

# Do Child Abuse and Maltreatment Increase Risk of Schizophrenia?

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**Introduction** Although childhood abuse is a recognised risk factor for depression, post-traumatic stress disorder, and substance misuse, its role in the aetiology of psychotic disorder remained controversial. This is in part because the putative effect of childhood trauma on psychosis has been mostly evaluated by small, cross sectional, uncontrolled studies that raised methodological issues.

**Methods** Papers concerning the association between childhood trauma and psychotic disorders (to November, 2011) were identified using a comprehensive search of PubMed, Psychinfo, and Scopus and analysing reference list of relevant papers. A narrative synthesis was used to summarise results.

**Results** An association between childhood abuse and psychotic symptoms was consistently reported by large cross sectional surveys with an effect ranging from 1.7 to 15. However, we cannot conclude that the relationship is causal as lack of longitudinal studies prevent us from fully excluding alternative explanations such as reverse causality. Gender, cannabis use, and depressive and post-traumatic stress disorder symptoms appear to moderate the effect of childhood trauma on psychotic disorders. However, specificity of childhood abuse in psychotic disorders and, particularly, in schizophrenia has not been demonstrated.

**Conclusion** Although the association between childhood abuse and psychosis has been replicated, the etiological role of such early adversity has yet to be fully clarified. So far none of the studies reported support the hypothesis that childhood abuse is either sufficient or necessary to develop a psychotic disorder. It seems likely that any effect of childhood abuse on schizophrenia needs to be understood in terms of genetic susceptibility and interaction with other environmental risk factors.

**Psychiatry Investig 2012;9:87-99**

**Key Words** Schizophrenia, Child abuse, Maltreatment, Etiology, Review.

## INTRODUCTION

Following a quarter of a century in which great emphasis was placed on the biological aetiology of schizophrenia, the last decade has been characterised by increasing interest in the social determinants of the illness. This has in part been provoked by evidence of the different incidence of the disorder across regions and ethnicities, and of its association with social adversities in childhood and early adult life.<sup>1,2</sup> Although studies in the 1960s and 1970s on abnormal communication styles in families of people affected by schizophrenia were flawed, three decades later developmental psychopathology suggests that biological vulnerabilities and early relational experiences have a

joint role in influencing the onset and outcome of mental disorders.<sup>3</sup> Indeed, advances in genetic research have shown that the small effect reported for the most replicated susceptibility genes for schizophrenia can be understood only postulating an interaction between genes underpinning different biological systems on the one hand, and environmental exposures, such as migration, urbanicity, stimulants and cannabis use<sup>4</sup> on the other. However there remains controversy over whether childhood abuse can be regarded as one of the crucial environmental exposures.<sup>5</sup>

## PREVALENCE OF CHILDHOOD ABUSE IN THE GENERAL POPULATION AND ITS ASSOCIATION WITH PSYCHIATRIC DISORDERS

According to the Centre for Disease and Prevention, childhood abuse or maltreatment includes any act of commission or omission by a parent or another caregiver that results in harm, potential of harm or threat of harm to a child.<sup>6</sup> The prevalence of childhood abuse in the general population is difficult to es-

Received: January 3, 2012 Revised: January 18, 2012

Accepted: January 18, 2012 Available online: April 30, 2012

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timate due to inconsistencies between official statistics and surveys based on self-report measures. However, a systematic review reports that in the UK, USA and New Zealand, 5-35% of children are physically abused by 18 years, 15-30% of girls and 5-15% of boys are sexually abused, and up to 10% psychologically abused and neglected; over 80% of physical abuse is perpetrated by parents or by parental figure, while sexual abuse is mostly enacted by other relatives or acquaintances.<sup>7</sup> Maltreatment is often a chronic condition rather than a single experience: according to Gilbert and colleagues<sup>7</sup> who concluded that repeated abuse over time occurs in 25% of cases referred to child protection agencies and at least 40% of such children have been exposed to multiple types of abuse. Neglect has the highest rate of recurrence and association with other types of abuse, followed by physical and sexual abuse.

Consistent evidence from prospective studies<sup>8</sup> and cross-sectional surveys<sup>9,10</sup> has shown that early traumas are related to a number of psychiatric outcomes including depression, post-traumatic stress disorder (PTSD), suicide, and alcohol and substance related disorders. The association between adverse childhood experiences and depressive disorders has been widely replicated in retrospective and prospective studies with small to moderate effect size.<sup>11,12</sup> In most of the prospective studies, risk for depression in abused children is still significant after adjustment for other risk factors at individual and family level (e.g. child temperament, parental socio-economic status, family relationship problems),<sup>8</sup> and the literature suggests that risk for depression increases with the severity of abuse in a dose-response fashion.<sup>12,13</sup> Although the majority of studies focused on sexual abuse,<sup>12,14</sup> a specific effect of this type of abuse has not been proven as only a few studies controlled for other concurrent types of abuse. By contrast, in some recent studies, the association with emotional abuse remained significant after controlling for physical and sexual abuse, suggesting that the psychological component of abuse might itself play a role in the risk for depression.<sup>11</sup>

