

## Does Patient Experience Predict 30-Day Readmission? A Patient-Level Analysis of HCAHPS Data

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**BACKGROUND:** Hospital-level studies have found an inverse relationship between patient experience and readmissions. However, based on typical survey response time, it is unclear if patients are able to respond to surveys before they get readmitted and whether being readmitted might be a driver of poor experience scores (reverse causation).

**OBJECTIVE:** Using patient-level Hospital Consumer Assessment of Healthcare Providers and Systems (HCHAPS) and Press Ganey data to examine the relationship between readmissions and experience scores and to distinguish between patients who responded before or after a subsequent readmission.

**DESIGN:** Retrospective analysis of 10-year HCAHPS data.

**SETTING:** Single tertiary care academic hospital.

**PARTICIPANTS:** Patients readmitted within 30 days of an index hospitalization who received an HCAHPS survey linked to index admission comprised the exposure group. This group was divided into those who responded prior to

readmission and those who responded after readmission. Nonreadmitted patients comprised the control group.

**ANALYSIS:** Multivariable-logistic regression to analyze the association between HCHAPS and Press Ganey scores and 30-readmission status, adjusted for patient factors.

**RESULTS:** Only 15.8% of the readmitted patients responded to the survey prior to readmission, and their scores were not significantly different from the nonreadmitted patients. The patients who responded after readmission were significantly more dissatisfied with physicians (doctors listened 73.0% versus 79.2%, adjusted odds ratio [aOR] 0.75,  $P < .0001$ ), staff responsiveness, (call button 50.0% vs 59.1%, aOR 0.71,  $P < .0001$ ) pain control, discharge plan, noise, and cleanliness of the hospital.

**CONCLUSION:** Our findings suggest that poor patient experience may be due to being readmitted, rather than being predictive of readmission. *Journal of Hospital Medicine* 2018;13:681-687. Published online first July 25, 2018. © 2018 Society of Hospital Medicine

Patient experience and 30-day readmission are important measures of quality of care for hospitalized patients. Performance on both of these measures impact hospitals financially. Performance on the Hospital Consumer Assessment of Healthcare Systems and Providers (HCAHPS) survey is linked to 25% of the incentive payment under Value Based Purchasing (VBP) Program.<sup>1</sup> Starting in 2012, the Centers for Medicare and Medicaid Services (CMS) introduced the Readmission Reduction Program, penalizing hospitals financially for excessive readmissions.<sup>2</sup>

A relationship between patient experience and readmissions has been explored at the hospital level. Studies have mostly found that higher patient experience scores are associated with

lower 30-day readmission rates. In a study of the relationship between 30-day risk-standardized readmission rates for three medical conditions (acute myocardial infarction, heart failure, and pneumonia) and patient experience, the authors noted that higher experience scores for overall care and discharge planning were associated with lower readmission rates for these conditions. They also concluded that patient experience scores were more predictive of 30-day readmission than clinical performance measures. Additionally, the authors predicted that if a hospital increased its total experience scores from the 25th percentile to the 75th percentile, there would be an associated decrease in readmissions by at least 2.3% for each of these conditions.<sup>3</sup> Practice management companies and the media have cited this finding to conclude that higher patient experience drives clinical outcomes such as 30-day readmission and that patients are often the best judges of the quality of care delivered.<sup>4,5</sup>

Other hospital-level studies have found that high 30-day readmission rates are associated with lower overall experience scores in a mixed surgical patient population; worse reports of pain control and overall care in the colorectal surgery population; lower experience scores with discharge preparedness

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in vascular surgery patients; and lower experience scores with physician communication, nurse communication, and discharge preparedness.<sup>6-9</sup> A patient-level study noted higher readmissions are associated with worse experience with physician and nursing communication along with a paradoxically better experience with discharge information.<sup>10</sup>

Because these studies used an observational design, they demonstrated associations rather than causality. An alternative hypothesis is that readmitted patients complete their patient experience survey after readmission and the low experience is the result, rather than the cause, of their readmission. For patients who are readmitted, it is unclear whether there is an opportunity to complete the survey prior to readmission and whether being readmitted may impact patient perception of quality of care. Using patient-level data, we sought to assess HCAHPS patient-experience responses linked to the index admission of the patients who were readmitted in 30 days and compare it with those patients who were not readmitted during this time period. We paid particular attention to when the surveys were returned.

