

# DOUBLE EXCITATIONS IN FINITE SYSTEMS

Pina Romaniello  
LSI, École Polytechnique, Palaiseau (France)



European  
Theoretical  
Spectroscopy  
Facility

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École Polytechnique (France)

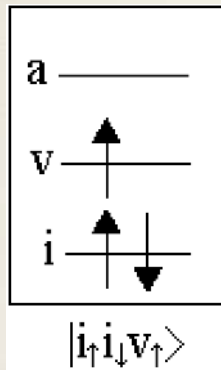
# Outline

- \* Excitation energies in TDDFT:  
double excitations...problematic
- \* Double excitations using BSE
- \* Application to simple models
- \* From BSE back to TDDFT
- \* Conclusions

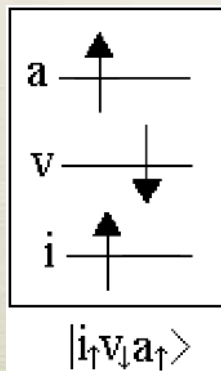
# Double excitations

## \* Electron excitations in one-electron picture

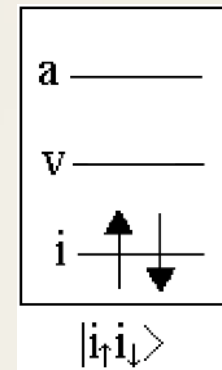
\* open-shell



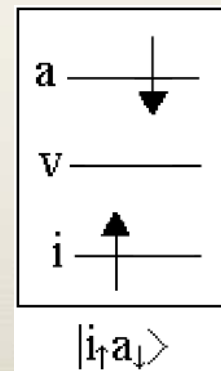
spin-symmetry reason



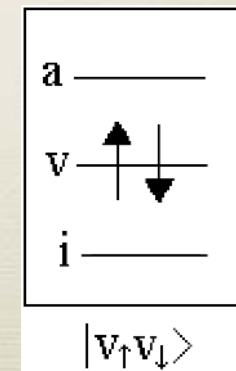
\* closed-shell



energy reason




$$E_{|i_{\uparrow}a_{\downarrow}\rangle} \simeq E_{|v_{\uparrow}v_{\downarrow}\rangle}$$



# Excitation energies in TDDFT

\* Dyson-like response equation

$$\chi(x_1, x_2, \omega) = \chi_s(x_1, x_2, \omega) + \chi_s(x_1, x_3, \omega) \left[ \frac{1}{|x_3 - x_4|} + f_{xc}(x_3, x_4, \omega) \right] \chi(x_4, x_2, \omega)$$

 projection in transition space

\* Casida's equations

$$\begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix} \begin{pmatrix} X \\ Y \end{pmatrix} = \omega \begin{pmatrix} X \\ Y \end{pmatrix}$$

$$A_{ia\sigma, jb\tau} = \delta_{\sigma\tau} \delta_{ab} \delta_{ij} (\epsilon_{a\sigma} - \epsilon_{i\tau}) + K_{ia\sigma, jb\tau}$$


$$B_{ia\sigma, jb\tau} = K_{ia\sigma, bj\tau}$$

$$K_{ia\sigma, jb\tau} = \int \int \psi_{i\sigma}^*(\mathbf{r}) \psi_{a\sigma}(\mathbf{r}) \left[ \frac{1}{|\mathbf{r} - \mathbf{r}'|} + f_{xc}^{\sigma\tau}(\mathbf{r}, \mathbf{r}', \omega) \right] \psi_{b\tau}^*(\mathbf{r}') \psi_{j\tau}(\mathbf{r}') d\mathbf{r} d\mathbf{r}'$$

# Excitation energies in TDDFT

## \* Dyson-like response equation

$$\chi(x_1, x_2, \omega) = \chi_s(x_1, x_2, \omega) + \chi_s(x_1, x_3, \omega) \left[ \frac{1}{|x_3 - x_4|} + f_{xc}(x_3, x_4, \omega) \right] \chi(x_4, x_2, \omega)$$

 projection in transition space

## \* Casida's equations

$$\begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix} \begin{pmatrix} X \\ Y \end{pmatrix} = \omega \begin{pmatrix} X \\ Y \end{pmatrix}$$

