

## Disaster-Resilient Networking: A New Vision Based on Movable and Deployable Resource Units

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### Citation:

Toshikazu Sakano, Zubair Md. Fadlullah, Thuan Ngo, Hiroki Nishiyama, Masataka Nakazawa, Fumiyuki Adachi, Nei Kato, Atsushi Takahara, Tomoaki Kumagai, Hiromichi Kasahara, Shigeki Kurihara, "Disaster-Resilient Networking: A New Vision Based on Movable and Deployable Resource Units," IEEE Network Magazine, vol. 27, no. 4, pp. 40-46, Jul.-Aug. 2013.

### URL:

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# Disaster Resilient Networking - A New Vision based on Movable and Deployable Resource Units (MDRUs)

Toshikazu Sakano<sup>1</sup>, Zubair Md. Fadlullah<sup>2</sup>, Tomoaki Kumagai<sup>1</sup>, Atsushi Takahara<sup>1</sup>, Thuan Ngo<sup>2</sup>, Hiroki Nishiyama<sup>2</sup>, Hiromichi Kasahara<sup>3</sup>, Shigeki Kurihara<sup>4</sup>, Masataka Nakazawa<sup>2</sup>, Fumiyuki Adachi<sup>2</sup>, and Nei Kato<sup>2</sup>

NTT Network Innovation Laboratories, Yokosuka, Japan<sup>1</sup>,

Tohoku University, Sendai, Japan<sup>2</sup>,

NTT Communications Corp. Tokyo, Japan<sup>3</sup>, and Fujitsu Corp. Tokyo, Japan<sup>4</sup>.

**Abstract**—During the “great east Japan earthquake” on March 11, 2011, a lot of information and communications technology (ICT) resources such as telecom-switching offices, optical fiber links, and so forth were completely or partially damaged due to the tremor and the resultant tsunami. As a consequence, the demand for ICT services explosively increased, mainly because the people of the affected areas were trying desperately to communicate with the outside world that led to a phenomenal rise in the network traffic. In the Nippon Telegraph and Telephone (NTT) East Corporation alone, 385 telephone offices stopped operating immediately following the earthquake because of the power outages and the disruption of facilities. Approximately 1.5 million users were cut off from using fixed-line telephone service. The demand for fixed-line and mobile telephone services jumped up to ten to fifty times than usual. This gave rise to a serious traffic congestion, and the emergency ICT networks and services could not deal with this issue sufficiently. This article proposes a network architecture, which is resilient even under the devastating disasters by effectively exploiting especially designed “Movable and Deployable Resource Units”, which we refer to as MDRUs. An MDRU having the ability to accommodate communication and information processing functions can be rapidly transported or moved to the disaster zone, and can be deployed within a reasonably short time to establish the network at the disaster site and launch ICT services. The concept and configuration of the network architecture based upon MDRU and its features are described in the article. Some preliminary simulation results are also reported to evaluate the performance of our adopted MDRU based disaster resilient network.

**Index Terms**—Disaster area network, Wireless Mesh Network (WMN), Movable and Deployable Resource Unit (MDRU).

## I. INTRODUCTION

On March 11, 2011, the “great east Japan earthquake” and the tsunami hit a wide area of the eastern part of Japan. Most social infrastructures, such as transportation, electric power, gas, water, and telecommunication services suffered serious damages due to the tremor and tsunami, that isolated the inhabitants of the disaster-affected areas from the rest of the world. The aftermath of the event raised serious concerns, which require urgent consideration and resolution, especially in the information and communication technology (ICT) sector. Quite a few ICT resources such as the telecom-switching offices, the optical fiber links, and the base stations for mobile services were either completely lost or partially damaged, which resulted in the outage or the serious quality-degradation of the much required ICT services. At the same time, the

demand for ICT services explosively increased just after the event since the people of the affected zones were desperately seeking to communicate with friends and family members both inside and outside of the disaster-stricken areas. The ICT traffic burst was also caused by the urgent need of information acquisition on the damage, and the communications for direction and management in the critical situation among the government, private companies, and other organizations. These situations caused serious traffic congestion in both the fixed-line and mobile telephone services. The Nippon Telegraph and Telephone (NTT) group, one of the major telecom carriers in Japan, paid a great deal of effort in order to recover from the damaged network immediately after the earthquake, and it succeeded in restoring its network services in a significantly short period of time (i.e., within approximately a month and a half). However, the restoration time was far from meeting the expectation and requirement of the people living in the affected areas. People recognized the importance of the ICT network as one of the major social infrastructures that needs to be resilient even under catastrophic disaster scenarios. The realization of resilient network is, therefore, placed as a critical issue, which needs urgent resolution.

