RESEARCH

# Distribution and Evolution of CTG Repeats at the Myotonin Protein Kinase Gene in Human Populations

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We have analyzed the CTG repeat length and the neighboring Alu insertion/deletion (+/-) polymorphism in DNA samples from 16 ethnically and geographically diverse human populations to understand the evolutionary dynamics of the myotonic dystrophy-associated CTG repeat. Our results show that the CTG repeat length is variable in human populations. Although the (CTG)<sub>5</sub> repeat is the most common allele in the majority of populations, this allele is absent among Costa Ricans and New Guinea highlanders. We have detected a (CTG)<sub>4</sub> repeat allele, the smallest CTG known allele, in an American Samoan individual. (CTG)<sub>>10</sub></sub> alleles are the most frequent in Europeans followed by the populations of Asian origin and are absent or rare in Africans. To understand the evolution of CTG repeats, we have used haplotype data from the CTG repeat and Alu(+/-) locus. Our results are consistent with previous studies, which show that among individuals of Caucasian and Japanese origin, the association of the Alu(+) allele with CTG repeats of 5 and  $\geq$ 19 is complete, whereas the Alu(-) allele is associated with (CTG)<sub>11-16</sub> repeats. However, these associations are not exclusive in non-Caucasian populations. Most significantly, we have detected the (CTG)<sub>5</sub> repeat allele on an Alu(-) background in several populations including Native Africans. As no (CTG)<sub>5</sub> repeat allele on an Alu(-) background was observed thus far, it was proposed that the Alu(-) allele arose on a (CTG)<sub>II-13</sub> background. Our data now suggest that the most parsimonious evolutionary model is (1)  $(CTG)_5 - Alu(+)$  is the ancestral haplotype; (2) (CTG)<sub>5</sub>-Alu(-) arose from a (CTG)<sub>5</sub>-Alu(+) chromosome later in evolution; and (3) expansion of CTG alleles occurred from  $(CTG)_{s}$  alleles on both A/u(+) and A/u(-) backgrounds.

Myotonic dystrophy (DM), one of the most common neuromuscular genetic disorders in adults, is characterized by myotonia accompanied by progressive weakness and wasting of distal muscles, cataracts, and cardiac arrhythmias (Harper 1989). It became the third example of human genetic disorders associated with trinucleotide repeat expansion when a normally polymorphic CTG repeat (5–37 repeats in normal individuals) located in the 3' untranslated region (UTR) of the myotonin protein kinase gene (DMPK) on 19q13.3 was found to be unstable and massively expanded (from 50 to several thousand repeats)

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in DM patients (Brook et al. 1992; Buxton et al. 1992; Fu et al. 1992; Mahadevan et al. 1992). DM represents one of the most striking examples of anticipation (Harper et al. 1992). The question of meiotic drive at this locus, however, still remains an issue of controversy (Carey et al. 1994; Gennarelli et al. 1994; Hurst et al. 1995). Although the global incidence of DM is not well known, it varies from ~1/8000 among Caucasians (Harper 1989) to ~1/18000 among Japanese (Davies et al. 1992). Among Africans, DM is extremely rare; to date only one family from Nigeria with DM has been reported (Dada 1973). An Alu insertion/deletion (+/-) polymorphism, intragenic to the DMPK gene and located 5 kb telomeric to the CTG repeat, was shown to be in complete linkage disequilibrium with the DM mutation (Mahadevan et al. 1993). A single origin of the DM mutation was postulated based on an initial observation that all disease chromosomes in Eurasian patients were found on the Alu(+) background (Harley et al. 1992; Yamagata et al. 1992; Lavedan et al. 1994). Imbert et al. (1993) further examined the issue of the origin of the DM mutation by analyzing linkage disequilibrium between normal CTG repeat alleles, the Alu(+/-) polymorphism, and a CA repeat polymorphism 90 kb distal to the CTG repeat. The smallest CTG repeat allele (repeat 5) and the large normal alleles (repeats 16-36) were found to be completely associated with the Alu(+) allele, whereas the intermediate alleles (11-13 repeats) were more often (99%) associated with the Alu(-) allele. On the basis of this observation, Imbert et al. (1993) proposed that through a few early events, the 5-repeat allele mutated to an allele of 19 to 30 repeats, and in turn, this later group of alleles has served as precursor of the expanded disease alleles by recurrent mutations. The Alu(+/-) polymorphism probably evolved through the deletion of an Alu element early in human evolution, because the Alu(+) allele is the only allele present at the orthologous location in nonhuman primates, and this allele is present in most human populations (Rubinsztein et al. 1994). Recent studies in global populations show that the nonrandom association between CTG repeats and the Alu(+/-) polymorphism is not always observed (Rubinsztein et al. 1994; Goldman et al. 1995; Zerylnick et al. 1995). Most strikingly, in the lone reported DM family from Nigeria, the expanded alleles were found on an Alu(-) background (Krahe et al. 1995). These findings cast doubt on the validity of the hypothesis proposed by Imbert et al. (1993) and the notion of a particular haplotype predisposed to expansion (Neville et al. 1994). To better understand the evolution and dynamics of the CTG repeats at the DMPK gene, we have analyzed the CTG repeat and the Alu(+/-) polymorphism in DNA samples from ~800 individuals belonging to 16 geographically and ethnically diverse populations encompassing all major racial groups.

