

## Doppler vortography: a color Doppler approach for quantification of the intraventricular blood flow vortices

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### Abstract

We propose a new approach for quantification of intracardiac vorticity – Doppler vortography – based on conventional color Doppler images. Doppler vortography relies on the centrosymmetric properties of the vortices. Such properties induce particular symmetries in the Doppler flow data which can be exploited to describe the vortices quantitatively. For this purpose, a kernel filter was developed to derive a parameter –the blood vortex signature (BVS) – that allows detecting the main intracardiac vortices and estimating their core vorticities. The reliability of Doppler vortography was assessed in mock Doppler fields issued from simulations and *in vitro* data. Doppler vortography was also tested in patients and compared with vector flow mapping by echocardiography. Strong correlations were obtained between the Doppler vortography-derived and the ground-truth vorticities (*in silico*:  $r^2 = 0.98$ , *in vitro*:  $r^2 = 0.86$ , *in vivo*:  $r^2 = 0.89$ ). Our results demonstrated that Doppler vortography is a potentially promising echocardiographic tool for quantification of vortex flow in the left ventricle.

### Keywords

Doppler echocardiography; Intraventricular blood flow; Vortex imaging; Vorticity; Doppler vortography; Vector flow mapping

## Introduction

Within the left ventricle of a normal heart, diastolic filling is characterized by the formation of a swirling motion during early filling (Gharib et al. 2006; Kilner et al. 2000; Toger et al. 2012): a large diastolic vortex forms adjacent to the anterior mitral valve leaflet and rotates in the natural flow direction. In the normal heart, a large part of the left ventricular (LV) blood volume is actually involved in the vortex formation. Since flowing blood keeps moving at the end of diastole, flow transition to ejection is ensured, which makes systole tightly coupled with diastolic filling (Carlhall and Bolger 2010; Chan and Veinot 2011). Accordingly, recent *in vitro* and *in vivo* observations suggest that the normal vortex pattern might minimize the fluid energy dissipation and optimize the LV myocardial efficiency (Charonko et al. 2013; Domenichini et al. 2007; Kilner et al. 2000; Pedrizzetti and Domenichini 2005). Vortices that form during LV filling thus have specific geometries and locations, which could be determinant factors of the heart function (Hong et al. 2008; Nucifora et al. 2010). In patients with abnormal heart filling, there is an impairment of the wall/fluid dynamics that may disturb the flow patterns and thus affect the diastolic vortical structures (Nucifora et al. 2010). Reliable tools for imaging the intraventricular flow arrangements could be of major clinical interest for a better assessment of the LV diastolic function. Comprehensive intracardiac velocity mapping can be measured by phase-contrast magnetic resonance imaging (MRI). Acquisition of 3-D cine phase contrast velocity data can indeed provide time-resolved characterization of blood flow in the left ventricle (Eriksson et al. 2010; Markl et al. 2011; Mohiaddin 1995; Toger et al. 2012; Wigstrom et al. 1999). MRI, however, is difficult to implement in routine practice due to limited accessibility and cost. Besides MRI, several echocardiographic techniques have been proposed in the last two decades to make vortex imaging more easily available for clinical daily practice. So far, four principal echocardiographic techniques have been described:

1. The earliest echographic studies regarding diastolic flow patterns are those based on observations from 2-D color Doppler and color M-mode echocardiography (Delemarre et al. 1990; Rodevand et al. 1999; Van Dantzig et al. 1995). Normal and abnormal flows were defined qualitatively according to the diastolic arrangements of the Doppler spectrum waveforms or of the red/blue encoded Doppler velocities in the left ventricle. No quantitative measures of the vortices, however, were proposed.
2. The vortex formation time (VFT) has been recently proposed as an echocardiographic parameter to quantify the formation of LV vortices. The VFT is a non-dimensional index that characterizes the optimal conditions leading to vortex formation (Dabiri and Gharib 2005). It has also been claimed to be an index of cardiac function (Gharib et al. 2006). Recent clinical studies in acute cardiomyopathy have shown that VFT is reduced with impaired relaxation (Jiamsripong et al. 2009; Kheradvar et al. 2012; Nucifora et al. 2010; Poh et al. 2012). The VFT index, however, is simply a surrogate parameter that is calculated from standard echographic measures (stroke volume, mitral valve diameter, E and A waves). As a consequence, similarly to these standard parameters, it is expected that the VFT index may lack consistency in some

situations. Whether this index is of clinical interest still remains questionable (Stewart et al. 2012).

3. Echo-PIV (echo-particle image velocimetry) is an efficient echographic tool for intraventricular velocity mapping (Cimino et al. 2012; Hong et al. 2008; Prinz et al. 2012). This technique, applied on contrast enhanced echocardiographic images, is able to track ultrasound speckle displacements in order to estimate blood motion within the image plane (Gao et al. 2012; Kim et al. 2004). Recent studies were focused on LV vortex quantification by echo-PIV (Cimino et al. 2012; Faludi et al. 2010; Hong et al. 2008; Sengupta et al. 2012). This technique requires a continuous intravenous injection of contrast agent to reach an image quality suitable for motion tracking (Gao et al. 2012). This seriously limits the application of echo-PIV in daily clinical practice.
4. Cardiac Doppler vector flow mapping (VFM) is a technique based on 2-D color Doppler images and can be thus easily used for clinical applications. In the VFM approach, 2-D assumptions are used to develop an intracardiac vector distribution by deducing the velocity components perpendicular to the ultrasound beam within the entire Doppler field. Several techniques for intraventricular vector flow mapping have been recently proposed (Arigovindan et al. 2007; Garcia et al. 2010; Uejima et al. 2010). Preliminary studies showed promising results regarding the feasibility of LV vortex quantification in patients by VFM (Chen et al. 2012; Hendabadi et al. 2013; Lu et al. 2012; Zhang et al. 2012). Additional studies are still required to demonstrate the accuracy and clinical reproducibility of Doppler VFM.

The new technique that we propose – Doppler vortography – has been developed to specifically detect and quantify the intraventricular vortices that form during LV filling. Doppler vortography mainly targets particular local flow patterns present in the Doppler field using a fast detection algorithm. To detect and quantify the vortices, we propose an index called “blood vortex signature” (BVS) obtained using a specific covariance-based kernel filter. This approach is thoroughly described in the following section. It is shown that Doppler vortography can estimate the core vorticities accurately and that the results are concordant with those obtained by the vector flow mapping method.