NTT has long been prepared for such disasters with the accumulated lessons learned from the previous disasters. Installation of container type temporal switching systems for telephone services is one of the technologies developed by NTT to combat the ICT damage in the advent of floods. Such systems were applied to replace the flooded switching offices and restore the services in approximately one to two weeks. The handling of traffic congestion was still a major issue to be resolved to retain the ICT functionality as a social infrastructure. In order to deal with this and other critical issues on the ICT services, the Ministry of Internal Affairs and Communications (MIC) in Japan launched a national project involving industry and academia. Through the collaboration of research teams from NTT, NTT Communications, Tohoku University, and Fujitsu, a disaster resilient network is proposed in this article that is based upon a transportable ICT node, which we refer to as “Movable and Deployable Resource Unit” (MDRU). Our presented work demonstrates the basic technology of the MDRU, and shows how it can be effectively exploited to quickly formulate disaster resilient networks as part of the national project in Japan. An MDRU accommodates

communication equipment, servers and storages, power supply equipment, and air-conditioner or other cooling systems. The proposed architecture makes it possible to promptly construct the ICT network in the damaged area by transporting the MDRUs to a disaster-affected area and by interconnecting it to the network components like customer premise equipment, optical fibers, and so forth. The architecture can be applied to large-scale disaster areas to effectively meet the critical ICT service demands.

The remainder of the article is organized as follows. The related research work is presented in Sec. II. The requirements for disaster resilient network are derived in Sec. III. Sec. IV proposes and describes the MDRU architecture as the candidate to meet the requirements. Some preliminary results are provided and discussed in Sec. V. Finally, concluding remarks are presented in Sec. VI.

## II. RELATED RESEARCH WORK

NTT has been carrying out research for developing measures for preventing communication interruptions caused by natural disasters for a long time [1]. In the work in [1], important lessons learned from previous major disasters (e.g., the Tokachi-oki earthquake in 1968, the Los Angeles earthquake in 1971, the Asahikawa office fire in 1975, the Miyagi prefecture tremor in 1978, Nagasaki Prefecture heavy rains in 1982, and so forth) were used to outline disaster countermeasures implemented at NTT. The disaster countermeasures included the concept of improvement of network reliability, reinforcement of communication facilities, prevention of communication isolation of cities, towns, and villages, and rapid restoration of communication services. However, the March 2011 earthquake and tsunami in Japan raised new concerns and challenges to promptly formulate disaster resilient communication networks.

Sugino [2] presented the summary of the damages of the great east Japan earthquake and tsunami in March 2011. The damages to the telecommunication network in terms of service disruption, network traffic congestion, and base station blackouts were discussed in [2]. This revealed that 1.9 million fixed telephone lines and 29 thousand cellular base stations had been damaged. According to [2], the emergency restoration took one month while full restoration needed 11 months. The emergency restoration within the first month used rerouting and temporary replacement of damaged equipment in the core network. The work stressed upon the fact that communication networks must be more resilient both on short-term and long-term basis. In particular, research and development (R&D) on portable communication processing facility based on resource units within a substantially short period (e.g., one hour) was recommended to combat widespread damage to communication infrastructure due to disasters.

## III. CHALLENGING REQUIREMENTS FOR DISASTER RESILIENT NETWORK

Table I demonstrates the information of ICT network infrastructure damages due to the devastating earthquake and tsunami in Japan in 2011 that were reported by NTT East. Just after the event, people attempted to use their mobile

TABLE I  
DAMAGES OF ICT NETWORK INFRASTRUCTURE DUE TO THE DISASTER  
(NTT EAST'S CASE).

Item	Damages
Traffic at peak	Approximately 9 times larger than usual
Failed buildings	385
Out-of-service subscriber lines	1.5 million
Time for service restoration	90 days (excluding nuclear power plant area and evacuated area)
No. of equipment damaged	
Trunk lines	90 routes (excluding power plant area)
Communication buildings	16 collapsed, 12 flooded
Telephone poles	28 thousand (coastal area)
Cables on the poles	2,700 km (coastal area)

