1 2	DNA G-quadruplexes in the human genome: detection, functions and therapeutic potential
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12	
13	Abstract
14	Single-stranded guanine rich DNA sequences can fold into four-stranded DNA
15	structures called G-quadruplexes (G4s) that arise from self-stacking of two or more
16	guanine-quartets. There has been considerable recent progress on the detection and
17	mapping of G4 structures in the human genome and in biologically relevant contexts.
18	These advancements have provided important new insights into their functions, for
19	example in regulating transcription and genome stability, and their potential for
20	therapeutic applications, much of which is aligned to predictions previously made in
21	computational studies.
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24	Introduction
25	Single-stranded guanine rich DNA sequences can fold into stable intramolecular and
26	intermolecular four-stranded non-B DNA structures called G-quadruplexes (G4s,
27	Figure 1) ¹ . G4s arise from Hoogsteen hydrogen bonding of four guanines arranged
28	within a planar quartet (G-quartet) ¹ . Self-stacking of two or more G-quartets generates
29	a G4 structure that is further stabilised by monovalent cations in the order

 $(K^+>Na^+>NH_4^+>Li^+)$ (Figure 1)¹. G4 formation has been observed in oligonucleotide

sequences derived from the human genome, particularly gene promoters and

telomeres. Such studies, along with chemical biological approaches using G4 targeted

small molecules or antibodies, and also computational predictions, have suggested

that G4s may be important in gene regulation and telomere biology.

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This article will focus on recent, significant progress in detecting and mapping G4s in the human genome and the new insights into their functions and their potential for therapeutic applications. More comprehensive reviews on functional roles of DNA G4 can be found elsewhere^{2,3}.

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40 Imaging and Mapping G4s

Computational G4 predictions using simple algorithms have suggested that over 42 300,000 sequence motifs (of the type $G_{\geq 3}N_{1-7}G_{\geq 3}N_{1-7}G_{\geq 3}N_{1-7}G_{\geq 3}$) in the human 43 genome have the potential to form a G4 structure^{4,5}. A more recent algorithm has 44 predicted the number of potential G4 sequences to be substantially higher⁶. These 45 computational studies showed that G4 motifs are enriched in telomeres, promoters 46 and the first intron of genes, but have highlighted the need to generate explicit 47 experimental data about the existence and function(s) of G4s in biologically relevant 48 contexts. G4-selective probes have been developed and employed to capture G4s in 49 cells by fluorescence microscopy and also by DNA chromatin immuno-precipitation 50 51 followed by sequencing.

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53 *Cellular visualisation.* One approach to visualise particular DNA structures in cells is to employ structure-selective molecular probes. Antibody proteins can have exquisite 54 55 specificity in their recognition of molecular structures and are widely used to bind to and visualise proteins within cells or map their binding sites in DNA or RNA. 56 Antibodies can be generated by immunisation or via in vitro affinity selection to 57 recognise a particular DNA structure or chemical feature. The first reported 58 visualisation of G4 formation in a biologically relevant context used a G4-selective 59 single-chain antibody (scFV) probe $(Stv3)^7$ to show G4 formation at telomeres in the 60 macronuclei of the ciliate *Stylonychia lemnae*⁷. The same antibody was used to 61 elucidate the dynamic, formation and loss of telomeric G4 under the cooperative 62 control of telomere-end-binding proteins, and a cell-cycle dependent phosphorylation 63 of one of them⁸. Recently G4s have been visualised in human cells by 64 immunofluorescence microscopy using two G4-specific antibodies BG4⁹ and 1H6¹⁰, 65 each generated from separate labs, with the use of secondary and tertiary antibodies 66 for signal sensitivity. These independent and complementary studies were each 67 performed on *in situ* fixed nuclei and showed punctate staining of G4 in genomic 68 DNA in the nuclei of a range of human cell lines. Cell synchronisation experiments 69