## Materials and methods

We here derive a new echocardiographic methodology – Doppler vortography – for detecting and quantifying the large-scale vortices that form in the left ventricle during diastolic filling. A schematic example of vortex detection and quantification by Doppler vortography is illustrated on Figure 1. As shown on this figure, for the particular case of a single large vortex, color Doppler exhibits an obvious antisymmetric imprint: negative mirror symmetry occurs with respect to the scanline crossing the vortex center. This antisymmetry can also be used to detect intraventricular vortices. The Doppler vortography modality for intraventricular vortex imaging, and the resulting blood vortex signature (BVS), are described further below. *In silico* and *in vitro* studies were performed to validate the proposed technique and analyze the effects of the transducer position and of the BVS filter

kernel size. Doppler vortography was finally compared with VFM in patients using the VFM technique described in (Garcia et al. 2010). Assuming that the vortices are nearly axisymmetric around their center, the vorticity – which describes the local rotational characteristics of the fluid – is estimated at the core of the detected vortices. In the following, since we focus on ultrasound cardiac imaging, the Doppler data are represented in a polar coordinate system  $(r, \theta)$  whose origin is related to the location of the phased-array transducer. In this configuration, the Doppler velocities are thus given by the radial components of the velocity field.

### Theoretical concept of Doppler vortography

A vortex is a particular flow arrangement that has a rapid swirling motion around its center. It is mainly characterized by its core vorticity, which somewhat reflects the “strength” of the spinning flow. As an ultrasound probe scans across a vortical flow whose scale is significantly larger than the ultrasound beam width, the Doppler field is characterized by a specific configuration that can be exploited to describe the vortex quantitatively: due to the centrosymmetric nature of a vortex, scanning such a flow pattern mainly yields a scanline of zeroes surrounding by two maxima of opposite signs (Fig. 1). Doppler vortography simply relies on this antisymmetric property. Because of the nearly rotational symmetry of a vortex, it can also be noticed that flipping left to right a small kernel centered on the vortical core mostly modifies its sign only (Fig. 1). Mathematically speaking, if  $(r_c, \theta_c)$  denotes the polar coordinates of the vortex center, the Doppler velocities  $V_D$  about  $(r_c, \theta_c)$  nearly follow an odd function with respect to the angular component:

$$V_D(r, \theta - \theta_c) \approx -V_D(r, -(\theta - \theta_c)) \text{ if } r \approx r_c \text{ and } \theta \approx \theta_c. \quad (1)$$

To detect the locations  $(r_c, \theta_c)$  of the vortex cores, one can seek the regions where the Doppler velocity follows equation (1). For this purpose, we developed a simple kernel filter that returns a parameter (blood vortex signature, *BVS*) which reaches an extremum at a vortex core. We recall that we work on a regular polar grid  $(r_i, \theta_j)_{i=1 \dots M, j=1 \dots N}$ , where  $(M \times N)$  is the size of the raw Doppler field (Fig. 2). Let  $\mathbf{w}_D^{ij}$  represent a block of size  $(2m + 1) \times (2n + 1)$  centered on  $(r_i, \theta_j)$  and given by:

$$\mathbf{w}_D^{ij} = [V_D(r_k, \theta_l)]_{k=i-m \dots i+m, l=j-n \dots j+n} \quad (2)$$

The *BVS* parameter is now defined as (Fig. 2):

$$BVS_{ij} = BVS(r_i, \theta_j) = R \left( \text{cov} \left( \mathbf{w}_D^{ij}, -\text{fliplr}(\mathbf{w}_D^{ij}) \right) \right) \times S_{ij}, \quad (3)$$

where *R* and *cov* stand for the ramp and covariance functions, respectively. The operator “fliplr” flips the matrix  $\mathbf{w}_D^{ij}$  left to right i.e. in the angular direction. According to (1),  $\mathbf{w}_D^{ij} \approx -\text{fliplr}(\mathbf{w}_D^{ij})$  if the window  $\mathbf{w}_D^{ij}$  is centered on a vortex core; the covariance operator allows one to detect where this equality occurs. The ramp function *R* is used in (3) because

the negative covariance values are of no interest in this study. The rightmost term  $S_{ij}$  in (3) is a scalar (= 1 or -1) which reflects the direction of the vortex (clockwise: 1, counterclockwise: -1). It is determined using the following:

$$S_{ij} = \text{sgn} \left( \sum_{k,l} (w_D^{ij}(k, l-1) - w_D^{ij}(k, l)) \right). \quad (4)$$

where  $\text{sgn}$  is the signum function. Due to the covariance measure in (3), the blood vortex signature (*BVS*) yielded by Doppler vortography has high amplitude at the vicinity of a vortex and reaches a local extremum at its center (see an example in Fig. 3 based on a vortex pair). As such, the *BVS* parameter can help to detect the vortical structures that form in the left ventricle during early filling. It could be also of clinical interest to quantify them. A vortex is mainly described by its core vorticity. The vorticity  $\omega$  in polar coordinates  $(r, \theta)$  is given by the curl of the vector field:

$$\omega = \frac{1}{r} \left( \frac{\partial}{\partial r} (r V_\theta) - \frac{\partial V_r}{\partial \theta} \right), \quad (5)$$

where  $V_r$  and  $V_\theta$  are the radial and angular velocity components, respectively. The Doppler velocities ( $V_D$ ) are related to the radial velocities as follows:  $V_r = -V_D$ . Assuming now that the vortex is axisymmetric at the vicinity of its core, one has, at the center of the vortex located at  $(r_c, \theta_c)$ :

$$\frac{\partial}{\partial r} (r V_\theta) = \frac{-\partial V_r}{\partial \theta}, \quad \text{if } (r, \theta) = (r_c, \theta_c) \quad (6)$$

Using (5) and (6), the core vorticity  $\omega_c$  can finally be written as a function of the Doppler velocities:

$$\omega_c = \left( \frac{-2}{r} \frac{\partial V_r}{\partial \theta} \right) \Big|_{r_c, \theta_c} = \frac{2}{r_c} \frac{\partial V_D}{\partial \theta} \Big|_{r_c, \theta_c}. \quad (7)$$

To sum up, Doppler vortography works as follows: 1) The “blood vortex signature” (*BVS*) is measured during diastole from the color-Doppler data using Eq. (3). 2) The main vortices are detected by seeking the extrema of *BVS*. 3) Their core vorticities are estimated using Eq. (7).

