

# Distributed Channel Assignment and Routing in Multi-radio Multi-channel Multi-hop Wireless Networks

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**Abstract**—In this paper, we first identify several challenges in designing a Joint Channel Assignment and Routing (JCAR) protocol in heterogeneous Multi-radio Multi-channel Multi-hop Wireless Networks (M<sup>3</sup>WN) using commercial hardware (e.g., IEEE 802.11 Network Interface Card (NIC)). We then propose a novel software solution, called Layer 2.5 JCAR, which resides between the MAC layer and routing layer. JCAR jointly coordinates the channel selection on each wireless interface and route selection among interfaces based on the traffic information measured and exchanged among two-hop neighbor nodes. Since interference is one of the major factors that constrain the performance in a M<sup>3</sup>WN, in this paper, we introduce an important Channel Cost Metric (CCM) which actually reflects the interference cost and is defined as the sum of expected transmission time weighted by the channel utilization over all interfering channels (for each node). In CCM, both the interference and diverse channel characteristics are taken into account. An expression for CCM is derived in terms of *equivalent fraction of air time* by explicitly taking the radio heterogeneity into consideration. Using CCM as one of the key performance measures, we propose a distributed algorithm (heuristic) that produces near optimal JCAR solution. To evaluate the efficacy of our heuristics, we conduct extensive simulations using the network simulator NS2. To demonstrate implementation feasibility, we conducted various experiments for the proposed distributed JCAR algorithm on a multi-hop wireless network testbed with 9 wireless nodes, each is equipped with single/multiple 802.11 a/g cards. Both experimental and simulation results demonstrate the effectiveness and implementation easiness of our proposed software solution.

*Keywords*-Channel Assignment, Routing, Multi-radio, Multi-hop, Wireless network

## I. INTRODUCTION

Due to their low costs, ease of deployment, increased coverage, and enhanced capacity (e.g., via spatial reuse), multi-hop wireless networks such as mesh networks that utilize inexpensive and readily available 802.11 wireless interfaces are touted as the new frontier of wireless networking. Multiple orthogonal channels are defined in IEEE standards [1,2], e.g., there are 3 orthogonal channels for 802.11b and 13 for 802.11a. These orthogonal channels provide the feasibility for interference mitigation among nearby wireless access networks. Meanwhile, with cheaper hardware of diverse wireless technologies, it is expected that many mobile devices may be equipped with more than one radio (wireless NIC). Therefore, these devices may construct a Multi-radio Multi-channel Multi-hop Wireless Network (M<sup>3</sup>WN). In reality, if there are multiple radios on some nodes, it is most likely that these radios are

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This work is finished when Jie Chen was visiting MSRA as an intern at Wireless& Networking group.

heterogeneous. For example, a node can have an UltraWide-Band (UWB) radio and an 802.11 radio, or an 802.11a radio and an 802.11g radio simultaneously.

Many attractive and promising features of  $M^3WN$  motivate us to consider how to efficiently leverage the feature of multi-radio and multi-channel to conquer/reduce the wireless interference that widely exists in classical multi-hop wireless networks. To effectively mitigate interference, both routing and channel assignment (CA) should be carefully designed. Here, routing selects the path from the source to the destination for connections, and thus assigns traffic to each radio and link, while CA determines the channel which a radio interface should use. It is apparent that CA and routing are coupled in  $M^3WNs$ , as discussed below.

On one hand, CA determines the connectivity between radios since two radios can communicate with each other only when they are on a common channel, and therefore CA determines the network topology. As we know, the routing decisions are made based on the network topology. Thus, CA has a direct impact on routing. On the other hand, as we will show later in the next section, to achieve better result, CA should be dynamically adjusted according to the traffic status, which is determined by a routing algorithm. Therefore, routing and CA are tightly coupled.

Based on this observation, in this paper, we argue that CA and routing should be jointly optimized to improve the performance of  $M^3WNs$ . Moreover, such a joint CA and routing (JCAR) algorithm should be performed by each and every node in a distributed and cooperative way so that the resultant network can have the highly desired self-organization feature.

There are several *challenges* in effectively realizing a practical distributed algorithm that jointly considers CA and routing in heterogeneous  $M^3WN$ . *First*, as our objective is to design a distributed algorithm performed at each node, a quantitative measure of the performance gain of any new JCAR pattern should be clearly defined so that decisions based on this measure can be made. Here we use a JCAR *pattern* to denote any specific combined solution of channel assignment and routing. Therefore, a quantified metric is needed to represent the performance gain in searching for new patterns. *Second*, in most practical cases, a portion of nodes could have multiple heterogeneous radios. This implies that there might be no common radio or common channel supported in the whole network, for both data transmission and signaling (e.g., routing message). Such radio heterogeneity makes the design of the routing and CA extremely difficult as a careless design may result in network partition. *Third*, the signaling overhead to obtain updated traffic and link state information for JCAR in such a heterogeneous environment really presents challenges for the feasibility of a distributed algorithm. *Finally*, the limited capability of *off-the-shelf* standard hardware also imposes challenges in the protocol design for a distributed algorithm (e.g., the overhead of 802.11 a/b/g NICs on channel switching should be taken into account). Meanwhile, the proposed distributed algorithm should be extensible for future hardware and MAC standards, e.g., UWB devices.

These challenges are not fully addressed by any existing related work (see Section VII) or their simple combination. Existing theoretical work commonly assume perfect MAC (no collision by perfect slot allocation) and they assume that

a centralized controller has all the information, which makes their approximated algorithm hard to apply to real deployment. Meanwhile, existing distributed algorithms mainly address routing and channel assignment as separate problems, not targeting at a joint practical solution. In this paper, we propose a novel unified, distributed software framework, which resides between Layer 2 MAC (e.g., standard 802.11) and Layer 3 routing, for jointly CA and routing.

1. We define a meaningful metric, named Channel Cost Metric (CCM), which reflects the expected transmission cost (due to interference) weighed by channel utilization. CCM captures the effect of channel interferences and the benefit of channel diversity: the smaller the CCM, the better performance for a JCAR pattern under the same traffic loads. In deriving an expression for CCM, we propose a novel notion, “*equivalent fraction of air time*”, which not only reflects the channel busy time, but also provides a common reference value for heterogeneous radios.
2. Based on CCM, we propose a distributed algorithm that effectively selects the JCAR pattern which has the smallest CCM value among a subset of potential JCAR patterns. To make the heuristic simple and to avoid potential routing oscillation, we only select the patterns that maintain the network connectivity and restrict the selection of interfaces only between local node (who initiates the changes) and its one-hop neighbors instead of changing the entire path along the whole network.
3. Our Layer 2.5 JCAR is designed to perform CA and routing jointly at a time scale of seconds or even tens of seconds considering the practical overhead of the off-the-shelf hardware on channel switching, so the algorithm does not require tight clock synchronization among neighbor nodes.
4. The proposed Layer 2.5 JCAR does not need any modification in the current 802.11 devices and can be applied to other wireless systems such UWB, etc.

The rest of this paper is organized as follows. Section II describes the system model and presents a scenario which motivates the need for JCAR. The intuition for defining CCM and analysis for channel air time and channel utilization are discussed in Section III. We present our distributed heuristic algorithm for JCAR in Section IV. The system design and implementation issues of our solution are described in Section V, and simulation evaluations and experimental results are presented in Section VI. In section VII, we briefly discuss some related work. Finally, Section VIII concludes the paper.

## II. PROBLEM STATEMENT

In this section, we present the network model, and state the problem that we’re trying to solve. We use an example scenario to illustrate the dependency of CA and routing, which highlights the need for the joint consideration of CA and routing.

### A. System model

We consider a wireless mesh network with  $|V|$  nodes. Let  $V$  denote the set of the nodes. The nodes are numbered  $N_1, N_2, \dots, N_{|V|}$ . Each node is equipped with one or more heterogeneous radio interfaces (wireless NIC). The number of radios at node  $i$  is  $\gamma_i, i=1,2,\dots,|V|$ . By heterogeneous radios, we mean that the wireless capability, power level, and working spectrum, etc., on these radios may be different. Each radio serves as a transmitter or a receiver on a channel at any given time, i.e., half-duplex mode. We assume there are a total of  $L$  orthogonal channels in the system, numbered  $ch^1, ch^2, \dots, ch^L$ , exhibiting no inter-channel interference. Note that the properties of the channels may be different, as they are determined by the capabilities of the radios. Furthermore, even on the same channel, the properties of different wireless links may still be different for different source/destination pairs, e.g., with different distance, the loss ratio on such links may be different, so does the link capacity. A radio on a node is capable to select a working channel from a set of corresponding channels. Without loss of generality, we assume there are  $K$  types of radios in the network, corresponding to  $K$  sets of channels, denoted as  $CS^1, CS^2, \dots, CS^K$ , and we have  $CS^k \subseteq \{ch^l, l=1, \dots, L\}, k=1, \dots, K$ . In order for two nodes to communicate with each other, it is required that both nodes must have at least one common radio and use the same channel. Denote the traffic demands as a collection of  $M$  elastic end-to-end flows,  $F_m = (s_m, d_m)$ , where  $s_m, d_m \in V$  and  $m=1,2,\dots,M$ . Flow  $F_m$ 's source node is  $s_m$  and destination node is  $d_m$ . Let the achieved throughput at the destination be  $r_m$ . Note that in a multi-radio wireless mesh network considered here, a route (path) in a network should be defined as a sequence of node ID and interface pairs, instead of node ID alone.

Our objective is to maximize the total throughput achieved by all the sessions, i.e.,  $\max \sum_m r_m$ , by jointly assigning the channels that the radios use and selecting the right interface that the routing protocol uses.

### B. Motivated scenario

Fig.1.(a) shows a topology with six nodes ( $N_1 \sim N_6$ ), each node is denoted by a Hexagon. The small solid circle denotes an 802.11a interface and the hollow circle denotes an 802.11g interface. Among these nodes, only node  $N_1$  is equipped with a single 802.11a radio, while for the rest nodes, each is equipped with two radios: 11a and 11g. Here we use 11a/g to denote an 802.11a/g radio. And we use same channel number defined in the 802.11 series of standards to name a channel. For example, a36 denotes an 802.11a radio that works on channel 36. The dark colored hexagons denote these nodes with multiple radios. For simplicity, we assume all the wireless channels have no transmission error, and the interference range is the same as the transmission range. We further assume both 11a and 11g can achieve the same raw link capacity of 54Mbps. The network is connected under an initial channel assignment (CA). That is, a packet from a source node can be transmitted to its destination node, possible through multiple hops, under the original CA.

