

## DIVISORS ON GENERIC COMPLETE INTERSECTIONS IN PROJECTIVE SPACE

GENG XU

ABSTRACT. Let  $V$  be a generic complete intersection of hypersurfaces of degree  $d_1, d_2, \dots, d_m$  in  $n$ -dimensional projective space. We study the question when a divisor on  $V$  is nonrational or of general type, and give an alternative proof of a result of Ein. We also give some improvement of Ein's result in the case  $d_1 + d_2 + \dots + d_m = n + 2$ .

### 0. INTRODUCTION

Let  $V$  be a generic complete intersection of hypersurfaces of degree  $d_1, d_2, \dots, d_m$  in  $\mathbf{P}^n$ . A conjecture of Kobayashi (cf. [L]) states that  $V$  is hyperbolic if  $d = d_1 + d_2 + \dots + d_m \geq n + 2$ . In general, S. Lang [L] has conjectured that a variety  $X$  is hyperbolic if and only if every subvariety of  $X$  is of general type. In this paper, we will prove the following

**Theorem 1.** *Let  $V$  be a complete intersection of  $m$  generic hypersurfaces of degree  $d_1, d_2, \dots, d_m$  in  $\mathbf{P}^n$ ,  $M \subset V$  a reduced and irreducible divisor,  $p_g(M)$  the geometric genus of the desingularization of  $M$ . Assume that  $1 \leq m \leq n - 3$  and  $d_i \geq 2$  for all  $i$ . Then*

- (1)  $p_g(M) \geq n - 1$  if  $d = d_1 + d_2 + \dots + d_m \geq n + 2$ ,
- (2)  $M$  is of general type if  $d = d_1 + d_2 + \dots + d_m > n + 2$ .

In [E1,E2], Ein has shown that  $M$  is nonrational if  $d \geq n + 2$ , and is of general type if  $d > n + 2$ . Here we are going to give an alternative proof of it. Ein also proved that every subvariety of  $V$  of dimension  $l$  is nonrational if  $d \geq 2n - m - l + 1$ , and is of general type if  $d > 2n - m - l + 1$ . Therefore the improvement we made here is in the case  $d = n + 2$  and  $l = n - m - 1$ . In particular, we conclude that the divisor  $M$  can not be an abelian variety. If a variety  $X$  is hyperbolic, then every rational map of an abelian variety or  $\mathbf{P}^1$  into  $X$  is constant. On the other hand, Lang [L] conjectured that this condition is also sufficient for  $X$  to be hyperbolic.

If  $V$  is a generic hypersurface in  $\mathbf{P}^n$ , it was first shown by Clemens [CKM] that  $V$  contains no rational curves, if  $\deg V \geq n - 1$ . In [X1], we study generic surfaces in  $\mathbf{P}^3$ , obtain that every curve  $C$  on  $S$  has geometric genus  $g(C) \geq \frac{1}{2}d(d - 3) - 2$  ( $d = \deg S$ ), and the bound is sharp. We also obtain results about divisors on a generic hypersurface in  $\mathbf{P}^n$ . In [X2], we generalize these results to some nongeneric cases.

---

Received by the editors August 5, 1995.  
1991 *Mathematics Subject Classification*. Primary 14J70, 14B07.  
Partially Supported by NSF grant DMS-9401547.

When  $V$  is a generic quintic 3-fold in  $\mathbf{P}^4$ , a conjecture of Clemens says that  $V$  should contain only finitely many rational curves of given degree, which is equivalent to the statement that every divisor on  $V$  must have a nonnegative Kodaira dimension. Chang and Ran [CR] has proved that  $V$  does not contain a reduced and irreducible divisor which admits a desingularization having a numerically effective anticanonical bundle.

To establish Theorem 1, we need to get control over the singularities of the divisor  $M$  on  $V$ . The method we use here is deformation of singularity as we did in [X1].

Throughout this paper we work over the complex number field  $\mathbb{C}$ .

Finally, I am very grateful to Herbert Clemens, Mark Green and Jonathan Wahl for helpful conversations.

## 1. DEFORMATION OF SINGULARITIES

For simplicity of notations, we will give a proof of Theorem 1 in the case  $m = 2$ .

First of all, we recall some definitions from [X1].

Let  $V$  be an  $n$ -dimensional smooth variety, and  $M \subset V$  be a reduced and irreducible divisor. According to Hironaka [H], there is a desingularization of  $M$ :

$$V_{m+1} \xrightarrow{\pi_{m+1}} V_m \xrightarrow{\pi_m} \cdots \xrightarrow{\pi_2} V_1 \xrightarrow{\pi_1} V_0 = V,$$

so that the proper transform  $\tilde{M}$  of  $M$  in  $V_{m+1}$  is smooth. Here  $V_j \xrightarrow{\pi_j} V_{j-1}$  is the blow-up of  $V_{j-1}$  along a  $\nu_{j-1}$ -dimensional submanifold  $X_{j-1}$  with  $E_{j-1} \subset V_j$  the exceptional divisor. If  $X_{j-1}$  is a  $\mu_{j-1}$ -fold singular submanifold of the proper transform of  $M$  in  $V_{j-1}$ , we say that  $M$  has a *type*  $\mu = (\mu_j, X_j, E_j \mid j \in \{0, 1, \dots, m\})$  *singularity*.