## METHODS

### Study Design

We conducted a retrospective analysis of prospectively collected 10-year HCAHPS and Press Ganey patient survey data for a single tertiary care academic hospital.

### Participants

All adult patients discharged from the hospital and who responded to the routinely sent patient-experience survey were included. Surveys were sent to a random sample of 50% of the discharged patients.

The exposure group was comprised of patients who responded to the survey and were readmitted within 30 days of discharge. After subtracting 5 days from the survey receipt date for expected delays related to mail delivery time and processing time, survey response date was calculated. The exposure group was further divided into patients who responded to the survey prior to their 30-day readmission ("Prereadmission responders") and those that responded to the survey after their readmission ("Postreadmission responders"). A sensitivity analysis was performed by changing the number of days subtracted from the survey receipt date by two days in either direction. This approach did not result in any significant changes in the results.

The control group comprised patients who were not readmitted to the hospital within 30 days of discharge and who did not have an admission in the previous 30 days as well ("Not readmitted" group). An additional comparison group for exploratory analysis included patients who had experienced an admission in the prior 30 days but were not readmitted after the admission linked to the survey. These patients responded to the patient-experience surveys that were linked to their second admission in 30 days ("2<sup>nd</sup>-admission responders" group; Figure).

### Time Periods

All survey responders from the third quarter of 2006 to the first quarter of 2016 were included in the study. Additionally, ad-

ministrative data on non-responders were available from July 2006 to August 2012. These data were used to estimate response rates. Patient level experience and administrative data were obtained in a linked fashion for these time periods.

### Instruments

Press Ganey and HCAHPS surveys were sent via mail in the same envelope. Fifty percent of the discharged patients were randomized to receive the surveys. The Press Ganey survey contained 33 items encompassing several subdomains, including room, meal, nursing, physician, ancillary staff, visitor, discharge, and overall experience.

The HCAHPS survey contained 29 CMS-mandated items, of which 21 are related to patient experience. The development, testing, and methods for administration and reporting of the HCAHPS survey have been previously described and studies using this instrument have been reported in the literature.<sup>11</sup> Press Ganey patient satisfaction survey results have also been reported in the literature.<sup>12</sup>

### Outcome Variables and Covariates

HCAHPS and Press Ganey experience survey individual item responses were the primary outcome variables of this study. Age, self-reported health status, education, primary language spoken, service line, and time taken to respond to the surveys served as the covariates. These variables are used by CMS for patient-mix adjustment and are collected on the HCAHPS survey. Additionally, the number of days to respond to the survey were included in all regression analysis to adjust for early responder effect.<sup>13-15</sup>

### Statistical Analysis

"Percent top-box" scores were calculated for each survey item for patients in each group. The percent top-box scores were calculated as the percent of patients who responded "very good" for a given item on Press Ganey survey items and "always" or "definitely yes" or "yes" or "9" or "10" on HCAHPS survey items. CMS utilizes "percent top-box scores" to calculate payments under the VBP program and to report the results publicly. Numerous studies have also reported percent top-box scores for HCAHPS survey results.<sup>12</sup>

We hypothesized that whether patients complete the HCAHPS survey before or after the readmission influences their reporting of experience. To test this hypothesis, HCAHPS and Press Ganey item top-box scores of "Prereadmission responders" and "Postreadmission responders" were compared with those of the control group using multivariate logistic regression. "Prereadmission responders" were also compared with "Postreadmission responders."

"2<sup>nd</sup>-admission responders" were similarly compared with the control group for an exploratory analysis. Finally, "Postreadmission responders" and "2<sup>nd</sup>-admission responders" were compared in another exploratory analysis since both these groups responded to the survey after being exposed to the readmission, even though the "Postreadmission responders" group is administratively linked to the index admission.

The Johns Hopkins Institutional Review Board approved this study.