phones and/or other ICT devices for safety confirmation of their family, friends, assets, and so forth. Companies and local/national governments also rushed to use ICT services to gather the information of the damages and victims in the disaster area, and to retain command and control channel. Therefore, the demand for telephone service and other ICT services significantly jumped up. In case of the fixed-line telephone service, the demand reached nine times higher than usual. At the same time, the number of subscriber lines that were out of service reached 1.5 million just after the event. Furthermore, telecom carriers restricted the performance of switching systems to avoid system crashes caused by the traffic congestion. These statistics suggest that the potential demand for telephone and other ICT services should be much higher than the reported one, especially in the disaster area. 385 communication buildings failed and other equipment such as trunk lines, telephone poles, and cables on the poles suffered serious damages. NTT mobilized 6,500 personnel to restore the services. Thanks to their hard work, it took only about 50 days to almost restore the services and the network including the failed 385 communication buildings and other equipment. It was, surprisingly, a short period of time given the scale of the catastrophic damage. However, a much shorter restoration time is required to fulfill the service demand and the social expectation of ICT services, which explosively increased after the event.

In order to come up with the solution for this issue, we propose a network architecture based on a transportable ICT node, which we refer to as "Movable and Deployable Resource Unit" or MDRU. The MDRU is a transportable container, which accommodates modularized equipment for networking, information processing, and storage. Once a disaster occurs, MDRUs are transported to the damaged area. The interconnections between the MDRUs and the backbone networks can quickly establish network access and provide ICT services. The network architecture based on MDRUs enables us to promptly restore the network in the damaged area and to provide the ICT services that are urgently required in the disaster situation.

Fig. 1 demonstrates the concept of how to compensate the reduced capacity due to the disaster by deploying MDRUs.

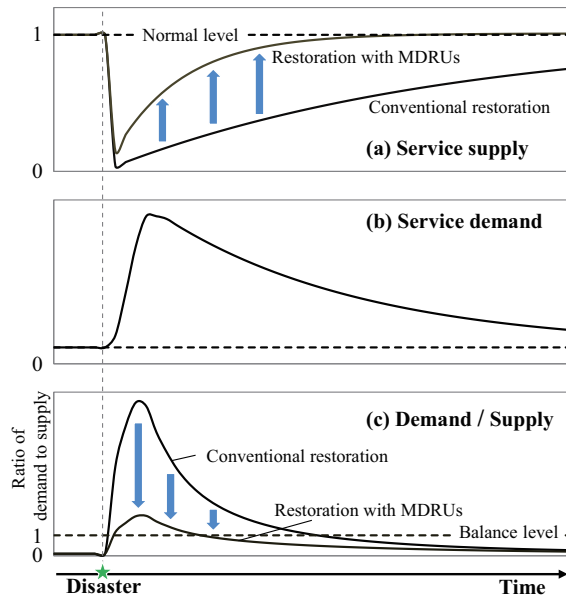


Fig. 1. Concept of how to compensate the reduced capacity due to the disaster by deploying MDRUs.

The figure consists of three graphs: (a) ICT service and/or resource supply trend after a large-scale disaster, (b) trend of ICT service demand after the disaster, and (c) trend of the gap between the supply and the demand which is defined by the ratio of the demand to the supply. As shown in the figure, once a devastating disaster occurs, ICT service and/or resource supply goes down to a very low level due to the damages of ICT resources, the outage of electric power, and other reasons. The supply level then goes up gradually because of the restoration effort devoted by people in the ICT sector. After the earthquake, NTT along with other telecom carriers experienced an explosive increase of ICT service demand. People sought to use ICT services for safety confirmation of family, relatives, friends and their assets. Moreover, the government, private companies and other societies attempted to use ICT service for their management. Therefore, the demand in and around the damaged area remarkably increased. Fig. 1(c) expresses the ratio of ICT service demand to supply. If the ratio is equal to one, the supply and the demand are the same level. In this case, the ICT resource usage efficiency becomes 100%. On the other hand, if the ratio is greater than one, the demand will surpass the supply, and the traffic congestion will occur. In our proposed architecture, the ICT resource accommodated in an MDRU is brought to the disaster area instantly after the disaster and accelerates the restoration speed. This urgent installation of ICT resource to the damaged area helps to keep the demand/supply ratio around or below one, and thus alleviates the critical situation caused by traffic congestion.

#### IV. ENVISIONED ARCHITECTURE BASED UPON MDRUS

In this section, we describe the overview of the architecture based upon MDRUs, the process of network restoration using our proposed scheme in contrast with the conventional restoration techniques [3]–[6], and the specifications of an MDRU.

