



Does the rotational direction of a wind turbine impact the wake in a stably stratified atmospheric boundary layer?

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Abstract. Stably stratified atmospheric boundary layers are often characterized by a veering wind profile, in which the wind direction changes clockwise with height in the Northern Hemisphere. Wind-turbine wakes respond to this veer in the incoming wind by stretching from a circular shape into an ellipsoid. We investigate the relationship between this stretching and the direction of the turbine rotation by means of large-eddy simulations. Clockwise rotating, counterclockwise rotating, and

- 5 non-rotating actuator disc turbines are embedded in wind fields with no wind veer or in wind fields with an Ekman spiral representative of the Northern Hemisphere, resulting in six combinations of rotor rotation and inflow wind condition. The impact of the Coriolis force via the Ekman spiral depends on the rotational direction of the actuator disc, whereas the direction of the disc rotation exerts little impact if no veer is present. The differences result from the interaction of the actuator rotation with the Ekman spiral and are present in the zonal, the meridional, and the vertical wind components of the wake. The interaction
- 10 of the Ekman spiral with both rotational directions lead to two different flow fields characterizing the wake. In the case of a counterclockwise rotating actuator disc, the rotational direction of the wake persists in the whole wake. In case of a clockwise rotating actuator, however, the rotational direction is different in the near wake in comparison to the far wake. The physical mechanism responsible for this difference is explained by a simple linear superposition of the inflow wind field, characterized by vertical wind shear and wind veer, with a wind-turbine model including a Rankine vortex, representing the rotational effects
- 15 imposed on the flow by the rotating blades.

1 Introduction

In wind energy science, the engineering system of a wind turbine interacts with the geophysical system of the atmospheric boundary layer (ABL). The canonical ABL over land experiences a diurnal cycle in prevailing wind and turbulence conditions

20 (Stull, 1988). The diurnal cycle is driven by shortwave heating during day and radiative cooling at night. Shortwave heating of the surface triggers convective turbulence that mixes throughout the boundary layer, resulting in a well-mixed convective boundary layer (CBL) during day with little vertical wind shear (change of wind speed with height) and high levels of turbu-





lence. At night, radiative cooling of the surface leads to a decay of the convective turbulence, resulting in a stable boundary layer (SBL), with low turbulence levels and highly sheared wind profiles. The interaction between the Coriolis force acting on the velocity components and friction in the boundary layer will cause winds to rotate with height, approaching the geostrophic wind. This veering of the wind through the boundary layer is described by the hemispheric dependent Ekman spiral. In the

5 Northern Hemisphere, winds will rotate in the clockwise direction with height (i.e. westerly near the surface and northerly aloft), while in the southern hemisphere, winds will rotate in the counterclockwise direction with height (i.e. westerly near the surface and southerly aloft). Therefore, the nighttime wind system in the Northern Hemispheric mid-latitudes is typically characterised by a veering wind (a wind that rotates in a clockwise direction with height) and a pronounced vertical wind shear (Walter et al., 2009). Of course, synoptic events such as frontal passages or topographically-driven phenomena such as 10 drainage flows may modify this typical background veer.

The stability-dependent wind and turbulence conditions determine the entrainment of energy and momentum into the wake region and the resulting wake structure, with fast eroding wakes in convective conditions and wakes persisting further down-wind in stably stratified conditions. This stability dependence has been investigated for the SBL (Aitken et al., 2014; Bhagana-gar and Debnath, 2014, 2015; Dörenkämper et al., 2015), the CBL (Mirocha et al., 2014), both of them (Abkar and Porté-Agel, 2014; Vollmer et al., 2017), or the complete diurnal cycle (Abkar et al., 2016; Englberger and Dörnbrack, 2018a).

- 15 2014; Vollmer et al., 2017), or the complete diurnal cycle (Abkar et al., 2016; Englberger and Dörnbrack, 2018a). The upstream wind and turbulence profiles, characterised by the prevailing atmospheric condition, interact with the rotating blades of a wind turbine. Due to aerodynamics and design of the wind turbine blades, the rotational direction of most industrial wind turbines is clockwise (while looking downwind). Clockwise rotating blades will exhibit a counterclockwise rotating wake (Zhang et al., 2012). Studies investigating the effect of wind turbine rotors rotating in opposite directions, especially for wind
- 20 farm optimization, show that the rotational direction has an impact on the wake structure of a wind turbine and therefore on the performance of a downwind turbine (Vermeer et al., 2003; Shen et al., 2007; Sanderse, 2009; Kumar et al., 2013; Hu et al., 2013; Yuan et al., 2014; Mühle et al., 2017). Further, in simulations, representing an array of wind turbines with a second row rotating opposite to the rotation of the first row, an increase in productivity was found in comparison to the co-rotating pair of turbines. This improvement is related to the different direction of the angular component in the wake and therefore the change in the angle of attack on the downwind turbine (Mühle et al., 2017).

These studies, which detect a rotational direction impact on the wake, were performed for wind conditions without significant vertical wind shear and without wind veer in the rotor altitudes. Vertical wind shear and wind veer, however, impact the wake characteristics (Abkar and Porté-Agel, 2016; Vollmer et al., 2017; Englberger and Dörnbrack, 2018a), and also power production (Sanchez Gomez and Lundquist, 2019a). As both effects influence the wake, their interaction in combination with

30 different rotational directions might have a large impact on the wake characteristics in stably stratified regimes. This possible impact is investigated in this study in detail, aiming to answer the question:

Does the rotational direction of a wind turbine impact the wake in a stably stratified atmospheric boundary layer?

We investigate the relationship between the upstream wind profile and the direction of the turbine rotation by large-eddy simulations (LESs). Clockwise-rotating, counterclockwise-rotating and non-rotating actuator discs are embedded in two different







Figure 1. Schematic illustration of the rotational direction of the wake for the cases: Wind veer with clockwise blade rotation (V_CCW) in (a), no wind veer with clockwise blade rotation (NV_CCW) in (b), wind veer with no blade rotation (V_NR) in (c), no wind veer with no blade rotation (NV_NR) in (d), wind veer with counterclockwise blade rotation (V_CW) in (e), no wind veer with counterclockwise blade rotation (NV_CW) in (f).



