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Direct observation of dramatically enhanced hole formation in a perovskite-solar-cell material spiro-OMeTAD by Li-TFSI doping

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Electron spin resonance (ESR) spectroscopy of 2,2',7,7'-tetrakis-(N,N-di-p-methoxyphenylamine)9,9'spirobifluorene (spiro-OMeTAD) thin films and perovskite (CH₃NH₃PbI₃)/spiro-OMeTAD layered films are reported. Clear ESR signals (g = 2.0030) were observed by adding a dopant lithium bis(trifluoromethanesulfonyl)imide (Li-TFSI) to the spiro-OMeTAD thin films, which directly showed the spin (hole) formation in spiro-OMeTAD by the Li-TFSI doping. The number of spins in the spiro-OMeTAD thin film has increased by more than two orders of magnitude by the Li-TFSI doping under dark conditions, which demonstrates from a microscopic viewpoint that Li-TFSI has high doping effects for the spiro-OMeTAD thin films. Under simulated solar irradiation, the spin density in the spiro-OMeTAD thin films and the perovskite/spiro-OMeTAD layered films largely increased by the Li-TFST doping due to the formation of long-lived holes in spiro-OMeTAD. The transient responses of the number of photogenerated spins, $N_{\rm spin}$, of the layered films upon the light irradiation showed the increase and the decrease in the $N_{\rm spin}$ due to the hole transfer and recombination at the perovskite/spiro-OMeTAD interface. The states of long-lived holes in the spiro-OMeTAD layers were analyzed using the simulation of the ESR spectra, which reveals the mobile photogenerated holes with a lifetime $>10 \,\mu s$. Published by AIP Publishing. [http://dx.doi.org/10.1063/1.4977789]

Perovskite solar cells are capable of being produced by solution methods and have attracted much attention as a lowcost solar cell.^{1–4} Recently, the power conversion efficiencies of over 20% have been reported, and the study for further efficiency improvement has been actively conducted because of the expectation as a new solar cell to replace inorganic solar cells.^{5,6} The perovskite materials have been used as photovoltaic active layers in the devices, which have shown the light absorption with a wide wavelength region^{7,8} and the photogeneration of free electron and hole carriers without forming excitons.⁹ However, the hole-transporting materials in the devices have not yet been fully investigated, which is also one of the important studies of the perovskite solar cells. As a typical hole-transporting material for the perovskite solar cells, 2,2',7,7'-tetrakis-(N,N-di-p-methoxyphenylamine)9,9'-spirobifluorene (spiro-OMeTAD) has been used.¹⁻⁴ Lithium bis(trifluoromethanesulfonyl)imide (Li-TFSI) has been utilized as a dopant for spiro-OMeTAD;² this doping technique has been employed for the dye-sensitized solar cells.¹⁰ The performance of the perovskite solar cells with spiro-OMeTAD has been reported to be greatly improved by the Li-TFSI doping because of the improvement of the conductivity in spiro-OMeTAD.^{11,12} However, the improvement mechanism of the conductivity in spiro-OMeTAD by the Li-TFSI doping has not yet been fully studied from a microscopic viewpoint, and

the details of the charge states in spiro-OMeTAD have not yet been completely clarified.

For a microscopic investigation of the charge states in organic devices and their constituent materials, electron spin resonance (ESR) spectroscopy is one of the most useful methods.^{13–18} The ESR spectroscopy can identify the molecules where charges with spins exist and can evaluate the absolute value of the number of spins.^{13–18} Thus, it has been considered that the ESR study of the perovskite solar cells and their constituent-material spiro-OMeTAD is important for obtaining the knowledge of the charge states and the improvement mechanism of the device performance. However, such detailed study has not yet been carried out using the ESR spectroscopy.

Here, we report the ESR study of the spiro-OMeTAD thin films and the perovskite/spiro-OMeTAD layered films to investigate the charge states in the constituent materials in the perovskite solar cells. The dramatic enhancement of the ESR signal of the spiro-OMeTAD thin films was observed by the Li-TFSI doping effects. Moreover, the existence of long-lived charge separation due to the perovskite/spiro-OMeTAD interface was confirmed from a microscopic viewpoint. From the Li-TFSI doping effects in spiro-OMeTAD, the improvement mechanism of the electrical conductivity is explained by the fillings of the deep trapping sites in spiro-OMeTAD, leading to the improvement of the device performance.

