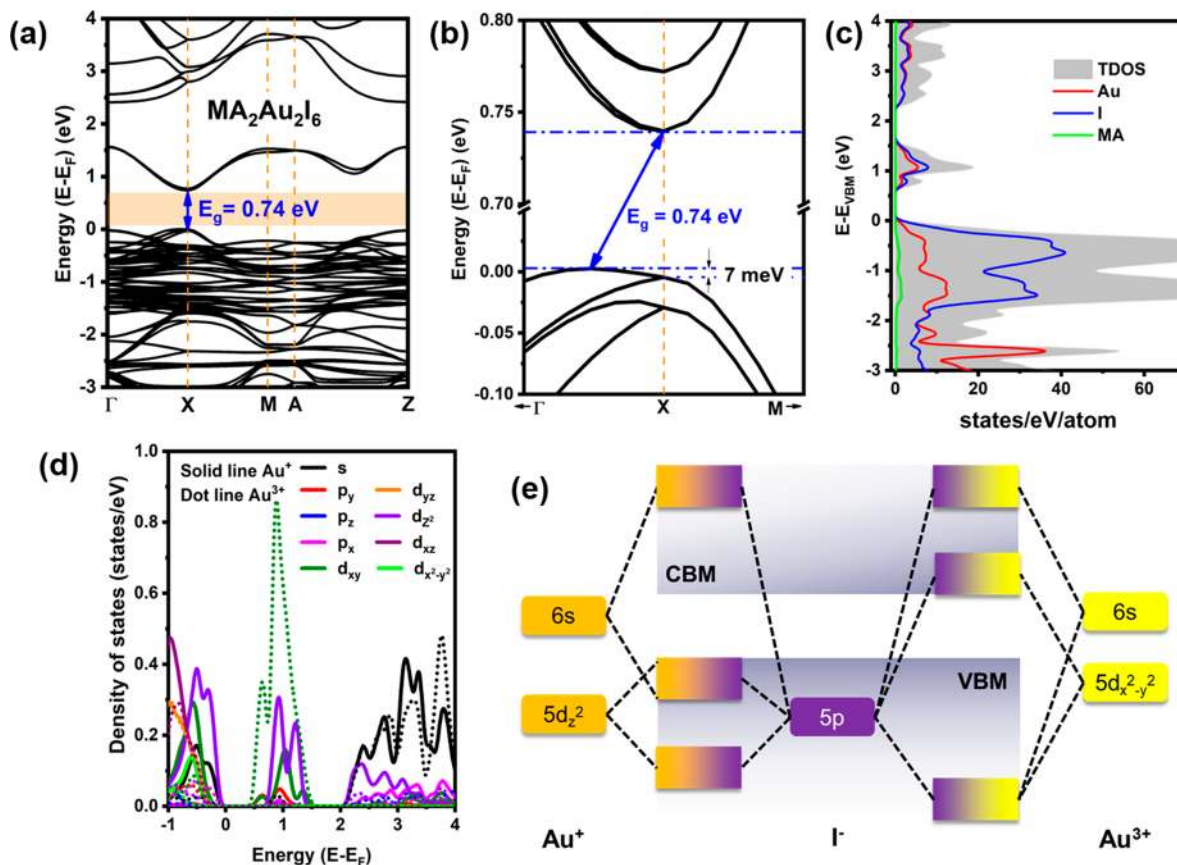
**Figure 2.** Physical and optical characterization of  $\text{MA}_2\text{Au}_2\text{X}_6$  ( $\text{X} = \text{Br}, \text{I}$ ) thin films. (a) Reactive polyiodide melt (RPM) method for synthesis of  $\text{MA}_2\text{Au}_2\text{I}_6$  (bottom: 1, spin coated MAI on top of Au film; 2, partial conversion into  $\text{MA}_2\text{Au}_2\text{I}_6$ ; and 3, complete conversion into  $\text{MA}_2\text{Au}_2\text{I}_6$ ). Grazing-angle X-ray diffraction patterns of (b)  $\text{MA}_2\text{Au}_2\text{I}_6$  and (c)  $\text{MA}_2\text{Au}_2\text{Br}_6$  thin films. (d) UV-vis absorption spectra of Au-halide perovskites (inset: Tauc plot showing the direct nature of the band gaps).