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atmospheric regimes. The simplest scenario includes only wind shear, specifically an increase of wind speed with height. The more complex scenario also includes veer characteristics of the Northern Hemisphere's Ekman spiral, which are representative of a stably stratified ABL. The simulations represent the following six combinations of rotor rotation and wind conditions from Fig. 1. To our knowledge, this is the first study which investigates the dependence of wake characteristics on the rotational direction of a rotor and wind veer in a stably stratified ABL.

The paper is organised as follows. The numerical model EULAG, the wind-turbine simulation setup and the metrics are described in Sect. 2. The interaction of rotational direction with wind veer is presented in Sect. 3 and the developed simplified wake model to explain this interaction in Sect. 4. The resulting impact of the rotational direction on the flow in the wake is illustrated in Sect. 5. A conclusion follows in Sect. 6.

10 2 Numerical Model Framework

2.1 The Numerical Model EULAG

The dry ABL flow through a wind turbine is simulated with the multiscale geophysical flow solver EULAG (Prusa et al., 2008; Englberger and Dörnbrack, 2017, 2018a, b, c). The acronym EULAG refers to the ability of solving the equations of motion either in an EUlerian (flux form) (Smolarkiewicz and Margolin, 1993) or in a semi-LAGrangian (advective form)
15 (Smolarkiewicz and Pudykiewicz, 1992) mode. The geophysical flow solver EULAG is at least of second-order accurate in time and space (Smolarkiewicz and Margolin, 1998) and well-suited for massively-parallel computations (Prusa et al., 2008). It can be run parallel up to a domain decomposition in three dimensions. A comprehensive description and discussion of the geophysical flow solver EULAG can be found in Smolarkiewicz and Margolin (1998) and Prusa et al. (2008).

For the numerical simulations conducted for this paper, the Boussinesq equations for a flow with constant density $\rho_0 =$ 20 1.1 kg m⁻³ are solved for the Cartesian velocity components $\mathbf{v} = (u, v, w)$ and for the potential temperature perturbations $\Theta' = \Theta - \Theta_e$ (Smolarkiewicz et al., 2007),

$$\frac{d\mathbf{v}}{dt} = -G\boldsymbol{\nabla}\left(\frac{p'}{\rho_0}\right) + \mathbf{g}\frac{\Theta'}{\Theta_0} + \boldsymbol{\mathcal{V}} + \mathbf{M} + \boldsymbol{\beta}_{\mathbf{v}}\frac{\mathbf{F}_{WT}}{\rho_0} - 2\Omega\left(\mathbf{v} - \mathbf{v}_e\right),\tag{1}$$

$$\frac{d\Theta'}{dt} = \mathcal{H},\tag{2}$$

$$\boldsymbol{\nabla} \cdot (\rho_0 \mathbf{v}) = 0, \tag{3}$$

25 where Θ_0 represents the constant reference value. Height dependent states $\psi_e(z) = (u_e(z), v_e(z), w_e(z), \Theta_e(z))$ enter Eqs. 1 - 3 in the buoyancy term, the Coriolis term, and as boundary conditions. These background states correspond to the ambient and environmental states. Initial conditions are provided for u, v, w, and the potential temperature perturbation Θ' in $\psi = (u, v, w, \Theta')$. In Eqs. (1), (2) and (3), d/dt, ∇ and ∇ · represent the total derivative, the gradient and the divergence, respectively. The quantity p' represents the pressure perturbation with respect to the background state and **g** the vector of acceleration due to gravity. The





Table 1. List of all performed simulations in this study. Here, V refers to veer and NV to no veer, CCW to counterclockwise wake rotation, CW to clockwise wake rotation, and NR to no rotation of the disc.

simulation	veer	wake rotation	
V_CCW	yes	counterclockwise	
V_NR	yes	no	
V_CW	yes	clockwise	
NV_CCW	no	counterclockwise	
NV_NR	no	no	
NV_CW	no	clockwise	

factor *G* represents geometric terms that result from the general, time-dependent coordinate transformation (Wedi and Smolarkiewicz, 2004; Smolarkiewicz and Prusa, 2005; Prusa et al., 2008; Kühnlein et al., 2012). The subgrid-scale terms \mathcal{V} and \mathcal{H} symbolise viscous dissipation of momentum and diffusion of heat and **M** denotes the inertial forces of coordinate-dependent metric accelerations. \mathbf{F}_{WT} corresponds to the turbine-induced force, implemented with the blade element momentum method concentrate disc without and with relation ($\mathcal{R} \in \{0, -1, 1\}$) in the wind turbine simulations. The Corricles force is represented

5 as actuator disc without and with rotation ($\beta_v \in \{0, -1, 1\}$) in the wind-turbine simulations. The Coriolis force is represented by the angular velocity vector of the earth's rotation. All following simulations are performed with a TKE closure (Schmidt and Schumann, 1989; Margolin et al., 1999).

The axial \mathbf{F}_x and tangential \mathbf{F}_{Θ} turbine-induced forces ($\mathbf{F}_{WT} = \mathbf{F}_x + \mathbf{F}_{\Theta}$) in Eq. (1) are parametrized with the blade element momentum (BEM) method as rotating actuator disc including a nacelle and excluding the tower. This method accounts for

10 local blade characteristics, as it enables calculation of the steady loads as well as the thrust and the power for different wind speeds, rotational speeds, and pitch angles of the blades. For the airfoil data, the 10 MW reference wind turbine from DTU (Bak et al., 2013) is applied, whereas the radius of the rotor as well as the chord length of the blades are scaled to a rotor with a diameter of 100 m. A detailed description of the wind-turbine parametrization and the applied smearing of the forces, as well as all values used in the wind-turbine parametrization are given in Englberger and Dörnbrack (2017, parametrization B).

15 2.2 Setup of the Wind-Turbine Simulations

Wind-turbine simulations with open horizontal boundaries are performed for a stably stratified ABL lasting 20 min on $512 \times 64 \times 64$ grid points with a horizontal and vertical resolution of 5 m. The rotor of the wind turbine is located at 300 m in x-direction and centred in y-direction with a diameter (D) and a hub height z_h , both 100 m.

In the scope of this paper, we performed six wind-turbine simulations, listed in Table 1 and schematically plotted in Fig. 1. 20 These simulations differ by two main components, the presence of veer and the rotational direction of the wake.