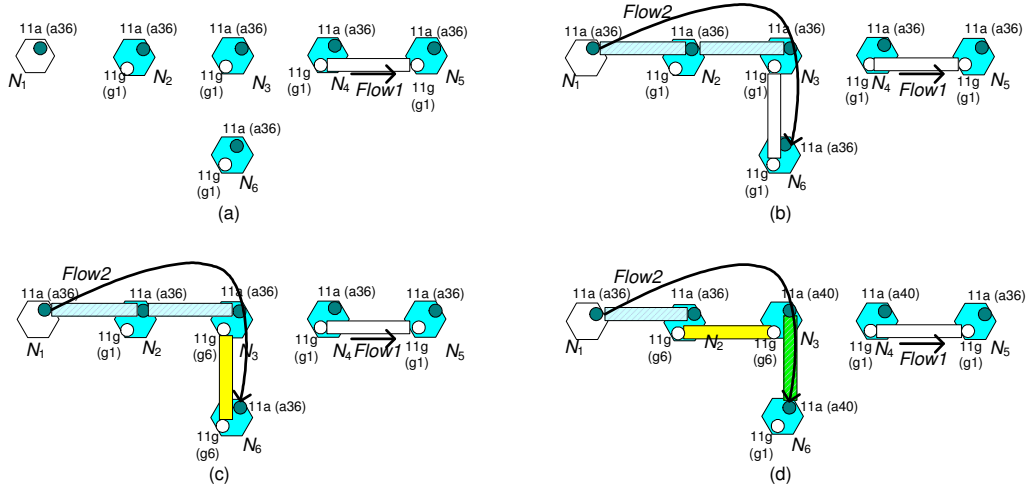


Fig.1 Topology for channel assignment and routing

Assume that the initial channel setup is as in Fig.1.(a), where all the 11a radios use channel  $a36$ , and all 11g radios use channel  $g1$ . And there is a flow, named  $Flow1$ , from node  $N_4$  to node  $N_5$ , using 11g radio on channel  $g1$ .

Now a second flow, named  $Flow2$  from node  $N_1$  to node  $N_6$ , arrives. Assume that the routing protocol has updated information for all the current link status, including link capacity, channel used, loss ratio, traffic load, etc. For the given fixed channel assignment, the best route for  $Flow2$  in terms of total throughput for all flows should be  $N_1(a36) \rightarrow N_2(a36) \rightarrow N_3(g1) \rightarrow N_6$ , since it achieves the lowest collision probability due to less interference from hidden terminal(which will be discussed in detail in next section). So the CA and routing pattern achieved is shown in Fig.1.(b).

Now, for these fixed routes, we check whether a new channel (within the same radio interface) can be used to improve the performance for the pattern in Fig.1.(b). In this example, if nodes  $N_3$  and  $N_6$  assign their 11g radio to a new channel,  $g6$ , then the performance can be improved greatly for  $Flow1$  and  $Flow2$ , since the contention between them is reduced. The resulting new pattern, by doing routing and CA separately (for CA, the interface selected by routing is fixed), is shown in Fig.1.(c). However, such solution is still not optimal. Actually, the best solution is shown in Fig.1.(d). Compared to that in (c), all the links in (d) do not have interference to each other, therefore both  $Flow1$  and  $Flow2$  can achieve maximal capacity. Note here the path for  $Flow2$  in (d), i.e.,  $N_1(a36) \rightarrow N_2(g6) \rightarrow N_3(a40) \rightarrow N_6$ , cannot be selected from (b) without changing the routing interface at node  $N_2$  and the channel between  $N_3$  to  $N_6$  simultaneously. Therefore, we observe that the CA and routing should be adjusted together.

In summary, the above example demonstrates that changing routing interface alone with fixed CA or changing channel assignment while fixing interface cannot achieve optimal performance, only joint CA and routing selection can achieve optimal performance. Our objective in this paper is to design a distributed algorithm that adaptively changes channel and routing jointly on each node within a heterogeneous M<sup>3</sup>WN so that system performance can be improved.

To this end, we observe that a quantified metric is essential to evaluate and select the best pattern for CA and routing in a distributed algorithm, we will define such a metric in the next section.

### III. CCM: METRIC FOR JCAR

As aforementioned, one major challenge in designing a distributed algorithm is what metric should be used to quantify the performance of a specific joint CA and routing pattern. Consider the simple example shown in Fig.2.

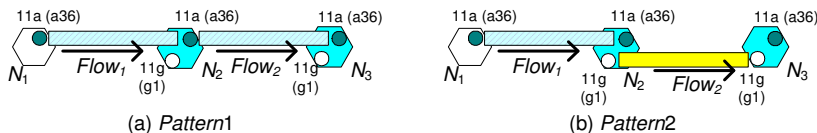


Fig.2 Topology for CCM illustration

In Fig.2, node  $N_1$  has a single 802.11a radio and both nodes  $N_2$  and  $N_3$  have an 802.11a radio and an 802.11g radio. Assume there are two traffic flows, one is from node  $N_1$  to  $N_2$  and the other is from  $N_2$  and  $N_3$ . In Fig.2(a), both  $Flow1$  and  $Flow2$  are assigned to channel  $a36$  (which is referred as *pattern1*), while in Fig.2(b),  $Flow1$  is assigned to channel  $a36$  and  $flow2$  is assigned to channel  $g1$  on a different interface (*pattern2*). Obviously, *pattern2* (made at node  $N_2$ ) is much more desirable than *pattern1* as *pattern2* makes use of both channels and may give a higher aggregated throughput. The question is how can we quantify that *pattern2* is indeed better than *pattern1*?

In this section, we will introduce a novel metric, called Channel Cost Metric (CCM), which represents the expected per-unit transmission time on each channel weighted by channel utilization and explicitly captures the effect of the interference from hidden links. Once the CCM is defined, it is exploited to iteratively find new JCAR patterns that have less CCM values and progressively improve the system throughput by applying these new patterns. As we will show later, minimizing the weighted air time cost (and the impact of interference) is equivalent to maximizing the system throughput.

#### A. Intuition for metric definition

In wireless networks, interference from nearby channels (most time from hidden terminals or links, discussed in Appendix A) has a significant negative impact on the system throughput. Interference results in packet collision and re-transmission., We define the expected transmission time,  $ETT_i^l$ , observed at node  $i$ , as the normalized value of the sum of transmission time of all data packets sent on channel  $l$  over a time unit. If there is much interference on the channel, the  $ETT_i^l$  value should be large. Reducing  $ETT_i^l$  (which implies that the interference due to hidden terminals is also reduced) results in increasing the throughput on channel  $l$ . Therefore it should be captured in the proposed CCM. However, metrics containing ETT alone can not accurately represent the channel utilization and hence the real performance gain in heterogonous environments. For example, in the two patterns shown in Fig.2, if we assume both 11a and 11g have same channel rate and they are error-free, they actually turn out to have similar  $ETT^l$  (we assume the throughput of two flows kept same when comparing these two patterns). It is because the collision probabilities in both patterns are neglectable as there are no hidden terminals. However, as we mentioned earlier, *pattern2* is actually for

preferable as the channel usage is more diverse and it allows further increase of throughput for both flows. By carefully examining the channel utilization in Fig.2, one can notice that under *pattern1*, the utilization of channel *a36* is about twice of that under *pattern2* with the same traffic demand. The impact of a busy channel on the  $ETT_i^l$  and the overall system performance is dramatically different from that of a less-busy channel. *Therefore, a weighted  $ETT_i^l$  (by the channel utilization) should be included in the CCM.* To this end, we need to introduce another key concept, fraction of air time (FAT), to represent the normalized overall channel utilization. For channel  $l$  at node  $i$ , FAT,  $F_i^l$ , is defined as the ratio of the total air time consumed (over all the links which use channel  $l$  within the interference range of node  $i$ ) in a given time interval to the length of that given time interval. Intuitively, from the view point of node  $N_2$  in Fig.2, assuming there are always packets to transmit for *pattern1*, channel *a36* will always be busy, that is, the fraction of air time consumed (channel utilization),  $F_{N_2}^{a36} = 1$ , while with the same number of packets to be transmitted, the fraction of air time consumed under *pattern2* is only .5 for channel *a36* and *g1*, respectively.

Based on the above observation, it is apparent that a weighted ETT by FAT should be included in CCM and hence we can define our critical metric CCM for node  $i$  in terms of ETT and FAT as follows.

$$CCM_i = \sum_l (ETT_i^l) F_i^l, \tag{1}$$

which is the summation of the expected transmission time weighted by the fraction of air time over all the channels at node  $i$ . A closed form expression for CCM will be given later. For the example in Fig.2, under *patern1*,  $CCM_{N_2} = 2ETT$ , while to support the same amount of traffic under *pattern2*,  $CCM_{N_2} = ETT$  where  $ETT = ETT_{N_2}^{a36} = ETT_{N_2}^{g1}$ . Based on this metric, it is apparent that *pattern2* is better than *pattern1*. The advantage of the channel diversity and the impact of interference are therefore captured in CCM.

Before presenting a closed form expression for CCM, we list some notation to be used. We define the function  $I_{(x,y)}^l$  as follows. If node  $x$  locates within the interference range of node  $y$  for channel  $l$ , then  $I_{(x,y)}^l = 1$ , otherwise,  $I_{(x,y)}^l = 0$ . Note that  $I_{(x,y)}^l = I_{(y,x)}^l$  since we assume that the interference is symmetric.

Let  $L_{(i,j)}^l$  denote the link between nodes  $i$  and  $j$  on channel  $l$ . and let  $IN_i^l$  denote the set of the interfering nodes that reside in the interference range of node  $i$  on channel  $l$ , that is,  $IN_i^l = \{x \mid I_{(i,x)}^l = 1, x \in V\}$  and  $IL_i^l$  denote the set of interfering links whose source or destination nodes belong to  $IN_i^l$ , that is,

$$IL_i^l = \{L_{(m,n)}^l \mid m \in IN_i^l, n \in V \text{ or } m \in V, n \in IN_i^l\},$$

where  $V$  denotes the set of all the nodes in the network.

In the following subsections, we derive expressions for ETT and FAT by considering explicitly the channel interference due to hidden terminals/links.