## RESULTS

## CTG Repeat Distribution in Human Populations

The CTG repeat length was determined in a total of 802 individuals belonging to five major groups of human populations, namely Caucasians, Asian Mongoloids, Africans, American Indians, and Pacific islanders. Twenty-seven alleles in the range of 4-34 repeats were detected in the pooled sample. The population-specific allele frequencies, grouped in six repeat classes, are given in Table 1. The majority of the populations show two major peaks, one at the smallest allele, 5 repeats, and the other in the class of 11–14 repeats. The Caucasians, excepting the Indian Brahmans, show a third mode at the 19-30 repeat class. However, there are a few exceptions. The Costa Rican Cabecar population has alleles exclusively in the 11-14 repeat class; allele 5 is absent among the New Guinea highlanders and is present at a relatively low frequency (6.5%) among the Pehuenche Indians. These two populations are also characterized by high frequencies of alleles of 11-14 repeats, 76% and 81%, respectively. Another interesting finding is a four-repeat allele in an American Samoan individual. With the exception of two populations, Brazilian white  $(\chi^2 = 3.77; P = 0.04)$  and New Guinea highlander  $(\chi^2 = 7.68; P < 0.001)$ , no significant deviation from Hardy-Weinberg expectations was observed in any population.

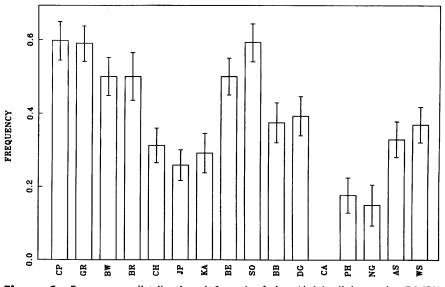
Because of their being implicated as the alleles predisposed to expansion (Imbert et al. 1993), the distribution of large normal alleles in the class  $\geq$ 19 repeats is of interest. When the frequencies of this class of alleles are compared among the populations for whom the incidence of DM is known, the observed trend is in accordance with the expected. Globally, western Europeans are known to have the highest incidence of DM followed by the Japanese. The incidence of DM is lowest among Africans. This is parallel to

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Populations, their anthropological affinity		ge of at size	No. of	Re	peat siz	e class a	nd their	frequenc	ies
(no. of chromosomes)	min	max	alleles	≤5	6–10	11–14	15–18	19–30	≥31
Caucasians							0,000,000,000,000,000		
CEPH (158)	5	28	14	.399	.038	.430	.057	.076	·
German (104)	5	27	15	.327		.442	.019	.212	
Brazilian white (100)	5	25	15	.390	.050	.460	.030	.070	
Indian Brahman (72)	5	27	12	.333	.042	.472	.111	.042	
Asian Mongoloids									
Chinese (102)	5	23	12	.294	.039	.569	.088	.010	
Japanese (176)	5	28	15	.176	.017	.656	.074	.074	—
Kachari (86)	5	26	10	.302	.128	.523	.023	.023	
Africans									
Benin (100)	5	18	11	.320	.140	.520	.020	_	
Sokoto (106)	5	19	11	.264	.198	.500	.028	.009	
Brazilian black (84)	5	28	13	.191	.083	.655	.012	.060	
American Indians									
Dogrib Indian (100)	5	34	9	.310	.020	.620		.020	.030
Cebecar (104)	11	13	3			1.00	_		
Pehuenche Indian (92)	5	27	9	.065	.087	.761	.022	.065	_
Pacific islanders									
New Guinea highlander (100)	9	19	10	_	.130	.810	.040	.020	_
American Samoa (98)	4	20	9	.327	.031	.449	.184	.010	
Western Samoa (100)	5	25	8	.350	.040	.520	.080	.010	

Table 1.	Frequency of	CTG Repeat All	leles in 16 Humar	n Populations
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the observed frequencies of  $\geq 19$  repeat alleles in our examined populations, from a frequency of 21% among the Germans to 7.4% among the Japanese to virtual absence among Native Africans. The relatively higher frequency (6%) of large alleles in the Brazilian black population can be at-



**Figure 1** Frequency distribution  $(\pm 1 \text{ s.p.})$  of the Alu(+) allele at the DMPK locus in 16 human populations.

tributed to Caucasian admixture. Although ethnically closer to the Japanese, the absence of these large alleles among Chinese is a notable observation because DM is reported to be very rare in China (Ashizawa and Epstein 1991). Despite significant intrapopulation variations among the major population groups, in general, the frequencies of  $\geq 19$  repeat alleles are significantly elevated in Caucasians as compared with other major ethnic groups. The prevalence of DM among American Indians and Pacific islanders is not known. Nevertheless, one of these populations, the Dogrib Indians,