Childhood abuse affects the risk for child and youth PTSD<sup>15</sup> and moderates the risk for adult PTSD in individuals exposed to traumatic experience in adulthood.<sup>16</sup> According to Kearney and colleagues,<sup>15</sup> 21-50% of victims of sexual abuse and up to 50% of victims of physical abuse develop PTSD by 18 years of age. Meta-analyses suggest that the effect size of sexual abuse ranges from small to moderate.<sup>12,14</sup> Longitudinal studies have shown that the effect is not entirely confounded by socio-economic status, family stress, and child temperament.<sup>8</sup>

Adolescents<sup>17,18</sup> and adults<sup>19</sup> who experienced child abuse are more likely to report suicidal ideation and behaviour. Some reports from population based surveys claim that child abuse accounts up to 50% of female and 33% of male suicidal attempts, and that it is not entirely mediated by life time psychiatric co-

morbidities, such as PTSD.<sup>20,21</sup> Evidence from prospective and retrospective studies<sup>22,23</sup> suggests that severity of abuse and multiple abuse further strengthen the association.

Substance related problems are twice as common among victims of such early adversities compared to the general population. The association has been more consistently replicated for alcohol abuse and dependence<sup>24,25</sup> than for illicit drugs<sup>26,27</sup> and more often for sexual<sup>24</sup> than for physical abuse.<sup>28</sup> Moreover, trauma-related risk for alcohol abuse is specific for women, as little or no effect has been described in men.<sup>26-28</sup> As reported by several cross sectional studies on psychiatric services, clinical practices, and the community, abused women have an average rate of alcohol problems double that of their non-abused counterparts.<sup>24</sup> Although alcohol misuse increases risk for victimisation in girls, raising issues about reverse causality, a temporal relationship has been consistently found in cohort studies.<sup>29,30</sup>

## RECENT REVIEWS ON CHILDHOOD ABUSE AND PSYCHOSIS

Three recent reviews analysed the association between childhood abuse and psychosis.<sup>31-33</sup> Read and colleagues<sup>31</sup> collected 51 studies about childhood abuse and psychosis carried out up till 2004 including a number of samples with psychotic disorders, a few samples of schizophrenic patients, and mixed clinical samples including also non-psychotic disorders. The weighted average prevalence of either sexual or physical abuse was claimed to be 59% in males and 69% in females, and the prevalence of both types 19% in males and 36% in females. However, the figures and conclusions of this study have been disputed. The same literature was subsequently analysed by Morgan and Fisher,<sup>32</sup> who excluded those studies based on child and adolescent samples or which consisted to some extent of non-psychotic disorders (n=20). Their results showed a reduction of the previous estimates: the prevalence of either one or other type of abuse was 50% in both genders and the prevalence of both types was 18% in males and 26% in females. In line with what is known about the general population, girls were more exposed to sexual abuse (42% vs. 28%), while the prevalence of physical abuse was almost the same in the two genders (35% women vs. 35% men). A further review by Bendall and colleagues<sup>33</sup> pointed out the lack of evidence for a stronger effect of childhood trauma on schizophrenic psychosis than on affective psychosis. According to this review, preliminary studies on small adolescent samples did not support specific association with schizophrenia but reported higher prevalence of early adversities in subjects with atypical psychosis, including dissociative symptoms and context-specific hallucinations and delusions, rather than schizophrenic and bipolar disorders.

Previous reviews also extensively discussed methodological problems that limited the possibility of drawing univocal conclusions about the association between childhood trauma and psychosis.<sup>32,33</sup> A major criticism is that the use of a retrospective study design prevents any conclusion about the direction of effect and does not exclude alternative explanations. Among these, developmental abnormalities<sup>34</sup> deserve particular attention, being not only a well recognised risk factor for schizophrenic disorders but also a possible provocation for abuse and maltreatment. Furthermore, most of the research employed a correlational uncontrolled study design with small and diagnostically heterogeneous samples. When a control group was present, some of the results might have been biased by the use of different trauma measures on cases and controls. Despite research supporting the reliability of patients' memories,<sup>35,36</sup> retrospective assessment cannot exclude recall bias. Finally, the use of different definitions and different instruments to evaluate the presence and severity of abuse considerably limits comparisons across studies.<sup>32,33</sup>

The above represents a synthesis of three reviews just quoted and the major evidence concerning the association between childhood trauma and psychotic disorders. However, we will now proceed to describe different types of studies in detail as well as considering studies too recent to be in the above reviews, and also discuss the issues of specificity and putative moderating variables.

## CROSS SECTIONAL SURVEYS

In the National Comorbidity Survey carried out in the US (NCS),<sup>37</sup> Shevlin and colleagues evaluated the association between childhood abuse and neglect in non-affective psychosis. All five trauma variables showed an effect size ranging from 1.50 to 2.68, but physical abuse was the only one statistically significant, even after controlling for depression (Adj OR 3.45). The odds of being psychotic was 3 times greater if a child experienced two traumas, 7 times greater if he/she experienced three to four traumas, and 30 times greater if he/she experienced five traumas, suggesting a dose-response relationship.<sup>38</sup>

The Netherlands Mental Health Survey and Incidence Study (NEMESIS)<sup>39</sup> assessed the risk of psychotic symptoms in subjects exposed to different type of abuse and emotional neglect which occurred more than once, before 16 years old. At the 3-year follow up of an unselected sample of the general adult population, the rate of onset psychotic symptoms in victims of childhood trauma was 3 to 14 times higher than in the unexposed group, depending on the severity of symptoms and need for treatment. Adjusting for demographic variables, psychiatric family history, and co-morbidity, any childhood abuse showed strong association with severe psychotic symptoms

(Adj OR 9.3), particularly with delusions (Adj OR 2.8). A dose-response effect was also found: with increasing number and frequency of abuse, the odds of being psychotic rose up to 48 times in the severe psychopathology group and up to 30 in the need for care group. Of course, once again the childhood abuse was reported retrospectively by the subjects, raising the question of reverse causality.