ALDA

- \* only singly-excited configurations
- \* n. eigenvalues = n. single-excitations

$$A_{ia\sigma, jb\tau} = \delta_{\sigma\tau} \delta_{ab} \delta_{ij} (\epsilon_{a\sigma} - \epsilon_{i\tau}) + K_{ia\sigma, jb\tau}$$

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# Reduced space $\rightarrow$ $\omega$ -dependence

\*  $m \times m$  eigenvalue problem  $\rightarrow$  lower-dimensional one

$$\begin{pmatrix} S & C_1 \\ C_2 & D \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \end{pmatrix} = \omega \begin{pmatrix} e_1 \\ e_2 \end{pmatrix} \quad e_2 = \underbrace{(\omega - D)^{-1} C_2 e_1}_{K(\omega)} \quad [S + C_1 (\omega - D)^{-1} C_2] e_1 = \omega e_1$$

\* Many-body hamiltonian  $\rightarrow$  “one-particle” hamiltonian

\* Multiple-excitation space  $\rightarrow$  single-excitation space  
( $D$  in double excitation space,  $S$  in single-excitation space (Casida's within ALDA))

\* Four-point BSE  $\rightarrow$  two-point TDDFT ( $f_{xc}(\omega)$  even if the BSE kernel can be static)

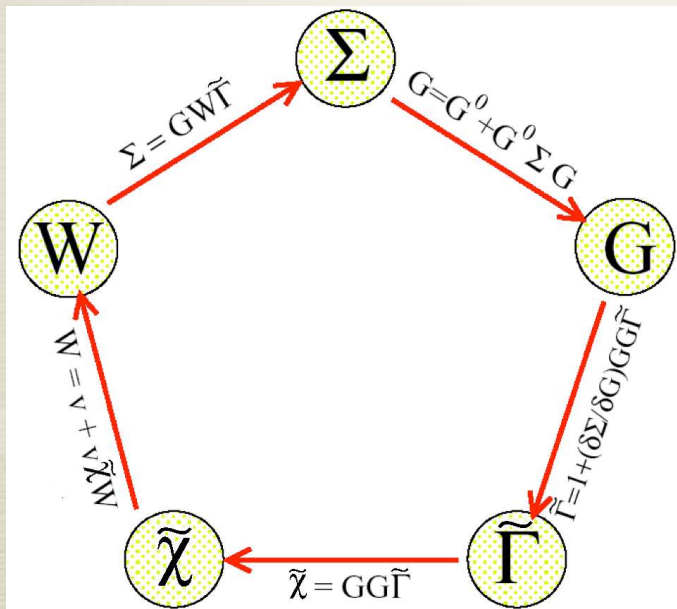
# Bethe-Salpeter equation

$$L(1, 2, 1', 2') = L_0(1, 2, 1', 2') + L_0(1, 4, 1', 3)\Xi(3, 5, 4, 6)L(6, 2, 5, 2')$$

$$L_0(1, 2, 1', 2') = -iG(1, 2')G(2, 1')$$

$$\Xi(3, 5, 4, 6) = \delta(3, 4)\delta(5, 6)v(3, 6) + i\frac{\delta\Sigma(3, 4)}{\delta G(6, 5)}$$

$$\chi(1, 2) = L(1, 2, 1, 2)$$





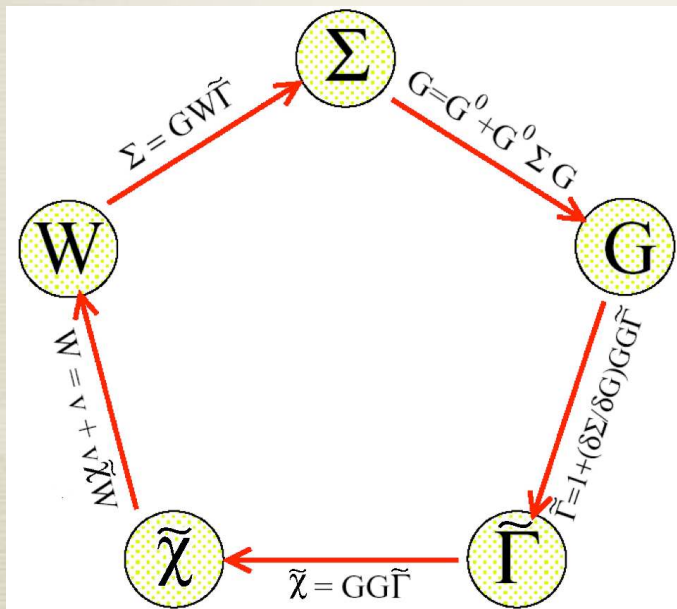
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$$\chi(1, 2) = L(1, 2, 1, 2)$$