If  $M \subset V$  has a type  $\mu = (\mu_j, X_j, E_j \mid j \in \Gamma)$  singularity,  $\Omega \subset V$  is an open set, we localize our definition by saying that  $M$  has a type  $\mu_\Omega = (\mu_j, X_j, E_j \mid j \in \Gamma_\Omega = \{j \mid \exists q \in E_j, q \text{ is an infinitely near point of some } p \in \Omega\})$  singularity on  $\Omega$ .

Given any resolution of the singularity of  $M \subset V$  as above, if  $D \subset V$  is a divisor, such that

$$\pi_j^*(\cdots(\pi_2^*(\pi_1^*(D) - \delta_0 E_0) - \delta_1 E_1) - \cdots) - \delta_{j-1} E_{j-1}$$

is an effective divisor for all  $j = 1, 2, \dots, m+1$ , then we say that  $D$  has a *weak type*  $\delta = (\delta_j, X_j, E_j \mid j \in \{0, 1, \dots, m\})$  *singularity*. It is easy to see that a type  $\mu$  singularity implies a weak type  $\mu$  singularity.

Assume that  $M \subset V$  has a type  $\mu = (\mu_j, X_j, E_j \mid j \in \{0, 1, \dots, m\})$  singularity. The following lemma describes the connection between the singularities of  $M$  and the canonical bundle of the desingularization  $\tilde{M}$  of  $M$ .

**Lemma 2.** *A section of  $K_V \otimes M$  with a weak type  $\mu - 1 = (\mu_j - 1, X_j, E_j \mid j \in \{0, 1, \dots, m\})$  singularity induces a section of  $K_{\tilde{M}}$ .*

*Proof.* Proposition 1.1 in [X1].     q.e.d.

**Definition.** Let  $T \subset \mathbb{C}^N$  be an open neighborhood of the origin  $0 \in T$ . Assuming that  $\sigma: M \rightarrow T$  is a family of reduced equidimensional algebraic varieties,  $M_t = \sigma^{-1}(t)$ , then we say that the family  $M_t$  is  $\mu$ -equisingular at  $t = 0$  in the sense

that we can resolve the singularity of  $M_t$  simultaneously, that is, there is a proper morphism  $\pi: \tilde{M} \rightarrow M$ , so that  $\sigma \circ \pi: \tilde{M} \rightarrow T$  is a flat map and

$$\sigma \circ \pi: \tilde{M}_t = (\sigma \circ \pi)^{-1}(t) \rightarrow M_t$$

is a resolution of the singularities of  $M_t$ . Moreover, if  $M_t$  has a type  $\mu(t) = (\mu_j(t), X_j(t), E_j(t) \mid j \in \Gamma(t))$  singularity with the above resolution, then  $\mu_j(t) = \mu_j$  and  $\Gamma(t) = \Gamma$  are independent of  $t$ , and the exceptional divisors and the singular loci of the desingularization  $\tilde{M}_t \rightarrow M_t$  have the same configuration for all  $t$ .

Now we state a lemma concerning the local deformation theory of singular divisors.

**Lemma 3.** *If  $M_t = \{g_t(z_1, \dots, z_n) = 0\}$  is a  $\mu$ -equisingular family of varieties defined in an open set  $\Omega \subset \mathbb{C}^n$ , and  $M_t$  has a type  $\mu(t)_\Omega = (\mu_j, X_j(t), E_j(t) \mid j \in \{0, \dots, m\})$  singularity on  $\Omega$ , then the variety  $\{\frac{dg_t}{dt} \mid_{t=0} = 0\}$  has a weak type  $\mu(0)_\Omega - 1 = (\mu_j - 1, X_j(0), E_j(0) \mid j \in \{0, \dots, m\})$  singularity on  $\Omega$ .*

*Proof.* Lemma 4.4 in [X1].      q.e.d.

Let  $\{Z_i\}$  be some homogeneous coordinates of  $\mathbf{P}^n$ ,  $F \in H^0(\mathbf{P}^n, \mathcal{O}(r))$  and  $G \in H^0(\mathbf{P}^n, \mathcal{O}(l))$  be homogeneous polynomials. We define

$$\frac{\partial(F, G)}{\partial(Z_i, Z_j)} = \det \begin{vmatrix} \frac{\partial F}{\partial Z_i} & \frac{\partial F}{\partial Z_j} \\ \frac{\partial G}{\partial Z_i} & \frac{\partial G}{\partial Z_j} \end{vmatrix}.$$

The next lemma tells us how to use deformation of singularities to produce special homogeneous polynomials.