### B. Expected Transmission Time (ETT)

Assume that the system is stable and a collision probability (due to interference) exists and is denoted by  $p_{(i,j)}^l$  for link  $L_{(i,j)}^l$ . Assume that the averaged traffic data rate that is accepted on that link is  $r_{(i,j)}^l$  packets/s. Following the exponential backoff procedure and retransmission limit  $m$  defined in 802.11 DCF (Distributed Coordination Function), the expected total traffic including retransmissions on channel  $l$  is given by

$$\begin{aligned} \lambda_{(i,j)}^l &= r_{(i,j)}^l [m(p_{(i,j)}^l)^m + \sum_{k=1}^m k(p_{(i,j)}^l)^{k-1}(1-p_{(i,j)}^l)] \\ &\approx r_{(i,j)}^l / (1-p_{(i,j)}^l) \end{aligned} \quad (2)$$

Let  $T_{(i,j)}^{l,DATA}$  denote the average transmission time of one Data frame on link  $L_{(i,j)}^l$ . Note the transmission time for a frame should include the air time cost for MAC and PHY overheads (headers) on channel  $l$ ,  $t_{(i,j)}^{l,headers}$ . Let the average payload size on link  $L_{(i,j)}^l$  be  $PL_{(i,j)}^l$  and the link capacity be  $c_{(i,j)}^l$ , we have,

$$T_{(i,j)}^{l,DATA} = t_{(i,j)}^{l,headers} + PL_{(i,j)}^l / c_{(i,j)}^l \quad (3)$$

Then the ETT for a packet on link  $L_{(i,j)}^l$  in a unit time can be expressed as

$$ETT_{(i,j)}^l = T_{(i,j)}^{l,DATA} / (1-p_{(i,j)}^l) \quad (4)$$

Note that the  $ETT_{(i,j)}^l$  in (4) is with the same meaning as in [5]. The total ETT for all packets on link  $L_{(i,j)}^l$  in a time unit can be expressed as

$$r_{(i,j)}^l \cdot ETT_{(i,j)}^l = \lambda_{(i,j)}^l T_{(i,j)}^{l,DATA} \quad (5)$$

The value of  $r_{(i,j)}^l \cdot ETT_{(i,j)}^l$  on link  $L_{(i,j)}^l$  is a real number between 0 and 1, and depends on the collision probability  $p_{(i,j)}^l$ . The derivation of  $p_{(i,j)}^l$  depends on the interference from hidden terminals/links and is rather involved. Its detailed derivation is given in Appendix A.

Each node, say node  $i$ , should consider all the ETTs on channel  $l$  for all the links within the two hop range since the transmissions on those links may conflict with links to/from node  $i$ . Thus, the total ETT for all packets over all links (within the interference range of node  $i$ ) on channel  $l$  in one time unit is given by

$$ETT_i^l = \sum_{L_{(m,n)}^l \in IL_i^l} r_{(m,n)}^l ETT_{(m,n)}^l = \sum_{L_{(m,n)}^l \in IL_i^l} \lambda_{(m,n)}^l T_{(m,n)}^{l,DATA} \quad (6)$$

Please note that the ETT in (6) is a per-node ETT and is a normalized value. It reflects the average per-unit time cost of all the packet transmissions in channel  $l$  within the interference region of node  $i$ .



### C. Normalized channel occupation time -- Fraction of air time

In this section, we derive an expression for the fraction of air time (FAT) defined in the previous sub-sections. FAT is the ratio of the total air time consumed in a given time interval to the length of that given time interval. The length of the time interval should be sufficiently large relative to the air time cost of a packet (of maximal size). In this paper we choose this time interval to be 1 second.

The air time of transmitting a packet in a shared wireless link varies over time. For the 802.11 DCF, in addition to the actual packet transmission time, the air time also includes the ‘‘overhead’’ time for carrier sensing, back-off, MAC ACK, retransmission, etc. It depends on how busy a channel is as well as the number of collisions a packet experiences.

We derive an expression for FAT on an 802.11 link as an example (the derivation for other standards would be similar). The consumed FAT of the traffic at link  $L_{(i,j)}^l$  is simply given by,

$$F_{(i,j)}^l = r_{(i,j)}^l t_{(i,j)}^l, \quad (7)$$

where  $t_{(i,j)}^l$  denotes the total air time cost (including overhead) for a packet with an average length  $PL$  at link  $L_{(i,j)}^l$ . Note that we have  $0 \leq F_{(i,j)}^l \leq 1$ .

In the following, we derive an expression for  $t_{(i,j)}^l$ . Assume that the current packet collision probability of link  $L_{(i,j)}^l$  is  $p_{(i,j)}^l$  (derived in Appendix A), and the average packet length is  $PL_{(i,j)}^l$ . An approximate expression for  $t_{(i,j)}^l$  is given by

$$t_{(i,j)}^l = \sum_{k=1}^m (p_{(i,j)}^l)^{k-1} (1 - p_{(i,j)}^l) (T_{(i,j)}^{l,s} + (k-1)T_{(i,j)}^{l,c}) + (p_{(i,j)}^l)^m m T_{(i,j)}^{l,c}, \quad (8)$$

where  $m$  is the maximal number of (re)transmissions,  $T_{(i,j)}^{l,s}$ , and  $T_{(i,j)}^{l,c}$  are the average air time cost [9,10] of a successful and failed transmission, respectively, of a packet on link  $L_{(i,j)}^l$  with average packet length  $PL_{(i,j)}^l$ . Note that this expected air time is a bit overestimated since the collision time may be shared by more than one station. However, this simple approximation already can work pretty well as we will show in our evaluations. The value of  $m$  defined in 802.11 is 4 for the basic access method, and 7 for the RTC/CTS access method. Estimation of  $T_{(i,j)}^{l,s}$ , and  $T_{(i,j)}^{l,c}$  requires knowledge of physical link parameters such as the overhead introduced by the backoff, the frame header size, and the link rate (capacity)  $c_{(i,j)}^l$  of link  $L_{(i,j)}^l$ . The air time cost  $T_{(i,j)}^{l,s}$  for the basic access method is given by

$$T_{(i,j)}^{l,s} = t_{backoff}^l + t_{headers}^l + t_{ACK}^l + PL_{(i,j)}^l / c_{(i,j)}^l + t_{SIFS}^l. \quad (9)$$

The notation FAT introduced above represents the normalized utilization on a certain channel. However, same value of FAT on different radio has different impact on the system performance in networks with heterogeneous radios. For example, the remaining capacity on an 802.11b link with a FAT of 0.5 is much less than that on an 802.11a link with a FAT of 0.5. In order to have a fair comparison for different links, we propose to compute the FAT of all different

channels based on one common reference channel. Usually, this common reference channel is selected such that it has the largest capacity. We refer the resulting FAT (corresponding to the common channel) as *equivalent FAT*.

For a given node, assume that the capacity of channel  $l^*$  is the largest and we select channel  $l^*$  as the common reference channel. Assume that link  $L_{(i,j)}^l$  virtually uses two different (heterogeneous) channels  $l$  and  $l^*$ , we define the *equivalent FAT* of channel  $l$  (relative to  $l^*$ ) as

$$F_{(i,j)}^{l,l^*} = r_{(i,j)}^l t_{(i,j)}^{l,l^*}, \quad (10)$$

where  $t_{(i,j)}^{l,l^*}$  denotes the air time cost for a packet with average length  $PL_{(i,j)}^l$  on channel  $l^*$  with loss probability  $p_{(i,j)}^l$ , i.e.,

$$t_{(i,j)}^{l,l^*} = \sum_{k=1}^m (p_{(i,j)}^l)^{k-1} (1 - p_{(i,j)}^l) (T_{(i,j)}^{l^*,s} + (k-1)T_{(i,j)}^{l^*,c}) + (p_{(i,j)}^l)^m m T_{(i,j)}^{l^*,c} \quad (11)$$

The equivalent FAT introduced provides a fair comparison among heterogeneous radios/links. In addition, it can also be applied to homogenous radios when the link rate and loss ratio may be different due to different distance, path loss, etc.

Similar to the case of total ETT, each node, say node  $i$ , should also consider the channel occupation on channel  $l$  for all the links within the two hop range since the transmissions on those links may conflict with links to/from node  $i$ . Thus, the total FAT for all packets over all links (within the interference range of node  $i$ ) on channel  $l$  is given by

$$F_i^{l,l^*} = \sum_{L_{(m,n)}^l \in \mathcal{H}_i^l} F_{(m,n)}^{l,l^*}. \quad (12)$$

#### D. A closed form expression for CCM

Placing ETT and FAT in eqs. (6) and (12) in eq.(1), a closed form expression for our proposed metric  $CCM_i^*$  is then given by

$$CCM_i^* = \sum_l ETT_i^l F_i^{l,l^*} = \sum_l \sum_{L_{(m,n)}^l \in \mathcal{H}_i^l} \lambda_{(m,n)}^l T_{(m,n)}^{l,DATA} F_i^{l,l^*}. \quad (13)$$

As the proposed CCM represents the total normalized ETT for all data packets on channel  $l$ , (weighted by the equivalent channel utilization  $F_i^{l,l^*}$ ), the smaller the value of the metric, the larger chance the network has for throughput improvement. On the other hand, as analyzed in Appendix A, the value of  $\lambda_{(m,n)}^l T_{(m,n)}^{l,DATA}$  from link  $l_{(m,n)}^l$  (that lies in the two hop range of node  $i$ ) contributes most to the collision probability on other links (that are affected by this hidden link  $l_{(m,n)}^l$ ), so smaller value of the metric implies smaller cost of collisions for a certain CA and routing pattern.

## IV. DISTRIBUTED ALGORITHM FOR JCAR

After we define the powerful CCM, we can exploit it to determine whether a new JCAR pattern is *better* than the existing pattern. Once such a better pattern is found, the system may be reconfigured to use that pattern, and the total

channel cost for transmitting existing traffic is reduced. However, before JCAR really performs the system reconfiguration, we should guarantee that the new pattern is indeed improving the overall network throughput. JCAR guarantee this by performing a *feasibility check* before applying a new pattern. We define a transition to a new pattern is *feasible* if and only if the new pattern can sustain the throughput of each flow in the old pattern. In other words, a transition to a new pattern will not reduce the throughput of any flow in current situation. As a feasible new pattern reduces the overall channel cost, some flows may increase their throughput (considering elastic traffic) and thus the overall system throughput is improved. Such JCAR reconfigurations are performed periodically, and they are improving the network throughput in a progressive way.

However, to find a good JCAR pattern and perform feasibility check in a global view is fairly complicated, as it requires the traffic and channel knowledge in the whole network. Therefore, in this paper, we propose a distributed algorithm and let every node perform the above heuristics locally. Suppose every node knows the information of its  $m$ -hop neighbors. Then a node can safely perform feasibility check for the candidate JCAR patterns within  $(m-2)$  hop neighborhood based upon the estimated link utilization. The feasibility check within  $(m-2)$  hop range is safe since typically a node's transmission affects at most its two-hop neighbors [37]. In many practical situations, it is rather feasible to obtain the traffic load and link status within the two-hop neighbor range via periodical broadcasting. Our previous work [31] also reveals that exchanging information within two-hop neighbors introduces acceptable/reasonable overheads. Therefore, in the following description, we allow every node to exchange the knowledge of its two-hop neighbors, i.e.,  $m=2$ , and thus we restrict the search for new patterns with only changing the interfaces between adjacent nodes while the node sequence of the entire path remains same. Note that any change involving node sequence variation may require more than 2-hop away traffic/channel information that could introduce significant larger overheads than current setting. Roughly speaking, a node will perform the following steps periodically when the channel utilization on one of its radios is higher than a pre-defined threshold,  $F_i^l(\text{current pattern}) \geq Th_H$ .