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All simulations are initialized with the zonal velocity profile including vertical wind shear $(\frac{\partial u}{\partial z} \neq 0)$

$$u_{BL}(z) = u_g \cdot \left(1 - exp\left(-\frac{z\sqrt{f/\kappa}}{\sqrt{2}} \right) \right),\tag{4}$$

with a geostrophic wind $u_g = 10 \text{ m s}^{-1}$, the Coriolis parameter $f = 1.0 \times 10^{-4} \text{ s}^{-1}$, and an eddy viscosity coefficient $\kappa = 0.06 \text{ m}^2 \text{ s}^{-1}$, following Shapiro and Fedorovich (2010). In the simulations with wind veer $(\frac{\partial v}{\partial z} \neq 0)$, the wind direction change over the 100 m rotor disc is 8 ° with $v_{BL}(z_h) = 0$. The meridional velocity profile is therefore

$$v_{BL}(z) = u_{BL}(z) \cdot tan\left(\left(270^{\circ} - \left(262^{\circ} + 8^{\circ}\frac{z}{100m}\right)\right)\frac{\pi}{180^{\circ}}\right)$$
(5)

in the lowest 200 m and constant above. A wind veer of $0.08 \circ m^{-1}$ represents a nighttime situation, following Walter et al. (2009) and is in agreement with measurements (Walter et al., 2009; Bodini et al., 2019; Sanchez Gomez and Lundquist, 2019b) indicating that a veer in excess of $0.2 \circ m^{-1}$ does occur. In simulations without wind veer, the meridional velocity profile is

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$$v_{BL}(z) = 0.$$
 (6)

The vertical velocity is

$$w_{BL}(z) = 0 \tag{7}$$

in all simulations.

- Three different wake conditions are considered: Clockwise wake rotation (*CW*), no wake rotation (*NR*), and counterclock-15 wise wake rotation (*CCW*), defined from a view looking downwind at the wind turbine. In this simulations, only the prefactors β_v and β_w in Eq. (1) differ. A clockwise wake rotation is defined by $\beta_v = -1$ and $\beta_w = 1$, a counterclockwise wake rotation by $\beta_v = 1$ and $\beta_w = -1$, and no rotation by $\beta_v = 0$ and $\beta_w = 0$, with $\beta_u = 1$ in each simulation. A clockwise wake rotation is initiated by a counterclockwise blade rotation, due to conservation of angular momentum (e.g. described in Zhang et al. (2012)). We do not simulate the rotor rotation, instead, we exerted the rotor forces directly on the velocity fields (Eq. (1)). Therefore, in the
- 20 following we will refer to different wake rotations, which, however, can be linked directly towards the opposite blade rotation. Most wind turbines rotate in the clockwise direction, resulting in a counterclockwise wake rotation, so the *CCW* simulations represent the typical situation.

The parametrization of Englberger and Dörnbrack (2018b) is applied to represent a turbulent stably stratified regime in a wind-turbine simulation with open horizontal boundary conditions. All parameters required to apply the parametrization are

25 described in detail in Englberger and Dörnbrack (2018b). The parametrization includes the same turbulent intensity in all performed idealized simulations, so therefore the only differences are the veer or no veer cases or the rotational direction of the disc. Further, the applied parametrization makes the LESs numerically efficient and computationally fast (about 1 min wall time to simulate 1 min model time on 64 Intel Xeon E5-2697 v3 threads at 2.6 GHz). Both aspects make the parametrization well suited for this case study.





Table 2. List of grid points (gp) considered for the sectors applied in the evaluation. Right and left correspond to the rotor section from a view upwind of the wind turbine on the rotor with radius R.

sector	top tip	right	bottom tip	left
60°	gp \leq 30 $^{\circ}$ or gp \geq 330 $^{\circ}$	60 $^\circ$ \leq gp \leq 120 $^\circ$	150 $^\circ$ \leq gp \leq 210 $^\circ$	240 $^\circ \leq$ gp \leq 300 $^\circ$
90°	gp \leq 45 $^{\circ}$ or gp \geq 315 $^{\circ}$	45 $^\circ$ $<$ gp $<$ 135 $^\circ$	125 $^\circ$ \leq gp \leq 225 $^\circ$	225 $^\circ$ $<$ gp $<$ 315 $^\circ$



Figure 2. Schematic illustration of the top-tip sector (tt), the bottom-tip sector (bt), as well as the right and left sectors, defined from a view looking downwind toward the wind turbine on the disc. All sectors are 60° and 25 m \leq r \leq 50 m sectors.

2.3 Metrics

For the investigation of the dependence of wake characteristics on the wake rotation and wind veer, the following characteristics are used: the spatial distribution of the time-averaged streamwise velocity $\overline{u_{i,j,k}}$, the time-averaged spanwise velocity $\overline{v_{i,j,k}}$, the time-averaged spanwise velocity $\overline{w_{i,j,k}}$, and the streamwise velocity deficit

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$$VD_{i,j,k} \equiv \frac{\overline{u_{1,j,k}} - \overline{u_{i,j,k}}}{\overline{u_{1,j,k}}}.$$
 (8)

The indices of the grid points are denoted by $i = 1 \dots n$, $j = 1 \dots m$ and $k = 1 \dots l$ in the *x*, *y* and *z* directions, respectively. These characteristics are averaged over the last 10 min of the corresponding 20 min wind-turbine simulation. The temporal average is calculated online in the numerical model and updated at every timestep according to the method of Fröhlich (2006, Eq. 9.1).

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In the following, the quantities $\overline{u_{i,j,k}}$, $\overline{v_{i,j,k}}$, and $\overline{w_{i,j,k}}$ are evaluated and discussed downwind of the wind turbine up to 20 D. Further, the rotor area is divided into four sections of 60°, referred to hereafter as top tip, right, bottom tip, and left (see Table 2 and Fig. 2). These sectors include radial points with 25 m \leq r \leq 50 m, which represent the outer rotor region. We also evaluate the characteristics with 90° sectors (Table 2) or for 0 m < r \leq 50 m representing the whole rotor (excluding nacelle region). The resulting values of all four combinations are comparable between the 60° and 90° segments, and between the







Figure 3. Coloured contours of the streamwise velocity $\overline{u_{i,j,k_h}}$ in m s⁻¹ at hub height k_h , averaged over the last 10 min, for V_CCW in (a), NV_CCW in (b), V_NR in (c), NV_NR in (d), V_CW in (e), and NV_CW in (f). The black contours represent the velocity deficit VD_{i,j,k_h} at the same vertical location.