**Lemma 4.** *Let  $F_{1,t} \in H^0(\mathbf{P}^n, \mathcal{O}(d_1)), F_{2,t} \in H^0(\mathbf{P}^n, \mathcal{O}(d_2)), G_t \in H^0(\mathbf{P}^n, \mathcal{O}(k))$ , and  $M_t = \{F_{1,t} = 0\} \cap \{F_{2,t} = 0\} \cap \{G_t = 0\}$  be a  $\mu$ -equisingular family of varieties with a type  $\mu(t) = (\mu_j, X_j(t), E_j(t) \mid j \in \Gamma)$  singularity. Setting*

$$\frac{dF_{1,t}}{dt} \mid_{t=0} = F'_1, \quad \frac{dF_{2,t}}{dt} \mid_{t=0} = F'_2, \quad \frac{dG_t}{dt} \mid_{t=0} = G',$$

*and assuming that both the varieties  $\{F_{i,t} = 0\}$  ( $i = 1, 2$ ) and  $\{F_{1,t} = 0\} \cap \{F_{2,t} = 0\}$  are smooth for  $t$  in a neighborhood of 0. Then the divisor*

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} F'_2 = 0 \right\}$$

*( $i, j = 0, 1, \dots, n$ ) on  $V = \{F_{1,0} = 0\} \cap \{F_{2,0} = 0\}$  has a weak type  $\mu(0) - 1 = (\mu_j - 1, X_j(0), E_j(0) \mid j \in \Gamma)$  singularity, where  $\{Z_0, Z_1, \dots, Z_n\}$  are homogeneous coordinates of  $\mathbf{P}^n$ .*

*Proof.* For any point  $P \in M_0$ , we can find an open set  $\Omega \ni P$  of  $V$ , and generic homogeneous coordinates  $\{Z'_i\}$  with

$$Z'_i = \sum_{j=0}^n l_{ij} Z_j \quad (i = 0, 1, \dots, n),$$

so that

$$\frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z'_i, Z'_j)} \neq 0$$

on  $\Omega$  for all  $i \neq j$  ( $i, j = 0, 1, \dots, n$ ). Assuming  $M_0$  has a type  $\mu_\Omega(0) = (\mu_j, X_j(0), E_j(0) \mid j \in \Gamma_\Omega)$  singularity on  $\Omega$ . Denoting

$$\{z_1, z_2, \dots, z_n\} = \left\{ \frac{Z'_1}{Z'_0}, \frac{Z'_2}{Z'_0}, \dots, \frac{Z'_n}{Z'_0} \right\},$$

if we solve the equation

$$F_{1,t}(1, z_1, z_2, \dots, z_n) = 0, \quad F_{2,t}(1, z_1, z_2, \dots, z_n) = 0$$

near the point  $P(t)$ , where  $P(0) = P$ , and get

$$z_1 = \varphi_{1,t}(z_3, \dots, z_n), \quad z_2 = \varphi_{2,t}(z_3, \dots, z_n),$$

then on some open set of  $\mathbb{C}^{n-2}$ ,  $M_t$  is a  $\mu$ -equisingular family of divisors locally defined by the equation

$$G_t(1, \varphi_{1,t}, \varphi_{2,t}, z_3, \dots, z_n) = 0.$$

By Lemma 3, the divisor locally defined by the equation

$$\frac{dG_t}{dt}(1, \varphi_{1,t}(z_3, \dots, z_n), \varphi_{2,t}(z_3, \dots, z_n), z_3, \dots, z_n) \Big|_{t=0} = 0$$

on  $\Omega$  has a weak type  $\mu_\Omega(0) - 1 = (\mu_j - 1, X_j(0), E_j(0) \mid j \in \Gamma_\Omega)$  singularity.

Now a detailed computation shows that

$$\begin{aligned} \frac{dG_t}{dt}(1, \varphi_{1,t}, \varphi_{2,t}, z_3, \dots, z_n) \Big|_{t=0} &= G' + \frac{\partial G_0}{\partial Z'_1} \frac{d\varphi_{1,t}}{dt} \Big|_{t=0} + \frac{\partial G_0}{\partial Z'_2} \frac{d\varphi_{2,t}}{dt} \Big|_{t=0} \\ &= \left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z'_1, Z'_2)} \right\}^{-1} \left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z'_1, Z'_2)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z'_1, Z'_2)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z'_1, Z'_2)} F'_2 \right\}. \end{aligned}$$

Then the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z'_1, Z'_2)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z'_1, Z'_2)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z'_1, Z'_2)} F'_2 = 0 \right\}$$

has a weak type  $\mu_\Omega(0) - 1$  singularity on  $\Omega$ . Similarly, the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z'_i, Z'_j)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z'_i, Z'_j)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z'_i, Z'_j)} F'_2 = 0 \right\} \quad (i, j = 0, 1, \dots, n)$$

has a weak type  $\mu_\Omega(0) - 1$  singularity on  $\Omega$ . Finally, since the expression

$$\frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} F'_2$$

is a linear combination of expressions

$$\frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z'_s, Z'_l)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z'_s, Z'_l)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z'_s, Z'_l)} F'_2, \quad (s, l = 0, 1, \dots, n)$$

and weak type  $\mu_\Omega(0) - 1$  singularity is additive (cf. section 1 in [X1]), we conclude that the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} F'_2 = 0 \right\}$$

has a weak type  $\mu_\Omega(0) - 1$  singularity on  $\Omega$ , hence it has a weak type  $\mu(0) - 1$  singularity on  $V$ . q.e.d.