121 provided in Table S1. Similar to conventional perovskite  
 122 structures,  $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{X}_6$  ( $\text{X} = \text{Br}, \text{I}$ ) are comprised of a  
 123 three-dimensional framework of corner-connected haloaurate  
 124 octahedra, with  $\text{CH}_3\text{NH}_3^+$  molecules occupying the cubocta-  
 125 hedral cavities of the framework. Although the Goldschmidt's  
 126 tolerance factor for gold halide perovskites is close to unity  
 127 (see Text 1 in the Supporting Information), the octahedra are  
 128 found to be heavily distorted. This is because, unlike  $\text{AM}^{\text{II}}\text{X}_3$   
 129 perovskites, the gold perovskites are built of stacks of linear  
 130  $[\text{Au}^{\text{I}}\text{X}_2]^-$  and square-planar  $[\text{Au}^{\text{III}}\text{X}_4]^-$  complexes that  
 131 alternate along all three  $[110]$ ,  $[1\bar{1}0]$ , and  $[001]$  directions  
 132 (Figure 1d). The presence of both  $\text{Au}^{\text{I}}$  and  $\text{Au}^{\text{III}}$  in the material  
 133 was confirmed using X-ray photoelectron spectroscopy (XPS)  
 134 (Figure S1).

135 The linear  $[\text{Au}^{\text{I}}\text{X}_2]^-$  units in  $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{X}_6$  ( $\text{X} = \text{Br}, \text{I}$ )  
 136 align perpendicularly to the plane of the  $[\text{Au}^{\text{III}}\text{X}_4]^-$  centers,  
 137 and they are connected to one another through long-range  
 138  $\text{Au}\cdots\text{X}$  bonds. In the former case, this provides compressed  
 139 octahedra, with equatorial  $\text{Au}\cdots\text{X}$  distances (3.15 and 3.28 Å  
 140 for  $\text{Br}^-$  and  $\text{I}^-$ , respectively) that are significantly longer than  
 141 the axial  $\text{Au}-\text{X}$  bond lengths (2.41 and 2.58 Å for  $\text{Br}^-$  and  $\text{I}^-$ ,  
 142 respectively). Conversely, the  $[\text{Au}^{\text{III}}\text{X}_4]^-$  centers form  
 143 elongated octahedra with axial  $\text{Au}\cdots\text{X}$  distances (3.51 and  
 144 3.65 Å for  $\text{Br}^-$  and  $\text{I}^-$ , respectively) that are much longer than  
 145 the equatorial  $\text{Au}-\text{X}$  bond lengths (2.45 and 2.65 Å for  $\text{Br}^-$   
 146 and  $\text{I}^-$ , respectively). The bridging  $\text{Au}^{\text{I}}-\text{I}(\text{Br})\cdots\text{Au}^{\text{III}}$  angle  
 147 (along the  $c$ -axis) of  $167.81^\circ$  ( $166.13^\circ$ ) is slightly bent. This  
 148 distortion is analogous to that reported for the double  
 149 perovskite  $(\text{CH}_3\text{NH}_3)_2\text{KBiCl}_6$ , in which it is attributed to the  
 150 difference between the atomic radius of the  $\text{K}^{\text{I}}$  and that of  
 151 the  $\text{Bi}^{\text{III}}$  ions.<sup>22</sup> Given the large size difference between the  $\text{Au}^{\text{I}}$

and the  $\text{Au}^{\text{III}}$  ions resulting in tilting and rotation of the  
 152 octahedron in addition to different bond lengths between  $\text{Au}^{\text{I}}-$   
 153  $\text{X}$  and  $\text{Au}^{\text{III}}-\text{X}$ , a similar explanation for this distortion in the  
 154  $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{X}_6$  perovskites is likely the case. The  
 155 distortions of the octahedra can be further quantified by the  
 156 octahedral elongation ( $\lambda_{\text{oct}}$ ) and angle variance ( $\sigma^2$ ) as shown  
 157 in Table S2.  
 158