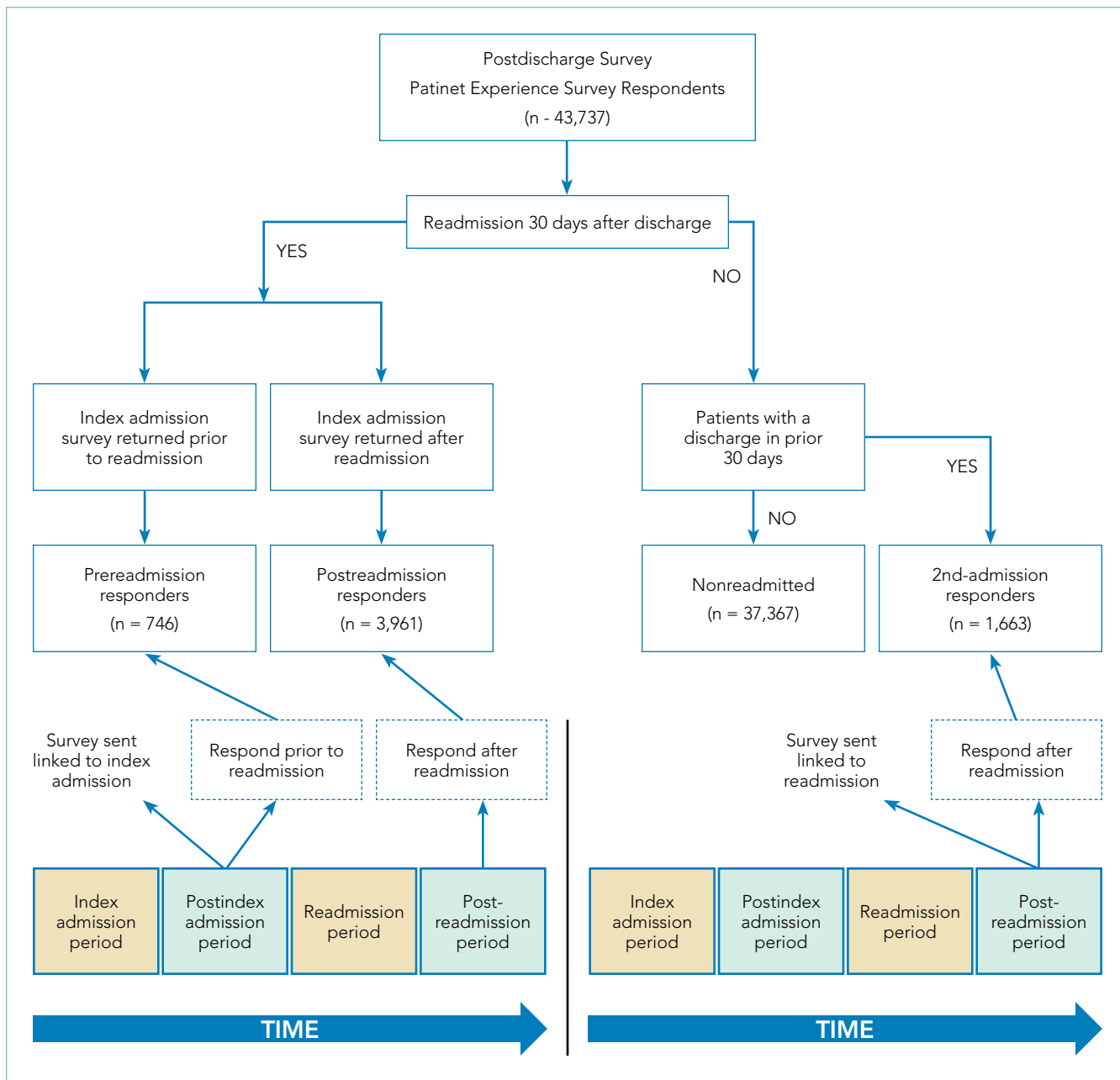


FIG. Postdischarge Survey, Patient Experience Survey Respondents.

## RESULTS

There were 43,737 survey responders, among whom 4,707 were subsequently readmitted within 30 days of discharge. Among the readmitted patients who responded to the surveys linked to their index admission, only 15.8% returned the survey before readmission (prereadmission responders) and 84.2% returned the survey after readmission (postreadmission responders). Additionally, 1,663 patients responded to experience surveys linked to their readmission. There were 37,365 patients in the control arm (ie, patients who responded to the survey and were not readmitted within 30 days of discharge or in the prior 30 days; Figure). The readmission rate among survey responders was 10.6%. Among the readmitted patients,

the median number of days to readmission was 10 days while the median number of days to respond to the survey for this group was 33 days. Among the nonreadmitted patients, the median number of days to return the survey was 29 days.