1. Identify *some* of the possible JCAR patterns and for each of the identified JCAR patterns,
  - i) check feasibility  
 $F_i^l(\text{new pattern}) \leq Th_F$
  - ii) check network connectivity
  - iii) determine its CCM value if feasible
2. Select a feasible pattern which has the smallest CCM value
3. Conduct the switching operation (channel or interface change) if the value of CCM under the newly selected pattern is smaller than the value of CCM under the current pattern by a pre-defined threshold.

For any possible JCAR pattern, the first step is to check its feasibility. This is done by checking if the prediction the FAT of the new pattern is less than a threshold  $Th_F$  (discussed in Section IV.B) given current throughput of the flows. As we have mentioned earlier, FAT is a normalized value, and if it is less than one, it means the channel is still not fully utilized given current traffic status. Then, we need to ensure that a new pattern would not result in network

partition, so that the network connectivity is also checked. After that, if there are still multiple feasible JCAR patterns, the one with the smallest CCM (smaller than the current CCM value) is chosen. We expect the new pattern will improve the throughput performance. To make our algorithm more robust in maintaining connectivity, we also propose a reconfiguration procedure described in Appendix D.

#### A. JCAR candidate pattern selection

As mentioned before, the node sequence on a given path will remain the same, but the radio interface that a route uses may be changed. The pattern selection sub-route is triggered at node  $i$  when the load on a channel, say channel  $l$ , is overloaded, i.e., the total FAT measured on node  $i$  for channel  $l$  is higher than a predefined threshold  $TH_H$ . Node  $i$  will initiate pattern selection by focusing only on the following three categories, for each neighboring node  $j$  of channel  $l$ : 1) only changing channels within the channel set to which channel  $l$  belongs, 2) only changing interface between nodes  $i$  and  $j$ , 3) combination of both channel change and interface switching in 1) and 2). For simplicity, we assume that all the flows on the current channel will be moved to the new channel if a new pattern is used.

##### 1) Patterns with channel changes (between nodes $i$ and $j$ ) only

Node  $i$  selects channels that are in the channel set where channel  $l$  resides. The algorithm first sorts the channels in the channel set according to the value of  $F_i^l$  in an ascending order. Starting from the first channel and for each channel in the newly sorted set, the algorithm checks the feasibility and connectivity conditions (discussed later) if the actual channel switching is from  $l$  to this channel. The algorithm stops at the first channel which is feasible and maintains connectivity. Note if there are more than one idle channel in the channel set, node  $i$  only selects one idle channel, say  $\hat{l}$ , randomly from those idle ones. Thus, the selected candidate pattern is the one with channel changes from channel  $l$  to  $\hat{l}$  between nodes  $i$  and  $j$ .

##### 2) Patterns with interface switching between nodes $i$ and $j$ only

Node  $i$  searches each interface (other than the interface channel  $l$  resides) between nodes  $i$  and  $j$  with its current assigned channel  $\bar{l}$ . The candidate patterns are simply those patterns of moving all traffic on channel  $l$  to channel  $\bar{l}$ . Note here the interface switching can also be done at flow level; that is, moving all flows on channel  $l$  to several new channels corresponding to several different interfaces.

##### 3) Patterns with both channel reassignment and interface switching

Node  $i$  considers the following patterns: reassign the current channel  $\bar{l}$  on an interface between nodes  $i$  to  $j$  to channel  $\bar{l}'$  (where  $\bar{l}'$  is an idle or least busy channel in the same channel set of channel  $\bar{l}$ ), and move all the traffic on channel  $l$  and on channel  $\bar{l}$  to  $\bar{l}'$ . The steps to select such a channel  $\bar{l}'$  are similar to those described in 1) above.

The candidate pattern selection is limited to node  $i$ 's neighbor nodes (of channel  $l$ ). Therefore, these identified patterns are only a sub-set of the entire set of all patterns. Since an optimal pattern may require negotiation with multiple neighbors on the same channel, we purposely decompose the operation into multiple steps to reduce the search space, not only for pattern selection, but also for easy implementation of the CA negotiation protocol. As we'll describe

later, our CA negotiation protocol only requires negotiation between two neighbor nodes, and requires the neighbors' neighbors to vote only to prevent possible conflict decision.

### B. Feasibility for CA and routing

We say that a new JCAR pattern is feasible if the current throughput of all the flows can be supported by this new pattern, i.e., no flow suffers degradation in throughput. Since FAT is used to denote the normalized utilization on one channel, from a node point of view, all the traffic on channel  $l$  and on its interfering links consume the air time on channel  $l$ . Therefore, assuming that the traffic rate of each flow is still maintained (under a JCAR pattern), the JCAR pattern is feasible if the total estimated air time among all the interfering links on a given channel is less than a pre-defined threshold. That is,

$$F_i^l \leq TH_F, \forall i \in V, \forall l \in L. \quad (14)$$

Note that the feasibility check is performed per channel, so FAT instead of *equivalent FAT* should be used here. In our implementation, we choose  $Th_F=1$ , ignoring the potential time overlap periods (due to spatial reuse) during which those links may not interfere with each other. Therefore, it is a conservative but sufficient condition.

### C. Network Connectivity

Some JCAR patterns may result in network partition and these patterns should be avoided. For each new JCAR pattern, network connectivity should be checked so that the network under the new pattern is still connected. Checking network connectivity however is a time consuming task as it may involve all nodes in the network. To make the task of checking network connectivity easier, in searching for new patterns, we propose to follow the simple *connectivity invariance rule*. That is, if any node-pair that was originally connected, the node-pair should still be connected under a new JCAR pattern. Note that in a multi-radio situation, two nodes may have more than one pair of radios connected. The above rule only requires that at least one pair of radios be connected between the two nodes. According to this rule, only patterns involved with switching channels (categories 1 or 3 above) between two nodes may result in network partition. In the following, we only focus on these patterns. Note also that this *connectivity invariance rule* is only a *sufficient* condition to ensure network connectivity.

If nodes  $i$  and  $j$  are connected only on one channel, say  $l$ , then  $j$  is called node  $i$ 's single-channel-neighbor. The connectivity with single channels may break due to channel reassignment. We illustrate it by an example in Fig.3, where we use a hollow circle to denote a single radio node and solid one to denote a multi-radio node. Different line type, solid or dashed, denotes the different radio connectivity between nodes. We assume that nodes  $i$  and  $j$  using channel  $l$  want to switch to channel  $l'$ , on the same radio, single-channel-neighbor node  $k$  who is also using channel  $l$  must switch to channel  $l'$  as well (to obey the *connectivity invariance rule*). Similarly, if node  $k$  also has its single-channel-neighbor  $q$  on channel  $l$ , then the connectivity between nodes  $k$  and  $q$  will break if  $q$  does not switch with  $k$ . This may be propagated along a *chain* of nodes, each node on the chain has only a single common channel. We define this scenario as "*chain puzzle*". *Chain puzzle* may cause a number of problems in practice. First, chain puzzle may

involve a large number of nodes for a single channel switch, which could have high overhead. Second, as the signaling used for negotiation needs to propagate through many hops, it is therefore difficult to synchronize the switching action among all nodes involved and, in the worst case, this may result in network partition. To remedy this issue, in this work, we simply avoid the JCAR patterns containing chain puzzle. In the above example, node  $i$  should give up the channel switching with node  $j$ . Note here even for a neighbor with multiple radios, it may still suffer the chain puzzle problem. For example, node  $m$  is a multi-radio neighbor of nodes  $j$  on channel  $l$ , where a dashed line denotes another connection between  $j$  and  $m$  in Fig.3. If node  $m$  has single channel connectivity on  $l$  with node  $k'$  (a single radio neighbor of node  $j$  on channel  $l$ ), then we also need to check whether node  $m$  contains chain puzzle since its single-channel-neighbor (e.g., node  $n$  in Fig.3) is required to switch channel also so that the *connectivity invariance rule* still holds.

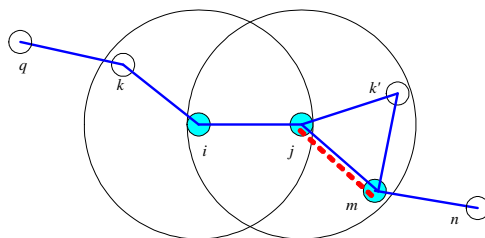


Fig.3 Chain puzzle for channel switch

The basic idea of the algorithm to check connectivity is as follows. The algorithm uses two-hop neighbor information, which is obtained through broadcast. Assume that nodes  $i$  and  $j$  using channel  $l$  and node  $i$  wants to switch to another channel with node  $j$ . Node  $i$  will check whether the topology contains chain puzzle with node  $j$  based on the two hop information. In addition, it also identifies some of their neighbor nodes (nodes  $i$  or  $j$ 's two-hop neighbors) to switch the channel with nodes  $i$  and  $j$  at the same time. After negotiation, both node  $i$  and  $j$  broadcast this switching request to their one-hop neighbors. If one of their one-hop neighbors detects a chain puzzle that is not identified by node  $i$  or  $j$  due to out-of-dated information, the switch request will be denied.

A pseudo code for the algorithm and some notation used to derive the algorithm are presented in Appendix B. The algorithm returns either a set of nodes that will switch with nodes  $i$  and  $j$  or indicates that the switch is denied.

#### D. Distributed Joint CA and routing

Based on the steps described at the beginning of section IV and the sub-routes in the previous sub-sections, pseudo codes for the distributed JCAR algorithm are presented in Fig.A.4 in Appendix C, where  $TH_{CA}$  is a negative value and denotes the threshold on the difference of CCM values between the current and the newly selected JCAR patterns. If the CCM value under the new pattern is smaller than the current one by a pre-defined threshold, then node  $i$  starts the operation for CA and routing switching (with node  $j$  identified by the new pattern).

For interface switching, the action is taken locally, only between nodes  $i$  and  $j$ . While for channel switching, a distributed procedure is required to guarantee that all the neighbors accept such changes, which will be discussed in the following.