To evaluate the applicability of these materials in thin-film  
 159 optoelectronics, we attempted to fabricate thin films of hybrid  
 160 Au-halide perovskites via a solution processing technique. As  
 161 the auric ( $\text{Au}^{\text{III}}$ ) iodide salt does not exist, we used AuI as the  
 162 gold precursor. Dimethyl sulfoxide (DMSO) was used to  
 163 dissolve the precursor salts, followed by spin coating and  
 164 annealing at  $100^\circ\text{C}$  to fabricate thin films. However, the  
 165 resultant thin films exhibit poor surface coverage, along with  
 166 Au metal as an impurity as shown in the XRD patterns (Figure  
 167 S2). Interestingly, metallic gold is also found to precipitate  
 168 during dissolution of AuI (Figure S2). Even after different  
 169 solvent systems were employed, we were unable to deposit  
 170 uniform thin films of  $(\text{CH}_3\text{NH}_3)_2\text{Au}_2\text{I}_6$  by a conventional  
 171 solution process. This is most probably hindered by the  
 172 formation of metallic gold, which is most likely due to the use  
 173 of a single  $\text{Au}^{\text{I}}$  precursor that disproportionates into  $\text{Au}^0$  and  
 174  $\text{Au}^{\text{III}}$  (see Text 2 in the Supporting Information for details).  
 175 We thus followed the reactive polyiodide melt (RPM)  
 176 method<sup>23,24</sup> to convert metallic gold and MAI into Au-iodide  
 177 perovskites as illustrated in Figure 2a. In brief, a nanoscale  
 178 bilayer of MAI/Au is treated with iodine vapor that results in  
 179 the formation of an  $\text{MAI}_3$  melt which immediately converts the  
 180 underlying Au into an  $\text{MA}_2\text{Au}_2\text{I}_6$  perovskite. The photographs  
 181 in Figure 2a show the gradual conversion of the MAI/Au  
 182



**Figure 3.** Calculated electronic structure of  $\text{MA}_2\text{Au}_2\text{I}_6$  based on the PBE functional within DFT. (a) Electronic band structure of  $\text{MA}_2\text{Au}_2\text{I}_6$  along high symmetry K-path, (b) magnified band gap region, showing a direct–indirect nature of the band gap near the X-point, (c) total and atomic partial density of states (PDOS) of each constituent element near the band edge, (d) PDOS of the d-orbital of two different Au centers (other orbitals are omitted for clarity), and (e) molecular orbital diagram showing hybridizations in  $\text{MA}_2\text{Au}_2\text{I}_6$ .