 $0 \text{ m} < r \le 50 \text{ m}$ and $25 \text{ m} \le r \le 50 \text{ m}$ sections. As the features are more distinctive for 60° and $25 \text{ m} \le r \le 50 \text{ m}$ sectors, these values are applied for the derivation of the sector characteristics in the following.

3 Interaction of Rotational Direction with Wind Veer

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The relationship between wind veer and the direction of the turbine rotation is investigated with x - y cross sections of the streamwise velocity at 100 m hub height (Fig. 3), in the top half of the rotor disc at 125 m (Fig. 4), and in the bottom half of the rotor disc at 75 m (Fig. 5).

In a first step, we compare the two non-rotating simulations with and without veer, V_NR and NV_NR , in Figs. 3(c) vs. (d), 4(c) vs. (d), and 5(c) vs. (d). This comparison reveals the effect of pure wind veer without disc rotation on the wake structure.







Figure 4. Coloured contours of the streamwise velocity $\overline{u_{i,j,k_*}}$ in m s⁻¹ at $z_h = 125$ m with index k_* , averaged over the last 10 min, for the same cases as in Fig. 3. The black contours represent the velocity deficit VD_{i,j,k_*} at the same vertical location.

Firstly, the elongation of the wake at hub height differs. The delayed wake recovery in NV_NR is only due to missing wind veer, as the inflow turbulent intensity is the same in the veered and the non-veered cases. In the veered simulation (Fig. 3(c)), the combination of vertical wind shear and wind veer causes the skewed spatial structure in Fig. 6(a). This enhanced production of turbulent kinetic energy due to shear results in a larger entrainment of ambient air and therefore in a more rapid flow recovery

5 in the wake. The skewed structure under veered inflow conditions is similar to those of the simulations of Abkar and Porté-Agel (2016), Vollmer et al. (2017), and Englberger and Dörnbrack (2018a).

Secondly, the wake deflection angle in the top and the bottom half of the rotor disc differs between the veer and no-veer case. The direction of the wake center line derives from the incoming wind veer, resulting in the opposite behaviour between the upper and the lower half of the rotor. Likewise, the upper half of the wake deflects to the south (Figs. 4(c), 6(a)) while the

10 lower half of the wake deflects to the north (Figs. 5(c), 6(a)). In contrast, the wake for NV_VR (Figs. 4(d), 5(d),, 6(b)) does not deflect at any altitude due to $v_{BL} = 0$.







Figure 5. Coloured contours of the streamwise velocity $\overline{u_{i,j,k_*}}$ in m s⁻¹ at z = 75 m with index k_* , averaged over the last 10 min, for the same cases as in Fig. 3. The black contours represent the velocity deficit VD_{i,j,k_*} at the same vertical location.

To investigate the effect of wind veer on commonly clockwise rotating wind turbines, we compare V_CCW to NV_CCW, in Figs. 3(a) vs. (b), 4(a) vs. (b), and 5(a) vs. (b). The veer causes a more rapid wake recovery in the top half of the rotor (Fig. 4(a) vs. Fig. 4(b)) as well as in the bottom half of the rotor (Fig. 5(a) vs. Fig. 5(b)). No significant effect of veer appears in wake recovery at hub height (Fig. 3(a) vs. Fig. 3(b)).

- 5 Further, inflow wind veer causes wake deflection in both the top half (Fig. 4(a) vs. Fig. 4(b)) and the bottom half (Fig. 5(a) vs. Fig. 5(b)) of the rotor disc. In the non-veered simulation NV_CCW, the wake is slightly deflected towards the north in the top-tip sector (Fig. 4(b)) and towards the south in the bottom-tip sector (Fig. 5(b)). Compared to the non-deflected wake in NV_NR (Figs. 4(d), 5(d)), this effect is caused by the rotation of the rotor, which transports higher momentum air counterclockwise, resulting in a northward wake deflection at 125 m. Consequently, the opposite situation prevails at 75 m. The wake in the veered
- 10 simulation V_CCW is deflected towards the south (north) in the upper (lower) rotor part (Fig. 4(a) (Fig. 5(a))), in comparison







Figure 6. Coloured contours of the streamwise velocity $\overline{u_{i,j,k_h}}$ in m s⁻¹ at a downward position of 5 D behind the rotor, averaged over the last 10 min, for V_NR in (a) and NV_NR in (b). The black contour represents the actuator.

to the northward (southward) deflected one in NV_CCW (Fig. 4(b) (Fig. 5(b))). The change in the deflection from northward to southward and vice versa results from the interaction of a clockwise blade rotation with the veered inflow conditions.

To further investigate the effect of wind veer on counterclockwise rotating wind turbines, we compare V_CW and NV_CW, in Figs. 3(e) vs. (f), 4(e) vs. (f), and 5(e) vs. (f). The veering wind causes a more rapid wake recovery in the top half of the rotor

- 5 (Fig. 4(e) vs. Fig. 4(f)) as well as in the bottom half of the rotor (Fig. 5(e) vs. Fig. 5(f)). In contrast to V_CCW vs. NV_CCW (Fig. 3(a) vs. Fig. 3(b)), the wake recovers much more rapidly in V_CW (Fig. 3(e)) in comparison to NV_CW (Fig. 3(f)), especially in the far wake. The veering wind further impacts the wake deflection angle. The wakes in the veered simulation V_CW as well as in the non-veered simulation NV_CW are deflected towards the south (north) in the upper (lower) rotor part with a larger wake deflection angle in V_CW (Fig. 4(e), (f) (Fig. 5(e), (f))). The same northward wake deflection in the upper
- 10 (Fig. 4(e), (f)) and the same southward wake deflection in the lower (Fig. 5(e), (f)) rotor part for V_CW and NV_CW result from the interaction of a counterclockwise blade rotation with veered and non-veered inflow conditions. It contrasts the changes in height in the wake deflection from northward to southward in V_CCW (Fig. 5(a) vs. Fig. 4(a)) and vice versa in NV_CCW (Fig. 5(b) vs. Fig. 4(b)). Further, the wake width in the far wake differs, especially at hub height (Fig. 3(e), (f)). As this is not the case in V_CCW vs. NV_CCW (Fig. 3(a) vs. (b)), it is also an effect of the interaction of counterclockwise rotating blades
- 15 with a veering wind. In summary, the differences between the veered and the corresponding non-veered simulations are much larger for a clockwise wake rotation (Fig. 3(e) vs. Fig. 3(f)) in comparison to a counterclockwise one (Fig. 3(a) vs. Fig. 3(b)).