Table 2.	CTG-Repea	it and Alu	CTG-Repeat and $Alu(+/-)$ Haplotype Frequencies in Four Caucasian Populations	otype Free	quencies i	in Four Cau	casian Po <sub>l</sub>	pulations				
						Popula	Populations <sup>b</sup>					
		CP (82)			GR (100)			BW (94)			BR (60)	
(CTG) <sup>a</sup>	(+)	(-)	$D/D_{max}$	(+)	(-)	$D/D_{max}$	(+)	(-)	$D/D_{max}$	(+)	(-)	$D/D_{max}$
   	000		1 000	000		1 000	101			rrc		
<u>،</u> ر	065.		1.002	.320	1	-00.1	404.		-00.1	117.	.023	0.85
9	I	l	1		I	1		-		1	.017	-1.00
2			ł				.010		1.00	ł		1
∞	1						1	.011	-1.00	1		1
10	.037		1.00				ļ	.032	-1.00	1	0.17	-1.00
11	.001	.134	-0.99 <sup>d</sup>	I	.100	-1.00 <sup>℃</sup>		.106	-1.00 <sup>c</sup>	I	.217	-1.00 <sup>c</sup>
12	No.	.146	-1.00 <sup>c</sup>	-	.130	-1.00 <sup>c</sup>	-	960.	-1.00 <sup>c</sup>	.010	.073	-0.78
13	.012	.110	-0.84 <sup>c</sup>		.150	-1.00 <sup>€</sup>	.021	.202	–0.81 <sup>c</sup>	.042	.125	-0.49
14	.049	.012	-0.50	.040	.030	-0.03	I	.021	-1.00	.018	.015	-0.08
15					1		.010	.021	-0.33	.033		1.00
16	.037	l	1.00	.010	I	1.00	1			.036	.014	0.45
17	1		1	****	1	ļ				.033		1.00
19				.030		1.00		.011	-1.00			
20	.012		1.00	.020		1.00	.011		1.00	1		1.00
21	.024		1.00	.060	ļ	1.00 <sup>d</sup>	.011		1.00			
22	.024	l	1.00	.060		1.00 <sup>d</sup>	.011		1.00	]		
23	I	1		.010		1.00	.011	-	1.00	.033		1.00
24	.012	l	1.00	.010		1.00				1		
25	I	l				1	.011		1.00			
26		I		.010	-	1.00	-					
27		l		.020	I	1.00	I	I		.017	1	1.00
<sup>a</sup> (CTC), ind <sup>b</sup> (CP) CEPH $h(-)$ . $D/D_1$ $C\chi^2$ for D is <sup>c</sup> \chi^2 for D is	<sup>a</sup> (CTG), indicates number of CTG repeats. <sup>b</sup> (CP) CEPH parents; (GR) German; (BW) Brazilian white; <i>Alu</i> (-). <i>D/D<sub>max</sub></i> values are always in reference to the (C <sup>T</sup> $\chi^2$ for <i>D</i> is significant at $P < 0.01$ . <sup>d</sup> $\chi^2$ for <i>D</i> is significant at $P < 0.05$ .	of CTG repea erman; (BW)   ways in refen < 0.01.	azilian white; ce to the (C	BR) Indian Br. G) repeat alle	ahman. Num les in associa	bers in parenth tion with the A	eses indicate <i>lu</i> (+) allele.	number of ch	(BR) Indian Brahman. Numbers in parentheses indicate number of chromosomes studied. (+) indicates Alu(+); (–) indicates ΓG) repeat alleles in association with the Alu(+) allele.	ldied. (+) indi	icates Alu(+); (–	) indicates
\$	7											

### EVOLUTION OF CTG REPEATS AT THE DM LOCUS

has large alleles (repeats 32, 33, 34) that have not been observed in other populations. The Pehuenche Indians also have a comparably higher frequency (6.5%) of  $\geq$ 19 repeat alleles. It would be interesting to screen these populations for the presence of DM.

## Association between CTG Repeats and Alu(+/-) in Global Populations

The *Alu*(+/–) polymorphism was determined in a subset of the individuals examined (677 of 802). Frequencies of the insertion allele in the populations studied are shown in Figure 1. In general, the proportion of the insertion allele is almost equal or slightly higher than the deletion allele among the Caucasians and Africans, with the exception of Brazilian blacks, who have a significantly lower frequency of the insertion allele. It is known that Brazilian blacks are admixed with Caucasians; it is plausible that both of these populations are admixed with the local American Indians as well. In contrast, the Asiatic, American

Indian, and Pacific island populations are characterized by a significantly higher frequency of the deletion allele, with the Cabecar being monomorphic for this allele. All populations are in Hardy–Weinberg equilibrium.

We have determined haplotype frequencies of the CTG repeats and the Alu(+/-) polymorphism using the algorithm of Long et al. (1995) of haplotype frequency estimation from twolocus genotype data. Associations of the CTG repeat alleles with the *Alu*(+) alleles were measured by the relative value of linkage disequilibria (D/  $D_{\text{max}}$ ) coefficients (Lewontin 1964). The haplotype frequencies along with the  $D/D_{max}$  values are presented in Tables 2-6. The results can be summarized as follows: (1) Although the (CTG)<sub>5</sub> repeat is significantly associated with the Alu(+)allele in all populations, this repeat is also found with the Alu(-) allele in several populations, including the Indian Brahman, Benin African, Brazilian black, and Pehuenche Indian samples. Thus, the association of the (CTG)<sub>5</sub> repeat allele with Alu(+) is not exclusive for all human popu-

					Populatio	ons <sup>a</sup>			
		CH (96	)		JP (104	)		KA (72)	
(CTG) <sub>n</sub>	(+)	(-)	D/D <sub>max</sub>	(+)	(-)	D/D <sub>max</sub>	(+)	(-)	D/D <sub>ma</sub> ,
5	.292		1.00 <sup>b</sup>	.139		1.00 <sup>b</sup>	.264	_	1.00 <sup>b</sup>
7		.021	-1.00	_					_
8		.010	-1.00			_		—	_
10	_	.010	-1.00	.009	.009	0.34	_	.125	–1.00 <sup>b</sup>
11		.073	-1.00	.019	.195	–0.66 <sup>c</sup>	_	.181	-1.00 <sup>c</sup>
12	_	.260	–1.00 <sup>b</sup>	_	.296	–1.00 <sup>b</sup>		.222	–1.00 <sup>b</sup>
13	_	.156	–1.00 <sup>b</sup>		.213	–1.00 <sup>b</sup>	_	.097	-1.00
14	.010	.083	-0.64	.009		1.00		.069	-1.00
15	_	.052	-1.00		.019	-1.00		_	_
16	_	.011	-1.00	.009	.009	0.34		.014	-1.00
17				.009		1.00	0.14		1.00
18	.011	.011	0.28	_					
21		_	_	.009		1.00	_		_
22	_			.009		1.00		_	_
23			_	.019		1.00 <sup>c</sup>	_		_
24				<del></del>			.014	_	1.00
26				.019		1.00 <sup>c</sup>			
27				.009		1.00			

See Table 2 for additional explanation.