Using a similar methodology, the Early Developmental Stages of Psychopathology (EDSP)<sup>40</sup> reported that German adolescents exposed to any life-time traumatic events doubled the risk of presenting three or more psychotic symptoms at three-year follow-up (OR 1.89), though the association was weaker for traumas before age 13 (Adj OR 2.19, 95%CI 1-4.81). By contrast, no association was found with manic and depressive symptoms. Natural catastrophes, witnessing of terrible events which occurred to others, and physical threat showed a stronger and specific association with psychosis, whereas sexual abuse, rape, and serious accidents were not significantly related. Consistently with previous results, the risk of developing psychotic symptoms increased with the number of traumatic events and also with the experience of intense fear and hopelessness.

A Californian cross-sectional survey on the impact of various types of maltreatments and family dysfunctions<sup>41</sup> (Adverse Childhood Experiences study, ACE) investigated the prevalence of a specific psychotic symptom, hallucinations. Both physical and sexual abuse were associated with hallucinations (Adj OR 1.7). However, the effect of a single adversity was considerably reduced when controlled for substance abuse, suggesting that substance misuse might moderate the risk for hallucinations in victims of abuse.

More recently, in England, the Adult Psychiatric Morbidity Survey (APMS)<sup>42</sup> found that the odds of reporting any psychotic symptom or of a diagnosis of psychotic disorder was three times higher in those reporting they had been exposed to any sexual abuse before age 16 (Adj OR 3.17) and twelve times higher in those reporting experience of rape (Adj OR 12.05). When sexual abuse in adulthood was entered in the model, the risk for psychosis associated with childhood abuse further increased, suggesting a magnification effect of recent traumatic experiences on the earliest. This moderating effect was more significant on less severe form of childhood abuse (i.e. without intercourse).

Finally, an Australian cohort study concerning psychotic symptoms in those who as children were exposed to bushfires, went on to investigate the effect of other adverse childhood experiences that were retrospectively collected at the 20 year follow up.<sup>43</sup> Exposure to natural disaster was not related to sub-clinical psychiatric symptoms, but the latter were strongly associated with physical (OR 5.48) and sexual abuse (OR 2.81). No dose-response effect was found between number of child-

hood abuse events and psychotic symptoms.

## COHORT STUDIES

Spataro and colleagues<sup>44</sup> followed up a large cohort of Australians who had been examined by the local forensic medicine unit at a mean age of 9 years because of abuse and compared them with a general population sample. At the nine-year follow up, subjects who experienced penetrative abuse before age 16 were more likely to be recorded in the national psychiatric register as affected by personality disorders (RR 4.7), anxiety and acute stress disorders (RR 3.2), and major affective disorders (RR 2.1) but not by schizophrenia. One criticism of the study concerns the younger age of exposed subjects at the time of data matching (in their 20s vs. 40s of unexposed); this that might have prevented the researchers from detecting any significant risk for schizophrenia, since its peak occurrence is an age many of the abused individuals had not reached. Alternatively, others have suggested that the protective effect of social intervention might have altered the natural course of the disorders.<sup>31,32</sup>

The study was then repeated<sup>45</sup> extending the follow-up period up to 40 years and differentiating the childhood sexual abuse into penetrating and non-penetrating. Childhood sexual abuse was strongly associated to PTSD (OR 5.56), alcohol (OR 5.88) and substance abuse (OR 5.96), and cluster B personality disorders (OR 5.51). This time the effect on psychotic disorders was significant (OR 2.13) and similar to that on affective disorders (OR 2.07). Children who were exposed to penetrative sexual abuse were more likely to develop alcohol abuse (OR 2.02) and psychotic disorders (OR 1.98) in adult life.

Arsenault and colleagues evaluated the effect of abuse on minor psychotic symptoms in a birth cohort of British twins.<sup>46</sup> The risk of reporting at least one psychotic symptom at 12 years old was three times higher among those exposed to maltreatment by an adult (i.e. physical abuse) and four times higher among victims of bullying by peers; no effect was found for trauma without intention to harm, such as a car crash. Controlling for socio-economic status, childrens' psychopathology and genetic liability, multiple traumas were associated with higher risk for psychosis (Adj RR 3.81-4.57) than a single trauma (Adj RR 2.60-3.08).