### Numerical simulations

In order to test the accuracy of Doppler vortography under ideal conditions, numerical ultrasound simulations were first performed with a Lamb-Oseen vortex. The Lamb-Oseen vortex is a commonly used vortex model in fluid dynamics. The circumferential velocity component  $V_\phi$  of this vortex is given by:

$$V_{\Phi}(\rho) = V_{\Phi_{\text{peak}}} \left(1 + \frac{0.5}{\alpha}\right) \frac{\rho_c}{\rho} \left(1 - e^{-\alpha \frac{\rho^2}{\rho_c^2}}\right), \quad (8)$$

where  $\rho$  is the distance from the vortex center,  $\alpha \approx 1.2564$ ,  $V_{\Phi_{\text{peak}}}$  is the peak circumferential velocity, and  $\rho_c$  is the core radius. From equation (5), one can deduce the core vorticity (at  $\rho = 0$ ) for a Lamb-Oseen vortex:

$$\omega_c = \frac{2\alpha + 1}{\rho_c} V_{\Phi_{\text{peak}}}. \quad (9)$$

The ultrasound simulations were performed using the freeware Field II developed by Jensen and Svendsen (Jensen 1996; Jensen and Svendsen 1992). A 64-elements phased-array probe with a 0.3 mm pitch was simulated. A total of 40,000 randomly positioned coplanar scatterers – ensuring fully developed speckles – were insonified at 2.5 MHz with pulses containing 8 cycles. The region of interest was 4 cm-wide and ranged from 4 to 8 cm depth respective to the probe. The Lamb-Oseen vortices were all centered within this region of interest. For a given vortex configuration, RF images containing 64 scanlines and covering a 40° wide sector were created at a PRF (pulse repetition frequency) of 7 kHz. The consecutive RF images were generated after the scatterers had been displaced according to the Lamb-Oseen velocity field. The Doppler signals were calculated from the demodulated RF images using a standard auto-correlator (Kasai et al. 1985) with a packet size of 5. A total of 31 vortex configurations were simulated. To generate these scenarios, the vortex core radius ( $\rho_c$ ) was fixed at 1, 1.25 and 1.5 cm and the peak velocity ( $V_{\Phi_{\text{peak}}}$ ) was ranged from 0.35 to 1.5 m/s. These values were chosen to be consistent with clinical observations (Garcia et al. 2010). The Doppler fields were dealiased and smoothed using an unsupervised denoising method (Garcia 2010; Muth et al. 2011). For each Doppler field, the blood vortex signature (BVS) was calculated from the simulated polar Doppler data using Eq. (3) and the vortex core was located from the BVS extremum (Fig. 4). Several kernel sizes (i.e.  $(2n + 1) \times (2n + 1)$  with  $n = 1 \dots 5$ ) were tested to analyze the robustness of the method. The effect of the kernel size was analyzed using a repeated measures analysis of variances (MedCalc Software, version 12.5, Ostend, Belgium). The core vorticity was finally estimated using Eq. (7) and compared with the ground-truth vorticity (Eq. 9) using a linear regression and a Bland-Altman plot.

### In vitro data

The Doppler fields simulated with Field II were all based from perfectly axisymmetric vortices. Additional simulations were also performed with more realistic vortical patterns issued from *in vitro* data. These *in vitro* experiments were performed using an atrioventricular dual activation pulse duplicator (courtesy of ESIL, Marseilles, France). As previously described in (Garcia et al. 2010), this *in vitro* model consists of ventricular and atrial activation boxes, systemic and pulmonary circulation models, and a computerized driving interface (Tanné et al. 2010). The left heart cavities are compliant and transparent. A

liquid made of 40% glycerol and 60% saline water was used as a blood substitute. The *in vitro* system was set to simulate 3 different hemodynamic conditions: heart rate (bpm)/stroke volume (mL) = 60/65, 80/60 and 100/75. The velocity field within the ventricular cavity was measured using optical laser-based particle image velocimetry (PIV). The laser plane cut the mitral and aortic valve planes as well as the apex to simulate an apical 3-chamber view. The image series were processed with a standard commercial software package (Insight3G, TSI Inc., Shoreview, MN). Ensemble average velocity fields were obtained using 30 consecutive heart cycles. The PIV data were post-processed by means of an unsupervised regularizer (Garcia 2011) and the vorticity was estimated using the 8-point method (Raffel et al. 2007). Using these PIV fields, 200×64 mock Doppler fields were simulated using Field II with the transducer located at the apical position. The parameters governing the simulations were similar to that reported in the previous subsection. The BVS was calculated using equation (3) with different kernel sizes (an example is given in Fig. 5). The local extremum of the BVS patch corresponding to the main diastolic vortex (see Fig. 5) was detected and the core vorticity was estimated using equation (7). The Doppler vortography-derived core vorticities (Eq. 7) were compared with those measured from the original PIV field (8-point method) using a standard linear regression and a Bland-Altman plot. They were both measured at the same location, i.e. where the BVS amplitude was found maximal. This analysis was performed on the frames related to diastolic filling only (total = 14 frames). To check if the transducer position affects the results returned by Doppler vortography, several probe angles relative to the left ventricle centroid were tested ( $0^\circ$ ,  $\pm 5^\circ$ ,  $\pm 10^\circ$ , see Fig. 5). The effects of the kernel size and the probe angle were analyzed independently using repeated measures analyses of variances (MedCalc Software, version 12.5, Ostend, Belgium).

### Clinical data

The core vorticities obtained with the new Doppler vortography method were compared with those obtained by the vector flow mapping technique developed by Garcia *et al.* (Garcia et al. 2010). In the latter method, the cross-beam velocity components are deduced from the 2-D continuity equation with adequate boundary conditions (see (Garcia et al. 2010) for details). Doppler echocardiographic images were acquired using a Vivid 7 ultrasound system (GE Healthcare, USA) in nineteen (19) patients (5 men, 14 women, mean age  $57 \pm 16$  years). The use of these echographic images was approved by our local committee of ethics. Informed consent was received from each participant. Among these patients, 12 were normotensive and 7 were hypertensive. They all had transthoracic echo considered as normal using common parameters. Apical 3-chamber views with color Doppler over the entire left ventricular cavity were acquired during one to three cardiac cycles. The raw color-Doppler data were exported from commercially available software (EchoPAC System, General Electric). A total of 55 frames were selected (average of 2.9 frames/patient). Only the frames obtained during early filling were considered in this study. The color-Doppler data were analyzed using both Doppler vortography (Eq. 3, 4 and 7) and the vector flow mapping method proposed in (Garcia et al. 2010). The core vorticity of the main mitral vortex (*i.e.* the vortex at the tip of the anterior mitral valve leaflet) was estimated by Doppler vortography as described in the theoretical section. As a reference, the core vorticity of the same vortex was also calculated using the vector flow technique as previously made in (Garcia et al. 2010): the Okubo-Weiss criterion for vortex detection was derived from the vector velocity fields



and the corresponding core vorticities were determined. The Okubo-Weiss criterion, also known as the Q-criterion, is related to the 2<sup>nd</sup> invariant of the velocity gradient tensor. Positive values point out the regions where the vorticity prevails over the strain-rate (Hunt et al. 1988). The Doppler vortography-derived core vorticities (Eq. 7) were compared with those measured from VFM using a standard linear regression and a Bland-Altman plot.