*Remark.* In general, if

$$V_t = \{F_{1,t} = 0\} \cap \{F_{2,t} = 0\} \cap \dots \cap \{F_{m,t} = 0\}$$

is a complete intersection of  $m$  hypersurfaces, and  $M_t^* = V_t \cap \{G_t = 0\}$  is a  $\mu$ -equisingular family of divisors. Then one can state and prove an analogy of Lemma 4 with the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} F'_2 = 0 \right\}$$

replaced by a divisor of the form

$$\left\{ \begin{aligned} & \frac{\partial(F_{1,0}, F_{2,0}, F_{3,0}, \dots, F_{m,0})}{\partial(Z_{i_1}, Z_{i_2}, Z_{i_3}, \dots, Z_{i_m})} G' - \frac{\partial(G_0, F_{2,0}, F_{3,0}, \dots, F_{m,0})}{\partial(Z_{i_1}, Z_{i_2}, Z_{i_3}, \dots, Z_{i_m})} F'_1 \\ & - \frac{\partial(F_{1,0}, G_0, F_{3,0}, \dots, F_{m,0})}{\partial(Z_{i_1}, Z_{i_2}, Z_{i_3}, \dots, Z_{i_m})} F'_2 - \frac{\partial(F_{1,0}, F_{2,0}, G_0, \dots, F_{m,0})}{\partial(Z_{i_1}, Z_{i_2}, Z_{i_3}, \dots, Z_{i_m})} F'_3 \\ & - \dots - \frac{\partial(F_{1,0}, F_{2,0}, F_{3,0}, \dots, G_0)}{\partial(Z_{i_1}, Z_{i_2}, Z_{i_3}, \dots, Z_{i_m})} F'_m = 0 \end{aligned} \right\},$$

here  $i_1, \dots, i_m = 0, 1, \dots, n$ .

## 2. PROOF OF THEOREM 1

Let  $V = \{F_1 = 0\} \cap \{F_2 = 0\} \subset \mathbf{P}^n$  be a complete intersection of generic hypersurfaces  $\{F_1 = 0\}$  and  $\{F_2 = 0\}$  of degree  $d_1$  and  $d_2$ . By our assumption  $m \leq n - 3$ , that is  $\dim V \geq 3$ , we know that  $\text{Pic } V = \mathbb{Z}$  and it is generated by  $\mathcal{O}_V(1)$ , thanks to the Lefschetz theorem. Now if  $M \subset V$  is a reduced and irreducible divisor, then it is a complete intersection of  $V$  with another hypersurface  $\{G = 0\}$  of degree  $k$ . Here  $F_1, F_2$  and  $G$  are homogeneous polynomials.

**Proposition 5.** *Let  $V$  be a complete intersection of  $m$  generic hypersurfaces of degree  $d_1, d_2, \dots, d_m$  in  $\mathbf{P}^n$ , and  $M \subset V$  a reduced and irreducible divisor. Assume that  $d = d_1 + d_2 + \dots + d_m \geq n + 2$ ,  $1 \leq m \leq n - 3$  and  $d_i \geq 2$  for all  $i$ . Then there is a desingularization  $\sigma : \tilde{M} \rightarrow M$  of  $M$ , and we have*

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d - n - 2))) \geq n - 1.$$

*Remark.* This is an improvement of an early result of L. Ein [E2] which states that

$$H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d-n-2))) \neq 0.$$

Assuming Proposition 5, now we can give the

*Proof of Theorem 1.* (1) If  $d \geq n+2$ , then  $H^0(M, \mathcal{O}(d-n-2)) \neq 0$ , by Proposition 5,

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-d+n+2)) \geq n-1.$$

Hence we have

$$\begin{aligned} p_g(M) &= \dim H^0(\tilde{M}, K_{\tilde{M}}) \\ &\geq \dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-d+n+2)) + \dim H^0(\tilde{M}, \sigma^* \mathcal{O}(d-n-2)) - 1 \\ &\geq n-1, \end{aligned}$$

thanks to Hopf's theorem.

(2) If  $d > n+2$ , then  $d-n-2 \geq 1$ . From

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d-n-2))) \geq n-1 > 0,$$

we conclude that  $M$  is of general type. q.e.d.

We now begin the proof of Proposition 5. For simplicity of notation, we will assume that  $m = 2$ .

Assume the contrary; namely, for any generic complete intersection of 2 hypersurfaces of degree  $d_1, d_2$ , there is a reduced and irreducible divisor on it with

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d-n-2))) < n-1.$$

Set

$$\begin{aligned} B &= \{ \{F_1, F_2\} \in H^0(\mathbf{P}^n, \mathcal{O}(d_1)) \times H^0(\mathbf{P}^n, \mathcal{O}(d_2)) \mid \text{both varieties} \\ &\quad \{F_i = 0\} (i = 1, 2) \text{ and } \{F_1 = 0\} \cap \{F_2 = 0\} \text{ are smooth} \}, \\ A_k &= \{ \{F_1, F_2, G\} \in H^0(\mathbf{P}^n, \mathcal{O}(d_1)) \times H^0(\mathbf{P}^n, \mathcal{O}(d_2)) \times H^0(\mathbf{P}^n, \mathcal{O}(k)) \mid \\ &\quad \{F_1, F_2\} \in B, M = \{G = 0\} \cap V \text{ is a reduced and irreducible divisor on} \\ &\quad V = \{F_1 = 0\} \cap \{F_2 = 0\}, \dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d-n-2))) < n-1 \}. \end{aligned}$$

