

## ORIGINAL ARTICLE

## Disturbed cingulate glutamate metabolism in adults with high-functioning autism spectrum disorder: evidence in support of the excitatory/inhibitory imbalance hypothesis

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Over the last few years, awareness of autism spectrum disorder (ASD) in adults has increased. The precise etiology of ASD is still unresolved. Animal research, genetic and postmortem studies suggest that the glutamate (Glu) system has an important role, possibly related to a cybernetic imbalance between neuronal excitation and inhibition. To clarify the possible disruption of Glu metabolism in adults with high-functioning autism, we performed a magnetic resonance spectroscopy (MRS) study investigating the anterior cingulate cortex (ACC) and the cerebellum in adults with high-functioning ASD. Twenty-nine adult patients with high-functioning ASD and 29 carefully matched healthy volunteers underwent MRS scanning of the pregenual ACC and the left cerebellar hemisphere. Metabolic data were compared between groups and were correlated with psychometric measures of autistic features. We found a significant decrease in the cingulate *N*-acetyl-aspartate (NAA) and the combined Glu and glutamine (Glx) signals in adults with ASD, whereas we did not find other metabolic abnormalities in the ACC or the cerebellum. The Glx signal correlated significantly with psychometric measures of autism, particularly with communication deficits. Our data support the hypothesis that there is a link between disturbances of the cingulate NAA and Glx metabolism, and autism. The findings are discussed in the context of the hypothesis of excitatory/inhibitory imbalance in autism. Further research should clarify the specificity and dynamics of these findings regarding other neuropsychiatric disorders and other brain areas.

*Molecular Psychiatry* (2014) **19**, 1314–1325; doi:10.1038/mp.2014.62; published online 22 July 2014

## INTRODUCTION

Deficits in social cognition and in communication skills, a high need for routines, and highly circumscribed interests form the core features of autism spectrum disorders (ASDs). ICD-10 and DSM-IV distinguish early infantile autism (ICD-10 F84.0, respective autistic disorder DSM-IV 299.00) from Asperger syndrome (AS; ICD-10 F84.5, DSM-IV 299.80). Recently, however, there has been a clear trend in the scientific community to unify these concepts into a single category called ASD in DSM-5 (<http://www.dsm5.org>).

Prevalence figures for ASD vary between 1 and 2.7%<sup>1–3</sup> depending on the population investigated. The most recent figures from the Centers for Disease Control and Prevention estimate a prevalence of 11.3 in 1000 (1.13%) affected children (range 4.8–21.2 per 10 000).<sup>1</sup> Given this prevalence rate and the fact that ASD is a lifelong condition, it is of importance for adult psychiatry and psychotherapy. In addition, particularly high-functioning autism is associated with significant psychiatric comorbidities such as depression (53%), anxiety (50%), attention deficit hyperactivity disorder (ADHD) (43%), obsessive–compulsive disorder (24%), tic disorder (20%) and psychotic disorder (12%),<sup>4</sup> which illustrates that ASD might be a basic neurodevelopmental

neuropsychiatric disorder, secondary to which many other more classical psychiatric conditions arise.<sup>5</sup>

High-functioning idiopathic autism as a possibly more homogenous subgroup

Traditionally, autism has been conceptualized as a severe form of neurodevelopmental disorder associated with mental retardation and severe deficits of intelligence and language in the majority of cases.<sup>6</sup> However, recent research has indicated that there is a broad variety of different severities and phenotypes of ASD including those with normal or even above-average intelligence.<sup>7</sup> Secondary and syndromal forms of ASD, which often coexist with subnormal intelligence quotient (IQ) and learning disabilities, are increasingly distinguished from primary familial but probably not mono- or oligogenetic forms.<sup>6–8</sup> Theoretical considerations as well as clinical observations support the assumption that the latter subgroup, that is, familial but nonsyndromal and nonsecondary variants of ASD, might more often be associated with normal or even above-average intelligence scores.<sup>5,7</sup>

On the basis of difficulties in distinguishing the main current autistic categories, AS and early infantile autism, clinically,<sup>9,10</sup> these categories have been unified and called ASD in the DSM-5

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Received 5 December 2013; revised 28 March 2014; accepted 12 May 2014; published online 22 July 2014

(<http://www.dsm5.org>). This ASD category does not distinguish between high-functioning and low-functioning variants or between autistic disorder and AS. This might make sense from a clinical perspective.<sup>9</sup> From a research perspective, however, studying the category of ASD without distinguishing high-functioning from low-functioning variants or secondary and syndromal variants of ASD from primary idiopathic familial variants probably results in particularly heterogeneous study samples.<sup>5</sup> In research, this approach could blur respective findings. Therefore, in this study, we concentrated on patients with nonsecondary and nonsyndromal forms of ASD, who fulfill the diagnostic criteria for AS according to DSM-IV 299.80 and ICD-10 F84.5, with above-average IQ, in an attempt to create a possibly homogenous study sample from an etiological and pathogenetic point of view.

#### Etiology of ASD

The precise etiology of ASD is unknown and probably heterogeneous.<sup>6</sup> Autism can be caused by single genes or in the context of syndromes, which in turn are probably caused by single non-recognized gene defects or a small number of gene defects.<sup>6,11</sup> In addition, ASD may arise secondary to other acquired central nervous system diseases such as encephalitis. For this paper, all these variants are considered secondary or syndromal variants of autism.<sup>5</sup> However, in the majority of cases, there are no such recognized syndromes or putative causes of autism. Still, the family history is often positive, and there is obviously a strong etiological factor of inheritance.<sup>11</sup> This group is considered the idiopathic or primary variant of ASD for this paper.<sup>5</sup>

The following considerations focus on this group of patients with primary ASD. There is general agreement that multiple genes alone or more likely in combination contribute to the pathogenesis of autism in these primary idiopathic patients.<sup>11</sup> These genes or subgroups of these genes might also increase the risk for other neuropsychiatric disorders frequently linked to autism, such as epilepsy<sup>12,13</sup> or schizophrenia.<sup>14,15</sup>

Several authors have put forward the idea that such genetic alterations lead to an imbalance of excitation and inhibition in cortical regions, which might be a critical pathogenetic correlate of autistic symptoms.<sup>16–18</sup> Such an imbalance could also explain the well-recognized link between epilepsy (as the classical form of a hyperexcitatory central nervous system disorder) and autism.<sup>19–23</sup>

Pathophysiological changes in the glutamate (Glu) and  $\gamma$ -aminobutyric acid (GABA) metabolism might be critical for such an excitatory-inhibitory imbalance. Glu is the most important excitatory and GABA the most important inhibitory neurotransmitter in the brain. In fact, many genetic studies found abnormal signals in genes with direct links to Glu neurotransmission.<sup>24–34</sup> In addition, in animal models of autism, prenatal valproic acid exposure results in an autistic behavioral animal phenotype together with altered cerebral Glu metabolism.<sup>35,36</sup>