## Results

### In silico and in vitro data: vortography-derived vs. ground-truth vorticity

We observed a good concordance between the vorticities estimated by Doppler vortography and those derived from the control methods (*in silico*: analytical solution, *in vitro*: 8-point method). A strong correlation was obtained both *in silico* and *in vitro* ( $r^2 = 0.98$  and  $r^2 = 0.86$ , see Figures 6 and 7) with reasonably small relative errors *in silico* (Figure 6, right panel). As revealed by the Bland-Altman plot (Figure 7, right panel), however, overestimations and higher relative errors were observed *in vitro* ( $21 \pm 15\%$ ). This was due to the geometry of the vortices, whose cores were somewhat elliptic in the *in vitro* set-up.

### In silico and in vitro data: effects of the kernel size and angle insonification

The repeated measures ANOVAs reported a significant effect of the kernel size ( $p < 0.001$ ), both *in silico* and *in vitro*. There was a negative linear trend ( $p < 0.0001$ ) between the kernel size and the vorticity: i.e. the vorticity decreased with increasing kernel size. Pairwise comparisons, however, showed that the mean vorticity differences between the  $3 \times 3$  and  $11 \times 11$  kernel configurations were  $9.2 \pm 0.8 \text{ s}^{-1}$  (*in silico*) and  $12.3 \pm 2.1 \text{ s}^{-1}$  (*in vitro*); these differences remained relatively small when compared with the actual vorticity values (mean  $> 190 \text{ s}^{-1}$ ). No significant effect of the insonification angle ( $p > 0.05$ ) was reported for the *in vitro* data. One can conclude that the kernel size and the insonification angle had negligible or no effect on the vorticity estimation.

### In vivo study: Doppler vortography vs. VFM-derived vorticity

A very good concordance between the vorticities estimated by Doppler vortography and VFM ( $y = 2.2 + 0.95 x$ ,  $r^2 = 0.89$ ,  $N = 55$ ,  $p < 0.001$ ) was observed in 19 patients (Figure 8, left panel). The relative error between the two methods was  $2.7 \pm 14\%$  (Bland-Altman plot in Figure 8). These findings show that one can obtain good estimates of the core vorticities without the full vector components. To get a visual comparison between Doppler vortography and VFM, three examples are illustrated on Fig. 9. The BVS (blood vortex signature) maps returned by Doppler vortography (Fig. 9, 2<sup>nd</sup> column) correlated well with the Okubo-Weiss criterion (Fig. 9, 3<sup>rd</sup> column). Although these parameters are not aimed at being similar, they both allow vortex detection.

## Discussion

We have shown that the echocardiographic tool that we derived – designated as Doppler vortography – can accurately detect and quantify the main intraventricular vortices that form during diastole. Intracardiac flow organization can be a robust marker of left ventricular filling. Blood flow is indeed very sensitive to its environment: as a fluid, and in contrast with



solids, blood (in the macro scale) does not have preferred shape because it does not possess any elastic behavior. In other words, a solid resists distortion but fluid does not: contrary to solids, when a shear stress is applied to a fluid, the fluid continuously deforms. As a consequence, small dynamic and/or geometric perturbations may induce significant changes in flow patterns. For instance, in vascular flow, a slight modification in vessel geometry or flow dynamics, such as bend, sharpness or acceleration, may modify the blood flow patterns drastically. This phenomenon may be also true in the left ventricle and could be visible in the vortical structures (Kheradvar and Pedrizzetti 2012). It is thus anticipated that vortex analysis may provide additional information on the left ventricular function. Concordantly, the recent scientific literature unveils an emerging clinical interest for the characterization of intraventricular flow vortices (Belohlavek 2012; Cimino et al. 2012; Kheradvar et al. 2012; Poh et al. 2012; Toger et al. 2012). In this context, it was the aim of this study to propose a new color Doppler technique – Doppler vortography – for intraventricular vortex flow imaging. Since this technique makes use of the conventional Doppler fields, Doppler vortography is fast, clinically-compliant and does not modify the clinical echo-lab routine.

### Why using the 3-chamber view?

In this study, we focused on the large vortical structures occurring in the apical long-axis 3-chamber view. This plane passes through the apex and the centers of the mitral and aortic valves. Although intracardiac flow is known to be 3-D, phase-contrast MR (magnetic resonance) studies have shown that the out-of-plane velocity components are small in the plane corresponding to the echo 3-chamber view (Eriksson et al. 2010; Eriksson et al. 2012; Wigstrom et al. 1999). Indeed, velocity data obtained in (Wigstrom et al. 1999) and (Eriksson et al. 2010; Eriksson et al. 2012) from 4-D phase contrast MR distinctly depict an intraventricular flow that is mainly parallel to the long-axis plane. In addition, clinically useful parameters of intraventricular flow dynamics can be obtained from a planar flow simplification using this view. In particular, Thompson *et al.* (Thompson and McVeigh 2003) showed that accurate pressure differences can be calculated using 2-D acquisitions because the momentum fluxes normal to the plane of interest are negligible. From these independent studies, it can be claimed that the utilization of 2-D statements in the particular 3-chamber view is quite acceptable for the analysis of the main flow vortices in most situations. We thus assumed that the main flow arrangements remain measurable in the 3-chamber view, without significant loss of information. This assertion, however, may be invalid in severely diseased patients where the 3-D nature of the flow could adversely influence Doppler vortography. Indeed, in such cases, the “antisymmetry” hypothesis (see Fig. 1) could become ineffective. Further validation in mildly to severely diseased patients using velocimetry by cardiac magnetic resonance will help to better identify the physiological conditions where the 2-D hypothesis remains valid.

### Doppler vortography vs. vector flow mapping (VFM)

Velocimetry by echo-PIV or phase-contrast magnetic resonance imaging (see Introduction) would have been better gold standards than VFM since these two techniques do not assume a planar flow. In contrast with the MRI and contrast echo approaches, however, Doppler vortography has the great advantage to be readily suitable for the clinical practice. Several techniques have been proposed for intracardiac vector flow mapping from conventional 2-D

echocardiography, three of the most important ones being the following: (Arigovindan et al. 2007; Garcia et al. 2010; Uejima et al. 2010). The method proposed by Arigovindan *et al.* is not well adapted to cardiac flow imaging since two measurements differing by a significant angle are required. Although their theoretical concepts are different, the VFM approaches proposed by Uejima *et al.* and Garcia *et al.* are both based on single color Doppler dataset. The latter tactic minimizes the 2-D divergence to deduce the cross-beam velocity components and has been shown to be an accurate quantitative method (Garcia et al. 2010). This is the VFM technique that was used in patients in our study. VFM, as Doppler vortography, is based on color Doppler data only. However, it uses a different numerical approach; this VFM method thus does not necessarily yield axisymmetric vortices. As depicted in Fig. 8, we obtained a very good concordance between Doppler vortography and VFM when determining the core vorticities in patients. When laying the velocity vectors yielded by VFM over the BVS (blood vortex signature) map, it appears that Doppler vortography was able to detect the main vortices adequately (see Fig. 9). From our simulations and *in vivo* data, it can be concluded that Doppler vortography is an efficient noninvasive method to detect and quantify the intraventricular vortices. Doppler vortography has the advantage to be numerically simpler and faster than VFM. More importantly, it directly targets the vortical flow patterns present in the color Doppler field using an original detection algorithm.