Nonmagnetic quartz/Al₂O₃ substrates (3 mm \times 20 mm) were utilized to fabricate the samples of quartz/Al₂O₃/spiro-OMeTAD (~100 nm, with or without Li-TFSI) thin films

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and quartz/perovskite (~400 nm)/spiro-OMeTAD (~100 nm, with or without Li-TFSI) layered films for the ESR measurements. In the following, these samples are abbreviated as the spiro-OMeTAD thin film and the perovskite/spiro-OMeTAD layered film, respectively, which were fabricated following the literatures.^{8,19} All samples were naturally oxidized under ambient air conditions outside the glove box after the fabrication. The details of the fabrication process are described in supplementary material. The fabricated samples were sealed in an ESR sample tube with helium gas at 100 Torr after evacuating the tube below 6×10^{-4} Pa. Only the spiro-OMeTAD thin film without Li-TFSI was sealed in a nitrogen-filled glove box. The ESR measurements were carried out with a JEOL RESONANCE JES-FA200 X-band spectrometer under dark conditions or simulated solar irradiation using a Bunkoukeiki OTENTOSUN-150LX solar simulator at room temperature. The number of spins, the gvalue, and the linewidth of the ESR signals were calibrated using a standard Mn^{2+} marker sample.

The ESR spectroscopy is useful to directly and quantitatively investigate the charge formation by doping from a microscopic viewpoint. First, the ESR measurements were carried out for the spiro-OMeTAD thin films to study the Li-TFSI doping effects. Figure 1(a) shows the dependence of the ESR spectra of the spiro-OMeTAD thin film on the Li-TFSI doping. The vertical axis is plotted using a unit of the peak-to-peak ESR intensity of the standard Mn²⁺ marker sample, I_{Mn} . The ESR signal of the spiro-OMeTAD thin film without Li-TFSI was hardly observed because the number of spins was small, less than 2.8×10^{11} . Although the spiro-OMeTAD thin film was naturally oxidized after the fabrication, the doping effect hardly occurred by the natural oxidation. In contrast, a clear ESR signal of the spiro-OMeTAD thin film was observed by the Li-TFSI doping. The obtained ESR parameters were as follows: the g factor of $g = 2.0030 \pm 0.0002$, the peak-to-peak ESR linewidth of $\Delta H_{\rm pp} = 842 \pm 10 \,\mu \text{T}$, and the number of spins of 5.2×10^{13} . The obtained g factor is well consistent with that of the radical species of the spiro-OMeTAD powder samples doped with H-TFSI.²⁰ Notably, the number of spins is increased by more than two orders of magnitude by the Li-TFSI doping. For the doping states of spiro-OMeTAD, the formation of cations of spiro-OMeTAD(TFSI) and dications of spiro-OMeTAD(TFSI)₂ has been discussed.¹² If the cations are formed, the observed ESR signal is reasonably ascribed to the radical cations in the spiro-OMeTAD thin film. If the dications are formed, although the dications of conventional molecules are nonmagnetic, the observed ESR signal may indicate the formation of diradical dications with magnetism in the spiro-OMeTAD thin film, which is most likely due to the torsion of the spiro structure of a spiro-OMeTAD molecule. A zero-field splitting of the ESR signal due to triplet diradicals was not clearly observed at around $g \sim 2$, which may be attributed to the long distance between radical pairs due to the spiro structure mentioned above. Thus, we could not identify the doping states of spiro-OMeTAD whether cations or dications from the ESR signals. We here evaluate the doping concentration of spiro-OMeTAD by Li-TFSI when the radical cations of spiro-OMeTAD(TFSI) or the diradical dications of spiro-OMeTAD(TFSI)₂ are formed. From the

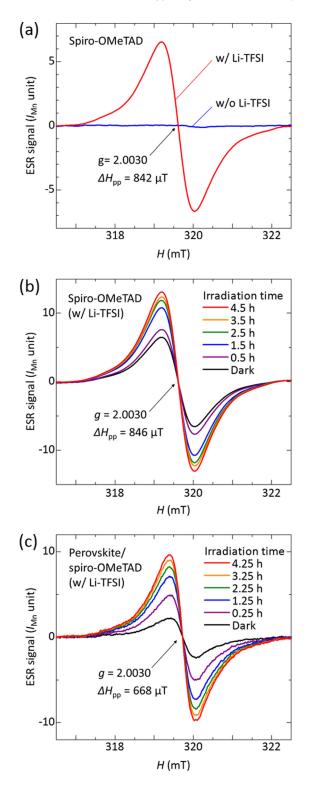


FIG. 1. (a) Dependence of the ESR spectrum of the spiro-OMeTAD thin film on the Li-TFSI doping. ESR measurements were carried out under dark conditions. (b) and (c) The ESR spectra of (b) the spiro-OMeTAD thin film with Li-TFSI and (c) the perovskite/spiro-OMeTAD (with Li-TFSI) layered film for various exposure times to simulated solar light (AM 1.5G) with a 100 mW cm⁻² intensity at room temperature.