## STUDIES ON HIGH RISK POPULATIONS

The relationship between early traumas and psychotic symptoms in those at "genetic high risk" for psychosis was investigated by Schürhoff and colleagues<sup>47</sup> who found that the positive dimension of schizotypy significantly correlated with the childhood trauma score in first degree relatives of schizophrenic pa-

tients ( $r$  0.43,  $p < 0.001$ ), but not of bipolar probands; this suggests that early adversities interact with genetic susceptibility to schizophrenia but not with susceptibility to bipolar disorder, increasing the risk for the former disorder but not the latter.

In a preliminary study<sup>48</sup> on clinical ultra high risk subjects ( $n=30$ ), physical and sexual abuse were related with positive symptoms (respectively  $\rho$  0.43,  $p < 0.05$ , and  $\rho$  0.42,  $p < 0.05$ ), particularly suspiciousness and grandiosity, while physical abuse was associated with anxiety ( $\rho$  0.61,  $p < 0.05$ ). A potential limitation of this paper is that the correlation was not controlled for ethnicity which was also associated to psychopathology. In a sample of Dutch adolescents, Lataster and colleagues<sup>49</sup> showed that being a victim of bullying and being exposed to unwanted sexual experiences increased the likelihood of reporting delusional ideation and hallucinations three to four times. Bullying experiences were also investigated by the Challenging Times study,<sup>50</sup> in which prodromal symptoms of psychosis were associated with being a bully (OR 9.90) rather than being bullied, physical abuse (OR 5.96), and witnessing domestic violence (OR 4.16). Sexual abuse was not statistically significantly related, possibly because of its low frequency. Furthermore, in a prospective study on clinically ultra high risk young adults,<sup>51</sup> retrospectively investigated sexual abuse increased the risk of converting prodromal symptoms to first psychosis by almost three times (OR 2.9); due to the low prevalence of sexual molestation and rape in males (3/32), the finding was limited to women (Table 1).

## SPECIFICITY OF CHILDHOOD TRAUMA TO SCHIZOPHRENIA: COMPARISON WITH OTHER CLINICAL POPULATIONS

Although it is clear from the introduction that childhood trauma is a risk factor for a range of psychiatric diseases, only a few studies provided direct comparisons between schizophrenia and other clinical samples, thus allowing an evaluation of the extent to which childhood abuse is a specific risk factor for schizophrenia. As previously seen, results from cohort studies have generally shown that incidence of schizophrenia in abused children is comparable to or lower<sup>44</sup> than that of affective disorders,<sup>45</sup> though a single survey reported a doubled risk of schizophrenia but no effect in bipolar disorder and depression.<sup>40</sup> Two recent case control studies investigated prevalence of childhood trauma in severe mental disorders. In a Italian inpatient sample,<sup>52</sup> any type of childhood abuse was associated with 3 times the odds of major depressive disorder ( $n=174$ ) but 6 times the odds of schizophrenia ( $n=305$ ). In a subsample of the UK-based Aetiology and Ethnicity of Schizophrenia and Other Psychoses (AESOP) study,<sup>53</sup> subjects affected by psychotic depression were found to have a lower prevalence of

childhood adversities (46%), compared to that observed in patients with schizophrenia (76%) and bipolar disorder (69%). However, the definition of trauma employed in this analysis was broad, including parental separation and loss, in addition to abuse. Similar rates of childhood abuse in bipolar and schizophrenic samples were also reported by two other cross sectional studies:<sup>54,55</sup> in both of these early adversities were associated with earlier onset, greater number of suicide attempts, and substance misuse. By contrast, in a sample of outpatients who spontaneously reported having been abused, Wexler<sup>56</sup> found that the prevalences of schizophrenia, schizoaffective, and bipolar disorders were lower than that of depression.

A few studies compared schizophrenia with non-psychotic disorders. Spence and colleagues<sup>57</sup> found that patients with schizophrenia (n=40) showed a 75% prevalence of any childhood trauma compared to 23% in patients affected by non-psychotic depression or anxiety disorders (n=30). The results were controlled for PTSD by excluding patients with this co-morbidity but the trauma definition included also serious injuries, accident, and natural disaster. Conversely, Friedman<sup>58</sup> reported almost equal rates of physical and sexual abuse in four samples of patients with schizophrenia (n=22), depression (n=19), panic (n=101) and other anxiety disorders including PTSD (n=59). Between group comparison was limited by a non-homogenous gender distribution (more than 80% of female in panic and depression, but less than 50% in the other groups) that could have affected the results.

## THE ROLE OF SPECIFIC TYPE OF ADVERSITIES IN THE AETIOLOGY OF SCHIZOPHRENIA

Is schizophrenia associated with a specific type of childhood abuse? According to a previous review,<sup>33</sup> among ten studies that had investigated both sexual and physical abuse in psychotic disorders, 6 found a greater proportion of physical abuse, 4 reported more sexual abuse, and one observed the same prevalence of both. These results tend to be difficult to generalize as sexual and physical abuse have different frequency in the general population and the majority of the studies were uncontrolled. Other methodological challenges include the fact that, to some extent, different adversities tend to cluster in the same individuals and can be related to common risk factors (e.g. low socio-economic status<sup>59,60</sup>).