While there were no significant differences between the comparison groups in terms of gender and age, they differed on other characteristics. The readmitted patients were more often Medicare patients, white, had longer length of stay and higher severity of illness (Table 1). The response rate was lower among readmitted patients when compared to patients who were not readmitted (22.5% vs 33.9%,  $P < .0001$ ). Press Ganey and HCAHPS survey responses. Postreadmission responders, compared with the nonreadmitted group, were less satisfied

TABLE 1. Patient Characteristics

Demographic characteristic	Prereadmission responders	Nonreadmitted	P Value	Postreadmission Responders	Nonreadmitted	P Value
Payor type						
Medicare	37.5%	32.6%	.001	40.2%	32.6%	< .0001
Medicaid	8.5%	7.3%		8.2%	7.3%	
Private	35.0%	35.0%		33.4%	35.3%	
Self-pay	0.13%	0.44%		0.41%	0.14%	
Other	19.0%	24.6%		17.8%	24.6%	
Nonwhite	23.8%	31.8%	< .0001	26.6%	31.8%	< .0001
Female	49.3%	50.7%	.37	49.4%	50.7%	.06
Age (mean)	58.7	57.3	.02	57.8	57.3	.05
LOS (mean)	7.20	4.74	< .0001	7.30	4.74	< .0001
APR-SOI (mean)	2.56	2.15	< .0001	2.57	2.15	< .0001

Abbreviations: LOS, length of stay; SOI, severity of illness

with multiple domains including physicians, phlebotomy staff, discharge planning, staff responsiveness, pain control and hospital environment. Patients were less satisfied with how often physicians listened to them carefully (72.9% vs 79.4%, aOR [adjusted odds ratio] 0.75,  $P < .001$ ), how often physicians explained things in a way they could understand (69.5% vs 77.0%, aOR 0.77,  $P < .0001$ ). While postreadmission responders more often stated that staff talked about the help they would need when they left the hospital (85.7% vs 81.5%, aOR 1.41,  $P < .0001$ ), they were less satisfied with instructions for care at home (59.7% vs 64.9%, aOR 0.82,  $P < .0001$ ) and felt less ready for discharge (53.9% vs 60.3%, aOR 0.81,  $P \leq .0001$ ). They were less satisfied with noise (48.8% vs 57.2%, aOR 0.75,  $P < .0001$ ) and cleanliness of the hospital (60.5% vs 66.0%, aOR 0.76,  $P < .0001$ ). Patients were also more dissatisfied with regards to responsiveness to call button (50.0% vs 59.1%, aOR 0.71,  $P < .0001$ ) and need for toileting help (53.1% vs 61.3%, aOR 0.80,  $P < .0001$ ). There were no significant differences between the groups for most of the nursing domains. Postreadmission responders had worse top-box scores, compared with prereadmission responders, on most patient-experience domains, but these differences were not statistically significant (Table 2).

We also conducted an exploratory analysis of the postreadmission responders, comparing them with patients who received patient-experience surveys linked to their second admission in 30 days. Both of these groups were exposed to a readmission before they completed the surveys. There were no significant differences between these two groups on patient experience scores. Additionally, the patients who received the survey linked to their readmission had a broad dissatisfaction pattern on HCAHPS survey items that appeared similar to that of the postreadmission group when compared to the nonreadmitted group (Table 3).

## DISCUSSION

In this retrospective analysis of prospectively collected Press Ganey and HCAHPS patient-experience survey data, we found that the overwhelming majority of patients readmitted

within 30 days of discharge respond to HCAHPS surveys after readmission even though the survey is sent linked to the first admission. This is not unexpected since the median time to survey response is 33 days for this group, while median time to readmission is 10 days. The dissatisfaction pattern of Postreadmission responders was similar to those who responded to the survey linked to the readmission. When a patient is readmitted prior to completing the survey, their responses appear to reflect the cumulative experience of the index admission and the readmission. The lower scores of those who respond to the survey after their readmission appear to be a driver for lower patient-experience scores related to readmissions. Overall, readmission was associated with lower scores on items in five of the nine domains used to calculate patient experience related payments under VBP.<sup>16</sup>

These findings have important implications in inferring the direction of potential causal relationship between readmissions and patient experience at the hospital level. Additionally, these patients show broad dissatisfaction with areas beyond physician communication and discharge planning. These include staff responsiveness, phlebotomy, meals, hospital cleanliness, and noise level. This pattern of dissatisfaction may represent impatience and frustration with spending additional time in the hospital environment.