<sup>a</sup>(CH) Chinese; (JP) Japanese; (KA) Kachari.

 ${}^{b}\chi^{2}$  for D is significant at P < 0.01.

 $^{c}\chi^{2}$  for D is significant at P < 0.05.

					Populatio	ns <sup>a</sup>			
		BE (100	))		SO (86	)		BB (80	)
(CTG) <sub>n</sub>	(+)	(-)	D/D <sub>max</sub>	(+)	(-)	D/D <sub>max</sub>	(+)	(-)	D/D <sub>max</sub>
5	.268	.052	.068 <sup>b</sup>	.256		1.00 <sup>b</sup>	.162	.012	.088 <sup>t</sup>
6		.020	-1.00		_		_	_	
7	.009	.011	-0.10	.023	_	1.00		.025	-1.00
8	.011	.019	-0.28	.023	_	1.00	.038	_	1.00 <sup>c</sup>
9		—			.023	-1.00			
10	.057	.013	0.62	.114	.026	0.55	.013	.013	0.20
11	.069	.081	-0.08	.054	.121	–0.48 <sup>b</sup>		.125	–1.00 <sup>b</sup>
12	.013	.147	–0.83 <sup>b</sup>	.012	.162	–0.89 <sup>b</sup>	.025	.162	–0.64 <sup>c</sup>
13	.032	.058	-0.29	.030	.052	-0.39		.238	–1.00 <sup>ь</sup>
14	.031	.089	-0.49	.070	_	1.00 <sup>c</sup>	.079	.034	0.52 <sup>c</sup>
15	_	_		.011	.012	-0.16			—
16		.010	-1.00				_	.012	-1.00
18	.010	_	1.00		—	_			—
19	_			—	.011	-1.00		_	
21		_	_			_	.021	.004	0.75
23					_	_	.013	<u> </u>	1.00
24		_	_			_	.012	_	1.00
28	—		_	_	_		.012	_	1.00

Table 4. CTG Repeat and Alu(+/-) Haplotype Frequencies in Three Populations of African Origin

 ${}^{\rm b}\chi^2_{-}$  for D is significant at P < 0.01.

 $c\chi^2$  for *D* is significant at *P* < 0.05.

lations. (2) In most populations  $\geq 90\%$  of the alleles in the  $(CTG)_{11-13}$  repeat class are associated with the Alu(-) allele. Among Native Africans, however, this association is considerably weaker; 29% and 22% of (CTG)<sub>11-13</sub> chromosomes are present on the Alu(+) background among Nigerians of Benin and Sokoto, respectively. (3) (CTG)<sub>≥19</sub> repeats among the Caucasian, Asian Mongoloid, and Pacific island populations are present on the *Alu*(+) background, with a single exception. One Brazilian white (CTG)<sub>19</sub> repeat allele was found in association with the Alu(-) allele. CTG repeats in the ≥19 repeat class are virtually absent in the two Native African populations. The single (CTG)<sub>19</sub> repeat found in the Sokoto population from Nigeria was associated with the Alu(-) allele. In contrast, the Brazilian black population has a significantly higher frequency of  $\geq 19$  repeats, which are exclusively associated with the Alu(+) allele. Interestingly, as mentioned above, in two American Indian populations, the Dogrib and Pehuenche, larger CTG repeat alleles in the  $\geq$ 19 class are present at comparatively higher frequencies. Among the Dogrib, all of these alleles are exclusively associated with the *Alu*(+) allele. However, a pronounced disassociation was observed among the Pehuenche, among whom 41% of these larger CTG repeats are associated with the *Alu*(-) allele. (4) The other CTG repeat arrays show a largely random distribution between *Alu*(+/-) alleles. (5) Linkage disequilibrium values between the (CTG)<sub>n</sub> and *Alu*(+/-) loci are highly significant [*P* < 0.002, by the likelihood ratio test of over all linkage disequilibrium (Long et al. 1995)] in all populations studied (data not shown).

## DISCUSSION

There are two principal objectives of this study. First, we report frequencies of CTG repeats at the DMPK locus in normal individuals from population samples of diverse ethnic and geographic origin. Second, our analysis of CTG repeat alleles in

		Populations <sup>a</sup>										
		DG (84	)		CA (96	)		PH (62)	)			
(CTG) <sub>n</sub>	(+)	(-)	D/D <sub>max</sub>	(+)	(-)	D/D <sub>max</sub>	(+)	(-)	D/D <sub>max</sub>			
5	.321	_	1.00 <sup>b</sup>				.064	.017	0.75 <sup>b</sup>			
10		.024	-1.00		_	_		.065	-1.00			
11	_	.202	–1.00 <sup>b</sup>	_	.042	-1.00	.033	.402	-0.57			
12	.012	.167	–0.83 <sup>b</sup>		.125	-1.00	_	.032	-1.00			
13		.214	–1.00 <sup>b</sup>		.833	-1.00	.001	.274	–1.00 <sup>c</sup>			
14	_				_		.016	_	1.00 <sup>c</sup>			
16	_	_	_	—			.016		1.00 <sup>c</sup>			
24	_			_	_		.047	.017	0.68 <sup>b</sup>			
27	.023	_	1.00	_		_	_	.016	-1.00			
32	.013		1.00	_	_		_	_				
33	.012		1.00		_	_		_				
34	.012	_			_			_				

Table 5. CTG Repeat and Alu(+/-) Haplotype Frequencies in Three American

association with the intragenic Alu (+/-) polymorphism in the examined populations provide insight into the evolutionary origin of CTG repeat arrays. Such information is helpful in understanding the molecular epidemiology and natural history of DM.