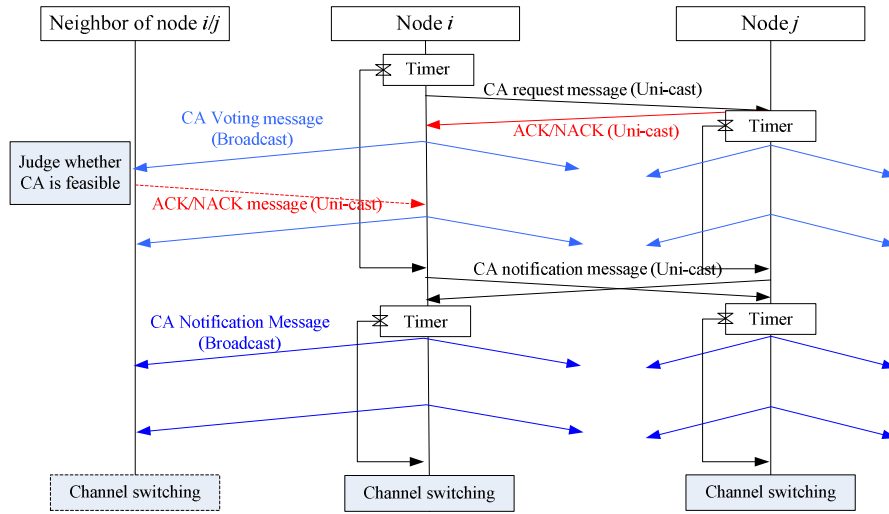


Fig.4 Channel switching negotiation and notification

The operation for channel switching negotiation is shown in Fig.4. Based on the JCAR algorithm, if node  $i$  decides to switch channel with a neighbor  $j$ , it will send a CA request message to node  $j$  to indicate that it wishes to switch channel  $l$  to  $l'$  and expects the neighboring nodes in  $Q$  (defined in Appendix B) to switch together. Note a timer (the first timer for node  $i$  in Fig.4) is started to avoid synchronized pattern selection triggered for nodes in neighborhood. After receiving the request message, node  $j$  first checks whether the new pattern satisfies the feasibility and connectivity conditions. If passed, it then verifies whether the new pattern introduces smaller CCM than that of the current pattern with a threshold. If all have been passed, it will confirm node  $i$  and a switching action is performed; otherwise, it denies and cancels the switching request.

A node may give feedback with ACK/NACK to confirm/reject the switching request based on its check. If the result is NACK or no feedback is received at node  $i$  after timeout, then node  $i$  will regard the channel switching request failed. Otherwise, both node  $i$  and node  $j$  will broadcast a CA voting message  $n_{vot}$  times to their neighbor nodes including the nodes in  $Q$ . (The reason for broadcasting  $n_{vot}$  times is to ensure that the message will be received successfully with a high probability and  $n_{vot}$  is set at 3 in our implementation). Each neighbor of node  $i$  or node  $j$  receiving the intended channel switching message will decide whether the decision is acceptable and vote its decision, based on the similar check procedure performed at node  $j$ . Two types of voting methods are possible: 1) no NACK (no NACKs received implies that all other nodes agree); 2) explicit ACK (to indicate confirmation). We choose the first method to save signaling overheads in our implementation. So if node  $i(j)$  does not receive any NACK, it should send out a CA notification to  $j(i)$ . Only when no neighbor of node  $i$  or  $j$  disagrees, both nodes  $i$  and  $j$  send out broadcast CA notification message to confirm the switching to all neighbors for  $n_{nof}$  times (again  $n_{nof}$  is used to ensure the

confirmation message can be received with a high probability). Then nodes  $i, j$  and those in  $Q$  will switch channel accordingly. Note here those neighbors simply either follow or reject the switching request, and do not start another negotiation procedure with their neighbors. In addition, the voting period is designed to avoid undesirable channel switching due to outdated information or possible conflict CA initiated by other nodes. Note our protocol is on purpose designed to support parallel negotiations on multiple channels with heterogeneous radios at a node. For example, the pattern solution in Fig.1(d) requires node  $N_3$  to switch channel with node  $N_2$  to channel  $g6$  and with node  $N_6$  to channel  $a40$  simultaneously.

We note that the JCAR algorithm goes to configure wireless links into diverse channels to improve the throughput of the network. However, such diverse channel allocation also reduces the connectivity within the network and increases the possibility of *chain puzzle*. Therefore, when a radio is idle, we propose to reconfigure it to a common resting channel, so that the connectivity can be enlarged. The details of this channel reconfiguration procedure are presented in in Appendix D.

## V. SYSTEM IMPLEMENTATION

In this section we describe the implementation experiences issues of our distributed CA and routing in a  $M^3WN$ . We first outline the architecture of the Layer 2.5 JCAR. Then we discuss the interactions between Layer 2.5 JCAR and routing layer as well as the MAC layer.

### A. Protocol stack

Intentionally, our distributed JCAR is designed to be MAC agnostic so that it is able to work well with different wireless technologies. As mentioned earlier, the distributed JCAR progressively selects and applies new pattern to improve the network throughput from an initial pattern. In our implementation, we use LQSR [5] to find a reasonable good initial pattern when a flow starts up. Fig. 5 shows the architecture of our distributed JCAR, which contains four modules. The benefit of placing JCAR at Layer 2.5 is to ensure JCAR to work well with off-the-shelf hardware.

The functions of the four modules are designed as follows. Current hardware does not provide enough information for us to make CA decision, so we deploy a link status measurement module to obtain the information we need, e.g., time varying link capacity due to auto-rate taken at MAC, and frame loss ratio on wireless links. The readers are referred to paper [31] where it describes how to obtain the link information measurements above MAC. In addition, we measure the traffic rate on a link, i.e.,  $r_{(ij)}$ , using the sliding window algorithm, with a default window size of 5 seconds. Each node will broadcast to exchange the traffic information, capacity, and loss ratio on each of the links between the node and its neighbors. Later, the collected information on its neighbor nodes will also be broadcasted. Thus, each node is able to obtain the traffic, capacity, and loss ratio for each link within its two hop range. Note that in our implementation, we can piggy-back this information in the route maintenance messages in LQSR, and therefore, JCAR does not actually create new packets. Since the information exchanging is limited only in a small local region



(two-hop), we expect it can scale well if the network density is not very large. In a targeted mesh network, we believe the density network should be small, but the size of the network could be large.

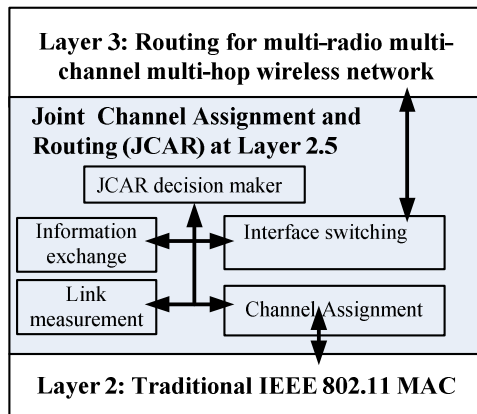


Fig.5 Architecture for Layer 2.5 CA and routing

### B. Interaction with MAC and routing

Layer 2.5 JCAR does not require special support from the MAC layer. With limited interfaces provided by commercialized hardware, JCAR obtains most of the link status information (e.g., link loss ratio and link capacity) using probing. Different wireless NICs may have different delay (overhead) in switching channel. It relies not only on the hardware but also on the driver. In addition, we might not switch channel frequently since the signaling and channel switching overheads may discount the benefits of such actions. In order to minimize this switching overhead, in our implementation, we restrict the channel switching frequency to one per minute. In addition, to avoid simultaneous channel switching by a flow coming with high volume, we set a guardian timer with the value randomly chosen from [2.5, 4.5]s, and only when the channel keeps busy longer than the timer, the JCAR decision maker will be triggered.

The interaction with routing is simple since we only change the outgoing interface for traffic to next hop instead of the whole path for each flow. Note for channel switching, since the outgoing link has switched to a new channel, the cached link status is out of date. In this case, we notify the link status aware routing protocol to reset the link quality parameters. Generally speaking, our design is suitable for any routing protocol that can be applied for multi-radio, multi-channel, multi-hop wireless networks. We implement a link quality aware routing protocol (metric is the expected transmission time) based on DSR for simulation in ns2, and for implementation in the testbed, we build our algorithm in the framework of MCL [32], and use WCETT [5] as the routing metric.

## VI. SIMULATION AND EXPERIMENT RESULTS

This section evaluates the performance of the proposed JCAR architecture through simulation and real experiment. We first present the simulation results obtained using the ns-2 [13] simulator. Then, we present the experimental results of JCAR in an indoor testbed with 9 nodes. Among 5 of the 9 nodes, each node is equipped with two radios, while the rest have only one radio each.

A. Simulation Results

In our simulation evaluation, first we construct a chain topology where the source node has only one radio (Fig.6) to observe the behavior of our channel switching protocol. Then we use a mesh network on a grid with 36 nodes (Fig.8), where the solid lines represent the wireless links between nodes, while the dashed line represents the potential traffic flows, which are always generated from the nodes on the borders on one of the rows or columns. The distance between neighboring nodes, communication range, and CS (carrier sense) range are set as 24m, 25m and 30m, respectively. The channel model is TwoRayGround. The network parameters are all set according to the 802.11a DCF with 54Mbps physical rate. It is assumed that RTS/CTS is disabled. Note we obtain the trigger threshold  $Th_H$ , 0.8, empirically. In our simulations and experiments, we found this value gave the best performance.



Fig.6 Chain topology with 6 nodes in 5 hops

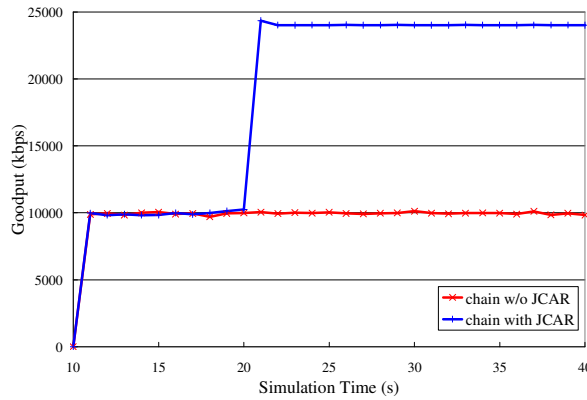


Fig.7 Simulation results for chain topology with single flow

We first present the simulation results for the chain topology. We have a sliding window for rate measurement with window size 5s, and we have a monitoring timer randomly from [2.5, 4.5]s, and we have about 1s interval for broadcasting and message exchange. The connection is setup from node 0 to node 5, at time 10s. The initial setup is that node 0 has only one 802.11a radio working at channel  $a36$ , and the rest 5 nodes have two radios, 802.11a on channel  $a36$  and 802.11g on channel  $g1$ , respectively. From the results in Fig.7, we observe that under JCAR, the goodput can be improved greatly. The new JCAR is activated at about 20s, which is about 10s from the start time of the connection. The 10s interval consists of time to detect rate changes by sliding window, monitoring time and message exchange time.

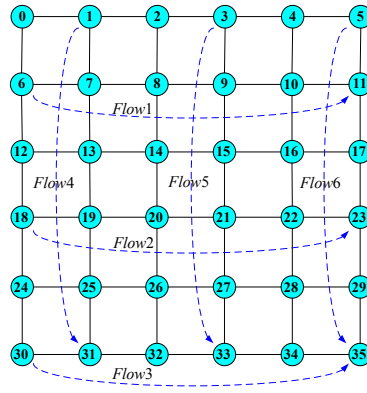


Fig.8 Grid topology and traffic pattern

In the following, we show the simulation results for a grid topology with 36 nodes shown in Fig.8.