volume of the spiro-OMeTAD thin film of 6.0×10^{-6} cm³, the doping concentration per a spiro-OMeTAD monomer is evaluated to be approximately 0.90% and 0.45% from the formation of radical cations and diradical dications, respectively, using the density of the spiro-OMeTAD thin film of 1.82 g cm⁻³.²¹ The Li-TFSI doping effect may be explained

by the formation of lithium oxide because the spiro-OMeTAD layers were naturally oxidized after the fabrication.¹¹

To clarify the formation and the accumulation of the charges under light irradiation, the light-induced ESR measurements were carried out for the spiro-OMeTAD thin films. In our ESR measurements, a continuous-wave method with a modulation frequency of 100 kHz was used for the external magnetic field H^{16} . Thus, the photogenerated charge carriers with a lifetime of $<10 \,\mu s$ cannot be observed using the present ESR method.¹⁶ The observed light-induced ESR signals are due to the photogenerated charge carriers with a lifetime of $>10 \,\mu s$, namely, accumulated (or deeply trapped) photogenerated carriers.¹⁶ Figure 1(b) shows the dependence of the ESR spectra of the spiro-OMeTAD thin film with Li-TFSI on the duration of simulated solar irradiation. The ESR signal gradually and monotonically increased with the increasing duration of simulated solar irradiation. The obtained ESR parameters were $g = 2.0030 \pm 0.0002$ and $\Delta H_{\rm pp} = 846 \pm 10 \,\mu \text{T}$. Since the obtained ESR parameters under the light irradiation are coincided with those obtained under dark conditions shown in Fig. 1(a), the observed charges under the light irradiation are ascribed to the holes (radical cations or diradical dications) of spiro-OMeTAD. Since the band gap of spiro-OMeTAD is 2.94 eV,²² the lightinduced ESR signals originate from the charge separation due to the light absorption in high energy region of simulated solar irradiation. In contrast, the ESR signal of the spiro-OMeTAD thin film without Li-TFSI slightly increased by simulated solar irradiation, and the signal intensity remained as a small constant during the light irradiation. Therefore, we conclude that the Li-TFSI doping remarkably enhances the formation and the accumulation of long-lived charges due to the charge separation in the spiro-OMeTAD thin films under the light irradiation.

Since the hole transfer at the interfaces between perovskite and spiro-OMeTAD is a very interesting issue, the lightinduced ESR measurements were carried out for the perovskite/spiro-OMeTAD layered films. Figure 1(c) shows the dependence of the ESR spectra of the perovskite/spiro-OMeTAD (with Li-TFSI) layered film on the duration of simulated solar irradiation. The increase in the ESR signal with the increasing duration of the light irradiation was observed. The ESR parameters were obtained as $g = 2.0030 \pm 0.0002$ and $\Delta H_{\rm pp} = 668 \pm 10 \,\mu \text{T}$. The g factor is consistent with that of the holes of spiro-OMeTAD mentioned above. The lightinduced ESR signal due to perovskite was not identified at room temperature, which may indicate the absence of longlived charges in perovskite and/or the no detection of the ESR signal due to the rapid spin relaxation of charges in perovskite at room temperature.^{9,16,22}

To investigate the transient response of the ESR signals upon the light irradiation, the variation of the number of spins was evaluated. The number of photogenerated spins, N_{spin} , was evaluated by integrating the light-induced ESR spectrum twice and by comparing with the standard Mn²⁺ marker sample; the light-induced ESR spectrum was obtained by subtracting the ESR spectrum under dark conditions from that under simulated solar irradiation or after the light irradiation. Figure 2 shows the transient responses of the N_{spin} of the spiro-OMeTAD thin films and the

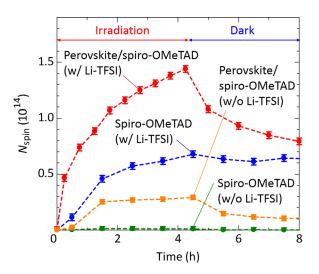


FIG. 2. Transient responses of the number of spins, $N_{\rm spin}$, due to photogenerated holes in spiro-OMeTAD on the duration of simulated solar irradiation for the perovskite/spiro-OMeTAD (with Li-TFSI) layered film (red circles), the perovskite/spiro-OMeTAD (without Li-TFSI) layered film (orange circles), the spiro-OMeTAD thin film with Li-TFSI (blue circles), and the spiro-OMeTAD thin film without Li-TFSI (green circles).