approximations

$$\Sigma = GW$$

$$\frac{\delta\Sigma}{\delta G} = W + G\frac{\delta W}{\delta G} \simeq W$$

$$W(t - t') \simeq W\delta(t - t')$$

# Bethe-Salpeter equation

\* Two-particle propagator

$$L(1, 2, 1', 2') = -G(1, 2, 1', 2') + G(1, 1')G(2, 2')$$

\* 1-particle GF

$$G(1, 2) = -i\langle N|T[\psi(1)\psi^\dagger(2)]|N\rangle$$

\* 2-particle GF

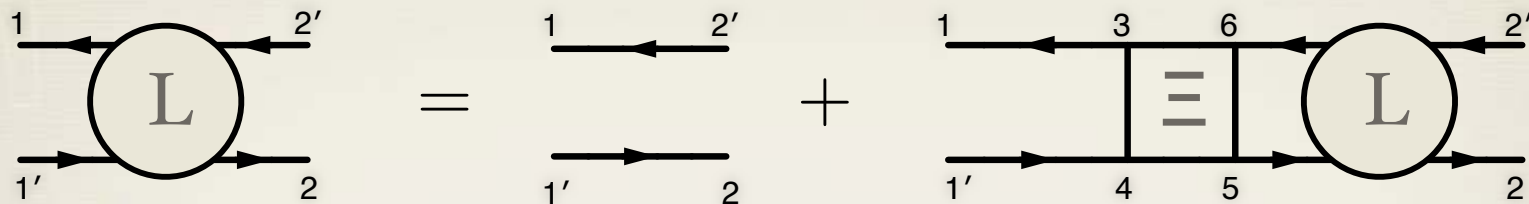
$$G(1, 2, 1', 2') = (-i)^2\langle N|T[\psi(1)\psi(2)\psi^\dagger(2')\psi^\dagger(1')]|N\rangle$$

↓  
pp  
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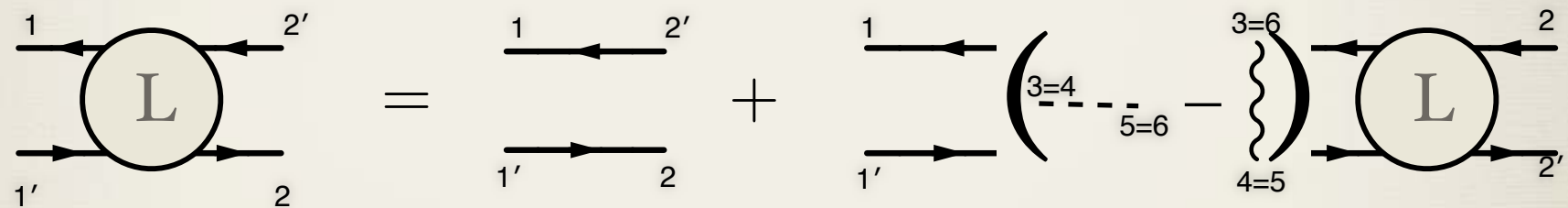
$$G(\tau = [(t_1 + t_{1'})/2 - (t_2 + t_{2'})/2], \tau_1 = t_1 - t_{1'}, \tau_2 = t_2 - t_{2'})$$