### Technical limitations of Doppler vortography

Doppler vortography is based on two-dimensional color Doppler imaging and thus can be affected by the inherent Doppler artifacts, including aliasing, low signal-to-noise ratio (SNR), low angular and temporal resolutions, and clutter signals (Mitchell 1990). The default clutter filter, as provided by the clinical GE ultrasound scanner, was used during the acquisitions in patients. It is likely that the cut-off frequency, within reasonable limits, little affected the results returned by Doppler vortography since we were interested in the frames with relatively high velocities (E wave). A better alternative to suppress clutter signals, however, would be the use of efficient adaptive filters such as eigen-based clutter filters (Yu and Lovstakken 2010; Yu and Cobbold 2008). Furthermore, the Doppler SNR was generally high in most cases during early filling. Low SNR Doppler images were successfully dealiased and denoised using the robust DCT-based smoother (Muth et al. 2011). It should be noted that denoising does not influence vortex detection significantly due to the presence of a covariance-based filter; but it is required in order to get a consistent estimate of the core vorticity since an angular derivative is necessary (see equation 7). Poor image sampling could also impede the vortex detection/quantification. In all patients studied, however, the Doppler sectors contained at least 50 scanlines and enclosed the inner left ventricular cavity entirely to ensure high-quality measures. This was sometimes done at the expense of frame rate, but low frame rates do not affect the technique by itself. Of note, after some practice, the vortical regions can be spotted by an experienced eye from the color Doppler cine-loops only. This actually helps to fine-tune the Doppler acquisition and to better adjust the Doppler sector. Finally, it should be pointed out that the pilot clinical study reported in this manuscript was performed in only 19 patients. Because the echographic conditions encountered in these patients were all nearly optimal (no obesity, no cardiac complication...), one must be aware that we likely missed clinical situations where Doppler

vortography may fail. A more exhaustive analysis performed in a large group of patients, with different echographic settings (pulse repetition frequency, SNR, wall filter, Nyquist velocity...), would help to better identify the potential artifacts of Doppler vortography.

### **Doppler vortography as a potential clinical tool for a better assessment of diastolic function**

In some hypertensive patients (see Fig. 9, 2<sup>nd</sup> row), a retrograde (i.e. counter-rotating with respect to the natural flow circulation) vortex was visible in the apex, both by Doppler vortography and VFM. In other subjects (such as in Fig 9, 1<sup>st</sup> row, normotensive subject), a large vortex was present adjacent to the posterior mitral valve leaflet. Because a slight modification of the wall and/or inflow dynamics may significantly alter the intraventricular flow, we believe that vortex flow imaging by Doppler vortography could be of help for the evaluation of the diastolic function. In the presence of an apical vortex, the left ventricle may enter into a vicious circle. Since it rotates in a direction opposite to the one of the natural flow, the apical vortex induces excessive fluid energy dissipation and thus increases the workload. This may further disturb the blood flow filling patterns which in turn decreases the vorticity of the main vortex. It could be expected that the vorticity of the major vortex is, to some extent, negatively related to the degree of the filling impairment. At this stage of the study, this assertion remains hypothetical. A prospective study in a large group of patients is necessary to determine the potential clinical interest of Doppler vortography for the assessment of diastolic function and the prediction of adverse events.

### **Conclusions**

Doppler vortography is able to decipher the vortical structures that form in the left ventricle during diastole. This color Doppler approach may offer new echographic insights into left ventricular function, especially for diastology.