As an intermediate step, we investigate the rotational effect under veered inflow conditions by comparing V_CCW with V_NR . The veered inflow condition in combination with clockwise rotating blades results in a more rapid flow recovery in





the near wake and a less rapid one in the far wake at hub height in V_CCW (Fig. 3(a)) in comparison to V_NR (Fig. 3(c)). Defining a wake deflection angle of zero for a non-deflected wake, the combination of veered inflow conditions and clockwise disc rotation reduces the wake deflection angle in the top-tip (Fig. 4(a)) and the bottom-tip sectors (Fig. 5(a)) in V_CCW in comparison to V_NR (Figs. 4(c), 5(c)). Both effects arise from a clockwise blade rotation.

To further investigate the impact of the rotational direction, we also compare V_CW to V_NR. Here, a more rapid wake recovery persists in the whole wake in V_CW at hub height (Fig. 3(e) vs. Fig. 3(c)). Further, counterclockwise rotating blades increase the wake deflection angle in the top-tip (Fig. 4(e)) and the bottom-tip sectors (Fig. 5(e)) in V_CW in comparison to V_NR (Figs. 4(c), 5(c)), and likewise especially in comparison to V_CCW (Figs. 4(a), 5(a)).

Therefore, the direct comparison of V_CW and V_CCW (Figs. 3(e) vs. (a), 4(e) vs. (a), and 5(e) vs. (a)) reveals that the
wake recovery, the wake deflection angle, and the wake width depend on the rotational direction of the wind turbine. In V_CW, the wake recovers more rapidly (Fig. 3(e) vs. (a)) and the wake deflection angle is much larger (Figs. 4(e) vs. (a), 5(e) vs. (a)) in comparison to V_CCW. Further, the wake width is larger spanwise in V_CW in comparison to V_CCW (Fig. 3(e) vs. (a)).

In comparison, in the non-veered simulations NV_CCW and NV_CW, the impact of the rotational direction on the wake recovery is very limited in the near wake and slightly increases in the far wake at hub height (Fig. 3(b) vs. (f)). The impact

- 15 on the wake deflection angle results from the rotational direction of the wake and is nearly axis-symmetric in the near wake (Figs. 4(b) vs. (f) and 5(b) vs. (f)). These differences in the non-veered simulations are in agreement with Vermeer et al. (2003), Shen et al. (2007), Sanderse (2009), Kumar et al. (2013), Hu et al. (2013), Yuan et al. (2014) and Mühle et al. (2017). However, compared to the rotational direction impact under veered inflow conditions, they can be considered of minor importance for a possible downwind turbine.
- 20 Therefore, the differences in the streamwise elongation of the wake, the spanwise wake width, and the wake deflection angle between V_CW and V_CCW are a superposition effect of wind veer and clockwise wake rotation, as they do not occur to the same extent for a counterclockwise wake rotation in combination with the same veered inflow conditions. Further, the differences do not occur at all under non-veered inflow conditions, independent of the rotational direction. The responsible mechanism behind this striking difference in the streamwise velocity profiles for the combination of veered inflow conditions
- 25 with counterclockwise rotating blades in comparison to clockwise rotating blades will be evaluated in more detail below. Variations in these wakes also occur in the cross-stream v and vertical wind w components. Figure 7 represents the flow fields of v and w at 3 D, 5 D and 7 D for the three veered cases. At 3 D, the flow field in the rotor region of V_NR (Fig. 7(b)) is only determined by the boundary-layer flow which is decelerated due to the interaction with the rotor. The flow field in the rotor region in V_CW (Fig. 7(c)) shows the same rotational direction as the boundary-layer flow. The interaction of both flow
- 30 fields results in an intensified rotation in the rotor region. V_CCW shows the opposite rotational direction of the flow field in the rotor region in comparison to the boundary-layer flow (Fig. 7(a)). The interaction weakens the rotor-induced rotation in the rotor region. The strength of the rotational direction in the rotor region is therefore weaker in V_CCW in comparison to V_CW (Fig. 7(a) vs. (c)). At 5 D, the rotational direction in V_CW is the same as at 3 D (Fig. 7(f) vs. (c)), with a comparable strength of the *v* and *w* flow fields and likewise of the rotational direction. In V_CCW, the rotation of the flow field induced
- 35 by the rotor disappears and the rotation of the boundary-layer flow now dominates (Fig. 7(d) vs. (a)). At 7 D, the flow rotation







Figure 7. Flow rotation of *v* and *w* at 3D (first row), 5D (second row), and 7D (third row) behind the disc for V_CCW (left column), V_NR (middle column), and V_CW (right column). The red dot marks the rotor centre and the blue contour the rotor region.

in the rotor region is the same in V_CCW and V_CW, with a much more pronounced rotation pattern in V_CW (Fig. 7(g) vs. (i)). In V_CCW, the wind turning of the Ekman spiral emerges in the rotor region at 7 D (Fig. 7(g)), an effect related to the overlapping of the veering wind in the boundary-layer flow with an opposite directed flow rotation induced by the rotor.

In contrast, the non-veered cases are represented in Fig. 8, also for the flow fields of v and w and at 3 D, 5 D and 7 D. At 3 D, 5 the flow field in the rotor region of NV_NR (Fig. 8(b)) is again only determined by the boundary-layer flow, with $v_{BL}(z) = 0$ and $w_{BL}(z) = 0$, and the deceleration due to the interaction with the rotor. The arising pattern is related to the flow deceleration of the non-rotating disc. NV_CCW (Fig. 8(a)) and NV_CW (Fig. 8(c)) are axis-symmetric to each other. Here the strength of the rotational direction is the same in both simulations, as it is only determined by the tangential force imposed by the wind-turbine parametrization \mathbf{F}_{Θ} in Eq. (1). The opposite rotational direction is related to the opposite sign of β_v and β_w . The

10 axis-symmetric structure persists up to 7 D downstream (Fig. 8(d), (g) vs. (f), (i)).