First, we show the results for multiple flows. The network and traffic are set up as follows; each of all the 36 nodes is equipped with 2 radios, 802.11a on channel  $a36$  and 802.11g on channel  $g1$  respectively. There are 6 flows, named Flow1~6, 3 on the row and 3 on the column, injected into the network one by one from time 20s with interval 20s. The simulation results are shown in Fig.9, from which we observe that JCAR always improve the total goodput greatly with various numbers of flows in the network. An interesting observation is that before time 80s, the three flows, Flow1~3, have no interference between each other, so the performance increases with number of flows. While at time 80s, Flow4 is injected and starts to interfere with all the flows, which causes the whole performance to drop. JCAR does find a better pattern for Flow6 at time around 128s, but not for Flow4 and Flow5. In this case, the main constraint is from the topology and radios status given at the time when the flows enter into the system.

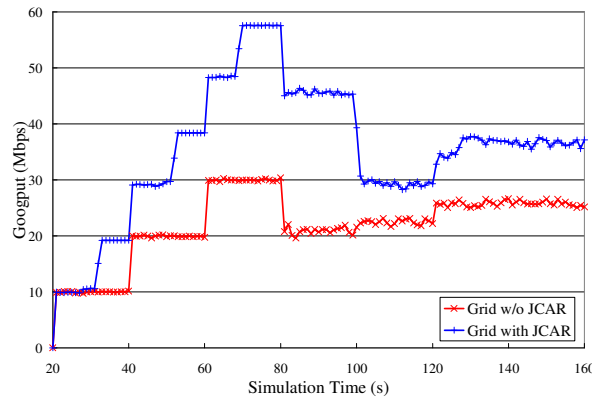


Fig.9 Simulation results for grid topology with six flows

Next, we study the performance degradation introduced by configuring some nodes to a single radio node with only an 802.11a NIC. We observe that with different location of the nodes in single radios, the performance varies greatly. We show the simulation results for setup1 (nodes  $N_1, N_3, N_5, N_6, N_{18}, N_{30}$  are single radio node) and setup2 (nodes  $N_7, N_9, N_{19}, N_{21}$  are single radio node) in Fig.10. We find that before 80s, the single radios in setup2 constrain the throughput greatly, for both cases with and without JCAR. While after 80s, as the flows in column are injected, the

throughput drops greatly due to interference. Note JCAR in setup2 successfully adjusts CA and routing for *Flow3* at time around 68s and *Flow6* at time around 128s, which lie in the border and are not affected by the single radio in the middle.

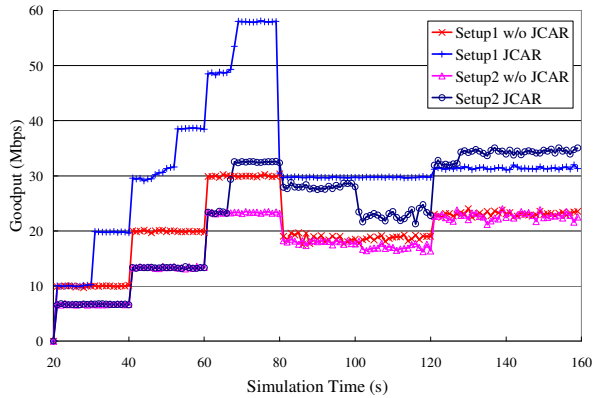


Fig.10 Simulation results for grid topology with single radio nodes

Therefore, the performance improvement of JCAR is high but the improvement factor is determined by the network topology, traffic pattern, and the nodes with single radios. To further evaluate the performance of our JCAR, we carry out the simulation in a random topology. We randomly generate a topology with 30 nodes with the space geography same as that in Grid topology. 5 random connections are set up for 5 random node pairs. Two types of nodes are in the network: single radio node with only 802.11a, and multi-radio node with both 802.11a and 802.11g. The percentage of number of multi-radio nodes in the random network is varied for 50%, 75% and 100% respectively, and we show the average results from 10runs. From Fig.11, we observe that JCAR improves the throughput performance consistently for different percentage of number of multi-radio nodes in the network.

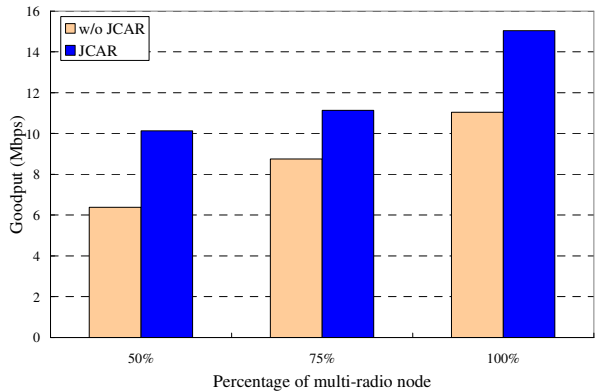


Fig.11 Simulation results for random topology

*B. Experimental Results*

We also evaluate the performance of JCAR in a more realistic scenario, i.e., a 9-node wireless **testbed**. All the nodes in the testbed locate on one floor in the east wing of our office building with floor-to-ceiling walls and glass or solid

wood doors. We place the nodes in offices, lounge, aisle, and labs. We deliberately place the nodes in a crossover like topology and prevent the two-hop away devices from hearing each other<sup>1</sup>. See Fig. 12 for the locations of all the nodes.

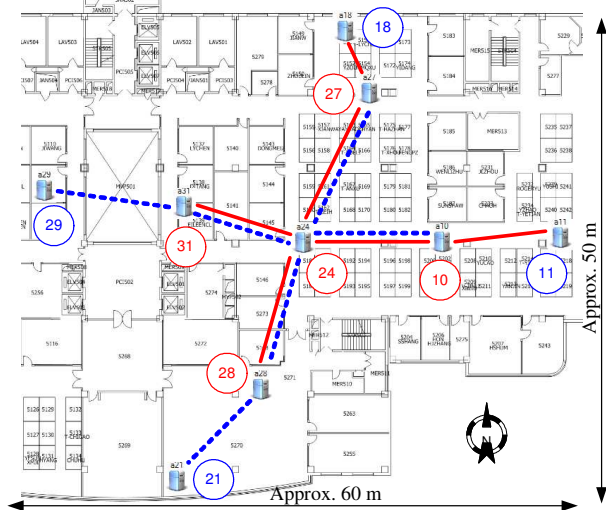


Fig. 12 Topology of the wireless testbed.

All 9 nodes are DELL OPTIPLEX GX260/270/280 desktop PCs with Pentium III or IV processors. They all run Windows XP with TCP SACK option enabled. Among these nodes, nodes 10, 24, 27, 28, and 31 are equipped with two 802.11a/b/g combo cards. Each of the remaining nodes has a single 802.11 a/b/g card. We use three types of wireless cards in the testbed: Proxim ORiNOCO 11 a/b/g Gold PCI cards, LINKSYS Dual-Band Wireless A+G adapters, and CISCO Aironet 802.11 a/b/g Wireless Adapters.

In Fig. 12, the dash link between two nodes represents an 802.11a link, and the solid link indicates an 802.11g link. We find that not all the wireless adapters are able to operate in 802.11g 54Mbps ad hoc mode, maybe because supporting 54Mbps in ad hoc mode is not mandatory according to IEEE 802.11 standard. Therefore the actually bandwidth can be achieved in 802.11g ad hoc mode is vendor specific [33]. In order to test JCAR with more potential candidate patterns when the link capacity is comparable, we intentionally force 802.11a/g card to work at lower data rate, i.e. 11(for 11b)/12(for 11a) Mbps. We have conducted a series of TCP throughput tests on each wireless link to ensure that all links indeed work around 11Mbps data rate. In addition, RTS/CTS handshake is disabled during the experiments.

### B.1 Channel switching overhead

We first evaluate the overhead introduced by channel switching to TCP protocol. We setup a three-hop TCP connection from node 31 to node 11 and let the TCP flow traverse along the route  $g(1) \rightarrow a(36) \rightarrow g(1)$ , where  $g(1)$  denotes the TCP flow using the wireless card operating in 802.11g mode, channel 1 to transmit the data. The TCP throughput measured at node 11 is shown in Fig.13, where the time interval between any two consecutive points is

<sup>1</sup> In this setup, some two-hop away devices can occasionally hear the broadcasting packets from each other, but the signal quality between them are not of sufficient quality to support sustainable TCP transmission.

about 0.5s. We start the TCP connection at time 0 and enable JCAR around 12s. We observe the channel switching action is triggered at 14s. The wireless NIC card is instructed to switch to channel g(11). It takes about 100~200ms for the wireless NIC card to finish the channel switching action (and possible some re-synchronization action defined by 802.11 MAC). The TCP throughput falls down at 14<sup>th</sup> second and recovers at 15<sup>th</sup> second. Due to increased channel diversity, the TCP flow achieves almost doubled throughput after 16s. From this experiment, we observe that JCAR can improve the performance of TCP greatly, while the overhead introduced to TCP connection is very small even it traverses 3 hops.

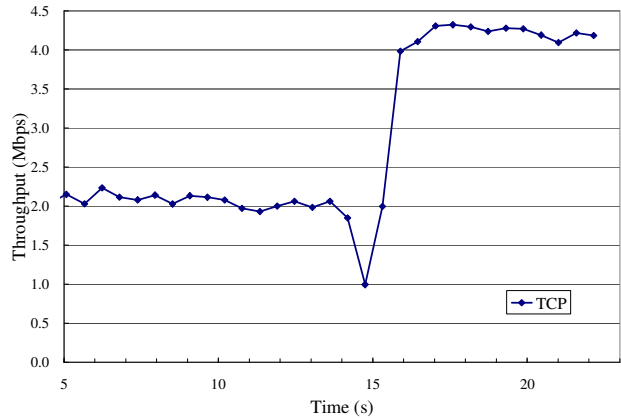


Fig. 13 Impact of channel switching to TCP

### B.2 TCP throughput comparison

We compare the performance between the fixed channel assignment scheme and the JCAR solution when two TCP flows are running from node 29 to 11 and from node 18 to 21, respectively. For the fixed channel assignment scheme, we allocate channel 36 to all the 11a cards and channel 6 to the 11g cards. We use WCETT routing, which, to our knowledge, is the best available routing protocol designed for multi-radio multi-hop wireless networks [5]. The experiments are conducted in mid-night to minimize the interference from other 802.11b WLANs deployed in the building.

When just one TCP flow, either from node 29 to 11 or from node 18 to 21 (denoted as Flow 29->11 and Flow 18->21, respectively), traverses the testbed, we find that for the fixed channel assignment scheme, the best route for the TCP flow from node 29 to 11 is a(36)->a(36)->g(6)->g(6) or a(36)->g(6)->g(6)->a(36). Since the throughputs of the above two cases are close, we only show the result of the first one in Fig. 14. When route is chosen as a(36)->g(6)->a(36)->g(6), the performance is worse than the previous cases since here the wireless link between node 24 and 10 becomes a hidden link of the link between node 29 and 31 since the transmission of 24->10 can not be sensed by node 29. Similarly, link 10->11 is hidden from node 31.