183 bilayer into the Au-iodide perovskite. **Figure 2b** illustrates the  
 184 thin-film XRD patterns of  $\text{MA}_2\text{Au}_2\text{I}_6$  fabricated via RPM,  
 185 which shows no additional reflection from the Au metal. The  
 186 top morphology of the thin film is shown in **Figure S3a**. On the  
 187 other hand, thin films of  $\text{MA}_2\text{Au}_2\text{Br}_6$  can be deposited by  
 188 conventional solution processing via spin-coating of MABr and  
 189  $\text{AuBr}_3$  dissolved in DMSO. Here, DMSO is most likely acting  
 190 as a reducing agent to partially reduce  $\text{Au}^{\text{III}}$  to  $\text{Au}^{\text{I}}$  and promote  
 191 the formation of  $\text{MA}_2\text{Au}_2\text{Br}_6$  (possible mechanisms are  
 192 highlighted in **Text 2** in the Supporting Information). The  
 193 thin films of  $\text{MA}_2\text{Au}_2\text{Br}_6$ , despite having nonuniform  
 194 morphologies, exhibit pure phase formation without any Au  
 195 metal impurity (**Figure 2c** and **Figure S3b**). The reference  
 196 XRD patterns of hybrid gold perovskites were derived from the  
 197 single-crystal XRD data. Both  $\text{MA}_2\text{Au}_2\text{Br}_6$  and  $\text{MA}_2\text{Au}_2\text{I}_6$  thin-  
 198 film XRD patterns are in good agreement with the single-  
 199 crystal data. Comparing the XRD patterns of the bromide and  
 200 iodide perovskites, all the reflections observed are shifted  
 201 toward lower  $2\theta$  values in bromides, which indicates an  
 202 isostructural crystal structure with reduced lattice constants in  
 203  $\text{MA}_2\text{Au}_2\text{Br}_6$ . The band gaps of the  $\text{MA}_2\text{Au}_2\text{Br}_6$  and  $\text{MA}_2\text{Au}_2\text{I}_6$   
 204 thin films were estimated from ultraviolet–visible (UV–vis)  
 205 absorbance spectroscopy measurements as shown in **Figure 2d**.  
 206 Both compounds show sharp band edges, an indication of a  
 207 direct band gap nature. Using a Tauc plot, the band gap  
 208 energies of 1.22 and 1.38 eV are estimated for  $\text{MA}_2\text{Au}_2\text{I}_6$  and  
 209  $\text{MA}_2\text{Au}_2\text{Br}_6$ , respectively (**Figure 2d**; inset). It should be noted  
 210 here that the small hump in the inset figure is due to the

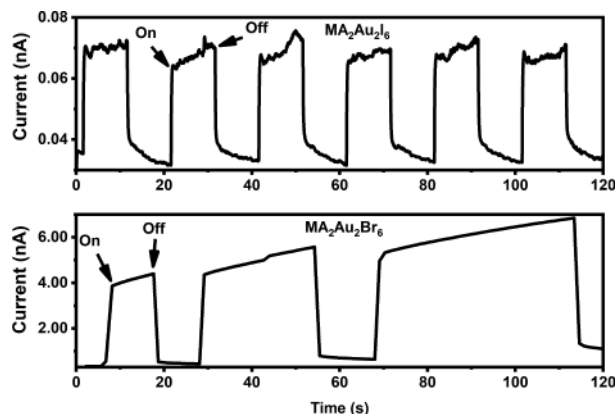
instrumental artifact that arises due to a change in the detector. 211  
 To further confirm the band gap, diffuse reflectance spectroscopy 212  
 was carried out with the spectra shown in **Figure S4a**. 213  
 Importantly, the band gap energies are much lower than those 214  
 of conventional halide double perovskites, indicating that these 215  
 materials are more practical for single junction PV. The low 216  
 band gap of gold perovskites is attributed to the metal–ligand 217  
 intervalence charge transfer (IVCT) between the  $[\text{Au}^{\text{I}}\text{X}_2]^-$  and 218  
 the  $[\text{Au}^{\text{III}}\text{X}_4]^-$  groups.<sup>25</sup> The absorption coefficient, as 219  
 calculated by the formula  $\alpha = 2.303A/t$  (where  $A$  is the 220  
 absorption and  $t$  is the thickness of the film), is estimated to be 221  
 $1 \times 10^3 \text{ cm}^{-1}$  and  $3 \times 10^3 \text{ cm}^{-1}$  for iodide and bromide, 222  
 respectively, which is at least 1 order of magnitude smaller than 223  
 that of  $\text{MAPbI}_3$  (**Figure S4b**). 224