# Bethe-Salpeter equation

\* BSE

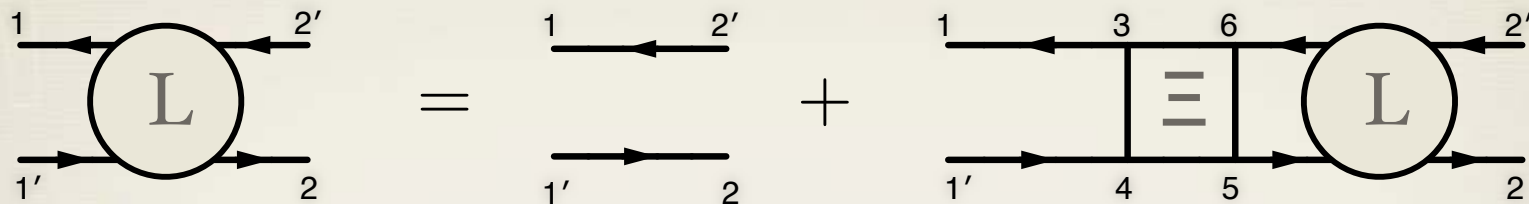


\* BSE with  $\Xi = v - W$

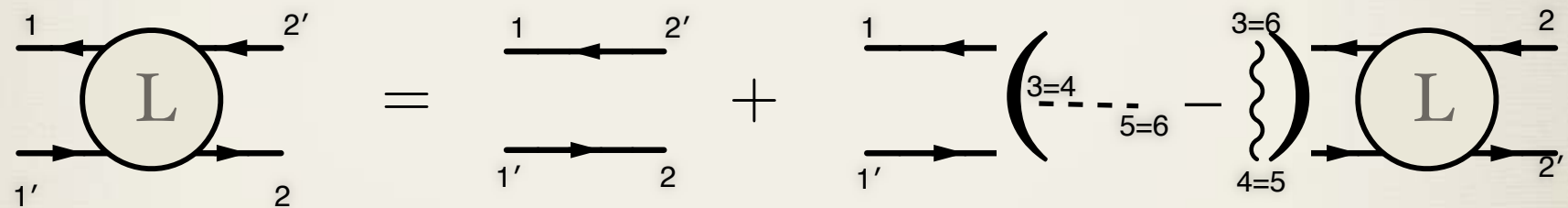


# Bethe-Salpeter equation

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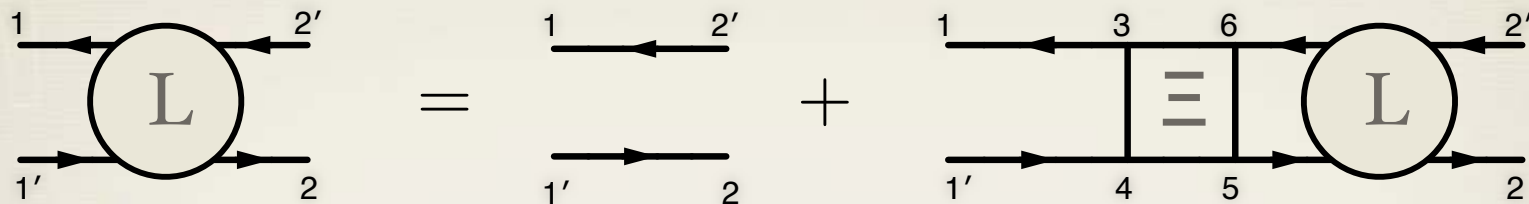


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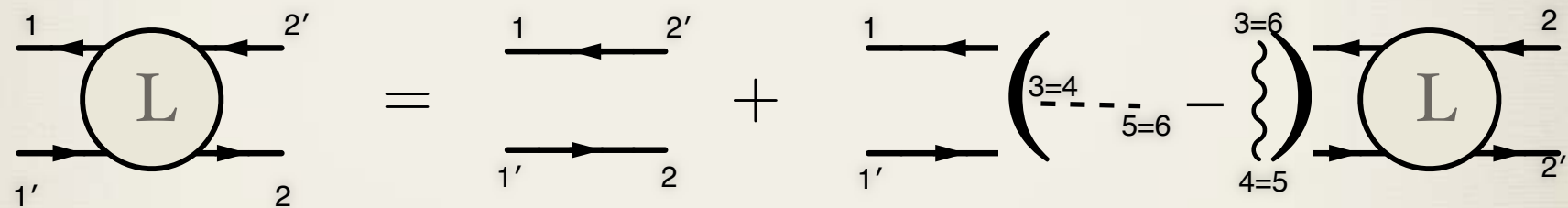