Our results are consistent with findings of many of the earlier studies, but our study goes a step further by using patient-level data and incorporating survey response time in our analysis.<sup>3,7,9,10</sup> By separating out the readmitted patients who responded to the survey prior to admission, we attempted to address the ability of patients' perception of care to predict future readmissions. Our results do not support this idea, since prereadmission responders had similar experience scores to non-readmitted patients. However, because of the low numbers of prereadmission responders, the comparison lacks precision. Current HCAHPS and Press Ganey questions may lack the ability to predict future readmissions because of the timing of the survey (postdischarge) or the questions themselves.

**TABLE 2. Patient Experience Related to 30-Day Readmission: Comparison of Scores for Readmitted Patients (Divided into whether They Responded to the Survey Before or After They Were Readmitted) with Patients Who Were Not Readmitted.**

Satisfaction Domains	%Top Box <sup>a</sup>			Adjusted Odds Ratio <sup>c</sup> Prereadmission vs Postreadmission Responders	Adjusted Odds Ratio <sup>c</sup> Prereadmission vs Nonreadmitted	Adjusted Odds Ratio <sup>c</sup> Postreadmission vs Nonreadmitted
	Prereadmission Responder (n = 746) <sup>b</sup>	Postreadmission Responders (n = 3,961) <sup>b</sup>	Not Readmitted (n = 37,367) <sup>b</sup>			
<b>HCAHPS ITEMS</b>						
Nursing Communication						
Nurses treated with courtesy/respect	87.8	82.9	83.8	1.23	1.11	0.94
Nurses listened	78.5	71.1	72.5	1.32*	1.23	0.92
Nurses explained	78.7	70.7	73.9	1.37**	1.12	0.89*
Physician Communication						
Doctors treated with courtesy/respect	89.3	83.9	88.0	1.41*	1.15	0.83***
Doctors listened	79.2	73.0	79.2	1.75***	1.23	0.75****
Doctors explained	74.4	69.5	77.0	1.28	1.02	0.77****
Discharge Related						
Staff talk about help when you leave	83.0	85.7	81.5	0.87	1.21	1.41****
Info re: symptoms/prob to look for	91.1	91.8	91.9	0.95	1.01	0.98
Hospital Staff took pref into account <sup>d</sup>	56.8	53.5	54.0	1.04	1.21	1.00
Good understanding manage health <sup>d</sup>	65.0	58.1	61.6	1.25	1.18	0.93
Understood purpose of taking meds <sup>d</sup>	72.1	66.6	69.6	1.28	1.17	0.92
Hospital Environment						
Cleanliness of the hospital	64.4	60.5	66.0	1.14	0.88	0.76****
Quietness of the hospital	54.4	48.8	57.2	1.25*	0.92	0.75****
Misc.						
Call button help soon as wanted	62.5	50.0	59.1	1.41**	1.01	0.71****
Help toileting as soon as you wanted	56.9	53.1	61.3	1.02	0.79	0.80****
Pain well controlled	60.9	55.1	62.4	1.23	0.93	0.79*
Staff do everything help with pain	77.5	73.7	77.2	1.05	0.87	0.89
Staff describe medicine side effect	55.3	43.5	46.7	1.64***	1.39	0.86
Tell you what new medicine was for	81.1	73.9	76.3	1.39	1.28	0.95
Overall						
Rate hospital (0–10)	79.3	73.1	76.1	1.39*	1.28	0.92
Recommend hospital	84.7	80.2	82.1	1.43*	1.35	0.97
<b>PRESS GANEY ITEMS</b>						
Room						
Courtesy of person cleaning the room	66.4	62.4	61.0	1.05	1.02*	0.98
Room temperature	42.1	40.4	45.3	1.02	0.88*	0.86***
Noise level in and around the room	43.5	38.3	45.4	1.20	0.94	0.79****
Food						
Temperature of the food	26.6	25.5	31.1	1.32	0.92**	0.81****
Courtesy of person served food	64.4	60.1	57.7	1.47	1.23*	1.09
Ancillary Staff						
Courtesy of person took blood	67.0	59.1	64.0	1.32*	1.03	0.75****
Courtesy of person started IV	71.2	63.0	68.0	1.47*	1.08	0.76****
Visitor Related						
Accommodations & comfort visitors	57.1	53.7	55.7	1.16	1.07	0.95
Staff attitude toward visitors	72.6	69.9	69.2	1.05	1.06	1.02
Discharge						
Extent felt ready discharge	62.2	53.9	60.3	1.09	1.15	0.81****
Speed of discharge process	48.8	39.6	49.9	1.54***	0.98	0.67****
Instructions care at home	67.3	59.7	64.9	1.32*	1.04	0.82****
Misc						
Staff concern for your privacy	67.4	62.5	63.9	1.14	1.06	0.90
Staff addressed emotional needs	52.3	52.3	54.0	1.04	0.92	0.91*
Nurse promptness response to call	59.1	54.0	57.4	1.23	1.07	0.84****