Then the map

$$\bigcup_{k=1}^{\infty} A_k \rightarrow B$$

is dominant by assumption. Hence the map  $A_k \rightarrow B$  is dominant for some  $k$ . Therefore at some regular point  $\{F_1, F_2\}$  of  $B$ , we can find a smooth section  $B \rightarrow A_k$ , that is, there is a triple

$$\{F_1, F_2, G\} \in H^0(\mathbf{P}^n, \mathcal{O}(d_1)) \times H^0(\mathbf{P}^n, \mathcal{O}(d_2)) \times H^0(\mathbf{P}^n, \mathcal{O}(k)),$$

which has the following property: both varieties  $\{F_i = 0\}$  ( $i = 1, 2$ ) and  $V = \{F_1 = 0\} \cap \{F_2 = 0\}$  are smooth, the divisor  $M = V \cap \{G = 0\}$  is reduced and irreducible,

and for any deformation  $F_{1,t}$  of  $F_1 = F_{1,0}$  and  $F_{2,t}$  of  $F_2 = F_{2,0}$ , there is a unique deformation  $G_t$  of  $G = G_0$ , so that the divisor

$$M_t = \{F_{1,t} = 0\} \cap \{F_{2,t} = 0\} \cap \{G_t = 0\}$$

on  $\{F_{1,t} = 0\} \cap \{F_{2,t} = 0\}$  has

$$\dim H^0(\tilde{M}_t, K_{\tilde{M}_t} \otimes \sigma_t^* \mathcal{O}(-(d - n - 2))) < n - 1.$$

Here  $\sigma_t^* : \tilde{M}_t \rightarrow M_t$  is a desingularization of  $M_t$ . Moreover, we can assume that the family  $M_t$  is  $\mu$ -equisingular, and  $M_t$  has a type  $\mu(t) = (\mu_j, X_j(t), E_j(t) \mid j \in \Gamma)$  singularity.

Let  $\{Z_i\}$  be fixed homogeneous coordinates of  $\mathbf{P}^n$ . By Lemma 4, for any deformation  $F'_1 \in H^0(\mathbf{P}^n, \mathcal{O}(d_1))$  of  $F_1$  and  $F'_2 \in H^0(\mathbf{P}^n, \mathcal{O}(d_2))$  of  $F_2$ , there is a unique deformation  $G' \in H^0(\mathbf{P}^n, \mathcal{O}(k))$  of  $G$ , so that the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} G' - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} F'_1 - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} F'_2 = 0 \right\} \quad (i, j = 0, 1, \dots, n)$$

on  $V = \{F_{1,0} = 0\} \cap \{F_{2,0} = 0\} = \{F_1 = 0\} \cap \{F_2 = 0\}$  has a weak type  $\mu(0) - 1 = (\mu_j - 1, X_j(0), E_j(0) \mid j \in \Gamma)$  singularity. Denote  $G' = \Phi(F'_1, F'_2)$ . Then we have a map

$$\Phi : H^0(\mathbf{P}^n, \mathcal{O}(d_1)) \times H^0(\mathbf{P}^n, \mathcal{O}(d_2)) \longrightarrow H^0(\mathbf{P}^n, \mathcal{O}(k)) / (F_1, F_2, G),$$

here  $(F_1, F_2, G)$  is the ideal generated by  $F_1, F_2, G$ .

**Lemma 6.**  $\Phi$  is linear in  $F_1, F_2 \bmod (F_1, F_2, G)$ .

*Proof.* Otherwise, since

$$\begin{aligned} & \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} (\Phi(aF'_1 + b\tilde{F}'_1, F'_2) - a\Phi(F'_1, 0) - b\Phi(\tilde{F}'_1, 0) - \Phi(0, F'_2)) \\ &= \left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \Phi(aF'_1 + b\tilde{F}'_1, F'_2) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} (aF'_1 + b\tilde{F}'_1) - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} F'_2 \right\} \\ & \quad - a \left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \Phi(F'_1, 0) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} F'_1 \right\} \\ & \quad - b \left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \Phi(\tilde{F}'_1, 0) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} \tilde{F}'_1 \right\} \\ & \quad - \left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \Phi(0, F'_2) - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} F'_2 \right\}, \end{aligned}$$

and for any point  $P \in V$ ,

$$\frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)}(P) \neq 0$$

for some  $i, j$ . By Lemma 4 and the additivity of weak type  $\mu(0) - 1$  singularity, the divisor

$$\{\Phi(aF'_1 + b\tilde{F}'_1, F'_2) - a\Phi(F'_1, 0) - b\Phi(\tilde{F}'_1, 0) - \Phi(0, F'_2)\} = 0$$

will have a weak type  $\mu(0) - 1 = (\mu_j - 1, X_j(0), E_j(0) | j \in \Gamma)$  singularity on  $V$ . On the other hand, by the adjunction formula, we have

$$K_V \otimes M = \mathcal{O}(d + k - n - 1).$$

If  $\Phi$  is not linear mod  $(F_1, F_2, G)$ , then

$$\Phi(aF'_1 + b\tilde{F}'_1, F'_2) - a\Phi(F'_1, 0) - b\Phi(\tilde{F}'_1, 0) - \Phi(0, F'_2)$$

will generate a section of  $K_{\tilde{M}} \otimes \mathcal{O}(-(d - n - 2) - 1)$  by Lemma 2, which will imply that

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d - n - 2))) \geq n - 1$$

because  $\dim H^0(M, \mathcal{O}(1)) \geq n - 1$ . Here we use the fact that  $\deg F_i = d_i \geq 2$ . q.e.d.