# Bethe-Salpeter equation

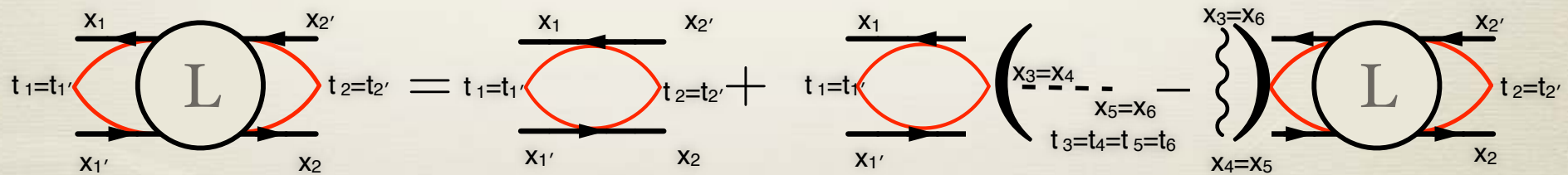
\* BSE



\* BSE with  $\Xi = v - W$



\* BSE with  $W\delta(t - t')$



$$L(t_1 - t_2) \rightarrow L(\omega)$$

# Bethe-Salpeter equation

\* BSE in frequency space

$$L(\omega, \omega', \omega'') = L_0(\omega, \omega', \omega'') - iG(\omega' + \omega/2)G(\omega' - \omega/2) \times \\ \left[ v \int \frac{d\tilde{\omega}}{2\pi} L(\omega, \tilde{\omega}, \omega'') - \int \frac{d\tilde{\omega}}{2\pi} W(\omega' - \tilde{\omega}) L(\omega, \tilde{\omega}, \omega'') \right]$$

# Bethe-Salpeter equation

## \* BSE in frequency space

$$L(\omega, \omega', \omega'') = L_0(\omega, \omega', \omega'') - iG(\omega' + \omega/2)G(\omega' - \omega/2) \times \left[ v \int \frac{d\tilde{\omega}}{2\pi} L(\omega, \tilde{\omega}, \omega'') - \int \frac{d\tilde{\omega}}{2\pi} W(\omega' - \tilde{\omega})L(\omega, \tilde{\omega}, \omega'') \right]$$

## \* Independent quasiparticle response function

$$L_0(\omega, \omega', \omega'') = -2\pi i\delta(\omega' - \omega'')G(\omega' + \omega/2)G(\omega' - \omega/2)$$

## \* One-particle Green's function

$$G(x_1, x_2, \omega) = \sum_n \frac{\phi_n(x_1)\phi_n^*(x_2)}{\omega - \epsilon_n + i\eta \text{sign}(\epsilon_n - \mu)}$$

# Bethe-Salpeter equation

## \* BSE in frequency space

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Self-energy dynamical effects neglected





# Bethe-Salpeter equation

\* BSE in frequency space

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# Bethe-Salpeter equation

\* BSE in frequency space

$$L(\omega, \omega', \omega'') = L_0(\omega, \omega', \omega'') - iG(\omega' + \omega/2)G(\omega' - \omega/2) \times$$

$$W(\omega) \simeq W(\omega = 0) \quad \left[ v \int \frac{d\tilde{\omega}}{2\pi} L(\omega, \tilde{\omega}, \omega'') - \int \frac{d\tilde{\omega}}{2\pi} W(\omega' - \tilde{\omega}) L(\omega, \tilde{\omega}, \omega'') \right]$$



$$\tilde{L}(\omega) = \tilde{L}_0(\omega) + \tilde{L}_0(\omega)K\tilde{L}(\omega)$$

# Bethe-Salpeter equation

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$$\tilde{L}(\omega) = \tilde{L}_0(\omega) + \tilde{L}_0(\omega)K\tilde{L}(\omega)$$

## \* Two-particle Hamiltonian

$$\tilde{L}_{(n_1', n_1)(n_2, n_2')} = \int dx_1 dx_2 dx_1' dx_2' \tilde{L}(x_1, x_2, x_1', x_2'; \omega) \phi_{n_1}^*(x_1) \phi_{n_1'}(x_1') \phi_{n_2}(x_2) \phi_{n_2'}^*(x_2)$$

# Bethe-Salpeter equation

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$$\tilde{L}_{(n_1', n_1)(n_2 n_2')} = \int dx_1 dx_2 dx_1' dx_2' \tilde{L}(x_1, x_2, x_1', x_2'; \omega) \phi_{n_1}^*(x_1) \phi_{n_1'}(x_1') \phi_{n_2}(x_2) \phi_{n_2'}^*(x_2)$$

$$\tilde{L}_{0(n_1', n_1)(n_2 n_2')} = \frac{\delta_{n_1', n_2} \delta_{n_1 n_2'} (f_{n_1'} - f_{n_1})}{\omega - (\epsilon_{n_1} - \epsilon_{n_1'}) + i\eta \text{sign}(\epsilon_{n_1} - \epsilon_{n_1'})}$$

$$\tilde{L}_{(n_1', n_1)(n_2 n_2')} = [H^{2p} - I\omega]_{(n_1', n_1)(n_2 n_2')}^{-1} (f_{n_2} - f_{n_2'})$$

$$H_{(n_1', n_1)(n_2 n_2')}^{2p} = (\epsilon_{n_1} - \epsilon_{n_1'}) \delta_{n_1, n_2'} \delta_{n_1', n_2} + (f_{n_1'} - f_{n_1}) K_{(n_1', n_1)(n_2', n_2)}$$