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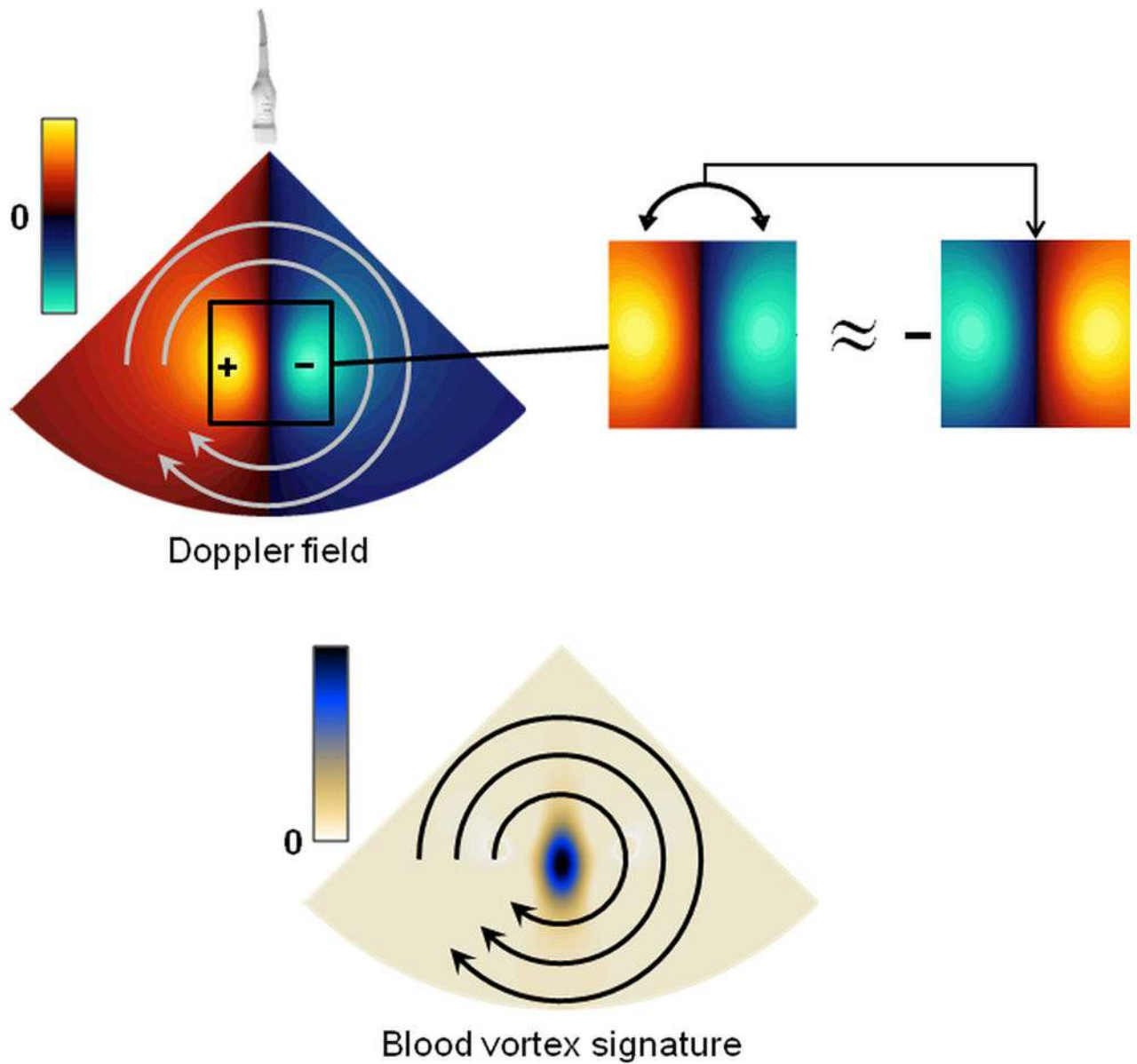
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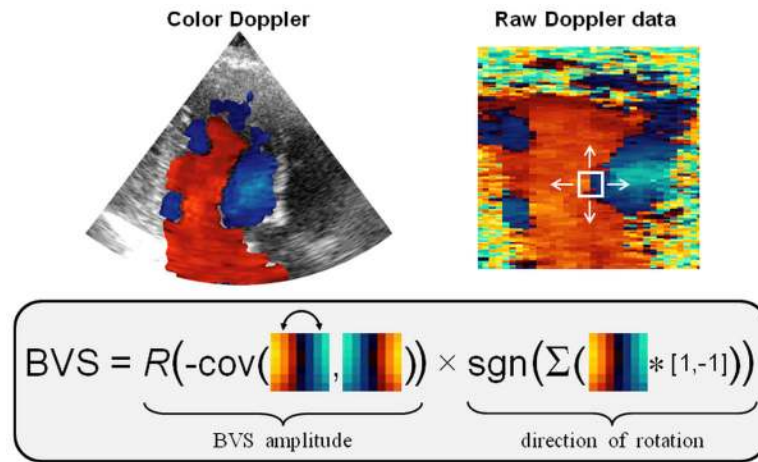
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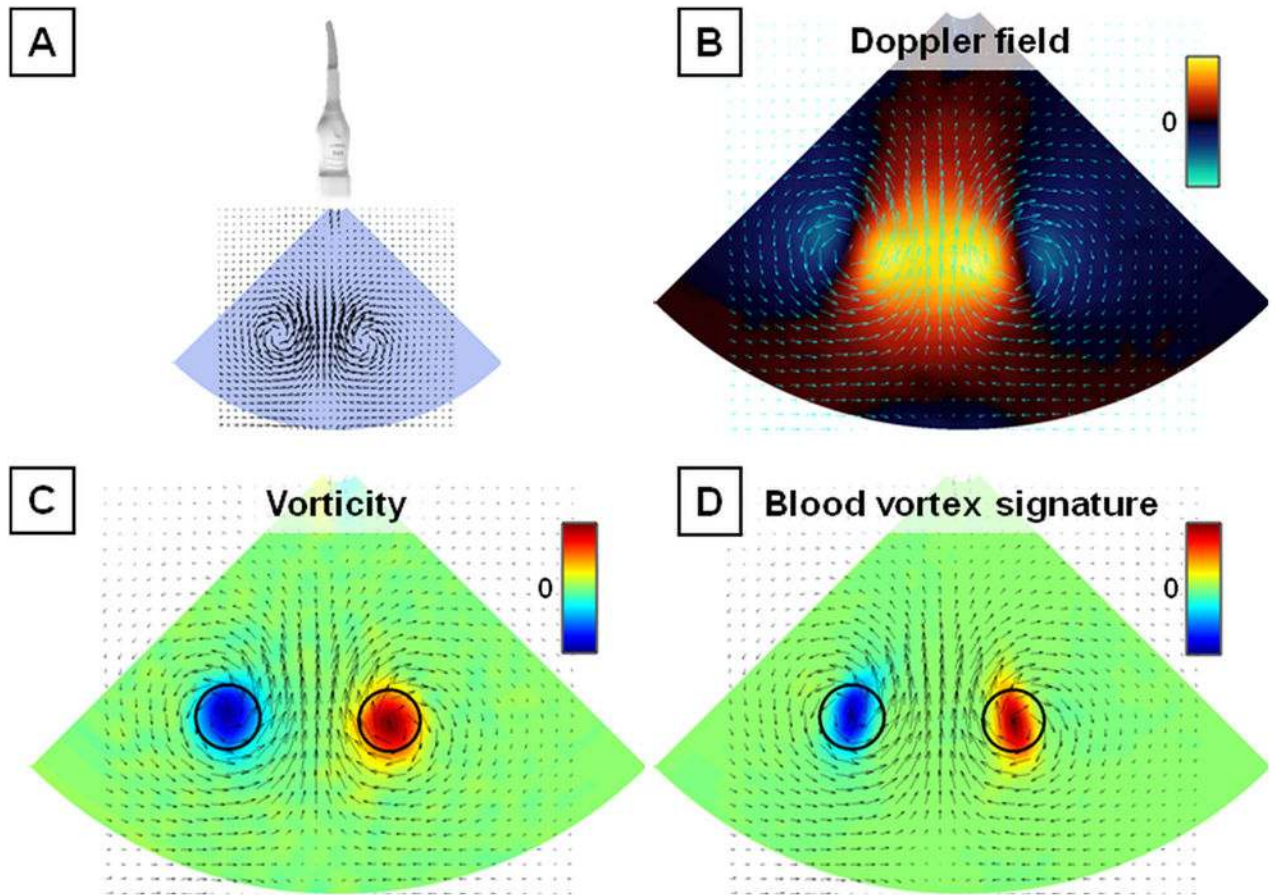
**Figure 1. Basic concept of Doppler vortography**

For a single large vortex, color Doppler exhibits negative mirror symmetry with respect to the scanline crossing the vortex center (top panels). The proposed parameter – “blood vortex signature” – allows one to detect the vortex center from this antisymmetric property (bottom panel, see also Fig. 2).