Let  $\{Y_i\}$  be another homogeneous coordinate of  $\mathbf{P}^n$ . Now we take a special deformation  $F'_1 = Y_p U$  ( $p = 0, 1, \dots, n$ ) of  $F_1$  with  $U \in H^0(\mathbf{P}^n, \mathcal{O}(d_1 - 1))$ . Since

$$\begin{aligned} & \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} (Y_p \Phi(Y_q U, 0) - Y_q \Phi(Y_p U, 0)) \\ &= Y_p \left( \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \Phi(Y_q U, 0) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} Y_q U \right) \\ & - Y_q \left( \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \Phi(Y_p U, 0) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} Y_p U \right), \end{aligned}$$

by Lemma 4 we conclude that the divisor  $\{Y_p \Phi(Y_q U, 0) - Y_q \Phi(Y_p U, 0) = 0\}$  on  $V$  has a weak type  $\mu(0) - 1$  singularity.

**Lemma 7.** *If  $\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d - n - 2))) < n - 1$ , then there is a linear map*

$$\Phi_1 : H^0(\mathbf{P}^n, \mathcal{O}(d_1 - 1)) \times H^0(\mathbf{P}^n, \mathcal{O}(d_2 - 1)) \rightarrow H^0(\mathbf{P}^n, \mathcal{O}(k - 1)) / (F_1, F_2, G),$$

so that for any  $U \in H^0(\mathbf{P}^n, \mathcal{O}(d_1 - 1))$ , and  $W \in H^0(\mathbf{P}^n, \mathcal{O}(d_2 - 1))$ , the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \Phi_1(U, W) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} U - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} W = 0 \right\}$$

( $i, j = 0, 1, \dots, n$ ) on  $V$  has a weak type  $\mu(0) - 1$  singularity.

*Proof.* Let  $Y, H \in H^0(\mathbf{P}^n, \mathcal{O}(1))$  be 2 hyperplanes, and  $U \in H^0(\mathbf{P}^n, \mathcal{O}(d_1 - 1))$  be a fixed polynomial. By the argument before Lemma 7 (choose  $Y_p = Y, Y_q = H$ ), we know that the divisor  $\{Y \Phi(HU, 0) - H \Phi(YU, 0) = 0\}$  on  $V$  has a weak type  $\mu(0) - 1$  singularity. Since we have

$$K_V \otimes M = \mathcal{O}(d + k - n - 1),$$

and  $Y \Phi(HU, 0) - H \Phi(YU, 0) \in H^0(\mathbf{P}^n, \mathcal{O}(k + 1))$ , if

$$Y \Phi(HU, 0) - H \Phi(YU, 0) \neq 0$$



on  $M$ , that is  $Y\Phi(HU, 0) - H\Phi(YU, 0) \notin (F_1, F_2, G)$ , then it will induce a section of  $K_{\tilde{M}} \otimes \sigma^*\mathcal{O}(-(d - n - 2))$  by Lemma 2. Denote

$$\Lambda_H = \{Y|Y\Phi(HU, 0) - H\Phi(YU, 0) \in (F_1, F_2, G)\} \subset H^0(\mathbf{P}^n, \mathcal{O}(1)).$$

The linearity of  $\Phi$  implies that  $\Lambda_H$  is a linear subspace of  $H^0(\mathbf{P}^n, \mathcal{O}(1))$ . We conclude that  $\dim \Lambda_H \geq 2$  by our assumption that

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^*\mathcal{O}(-(d - n - 2))) \leq n - 2.$$

Hence there is a nontrivial hyperplane  $Y_H \in \Lambda_H$  such that

$$Y_H \notin (H, F_1, F_2, G),$$

thanks to the fact that  $\deg F_i \geq 2$ .

Let  $\sigma : \tilde{M} \rightarrow M$  be a desingularization of  $M$ . Then the linear system  $|\sigma^*\mathcal{O}(1)|$  on  $\tilde{M}$  is base point free. Since  $\dim M = \dim V - 1 \geq 2$ , and  $M$  is reduced and irreducible, Bertini's theorem implies that the generic hyperplane section of  $\tilde{M}$  is irreducible. Therefore we can choose a generic hyperplane  $H$ , so that  $H \cap M$  is irreducible and reduced. By our construction of  $Y_H$ , we have

$$Y_H\Phi(HU, 0) - H\Phi(Y_HU, 0) \in (F_1, F_2, G),$$

that is  $Y_H\Phi(HU, 0) \in (H, F_1, F_2, G)$ . The fact that  $Y_H \notin (H, F_1, F_2, G)$  and that  $H \cap M$  is irreducible now gives us  $\Phi(HU, 0) \in (H, F_1, F_2, G)$ . Therefore,

$$\Phi(HU, 0) = HU^* \pmod{(F_1, F_2, G)}$$

for some  $U^* \in H^0(\mathbf{P}^n, \mathcal{O}(k - 1))$ , and  $U^*$  is unique mod  $(F_1, F_2, G)$  because  $M$  is reduced and irreducible. Similarly, for any  $W \in H^0(\mathbf{P}^n, \mathcal{O}(d_2 - 1))$ , there is a  $W^* \in H^0(\mathbf{P}^n, \mathcal{O}(k - 1))$ , such that

$$\Phi(0, HW) = HW^* \pmod{(F_1, F_2, G)}.$$

Now we define

$$\Phi_1(U, W) = U^* + W^* \in H^0(\mathbf{P}^n, \mathcal{O}(k - 1))/(F_1, F_2, G),$$

then  $\Phi_1$  is independent of the choice of the generic hyperplane  $H$ .