With regard to DM, available data show a positive correlation between the incidence of the disease and the frequency of large, normal CTG repeats (size  $\geq$ 19) in European and Japanese populations (Davies et al 1992; Imbert et al. 1993; Yamagata et al. 1996). Thus, it was postulated that normal alleles of size ≥19 CTG repeats constitute a reservoir for recurrent expansion mutations, which compensate for the loss of the disease alleles attributable to the negative effect of anticipation, and consequently maintain the disease incidence at a constant rate (Imbert et al. 1993; Neville et al. 1994). These observations were based on the results of the initial studies in populations with known incidence of DM, which were conducted soon after the discovery that expanded CTG repeats cause DM. The absence or rarity of DM among Africans associated with a significant paucity of the large normal alleles in these populations confirmed this proposition (Goldman et al. 1994; Watkins et al. 1995). The results of subsequent studies in other populations

are in agreement with this hypothesis (Rubinsztein et al. 1994; Zerylnick et al. 1995). In this study we have examined a wider range of human populations of defined origin. With the exception of Europeans, Japanese, and Native Africans. the incidence of DM in the other populations is not well documented. A questionnaire survey reported that DM is more prevalent among the North Eurasians and rare in African and Southeast Asian populations (Ashizawa and Epstein 1991). As such, if frequency of  $(CTG)_{\geq 19}$  alleles is an indicator of prevalence of DM, our study of the distribution of CTG repeats provides an indirect source of information on population-specific prevalence of this disease.

The results of our study show that although there is considerable interpopulation variation in the frequencies of CTG repeats, (CTG)<sub>5</sub> is the smallest and most common allele in the majority of the populations, and the combined frequency of the  $(CTG)_{11-14}$  repeats is the most prevalent of all classes of CTG repeat arrays in all populations, irrespective of their origin. These findings are in agreement with the results of previous investigations. However, there are a few exceptions. The Cabecar of Costa Rica are unimodal for the (CTG)<sub>11-14</sub> repeat class; (CTG)<sub>5</sub> is absent among the New Guinea highlanders, and this repeat has

	Populations <sup>a</sup>											
		NG (40	)		AS (94)	)		WS (100	))			
(CTG) <sub>n</sub>	(+)	(-)	D/D <sub>max</sub>	(+)	(-)	D/D <sub>max</sub>	(+)	(-)	D/D <sub>max</sub>			
4	_			.011		1.00	_		_			
5			_	.309	_	1.00 <sup>b</sup>	.350	_	1.00 <sup>b</sup>			
9		.075	-1.00	_	.032	-1.00	_	.040	-1.00			
10		.050	-1.00	_	_				_			
11	.050	.250	0.05	_	.043	-1.00	_	.090	–1.00 <sup>c</sup>			
12	_	.150	-1.00	<u> </u>	.021	-1.00	.010	.080	-0.70			
13		.100	-1.00	_	.287	–1.00 <sup>b</sup>		.280	–1.00 <sup>b</sup>			
14	_	.200	-1.00	_	.096	–1.00 <sup>c</sup>	_	.060	-1.00			
15	.025	_	1.00 <sup>c</sup>		.191	–1.00 <sup>b</sup>		.080	–1.00 <sup>c</sup>			
17	.050	.025	0.61 <sup>b</sup>	_	_	_	_					
19	.025	_	1.00 <sup>c</sup>		_	·			_			
20		_		.010		1.00	_		—			
25			_				.010		1.00			

Table 6	. CTG Repeat and Alu(+/-) Haplotype Frequencies in Three Pacific
Islande	r Populations

<sup>a</sup>(NG) New Guinea highlanders; (AS) American Samoa; (WS) Western Samoa.

 ${}^{\rm b}\chi^2$  for *D* is significant at *P* < 0.01.

 $^{c}\chi^{2}$  for *D* is significant at *P* < 0.05.

a particularly low frequency (6.5%) among the Pehuenche Indians. The most likely explanation for these observed departures from the norm is the effect of genetic drift on these populations, whose effective population sizes are much smaller. Interestingly, among the Japanese as well,  $(CTG)_{12}$  is the most frequent repeat (29%) followed by (CTG)<sub>5</sub>. Zerylnick et al. (1995) also have reported a lower frequency of (CTG)<sub>5</sub> among Native Americans and Tibetans. However, their remark that (CTG)<sub>5</sub> may never have been brought to the Americas by the Amerind or Na-Dene migrations is not corroborated by our results. For instance, the Dogrib, a Na-Dene population in our study, has a high frequency (31%) of the (CTG)<sub>5</sub> allele. Notwithstanding these few exceptions, ours as well as the previous studies show that in general, (CTG)<sub>5</sub> is the most common allele in human populations. This observation, further substantiated by conservation of a similar size repeat in the homologous DMPK gene in mouse (Jansen et al. 1992), may suggest that (CTG)<sub>5</sub> is the progenitor of all alleles. Interestingly, we have detected a novel (CTG)<sub>4</sub> repeat allele in an American Samoan individual. This allele could have arisen by slippage from a fiverepeat allele.

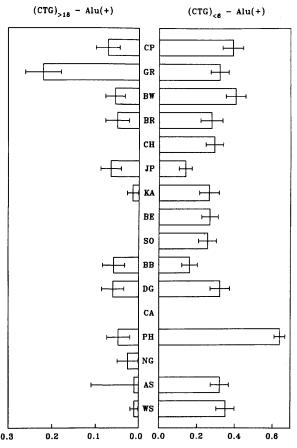
The spectrum of the distribution of  $(CTG)_{\geq 19}$ repeats in the populations with known incidence of DM supports the argument that a comparison of the frequency of these repeats may serve as an indicator of the incidence of the disease in various populations. Thus, for populations among which the incidence of DM has not yet been documented, our results predict a lower prevalence of DM among the Costa Rican Cabecar and Pacific islanders. On the other hand, relatively higher incidence of CTG repeats in the range  $\geq 24$ among the Dogrib and Pehuenche Indians may be indicative of presence of DM.