perovskite/spiro-OMeTAD layered films. The N_{spin} of the spiro-OMeTAD thin film without Li-TFSI slightly increased by the light irradiation. After the light irradiation, the $N_{\rm spin}$ rapidly decreased to almost zero. In contrast, the $N_{\rm spin}$ of the spiro-OMeTAD thin film with Li-TFSI monotonically increased by the light irradiation. This result shows that the Li-TFSI doping of the spiro-OMeTAD thin film enhances the formation of long-lived charges. Under dark conditions after the light irradiation, the $N_{\rm spin}$ hardly decreased and showed an almost constant value. Notably, the N_{spin} of the perovskite/spiro-OMeTAD (with Li-TFSI) layered film largely increased under the light irradiation compared with the case of the spiro-OMeTAD thin film with Li-TFSI. This increase is rationally ascribed to the hole transfer at the perovskite/spiro-OMeTAD interfaces, followed by the formation of long-lived charges with a long lifetime of >10 μ s. Also, the Li-TFSI doping is likely to enhance the hole transfer yield at the perovskite/ spiro-OMeTAD interfaces on the 10 μ s time scale because the N_{spin} of the perovskite/spiro-OMeTAD (with Li-TFSI) layered film showed a larger increase compared with the case without Li-TFSI under the light irradiation (see Fig. 2). This result is consistent with that obtained from the studies using the time-resolved microwave conductivity that have quantified the hole transfer yields from the perovskite to polymer or molecular hole-transport layers within a few microseconds.^{24,25} The $N_{\rm spin}$ under dark conditions after turning off the light irradiation greatly decreased. This decrease is reasonably attributed to the recombination of the long-lived charges at the perovskite/spiro-OMeTAD interface. However, all photogenerated spins did not recombine; approximately half of the maximum $N_{\rm spin}$ remained at 4 h later after turning off the light irradiation. Since the remained $N_{\rm spin}$ value of the layered film is almost the same as that of the spiro-OMeTAD thin film with Li-TFSI at 4 h later after turning off the light irradiation, the origins of these spins are likely the same with each other.

We now turn to a discussion of the origin of the remained spins in the spiro-OMeTAD thin film with Li-TFSI and the perovskite/spiro-OMeTAD (with Li-TFSI) layered film after the light irradiation. For the doping of the spiro-OMeTAD thin films, spiro-OMeTAD(TFSI)₂ has been demonstrated to be effective for enhancing the hole conductivity in spiro-OMeTAD, where the formation of spiro-OMeTAD(TFSI) in addition to spiro-OMeTAD(TFSI)₂ has been discussed.¹² Thus, the observed signals in this study may originate from the holes (cations or dications) of spiro-OMeTAD.¹² When the hole is formed in spiro-OMeTAD by the light irradiation, the anion and/or the dianion of spiro-OMeTAD may be formed due to the photogeneration of hole and electron pairs. In this study, however, the ESR signal due to anions and/or dianions of spiro-OMeTAD were hardly observed. One possible reason for the hard formation of anions and/or dianions is due to the electron transfer from spiro-OMeTAD to complexes of Li⁺ and H₂O, as explained below.^{22,26} The spiro-OMeTAD has a shallow lowest unoccupied molecular orbital (LUMO) level of -2.28 eV.²² Also, it has been discussed that Li-TFSI has a strong interaction with water molecules resulting in the formation of the complexes of Li^+ and H_2O^{26} . Thus, the electrons formed by the light absorption in spiro-OMeTAD may be trapped in the Li^+ -H₂O complexes, forming the ESR-silent species of H₂ and OH⁻. Another possibility is the electron transfer from spiro-OMeTAD to adsorbed O2 in the films, forming oxygen radical anions O₂⁻. The ESR signals due to adsorbed O₂ were not observed because the resonance magnetic fields were out of the measured H^{27} Also, the ESR signals due to oxygen radical anions O2⁻ cannot be observed at room temperature because of the broadening of the ESR linewidth resulting from the fast relaxation due to the orbital rotation of $O_2^{-28,29}$ In both cases, since the electrons trapped in the complexes and/or adsorbed O₂ are hardly mobile and hardly contribute to the recombination, the holes in spiro-OMeTAD may remain without the recombination with the electrons.

