

Distinct genomic signatures of adaptation in pre- and postnatal environments during human evolution

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The human genome evolution project seeks to reveal the genetic underpinnings of key phenotypic features that are distinctive of humans, such as a greatly enlarged cerebral cortex, slow development, and long life spans. This project has focused predominantly on genotypic changes during the 6-million-year descent from the last common ancestor (LCA) of humans and chimpanzees. Here, we argue that adaptive genotypic changes during earlier periods of evolutionary history also helped shape the distinctive human phenotype. Using comparative genome sequence data from 10 vertebrate species, we find a signature of human ancestry-specific adaptive evolution in 1,240 genes during their descent from the LCA with rodents. We also find that the signature of adaptive evolution is significantly different for highly expressed genes in human fetal and adult-stage tissues. Functional annotation clustering shows that on the ape stem lineage, an especially evident adaptively evolved biological pathway contains genes that function in mitochondria, are crucially involved in aerobic energy production, and are highly expressed in two energy-demanding tissues, heart and brain. Also, on this ape stem lineage, there was adaptive evolution among genes associated with human autoimmune and aging-related diseases. During more recent human descent, the adaptively evolving, highly expressed genes in fetal brain are involved in mediating neuronal connectivity. Comparing adaptively evolving genes from pre- and postnatal-stage tissues suggests that different selective pressures act on the development vs. the maintenance of the human phenotype.

fetal | human disease | mitochondria | placenta | thyroid

Even before the sequencing of the human genome (1, 2) it was realized that a human genome evolution project was needed to gain a better understanding of the genetic underpinnings of the distinctive human phenotype (3). With the current availability of human, chimpanzee, rhesus monkey, and other vertebrate genome sequences, the proposed human genome evolution project is now well underway. So far in pursuing this project, most efforts have focused on identifying adaptive genotypic changes that occurred in relatively late human ancestors (4–6). However, some of humankind's most striking features arose from changes that occurred more anciently in our evolutionary heritage (7). For example, bipedalism would not have been effective without the development of upright posture in the common ancestor of all living apes with the advent of the mobile shoulder joint (8). Similarly, it has been suggested that the relatively extended intrauterine fetal life characteristic of humans (9) benefited from the development of a villous type of maternal–fetal placental interdigitation in an ancestor of Primates (10).

An additional important dimension to consider is the environment in which selection occurs. Placental mammals exist in two primary environments during their lifetimes that may select for different adaptive changes: the prenatal and postnatal. Thus the prenatal environment, with its maternal fostering of fetal development, could favor a different course of adaptive evolution than

postnatal environments, when individuals face a much broader range of external challenges. Furthermore, to shape complex morphologies or physiologies, the targets of natural selection may have been not just individual genes but, rather, networks of genes comprising specific biological pathways.

In light of these considerations, the present study was directed at identifying the positively selected genetic changes that may have helped to shape key, distinctive (and in some cases unique) phenotypic features of humans. We first identified genes that have been the targets of positive selection during human evolutionary history, broadly defined to encompass the lineage that descended from the last common ancestor (LCA) of primates and rodents to present day humans (Fig. 1A). After exclusion of the genes that were also targets of positive selection in rodent descent, the remaining genes were referred to as human ancestry-specific (HAS). We next identified highly expressed HAS genes from human tissues representing pre- and postnatal stages of development (11): fetal brain, adult brain, fetal liver, adult liver, fetal lung, adult lung, fetal thyroid, adult thyroid, placenta, adult uterus, and adult heart (Fig. 1B). For the purpose of this study, postnatal is defined as the age of the individual tissue samples, which were exclusively adult.

For each HAS gene, we identified period(s) exhibiting the signature of positive selection. Also, we determined whether the chosen tissues show functionally distinct sets of highly expressed HAS genes. Functionally distinct sets are here defined as those genes with annotations (*i*) that are overrepresented when compared with the annotations assigned to all of the genes in the human genome and (*ii*) that are functionally clustered together into particular biological pathways by using the DAVID algorithm (12). We find that HAS genes group into functionally distinct sets of overrepresented annotation clusters. Among the functional annotation clusters (FACs), those consisting of highly expressed HAS genes in specific tissues provide insights into the adaptive molecular changes underlying the morphologies or physiologies of these tissues.

Results and Discussion

HAS Genes in Recent vs. Ancient Periods of Human Ancestry. A pattern of HAS adaptive evolution was identified for 1,692 RefSeq mRNA IDs for which 1,240 unique genes could be assigned. This number, although larger than some previous reports of other whole-genome

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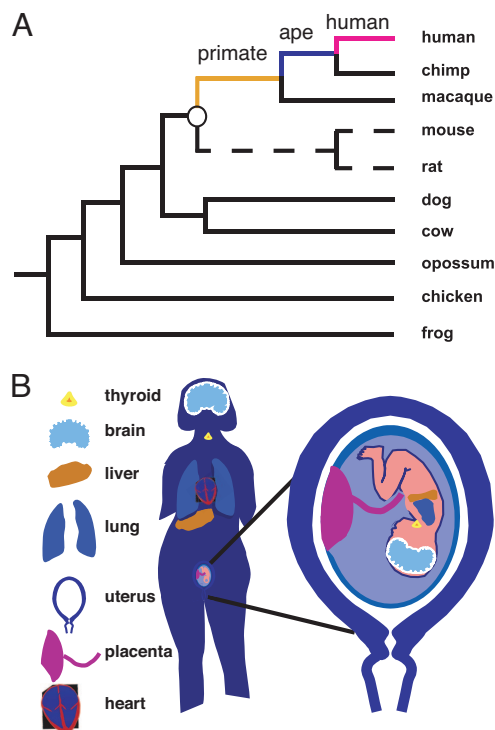