Fig. 2 depicts the system overview of the MDRU-based architecture. It comprises three layers, namely network facility, network, and platform layers. Thus, each MDRU comprises modular functionalities, which are required in disaster resilient networks [7], [8]. In the network facility layer, MDRUs can be transported by helicopters, vehicles, or any other ways to the disaster area. Each MDRU is a container or box, which accommodates equipment for ICT services such as switches/routers, wired/wireless transmitters/receivers, servers, storage devices, power distribution unit, and air conditioner. Once an MDRU is deployed to the disaster area, the electrical power is fed to it from available power sources such as available power lines, on-premise generators, battery, and power supply vehicles. The MDRU, then, forms a wireless access network around it to reach customer premise equipment. Wired access through Ethernet cables is also available near the evacuation sites. One of the lessons learned from the “great east Japan earthquake” is that optical fiber cables installed under the ground are robust to earthquakes and tsunamis. After the great disaster, most optical fibers under the ground were not damaged even in the tsunami affected areas. The MDRU uses such “surviving” optical fibers to retain bandwidth to the metro core and nationwide networks. Wired and/or wireless interconnections, which are chosen depending on the situation, form the MDRU network to retain the service coverage in the disaster area. Network layer consists of switches, routers, servers, storage devices, and other ICT equipment to offer the networking and information processing/storage functions. Virtualized technologies for network and processing can be applied to efficiently utilize the physical resources. The platform layer offers various applications for ICT services that are demanded in the disaster area. The most commonly demanded applications after disasters are supported. They include real-time communication, data communication, and information processing/storage services. The MDRU network is installed in the disaster area where it is usually difficult to assign engineers for installation, operation, and maintenance. The abilities to monitor the status of the network and to control it remotely are indispensable for making the network resilient. Therefore, the MDRU network has remote operation and maintenance functions so as to minimize the number of engineers and workloads.

One of the key advantages of the network architecture is the speed to install the network and to launch ICT services in the disaster area [9]. Fig. 3 shows the objective of the MDRU-based network architecture and the process from the occurrence of disaster to the availability of services in the damaged area. The upper and lower flows express the processes of the restoration based on a conventional transportable switching system and the restoration based on the proposed architecture, respectively. In the conventional restoration technique, the process starts at the time of disaster occurrence as shown in the upper flow in the figure. The first step is to transport the portable switching system to the disaster area. After that, the system is set up and electric power is installed. The next step is to establish connections to the transport and access networks. After the physical line establishment, trunk lines are set up to make the connections to the customer premise equipment.

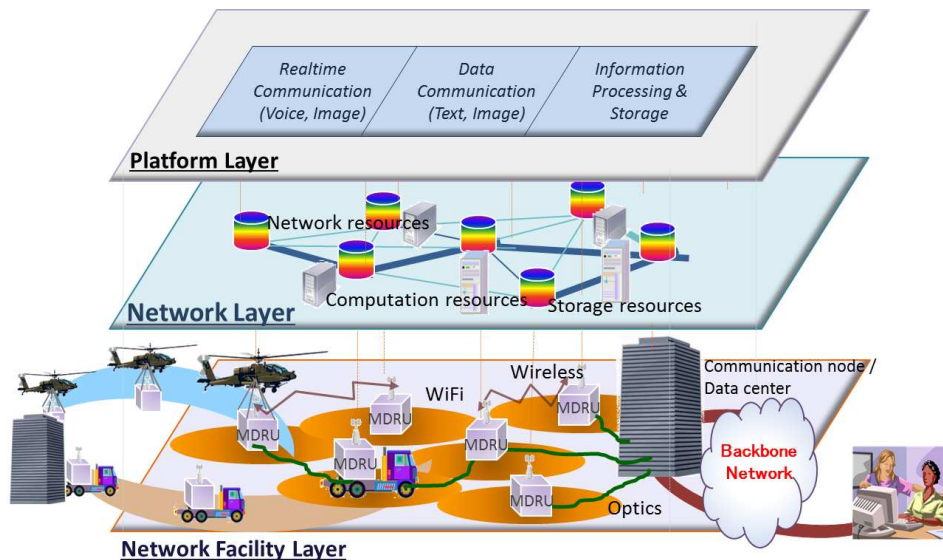


Fig. 2. Considered system overview.