Our second objective addresses the issue of the evolution of CTG repeats at the DM locus using haplotype frequencies at the linked CTG repeat and the Alu(+/-) loci. Although a number of similar studies have been published previously (Imbert et al. 1993; Neville et al. 1994; Rubinsztein et al. 1994; Goldman et al. 1995; Zerylnick et al. 1995; Yamagata et al. 1996), several of these were limited to single populations, and in most cases haplotypes were constructed using homozygotes at one of the loci, excluding double heterozygotes. Haplotype frequencies estimated from such truncated data obviously do not represent their true frequencies in populations. Therefore, the understanding of the evolutionary dynamics of the CTG repeats has remained incomplete. For the first time, our study reports the estimated haplotype frequencies in healthy subjects on a worldwide scale; the 16 studied populations belonging to five major racial groups encompass a broad spectrum of human genome diversity. Our findings are consistent with previous studies (Imbert et al. 1993; Rubinsztein et al. 1994; Yamagata et al. 1996) that in general, among individuals of Caucasian and Japanese ancestry, the association of the Alu(+) allele with CTG repeats of 5 and  $\geq 19$  is complete (as indicated by  $D/D_{max} = 1.00$ ), whereas the Alu(-) allele is restricted to the CTG repeat class 11-16. Similarly, our results are in agreement with the findings (Rubinsztein et al. 1994; Goldman et al. 1995; Zerylnick et al. 1995) that in non-Caucasian populations, particularly among Africans, the nonrandom associations of (1) Alu(-)and (CTG)<sub>11-13</sub> repeats, and (2) Alu(+) and (CTG)≥19 repeats are not exclusive. This is evident from the values of D/D<sub>max</sub> between CTGrepeat alleles and the Alu(+) allele as shown in Tables 2–6. In addition to these, for the first time we have observed the presence of the (CTG)<sub>5</sub>-Alu(-) haplotype in several of the populations, such as Indian Brahman (Caucasian), Benin, and Brazilian black (African) and Pehuenche Indian (American Indian).

The finding of  $(CTG)_5$  in the Alu(-) background is significant because it provides a new dimension to the evolutionary history of CTG repeats. It refutes the model of Imbert et al. (1993), which proposed that (1) CTG repeats evolved from two founder chromosomes, (CTG)5 in the Alu(+) background and  $(CTG)_{11-13}$  in the Alu(-) background, and (2) (CTG) $_{\geq 19}$  repeats evolved from (CTG)<sub>5</sub> repeats because they share similar haplotypes. After the finding of  $(CTG)_{11-13}$  repeats in association with the Alu(+)allele and  $(CTG)_{\geq 19}$  alleles in association with the Alu(-) allele, a second model was developed by Rubinsztein et al. (1994), which proposed that (1) (CTG)<sub>11-13</sub> repeats evolved from the (CTG)<sub>5</sub> repeats, (2) Alu(-) allele arose on a (CTG)<sub>11-13</sub> allele, and (3) (CTG) $_{\geq 9}$  alleles evolved from (CTG) $_{11-13}$ repeats in both Alu insertion and deletion backgrounds. Despite their differences, both models have assumed that the  $(CTG)_5$ -Alu(-) haplotype is not present in human populations. Our finding leads us to propose a somewhat different model. We propose that the most parsimonious evolutionary model is (1)  $(CTG)_5$ -Alu(+) is the ancestral chromosome because it is the most common haplotype in most human populations and, among nonhuman primates only the Alu(+) allele is observed (Rubinsztein et al. 1994), (2) (CTG)<sub>5</sub>– Alu(-) arose from a (CTG)<sub>5</sub>–Alu(+) chromosome later in evolution, and (3) CTG alleles independently expanded from (CTG)<sub>5</sub> alleles on both Alu(+) and Alu(-) backgrounds, although the rates of expansion may have been different as is evidenced by the relative magnitude of the linkage disequilibrium coefficients given in Tables 2–6.

We find that the hypothesis of Imbert et al. (1993) on the evolution of  $(CTG)_{\geq 19}$  repeats from (CTG)<sub>5</sub> through a few initial events is not tenable for the following reasons. There is a continuous distribution of CTG repeats along both Alu (+) and (-) lineages, especially in the African populations. Second, the fitting of mutation drift models following the approach of Shriver et al. (1993) show that with the exception of two Caucasian populations, the unrelated Centre d'Etudes du Polymorphisme Humain (CEPH) parents and the Brazilian white (cosmopolitan and admixed populations), in all of the populations the observed numbers of CTG alleles agree with the expectations derived under a single step stepwise mutation model (data not shown). This result is at variance with large multistep jumps as proposed by Imbert et al. The lack of correspondence of  $(CTG)_{<6}$ -Alu(+) and  $(CTG)_{>18}$ -Alu(+) haplotype frequencies in the 16 populations studied here (Fig. 2) is also discordant with the hypothesis of Imbert et al. If (CTG)<sub>>18</sub>-alleles evolved from (CTG)<6 alleles on the Alu-insertion background, we should expect a positive correlation of (CTG)<sub><6</sub>-Alu(+) and (CTG)<sub>>18</sub>-Alu(+) haplotype frequencies across populations. In contrast, under parallel expansions of CTG repeats in the two (CTG)<sub><6</sub>-Alu(+) and (CTG)<sub><6</sub>-Alu(-) lineages, no such correlation of  $(CTG)_{<6}$ -Alu(+) and (CTG)<sub>>18</sub>-Alu(+) haplotype frequencies across populations is expected. As shown in Figure 2, this is in agreement with our data on 16 populations where the rank correlation (Kendall's p) of these two haplotype frequencies across 16 populations is 0.35 (P > 0.11). Our model of CTG repeat evolution is more similar to the one proposed by Rubinsztein et al. (1994). The difference perhaps has resulted from the absence of (CTG)5-Alu(-) haplotype in the population samples examined by Rubinsztein et al.