# Bethe-Salpeter equation

## \* Excitonic Hamiltonian

$$H^{2p,exc} = \begin{pmatrix} H_{(vc)(v'c')}^{2p,reso} & K_{(vc)(c'v')}^{coupling} \\ -[K_{(vc)(c'v')}^{coupling}]^* & -[H_{(vc)(v'c')}^{2p,reso}]^* \end{pmatrix}$$

$$H_{(n_1'n_1)(n_2n_2')}^{2p,exc} A_{\lambda}^{(n_2n_2')} = \omega_{\lambda} A_{\lambda}^{(n_1'n_1)}$$

# Bethe-Salpeter equation

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$$H_{(n_1, n_1)(n_2 n_2')}^{2p,exc} A_{\lambda}^{(n_2 n_2')} = \omega_{\lambda} A_{\lambda}^{(n_1, n_1)}$$



$$\tilde{L}(x_1, x_2, x_{1'}, x_{2'}, \omega) = \sum_{\lambda\lambda'} \left[ \sum_{n_1 n_1'} \frac{A_{\lambda}^{(n_1, n_1)} \phi_{n_1}(x_1) \phi_{n_1'}^*(x_{1'})}{\omega_{\lambda} - \omega + i\eta \text{sign}(\epsilon_{n_1'} - \epsilon_{n_1})} \times \right. \\ \left. S_{\lambda\lambda'}^{-1} \sum_{n_2 n_2'} A_{\lambda'}^{*(n_2 n_2')} \phi_{n_2}(x_2) \phi_{n_2'}^*(x_{2'}) (f_{n_2'} - f_{n_2}) \right]$$

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$$H_{(n_1'n_1)(n_2n_2')}^{2p,exc} A_{\lambda}^{(n_2n_2')} = \omega_{\lambda} A_{\lambda}^{(n_1'n_1)}$$

Static kernel

- \* only singly-excited configurations
- \* n. eigenvalues=n. single-excitations



$$\tilde{L}(x_1, x_2, x_{1'}, x_{2'}, \omega) = \sum_{\lambda\lambda'} \left[ \sum_{n_1n_1'} \frac{A_{\lambda}^{(n_1'n_1)} \phi_{n_1}(x_1) \phi_{n_1'}^*(x_{1'})}{\omega_{\lambda} - \omega + i\eta \text{sign}(\epsilon_{n_1'} - \epsilon_{n_1})} \times \right. \\ \left. S_{\lambda\lambda'}^{-1} \sum_{n_2n_2'} A_{\lambda'}^{*(n_2n_2')} \phi_{n_2}(x_2) \phi_{n_2'}^*(x_{2'}) (f_{n_2'} - f_{n_2}) \right]$$

# Bethe-Salpeter equation

\* Excitonic Hamiltonian:  $W(\omega)$

$$H_{(n_1', n_1)(n_2 n_2')}^{2p, exc}(\omega_\lambda) A_\lambda^{(n_2 n_2')}(\omega_\lambda) = \omega_\lambda A_\lambda^{(n_1', n_1)}(\omega_\lambda)$$

$$H_{(n_1', n_1)(n_2 n_2')}^{2p, exc}(\omega_\lambda) = (\epsilon_{n_1} - \epsilon_{n_1'}) \delta_{n_1', n_2} \delta_{n_1 n_2'} + (f_{n_1'} - f_{n_1}) \left[ v_{(n_1', n_1)(n_2 n_2')} - \tilde{W}_{(n_1', n_1)(n_2 n_2')}(\omega_\lambda) \right]$$



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\*  $\omega$ -dependent screening

$$\tilde{W}_{(n_1', n_1)(n_2 n_2')}(\omega_\lambda) = i \int \frac{d\omega}{2\pi} W_{(n_1', n_1)(n_2 n_2')}(\omega) \left[ \frac{1}{\omega_\lambda - \omega - (\epsilon_{n_2'} - \epsilon_{n_1'}) + i\eta} + \frac{1}{\omega_\lambda + \omega - (\epsilon_{n_1} - \epsilon_{n_2}) + i\eta} \right]$$