Fig. 1. Experimental design. (A) Phylogenetic tree showing the taxa sampled in the present study. Open circle indicates the LCA of rodents and primates. HAS lineages are indicated in color: yellow, primate stem lineage; blue, ape stem lineage; magenta, human terminal lineage. Dashed lines indicate rodent lineages. A gene was retained on the HAS list if it showed $dN/dS > 1$ on any HAS lineage(s) and $dN/dS < 1$ on all rodent-specific lineages. (B) Tissues analyzed in the present study. (Left) Postnatal tissues. (Right) Prenatal tissues.

scans of positive selection (4, 5, 13–17), reflects our broader definition of human evolutionary history, defined as the descent of humans since the time of their LCA with rodents. FACs determined from the full HAS list identified glycoprotein, glycosylation site: N-linked (GlcNAc. . .) and disulfide bond as the annotations in the most enriched cluster (enrichment score = 26.32, Table 1). This finding demonstrates that adaptive changes in the posttranslational modification of membrane and secreted proteins are among the major evolutionary transformations during human ancestry.

FACs were also identified for the 585 HAS genes on the human terminal, the 546 HAS genes on the ape stem, and the 188 HAS genes on the primate stem. Eighty-two HAS genes also showed a

signature of selection on the chimpanzee terminal, but only 25 showed their signature of selection on both the human and chimpanzee terminals and on no other, earlier period of shared human and chimpanzee ancestry. A complete listing of FACs for all HAS genes, and for each branch of human ancestry, is provided in supporting information (SI) Tables 3–6.

The top FACs comprise distinctive sets of annotations and indicate that different biological pathways became the targets of positive selection during successive periods of humankind's evolutionary history. For example, aerobic energy production pathways were particularly strong targets of selection on the ape stem, as evidenced by that period's annotations of mitochondrion and oxidative phosphorylation for HAS genes. Similarly, immune system functions appear to have been strong targets of selection on the primate stem, as evidenced by annotations of cytokine activity and immune response for HAS genes. Although some annotations do appear more than once during different periods of human evolutionary history (e.g., transmembrane, glycoprotein) only a small number of genes underlying these annotations are shared between the different periods (SI Table 7). This suggests that the adaptive changes that occurred during earlier periods set the stage for selection of different sets of adaptive changes during the later evolutionary periods, even within the same biological pathway. Additional analyses in which all HAS genes are clustered according to only disease-related annotations support the finding that earlier periods of human evolutionary history also play a role in "maladaptive" human phenotypes, particularly with respect to autoimmune and longevity-related disease (SI Results).

Highly Expressed HAS Genes. The top FACs for all highly expressed genes from each of the 11 tissues, and the subset of those that are also adaptively evolving, are presented in Table 2. Among the 1,240 HAS genes, 287 appeared at least once on the lists of genes highly expressed in the 11 studied tissues. In all four adult-stage tissues with a fetal counterpart, and in the uterus/placenta comparison, the annotations comprising the top clusters of highly expressed HAS genes are overrepresented to a greater extent (as indicated by enrichment scores) than in the prenatal-stage tissue. Furthermore, when considered in aggregate, enrichment scores from the top three clusters of highly expressed HAS genes from all five adult tissues are significantly different from the enrichment scores determined for the top three clusters in the five predominantly fetal tissues (Mann–Whitney U test = 45; $P = 0.006$, two-tailed test). When heart is added to the adult-stage tissues, the significant difference from fetal tissues remains (Mann–Whitney U test = 52; $P = 0.003$). These greater adult enrichment scores are in contrast to the more variable pattern of enrichment scores obtained for all highly expressed genes for which certain tissues (e.g., thyroid, lung) show higher enrichment in the fetal stage and others (e.g., whole

Table 1. Functional annotation clustering of HAS genes in total and by period of descent

Period of descent	Total no. of genes	Top three functional annotation clusters			
		Cluster	Enrichment score	No. of annotations	No. of genes
Total HAS list	1,240	Glycoprotein	26.32	3	289
		Olfaction	18.68	21	273
		Transmembrane	18.33	6	346
Human terminal lineage	585	Olfaction	19.07	25	207
		Transmembrane, glycoprotein	13.62	10	201
		Interferon regulatory factor	1.77	3	3
Ape stem lineage	546	Transmembrane	6.92	6	143
		Mitochondrion	4.9	4	32
		Oxidative phosphorylation	4.11	8	34
Primate stem lineage	188	Signal	7.31	3	50
		Cytokine activity	4.36	6	16
		Immune response	3.31	10	38

and Infectious Diseases-administered bioinformatics resource that provides a comprehensive set of functional annotation tools designed to assist investigators in understanding the biological meaning behind large sets of genes. Several DAVID tools identify enriched or overrepresented biological annotations within a given set of genes, usually determined in relation to the proportion of similar annotations for an entire genome. For the purpose of this study, results were obtained with the FAC tool, which clusters similar annotations based on the cooccurrence of particular gene sets. The tool also calculates an associated enrichment score for each cluster based on the geometric mean of the *P* values determined for each of its component annotations. The κ similarity threshold was set to 0.7 to identify annotation sets that shared strong agreement among their underlying genes. Other options were set to their default values.

FAC results of the HAS list, and the subset of HAS genes that were highly expressed in each tissue, were obtained in April and May 2007. All text, tables, and figures report results in terms of DAVID genes. *P* values from DAVID analyses

referred to in the text are reported in terms of the modified Fisher-exact *P* value implemented by the DAVID tool (12). Cluster titles indicated in Tables 1 and 2 were obtained by selecting the overrepresented annotation that conveyed the broadest biological meaning from among the top three overrepresented annotations found in the cluster.

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