Figure 8. Flow rotation of v and w at 3D (first row), 5D (second row), and 7D (third row) behind the disc for NV_CCW (left column), NV_NR (middle column), and NV_CW (right column). The red dot marks the rotor center and the blue contour the rotor region.

4 Simplified Wake Model

The streamwise recovery of the wake, the spanwise wake width, and the wake deflection angle differ between V_CCW and V_CW, while these differences do not emerge in the non-veered cases NV_CCW and NV_CW. The flow fields of v and w differ between V_CCW and V_CW, whereas v and w are axissymmetric in NV_CCW and NV_CW. The different behaviour of V_CW in comparison to V_CCW arises from the interaction of the Ekman spiral in the boundary-layer flow with the clockwise flow rotation induced by the counterclockwise rotating rotor.

4.1 Model Development

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To demonstrate this interaction and the difference between V_CW and V_CCW, we introduce a simplified wake model, which is a linear superposition of the atmospheric boundary-layer flow u_{BL} , v_{BL} , and w_{BL} (Equations (4), (5), and (7)) with a wind-





turbine model. The wind-turbine model includes the axial turbine-induced force \mathbf{F}_x , as well as the tangential turbine-induced force \mathbf{F}_{Θ} , which correspond to a Rankine Vortex (Lamb, 1993)

$$u_{WT} = 0.3 \cdot u_{BL} \tag{9}$$

$$v_{WT} = v_{RV} = \pm \omega r \frac{r}{R} \cos(\Theta) \tag{10}$$

5
$$w_{WT} = w_{RV} = \mp \omega r \frac{r}{R} sin(\Theta),$$
 (11)

with ω representing the angular velocity of the flow induced by the angular velocity of the wind turbine Ω , r, and Θ correspond to the position in the disc region and R to the rotor radius. Equation (9) is motivated by Fig. 3. Here, the flow is not completely decelerated directly behind the disc, u(rotor) > 0, as in EULAG the rotor of a wind turbine is not implemented as a real circular obstacle (e.g. grid-point blocking as in Heimann et al. (2011)). Instead, at every grid point covered by the rotor, the

10 streamwise velocity field experiences the turbine-induced force \mathbf{F}_x according to Eq. 1. This implementation is inspired by the immersed boundary method (Smolarkiewicz and Winter, 2010). Considering this, the wind-turbine model includes a certain fraction of u_{BL} in u_{WT} . We apply a fraction of 0.3, which can be related to $VD_{max} = 0.7$ of the rotating disc simulations in Fig. 3.

From a linear superposition results for the developed simplified wake model:

$$15 \quad u_M = u_{BL} + u_{WT} \tag{12}$$

$$v_M = v_{BL} \pm v_{WT} \tag{13}$$

$$w_M = \pm w_{WT} \tag{14}$$

and inserting Equations (4), (5), and (7) and Equations (10) and (11), a wake model arises, which depends on the radial position of the wake r.

$$20 \quad u_M(r) = 1.3 \cdot u_g \cdot \left(1 - exp\left(-\frac{z\sqrt{f/\kappa}}{\sqrt{2}} \right) \right) \tag{15}$$

$$v_M(r) = tan\left(\left(270^\circ - \left(262^\circ + 8^\circ \frac{z}{100m}\right)\right) \frac{\pi}{180^\circ}\right) \cdot u_g \cdot \left(1 - exp\left(-\frac{z\sqrt{f/\kappa}}{\sqrt{2}}\right)\right) \pm \left(\pm\omega r \frac{r}{R}cos(\Theta)\right)$$
(16)

$$w_M(r) = \pm (\mp \omega r \frac{r}{B} \sin(\Theta)) \tag{17}$$

Two effects need to be considered in the model:

- 1. The position of the complete wake recovery \mathbf{x}_{rec} with $\mathbf{u}(x_{rec}) = \mathbf{u}_{BL}$ in relation to each downstream position \mathbf{x}_{pos} ($\mathbf{x}_{rec} > 20 \text{ D}$ according to Figures 3, 4, and 5).
- 25
- 2. The downstream erosion of v_{WT} and w_{WT} with x_{fad} corresponding to a prescribed decay distance at which the atmospheric boundary-layer flow determines the rotational direction of the wake (3 D < x_{fad} < 5 D according to Figure 7).





In Equations (18), (19), and (20), we prescribe a simplified wake model, which depends on the radial position of the wake r and also on the downwind position x_{pos} :

$$u_M(r, x_{pos}) = 1.3 \cdot u_g \cdot \left(1 - exp\left(-\frac{z\sqrt{f/\kappa}}{\sqrt{2}}\right)\right) \cdot \left(\frac{x_{pos}}{x_{rec}}\right)^{\gamma}$$
(18)

$$v_M(r, x_{pos}) = \left(v_{BL} \pm \left(\pm \omega r \frac{r}{R} cos(\Theta) \right) \left(\frac{1}{exp \frac{x_{pos}}{x_{fad}}} \right)^{\delta} \right) \left(\frac{x_{pos}}{x_{rec}} \right)^{\gamma}$$
(19)

5
$$w_M(r, x_{pos}) = \pm (\mp \omega r \frac{r}{R} sin(\Theta)) \cdot \left(\frac{1}{exp \frac{x_{pos}}{x_{fad}}}\right)^{\delta} \cdot \left(\frac{x_{pos}}{x_{rec}}\right)^{\gamma},$$
 (20)

with δ describing the entrainment of ambient air into the wake and γ the wake recovery.

4.2 Comparison with Simulation Results

This simplified model is able to capture the observed behaviour of the LESs. Figure 9 compares the results of the veered simulations V_CCW and V_CW to this simplified wake model (Equations (18) - (20)). The left panel shows the sector averaged

10 velocity values of u and v of the top-tip and the bottom-tip sectors. The velocity values of w correspond to the right and the left sectors, also for both rotational directions. In the simplified wake model, we apply the parameters z = 145 m and $\Theta = 0^{\circ}$ for the top-tip sector, and z = 55 m and $\Theta = 180^{\circ}$ for the bottom-tip sector as well as $\Theta = 90^{\circ}$ for the right sector and $\Theta = 270^{\circ}$ for the left sector. Further, $u_g = 10$ m s⁻¹, $\omega = 7$ rpm, $x_{rec} = 25$ D, $x_{fad} = 4$ D, $\gamma = 0.4$, and $\delta = 2.5$.