To confirm the nature of the band gap and the electronic 225  
 properties of the hybrid gold perovskites, we calculated the 226  
 electronic band structure using a generalized gradient 227  
 approximation to density functional theory (GGA/DFT) 228  
 using the PBE functional.<sup>26–31</sup> **Figure 3a** illustrates the 229  
 electronic band structure of  $\text{MA}_2\text{Au}_2\text{I}_6$  along high symmetry 230  
 points with an electronic band gap of 0.74 eV. The electronic 231  
 band gap of  $\text{MA}_2\text{Au}_2\text{Br}_6$  was calculated to be 1.04 eV (**Figure** 232  
**S5a**). The calculated band gaps are 0.47 and 0.4 eV smaller 233  
 than experimental the values for the iodide and bromide 234  
 perovskite, respectively, which is expected due to the use of the 235  
 PBE functional. As pointed out by Debbichi et al.,<sup>20</sup> since there 236  
 are no significant differences in the band dispersion based on 237  
 PBE and computationally expensive Heyd–Scuseria–Ernzer- 238

hof (HSE) results for  $\text{Cs}_2\text{Au}_2\text{I}_6$ , we expect similar trends for  $\text{MA}_2\text{Au}_2\text{X}_6$  compounds. Additionally, we also observed similar effects with no change in the band dispersion with the inclusion of spin-orbit coupling (SOC), except the band gap of  $\text{MA}_2\text{Au}_2\text{I}_6$  was further reduced (Figure S6). Hence, for this discussion, we only considered the electronic structure calculated using the PBE functional without SOC consideration. Although the band gap of  $\text{Cs}_2\text{Au}_2\text{I}_6$  is predicted to be direct in nature in previous reports, our calculations reveal that the band gap of  $\text{MA}_2\text{Au}_2\text{I}_6$  is direct-indirect in nature with a difference of 7 meV, which is much smaller than room-temperature thermal energy (Figure 3b). The conduction band minimum (CBM) is located at X, and the valence band maximum (VBM) is located along the X to  $\Gamma$  path (Figure 3b), resulting in a slightly indirect nature of the band gap. Hence, for practical purposes,  $\text{MA}_2\text{Au}_2\text{I}_6$  should behave as a direct band gap material. Similar observations are found in  $\text{MAPbI}_3$  where the direct-indirect nature of its band gap is found to be due to Rashba splitting.<sup>32,33</sup> Figure 3c illustrates the partial density of states (PDOS) plot for each constituent near the band edges. From the analysis of the PDOS, the  $\text{MA}^+$  cation does not contribute near the band edges, a similar feature observed for conventional halide perovskite compounds. The valence band is predominantly comprised of I 5p states with a small contribution from Au 5d orbitals, while the conduction band is dominated by equal contribution from I and Au orbitals. Figure 3d further illustrates a detailed analysis of the PDOS of Au d orbitals. Based on our calculation, we propose a simple molecular orbital diagram of the hybridization in Au-based halide perovskites (Figure 3e). The top of the valence band essentially consists of antibonding orbitals from s and  $d_{z^2}$  orbitals from  $\text{Au}^{\text{I}}$  and I p orbitals. The antibonding nature of the VBM is often associated with defect-tolerant semiconductors, in which the acceptor-type defects form in resonance with the valence band.<sup>34</sup> The intermediate band forms the bottom of the conduction band, which results from the overlap of mainly  $d_{x^2-y^2}$  orbitals of  $\text{Au}^{\text{III}}$  and p orbitals of I, with a small contribution from  $d_{z^2}$  orbitals of  $\text{Au}^{\text{I}}$ . As a result, a parity-forbidden band gap can be associated with the optical transition due to the contribution of  $\text{Au}^{\text{I}}$   $d_{z^2}$  and  $\text{Au}^{\text{III}}$   $d_{x^2-y^2}$  in VBM and CBM, respectively. Although a parity-forbidden band gap is not suitable for a strong absorption coefficient, a strong joint density of states (JDOS), as shown in Figure 3c, results in a reasonable absorption coefficient in the visible range.<sup>20</sup> The top part of the conduction band is formed with equal contributions from the  $\text{Au}^{\text{I}}$  6s and the  $\text{Au}^{\text{III}}$  6s orbitals along with I p. In the bromide case, similar orbital contributions are observed with an increased electronic band gap (Figure S5b). Apart from the absorption coefficient, another key factor that can affect the performance in optoelectronic devices is the carrier mobility. We estimated the effective masses of Au-based halide perovskites by fitting the band dispersion curves to a parabolic function in the vicinity of the X point. The values are provided in Table S3. The relatively low effective mass of electrons ( $0.18 m_0$ ,  $m_0$  is the static electron mass) in the iodide perovskite indicates excellent charge transport properties, and the compound most likely possesses n-type behavior. On the other hand, the electron and hole effective mass in the bromide perovskite are nearly equal ( $0.27m_0$  and  $0.23m_0$  for electron and holes, respectively), which indicates an ambipolar transport behavior. We have further validated the small effective mass using AC Hall measurement techniques. For iodide thin films, the