Three aforementioned surveys reported that the association of psychotic symptoms with childhood physical abuse was stronger than that with sexual molestation and rape,<sup>37,40,43</sup> while another found no difference in the strength of the association.<sup>41</sup> The AESOP study<sup>61</sup> compared the prevalence of four types of abuse and neglect between a sample of first episode

of psychosis (n=182) and a matched population-based control group (n=246); cases were nearly twice as likely to report physical but not sexual abuse, although, adjusting for demographic variables, the OR became non-significant. Intriguingly, the risk of psychosis was more strongly related to physical abuse perpetrated by the mother than by the father and, to some extent this applied also to maternal emotional abuse.<sup>62</sup> Rubino and colleagues<sup>52</sup> evaluated the prevalence of eight adverse childhood experiences in two groups of inpatients, one with schizophrenia (n=174), and one with major depressive disorders (n=305), and the general population (n=310). While psychological abuse and emotional neglect were the most common experiences in the two clinical groups, increasing 5-17 times the likelihood of being a case, sexual abuse showed only a minor effect (OR 1.6-1.7). Physical abuse had the greatest impact on schizophrenia compared to depression, even when the estimate was adjusted for multiple abuse (OR 1.46).

## PUTATIVE MEDIATING AND MODERATING FACTORS: GENDER, AFFECTIVE AND POST-TRAUMATIC SYMPTOMS, AND SUBSTANCE MISUSE

Among the general population childhood abuse affects more girls than boys, and in particular the former are more exposed to sexual molestation and rape.<sup>7,63</sup> These findings have been largely but not completely replicated in people with severe mental disorders, within whom women are more exposed to multiple forms of maltreatment<sup>31,32</sup> and more likely to report not only sexual but also physical abuse.<sup>61,64</sup> While a single study<sup>37</sup> suggested that, due to subversion of cultural expectation, rape had a stronger effect in men, others did not confirm this.<sup>42,45</sup>

As mentioned, depression and post-traumatic disorders are the most common psychiatric outcome of childhood trauma. Epidemiological studies showed that when the relationship between early adversities and psychotic symptoms was controlled for depressive symptoms, the size of the effect was decreased though still significant.<sup>37,40,42</sup> The mediating effect of post-traumatic symptoms has been less investigated though according to the literature these affect from 13% to 53% of patients with schizophrenia,<sup>65-67</sup> particularly those exposed to child trauma (OR 1.53<sup>68</sup> to 3.52<sup>69</sup>). It has been suggested that PTSD worsens the clinical outcome of severe mental disorders<sup>31,70</sup> by enhancing hyper-arousal,<sup>65</sup> dissociation,<sup>71-73</sup> and re-experiencing symptoms, these latter expressed as trauma-based hallucinations.<sup>74,75</sup>

The relationship between childhood adverse experiences and later substance misuse has received growing attention in the last few years, providing evidence of increased drug use in

**Table 1.** Association between child abuse and psychosis in cross sectional, cohort, and high risk studies

Authors (country)	Study design	Sample	Comparison group	Measure of abuse	Measure of psychosis	N psychosis	Main results
Shevlin et al. (United States) <sup>37</sup>	Cross sectional	Stratified, multistage, area probability sample (N=5,877) of adults aged 15-54	None	Subject answered to five questions about childhood experiences of serious neglect and physical abuse, and lifetime experience of physical assault, sexual molestation, and rape.	A modified version of the CIDI was used to assess the prevalence of non affective psychosis.	Non affective psychosis: 42	Physical abuse OR=2.7 (1.1-6.5)
Janssen et al. (Netherlands) <sup>39</sup>	Cross sectional Prospective cohort (3-year follow-up)	Stratified, multistage, random sample (N=4,045) of subjects aged 18-64	None	Subject were interviewed about experience and frequency of emotional, psychological, physical and sexual abuse before age 16. Only abuses occurred more than once were considered for analyses.	A three-level classification was used. The CIDI was administered to assess prevalence of any psychotic symptoms. Two items of BPRS and CAN were used for clinical evaluation of pathology level psychosis and need for care psychosis.	Any psychosis: 38 (0.94%) Pathology level: 10 (0.25%) Need for care: 7 (0.17%)	Any abuse: Any psychosis: Adj OR=2.5 (1.1-5.7) Pathology level: Adj OR=9.3 (2.0-43.7) Need for care: Adj OR=7.3 (1.1-49.0)
Spauwen et al. (Germany) <sup>40</sup>	Cross sectional Prospective cohort (42-month follow-up)	Random, representative sample (N=2,524) of adolescent and young adults aged 14-24	None	Trauma section of the CIDI was used to investigate 9 types of lifetime trauma. Age at exposure was dichotomised as before and after age 13.	The CIDI was administered to assess prevalence of delusions and hallucinations. Number of psychotic symptoms (1-3) were used to code severity level (broad, medium, and narrow).	Broad: 441 (17.5%) Medium: 183 (7.3%) Narrow: 85 (3.4%)	Any LT abuse: Broad: Adj OR=1.1 (0.9-1.4) Medium: Adj OR=1.3 (0.9-1.9) Narrow: Adj OR=1.9 (1.2-3.1)
Whitfield et al. (San Diego, United States) <sup>41</sup>	Cross sectional	Representative sample (N=17,337) of adults (mean age 57)	None	ACE study questionnaire investigated 8 types of adverse experience occurred prior to 18 years old, including physical, sexual, and emotional abuse.	Lifetime experience of hallucinations was assessed using a single question.	History of hallucinations: 2%	Emotional abuse Adj OR=2.3 (1.8-3.0) Physical abuse Adj OR=1.7 (1.4-2.1) Sexual abuse Adj OR=1.7 (1.4-2.1)