Applications and data are installed for the equipment in the system, and then services are provided to users. With the conventional restoration using portable switching system, it usually takes a week to ten days from the event to start providing services. This time span is still far from the expectation of people in and around the disaster area. Therefore, the proposed network architecture based on MDRUs attempts to reduce the restoration period to a few days. This shortening of service delivery time must contribute to making not only the network resilient, but also the services on the network meet the demand in critical situations. The proposed architecture has many features, which shorten the service delivery time. MDRUs have portability, which enables us to use a wide variety of transportation, such as helicopters, trucks, ships, and so forth. It is secured since the functions inside each MDRU are modularized and virtualized. The collaboration of wired and wireless interconnections makes the installation of the network flexible. The application of high speed optical transmission technology, which is based on digital coherent technology by using the unscathed under-ground optical fibers, is promising to urgently establish the interconnections between the backbone network and MDRUs in a cost effective way. Since a large amount of bandwidth (e.g., over 40Gbps) is available only by splicing one or a pair of optical fiber(s), the time and cost for these interconnections become quite small. Once the MDRUs are set up in the disaster area, they create network access. Wireless technologies like WiFi and Fixed Wireless Access (FWA) are applied to the network. Network configuration and software/data installation for the services are also performed. These steps are arranged optimally to make the overall duration from the disaster occurrence to the timing of providing services to be within a few days. NTT Corp., Tohoku University, NTT Communications Corp., and Fujitsu Corp. launched a collaborated R&D project to establish fundamental technologies of the proposed architecture under a national project supported by MIC of Japan.

Fig. 4 summarizes the typical specifications of an MDRU which we aim at using in the project. The first prototype

of the MDRU is under development and is scheduled to be deployed in Tohoku University for field trial by March 2013. The system using multiple helicopter-portable MDRUs is also under design. The prototype is scheduled to be completed by 2015, supported by MIC. The key features of our initially envisioned MDRU are as follows. It can configure the network within a few days, it has a service coverage of 500 meters in radius, and it can provide network for 5,000 users. These features work effectively to realize the concept described in the previous section. In summary, the features of our proposed system comprises the following features.

- The concept of movable and quickly deployable resource units referred to as MDRUs.
- Facilitating the MDRU deployment in an easily-handled fashion.
- Utilizing quasi-millimeter wave band FWA system or surviving optical fiber as the backhaul network.
- Cooperation amongst MDRUs to cover affected areas dynamically.
- Establish hybrid networks comprising mesh and ad hoc networks.

Note that each of the above features has been designed for the MDRU to be more scalable to cater to the needs of a significantly high number of users in the disaster area. In contrast with conventional portable switches or network equipment, an MDRU offers a large-scale moving platform with realtime communication, information processing and storage, and so forth (as shown in Fig. 2) to facilitate disaster-resilient communication.

## V. PRELIMINARY RESULTS

In this section, the preliminary results of the proposed MDRU-based approach are presented for evaluation. The simulation is constructed using Qualnet 5.1. We base the simulation on the case study in Tagajo city, which has the densest population in Miyagi prefecture, Japan, and was seriously affected by the March 11th earthquake and tsunami in 2011.

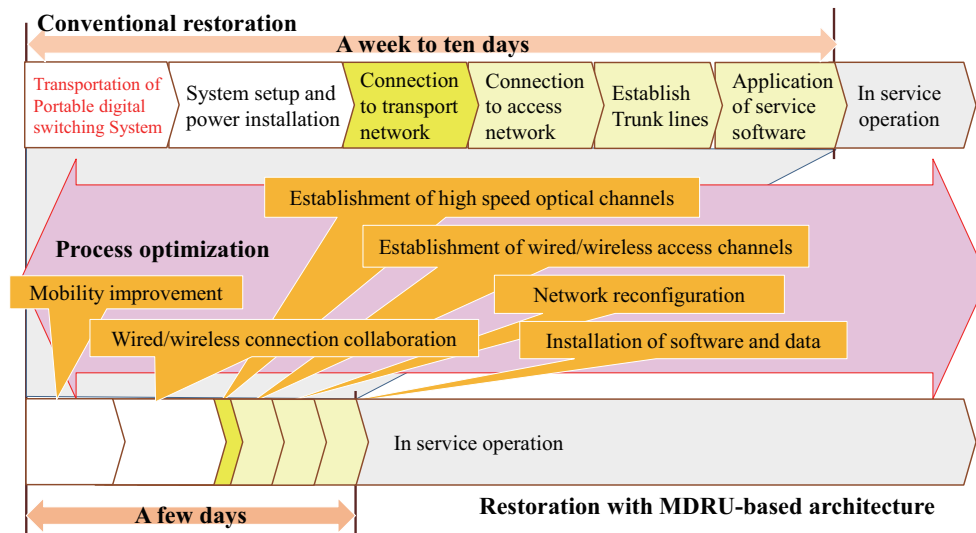


Fig. 3. The objective of the MDRU architecture.