estimated mobility of  $0.85 \pm 0.26 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  (with N-type majority carriers and  $8.95 \times 10^{17} \text{ cm}^{-3}$  carrier density) is comparable with the mobility of  $\text{MAPbI}_3$ . To shed light on the defect characteristics, a space-charge limited current (SCLC) technique was utilized. As shown in Figure S7, at low bias, the  $I$ - $V$  trace shows an ohmic response, as confirmed by a linear fit. At higher bias, the injected free carrier concentration is still lower than the background carrier concentration, and the transport properties are controlled by trapping and detrapping of carriers, presented as the trap-SCLC region ( $I \propto V^2$ ).<sup>35</sup> With increasing voltage beyond the trap filled limited voltage ( $V_{\text{TFL}}$ ), the current increases sharply ( $I \propto V^5$ ), indicating a trap-filling regime. However, as shown in Figure S6, even with a large applied voltage (123.5 V), the trap-free SCLC region was not obtained. The trap density of the as-grown Au-halide perovskite crystals was estimated by  $V_{\text{TFL}}$  to be  $\eta_{\text{trap}} = 3.35 \times 10^{13} \text{ cm}^{-3}$ , which is at least 2 orders of magnitude higher than conventional  $\text{MAPbI}_3$  single crystals but comparable with established PV semiconductors such as cadmium telluride and copper indium gallium selenide.<sup>36-38</sup>

With promising optical and charge transport properties, we assessed the photoresponse of  $\text{MA}_2\text{Au}_2\text{X}_6$  ( $X = \text{Br}, \text{I}$ ) by characterizing the single crystals using a 2-point probe setup and measuring their current-voltage ( $I$ - $V$ ) characteristics under vacuum, as shown in Figure 4. The transient



**Figure 4.** Transient photoconductivity curves of Au-halide perovskite single crystals under dark and simulated sunlight ( $100 \text{ mW cm}^{-2}$ , AM 1.5). Photogenerated current under simulated white light over time at a fixed voltage of 1.0 V.

photoresponse was measured at a 1.0 V applied bias and indicated an increase in current under illumination. This is consistent with the notion that Au-based halide perovskites can be suitable for PV applications. In a step further, we also attempted the fabrication of perovskite solar cells with Au-based halide perovskites as the absorber layer in a device configuration of  $\text{FTO}/\text{m-TiO}_2/\text{perovskite}/\text{PTAA}/\text{Au}$ , where PTAA (poly(triaryl amine)) and  $\text{m-TiO}_2$  (mesoporous titanium dioxide) were used as the hole- and electron-transport materials, respectively. However, the  $\text{MA}_2\text{Au}_2\text{I}_6$  absorber layer was found to degrade quickly in ambient atmosphere due to the presence of moisture (Figure S8a,b). Being more stable than  $\text{MA}_2\text{Au}_2\text{I}_6$ ,  $\text{MA}_2\text{Au}_2\text{Br}_6$ -based solar cell devices show a rectifying junction with an open-circuit voltage ( $V_{\text{OC}}$ ) of 0.3 V (Figure S7c). The underlying reasons for poor performance of our proof-of-concept solar cell devices can be manifold. First of all, the absorption coefficient is at least one order smaller than