70 revealed cell cycle dependent G4 dynamics with the quantity of G4s reaching a maximum during the S-phase⁹. Immunofluorescence staining of metaphase 71 chromosome spreads revealed G4s at telomeres with the majority of foci occurring 72 away from the telomeres⁹. The number of detected G4-antibody *foci* increased after 73 74 exposure of live human cells to G4 ligands, that include PDS, PhenDC3 and TMPyP4, demonstrating that such ligands do indeed trap out G4 structures once they form in 75 cells (Figure 2b)^{9,10}. The number of G4 foci in the presence of G4-stabilising ligand 76 telomestatin in DT40 chicken cells was higher when FANCJ, a G4-specific helicase, 77 was deficient, consistent with FANCJ controlling the susceptibility of G4s as 78 molecular targets for ligands (Figure 2f)¹⁰. BG4 Immunofluorescence has shown 79 colocalisation of human telomerase with a subset of endogenous telomeric G4 80 structures in cells, suggesting telomerase might be recruited to G4 to extend telomeres 81 during meiosis¹¹ and presenting a alternative perspective to the earlier views that 82 telomeric G4 structure may preclude telomerase recognition and action¹². Synthetic 83 small molecules that recognise G4s have also been employed to detect these DNA 84 structures. A derivative of the G4-ligand Pyridostatin (PDS) called PDS-α enabled 85 nuclear detection of G4s by bio-orthogonal ligation of a fluorophore to the ligand after 86 cellular incubation and formaldehyde fixation¹³. PDS- α staining was significantly 87 colocalised with the G4-helicase Pif1 in osteosarcoma (U2OS) cells, by super high-88 89 resolution spectroscopy, consistent with Pif1 processing of G4 structures in human cells¹³. The intrinsically fluorescent G4 ligands BMVC and DAOTA-M2, have also 90 been used to visualise G4s suggesting higher G4 prevalence in some cancer cell lines 91 compared to normal cells^{14,15}. These studies have complemented earlier work that 92 visualised accumulation of a radiolabeled small molecule G4 ligand at telomeres in 93 human cells¹⁶. Be they antibodies or small molecules, it is a fundamental consequence 94 that probes that bind to particular DNA structures can alter the intrinsic stability of 95 those structures by the very act of binding. Thus, molecular probes can alter the 96 apparent lifetimes of these dynamic structures, from their natural states. Probe-based 97 observations of natural biological dynamics (e.g. during the cell cvcle)^{8,9} or 98 perturbation experiments (by ligands or manipulation of key enzymes)^{9,10,17}, are 99 helpful to visualise changes that are unlikely to be attributed to the binding effect of 100 101 the probes.

103 Genome mapping. A method for combining G4-dependent DNA polymerase stalling and next-generation sequencing, called G4-seq, has been developed to map G4 104 structures in purified, single-stranded DNA on a human genome scale¹⁸. Typically, 105 genomic DNA isolated from cells, is sequenced first under conditions that do not 106 favour G4 structure formation, then the same DNA fragments are re-sequenced under 107 conditions that stabilize G4 structure formation, either by addition of K⁺ or the G4-108 ligand PDS. G4-specific polymerase stalling is detected at specific sites during the 109 second sequencing run by a precipitous loss of sequencing data quality, as compared 110 111 to the first sequencing run. G4-seq identified over 700,000 G4s in the human genome, the majority (70%) of which comprised extra-long loops and/or bulges in their G-112 tracts, which precluded their prediction by earlier algorithms, e.g. $(G_{>3}N_{1-7}G_{>3}N_{1-$ 113 ${}_{7}G_{>3}N_{1-7}G_{>3})^{4}$. Together with other studies⁶, this suggests the breadth and number of 114 potential G4s is greater than originally envisaged. The G4s were enriched in 115 regulatory regions that included promoter, 5'UTR, splicing sites and were also 116 overrepresented in cancer-related genes and in somatic copy number alterations 117 (SCNAs) amplified in cancer genomes¹⁸. There are now a number of G4 predictor 118 algorithms available that vary considerably in the details and the types of G4s that are 119 120 captured. While, computational predictors and G4-seq provide a framework for understanding the potential for G4 structures to form in genomes, it is important to 121 122 experimentally consider the profile and genome dynamics of G4 DNA in a biological context. A step towards this is to employ probes that bind to and enrich G4s from 123 chromatin, followed by sequencing (e.g. Chromatin Immuno Precipitation 124 Sequencing; ChIP-seq). An early attempt was to map the sites of DNA double-strand 125 breaks (DSBs) induced by the G4-targeting ligand PDS in human immortalized 126 fibroblast (MRC5-SV40) cells by ChIP-seq using an antibody for the DSB marker 127 γ H2AX in fixed chromatin¹³. A significant enrichment of DSBs was observed in 128 particular genomic regions rich in computationally predicted G4 motifs consistent 129 with the G4-ligand binding to G4 target structures and causing DSBs at those sites¹³. 130 The binding sites of endogenous cellular proteins that can bind or resolve G4s in vitro, 131 such as human ATRX¹⁹ and XPB/ XPD²⁰ and yeast PIF-1 (an inactive mutant form)²¹ 132 and RIF-1²², have been mapped by ChIP-seq to regions that comprise predicted G4 133 motifs that occur for example at telomeres and gene promoters (Figure 2g). Whilst the 134 proteins that feature in such studies may also be capable of binding to other sequences 135