Table 1. Continued

Authors (country)	Study design	Sample	Comparison group	Measure of abuse	Measure of psychosis	N psychosis	Main results
Bebbington et al. (United Kingdom) <sup>42</sup>	Cross sectional	Stratified, multistage, area probability sample (N=7,353) of adults aged 16 and over	None	Participants were asked about history of non-contact sexual abuse, contact abuse, and non-consensual intercourse. Age at exposure was dichotomised as before and after age 16.	The first-phase screening interview screened possible psychosis investigating psychotic symptoms (PSQ). The second-phase clinical interview (SCAN) provided probable diagnosis of psychosis that was chosen for analyses.	Possible psychosis: 190 Probable psychosis: 38	Probable psychosis: Non contact abuse Adj OR=1.2 (0.1-9.1) Contact abuse Adj OR=2.1 (0.6-7.2) Rape Adj OR=15.0 (5.2-43.1)
Galletly et al. (Australia) <sup>43</sup>	Cross sectional within a Prospective cohort (20-year follow-up)	Cohort of children exposed to a bushfire disaster (N=529, mean age 8)	Primary school children of neighbouring region not affected by the disaster (N=464)	ACE study questionnaire investigated 10 types of adverse experience occurred prior to 18 years old, including physical, sexual, and emotional abuse.	A two-level classification was used. Subjects who screened positive to the psychosis screen of the CIDI 2.1 were asked about further probe questions. Analyses were run separately for psychosis screen positive and psychosis probe positive subjects.	Psychosis screen positive: 56 (5.6% of total sample) Psychosis probe positive: 26 (2.6% of total sample)	Psychosis probe positive: Sexual abuse OR=2.8 (1.1-7.5) Physical abuse OR=5.5 (2.0-14.8) Emotional abuse Adj OR=7.9 (3.0-20.7)
Spataro et al. (Australia) <sup>44</sup>	Historical cohort (9-year follow-up)	Cohort of sexually abused children (N=1,612, mean age 9)	General population sample (N=3,139,745) matched on age band	Record of sexual abuse ascertained by Victorian Institute of Forensic Medicine (78% penetrating sexual abuse).	Schizophrenic disorders registered by Victorian Psychiatric Case Register.	Schizophrenic disorders among exposed: 13 (0.8%) Schizophrenic disorders among unexposed: 20,792 (0.7%)	Schizophrenic disorders RR=1.2 (0.7-2.1)
Cutajar et al. (Australia) <sup>45</sup>	Historical cohort (12 to 43-year follow up)	Cohort of sexually abused children (N=2,688, mean age 10)	General population sample (N=2,677) matched on gender and age bands	Record of penetrating and non-penetrating sexual abuse ascertained by Victorian Institute of Forensic Medicine.	Psychotic disorders registered by Victorian Psychiatric Case Register.	Psychotic disorders among exposed: 78 (2.9%) Psychotic disorders among unexposed: 37 (1.4%)	Psychotic disorders OR=2.1 (1.4-3.2)

Table 1. Continued

Authors (Country)	Study design	Sample	Comparison group	Measure of abuse	Measure of psychosis	N psychosis	Main results
Arseneault et al. (United Kingdom) <sup>46</sup>	Prospective cohort (2, 5, and 7-year follow up)	Birth cohort of British twins (N=2,232, mean age 5)	None	Child maltreatment and accident were assessed when children were 5, 7 and 12 years old using interviews to mothers. Bullying by peers was assessed at the same time points using separate interviews to mothers and children.	Delusions and hallucinations at 12 years old were first assessed in clinical individual interviews and then subjects narratives were evaluated by an expert committee in order to assess definite psychotic symptoms.	Definite psychotic symptom: 125 (5.9%)	Definite psychotic symptoms: Maltreatment by an adult Adj OR=2.5 (1.5-2.4) Bullying by peers Adj OR=3.6 (2.5-5.1) Accident OR=1.5 (1.0-2.1)
Schürhoff et al. (France) <sup>47</sup>	Cross sectional Case-control	Unaffected relatives of schizophrenic probands (N=67)	Unaffected relatives of bipolar probands (N=67)	Physical, emotional, and sexual childhood abuse were assessed using the CTQ.	Positive, negative, and disorganised dimensions of schizotypy was assessed using the SPQ.	Mean SPQ among schizophrenic relatives: 10.2 Mean SPQ among bipolar relatives: 9.6	High schizotypal traits in presence of child trauma: Schizophrenic relatives OR=3.6 (1.1-11.8) Bipolar relatives OR=1.6 (0.6-4.2)
Thompson et al. (United States) <sup>48</sup>	Cross sectional	Clinical ultra high risk sample (N=30, mean age 19)	None	Physical, emotional, and sexual childhood abuse were assessed using the ETI.	Severity of positive, negative, and disorganised prodromal symptoms was assessed using the SIPS/SOPS	All participants met criteria for positive symptom prodromal symptoms	Correlation of positive symptoms: With physical abuse score rho=0.43, p<0.05 With sexual abuse score rho=0.42, p<0.05 With emotional abuse score rho=0.34, p=ns
Lataster et al. (Netherlands) <sup>49</sup>	Cross sectional	Representative sample of the population (N=1,290, mean age 14)	None	Bullying by peers and any sexual abuse were investigated using two single questions.	Non-clinical psychotic symptoms were assessed with four questions derived from the DISC-C.	Any non clinical psychotic symptoms: 244 (19.1%)	Being bullied Adj OR=2.9 (1.8-4.9) Sexual abuse Adj OR=3.8 (1.8-8.0)