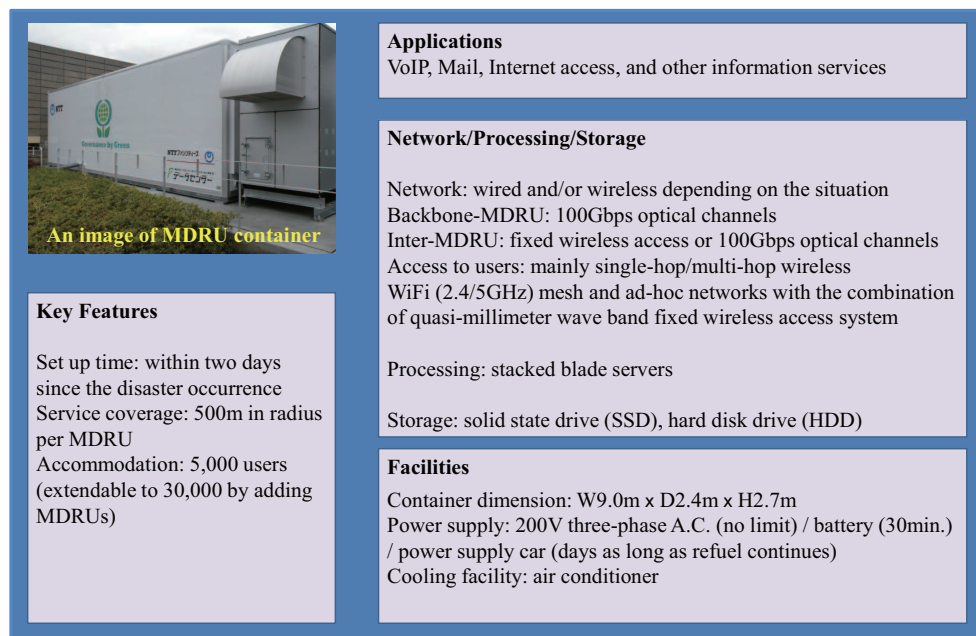


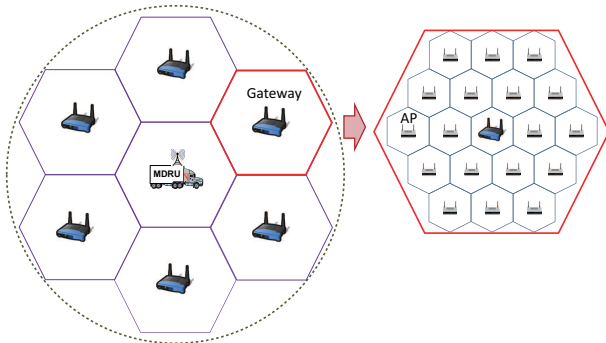
Fig. 4. The specifications of a typical MDRU.

The city has an estimated population of 61,621 (updated on June 30, 2012) and a total area of 19.65km<sup>2</sup>. The considered scenario is shown in Fig. 5(a). As mentioned in the previous section, each MDRU has a service coverage of 500 meters in radius. We divide the coverage area of the MDRU into 7 hexagonal cells. Each cell has a gateway at its center. Since the MDRU connects to all the gateways inside its coverage via quasi-millimeter wave band FWA system, we can consider that there is no bottle-neck in the connections between the gateways and the MDRU. Therefore, in order to evaluate the throughput of the network, we evaluate the throughput at each gateway. In other words, the network inside one cell is simulated.

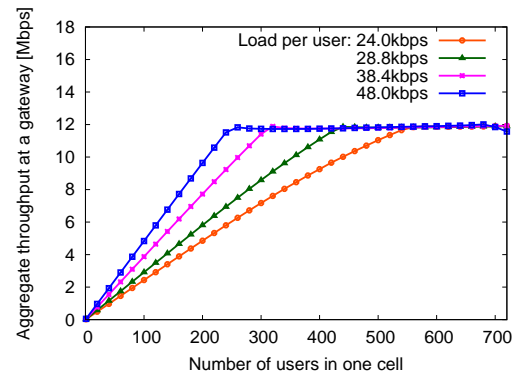
In the conducted simulation, each cell has 18 access points (APs) connecting to the center gateway via 802.11a 5GHz channel. These links have the physical data rate of 18Mbps.

One channel is used for AP-gateway links. Based on the density of Tagajo city, each cell has approximately 400 users on average. However, as each MDRU is designed to accommodate 5,000 users (i.e., each cell has approximately 720 users), the number of users is varied from 1 to 720 in our conducted simulation. These users connect to the APs via 802.11g 2.4GHz. The physical data rate of user-AP links are set to 48Mbps. To simulate the worst case scenario, the number of channel is set to one. We assume that all the users simultaneously send data to the gateway with the same load. The data packet size is set to 1,200 bytes, which corresponds with the maximum transmission unit size of a typical wireless network. Free space path-loss model is considered and the 802.11 RTS/CTS (Request to Send / Clear to Send) mechanism is used in the simulation.