or structures, the data are consistent with hypotheses linking their biological functions 136 to G4 structures or genomic regions that are enriched in G4 motifs. The mapping of 137 G4 structures in chromatin was recently achieved using the G4 antibody BG4 as a G4 138 structure-specific ChIP-seq probe (G4 ChIP-seq) to map endogenous G4 structures in 139 fixed chromatin in normal (NHEK) and spontaneously immortalized pre-cancerous 140 (HaCaT) human epidermal keratinocyte cells (Figure 2e)¹⁷. In this study, about 10.000 141 and 1,000 G4s were detected in HaCaT and NHEKs, respectively, which is only ~1% 142 of those identified by G4-seq, and by G4 predictors. This suggests G4 structure 143 144 formation is largely suppressed in the context of chromatin, possibly due to chromatin-associated proteins and other proteins that control the duplex vs. non-145 duplex folded states of DNA. Most G4s observed were in regulatory, nucleosome-146 depleted chromatin regions that that were on average highly transcribed¹⁷ and also 147 significantly overlapped with G4 predicted sequences enriched in earlier ChIP-Seq 148 mapping of the transcriptional helicases XPB/XPD²⁰. Furthermore, endogenous G4s 149 are enriched in promoter and 5'UTR regions of cancer-related genes and genes 150 strongly associated with somatic copy number aberrations in cancer, such as MYC^{23} . 151 A perturbation experiment using the histone deacetylase inhibitor Entinostat, caused 152 153 dynamic reprograming of the G4 landscape by G4 ChIP-seq with the loss and emergence of G4s showing, on average, a coupling to transcriptionally active 154 chromatin¹⁷. The observation of G4 dynamics goes some way towards addressing the 155 possibility that the G4s may be an artifact of antibody stabilization, which was 156 discussed earlier in relation to antibody imaging of G4s. It will nonetheless be 157 important to consider orthogonal approaches to detect G4 structures in chromatin and 158 in cells to further consolidate these findings. 159

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Biological Significance and Therapeutic Opportunities

Much of the early work on G4s focused on biophysical studies and functional studies on telomeres and telomerase. This section will focus primarily on some of the insights that recent imaging and G4 mapping has provided on the biology of G4s in nontelomeric regions. Such observations suggest a broader number of biological processes and the associated possibilities for therapeutic intervention.

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Transcription, Replication and Intrinsic function(s). There have been a number of
 cellular studies describing G4 targeting ligands that alter levels of mRNA transcripts