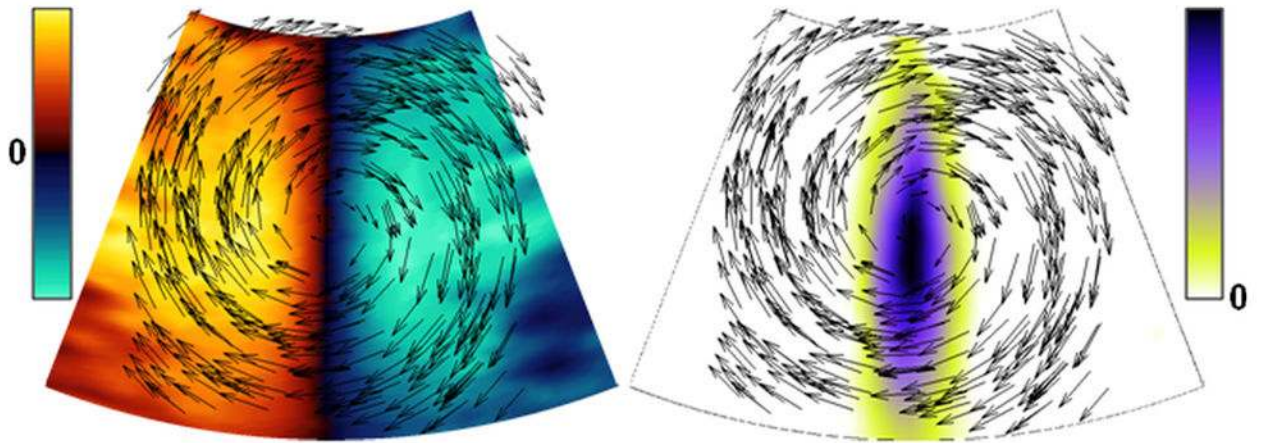
**Figure 2. Blood vortex signature**

The blood vortex signature (BVS) is calculated using a small block sliding over the raw color Doppler array. The local BVS is based on the covariance (cov) between this block and its antisymmetric counterpart. The rotation direction is determined using a simple convolution.  $R$  and  $\text{sgn}$  stand for the ramp and sign functions, respectively.

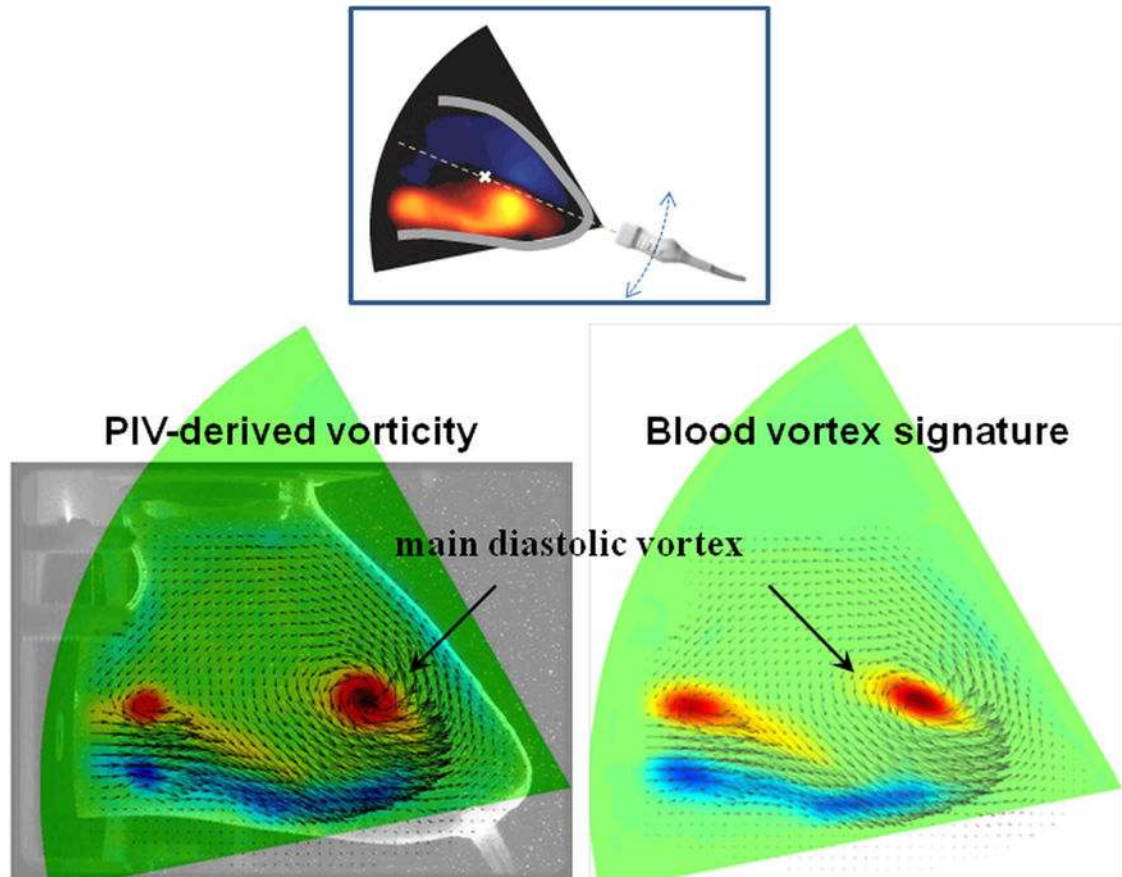


**Figure 3. Doppler vortography for a vortex pair**

Insonification of a vortex pair (A) and corresponding mock color Doppler field (B). The “blood vortex signature” (BVS) parameter reaches an extremum at the vortex core (D). As a comparison, the ground-truth vorticity field is also depicted (C).

**Figure 4. In silico studies**

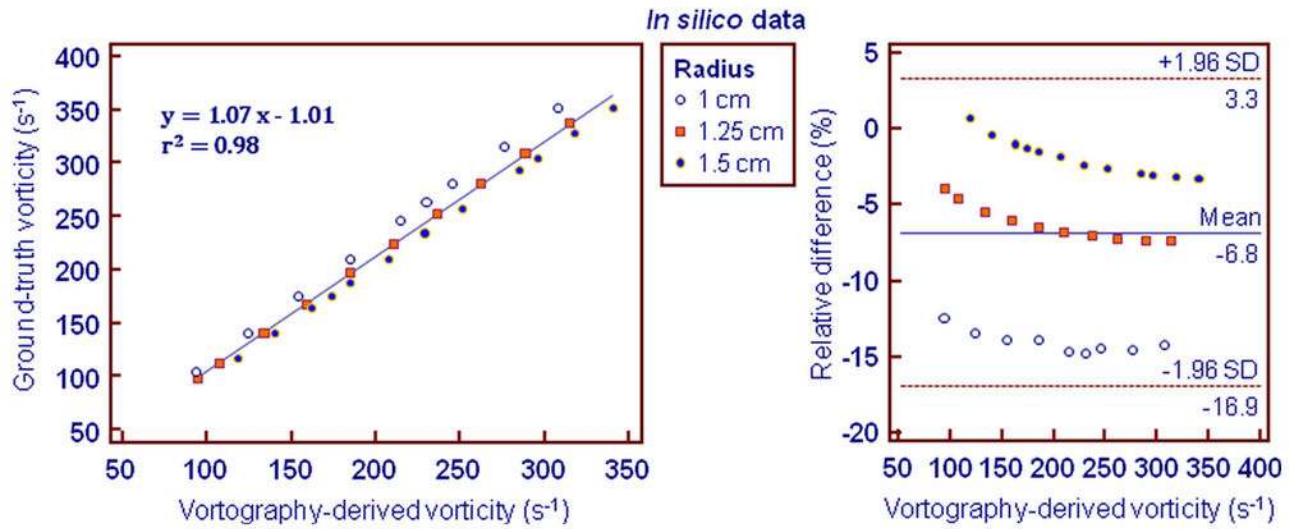
Color Doppler fields were simulated in Lamb-Oseen vortices using Field II (see left panel). The blood vortex signature was deduced from the color Doppler data using Eq. 4 and allowed detecting the vortex core (see right panel). The core vorticity yielded by Doppler vortography (Eq. 7) was compared with the theoretical core vorticity (Eq. 9, see also Fig. 6).