Prereadmission responder = survey linked to index admission, returned prior to readmission. Postreadmission responder = survey linked to index admission returned after readmission  
<sup>a</sup>% Top Box is the percentage of patients with top category responses (response “9–10” for rate hospital and “always” or “yes” for other HCAHPS categories.) These are raw unadjusted scores.

<sup>b</sup>N varied between different survey items

<sup>c</sup>Adjusted odds ratio and P value derived from logistic regression model adjusting for age, self-reported health status, education, primary language spoken, service line, and time taken to respond to survey served as the covariates.

<sup>d</sup>These items were introduced in 2012 and have fewer responses

\* P < .05; \*\* P ≤ .01; \*\*\* P ≤ .001; \*\*\*\* P ≤ .0001

Abbreviation: HCAHPS, Healthcare Providers and Systems

**TABLE 3. Patient Experience Related to 30-Day Readmission: Comparison of HCAHPS Top-Box Scores for Readmitted Patients Responding to Survey Linked to Readmission with Patients Responding to Survey Linked with Index Admission and with Patients that Were Not Readmitted.**

Satisfaction Domains	%Top Box <sup>a</sup>			P Value <sup>c</sup>	%Top Box <sup>a</sup>			P Value <sup>c</sup>
	2nd-Admission Responders (n = 1,663) <sup>b</sup>	Postreadmission Responders (n = 3,961) <sup>b</sup>	Adjusted Odds Ratio <sup>c</sup>		2nd-Admission Responders (n = 1,663) <sup>2</sup>	Not Readmitted (n = 37,367) <sup>2</sup>	Adjusted Odds Ratio <sup>c</sup>	
<b>Nursing Communication</b>								
Nurses treated with courtesy/respect	81.9	82.9	0.94	.27	81.9	83.8	0.97	.40
Nurses listened	69.1	71.1	1.00	.16	69.1	72.5	0.98	.24
Nurses explained	71.4	70.7	0.98	.69	71.4	73.9	0.86	.29
<b>Physician Communication</b>								
Doctors treated with courtesy/respect	82.6	83.9	0.91	.11	82.6	87.5	0.87	.006
Doctors listened	72.5	73.0	0.98	.58	72.5	79.2	0.88	.0002
Doctors explained	69.6	69.5	0.92	.84	69.6	77.0	0.84	.02
<b>Discharge Related</b>								
Staff talk about help when you leave	85.9	85.7	0.91	.71	85.9	81.5	1.34	.001
Info re: symptoms/prob to look for	91.3	91.8	1.00	.96	91.3	91.9	0.99	.95
Hospital staff took pref into account <sup>d</sup>	51.0	53.5	0.99	.28	51.0	54.0	0.93	.91
Good understanding manage health <sup>d</sup>	56.9	58.1	0.89	.35	56.9	61.6	0.96	.19
Understood purpose of taking meds <sup>d</sup>	65.4	66.6	0.96	.80	65.4	69.6	0.77	.43
<b>Hospital Environment</b>								
Cleanliness of the hospital	60.0	60.5	0.93	.89	60.0	66.0	0.83	< .0001
Quietness of the hospital	48.4	48.8	0.85	.55	48.4	57.2	0.79	.0002
Call button help soon as wanted	46.7	50.0	0.87	.009	46.7	59.1	0.72	< .0001
Help toileting as soon as you wanted	48.9	53.1	0.96	.05	48.9	61.3	0.86	< .0001
Pain well controlled	52.5	55.1	0.74	.83	52.5	62.4	0.67	.001
Staff do everything to help with pain	69.7	73.7	0.93	.02	69.7	77.2	0.86	.003
Staff describe medicine side effect	41.4	43.5	0.99	.84	41.4	46.7	0.88	.07
Tell you what new medicine was for	70.5	73.9	0.72	.02	70.5	76.3	0.77	< .0001
<b>Overall</b>								
Rate hospital (0–10)	72.3	73.1	0.97	.30	72.3	76.1	0.86	.01
Recommend hospital	78.9	80.2	0.96	.54	78.9	82.1	0.87	.27