After running the simulation with different values of load



(a) Considered scenario with 7 hexagonal cells in the coverage of one MDRU.



(b) Throughput at a gateway with different loads from the users.

Fig. 5. Preliminary results based on the case study in Tagajo city.

per user, we obtained the results as shown in Fig. 5(b). The results are the average values calculated after running 25 different simulation scenarios. The confidence level of these results is 95%. As demonstrated in this figure, the aggregate throughput at the gateway is bounded by the theoretical maximum throughput (i.e., 12.18Mbps for data packet size of 1,200 bytes and data rate of 18Mbps [10]). As a result, although the aggregate throughput can keep the upper bound value when the number of users is considerably high, the throughput per user decreases. In fact, when the number of users is significantly higher, the network throughput decreases with regard to the number of users. However, with the maximum 720 users per cell, the aggregate throughput at the gateway, still, remains approximately 12Mbps.

When the load from each user does not exceed 28.8kbps, 440 users per cell can send packets to the gateways at the same time without any packet loss. In other words, with the population density in Tagajo city, all the users are able to simultaneously access the Internet with the load up to 28.8kbps. In some places having relatively lower density of population, each user can send packets with even higher traffic loads. On the other hand, in the comparatively higher population density areas, the network can still provide Internet access but with lower shared load for users (e.g., 24.0kbps for 560 users). Thus, the results, in this simulation, indicate that if we design the recovery network as mentioned in the considered scenario, each cell in the MDRU-covered network is able to effectively provide connectivity to a significantly high number of users.

## VI. CONCLUSION

This paper proposed a disaster resilient network architecture based on Movable and Deployable Resource Units or MDRUs. The lesson learned from the great east Japan earthquake suggested is that alleviating the gap between the demand and supply of ICT services, which caused serious traffic congestion after disasters, is a critical issue that needs to be solved. The proposed MDRU-based architecture is a promising candidate to solve this problem. In this article, we described the system architecture, the specifications of an MDRU, the concept of how the system solves the problem, and the restoration process using MDRUs. We also introduced some preliminary results

achieved by using simulation based on a case study in Tagajo city in northeast Japan. The simulation results demonstrated that each cell in the MDRU-covered network successfully provides connectivity to a reasonably high number of users.

## ACKNOWLEDGMENT

A part of the work in this paper is from “the R&D on the reconfigurable communication resource unit for disaster recovery,” and “research and development of “Movable ICT-Units” for emergency transportation into disaster-affected areas and multi-unit connection,” both supported by the Ministry of Internal Affairs and Communications.

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## BIOGRAPHIES

**Toshikazu Sakano** received his B.E., M.E., and Ph.D. degrees in electronics engineering from Tohoku University, Sendai, Japan, in 1985, 1987, and 1998, respectively. In 1987, he joined Nippon Telegraph and Telephone Corporation (NTT) Laboratories, Yokosuka, Japan, and is now a senior research engineer and supervisor there. Since joining NTT Labs., he has been active in several research and development fields including optical signal processing for high-performance computer systems, Super-High-Definition (SHD) imaging system, photonic network architectures, and large capacity optical transmission systems. He received the Young Engineer Award from the Institute of Electronics, Information and Communication Engineers (IEICE) of Japan in 1995.

**Zubair Md. Fadlullah** (IEEE Member) received B.Sc. degree with Honors in computer sciences from the Islamic University of Technology (IUT), Bangladesh, in 2003, and M.S. and Ph.D. degrees from the Graduate School of Information Sciences (GSIS), Tohoku University, Japan, in 2008 and 2011, respectively. Currently, he is serving as an Assistant Professor at GSIS. His research interests are in the areas of smart grid, network security, intrusion detection, game theory, and quality of security service provisioning mechanisms.

**Tomoaki Kumagai** received his B.E., M.E., and Ph.D. degrees from Tohoku University, Sendai, Japan, in 1990, 1992, and 2008, respectively. He is currently a senior research engineer and supervisor in the Network Innovation Laboratories, NTT. Since joining NTT in 1992, he has been engaged in research and development of personal communication systems and high-speed Wireless Local Area Network (WLAN) systems. He received the Young Engineer Award from IEICE in 1999.