Postmortem studies also found evidence of Glu dysfunction in brain tissue of autistic patients.<sup>37</sup> Recently, Shimmura *et al.*<sup>38</sup> reported increased plasma levels of Glu and decreased levels of glutamine (Gln) in 23 children with high-functioning autism compared with 22 control subjects. The signal had a strong effect size of 1.13 and 1.36, respectively, and correctly classified patients in 91% of cases. In the latest postmortem study by the same group, the authors describe decreased glutaminase activity (the enzyme breaking down Glu) in the anterior cingulate cortex (ACC) of seven individuals with autism.<sup>39</sup>

In summary, there is a cumulating body of evidence pointing to the critical role of the Glu system in the pathogenesis of autism. Changes in Glu metabolism might translate into an imbalance of the excitation/inhibition equilibrium of cortical networks that in turn are related to autistic symptoms. From a theoretical point of view, two contradictory Glu hypotheses have been put forward:

Carlson introduced the idea that autism is a hypoglutamatergic disorder based on findings in animal research where hypoglutamatergic animals displayed an autistic behavioral pattern.<sup>40</sup> In contrast, Fatemi proposed a hyperglutamatergic hypothesis of autism.<sup>41</sup> This assumption was based on the observation that the enzyme that converts Glu to GABA showed decreased activity in autism, therefore resulting in hyperglutamatergic cerebral states.<sup>42</sup> These ideas seem to be contradictory at first glance. However, they might be reconciled within the theory of a disturbed excitation/inhibition equilibrium in autism, because the hypo- and the hyperglutamatergic states result in a disturbance of this equilibrium.

#### Magnetic resonance spectroscopy as a tool for assessing Glu activity *in vivo*

Presently, proton magnetic resonance spectroscopy (1H-MRS or briefly MRS), the only method available for noninvasive and nonradioactive *in vivo* assessment of Glu neurotransmission, is an ideal tool for investigating cerebral metabolism in autism.<sup>43</sup> Although early MRS studies focused on stronger MRS signals, such as *N*-acetyl-aspartate (NAA) as a putative marker of neuronal integrity, progress in MRS acquisition, and postprocessing technology have enabled researchers to measure Glu and Gln signals with increasing accuracy.<sup>44</sup>

Glu is the most important excitatory neurotransmitter in the human cerebral cortex. Following synaptic release, Glu is converted to Gln by adjacent astroglia. Gln is then recycled to Glu via mitochondrial Glu synthesis. Glu–Gln cycling is closely coupled to glial glucose utilization and lactate production, and Gln is possibly a more sensitive indicator of Glu neurotransmission than Glu itself. The spectral peaks of Gln and Glu overlap considerably and therefore are often denoted collectively as the Glu and Gln (Glx) peak.<sup>45</sup> Figure 1 illustrates the Glx signals in a typical MRS spectrum from the ACC and the cerebellum as assessed in this study (see Figure 1).

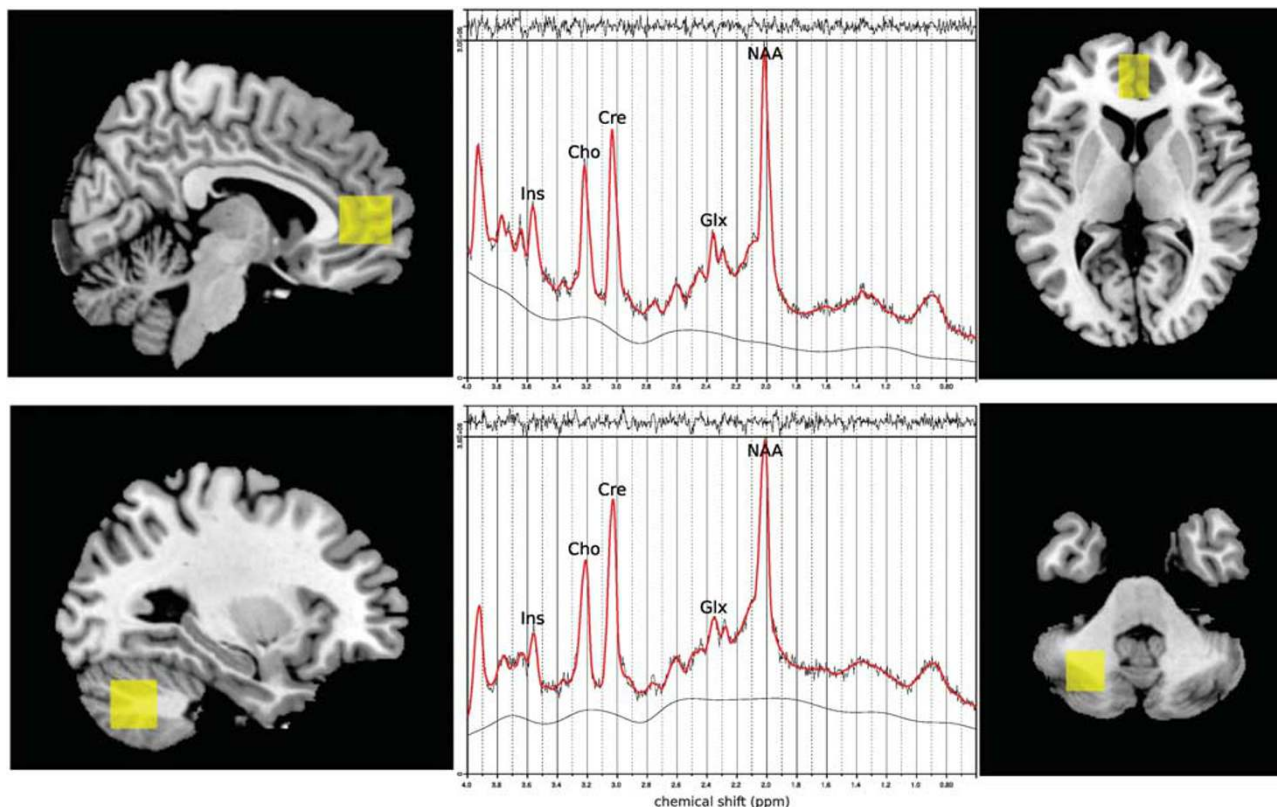
#### Previous MRS findings in ASD

Thus far, to our knowledge, only 22 MRS studies have been performed in ASD.<sup>46–67</sup> Table 1 summarizes these studies regarding methods, study samples, regions, and findings.