**Figure 5. In vitro data**

Color Doppler fields were created from *in vitro* intraventricular PIV (particle image velocimetry) velocity fields. The blood vortex signature was measured by Doppler vortography and the vorticity of the main diastolic vortex was compared with the PIV-derived vorticity. Several insonification angles were tested (see also Fig. 7).

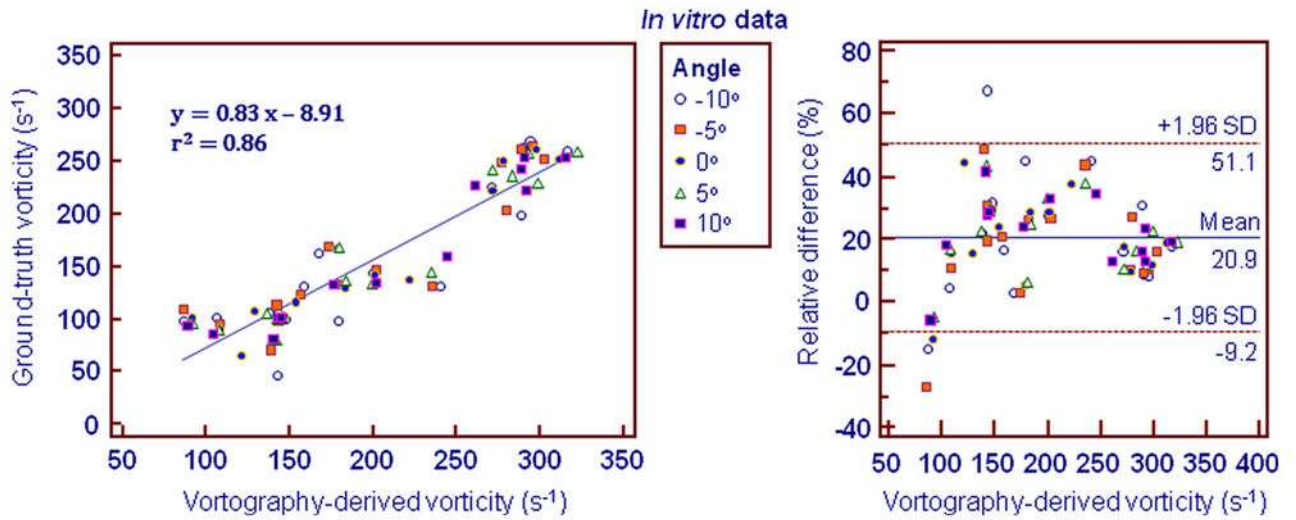




**Figure 6. In silico results**

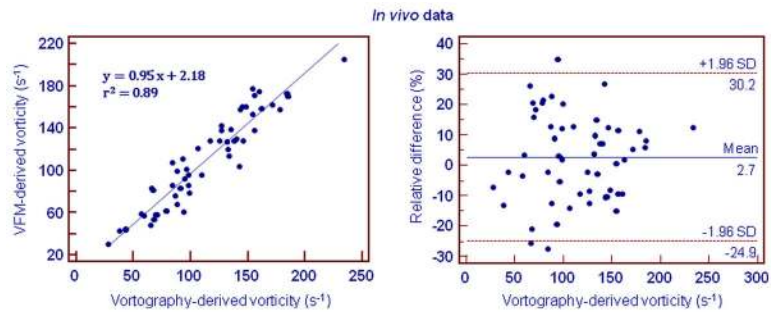
Comparison between vortography-derived (Eq. 7) and ground-truth (Eq. 9) vorticities. Different vortex core radii were simulated (see Eq. 8).





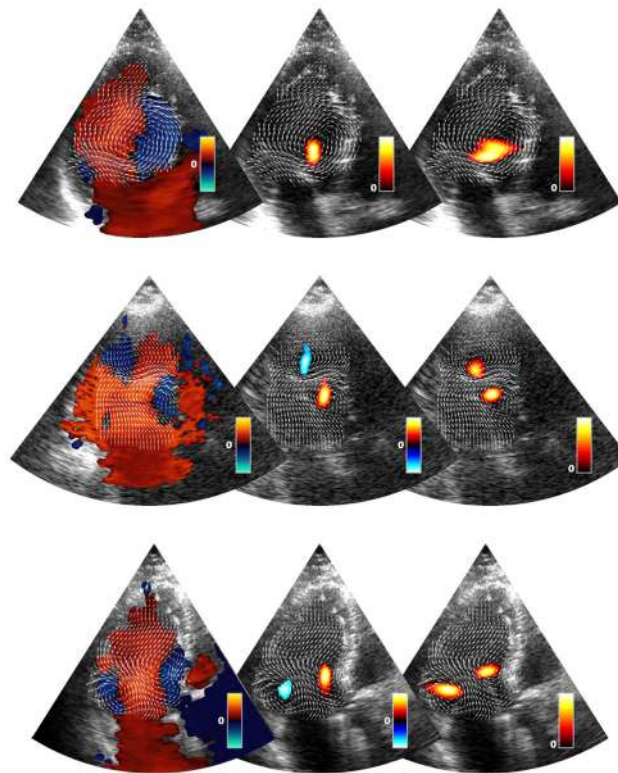
**Figure 7. In vitro results**

Comparison between vortography-derived (Eq. 7) and ground-truth (Eq. 9) vorticities. Several angle insonifications were simulated (see Fig. 5).



**Figure 8. In vivo results**

Comparison between vortography- (Eq. 7) and VFM (vector flow mapping)-derived vorticities. Sample size = 55 color Doppler frames in 19 patients. See Figure 9 for 3 examples.



**Figure 9. Vector flow mapping and Doppler vortography in 3 subjects**

The first column represents vector flows, as measured by the VFM technique described in (Garcia et al. 2010), laid over conventional color Doppler images (the color bars represent the Doppler velocities). BVS (blood vortex signature) maps are shown in the second column. High BVS amplitudes denote the presence of a large-scale vortex. The BVS sign represents the direction of rotation (see Eq. 3). The vector flows measured by VFM are also displayed for comparison purpose. The third column represents the Okubo-Weiss criterion derived from the VFM vector velocity fields. Okubo-Weiss criterion is positive in zones of high vorticity. Note how well zones of high-amplitude BVS compare to this criterion. The images of the 1<sup>st</sup> row are from a normotensive subject with normal echo.