171 for genes that have G4 motifs in their upstream (promoter) elements, for example the proto-oncogenes MYC^{23} and $KRAS^{24}$. Recent studies on zebrafish embryos 172 demonstrated the use of G4-targeting small molecules or synthetic oligonucleotides to 173 target conserved G4 motifs in promoters of developmental genes to lower 174 transcription of the targeted genes and cause the expected phenotypic change²⁵. 175 Further work is needed to confirm and fully elucidate the mechanistic details of cause 176 and effect in such studies. That genes physically targeted by the small molecule PDS, 177 as judged by localised DNA damage, cause a concomitant reduction in transcript 178 levels¹³, suggests the relationship between G4 ligands and transcriptional changes at 179 proximal genes may, at least for some cases, be more complex than a simple 180 reversible binding mechanism. Recent data that includes G4 ChIP-seq¹⁷, the genomic 181 binding sites of proteins XPB, XPD²⁰ and SP1¹⁷, the colocalization of G4-antibodies 182 BG4 or 1H6 with transcriptionally active regions (marked by RNA polymerase II and 183 H3K4me3)¹⁷, support that G4 structures form in transcriptionally active chromatin in 184 human cells. Dysfunctional mutations in WRN and BLM helicases cause altered 185 regulation of genes that are enriched in predicted G4 motifs, consistent with a link 186 between G4s and transcription²⁶⁻²⁸. It is noteworthy that in *D. mel.* G4 structure 187 formation has actually been observed in the heterochromatin of polytene 188 chromosomes during embryonic development, by immuno-gold labeling using 1H6 189 and microscopy²⁹, revealing differences in this very different genome, from 190 mammalian systems. Overall, the weight of recent data from mammalian cells is 191 192 consistent with a functional and dynamic link between G4 structures and transcription. Further studies are needed to elucidate the mechanistic details of this 193 194 link, including the specific roles of proteins associated with transcription, such as SP1 or XPB/XPD, and their interaction(s) with loci where G4 structures have been 195 observed to exhibit dynamic formation or unfolding. 196

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DNA replication is a carefully regulated process and is initiated at many thousands of sites called DNA replication origins. Conserved DNA structures have been found at origin of replications sites in prokaryotes³⁰. Recently, locations of human replication origins have been mapped via deep sequencing of short nascent strands and predicted to contain G4-motifs³¹. In addition, the human origin recognition complex (ORC) has been shown to bind G4-forming DNA and RNA sequences *in vitro*³². Such studies have led to the hypothesis that G4 DNA structures may somehow be involved in the 205 mechanism of initiating replication origins. Further studies that experimentally 206 support G4 structure formation at origins more directly are necessary to advance our 207 understanding of these findings.

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Genomic Instability. In the absence of helicases that resolve G4 structures in DNA. 209 stable G4 structures can pose an impediment for DNA polymerase progression, 210 leading to replication stalling, DNA-damage and genomic instability (Figure 3a). 211 Quantitative assays have been used to monitor G4 induced genome instability in S. 212 213 cerevisiae, to show that Pif1 and Rrm3 helicases are essential to supress and prevent G4-induced DNA strand breakage^{33,34}. The RTEL1 helicase has been shown to 214 resolve telomeric G4 to maintain telomere integrity in mouse cells³⁵. Similarly, 215 FANCJ, BLM and WRN helicases have been shown to recognise and unwind G4 216 structures in vitro³⁶. Mutations causing dysfunction in these helicases have been 217 218 associated with premature ageing and predisposition to cancer development, though since the helicases also operate on duplex DNA the extent to which this is a G4-219 220 related effect must be further elucidated. Regulators of DNA synthesis, such as REV1 or PrimPol, affect gene expression by a mechanism proposed to ordinarily maintain 221 222 epigenetic stability at replicated G4 DNA, which when compromised, e.g. by REV1 deficiency, leads to gene activation³⁷ or repression³⁸ depending on the location of the 223 224 predicted G4-forming sequence.

Ligands that stabilise G4 structures can induce DNA breakage in humans¹³ as well as 225 insertion and deletions at predicted G4 motifs in yeast^{33,34}. In S. cerevisiae the G4 226 ligand PhenDC3 triggers G4-induced³⁹ and G4-stability⁴⁰ dependent genomic 227 instability, as measured by the increased genetic insertion and deletion at a level 228 comparable to when Pif1 function is impaired. Interestingly, the yeast system 229 provided a useful platform in which G4 stability could be systematically varied by 230 mutagenesis to demonstrate a clear correlation between G4 stability and DNA 231 instability at CEB-1 microsatellite, with short G4 loops (≤4 nt) causing higher levels 232 of genome instability⁴⁰. Given that G4-seq¹⁸ and G4 ChIP-seq¹⁷ both show G4s are 233 enriched in SCNA-amplifications associated with cancer and that a similar association 234 has been reported by computational analysis of SCNA associated breakpoints¹⁸, it 235 236 appears that G4 structures also represent vulnerable regions in the genome (Figure 237 3a). These associations are consistent with the immunohistochemistry observations from matched normal and cancerous gut/stomach tissues using BG4 revealed higher 238