Many of these studies examined small samples, and quite a few have serious methodological problems. Most studies tested mixed groups regarding age (children and adolescents) or IQ, or just patients with subnormal IQ, and did not analyze Glu signals as this was too difficult to detect in early MRS research. Most studies that analyzed the Glu signal also found respective abnormalities. However, not surprisingly, the direction of these abnormalities varied depending on the age group, the region investigated and the sample characteristics. Three recent meta-analyses of all available MRS data concluded that metabolic abnormalities as measured with MRS tend to decrease and normalize with age and therefore are age dependent.<sup>43,68,69</sup>

#### Rationale of our study

Taking all presented evidence, the aim of this study was to investigate the Glu signal in autism using MRS. As there is evidence of continuous metabolic change during development, this study focused only on adult patients. Given the possible link between syndromal and secondary forms of autism and low IQ, we decided to study only patients with above-average IQ (that is, IQ > 100). As specific changes in Glu metabolism have recently been reported in the ACC in postmortem research,<sup>39</sup> we focused on this region of interest and chose the cerebellum as another noncortical comparator region of interest, which has frequently been implicated in the pathophysiology of autism.<sup>6</sup> We chose to study the left cerebellar hemisphere to enable comparability with



**Figure 1.** Illustration of the voxel position of the pregenual anterior cingulate (upper row) and the cerebellar MRS voxel of interest (lower row) with respective spectra. Glx, glutamate and glutamine.

other spectroscopic findings in a large government-funded study of more than 100 adults with ADHD by our group.<sup>70</sup> As previous studies had reported increased<sup>55</sup> as well as decreased Glu signals in this region,<sup>47</sup> the working hypothesis was not directed, but we expected Glu signal changes in patients with ASD.

## MATERIALS AND METHODS

### Participants

After approval was received from the local ethics committee, all patients were recruited at the Freiburg Center for the Diagnosis and Treatment of Autism (University center for autism spectrum, Universitäres Zentrum Autismus Spektrum Freiburg, UZAS; <http://www.uniklinik-freiburg.de/psych/live/patientenversorgung/schwerpunkte/schwerpunkt-asperger.html>). The study included only patients who fulfilled the diagnostic criteria for AS according to ICD-10 F84.5 and DSM-IV 299.80. The diagnostic process was organized according to the recommendations of the NICE guidelines for adult autism (National Institute for Health and Clinical Excellence: Autism in Adults: full guideline <http://guidance.nice.org.uk/CG142/NICEGuidance/pdf/English>). Briefly, the clinical diagnosis of ASD and AS was established as a consensus diagnosis of a multiprofessional team following a structured diagnostic procedure. The clinical diagnosis includes a thorough generally multisession history taking of the patient focusing on the development of autistic symptoms throughout the biography. In addition, a history of the caregivers (parents, partners, siblings and so on) and behavioral observations were essential components of this process, which usually took several sessions of 2 or more hours. Psychometric tools included the following instruments in routine use before clinical assessment: AQ,<sup>71</sup> EQ,<sup>72</sup> ASAS,<sup>73</sup> SRS,<sup>74</sup> BVAQ,<sup>75</sup> AAA,<sup>76</sup> WURS<sup>77</sup> and BDI.<sup>78</sup> In addition, instruments such as the ADI-R<sup>79</sup> and ADOS<sup>80</sup> or behavioral assessments as an in-patient were used in selected and unclear cases. The multiprofessional diagnostic team consisted of three experienced senior consultant psychiatrists (DE, AR and LTVE) and two senior fully qualified psychologists (AF and AL). The final consensus diagnosis was

made by all persons involved in the diagnostic process, which invariably included at least two experienced consultant psychiatrists or psychologists. In the present study, the control participants were also assessed clinically and completed the AQ and EQ questionnaire. To assess general crystalline intelligence, all participants completed the Multiple-Choice Word Test B.<sup>81</sup> Patients with other relevant medical or neurological diseases, particularly epilepsy and seizures, and patients with a history of schizophrenia, bipolar disorder or any other psychiatric axis I disorder apart from depression and anxiety were excluded from the study.

### Matching procedures

Control subjects were studied and recruited for an ongoing longitudinal ADHD multicenter study funded by the German Ministry of Education and Research (BMBF 01GV0606). When the matched controls were chosen for the 29 patients with ASD, the pool of control participants consisted of 90 healthy and extensively investigated subjects. On the basis of this sample, an optimal matching procedure was performed by applying a multi-dimensional matching approach using in-house software to optimally account for between-group differences in age, sex and premorbid verbal intelligence (see CP Kaller, forthcoming/unpublished toolbox). This resulted in an individually matched control group for the 29 patients included.

### Imaging procedures

MRI scans were acquired following written informed consent.

### Image acquisition

A standard magnetization-prepared rapid gradient echo T1-weighted anatomical scan was obtained (relaxation time = 2200 ms, echo time = 4.11 ms, flip angle = 12°, field of view = 256 × 256 mm<sup>2</sup>, voxel size = 1 × 1 × 1 mm<sup>3</sup>) on a Siemens Magnetom TIM Trio system (Erlangen, Germany) equipped with a 12-channel head coil.