Our proposed parsimonious model of evolution of CTG repeat alleles may be criticized on



**Figure 2** Frequency distribution ( $\pm 1$  s.d.) of (CTG)<sub>>18</sub> and (CTG)<sub><6</sub> alleles on *Alu*(+) background in 16 human populations.

the ground that we have de-emphasized the possibility of recombination in this gene region. There are several lines of evidence suggesting that recombination is unlikely to explain the data presented here. First, as mentioned before, it is quite evident that the (CTG)<sub>5</sub> repeat is the oldest allele at the repeat region of the DMPK gene, and Alu(+)is the ancestral allele at the Alu(+/-) polymorphic site, making the  $(CTG)_5$ -Alu(+) haplotype the most likely ancestral haplotype during human evolution. Therefore, a mutation causing the deletion of an Alu element, occurring on the  $(CTG)_5$ -Alu(+) background, is a likely scenario that would explain the data presented here. The observation that the  $(CTG)_5$ -Alu(+) is the most common haplotype in 11 of the 16 populations examined here supports this assertion, as the oldest haplotype also has the highest probability of being the most frequent (see Tables 2-6). Second, the possibility that the Alu(-) mutation occurred in the background to (CTG)<sub>11-13</sub> alleles (Rubinsz-

#### EVOLUTION OF CTG REPEATS AT THE DM LOCUS

tein et al. 1994), and subsequent recombinations have created the  $(CTG)_5$ -Alu(-) haplotype, is also not supported by our data. Under this scenario, the progenitor haplotype  $(CTG)_{11-13}$ -Alu(+), on which such a mutation occurred, should be found more globally [especially in non-African populations, as the Alu(-) allele is present everywhere] compared to the ones observed in our study and in that of Goldman et al. (1995). Third, the Alu site is intragenic to the DMPK gene and is located 5 kb telomeric to the CTG repeat site (Mahadevan et al. 1993). In this short distance, the recombination rate is small and there is no suggestion of a recombinational hotspot within this gene region.

The distribution of CTG repeats at the DMPK locus also reflects the demographic histories of populations. In general, we have observed a reduced degree of variability among American Indians and Pacific islanders, whose effective population sizes are smaller, compared to large and cosmopolitan populations such as the Africans, Caucasians, and Asian Mongoloids. This can be demonstrated through computations of gene diversity values at the CTG repeat site and the Alu(+/-) site and haplotype diversity (unbiased estimates; Nei 1978), using the frequencies shown in Tables 2-6. Table 7 shows these computations, along with the standard errors of these estimates and the number of haplotypes observed in the 16 populations examined here. Even though the differences of the gene diversity and haplotype diversities across several of these populations are not significant, some trends are instructive. For example, the populations of African ancestry have the largest haplotype diversity accompanied with larger number of observed haplotypes. This is consistent with the hypothesis of an African origin of the human species. The smallest level of diversity in the Cabecar population is particularly noteworthy. We contend that this reflects an effect of genetic drift in this isolated population, as reduced gene diversity is observed in this population at several additional microsatellite loci (Deka et al. 1995a). That it is not a sampling artifact is evident from the analysis of triplet repeat polymorphisms at the HD and SCA loci, where the Cabecars exhibit levels of gene diversity and numbers of alleles larger than those observed in other small populations (R. Deka, M.D. Shriver, R. Barrantes, and R. Chakraborty, unpubl.). The absence of the Alu(+)allele, together with the small number of haplotypes in the Cabecar, are probably effects of ge-

	Percent gene	diversity (s.E.)	No. of	Percent
Populations	CTG repeat	Alu(+/-)	No. of haplotypes	haplotype diversity (s.ɛ.)
СЕРН	79.5 (3.3)	48.7 (2.3)	14	79.9 (3.4)
German	84.2 (2.3)	48.9 (1.9)	15	84.5 (2.4)
Brazilian white	77.1 (3.2)	50.5 (0.8)	17	78.0 (3.3)
Indian Brahman	83.4 (2.8)	50.9 (1.2)	17	86.1 (2.8)
Chinese	81.3 (2.1)	43.5 (3.6)	13	81.5 (2.1)
Japanese	80.8 (1.8)	38.8 (4.2)	17	81.5 (1.9)
Kachari	82.9 (2.1)	41.9 (4.6)	9	82.9 (2.1)
Benin	82.8 (2.1)	50.5 (0.7)	19	88.3 (1.9)
Sokoto	85.0 (1.7)	48.8 (2.1)	16	87.7 (1.9)
Brazilian black	85.6 (1.7)	47.5 (2.8)	18	87.5 (1.8)
Dogrib Indian	78.6 (2.0)	48.3 (2.4)	10	79.0 (2.0)
Cabecar	29.2 (5.6)	0.0 (0.0)	3	29.2 (5.6)
Pehuenche Indian	73.0 (4.1)	29.6 (6.3)	13	76.2 (4.0)
New Guinea highlander	84.4 (3.3)	26.2 (8.1)	11	87.2 (2.8)
American Samoa	78.1 (2.1)	44.7 (3.4)	9	78.1 (2.1)
Western Samoa	77.9 (2.5)	47.1 (2.6)	9	78.1 (2.5)