The main characteristics are very well represented by the simplified wake model, especially for the v and w components.
15 It can reproduce the change of the flow rotation in the wake in CCW and the correct signs of v and w for both rotational directions.

In more detail, the simulation results in Fig. 9(a) show a larger zonal velocity in the top-tip sector, which is directly related to $u_{BL}(tt) > u_{BL}(bt)$ in Eq. 4. This effect is represented by the simplified wake model in Fig. 9(b). Further, the \overline{u} -values are larger in V_CW in comparison to V_CCW. This results from the interaction of u with v and w. The simplified wake model did

20 not consider this interaction, leading to an overlap of both top-tip and bottom-tip sectors in Fig. 9(b). Further, in the simplified wake model, the zonal velocity values are smaller in comparison to the simulation results. In addition to a different choice of the prefactor of 0.3 in u_{WT} , this magnitude can also be modified by the wake recovery parameter γ , where a smaller value results in larger zonal velocity values.

The simulation behaviour of the meridional velocity \overline{v} in Fig. 9(c) differs depending on the rotational direction of the wake 25 (CCW or CW). Firstly, the opposite sign of \overline{v} -values prevails in both top-tip (bottom-tip) sectors in the near wake (as it is the case in the non-veered simulations (not shown here)). Secondly, an additional change of the sign in \overline{v} occurs only in CCW between 3 D and 4 D, a downstream position which is similar to x_{fad} in Fig. 7. The sign does not dominate if wind veer interacts with a clockwise rotating wake (CW). This interaction in the CCW-case can be explained with the simplified wake model by a linear superposition of v_{BL} with v_{WT} . The downstream position of the change of the sign in v in the simplified

30 wake model for the counterclockwise case can be modified with the entrainment parameter δ , whereas a larger δ -value results





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Figure 9. Sector averages of u in (a), v in (c), and w in (e) for the veered cases V_CCW and V_CW. u and v show the top-tip and bottom-tip sectors, w the right (solid line) and left (dashed line) sectors. The corresponding model components are shown in (b) for u, (d) for v, and (f) for w.

in a smaller downstream distance of the crossover as the impact of the boundary-layer flow on the wake sets in closer to the rotor. Further, the magnitude of $v_M(r, x_{pos})$ agrees with the simulation results. This agreement is the reason why we did not choose a smaller γ -value to increase $u_M(r, x_{pos})$, as it would likewise increase the magnitude of $v_M(r, x_{pos})$. Thirdly, the magnitude of the \overline{v} -values is much smaller in the near wake in CCW in comparison to CW. In the far wake at x > 7 D, the magnitude of \overline{v} is the same in both cases CCW and CW, as the rotational direction impact is marginal and the flow field is only determined by the Ekman spiral. The smaller \overline{v} -values in CCW result from the overlapping of a counterclockwise wake rotation with the Ekman spiral in the rotor region (Fig. 7(a)), whereas the overlapping of a clockwise rotating wake with the same Ekman spiral increases the \overline{v} -values in the near wake rotor region (Fig. 7(c)), both in comparison to x > 7 D.





The simulation behaviour of the vertical component \overline{w} in the right and left sectors in Fig.9(e) is determined by the downward transport of higher momentum air from above or the upward transport of lower momentum air from below. In V_CCW (V_CW), higher momentum air is transported downwards from the top-tip sector into the right (left) sector, resulting in negative vertical velocity values in the right (left) sector. In CCW, the crossing of zero between 4 D and 5 D corresponds to the difference

5 between 3 D and 5 D in the left and right sectors and to x_{fad} (Fig. 7). By changing the rotational direction, the positive and negative components change in both sectors. The crossing of zero occurs further downstream in CW due to the intensification of the boundary-layer flow and the rotational direction induced on the flow by the rotor. The general behaviour of positive and negative values can be represented with the simplified wake model in Fig. 9(f). As the only difference in the vertical component of the simplified wake model is the sign of w_{WT} , the curves for both rotational directions overlap. However, the crossing of 200 zero is not represented, as this effect is not only a linear superposition effect.

Further, the main patterns of the simplified wake model for the non-veered case also agree with the simulation results of NV_CCW and NV_CW. The results are not shown in the scope of this work, however, they are straightforward with the only difference of $v_{BL} = 0$ in Eq. (19).

5 Impact of Rotational Direction on the Flow in the Wake

- 15 The simplified wake model was introduced to explain the interaction between the incoming veering wind and opposite rotational directions by simple physics. The difference between the flow structure in the wake of simulation pairs V_CCW and V_CW and likewise the absence of this difference in NV_CCW and NV_CW could be explained by a simple linear superposition of v_{BL} with v_{WT} . The superposition represents the linear interaction of the rotor-induced vorticity with the vertical shear of the stably stratified ABL.
- 20 The resulting flow structures in the wakes are sketched in Fig. 1. In the cases without wind veer but with blade rotation, NV_CCW and NV_CW (Fig. 1(b), (f)), the rotational direction of the flow impressed by the corresponding blade rotation persists through the whole wake with decreasing strength as the wake evolves downwind. In the case of no blade rotation and no wind veer (NV_NR, Fig. 1(d)), no rotation of the flow occurs in the wake.
- If a stably stratified ABL with a veering wind, described by an Ekman spiral characteristic of the Northern Hemisphere, interacts with clockwise rotating blades (V_CCW), the rotational direction of the flow in the near wake is opposite from the far wake (Fig. 1(a)). In the near wake, the counterclockwise rotating flow results from clockwise rotating blades, whereas the strength of flow rotation mainly depends on ω (Eqs. (10) and (11)). This impact on the wake decreases with further distance downwind. In the far wake, wake rotation becomes smaller than the rotational direction component imposed on the flow by the veering wind. At that point, the rotational direction of the wake is determined by the ABL flow and its veering wind as it
- 30 is the case in the whole wake if a stably stratified ABL with wind veer interacts with a non-rotating actuator. The change of the rotational direction of the flow in the wake in V_CCW (Fig. 1(a)) explains the different behaviour of flow recovery in the near wake and the far wake between V_CCW (Fig. 3(a)) and V_NR (Fig. 3(c)) and is also responsible for the smaller wake deflection angle in V_CCW in comparison to V_NR (Fig. 4(a) vs. (c) and Fig. 5(a) vs. (c)).