**Table 1.** Summary of previous MRS findings in ASD

Study	Sample	n Patients per control subjects	Method	Region(s)	Findings
Murphy <i>et al.</i> <sup>54</sup>	Adults, Asperger syndrome	14 per 18	1.5 T 1H-MRS (PRESS)	Right prefrontal and parietal lobes	NAA, Cre, Cho higher in prefrontal lobe
Fayed and Modrego <sup>53</sup>	Children	21 per 12	1.5 T 1H-MRS (PRESS)	Left centrum semiovale (white matter)	No significant differences
Page <i>et al.</i> <sup>55</sup>	Adults, normal IQ	25 per 21	1.5 T 1H-MRS (PRESS)	Right parietal cortex, hippocampus-amygdala	Cre and Glx higher in right hippocampus-amygdala region
Friedman <i>et al.</i> <sup>56</sup>	Children, 3–4 years	45 per 22	1.5 T CSI	TLs, BGs (white and gray matter)	NAA, Cho, Cre and Ins lower in gray matter
Endo <i>et al.</i> <sup>52</sup>	Children, mean 12.9 years	38 per 16	1.5 T 1H-MRS (PRESS)	Medial TL, MPFC, cerebellar vermis	NAA/Cre lower in MTL
Oner <i>et al.</i> <sup>57</sup>	Adults, Asperger syndrome	14 per 21	1.5 T CSI	Right DLPFC and ACC	NAA/Cho higher in ACC
Zeegers <i>et al.</i> <sup>60</sup>	Boys with and without mental retardation	25 per 12	Unclear	Unclear	No differences between groups
Hardan <i>et al.</i> <sup>51</sup>	Boys 8–15 years, IQ > 70	18 per 16	1.5 T CSI (STEAM)	Thalamus right, left	Left thalamus: lower NAA, Cre, Cho
Montag <i>et al.</i> <sup>50</sup>	Healthy controls, adults	0 per 17	3 T 1H-MRS (PRESS)	ACC DLPFC	Negative correlation of perspective taking with Glx level in DLPFC
Vasconcelos <i>et al.</i> <sup>59</sup>	Children and controls, median age 9.3 years	10 per 10	1.5 T PRESS	Bilateral ACC, left striatum, left cerebellar hemisphere and left frontal lobe	Increase in ml and Cho in ACC and ml/Cre ratio in ACC and left striatum
Suzuki <i>et al.</i> <sup>49</sup>	Adults, high-functioning	12 per 12	1.5 T	Left hippocampal region, right cerebellar hemisphere	Cho and Cre higher in hippocampal region, NAA lower in cerebellum
Fuji <i>et al.</i> <sup>48</sup>	Children, 2–13 years, low IQ	31 per 28	1.5 T 1H-MRS (PRESS)	ACC DLPFC	ACC: NAA/Cre low Left DLPFC: NAA/Cre low, correlates with social ability
Bernardi <i>et al.</i> <sup>47</sup>	High-functioning adults	14 per 14	3 T 1H-MRS	ACC, thalamus, TPJ, intraparietal sulcus	Lower Glx in right ACC, lower Ins in left TPJ.
Harada <i>et al.</i> <sup>58</sup>	Children 2–11 years	12 per 10	3 T 1H-MRS (MEGA-PRESS)	Frontal lobe, lenticular nucleus	GABA and GABA/NAA lower in frontal lobe
Corrigan <i>et al.</i> <sup>46</sup>	Children in subgroups 3–4, 6–7 and 9–10 years	109 per 110	1.5 T PEPSI	Planar MRS imaging analysis of lactate	No differences in lactate level
Kubas <i>et al.</i> <sup>61</sup>	Autistic children 12–15 years, matched controls	12 per 16	Details not available	Bilateral frontal lobe; details not available	Differences in NAA/Cre, GABA/Cre, Glx/Cre and ml/Cre
Bejjani <i>et al.</i> <sup>62</sup>	Study 1: children with ASD and controls matched for age only Study 2: children and matched controls	8 per 10, IQ range 74–137 26/16, IQ range 65–142	1.5 T PRESS	Midline pACC, single-voxel MRS MRS imaging of bilateral pACC	Elevation of Glx signal, decrement of Cre Elevation of Glx, Cre, NAA in right pACC
Joshi <i>et al.</i> <sup>63</sup>	Adolescent males (age range: 12–17 years); mean IQ: 108 (range: 85–127) and controls	7 per 7	4 T	ACC and right medial temporal lobe	Increased glutamate in ACC and trend to decreased glutamate in temporal lobe
Aoki <i>et al.</i> <sup>64</sup>	Adults with high-functioning ASD and controls	24 per 25	3 T PRESS	Medial prefrontal cortex	Increased NAA in ASD; NAA loss with age only in controls not in ASD
Mori <i>et al.</i> <sup>65</sup>	Autistic children (3–6 years), age-matched controls, boys and girls	77 per 55	1.5 T STEAM	Left amygdala and the bilateral orbitofrontal cortex	NAA reduction in left amygdala and bilateral OFC, NAA correlated with social quotient.
Brown <i>et al.</i> <sup>66</sup>	Adult patients, parents of patients, healthy controls	13/15 per 15	3 T PRESS	Left and right hemisphere auditory cortical voxels	Higher Glx, NAA and Cre concentrations in ASD than in control subjects.
Rojas <i>et al.</i> <sup>67</sup>	Autistic children, siblings, controls	17/14 siblings per 17 controls	3 T PRESS	Auditory cortex in the perisylvian region of the left hemisphere	Patient GABA/Cre ratios < siblings < controls

Abbreviations: ACC, anterior cingulate cortex; ASD, autism spectrum disorder; BG, basal ganglia; Cho, choline compounds; Cre, creatine and phosphocreatine; CSI, chemical shift imaging; DLPFC, dorsolateral prefrontal cortex; GABA,  $\gamma$ -aminobutyric acid; Glx, glutamate and glutamine; Ins, myoinositol; IQ, intelligence quotient; MPFC, medial prefrontal cortex; MTL, medial temporal lobe; NAA, *N*-acetyl-aspartate; OFC, orbitofrontal cortex; pACC, pregenual ACC; PEPSI, proton echo planar spectroscopic imaging; PRESS, point-resolved spectroscopy; SI, spectroscopic imaging; STEAM, stimulated echo acquisition mode; T, tesla; TL, temporal lobe; TPJ, temporoparietal junction; 1H-MRS, proton magnetic resonance spectroscopy.

**Table 2.** Summary of demographic and psychometric data of participants

	ASD (n = 29) mean (s.d.)	Control (n = 29) mean (s.d.)	Statistics
Age	35.31 (9.1)	35.79 (8.5)	$T = -0.209$ ; $df = 56$ , $P = 0.836$
Gender	19:10	19:10	$\chi^2 = 0.000$ , $df = 1$ , $P = 1$
IQ	125.1 (12.0)	124.97 (13.4)	$T = 0.41$ ; $df = 56$ , $P = 0.967$
AQ	37.66 (6.096)	13.17 (6.398)	$T = 14.92$ ; $df = 56$ , $P < 0.000$
EQ	16.83 (8.984)	44.38 (9.734)	$T = -11.2$ ; $df = 56$ , $P < 0.000$
BDI	14.69 (12.1)	1.72 (2.5)	$T = 5.63$ ; $df = 56$ , $P < 0.000$
WURS	31.59 (13.14)	9.69 (8.45)	$T = 7.55$ ; $df = 56$ , $P < 0.000$

Abbreviations: AQ, autism quotient; ASD, autism spectrum disorder; BDI, Beck Depression Inventory score; EQ, empathy quotient; IQ, intelligence quotient; WURS, Wender-Utah Rating scale. For reference see text.

Spectroscopic data were acquired in the pregenual ACC and the left cerebellar hemisphere (see Figure 1 for voxel localization) with a standard PRESS (point-resolved spectroscopy) sequence (echo time = 30 ms, relaxation time = 3000 ms). The  $2.5 \times 1.6 \times 2 \text{ cm}^3$  ACC volume of interest was located in front of the genu corpus callosum orthogonally to the anterior commissure–posterior commissure line (see Figure 1) according to the disposition of the T1-weighted images for voxel location. The geometry of the volume of interest was defined to cover the maximum amount of gray matter of Brodmann areas 12 and 32 bilaterally in the pregenual ACC region. The  $2 \times 2 \times 2 \text{ cm}^3$  cerebellar voxel was located in the left hemisphere and comprised parts of lobule VI, lobule VIIa, lobule VIIb, lobule VIIIa and lobule VIIIb. The voxel location covered the maximum amount of gray matter and the minimal amount of cerebral fluid to avoid contact with the macromolecules of the brain surfaces, which contaminate MRS spectra. We chose the left hemisphere to enable comparability with other spectroscopic findings in a large cohort of a prospective government-funded ADHD study in adults.<sup>70</sup>

For spectral data analysis and absolute metabolite quantification with the internal water reference method, the well-established and validated LCModel software was used.<sup>82</sup> Voxel segmentation into the cerebral spinal fluid, gray matter and white matter was performed on the three-dimensional magnetization-prepared rapid gradient echo data set using SPM8 (Statistical Parametric Mapping release 8, London, UK), and metabolite concentrations were corrected accordingly, accounting for different water content in the cerebral spinal fluid, white matter and gray matter.

