

Domain-Adversarial Neural Networks

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- 1 Domain Adaptation Setting
- 2 Domain Divergence
- 3 Neural Network for Domain Adaptation
- 4 Empirical Results

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Our Domain Adaptation Setting

Binary classification tasks

- Input space: \mathbb{R}^d
- Labels: $\{0, 1\}$

Two different data distributions

- Source domain: \mathcal{D}_S
- Target domain: \mathcal{D}_T

A **domain adaptation** learning algorithm is provided with

a **labeled source sample**
 $S = \{(\mathbf{x}_i^s, y_i^s)\}_{i=1}^m \sim (\mathcal{D}_S)^m,$

an **unlabeled target sample**
 $T = \{\mathbf{x}_i^t\}_{i=1}^m \sim (\mathcal{D}_T)^m.$

The goal is to build a classifier $\eta : \mathbb{R}^d \rightarrow \{0, 1\}$ with a low **target risk**

$$R_{\mathcal{D}_T}(\eta) \stackrel{\text{def}}{=} \Pr_{(\mathbf{x}^t, y^t) \sim \mathcal{D}_T} [\eta(\mathbf{x}^t) \neq y^t].$$

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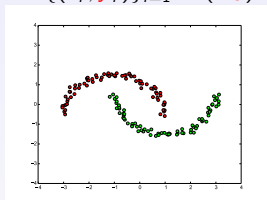
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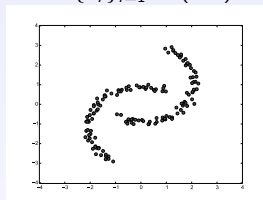
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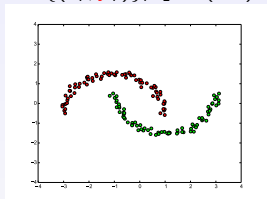
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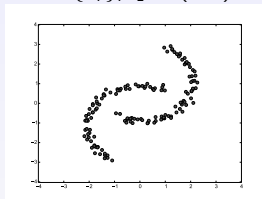
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Divergence between source and target domains

Definition (Ben David et al., 2006)

Given two domain distributions \mathcal{D}_S and \mathcal{D}_T , and a **hypothesis class** \mathcal{H} , the **\mathcal{H} -divergence** between \mathcal{D}_S and \mathcal{D}_T is

$$\begin{aligned}d_{\mathcal{H}}(\mathcal{D}_S, \mathcal{D}_T) &\stackrel{\text{def}}{=} 2 \sup_{\eta \in \mathcal{H}} \left| \Pr_{\mathbf{x}^s \sim \mathcal{D}_S} [\eta(\mathbf{x}^s) = 1] - \Pr_{\mathbf{x}^t \sim \mathcal{D}_T} [\eta(\mathbf{x}^t) = 1] \right|. \\ &= 2 \sup_{\eta \in \mathcal{H}} \left| \Pr_{\mathbf{x}^s \sim \mathcal{D}_S} [\eta(\mathbf{x}^s) = 1] + \Pr_{\mathbf{x}^t \sim \mathcal{D}_T} [\eta(\mathbf{x}^t) = 0] - 1 \right|.\end{aligned}$$

The **\mathcal{H} -divergence** measures the ability of an hypothesis class \mathcal{H} to **discriminate** between source \mathcal{D}_S and target \mathcal{D}_T distributions.

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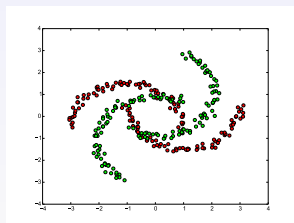
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Bound on the target risk

Theorem (Ben David et al., 2006)

Let \mathcal{H} be a hypothesis class of VC-dimension d . With probability $1 - \delta$ over the choice of samples $S \sim (\mathcal{D}_S)^m$ and $T \sim (\mathcal{D}_T)^m$, for every $\eta \in \mathcal{H}$:

$$R_{\mathcal{D}_T}(\eta) \leq R_S(\eta) + \frac{4}{m} \sqrt{d \log \frac{2em}{d} + \log \frac{4}{\delta}} + \hat{d}_{\mathcal{H}}(S, T) + \frac{4}{m^2} \sqrt{d \log \frac{2m}{d} + \log \frac{4}{\delta}} + \beta$$

with $\beta \geq \inf_{\eta^* \in \mathcal{H}} [R_{\mathcal{D}_S}(\eta^*) + R_{\mathcal{D}_T}(\eta^*)]$.