Considering the same stably stratified ABL with a veering wind, where the same Ekman spiral interacts with counterclockwise rotating blades (V_CW), the flow in the near wake consequently rotates in a clockwise direction (Fig. 1(e)). This rotational direction of the flow persists in the whole wake, as the rotational direction imposed by the ABL also results in a clockwise flow rotation in the far wake, where it becomes larger than the rotational direction component imposed on the flow by blade

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rotation. The resulting different rotational directions of the flow in the near wake in V CW and V CCW (Fig. 1(e) vs. (a)) explain the differences in the flow recovery (Fig. 3(e) vs. (a)), in the spanwise wake width (Fig. 3(e) vs. (a)), and in the wake deflection angle (Fig. 4(e) vs. (a) and Fig. 5(e) vs. (a)).

Consequently, the rotational direction of the flow in the near wake is determined by the rotational direction of the wind turbine, whereas the rotational direction of the flow in the far wake is determined by the ambient wind veer, often dictated by

- the direction of the Ekman spiral and thus by the sign of the Coriolis force and the hemisphere. If the rotational directions of 10 the flow in the near wake and the boundary-layer flow intensify each other, the same rotational direction persists in the whole wake, as it is the case for a counterclockwise rotating wind turbine (V CW). Otherwise, the rotational direction of the flow will change in the wake as for a clockwise rotating wind turbine under veered inflow conditions at night in the Northern Hemisphere (V_CCW). The position of the change of rotational direction, x_{fad} , depends on the strength of rotational direction imposed by
- 15 the blades (Ω) and likewise on the strength of the veering wind, with a smaller rotational speed or a larger wind veer resulting in a smaller x_{fad} .

Conclusions 6

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To investigate the interaction of a veering wind with the rotational direction of a wind turbine in the wake in a stably stratified ABL, we carry out a series of six LESs varying the incoming wind condition and both the magnitude and direction of the wind

turbine rotation. The flow structures of the wake in the LESs are controlled by the rotational direction of the wind-turbine wake 20 imposed by the blades (clockwise (CW) vs. counterclockwise (CCW) vs. no rotation (NR)) and the inflow wind profiles (wind veer (V) vs. no wind veer (NV)).

The rotational direction of a wind turbine rotor in a stably stratified ABL without wind veer only exerts a minor influence on the wake behaviour. This minor impact is consistent with previous investigations by Vermeer et al. (2003), Shen et al. (2007), Sanderse (2009), Kumar et al. (2013), Hu et al. (2013), Yuan et al. (2014), and Mühle et al. (2017).

In the presence of wind veer, the rotational direction of a wind turbine, however, clearly impacts the streamwise elongation of the wake, the spanwise wake width, and the wake deflection angle. Wind veer occurs in a stably stratified ABL as long as the flow is not channelled. Veer reflects the change of Coriolis force and friction with height in the absence of convective buoyancy, and therefore happens on many nights both onshore (Walter et al., 2009; Rhodes and Lundquist, 2013) and offshore

30 (Bodini et al., 2019). According to two years of meteorological tower measurements in Lubbock (Texas) (Walter et al., 2009), a stably stratified ABL occurs in 52% of the measurements. A wind veer of $0.08 \circ m^{-1}$, as applied in this paper, corresponds to a frequency of occurrence of $\approx 50\%$ of the measured veering wind situations. Therefore, considering a wind turbine operating





at Lubbock (Texas) would spend half of its nighttime experience under veering wind conditions similar to this study. Given the significant impact, the choice of rotational direction of wind-turbine blades in the Northern Hemisphere becomes critical.

The impact of the rotational direction of a wind-turbine rotor on the wake characteristics can be explained by the linear superposition of rotor-induced vorticity with the veering wind. A simplified wake model of a wind turbine including a Rankine

- 5 Vortex and the vertically sheared and veered boundary-layer flow can explain major features of this interaction. If the main parameters (wind speed, amount of veer over the rotor, rotational frequency of the wind turbine), necessary for the simplified wake model, are known for a specific wind-turbine site, this simple model could give instantaneously a first impression about the flow fields of v and w in the wake. It could further represent the effect of a co-rotating wind turbine on its wake and consequently on the flow field encountered by a downwind turbine. As the operating characteristics of upwind turbines, like their yaw with incoming flow, are already being adjusted to mitigate downwind impacts of wakes (Fleming et al., 2019), this
- work suggests that considering the direction of rotation could have benefits as well.

These simulations represent a canonical case, and so real-world conditions may modify results. The veering wind simulated here is characteristic of a cloud free, nocturnal boundary-layer flow in the Northern Hemisphere. As the Coriolis force and the resulting Ekman spiral reverse direction in the Southern Hemisphere, the same effect should be prevalent in the Southern

- 15 Hemisphere, however, with a change of wake rotation at x_{fad} for counterclockwise rotating blades instead of clockwise rotating ones as it is the case in the Northern Hemisphere. Further, canonical Ekman spirals do not occur in every stably stratified ABL: conditions like cold air advection lead to a backing wind (Holton, 1973; Wallace and Hobbs, 2006), which is a wind that rotates in the counterclockwise direction with increasing height in the Northern Hemisphere. A backing wind on the Northern Hemisphere interacting with both rotational directions will result in a different impact on the wake in comparison
- 20 to a veering wind on the Northern Hemisphere, and should be comparable to the Southern Hemispheric situation described above. Further, the evolution of the boundary layer through the evening transition will lead to veer profiles other than the linear profile shown here (Rhodes and Lundquist, 2013; Lee and Lundquist, 2017; Englberger and Dörnbrack, 2018a). But given the widespread occurrence of veer as noted by observational campaigns (Walter et al., 2009; Bodini et al., 2019; Sanchez Gomez and Lundquist, 2019b), as well as the interest in modifying wakes via active control of upwind turbines (Fleming et al., 2019),
- the work presented here can motivate further consideration of how inflow veer interacts with wind-turbine operations to affect downwind turbines.

Author contributions. All authors designed the idea. A. Englberger performed the simulations and prepared the manuscript with contributions from both co-authors.

Competing interests. The authors declare that they have no conflict of interest.





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