Table 7. Estimates of Gene and Haplotype Diversity and Number of

netic drift in this isolated small population. The Dogrib of Northwestern Canada exhibit a substantial haplotype diversity (79%), a high frequency of the  $(CTG)_5$ -Alu(+) haplotype (32%), and they also have several larger (CTG) repeat alleles (27 repeats or larger). This is probably caused by Caucasian admixture in this population, for which some evidence exists (Szathmary et al. 1983). Our study further supports an interpretation provided by Goldman et al. (1995) on the absence of  $(CTG)_{11-13}$ -Alu(+) and  $(CTG)_{\geq 19}$ -Alu(-) haplotypes among non-African populations, which asserts that the ancestors of the present-day non-African populations left Africa with a limited number of African chromosomes at the time of the first split of the African and non-African populations. Furthermore, if (CTG)<sub>5</sub>-Alu(-) arose from (CTG)<sub>5</sub>-Alu(+) background, then our data also provide evidence that the Alu(-) mutation took place in African populations. Migration from "out of Africa" possibly took place after a few steps of CTG expansion along the Alu(-) lineage. Furthermore, our data support the argument in favor of a multiple origin of the DM mutation (Krahe et al. 1995). We have stated previously that the DM mutation among Europeans and Japanese had a common Eurasian origin (Yamagata et al. 1996), whereas the African mutation represents an independent mutational event that arose on a separate haplo-

typic background (Krahe et al. 1995). On the basis of a significantly higher prevalence of DM among Europeans and Japanese compared to its rarity among Africans, it is likely that the Eurasian mutation is more ancient than the African mutation.

## **METHODS**

#### **Population Samples**

The 16 human populations studied belong to five major groups, namely Caucasian, Mongoloid, African, American Indian, and Pacific islander. The Caucasians are represented by unrelated parents of the CEPH cohort, a German sample from northern Germany, a white population from Brazil, and a Brahman sample from northern India. The Mongoloid populations are a Chinese sample of Han origin, a Japanese sample from around Osaka, Japan, and the Kachari, a tribal populations from northeast India. The Africans are represented by two west African linguistically diverse populations, the Benin and the Sokoto from Nigeria, and a black sample from Brazil. The American Indian samples consist of the Dogrib Indian drawn from the Northwest Territories, Canada, who represents the Na-Dene group, the Pehuenche Indian from Chile, who constitute a branch of Araucanian Indians, and the Cabecar, a tribal population from Costa Rica. The Pacific Islands are represented by two Samoan groups drawn from villages distributed throughout American Samoa and Western Samoa, and the New Guinea highlander. Detailed description of these populations are presented elsewhere (Szathmary et al. 1983; Long et al. 1986; Barrantes et al. 1990; Deka et al. 1995b).

### **DNA** Analysis

PCR analysis of CTG repeats was performed by amplification of 100 ng of genomic DNA in a total volume of 25-µl reaction mixture containing standard PCR buffer, 200 µM each dNTP, 1 unit of *Taq* polymerase. The primer sequences are as described in Fu et al. (1992). The forward primer was end-labeled using  $[\gamma^{-33}P]$ ATP and T4 polynucleotide kinase. The amplified products were separated on 6% denaturing polyacrylamide gels. Following electrophoresis, the gels were dried and allelic fragments were visualized by autoradiography. Repeat sizes were determined by comparison to an M13 sequence ladder and known controls.

The *Alu* insertion/deletion polymorphisms were detected following the PCR protocol of Mahadevan et al. (1993). One hundred nanograms of DNA was amplified by a hot start PCR incorporating the two forward (405 and 491) and reverse (486) primers in a total volume 25-µl reaction mixture containing standard PCR buffer, 200 µM each dNTP, and 1 unit of *Taq* polymerase. The amplified products were separated on a 2% agarose gel containing ethidium bromide.

#### **Statistical Analysis**

From the genotypic data on (CTG) repeat sizes and Alu(+/-) alleles, we computed the CTG–Alu haplotype frequencies by following the algorithm of Long et al. (1995). Disequilibrium coefficients were measured by  $D/D_{max}$  in reference to the Alu(+) allele where  $D = p_{ab}-p_ap_b$ ,  $p_{ab}$  being the estimated haplotype frequency of a CTG allele under the Alu(+) background,  $p_a$  = marginal frequency of the specific CTG-repeat allele, and  $p_b$  = frequency of Alu(+) (Lewontin 1964).

 $D/D_{\max} = \begin{cases} \mbox{minimum of } p_a(1-p_b) \\ \mbox{and } (1-p_a)p_b \mbox{ when } D > 0 \\ \mbox{minimum of } p_ap_b \mbox{ and } \\ (1-p_a)(1-p_b) \mbox{ when } D < 0. \end{cases}$ 

Therefore,  $D/D_{\text{max}}$  is always between -1 and +1, the limits attaining in cases of exclusive association of the specific CTG repeat allele under Alu(-) and Alu(+) background, respectively. The significance of the disequilibrium coefficients were judged from the  $\chi^2$  statistic  $\chi^2 = nD^2/\Sigma p_a p_b (1-p_a)(1-p_b)$ , which follows a  $\chi^2$  distribution with 1 df. Values of  $D/D_{\text{max}}$  yielding  $\chi^2 > 3.84$  are significant at P < 0.05 (denoted by \*) and  $\chi^2 > 6.63$  are significant at P < 0.01 (denoted by \*\*) in Tables 2–6.

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