apparent levels of G4 in the cancerous state⁴¹. This empirical data suggests, at least for some cases, that there are aspects of a cancer genome that exhibit more G4 structures, which immediately suggests G4s may have potential as both a cancerbiomarker and as a therapeutic target.

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Therapeutic Opportunities. The data supporting concepts that link G4s with telomere 244 biology, transcription regulation (of cancer genes) and trigger points for instability 245 and DNA strand breakage, have stimulated rationales for targeting G4s with small 246 247 molecules for therapeutics. Several small molecule ligands with high selectivity, as determined biophysically, for G4 relative to double-stranded DNA have been 248 designed and evaluated for their therapeutic potential. Recent examples include the 249 tetra-substituted naphthalene diimide MM41 caused an 80% decrease in tumour 250 growth in MIA PaCa-2 pancreatic cancer xenograft⁴². While this may be explained in 251 part by the accompanied strong reduction of KRAS and BCL-2 gene expression, there 252 may be other G4-related modalities that also contribute to its efficacy. The G4-ligands 253 PDS and RHPS4 trigger an ATM-dependent DNA damage response (DDR), DNA 254 double strand breaks (DSB) and activation of DNA repair pathways, such as 255 256 Homologous Recombination (HR) and Non Homologous End Joining (NHEJ), and signalling of single-strand DNA breaks by the synthesis of poly ADP-ribose chains 257 (PARs) by PAR protein (PARP)^{13,43}. This has inspired the application of G4 ligands 258 in combination with DNA-PK (NHEJ) or PARP inhibitors, as well as with G4 259 helicases (WRN) inhibitors for a greater effect (i.e. synergy) than the observed sum of 260 the individual effects. Exposure of HeLa and U2OS cancer cells to the WRN inhibitor 261 NSC-19630 sensitize the cells to the G4 ligand telomestatin, showing exacerbated S 262 phase prolongation and DNA damage response⁴⁴. Similarly, treatment of HT29 263 human colon cancer xenografts with the G4 ligand RHPS4 in combination with the 264 PARP1 inhibitor GPI resulted in a 50% reduction of tumor weight and an increase of 265 45% of mice survival, significantly higher of what could be obtained by treating the 266 mice with either RHPS4 or GPI individually⁴³. Equally, by inhibiting NHEJ repair 267 with the DNA-PK inhibitor NU7441, a significant sensitization to the G-quadruplex 268 ligand PDS can be observed in human HT1080 fibrosarcoma cells (~ 45% synergy, as 269 calculated by a Bliss independent score model)^{13,45}. Furthermore, HCT116 colon 270 cancer cells deficient in HR (BRCA2^{-/-}) displayed a ~10 fold increase in sensitivity 271 against PDS compared to their isogenic counterpart that is HR-proficient 272

 $(BRCA2^{+/+})^{45}$. DNA repair deficiencies have been further demonstrated to stimulate 273 sensitization to the G4 ligand PDS in DLD1 human cells BRCA2^{-/-}, as well as human 274 HEK-293T subjected to knock-down of the DNA repair proteins BRCA1 and 275 RAD51^{45,46}. PDS sensitization is further retained in HR deficient cells after they have 276 acquired resistance to the drug Olaparib, highlighting the potential of G4 ligands as 277 therapeutic agents against HR compromised tumors with acquired drug resistance 278 (Figure 3b)⁴⁶. These recent findings indicate clear potential for G4-ligands to be 279 considered as cancer therapeutics especially for tumours genetically deficient in 280 DNA-repair machinery such as HR^{45,46}. 281

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284 Conclusions and Perspectives