It is interesting to analyze the motion of the long-lived holes formed in spiro-OMeTAD in detail. The analysis was performed with a fitting calculation using the Lorentzian and Gaussian functions. When a spin is mobile, the ESR lineshape is expressed by a Lorentzian function. When a spin is static, the ESR lineshape is expressed by a Gaussian function. For the spiro-OMeTAD thin films with Li-TFSI, the ESR lineshape was found to hardly change upon the light irradiation. This result indicates that the states of most of the long-lived holes hardly change upon the light irradiation. In contrast, for the perovskite/spiro-OMeTAD (with Li-TFSI) layered films, the ESR lineshape changed by the light irradiation. Figure S1 of supplementary material shows the fitting analysis for the light-induced ESR spectrum of the perovskite/spiro-OMeTAD (with Li-TFSI) layered film. Here, the blue open circles show the observed light-induced ESR spectrum, the orange and green dashed lines show the ESR spectra of the Lorentzian and Gaussian components, respectively, and the red solid line show the sum of these components. The g factors for the Lorentzian and Gaussian components were obtained as $g = 2.0032 \pm 0.0003$, respectively. Table I shows each evaluated ratio of the N_{spin} of the Lorentzian and Gaussian components to the total $N_{\rm spin}$. The light-induced TABLE I. The ratio of the N_{spin} of each component obtained from the fitting analysis for the ESR spectra of the perovskite/spiro-OMeTAD (with Li-TFSI) layered film under dark conditions (ESR under dark), the lightinduced ESR (LESR) spectrum under the light irradiation for the duration between 4.0 and 4.5 h (LESR under irradiation), and the LESR spectrum under dark conditions after turning off the light irradiation for the duration between 3.5 and 4.0 h (LESR under dark after irradiation off).

ESR spectrum for fitting analysis	Ratio of N _{spin} (%) for Lorentzian comp.	Ratio of <i>N</i> _{spin} (%) for Gaussian comp.
ESR under dark	76	24
LESR under irradiation	84	16
LESR under dark after irradiation off	77	23

ESR spectrum under the irradiation shows a larger ratio of the Lorentzian component compared with those of the other ESR spectra. Also, the ESR spectrum under dark conditions and the light-induced ESR spectra under dark conditions after turning off the irradiation show a similar ratio for the Lorentzian and Gaussian components. Therefore, these results demonstrate the increase in the ratio of the mobile long-lived holes with a long lifetime of >10 μ s in the spiro-OMeTAD films by the irradiation, which is ascribed to the hole transfer due to the perovskite/spiro-OMeTAD interfaces.

The long-lived holes in the spiro-OMeTAD films after the light irradiation may be important to understand the origins for hysteresis, degradation of the short circuit current after long-time irradiation, and durability, which are often observed in the perovskite solar cells using spiro-OMeTAD with Li-TFSI doping. To discuss the relation between longlived holes and such characteristics, we fabricated the cells by the similar methods used for this study. Figure S2 of supplementary material shows the current density (J)-voltage (V) characteristics of a fabricated cell. We observed a hysteresis behavior and a lower performance under a forward sweep compared with that under a backward sweep, which may be ascribed to the motion of the long-lived holes in the spiro-OMeTAD film. Figure S3 of supplementary material shows the durability of the solar-cell parameters. All parameters decreased monotonically as the duration of the simulated solar irradiation increased. The decrease in J_{sc} may be attributed to the carrier scattering due to the formation of the long-lived holes in the spiro-OMeTAD film. The decrease in $V_{\rm oc}$ may be related to the formation of electric dipole layers due to long-lived charges at the perovskite/spiro-OMeTAD interface. The further investigation into the correlation between the charge states and the device performance using ESR spectroscopy is currently in progress and will be reported in a separate paper.

In summary, we performed the ESR study of the spiro-OMeTAD thin films and the perovskite/spiro-OMeTAD layered films, where spiro-OMeTAD were undoped or doped with Li-TFSI, and analyzed the states of long-lived charges in the materials from a microscopic viewpoint. For the spiro-OMeTAD thin films, the dramatic increase in the ESR signal due to the hole formation in spiro-OMeTAD was observed by the Li-TFSI doping. The increase in the doping effects was also observed under simulated solar irradiation. For the perovskite/spiro-OMeTAD (with Li-TFSI) layered films, the increase in the number of the long-lived holes was observed under the light irradiation, which showed the increase in the ratio of the mobile long-lived holes. The remained holes after turning off the light irradiation were ascribed to the increase in the Li-TFSI doping effects under the light irradiation. Thus, the variation of the charge states in the perovskite solar-cell materials under the light irradiation is demonstrated by the ESR analysis at a molecular level. Such knowledge would be important for understanding of the device operation and the deterioration mechanism of the perovskite solar cells.

See supplementary material for the details of the sample fabrication, the fitting analysis, and the device characteristics of the perovskite solar cells.

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