Empirical risk on the **source sample**:

$$R_S(\eta) \stackrel{\text{def}}{=} \frac{1}{m} \sum_{i=1}^m I[\eta(\mathbf{x}_i^S) \neq y_i^S].$$

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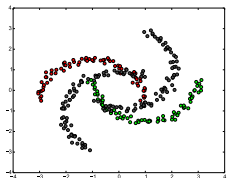
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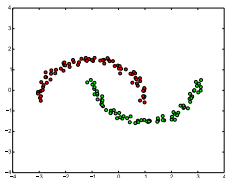
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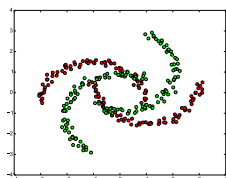
Target risk $R_{\mathcal{D}_T}(\eta)$ is low
if, given S and T ,



$R_S(\eta)$ is small,
i.e., $\eta \in \mathcal{H}$ is good on



and $\hat{d}_{\mathcal{H}}(S, T)$ is small,
i.e., all $\eta' \in \mathcal{H}$ are bad on



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Standard Neural Network

Let consider a neural network architecture with one hidden layer

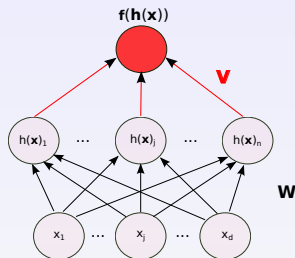
$$\mathbf{h}(\mathbf{x}) = \text{sigm}(\mathbf{b} + \mathbf{W}\mathbf{x}), \quad \text{and} \quad \mathbf{f}(\mathbf{x}) = \text{softmax}(\mathbf{c} + \mathbf{V}\mathbf{h}(\mathbf{x})).$$

Given a training **source sample**

$$S = \{(\mathbf{x}_i^s, y_i^s)\}_{i=1}^m \sim (\mathcal{D}_S)^m,$$

We optimize by back-propagation:

$$\min_{\mathbf{W}, \mathbf{V}, \mathbf{b}, \mathbf{c}} \underbrace{\left[\frac{1}{m} \sum_{i=1}^m -\log \left(1 - y_i^s - \mathbf{f}(\mathbf{h}(\mathbf{x}_i^s)) \right) \right]}_{\text{source loss}}.$$



The hidden layer learns a **representation** $\mathbf{h}(\cdot)$ from which linear hypothesis $\mathbf{f}(\cdot)$ can **classify source examples**.

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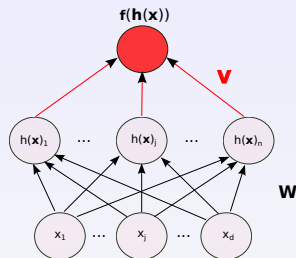
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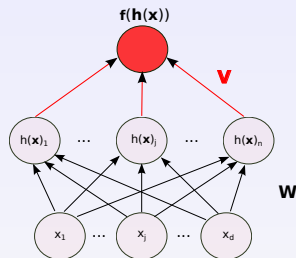
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Domain-Adversarial Neural Network (DANN)

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We estimate the \mathcal{H} -divergence by a logistic regressor that model the probability that a given input (either \mathbf{x}^s or \mathbf{x}^t) is from the source domain:

$$o(\mathbf{h}(\mathbf{x})) \stackrel{\text{def}}{=} \text{sigm}(d + \mathbf{w}^T \mathbf{h}(\mathbf{x})).$$

Given a representation outputted by the hidden layer $\mathbf{h}(\cdot)$:

$$\hat{d}_{\mathcal{H}}(\mathbf{h}(S), \mathbf{h}(T)) \approx 2 \max_{\mathbf{w}, d} \left[\frac{1}{m} \sum_{i=1}^m \log(o(\mathbf{h}(\mathbf{x}_i^s))) + \frac{1}{m} \sum_{i=1}^m \log(1 - o(\mathbf{h}(\mathbf{x}_i^t))) - 1 \right].$$

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where $\lambda > 0$ weights the domain adaptation regularization term.