that of MAPbI<sub>3</sub>. This would necessarily require thicker films to absorb the full spectrum of Sun, similar to Si-based solar cells. However, extraction of the excited state carriers from thick films would also require a sufficiently large diffusion length and mobilities. Interestingly, the effective mass in Au-based halide perovskites is quite small, and the carrier mobilities are comparable to those of MAPbI<sub>3</sub>. But the lack of photoluminescence from thin films and single crystals is an indication of the presence of traps within the material. The high background carrier concentrations are also not conducive to high efficiencies. It should be noted here that the films and single crystal degrade quickly in ambient atmosphere, which is another aspect in obtaining poor performance of our solar cells. At this stage, further studies are required to fully assess their potential and limitation of these material systems for photovoltaics. Through a rational examination of synthetic methodologies, precursor stoichiometry, additives, and appropriate device architectures, these low-band-gap Au-based halide perovskites will make their way into optoelectronic applications.

## CONCLUSION

In conclusion, we have synthesized the novel mixed-valence double perovskites MA<sub>2</sub>Au<sub>2</sub>X<sub>6</sub> (X = Br, I) from a solution-processed route, enabled by the in situ disproportionation reaction of the Au ion. The Au-halide perovskites crystallize into a tetragonal crystal structure with connected octahedra in the 3D space. The perovskites display direct and tunable band gaps that can be ideal for optoelectronic applications, and the band gap is approximately 1.0 eV smaller than that of conventional halide double perovskites. The electronic structure calculation further shows reasonably good optoelectronic properties with low carrier effective mass. Although the Au-based halide perovskites are hygroscopic, the single crystals and thin films show no degradation in a controlled environment, thus signifying their high chemical stability. Additionally, the photoresponse of the single crystals, coupled with low trap density, indicates their potential in PV applications.

## EXPERIMENTAL METHODS

**Thin-Film Fabrication.** AuI and AuBr<sub>3</sub> (99.9% pure and brought from Sigma-Aldrich) and MAI and MABr (99% pure and brought from GreatCell Solar) were used as received. For spin-coating MA<sub>2</sub>Au<sub>2</sub>I<sub>6</sub>, stoichiometric amounts of MAI and AuI were dissolved in DMSO and spin-coated at 3000 rpm for 30 s. The films were later annealed at 100 °C for 10 min. For the reactive polyiodide method, around 30 nm Au was thermally evaporated on the desired substrates. The substrates were transferred into an Ar-filled glovebox, where 0.5 M MAI in IPA was spin-coated at 1000 rpm for 30 s. The substrates were treated with I<sub>2</sub> vapor to convert the MAI/Au bilayer into MA<sub>2</sub>Au<sub>2</sub>I<sub>6</sub> thin films. For MA<sub>2</sub>Au<sub>2</sub>Br<sub>6</sub> thin films, stoichiometric MABr and AuBr<sub>3</sub> were dissolved in DMSO and stirred overnight at 40 °C. The precursor solution was spin-coated onto desired substrates in an Ar-filled glovebox and annealed for 120 °C for 10 min.

**Single Crystal Characterizations.** A Bruker Quest CPAD area detector diffractometer (Bruker X8 CCD diffractometer) was used to analyze the single crystals using a  $\mu$ S 3.0 Microfocus Mo K $\alpha$  source ( $\lambda = 0.71073$  Å), at 100 K. The crystal structures were resolved by direct methods and refined using the Bruker SHELXTL-2014 software package.

**Thin-Film Characterizations.** A Bruker D8 Advanced diffractometer (Bragg–Brentano geometry) with Cu K $\alpha$  radiation ( $\lambda = 1.5418$  Å) was used to characterize the thin-film crystal structure at room temperature. The thin-film morphologies were studied using a field emission scanning electron microscope (JEOL, JSM-7600F,

operated at 5 kV). An ultraviolet–visible spectrophotometer (Shimadzu-3600) with an integrated sphere attachment (ISR-3100) and a 20 nm slit width was used for absorption spectroscopy in the wavelength range of 300–1200 nm. The XPS measurements were performed using a Kratos analytical instrument under UHV conditions with a 1486.6 eV monochromated Al K source. The elemental peaks were fitted with a Gaussian–Lorentzian profile with a Shirley background. AC Hall measurement was performed using a rotating parallel dipole line, followed by Fourier spectral analysis and lock-in detection of the Hall signal.<sup>39,40</sup>