2nd-readmission responder = survey linked to readmission. Postreadmission responder = survey linked to index admission returned after readmission.

<sup>a</sup>% Top Box is the percentage of patients with top category responses (response “9–10” for rate hospital and “always” or “yes” or “definitely yes” for other categories).

<sup>b</sup>N varied between different survey items.

<sup>c</sup>P value derived from logistic regression model adjusting for age, self-reported health status, education, primary language spoken, service line, and time taken to respond to survey served as the covariates.

<sup>d</sup>These items were introduced in 2012 and have fewer responses.

Abbreviation: HCAHPS, Hospital Consumer Assessment of Healthcare Providers and Systems.

Overall, postreadmission responders are dissatisfied with multiple domains of hospital care. Many of these survey responses may simply be related to general frustration. Alternatively, they may represent a patient population with a high degree of needs that are not as easily met by a hospital's routine processes of care. Even though the readmission rates were 10.6% among survey responders, 14.6% of the survey responses were associated with readmissions after accounting for those who respond to surveys linked to readmission. These patients could have significant impact on cumulative experience scores.

Our study has a few limitations. First, it involves a single tertiary care academic center study, and our results may not be generalizable. Second, we did not adjust for some of the patient characteristics associated with readmissions. Patients

who were admitted within 30 days are different than those not readmitted based on payor, race, length of stay, and severity of illness, and we did not adjust for these factors in our analysis. This was intentional, however. Our goal was to better understand the relationship between 30-day readmission and patient experience scores as they are used for hospital-level studies, VBP, and public reporting. For these purposes, the scores are not adjusted for factors, such as payor and length of stay. We did adjust for patient-mix adjustment factors used by CMS. Third, the response rates to the HCAHPS were low and may have biased the scores. However, HCAHPS is widely used for comparisons between hospitals has been validated, and our study results have implications with regard to comparing hospital-level performance. HCAHPS results are relevant to policy and have financial consequences.<sup>17</sup> Fourth,



our study did not directly compare whether the relationship between patient experience for the postreadmission group and nonreadmitted group was different from the relationship between the prereadmission group and postreadmission group. It is possible that there is no difference in relationship between the groups. However, despite the small number of prereadmission responders, these patients tended to have more favorable experience responses than those who responded after being readmitted, even after adjusting for response time. Although the *P* values are nonsignificant for many comparisons, the directionality of the effect is relatively consistent. Also, the vast majority of the patients fall in the postreadmission group, and these patients appear to drive the overall experience related to readmissions. Finally, since relatively few patients turned in surveys prior to readmission, we had limited power to detect a significant

difference between these prereadmission responders and nonreadmitted patients.

Our study has implications for policy makers, researchers, and providers. The HCAHPS scores of patients who are readmitted and completed the survey after being readmitted reflects their experience of both the index admission and the readmission. We did not find evidence to support that HCAHPS survey responses predict future readmissions at the patient level. Our findings do support the concept that lower readmissions rates (whether due to the patient population or processes of care that decrease readmission rates) may improve HCAHPS scores. We suggest caution in assuming that improving patient experience is likely to reduce readmission rates.

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