Under JCAR, no matter what the initial routes the scheme chooses, the algorithm will converge to a diversified channel assignment, e.g., a(36)->g(6)->a(40)->g(1). Since all four channels allocated to the four wireless links are orthogonal, the throughput of Flow 29->11 is maximized.

Similar phenomena can be found for Flow 18->21. Note that the throughput of Flow 18->21 is a little bit lower than that of Flow 29->11. This is because the path from 18 to 21 needs to traverse more floor-to-ceiling walls than that from 29 to 11. We can see from Fig. 12 that nodes 24, 10, and 11 are placed in the same corridor. Therefore the signal attenuation along the path from 18 to 21 is more severe than that from 29 to 11.

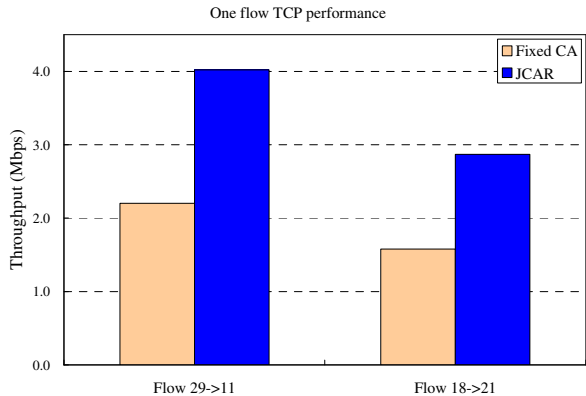


Fig. 14 One flow TCP performance comparison.

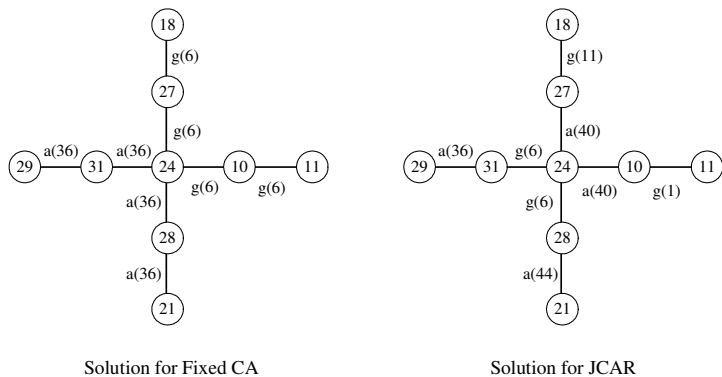


Fig 15. The solution for fixed CA and JCAR in crossover TCP flows case.

Fig. 14 shows the TCP throughput comparison between the fixed channel assignment scheme (denoted as Fixed CA) and the proposed JCAR solution. We can see that JCAR can provide up to 100% performance improvement over the fixed CA for both flows. Fig 15 shows the channel assignment and routing solution of the two schemes when Flow 29->11 and Flow 18->21 traverse the testbed simultaneously.

In this case, the path for the fixed CA scheme is chosen based upon similar reason mentioned in the one flow case. For JCAR, although channel 6 of 11g and channel 40 of 11a have to be re-used by the four links around node 24, the channels of the wireless links at the edge of the testbed are diversified. Therefore JCAR still can provide up to 53% performance gain (See Fig. 16).

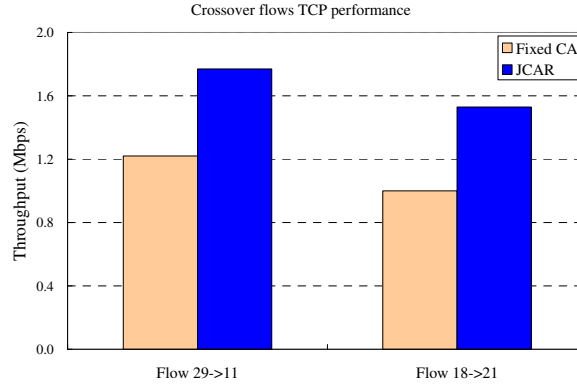


Fig. 16 Crossover flows TCP performance comparison.

## VII. RELATED WORK

To the best of our knowledge, we are not aware of any other work that provides a distributed solution by jointly considering routing and channel assignment in multi-radio multi-channel multi-hop wireless networks with heterogeneous radios. But various previous studies have addressed some relevant aspects of the problem.

For related theoretical work, routing has been jointly considered with scheduling or power control [15~17] in a multi-hop wireless network. Motivated by the flexibility introduced by multi-radio and multi-channel, paper [18] formalizes the problem for joint routing and channel switching in  $M^3$ WNs with homogeneous radios and uses column generation method to solve the problem. However, all these work focus on the problem of joint routing and channel scheduling assuming a perfect MAC, and does not take interference/collisions into consideration in the formation while JCAR does. A centralized routing and channel assignment algorithm which iteratively uses routing and channel assignment is proposed in [19] for multi-channel and multiple homogeneous radios, where their simulation results demonstrate some performance improvement. However, again collision is not considered. Recent work [34] analyzes the asymptotic bound of the throughput capacity and concludes that it is dependent only on the ratio of the number of channels to the number of radios per node. Joint routing and scheduling by perfect MAC with multi-channel and multi-radio are formulated in [35] and [36], where a constant factor approximation and an achievable low bound are proposed respectively. From those theoretical works, however, it is not able to derive distributed algorithms straightforwardly because of the perfect MAC assumption and real time information exchange overhead.

For distributed algorithms, most of related works focus on CA or routing separately. For channel assignment, papers [20-22] are targeting at a MAC layer solution and hide the channel diversity to routing and upper layer protocols. Currently IEEE standard [1] only defines the MAC protocol for single channel. Papers [22-25] propose an extension based on dynamic channel selection and switching at packet level with the requirement that the NICs provide carrier sense simultaneously at all channels, or multiple NICs work coordinately at packet level (e.g., exchange handshaking packets on one NIC, and data packet transmissions followed at the other NIC).



For routing algorithms, paper [4] proposes a link quality aware routing protocol for multi-hop wireless networks, and paper [5] proposes a routing metric called WCETT, which considers expected packet transmission time and channel diversity for a path. To the best of our knowledge, the routing in [5] is most up-to-date system work addressing the routing in  $M^3$ WNs. However, it assumes the channel has been configured by other protocols and only focuses on routing.

There are several works considering both CA and routing, but not jointly as done in this paper. Paper [26] provides a combined solution consisting of channel assignment and routing by assuming each node has enough number of homogeneous radios and assigns some of the radios fixed on certain channel only for receiving. Besides that we consider jointly CA and routing, another difference from the work [26] is that we consider heterogeneous radios and does not require each node in the network equipped more than one homogenous radio. Both papers [28] and [29] propose a mesh based framework for routing and channel assignment, where the focused mesh has access points (AP) connected by a wired network. The difference of the two papers is that paper [28] chooses to solve the AP selection problem for a single radio case, while paper [29] proposes a channel assignment solution by categorizing multi-radios into uplink and downlink radios, and proposes a load balanced routing to AP. Unfortunately, the two solutions can only be used in a wireless network whose topology is a tree based structure.

Therefore, none of the related work jointly considers routing and channel assignment for a  $M^3$ WN with one or more heterogeneous commercial standardized radios on each node. In addition, the analysis of the hidden traffic and explicit interference consideration also differentiates our work with others.

## VIII. CONCLUSIONS

In this paper, we discuss how to improve the performance by joint channel assignment and routing for a heterogeneous multi-radio multi-channel multi-hop wireless network. Targeting at developing a distributed algorithm, we first present CCM as a critical metric that quantifies the difference for various JCAR patterns in terms of air time cost due to collisions. The basic idea of our distributed JCAR algorithm is to select a JCAR pattern that results in smallest metric at each node locally, where both the feasibility and connectivity are guaranteed in the algorithm. We propose a novel software solution, called Layer 2.5 CA, which resides between the 802.11 MAC layer and routing layer to coordinate – in a distributed fashion and without resorting to tight clock synchronization – the channel assignment and routing among neighboring nodes in a multi-hop wireless network. We built a multi-hop wireless network testbed with 9 wireless nodes, each equipped with multiple 802.11 a/b/g combo cards. Through extensive simulations using the network simulator NS2 and experimental testing on the testbed, we have demonstrated the efficacy of our proposed software solution. We plan to expand our testbed and perform more extensive testing. In addition, we plan to further explore several design issues (e.g., chain puzzle, mobility, etc) and improve the performance and efficiency of our protocol on channel switching.

#### ACKNOWLEDGEMENT

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## Appendix A

### Estimation of the collision probability on a link

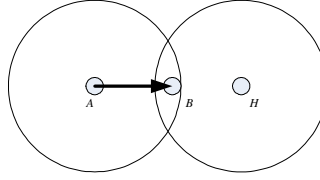


Fig.A.1 Scenario for hidden terminal

CSMA/CA is used in the current 802.11 MAC and will also be used in future UWB MAC as a contention based access method. It provides satisfactory collision avoidance if the interfering transmitters could sense each other. Therefore, majority wireless collisions in multi-hop wireless networks are due to the *hidden terminal* effect [3]. The classical *hidden terminal* scenario is shown in Fig.A.1, where node  $H$  can not sense the transmission from node  $A$  to  $B$ . When node  $H$  starts a transmission, it may cause collision at node  $B$ , and therefore node  $H$  is called a hidden terminal to node  $A$ . In the following discussion, for simplicity, we only focus on the collisions caused by hidden terminals, and ignore the collisions caused by contention nodes within the range of carrier sense since the chance for the latter to occur is much smaller than that caused by hidden terminals in a multi-hop scenario.

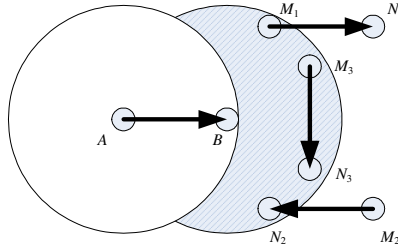


Fig.A.2 Scenario for hidden links

If an interfering link that contains hidden terminals to the data transmission on a given link, this interfering link is called as a hidden link of the given link. For example, in Fig.A.2, links from node  $M_x$  to  $N_x$  ( $x=1,2,3$ ) containing hidden terminals (node in the shadowed area) to the transmission on link from node  $A$  to  $B$ , ( $L_{(A,B)}^l$ ), are hidden links of link  $L_{(A,B)}^l$ . We categorize the hidden links by the location of the interfering nodes (hidden terminals) into three cases: hidden link, co-hidden link and full-hidden link. The three classes of hidden links are shown in Fig.3, and are discussed below.