Recent advances have provided a substantial body of new data to support the 285 286 existence of G4 structures in the genomes of human cells. There is now more explicit 287 experimental data to show G4s form throughout the human genome and in regulatory regions, largely aligned to previous computational predictions. These findings have 288 provided new insights into the fundamental biology of G4 structures, suggesting roles 289 in marking regulatory chromatin, whilst also being hotspots for genome instability 290 particularly in cases where there are specific genetic/functional deficiencies. 291 Fundamental insights into endogenous G4 function(s) enabled by advances in 292 experiments with probe molecules that bind G4s suggest rationales for therapeutic 293 strategies against cancer that may provide the window of selectivity that would be 294 required for future clinical development. Whilst there is much more to be understood 295 about the mechanistic details relating to DNA G4s in biology, the developments of the 296 past five or so years would appear to have moved the field substantially closer to the 297 realm of functional biology. 298

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Figure 1: G-quadruplex structures. G-quadruplex structures can be generated from
one DNA strand (unimolecular) or multiple DNA strands coming together (e.g. bi- or
tetra molecular). G4 structures can be classified by the relative strand orientations:

419 parallel G4s have the same strand orientation within the structure whereas antiparallel 420 G4s have alternating strand orientations. **a**, Structural (left) and schematic (right) 421 representations of a G-tetrad that makes up the core of G-quadruplex structures. **b**, 422 Schematic representation of unimolecular parallel G4s **c**, Schematic representation of 423 a tetramolecular G4s . **d**, Schematic representation of an antiparallel intramolecular G-424 quadruplex structure **e**, Schematic representation of an antiparallel intramolecular G-425 quadruplex structure containing a bulge.



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Figure 2: Visualisation and mapping of G-quadruplex structures. a, Schematic representation of a single chain antibody (scFv) as used to probe G4s, such as the BG4 or 1H6. b, Chemical structures of the selective G4 ligands pyridostatin (PDS) and telomestatin. c, Visualization of G4 structure can be achieved using G4 antibodies (e.g. BG4 or 1H6) together with secondary or tertiary antibodies that carry a fluorescent label. d, Schematic representation of the G4-seq method. DNA templates are sequenced a first time under non G4-stabilising conditions and a second time after

the addition of G4 stabilising agents (e.g. K⁺ or PDS). Only DNA templates 435 containing a G4 forming sequence will cause stalling of sequencing polymerase under 436 G4-stabilising conditions, enabling selective detection of G4-forming genomic 437 sequences. e, Schematic representation of G4 ChIP-seq: isolated chromatin is 438 immuno-precipitated with BG4 and G4 structures detectable in chromatin are 439 enriched and detected by sequencing. f, BG4 and 1H6 foci (red) detected in the 440 nucleus of human cells (blue) are markedly increase in upon treatment with 441 pyridostatin (PDS), telomestatin and FANCJ knock-down, and after immortalisation 442 443 of normal human epidermal keratinocytes. g. Schematic representation of a typical ChIP-Seq of endogenous G4 binding proteins: isolated chromatin is immuno-444 precipitated using a selective antibody against the protein of interest (e.g. ATRX, 445 PIF1, XPB/XPD). DNA sequences associated with those proteins are detected by 446 sequencing. 447

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Figure 3: Therapeutic opportunities. a, Schematic representation of DNA damage 454 response and genomic instability events that can be triggered by DNA G-455 quadruplexes either by stabilization with small molecules or by impairment of 456 helicases that resolve G-quadruplexes. b, G-quadruplex ligands have been explored 457 for their potential as cancer therapeutic agents. Representative scheme illustrating one 458 459 the possible rationale behind the use of G4 ligands in combined therapies. DNA damage is triggered by exposure to a G4 ligand: sensitivity to ligand exposure can be 460 obtained in cells genetically impaired in BRCA1/2 and RAD51, which regulate one of 461 the two DNA repair pathway (HR). Selective killing of BRCA1/2 and RAD51 462 impaired cells can be achieved by combined treatment with G4-ligands and a 463

464 chemical inhibitor of the kinase DNA-PK that regulates the alternative DNA repair465 pathway (NHEJ).