**Atsushi Takahara** received his doctor of engineering degree in computer science from the Tokyo Institute of Technology, Japan. In 1988, he joined NTT. He has worked in the research of LSI design CAD system, Programmable device design, Programmable Network node architecture and flow based traffic control. Between 2003 to 2008, he worked as the director of service and operation of visual communication service in NTT BizLink. From 2008 to 2010, he has been the executive manager of Media Innovation Laboratory, NTT Network Innovation Laboratories. He has led new generation network architecture research and new application for 4K beyond high resolution media technologies. Since 2011, he is the executive director of NTT Network Innovation Laboratories. His research interests are visual communication technology, new generation network architecture, and formal method for system design.

**Thuan Ngo** received the M.S. degree in information sciences from Graduate School of Information Sciences (GSIS), Tohoku University, Japan, in 2011. His research interests are in the areas of mobile ad hoc networks. He received the Gold Medal of World Intellectual Property Organization for Best Young Inventor in 2009, and the IEEE VTS Japan Paper Award in 2010.

**Hiroki Nishiyama** (IEEE Member) is an Associate Professor at the Graduate School of Information Sciences (GSIS) at Tohoku University, Japan. He was acclaimed with the best paper awards in many international conferences including the IEEE WCNC 2012 and the IEEE GLOBECOM 2010. He was also a recipient of the IEICE Communications Society Academic Encouragement Award in 2011, and the 2009 FUNAI Foundation's Research Incentive Award for Information Technology.

**Hiromichi Kasahara** received his bachelor degree from the University of Tokyo in 1986. Then, he joined the Nippon Telegraph and Telephone Corporation (NTT). NTT sent him to ENST: Ecole Nationale Supérieure des Telecommunications in France. He received his masters degree in 1992. After the reorganization of NTT in 1999, he was in charge of developing Voice over Internet Protocol (VoIP) solutions. He is now chief director of Grand design office Service Infrastructure Division of NTT Communications.

**Shigeki Kurihara** received his masters degree from the Saga University, Japan, in 1991. Then, he joined the Fujitsu Limited, and he engaged in the planning, development, and maintenance of telecommunications equipment and systems. He is now the director of the Core Network Department in the Network Integration Business Unit.

**Masataka Nakazawa** received his Ph.D. degree from the Tokyo Institute of Technology in 1980. Then, he joined the Electrical Communication Laboratory of Nippon Telegraph and Telephone public corporation (now NTT). He was a visiting scientist at MIT in 1984-1985. In 2001, he became a professor of the Research Institute of Electrical Communication at Tohoku University, and was promoted to a Distinguished Professor. He is now the director of the Institute. He published more than 430 papers and presented 260 international conference talks. He was the president of Electronics Society of the IEICE and the Board member of Optical Society of America. Dr. Nakazawa received many awards including the IEEE Electronics Letters Premium Award, IEEE Daniel E. Noble Award, IEEE Quantum Electronics Award, OSA R. W. Wood Prize, and Thomson Scientific Laureate. He is a Fellow of the IEEE, OSA, IEICE, and JSAP.

**Fumiyuki Adachi** (IEEE Fellow) is a Distinguished Professor of Communications Engineering at the Graduate School of Engineering, Tohoku University, Japan. His research interest is in the area of wireless signal processing and networking. He has published over 500 papers in journals and conference proceedings. He is also an IEICE Fellow. He was recipient of numerous prestigious awards including the Prime Minister Invention Prize 2010.

**Nei Kato** (IEEE Fellow) has been a full professor at GSIS, Tohoku University, since 2003. He has been engaged in research on satellite communications, computer networking, wireless mobile communications, smart grid, image processing, and pattern recognition. He has published more than 300 papers in peer-reviewed journals and conference proceedings. He is a distinguished lecturer of IEEE Com-Soc, and a Fellow of IEICE. He currently serves as the Chair of the IEEE Satellite and Space Communications Technical Committee, and the Vice Chair of the IEEE Ad Hoc & Sensor Networks Technical Committee. His awards include Minoru Ishida Foundation Research Encouragement Prize (2003), Distinguished Contributions to Satellite Communications Award from the IEEE Communications Society, Satellite and Space Communications Technical Committee (2005), the FUNAI Information Science Award (2007), the TELCOM System Technology Award from Foundation for Electrical Communications Diffusion (2008), the IEICE Network System Research Award (2009), the IEICE Satellite Communications Research Award (2011), the KDDI Foundation Excellent Research Award (2012), IEICE Communications Society Distinguished Service Award (2012), IEEE GLOBECOM Best Paper Award (twice), IEEE WCNC Best Paper Award, and IEICE Communications Society Best Paper Award (2012).