Case 1: we consider link  $L_{(M_1,N_1)}^l$ , where only the data transmission from source node  $M_1$  is interfering with the reception of link  $L_{(A,B)}^l$  at node  $B$ . In this case, link  $L_{(M_1,N_1)}^l$  is a hidden link of link  $L_{(A,B)}^l$ . Case 2: we consider link  $L_{(M_2,N_2)}^l$ , where only the ACK transmission to destination node  $N_2$  is interfering with link  $L_{(A,B)}^l$ . Note that link  $L_{(M_1,N_1)}^l$

and link  $L_{(M_2, N_2)}^l$  are symmetric, therefore we say link  $L_{(M_2, N_2)}^l$  is a co-hidden link of link  $L_{(A, B)}^l$ . Case 3: for link  $L_{(M_3, N_3)}^l$ , both data and ACK transmissions are interfering with link  $L_{(A, B)}^l$ . We refer link  $L_{(M_3, N_3)}^l$  as a full hidden link of link  $L_{(A, B)}^l$ . For convenience, we use  $H_x(L_{(A, B)}^l)$  to denote the hidden link case  $x$  ( $x=1,2,3$ ) observed at link  $L_{(A, B)}^l$ .

As discussed before, to compute the collision probability, we only need to consider traffic on these hidden links since they contribute most to the collisions observed at link  $L_{(i, j)}^l$ . We assume that the traffic pattern on all interfering links follows Poisson arrival. So the collision probability is given by

$$P_{(i, j)}^l = 1 - \exp\left\{-\sum_{L_{(m, n)}^l \in H_1(L_{(i, j)}^l)} \lambda_{(m, n)}^l (T_{(i, j)}^{l, DATA} + T_{(m, n)}^{l, DATA}) - \sum_{L_{(m, n)}^l \in H_2(L_{(i, j)}^l)} r_{(m, n)}^l (T_{(i, j)}^{l, DATA} + T_{(m, n)}^{l, ACK}) - \sum_{L_{(m, n)}^l \in H_3(L_{(i, j)}^l)} [\lambda_{(m, n)}^l (T_{(i, j)}^{l, DATA} + T_{(m, n)}^{l, DATA}) + r_{(m, n)}^l T_{(m, n)}^{l, ACK}]\right\}$$

$$\approx \sum_{L_{(m, n)}^l \in H_1(L_{(i, j)}^l)} \lambda_{(m, n)}^l (T_{(i, j)}^{l, DATA} + T_{(m, n)}^{l, DATA}) + \sum_{L_{(m, n)}^l \in H_2(L_{(i, j)}^l)} r_{(m, n)}^l (T_{(i, j)}^{l, DATA} + T_{(m, n)}^{l, ACK}) + \sum_{L_{(m, n)}^l \in H_3(L_{(i, j)}^l)} [\lambda_{(m, n)}^l (T_{(i, j)}^{l, DATA} + T_{(m, n)}^{l, DATA}) + r_{(m, n)}^l T_{(m, n)}^{l, ACK}]$$

where  $T_{(i, j)}^{l, DATA}$  and  $T_{(i, j)}^{l, ACK}$  denote the transmission time of one DATA frame and one MAC ACK frame on link  $L_{(i, j)}^l$ , respectively.

Therefore, if we have the traffic information  $r_{(i, j)}^l$  and channel status on each link, we can estimate the collision probability recursively by assuming the hidden links for any link are known (which is reasonable as these hidden links are determined by the node locations).

## Appendix B

### Algorithm to check network connectivity

Before, we describe the algorithm, we first introduce some notation. For node  $i$ , the set of its neighbor nodes on channel  $l$  is denoted as  $N_i^l$ , then the whole set of neighbor nodes of node  $i$  is  $N_i = \bigcup_l N_i^l$ . We further divide  $N_i$  into two classes, denoted by  $N_{i, s}$  and  $N_{i, m}$ , respectively, where  $N_{i, s}$  consists of all nodes connecting to node  $i$  using exact one channel, and  $N_{i, m} = N_i - N_{i, s}$ . Further, let  $N_{i, s}^l$  denote the set of all single radio neighbors of node  $i$  on channel  $l$ .  $N_{i, m}^l = N_i^l - N_{i, s}^l$  denotes the multi-radio neighbors of node  $i$ , but each of them has at least one connection to node  $i$  (i.e., the connection on channel  $l$ ).

Next we would like point out one interesting and critical phenomena in checking whether the connectivity can be maintained under a new JCAR pattern. As shown in Fig.4, to keep connectivity, a neighbor node  $k \in N_{i, s}^l \cup N_{j, s}^l$  (that has single channel connectivity with node  $i$  or  $j$  on channel  $l$ ), must also switch channel with node  $i$  and  $j$ . However, if node  $k$  further has its single channel neighbor  $q$  on  $l$  that is not a neighbor node of node  $i$  or  $j$ , i.e.,  $N_{k, s}^l - N_{i, s}^l \cup N_{j, s}^l \neq \{0\}$ , then the connectivity of nodes  $k$  and  $q$  will break if  $q$  does not switch with  $k$ . In the above example, node  $i$  should give up the channel switching with node  $j$ . Note here even for a neighbor with multiple radios, it may still have the chain puzzle problem. For example, node  $k'$  is a multi-radio neighbor of node  $i$  or  $j$ , where a dashed line denotes the case when there

is another connection between  $j$  and  $k'$  in Fig.4. If node  $k'$  has single channel connectivity on  $l$  with any node  $k \in N_{i,s}^l \cup N_{j,s}^l$ , then we also need to check whether  $k'$  contains chain puzzle since it needs to switch channel to maintain the connectivity with  $k$ . We summarize the connectivity maintenance procedures, in pseudo codes, in Fig.A.3. The algorithm returns either a set of nodes that will switch with nodes  $i$  and  $j$ , the set  $Q$ , or indicates that the switch is denied.

---

```

Connectivity( $i, j, l$ ) {
Define :  $\hat{N}_{i,j,s}^l = N_{j,s}^l \cup N_{i,s}^l - \{i\} - \{j\}$ 
         $\hat{N}_{i,j,m}^l = N_{j,m}^l \cup N_{i,m}^l - \{i\} - \{j\}$ 
Variable :  $Q = \hat{N}_{i,j,s}^l$ 
1  Scan :
2  For each  $k' \in \hat{N}_{i,j,m}^l - Q$ 
3    If ( $\exists k \in Q$  AND  $k' \in N_{k,s}^l$ )
4      {  $Q = Q \cup \{k'\}$ ; go to Scan; }
5  For each  $k \in Q$ 
6    If ( $N_{k,s}^l - N_{j,s}^l \cup N_{i,s}^l \neq \{0\}$ ) {return FALSE;}
7  return  $Q$ ;
}

```

---

Fig.A.3 Pseudo code for connectivity

## Appendix C

### Pseudo codes for the distributed JCAR algorithm

---

```

Variable :  $\Delta_{cache} = TH_{CA}, P_{cache} = \bar{p}$  (the current JCAR pattern)
When  $F_i^l > Th_H$ , trigger JCAR for channel  $l$ 
1  For each node  $j \in N_i^l$ 
2    Pattern selection :  $P = Pattern(i, j, l)$ ;
3    For each pattern  $p \in P$ 
4       $\Delta_p = CCM_{i,p} - CCM_{i,\bar{p}}$ ;
5      If ( $\Delta_p < \Delta_{cache}$ ) {
6         $\Delta_{cache} = \Delta_p; P_{cache} = p$ ; }
7  If ( $P_{cache} \neq \bar{p}$ ) {deploy new pattern  $P_{cache}$ ; }

```

---

---

```

Pattern(i, j, l) {
Variable: P = P' = P'' = P' = {0};
1 //CA pattern selection :
2   If (Connectivity(i, j, l) ≠ FALSE) {
3     Sort channel set S = {l̂ ∈ CS(l)} by Fil̂ from least;
4     For each l̂ ∈ S
5       Pattern set P = {pattern : l → l̂}
6       For each p ∈ P
7         If (feasibility(p) == FALSE) {P = P - {p};}
8         If (P ≠ {0}) goto next;
9   }
10 next : //routing pattern selection
11   For channel l̄ (between i and j, heterogeneous to l)
12     P' = P' ∪ {Pattern : move traffic from l to l̄}
13     For each p ∈ P'
14       If (feasibility(p) == FALSE) {P' = P' - {p};}
15 //pattern with both CA and routing selection :
16   For each channel l̄ (between i and j, heterogeneous to l)
17     If (Connectivity(i, j, l̄) ≠ FALSE) {
18       Sort channel set S = {l̄' ∈ CS(l̄)} by Fil̄' from least;
19       For each l̄' ∈ S
20         P' = {Pattern : l̄ → l̄', and move traffic from l to l̄'}
21         For each p ∈ P'
22           If (feasibility(p) == FALSE) {P' = P' - {p};}
23           If (P' ≠ {0})
24             {P'' = P'' ∪ P'; jump out(For each l̄' ∈ S);}
25     }
26   return P = P ∪ P' ∪ P'';

```

---

Fig.A.4 Pseudo code for JCAR algorithm

#### Appendix D Further refinement -- channel reconfiguration

In the example of section II, we observe that a newly constructed CA pattern is only suitable for traffic on certain paths, and it may increase the possibility of chain puzzle since connectivity maintenance only guarantees there is at least one channel between a node pair. When the channel utilization on channel  $l$  is fairly low, to make the CA more diverse and more flexible for future traffic and to reduce the possibility of creating chain puzzle, we perform a reconfiguration operation. The operation attempts to switch channel  $l$  to the one that has been used mostly in the neighborhood of a node so that the connectivity for all node pairs within the two-hop range is increased.

The detailed procedure for channel reconfiguration is as follows, 1) when the utility of one channel is lower than a certain threshold, it triggers the channel reconfiguration operation 2) for each neighbor node, it estimates the effect of a

channel switching for  $Q = N_i' \cup N_j'$ , and removes those patterns that result in network partition. 3) select  $l'$  that is supported by the interface, and  $l'$  satisfies that  $F_i^{l,l^*} < F_i^{l',l^*}$  and  $F_i^{l,l^*} + F_i^{l',l^*} < Th_H - \delta$ . 4) if  $l'$  exists, select the CA resulting the largest connectivity, where a connectivity between any two nodes within the set of the two hop neighbors of both  $i$  and  $j$  is counted by 1. Then the channel switching operation will be initiated.

Therefore, the distributed JCAR protocol should utilize certain diverse channel and routing pattern to improve the performance of current system when the traffic load is high, and utilize as few as channels as possible to enlarge connectivity between nodes when the traffic load is fairly light. Intuitively, utilizing a few common channels makes the network topology flexible and reduces the possibility of chain puzzle in future patterns, which in turn gives more choices for selecting new JCAR patterns. Thus, our distributed JCAR works as follows:

- 1) when the FAT on a channel is higher than  $Th_H$ , trigger the distributed JCAR algorithm to search for new patterns which may have a smaller CCM;
- 2) when the FAT on a channel is lower than  $Th_L$ , trigger the procedure to reconfigure the channels for larger connectivity.