From Lemma 4, we know that the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)}\Phi(HU, HW) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)}HU - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)}HW = 0 \right\}$$

on  $V$  has a weak type  $\mu(0) - 1$  singularity. Using the fact that

$$\Phi(HU, HW) = \Phi(HU, 0) + \Phi(0, HW) = H\Phi_1(U, W) \pmod{(F_1, F_2, G)},$$

we find that the divisor

$$\left\{ H\left(\frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)}\Phi_1(U, W) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)}U - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)}W\right) = 0 \right\}$$

on  $V$  has a weak type  $\mu(0) - 1$  singularity. Therefore we know that the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \Phi_1(U, W) - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} U - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} W = 0 \right\}$$

on  $V$  has a weak type  $\mu(0) - 1$  singularity if we choose the generic hyperplane  $H$  such that it is in general position with respect to the singular locus of  $M$ . Again, we may assume that  $\Phi_1$  to be linear mod  $(F_1, F_2, G)$  as we did for  $\Phi$ . q.e.d.

We continue the proof of Theorem 1. If

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d - n - 2))) < n - 1,$$

we can repeat the argument in the proof of Lemma 7 again on the triple

$$(U, W, \Phi_1(U, W)) \in H^0(\mathbf{P}^n, \mathcal{O}(d_1 - 1)) \times H^0(\mathbf{P}^n, \mathcal{O}(d_2 - 1)) \times H^0(\mathbf{P}^n, \mathcal{O}(k - 1))$$

instead of the triple

$$(F'_1, F'_2, \Phi(F'_1, F'_2)) \in H^0(\mathbf{P}^n, \mathcal{O}(d_1)) \times H^0(\mathbf{P}^n, \mathcal{O}(d_2)) \times H^0(\mathbf{P}^n, \mathcal{O}(k)),$$

and using Lemma 7 instead of Lemma 4. After repeating this process for several times, eventually we arrive at the following situation.

Case (1).  $d_1 \leq k$  and  $d_2 \leq k$ . There are

$$R_{ij} \in H^0(\mathbf{P}^n, \mathcal{O}(k - d_1)) \text{ and } S_{ij} \in H^0(\mathbf{P}^n, \mathcal{O}(k - d_2)),$$

so that both the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} R_{ij} - \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} \cdot 1 = 0 \right\}$$

and the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} S_{ij} - \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} \cdot 1 = 0 \right\}$$

on  $V$  have weak type  $\mu(0) - 1$  singularities. Moreover,

$$R_{ij} \equiv R, S_{ij} \equiv S \text{ mod } (F_1, F_2, G)$$

are independent of  $i, j$ , because we assume that the deformation  $G' = \Phi(F'_1, F'_2)$  is unique for given  $F'_1, F'_2$  (the reason is the same as we assume that  $\Phi$  is linear).

Consider the following linear equation

$$\begin{aligned} \alpha \frac{\partial F_{1,0}}{\partial Z_i} + \beta \frac{\partial F_{2,0}}{\partial Z_i} &= \frac{\partial G_0}{\partial Z_i} - \frac{\partial F_{1,0}}{\partial Z_i} R - \frac{\partial F_{2,0}}{\partial Z_i} S, \\ \alpha \frac{\partial F_{1,0}}{\partial Z_j} + \beta \frac{\partial F_{2,0}}{\partial Z_j} &= \frac{\partial G_0}{\partial Z_j} - \frac{\partial F_{1,0}}{\partial Z_j} R - \frac{\partial F_{2,0}}{\partial Z_j} S. \end{aligned}$$

When we solve this equation, we get

$$\begin{aligned} \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \alpha &= \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} \cdot 1 - \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} R, \\ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \beta &= \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} \cdot 1 - \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} S. \end{aligned}$$

Hence the divisor

$$\left\{ \frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \left( \frac{\partial G_0}{\partial Z_i} - \frac{\partial F_{1,0}}{\partial Z_i} R - \frac{\partial F_{2,0}}{\partial Z_i} S \right) = 0 \right\}$$

on  $V$  has a weak type  $\mu(0) - 1$  singularity. For any point  $P \in V$ , we can choose generic homogeneous coordinates so that

$$\frac{\partial(F_{1,0}, F_{2,0})}{\partial(Z_i, Z_j)} \neq 0$$

near  $P$  for all  $i \neq j$ . Then the divisor

$$\left\{ \frac{\partial G}{\partial Z_i} - \frac{\partial F_1}{\partial Z_i} R - \frac{\partial F_2}{\partial Z_i} S = 0 \right\} = \left\{ \frac{\partial G_0}{\partial Z_i} - \frac{\partial F_{1,0}}{\partial Z_i} R - \frac{\partial F_{2,0}}{\partial Z_i} S = 0 \right\}$$

has a weak type  $\mu(0) - 1$  singularity in a neighborhood of  $P$ . Now let  $\{Y_i\}$  be another homogeneous coordinate of  $\mathbf{P}^n$ . Since

$$\frac{\partial G}{\partial Y_j} - \frac{\partial F_1}{\partial Y_j} R - \frac{\partial F_2}{\partial Y_j} S$$