Table 1. Continued

Authors (country)	Study design	Sample	Comparison group	Measure of abuse	Measure of psychosis	N psychosis	Main results
Kelleher et al. (Ireland) <sup>30</sup>	Cross sectional	Representative sample of the population (N=211) aged 12-15	None	Both children and parents were asked about physical abuse, sexual abuse, being victim of bullying, and having perpetrated bullying using specific sections of the K-SADS.	Non-clinical psychotic were investigated using the psychotic section of the K-SADS.	Any non clinical psychotic experience: 14 (6.6%)	Physical abuse Adj OR=6.0 (1.3-28.0) Sexual abuse Adj OR=4.2 (0.3-50.5) Being bullied Adj OR=1.2 (0.4-3.8) Being a bully Adj OR=9.9 (2.5-39.1)
Bechdolf et al. (Australia) <sup>51</sup>	Cross sectional Prospective study (615-day follow up)	Clinical ultra high risk sample (N=92, mean age 18)	None	Life-time traumatic events were identified using the GTQ.	The CAARMS was used for the assessment of ultra high risk (UHR) status and transition to first episode of psychosis (FEP).	All patients met criteria for UHR status. Patients converting to FEP: 20 (21.7%)	Effect on conversion to FEP: Physical abuse Adj OR=0.9 (0.4-2.2) Sexual abuse Adj OR=3.0 (1.2-7.6)

CIDI: Composite International Diagnostic Interview, BPRS: Brief Psychiatric Rating Scale, CAN: Camberwell Assessment of Need, ACE: Adverse Childhood Experience, PSQ: Psychotic Screening Questionnaire, SCAN: Schedules for Clinical Assessment in Neuropsychiatry, CTQ: Childhood Trauma Questionnaire, SPQ: Schizotypal Personality Questionnaire, ETI: Early Trauma Inventory, SIPS/SOPS: Structured Interview for Prodromal Syndrome/Scale of Prodromal Symptoms, DISC-C: Diagnostic Interview Schedule for Children, K-SADS: Schedule for Affective Disorders and Schizophrenia for School-Aged Children, GTQ: General Trauma Questionnaire, CAARMS: Comprehensive Assessment of At-Risk Mental States

patients who were exposed to abuse in childhood.<sup>76,77</sup> Five recent papers focused on the interaction between childhood trauma, cannabis use and psychosis. In two representative samples, it was found that the combined effect of the two exposures was stronger than either main effect, suggestive of an additive interaction.<sup>78,79</sup> The APMS found the effect of cannabis use became non-significant after controlling for childhood abuse<sup>80</sup> and the NCS reported a multiplicative interaction, in the context of non-significant main effects,<sup>81</sup> but no interaction was found in the EDSP.<sup>82</sup> When the cumulative effect of environmental exposures (urbanicity, cannabis use, and child adversities) was estimated together with psychotic proneness, synergistic interaction accounted up to 83% of the risk for psychotic disorders.<sup>83</sup>

## MECHANISM OF RISK

A growing body of literature has investigated pathogenic pathways that link early and recent psychosocial stress to psychotic disorders, identifying in sensitization a possible mechanism through which environmental risk factors could affect the onset of psychosis.<sup>84,85</sup> Originally applied to psychosis to explain the psychotogenic effects of stimulant drugs,<sup>86</sup> sensitization postulates that a repetitive exposure to an environmental risk factor progressively increases the biological and behavioural response, leading to over-reactivity.<sup>87,88</sup> It is hypothesised that, in predisposed individuals, psychosocial stressors trigger psychotic symptoms by disrupting cognitive processes and/or implementing emotional changes that, in turn, increase the psychopathological risk related to later events.<sup>89</sup> Indeed, in the non clinical population, childhood trauma was found to enhance emotional reactivity to mild intensity stressors.<sup>90</sup> Furthermore, people affected by psychosis show an over-reactivity to daily life stress (in terms of reduced positive and increased negative emotions) that is associated with psychotic symptom severity.<sup>91,92</sup>