### Statistical analyses

Metabolite concentrations as assessed in LCModel were transferred to an SPSS database together with all clinical and psychometric data. Metabolites were considered only for further statistical analysis if the Cramér–Rao bounds were below 20%.<sup>83</sup> First, all dependent variables of interest were tested for normality of distribution using the Kolmogorov–Smirnov test. Then, the data were analyzed with multiple analysis of covariance (MANCOVA). Group was chosen as a factor and the metabolites (NAA, Cre, Cho, MI, Glu, Glx) as dependent variables. A  $P$ -level of 0.05 was chosen as the criterion for significance. To estimate the possible effect of the factor gender, we included this item as a cofactor in the same MANCOVA calculation in the second-level analysis. In addition, to assess the possible confounding effect of the factor medication, the following second-level analyses were performed: we compared 14 completely unmedicated patients with the control group of 29 age-, gender- and IQ-matched control subjects using a  $t$ -test procedure and calculated the factorial analysis of variance with medication as the cofactor. All metabolites that were significant in the first-level group comparison were correlated with psychometric measures of autism (AQ and EQ scores) using Pearson correlations. Significant findings in overall group correlations were further analyzed in the patient and control groups alone.

## RESULTS

### Demographic and psychometric data

In Table 2, the demographic and psychometric data of all participants are summarized.

We included 29 patients with high-functioning ASD respective AS and 29 individually matched healthy control subjects into this

study, 19 men and 10 women in each group. Both groups had clearly above-average IQs with an average full IQ score of 125 in each group. None of the patients had a primary other axis I psychiatric disorder; however, 9 patients suffered from depression, 3 from nonorganic sleeping disorders and 4 from other psychiatric disorders (1 anxiety disorder, 1 personality disorder, 1 obsessive compulsive disorder, 1 atypical eating disorder). On the basis of a carefully performed longitudinal biographical and developmental analysis of these syndromes, they were all judged to be secondary to ASD. That means that they arose as a consequence of chronic psychosocial stress and life events such as interpersonal problems in private relationships and at school, university or work based on clinical judgment (equivalent to the old concept of reactive depression or reactive anxiety). None of the included patients had a history of seizures, epilepsy, psychosis or symptoms reminiscent of psychosis, bipolar disorder or substance abuse. As a consequence of this high psychiatric comorbidity, only 14 of the 29 patients were completely free of any medication. Fifteen patients were taking psychotropic medication, mostly SSRI or SSNRI ( $n = 12$ ), and atypical or low potent neuroleptics ( $n = 4$ ) as sleeping pills. One patient used clonazepam as a sleeping pill until 2 weeks before scanning.

### Psychosocial, school and occupational performance

Table 3 illustrates that in line with high IQ, the school performance of our study sample was above average. Twenty-two patients (75.9%) and 24 controls (82.8%) had successfully finished the highest school grade (Abitur) in the German school system. Twelve patients (41.4%) and 7 controls (24.1%) had acquired a university degree. However, in spite of this education, only 13 patients (44.8%) held a regular job, and even fewer ( $n = 9$ , 31.0%) held a job that adequately matched their formal qualifications. Six patients (20.7%) had entered early retirement and were living on social support. Six patients (20.7%) were married or living in a stable relationship, two were in an unstable relationship (6.9%), whereas 21 had no relationship (72.4%). Four patients (13.8%) had children.

### Matching results

After matching, all patient-control pairs were comparable according to gender, IQ and age as can be seen in Table 2.

### MRS results

Table 4 summarizes the spectroscopic findings. Patients with ASD displayed significantly decreased NAA, Glu and Glx signals (MANCOVA: Wilks' lambda = 0.762,  $F = 2.659$ ,  $df = 6$ ,  $dfE = 51$ ,  $P = 0.025$ ; between-subject effects: NAA MANCOVA:  $F = 4.150$ ,  $P = 0.046$ , Glu MANCOVA:  $F = 9.870$ ,  $P = 0.003$  and Glx MANCOVA:  $F = 11.772$ ,  $P = 0.001$ ) compared with the healthy control group in the pregenual ACC. The scatterplot in Figure 2 shows this main finding. Including the factor gender as a cofactor in the MANCOVA

**Table 3.** Psychosocial characteristics of the patient and control sample

	ASD		Controls	
	Number	Percentage	Number	Percentage
<i>Highest school degree</i>				
No degree	0	0	0	0
Low degree	1	3.5	0	0
Medium degree	6	20.7	5	17.2
High degree	22	75.9	24	82.8
<i>Professional degree</i>				
None	3	10.4	0	0
Professional training	11	37.9	13	44.8
University of applied sciences	4	13.8	0	0
University degree	8	27.6	7	24.1
In vocational training/studying at university	3	10.4	9	31.0
<i>Professional status</i>				
Unemployed	7	24.1	0	0
Never been employed structurally	6 of 7	20.7	—	—
Employed	17	58.6	20	69.0
Short-time employment	4	13.8	Not inquired	—
Structured employment, less ambitious	4	13.8	Not inquired	—
Regular job adequate to formal qualification	9	31.0	Not inquired	—
In vocational training/studying at university	5	17.2	9	31.0
<i>Personal background</i>				
Actually no partnership	21	72.4	Not inquired	—
Never had a relationship	10 of 21	34.5	Not inquired	—
Insecure partnership	2	6.9	Not inquired	—
Close partnered or married	6	20.7	Not inquired	—
Children	4	13.8	Not inquired	—

Abbreviation: ASD, autism spectrum disorder.