**I–V Measurement.** Current–voltage characteristics were measured using a Keithley 4200 SCS, with the single crystals sandwiched between two Au electrodes. The measurements were carried out in the dark and under a vacuum. The nonlinear response was analyzed according to SCLC theory. The trap density was calculated using the following equation:

$$V_{TFL} = \frac{en_t d^2}{2\epsilon\epsilon_0} \quad (1)$$

where  $\epsilon_0$  is the vacuum permittivity,  $d$  is the thickness of the crystal, and  $\epsilon$  is the dielectric constant (113 for MA<sub>2</sub>Au<sub>2</sub>I<sub>6</sub>). The dielectric constant was estimated using the standard capacitance measurement.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.chemmater.0c00345>.

Additional explanation, XRD, and SEM characterizations of thin films and solar cell device data (PDF)

Crystallographic data of MA<sub>2</sub>Au<sub>2</sub>I<sub>6</sub> (CCDC 1989207) (CIF)

Crystallographic data of MA<sub>2</sub>Au<sub>2</sub>Br<sub>6</sub> (CCDC 1989208) (CIF)

## AUTHOR INFORMATION

### Corresponding Author

Nripan Mathews – Energy Research Institute @ Nanyang Technological University (ERI@N), Singapore 637553; School of Materials Science and Engineering, Nanyang Technological University, Singapore 639798; [orcid.org/0000-0001-5234-0822](https://orcid.org/0000-0001-5234-0822); Email: [nripan@ntu.edu.sg](mailto:nripan@ntu.edu.sg)

### Authors

Biplab Ghosh – Energy Research Institute @ Nanyang Technological University (ERI@N), Singapore 637553; [orcid.org/0000-0002-5584-1830](https://orcid.org/0000-0002-5584-1830)

Benny Febriansyah – Energy Research Institute @ Nanyang Technological University (ERI@N), Singapore 637553

Padinhare Cholakkal Harikesh – Energy Research Institute @ Nanyang Technological University (ERI@N), Singapore 637553; [orcid.org/0000-0002-2488-1821](https://orcid.org/0000-0002-2488-1821)

Teck Ming Koh – Energy Research Institute @ Nanyang Technological University (ERI@N), Singapore 637553

Shreyash Hadke – Energy Research Institute @ Nanyang Technological University (ERI@N), Singapore 637553; Interdisciplinary Graduate School, Nanyang Technological University, Singapore 637371; [orcid.org/0000-0003-0964-4841](https://orcid.org/0000-0003-0964-4841)

Lydia H. Wong – Energy Research Institute @ Nanyang Technological University (ERI@N), Singapore 637553; School of Materials Science and Engineering, Nanyang Technological University, Singapore 639798; [orcid.org/0000-0001-9059-1745](https://orcid.org/0000-0001-9059-1745)

465 **Jason England** – Division of Chemistry and Biological  
466 Chemistry, School of Physical and Mathematical Sciences,  
467 Nanyang Technological University, Singapore 637371;  
468 [orcid.org/0000-0002-1525-4105](https://orcid.org/0000-0002-1525-4105)  
469 **Subodh G. Mhaisalkar** – Energy Research Institute @ Nanyang  
470 Technological University (ERI@N), Singapore 637553; School  
471 of Materials Science and Engineering, Nanyang Technological  
472 University, Singapore 639798; [orcid.org/0000-0002-9895-2426](https://orcid.org/0000-0002-9895-2426)  
473

474 Complete contact information is available at:  
475 <https://pubs.acs.org/10.1021/acs.chemmater.0c00345>

## 476 Notes

477 The authors declare no competing financial interest.

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