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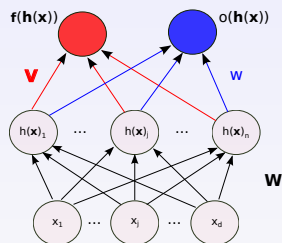
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DANN finds a representation $\mathbf{h}(\cdot)$:

- Good on S ; but
- **unable to discriminate** between S and T .



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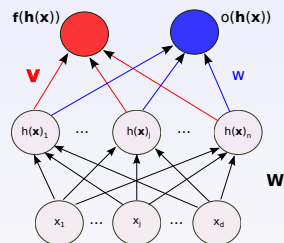
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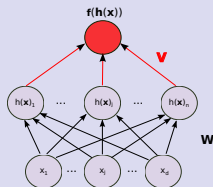
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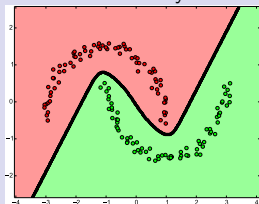
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Toy Dataset

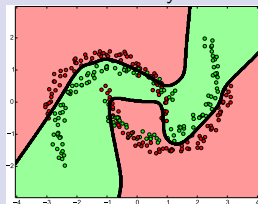
Standard Neural Network (NN)



Trained to classify source



Trained to classify domains

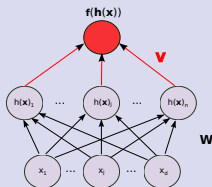


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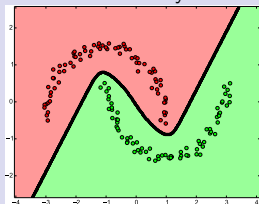
Classification output: $f(h(x))$

Domain output: $o(h(x))$

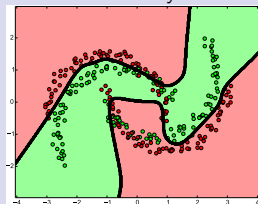
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Domain-Adversarial Neural Networks (DANN)

Amazon Reviews

Input: product review (bag or words) — **Output:** positive or negative rating.

Dataset	DANN	NN	SVM
books → dvd	0.201	0.199	0.206
books → electronics	0.246	0.251	0.256
books → kitchen	0.230	0.235	0.229
dvd → books	0.247	0.261	0.269
dvd → electronics	0.247	0.256	0.249
dvd → kitchen	0.227	0.227	0.233
electronics → books	0.280	0.281	0.290
electronics → dvd	0.273	0.277	0.278
electronics → kitchen	0.148	0.149	0.163
kitchen → books	0.283	0.288	0.325
kitchen → dvd	0.261	0.261	0.274
kitchen → electronics	0.161	0.161	0.158

Note: We use a *small labeled subset* of 100 target examples to select the hyperparameters.

Question

Does DANN can be combined with other representation learning techniques for domain adaptation?

With **mSDA**, Chen et al. (2012) obtained *state-of-the-art* results on Amazon Reviews.

- 1) Find a new common representation for **source** and **target** (unsupervised)
- 2) Learn a linear SVM on the new **source representations**.

Let replace **Step 2**:

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- 2) Learn a linear SVM on the new **source representations**.

Let replace **Step 2**:

- 1) Find a new common representation for **source** and **target** (unsupervised)
- 2) Learn with DANN on **source representations** and **target representations**.

Amazon Reviews

Input: product review (bag or words) — **Output:** positive or negative rating.

Dataset (mSDA representations)	DANN	NN	SVM
books → dvd	0.176	0.171	0.175
books → electronics	0.197	0.228	0.244
books → kitchen	0.169	0.166	0.172
dvd → books	0.176	0.173	0.176
dvd → electronics	0.181	0.234	0.220
dvd → kitchen	0.151	0.153	0.178
electronics → books	0.237	0.241	0.229
electronics → dvd	0.216	0.228	0.261
electronics → kitchen	0.118	0.126	0.137
kitchen → books	0.222	0.226	0.234
kitchen → dvd	0.208	0.214	0.209
kitchen → electronics	0.141	0.136	0.138

Note: We use a *small labeled subset* of 100 target examples to select the hyperparameters.
The *noise parameter* of mSDA representations is fixed to 50%.

Several paths to explore:

- Deeper neural networks architectures.
- Multiclass / Multilabels problems.
- Multisource domain adaptation.

Thank you!

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