**Table 4.** Spectroscopic findings in pregenual ACC ( $n=29$ ) and cerebellum ( $n=24$ ) in patients with ASD and control subjects

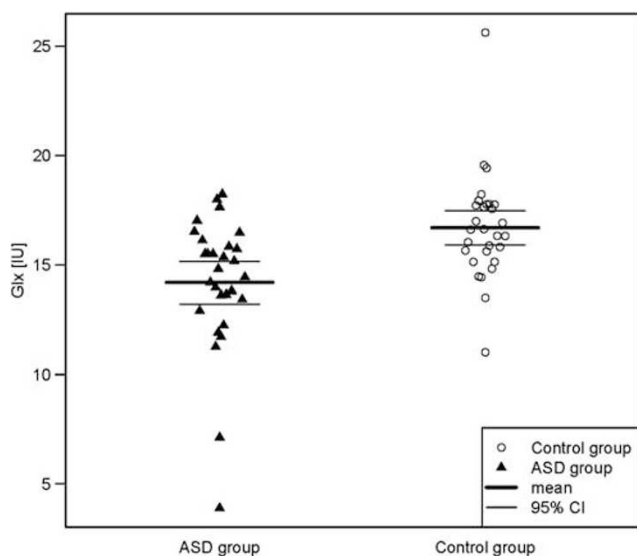
	ASD (IU)	Controls (IU)	Statistics MANCOVA	ASD (IU)	Controls (IU)	Statistics MANCOVA
	Cerebellum			Pregenual ACC		
N-acetyl-aspartate	8.7820	9.1737	NS	10.7955	11.7288	F = 4.150 P = 0.046
	0.90541	0.80986		2.36460	0.70366	
Creatine	9.1924	9.5265	NS	8.7101	9.1661	NS
	1.24528	0.91922		1.97411	1.00472	
Choline	2.2917	2.3287	NS	2.3102	2.3463	NS
	0.38749	0.26289		0.53321	0.32521	
Myoinositol	5.1265	5.1008	NS	6.1680	6.2477	NS
	0.77710	0.89321		1.09450	0.94463	
Glutamate	7.2863	7.5396	NS	10.0240	11.4823	F = 9.870 P = 0.003
	1.13160	0.94118		2.10100	1.35408	
Glutamine <sup>a</sup>	4.0494	4.1463	NS	5.1819	5.5690	NS
	0.46342	0.54071		1.25356	1.43995	
Glutamate and glutamine	10.6424	11.1369	NS	14.1885	16.6872	F = 11.772 P = 0.001
	1.54804	1.30998		3.04758	2.46852	

Abbreviations: ACC, anterior cingulate cortex; ASD, autism spectrum disorder; IU, institutional unit; MANCOVA, multiple analysis of covariance; NS, nonsignificant. <sup>a</sup>Glutamine was calculated separately in a factorial analysis of variance (see text).

calculation did not result in a relevant change in the findings (MANCOVA: Wilks' lambda = 0.762, F = 2.607, df = 6, dfE = 50, P = 0.028; between-subject effects: NAA MANCOVA: F = 4.090, P = 0.048, Glu MANCOVA: F = 9.694, P = 0.003 and Glx MANCOVA: F = 12.249, P = 0.001). Other than that, there were no significant spectroscopic differences in the ACC or in the cerebellum.

To assess the possible confounding relevance of the factor medication, we compared only fully unmedicated patients ( $n=14$ )

with the control group. Both groups were still matched for age ( $T = -0.758$ , df = 41,  $P = 0.453$ ), IQ ( $T = 0.025$ , df = 41,  $P = 0.981$ ) and gender ( $\chi^2 = 0.006$ , df = 1,  $P = 0.937$ ). Although the finding of the decreased NAA signal failed to reach a level of significance in this constellation ( $T = -1.309$ ,  $P = 0.212$ ), the Glx finding was still highly significant ( $T = -2.889$ ,  $P = 0.006$ ). In the factorial analysis of variance with medication as the cofactor, the dependent variable NAA just failed to reach a level of significance (F = 3.940, P = 0.052),



**Figure 2.** Illustration of decreased Glx signals in patients with ASD. ASD, autism spectrum disorder; CI, confidence interval; Glx, glutamate and glutamine.

whereas the Glx signal again remained highly significant ( $F = 9.913$ ,  $P = 0.003$ ).

#### Analysis of dimensional associations

Correlation analysis revealed a significant correlation between the ACC Glx signal and the AQ sum score ( $r = -0.307$ ,  $n = 58$ ,  $P = 0.019$ ), the AQ sub-score social skills ( $r = -0.299$ ,  $n = 58$ ,  $P = 0.023$ ) and the AQ sub-score sum communication ( $r = -0.393$ ,  $n = 58$ ,  $P = 0.002$ ). The Glu signal correlated with the AQ sum score ( $r = -0.283$ ,  $n = 58$ ,  $P = 0.031$ ), the AQ sub-score communication ( $r = -0.345$ ,  $n = 58$ ,  $P = 0.008$ ) and the AQ sub-score imagination ( $r = -0.291$ ,  $n = 58$ ,  $P = 0.027$ ). When the patient and control groups were examined separately, no significant correlation between Glx and AQ or EQ scores was observed in either group. However, a significant correlation between the Glu signal and the EQ score remained in patients (EQ:  $r = -0.378$ ,  $n = 29$ ,  $P = 0.043$ ). In the control group, the Glu signal was correlated with the AQ sub-score social skills ( $r = 0.369$ ,  $n = 29$ ,  $P = 0.049$ ) and imagination ( $r = -0.396$ ,  $n = 29$ ,  $P = 0.033$ ).

## DISCUSSION

Thus far, this is the largest MRS study in adult patients with ASD. In addition, this is the first study in autism that examined only patients with above-average IQ in an attempt to generate a possibly homogenous study group. The main finding is decreased pregenual anterior cingulate NAA and Glx signals in patients with ASD. The decreased Glx signal but not the NAA signal was correlated with the social skills and communication measures. There was no evidence of altered neurochemistry in the cerebellum.

#### Possible implications of the main finding

To assess the possible relevance and implications of these findings, we first reviewed the literature on the ACC.

#### The role of the ACC in autism and social cognition

The ACC is a large limbic structure situated in the center of the brain and known to integrate information from various other brain areas.<sup>84</sup> The ACC has been linked to functions such as attention control, empathy, performance and error monitoring, pain percep-

tion, theory-of-mind faculties and behavioral adaptation to a changing environment.<sup>85–87</sup> The rostral division consisting of Brodmann areas 12 and 32 (=pregenual ACC in this study) with predominantly affective functions can be distinguished from the caudal ACC (Brodmann area 32 and partly 24), which often is related to integrative cognitive aspects of information processing.<sup>84</sup>

The ACC has been shown to be of critical importance for joint attention mechanisms in chimpanzees.<sup>88</sup> Deficits in joint attention are a critical early symptom in autism. Similar findings have been reported in human fMRI research.<sup>89</sup> Related to this finding, Chang *et al.* analyzed the firing pattern of the ACC in social reward situations and found that it encoded complex reward allocations to other monkeys in group constellations. The authors concluded that the ACC is critical for computing shared experiences and social reward,<sup>90</sup> which again relates well to our findings. Abnormal findings in ACC activity in ASD have also been reported in several fMRI studies.<sup>91–93</sup> Furthermore, early as well as recent SPECT and PET studies in autism reported abnormalities in the ACC in terms of reduced blood flow, glucose metabolism and serotonin as well as dopamine receptor binding.<sup>94–97</sup>

Finally, postmortem research involving patients with ASD also pointed to decreased ACC cell density<sup>98</sup> and abnormalities of the GABA receptors in the ACC<sup>99</sup> with the GABA system being the natural antagonist to Glu in keeping the excitatory-inhibitory homeostasis of the cerebral cortex. Taken together, these findings relate well to our observation of disrupted NAA and Glu metabolism in the ACC.