is a linear combination of expressions

$$\frac{\partial G}{\partial Z_i} - \frac{\partial F_1}{\partial Z_i} R - \frac{\partial F_2}{\partial Z_i} S \quad (i = 0, 1, \dots, n)$$

and weak type  $\mu(0) - 1$  singularity is additive, we conclude that the divisor

$$\left\{ \frac{\partial G}{\partial Y_j} - \frac{\partial F_1}{\partial Y_j} R - \frac{\partial F_2}{\partial Y_j} S = 0 \right\}$$

on  $V$  has a weak type  $\mu(0) - 1$  singularity. If

$$\frac{\partial G}{\partial Y_j} - \frac{\partial F_1}{\partial Y_j} R - \frac{\partial F_2}{\partial Y_j} S = 0 \quad \text{mod } (F_1, F_2, G)$$

for all  $j$ , then

$$\frac{\partial G}{\partial Y_j} - \frac{\partial F_1}{\partial Y_j} R - \frac{\partial F_2}{\partial Y_j} S = 0 \quad \text{mod } (F_1, F_2)$$

because its degree  $k - 1 < k$ , and the Euler equation will imply that  $G \in (F_1, F_2)$ , which is impossible.

Therefore

$$\frac{\partial G}{\partial Y_j} - \frac{\partial F_1}{\partial Y_j} R - \frac{\partial F_2}{\partial Y_j} S \notin (F_1, F_2, G)$$

for some  $j$ , that is,

$$\frac{\partial G}{\partial Y_j} - \frac{\partial F_1}{\partial Y_j} R - \frac{\partial F_2}{\partial Y_j} S \neq 0$$

on  $M$ . Now we can choose

$$H_1, \dots, H_{n-1} \in H^0(\mathbf{P}^n, \mathcal{O}(1)),$$

so that  $H_i$  generates a linear subspace of dimension  $n - 1$  and  $G$  is not there (in case  $\deg G = 1$ ). Then

$$\left( \frac{\partial G}{\partial Y_j} - \frac{\partial F_1}{\partial Y_j} R - \frac{\partial F_2}{\partial Y_j} S \right) H_1 H_i \quad (i = 1, 2, \dots, n - 1)$$

will induce  $n - 1$  linear independent sections of  $K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d - n - 2))$  by Lemma 2. A contradiction.

Case (2).  $d_1 \leq k < d_2$ . Then Lemma 7 implies that the divisor

$$\left\{ \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} = 0 \right\}$$

on  $V$  has a weak type  $\mu(0) - 1$  singularity. The argument in case (1) (take  $S = 0$ ) shows that for some  $j$ , the divisor

$$\left\{ \frac{\partial G}{\partial Y_j} - \frac{\partial F_1}{\partial Y_j} R = 0 \right\}$$

on  $V$  has a weak type  $\mu(0) - 1$  singularity, and it is nontrivial on  $M$ . Again we get

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d - n - 2))) \geq n - 1.$$

Case (3).  $d_1 > k$  and  $d_2 > k$ . This time, we conclude that both divisors

$$\left\{ \frac{\partial(F_{1,0}, G_0)}{\partial(Z_i, Z_j)} = 0 \right\} \quad \text{and} \quad \left\{ \frac{\partial(G_0, F_{2,0})}{\partial(Z_i, Z_j)} = 0 \right\}$$

on  $V$  have weak type  $\mu(0) - 1$  singularities. The argument in case (1) (take  $R = S = 0$ ) shows that for some  $j$ , the divisor  $\left\{ \frac{\partial G}{\partial Y_j} = 0 \right\}$  on  $V$  has a weak type  $\mu(0) - 1$  singularity, and it is nontrivial on  $M$ . We conclude again that

$$\dim H^0(\tilde{M}, K_{\tilde{M}} \otimes \sigma^* \mathcal{O}(-(d - n - 2))) \geq n - 1.$$

This completes the proof of Proposition 7.

#### REFERENCES

- [CKM] H. Clemens, J. K ollar and S. Mori, *Higher Dimensional Complex Geometry*, Asterisque, 1988. MR **90j**:14046
- [CR] M.C. Chang and Z. Ran, *Divisors on some generic hypersurfaces*, J. Diff. Geom. **38** (1993), 671–678. MR **95e**:14031
- [E1] L. Ein, *Subvarieties of generic complete intersection*, Invent. Math. **94** (1988), 163–169. MR **89i**:14002
- [E2] L. Ein, *Subvarieties of generic complete intersections II*, Math. Ann. **289** (1991), no. 3, 465–471. MR **92h**:14002
- [H] H. Hironaka, *Resolution of singularities of an algebraic variety over a field of characteristic zero*, Annals of Math. **79** (1964), 109–203, 205–326. MR **33**:7333
- [L] S. Lang, *Hyperbolic and diophantine analysis*, Bull. of A.M.S. **14** (1986), 159–205. MR **87h**:32051
- [X1] G. Xu, *Subvarieties of general hypersurfaces in projective space*, J. Diff. Geom. **39** (1994), 139–172. MR **95d**:14043
- [X2] G. Xu, *Divisors on hypersurfaces*, Math. Zeitschrift **219** (1995), 581–589.

DEPARTMENT OF MATHEMATICS, JOHNS HOPKINS UNIVERSITY, BALTIMORE, MARYLAND 21218  
E-mail address: geng@math.jhu.edu