A number of animal and human studies have reported that acute stress stimulates dopamine release in the striatum, and that patients with schizophrenia exhibit a greater dopaminergic reactivity compared to healthy controls; these findings are also supported by *in vivo* studies involving stressful psychosocial tasks such as public speaking or arithmetic.<sup>85,93</sup> Consistent with the sensitization hypothesis, an experiment in healthy volunteers reported a significant interaction between low maternal care and enhanced dopamine release in response to psychosocial stress.<sup>94,95</sup> It has been also documented that child abuse produces enduring changes in the Hypothalamus-Pituitary-Adrenal (HPA) axis by decreasing basal cortisol levels, reducing hippocampal volume, and increasing adrenocorticotrophic (ACTH) reactivity in adults affected by various form of

psychopathology.<sup>96,97</sup> It is obviously important to ascertain whether similar changes occur in psychosis but studies of this are scarce.<sup>98,99</sup> A recent review highlights the fact that, although schizophrenic patients usually display increased cortisol, they tend to have a blunted cortisol response to mild psychological stressors such as awakening.<sup>100</sup> Thus, a study comparing those with their first episode of psychosis and healthy controls, found that patients had higher cortisol level during the day but diminished cortisol response to awakening.<sup>99</sup> While in controls diurnal cortisol levels positively correlated with recent life events and, at trend level, with extent of childhood abuse, such a relationship was not found in patients. In this latter group, stressful life events were negatively correlated with diurnal cortisol levels, and early sexual abuse was positively correlated with the cortisol awakening response. These differences might be explained by the fact that hyperactivation of the HPA axis leads to an impaired regulation of the HPA axis<sup>101,102</sup> that, in turn, produces a blunted response to daily life stressors - which represents a specific feature of schizophrenia, not found in depression but similar to that observed in post-traumatic stress disorder.<sup>99</sup>

Although the relationship between the HPA axis and the dopamine system needs to be clarified, preliminary research reported a positive correlation between glucocorticoid levels and dopaminergic activity and, conversely, increased cortisol secretion following pro-dopaminergic drugs that are suggestive of a reciprocal influence between the two systems.<sup>101</sup> Furthermore, earlier research on genetic modulation of psychopathological risk related to stress and trauma supports the involvement of genes related to dopamine metabolism (particularly MAO-A and COMT) and glucocorticoid receptors sensitivity (FKBP5) together with brain derived neurotrophic factor (BDNF).<sup>85,97</sup> The effect of BDNF and childhood adversities on psychotic symptoms has been explored by two studies. In a general population sample, Alemany and colleagues<sup>103</sup> found that BDNF-Val66Met polymorphism moderated the risk for subclinical psychotic experience associated with childhood abuse. An association between lower BDNF expression and childhood trauma in a FEP sample was described by Mondelli et al.<sup>104</sup> In this study, diurnal cortisol levels, interleukin 6, and BDNF independently predicted a smaller hippocampal volume in patients, suggesting that psychosocial stressors might affect brain structure through an effect on BDNF.

## CONCLUSION

Childhood abuse is attracting increasing attention as a possible risk factor for psychotic disorders. Supporting evidence is mainly provided by population-based surveys, according to which, the odds of psychotic symptoms range from 1.7 to 15

in victims of abuse compared to the unexposed;<sup>37,39-42</sup> the risks tend to be higher in those exposed to more severe experience of abuse (e.g. sexual molestation vs. rape) and to multiple childhood adversities, suggesting a dose response relationship.<sup>38-40</sup> However, longitudinal studies are rare<sup>44-46</sup> and, so far, have provided inconsistent data concerning a specific risk for psychotic disorders in victims of childhood trauma. Whereas cohort studies on depressive disorders assessed the confounding effects of genetic load, child temperament, and other family risk factors,<sup>8</sup> such analyses have not been carried out regarding psychosis, with the notable exception of the study of Arseneault.<sup>46</sup> Therefore, the possibility cannot be ruled out that a child destined to develop schizophrenia may show characteristics in childhood that increase the risk of abuse.

Specificity of childhood abuse in psychotic disorders and, particularly, in schizophrenia has not been demonstrated. Indeed, when psychotic disorder is compared with other psychiatric outcomes, epidemiological studies show only a weak effect,<sup>40,44,45</sup> though case-control studies suggest a higher prevalence of childhood adversities among patients with schizophrenia and bipolar disorder than with major depression.<sup>52,53</sup> The picture is further clouded by the prevalence of post-traumatic symptoms in patients affected by schizophrenia who were abused as child,<sup>68,69</sup> further research needs to address the possible mediating role of PTSD.

With regards to specificity of effect of different types of abuse, preliminary evidence suggests that intentional violence has a stronger effect than accidental trauma<sup>40,43,46</sup> and physical and psychological abuse have comparable or greater impact than other forms of maltreatment,<sup>37,52,62</sup> especially if perpetrated by maternal figure.<sup>62</sup> Furthermore, it appears that the general effect of childhood adversities, not only sexual abuse, tends to be stronger in females<sup>31,32,61</sup> and in drug and cannabis users.<sup>76-79</sup>

However, the case cannot be regarded as proven. So far none of the studies reported indicate that childhood abuse is either sufficient or necessary to develop a psychotic disorder. Instead, there are suggestion that early adversities, especially when severe or multiple, interact with genetic liability to psychosis<sup>46,51,83</sup> and later environmental risk factors<sup>79,80</sup> in increasing risk for psychosis. Prospective research is needed to a) better evaluate the trauma-related risk for psychosis in context of genetic susceptibility, b) to exclude the effect of reverse causality and c) to describe the relative weight of each factor. Similarly, research analysing the interaction between environmental risk factors (including migration, urbanicity, substance misuse, and recent life events) is required.

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