#### Relationship to other findings

As summarized in Table 1, up to now only 22 MRS studies have been conducted in autism. Quite a few of these studies are hampered by methodological limitations, and in early MRS research, it was not possible to reliably measure the Glu signal.

The first study that analyzed ACC neurochemistry in adults with AS was that by Oner *et al.*<sup>57</sup> In contrast to our finding of a decreased absolute NAA signal, they reported an increase in the NAA/Cho ratio in the ACC. However, they measured only the metabolite/Cho ratios. In MRS research, metabolite ratios over Cre are often reported based on the assumption that the Cre concentration is stable, and thus represents a kind of constant reference measure. However, early MRS research raised doubts regarding whether this is really the case.<sup>100,101</sup> Recent MRS meta-analyses clearly demonstrated differences in Cre as a function of age and the brain region.<sup>43,68,69</sup> Therefore, metabolite/Cre ratios are very difficult to interpret. This is also true for metabolite ratios over Cho, as the variability of the Cho signal is even larger than that of the Cre signal. Therefore, Oner *et al.*'s data cannot answer the question if the observed changed ratio is due to a decreased NAA signal or alternatively to an increased Cho signal. Probably due to the methodological standard at that time, they were not able to report Glx signals at all.

In line with our finding, Bernardi *et al.*<sup>47</sup> found decreased Glx signals in the right ACC in 14 adult patients with high-functioning ASD compared with 14 control subjects. In contrast, Bejjani *et al.*<sup>62</sup> and Joshi *et al.*<sup>63</sup> reported elevated Glu and Glx signals in the ACC. However, in contrast to our study, they investigated children and adolescents with a wide IQ range<sup>62</sup> and a very small number of seven participants in the case of Joshi *et al.*<sup>63</sup> study. Still, the question arises how these seemingly contradictory findings may be reconciled.

#### The hypothesis of excitatory/inhibitory imbalance in autism

These findings could well be integrated within the theoretical framework of the hypothesis of excitatory/inhibitory imbalance in autism. As presented in the introduction, several authors have put forward the idea that such an imbalance between neuronal

network excitation and inhibition might be a critical component in the pathogenesis of autism.<sup>16–18,102–105</sup> This process, that is, the excitation/inhibition equilibrium (called neuronal homeostasis), can be defined as the ability of a neural system to return to a stable equilibrium following perturbation.<sup>104</sup>

From a theoretical point of view, it makes sense that the ACC is involved in the pathogenesis of ASD, as the ACC is important for mental faculties such as joint attention, attention to social reward, mental process and error monitoring, consciousness dissociation and affect regulation.<sup>106</sup> Patients with ASD typically have problems in all these domains.

Our finding of a decreased ACC NAA concentration points to a relevant disturbance of the overall integrity of this region. Decreased NAA concentrations are seen, for example, in patients with neurodegenerative disorders.<sup>107</sup> From this perspective, our finding is related to the report of decreased ACC cell density in postmortem research.<sup>98</sup> It might also be related to reports of abnormalities in the histological organization of the isocortex in autism where the peripheral surroundings of minicolumns (composed primarily of inhibitory cells) have been reported to be altered,<sup>108</sup> which in turn might cause an effective inhibitory deficit in that brain area.<sup>18</sup> However, NAA decreases are also seen in transient disturbances of neuronal network integrity, such as ischemia or in other neuropsychiatric disorders,<sup>100,101,109,110</sup> and may normalize following remission from a brain insult.<sup>109</sup> From this perspective, our NAA finding is in line with reports of altered ACC blood flow and glucose metabolism in SPECT and PET studies.<sup>94–97</sup> Although the decreased NAA signal represents only a marker of the overall compromised neuronal health of whatever cause in the measured region of interest, the compromised Glx signal might characterize the nature of the dysfunction more specifically.

When it comes to guarding the functional homeostasis of the cerebral cortex, the most important neurotransmitters for regulating neuronal network excitation and inhibition are Glu and GABA. In line with this hypothesis, almost all MRS studies investigating Glu and GABA found evidence of abnormal signals (see Table 1). However, although two studies in children and adolescents found increased Glx signals, we and Bernardi *et al.*<sup>47</sup> found decreased Glx signals in adults.

In this context, the question arises as to what the Glx signal means in terms of pathophysiology. This issue has been addressed

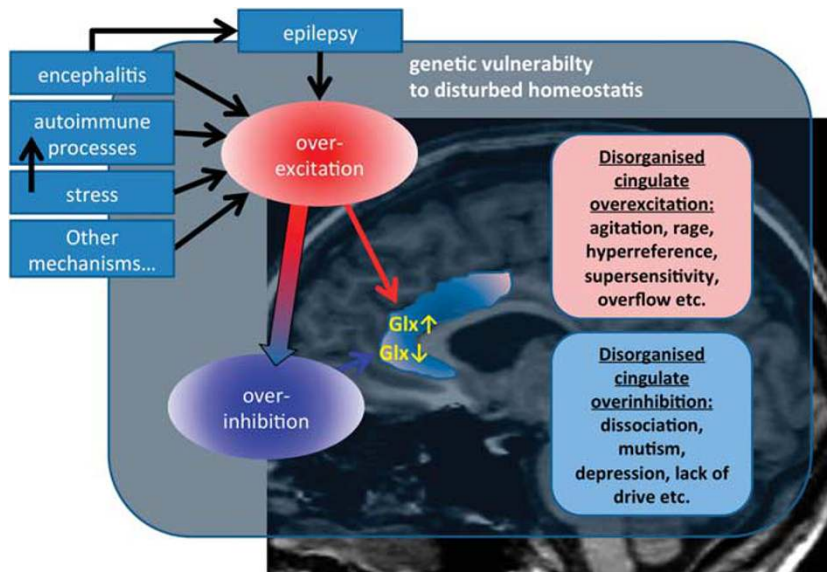
in many studies in epilepsy research. For example, Doelken *et al.*<sup>111</sup> found increased Glx signals in a patient sample with high-frequency generalized tonic clonic seizures among other regions in the ACC. Peca *et al.*<sup>112</sup> showed that experimentally induced epileptic activity led to an increase in the Glu and Gln signals as measured with MRS during the first 10 min of stimulation and then returned to baseline. Zahr *et al.*<sup>113</sup> followed the MRS signal in Wistar rats with five kainic acid-induced seizures and showed that seizure activity causes a decrease in the Glu signal in the long run. Summarizing these findings, at least in animals, excitatory seizure activity results in an acute increase in the Glx signal and a decrease in this signal in the long run.

Against the background of these observations, the increased Glx signals reported in children and adolescents with ASD could be understood as an indicator of ACC overexcitation. Whereas the decreased ACC Glx signals we and others measured in adults might point to over-inhibition of this brain area. From this perspective, the MRS Glx signal might serve as a surrogate marker of the functional equilibrium of ACC networks, with increased signals pointing to pathological overactivation and decreased signals hinting at over-inhibition. Figure 3 illustrates the essence of this hypothesis of ACC imbalance in ASD.

This hypothesis has been put forward by several researchers in cognitive neuroscience.<sup>17,102–105</sup> The idea that pathological inhibition in the context of neuronal network instability and disturbed functional homeostasis might represent a relevant pathomechanism at least in subgroups of different neuropsychiatric disorders has also been put forward by our group to explain the role of pathological EEG findings in different psychiatric disorders.<sup>23,106</sup>

One strength of this hypothesis is that it could not only integrate the findings summarized in MRS research but also explain the well-known link of ASD to epilepsy.<sup>6,114</sup> In addition, as ASD has been linked to discrete neuroinflammatory processes and microglia activation,<sup>115</sup> and neuroinflammation in turn has been associated with neuronal network excitation via glutamatergic mechanisms,<sup>116,117</sup> Glu signal abnormalities in the ACC could be interpreted as a result of such pathomechanisms.

Further support of this assumption comes from data that show that proinflammatory cytokines correlate with 1H-MRS Glx signals in patients with liver failure.<sup>118</sup> Finally, increased cerebral glutamatergic activity has been linked to discrete inflammatory



**Figure 3.** Illustration of the hypothesis of excitation/inhibition imbalance in autism. Glx, glutamate and glutamine.



mechanisms in other neuropsychiatric disorders such as Alzheimer disease and epilepsy.<sup>114,119,120</sup>

In summary, our MRS findings and those of many other authors as well as many clinical phenomena could be integrated within the theoretical framework of the excitation/inhibition imbalance theory of autism. Optogenetic methods might be a promising tool for further validating this theory with the first encouraging results already published.<sup>102</sup> In this context, MRS might turn out to be a critical translational research tool, as it can be used in animal and human research alike without any relevant side effects.

#### Methodological issues

Finally, methodological issues and limitations of this study must be considered. The present study was implemented following high methodological standards. The diagnosis of ASD was established in a multiprofessional diagnostic team in one leading German center for the diagnosis and treatment of ASDs in adults (Universitäres Zentrum Autismus Spektrum Freiburg, UZAS; <http://www.uniklinik-freiburg.de/psych/live/patientenversorgung/schwerpunkte/schwerpunkt-asperger.html>). In childhood and adolescence psychiatry and psychotherapy, the psychometric instruments ADI-R<sup>79</sup> and ADOS<sup>80</sup> are generally accepted as the gold standard for diagnosing ASD (<http://guidance.nice.org.uk/CG128/NICEGuidance/pdf/English>). In adulthood, this type of gold standard has not yet been established. Empirical research proved that there is only moderate agreement between clinical consensus diagnoses and ADOS-based ratings for adults.<sup>121</sup> In particular, the discrimination regarding schizophrenia can be a problem.<sup>122</sup> In line with these observations, in a German sample that compared ADOS with expert-consent rating, there were relevant false-positive and false-negative ADOS ratings.<sup>123</sup> Given this background, we followed the diagnostic principles laid out for diagnostic process in adulthood by the NICE guidelines for adults closely (<http://guidance.nice.org.uk/CG142/NICEGuidance/pdf/English>) and used consent expert rating rather than ADOS and ADI-R as the decisive criteria for caseness.

Including patients only with above-average IQ may be regarded as a disadvantage or as an advantage. Obviously, the generalizability of our findings and the ecological validity are compromised by this approach, as only a subgroup of patients with ASD have above-average IQs. However, it might be regarded as an advantage that the issue of mental handicap and learning difficulties must not be considered an important confounding factor. Following the line of thought laid out in the introduction, the aim was to create a neurobiologically homogenous study sample.

The MRS data acquisition and analysis followed long-established procedures at the Freiburg Brain Imaging Center ([http://www.uniklinik-freiburg.de/fbi/live/index\\_en.html](http://www.uniklinik-freiburg.de/fbi/live/index_en.html)) and was parallelized to a large government-funded study of patients with ADHD (BMBF 01GV0606). This fact also enabled us to match the control subjects from an available database of 90 controls. This allowed a one-to-one matching procedure in terms of gender and a very close individual match in terms of age and IQ.

We opted for single-voxel spectroscopy to avoid the problem of interpreting metabolite/Cr ratios. Several meta-analyses have pointed out that there is a relevant variance in Cr signals across age groups and brain areas, and therefore the commonly used procedure for calculating such ratios can lead to relevant problems when it comes to interpreting findings.<sup>43,68,69</sup> All Glu-related MRS signals (Glu, Gln and Glx) measure the integrity of regional cerebral Glu metabolism.<sup>44</sup> We present the figures for these signals but focused on the Glx signal because the spectral peaks of Gln and Glu overlap considerably, and therefore the collectively denoted Glx signal is more robust.<sup>45</sup> In MRS research, neurometabolite signals, in particular Glx and NAA, generally are

correlated.<sup>124</sup> Therefore, such correlations are not informative regarding the underlying pathomechanism of interest. The statistical procedure was straightforward, and individual raw data for all relevant findings have been presented as scatterplot figures. Therefore, all results are transparent to the reader.

In addition to the limited generalizability due to the high mean IQ of our sample, a larger sample size would have been desirable. However, to our knowledge, this is presently still the largest MRS study in adults and the first to focus on patients with above-average IQ.

#### Summary

In summary, in this study, we found decreased NAA and Glx signals in the ACC of adult patients with ASD and above-average IQ. The Glx signal correlated with psychometric measures of autism, particularly with deficits in communication skills. The Glx finding is in line with the only other MRS study in adults, both reporting Glx reductions in the ACC. This is in contrast to two studies in children and adolescents that reported a Glx signal increase rather than a signal reduction in the ACC. Regarding the excitation/inhibition-imbalance hypothesis in autism, we interpret this signal as an expression of functional over-inhibition of this brain area in our patient sample. If replicated and validated in further research, the MRS-detectable Glx signals might be a valuable marker for assessing cerebral overexcitation or over-inhibition in autism and other neuropsychiatric research. In this case, it could also be used as an objective surrogate marker of change and therapy response in psychotherapeutic and pharmacological interventions.

#### CONFLICT OF INTEREST

The authors declare no conflict of interest.

#### ACKNOWLEDGMENTS

Part of the study was supported by a grant from the federal Ministry of Education and Research to LTVE (BMBF 01GV0606).

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