# Bethe-Salpeter equation

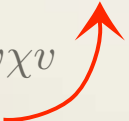
\* Excitonic Hamiltonian:  $W(\omega)$

$$H_{(n_1', n_1)(n_2 n_2')}^{2p, exc}(\omega_\lambda) A_\lambda^{(n_2 n_2')}(\omega_\lambda) = \omega_\lambda A_\lambda^{(n_1', n_1)}(\omega_\lambda)$$

$$H_{(n_1', n_1)(n_2 n_2')}^{2p, exc}(\omega_\lambda) = (\epsilon_{n_1} - \epsilon_{n_1'}) \delta_{n_1', n_2} \delta_{n_1 n_2'} + (f_{n_1'} - f_{n_1}) \left[ v_{(n_1', n_1)(n_2 n_2')} - \tilde{W}_{(n_1', n_1)(n_2 n_2')}(\omega_\lambda) \right]$$

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$$\tilde{W}_{(n_1', n_1)(n_2 n_2')}(\omega_\lambda) = i \int \frac{d\omega}{2\pi} W_{(n_1', n_1)(n_2 n_2')}(\omega) \left[ \frac{1}{\omega_\lambda - \omega - (\epsilon_{n_2'} - \epsilon_{n_1'}) + i\eta} + \frac{1}{\omega_\lambda + \omega - (\epsilon_{n_1} - \epsilon_{n_2}) + i\eta} \right]$$

$$W = v + v\chi v$$


$$W_{(n_1', n_1)(n_2 n_2')}(\omega) = v_{(n_2', n_1)(n_2 n_1')} + \sum_\lambda \sum_{\tilde{v}\tilde{c}, \tilde{v}'\tilde{c}'} v_{(n_2', n_1)(\tilde{v}\tilde{c})} \frac{A_\lambda^{(\tilde{v}\tilde{c}), static} A_\lambda^{*(\tilde{v}'\tilde{c}'), static}}{\omega - \omega_\lambda^{static} + i\eta} v_{(\tilde{v}'\tilde{c}')(n_2 n_1')} - \sum_\lambda \sum_{\tilde{c}\tilde{v}, \tilde{c}'\tilde{v}'} v_{(n_2', n_1)(\tilde{c}\tilde{v})} \frac{A_\lambda^{(\tilde{c}\tilde{v}), static} A_\lambda^{*(\tilde{c}'\tilde{v}'), static}}{\omega + \omega_\lambda^{static} - i\eta} v_{(\tilde{c}'\tilde{v}')(n_2 n_1')}$$

# Bethe-Salpeter equation

\* Excitonic Hamiltonian:  $W(\omega)$

$$H_{(n_1', n_1)(n_2 n_2')}^{2p, exc}(\omega_\lambda) A_\lambda^{(n_2 n_2')}(\omega_\lambda) = \omega_\lambda A_\lambda^{(n_1', n_1)}(\omega_\lambda)$$

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\*  $\omega$ -dependent screening


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$$H_{(n_1, n_1)(n_2 n_2')}^{2p, exc}(\omega_\lambda) = (\epsilon_{n_1} - \epsilon_{n_1'}) \delta_{n_1, n_2} \delta_{n_1 n_2'} + (f_{n_1'} - f_{n_1}) \left[ v_{(n_1, n_1)(n_2 n_2')} - \tilde{W}_{(n_1, n_1)(n_2 n_2')}(\omega_\lambda) \right]$$

\*  $\omega$ -dependent screening



$$\begin{aligned} \tilde{W}_{(n_1, n_1)(n_2 n_2')}(\omega_\lambda) = & v_{(n_2', n_1)(n_2 n_1')} + \sum_{\lambda'} \sum_{\tilde{v}\tilde{c}, \tilde{v}'\tilde{c}'} v_{(n_2', n_1)(\tilde{v}\tilde{c})} \frac{A_{\lambda'}^{(\tilde{v}\tilde{c}), static} A_{\lambda'}^{*(\tilde{v}'\tilde{c}'), static}}{\omega_\lambda - \omega_{\lambda'}^{static} - (\epsilon_{n_2'} - \epsilon_{n_1'}) + i\eta} v_{(\tilde{v}'\tilde{c}')(n_2 n_1')} \\ & + \sum_{\lambda'} \sum_{\tilde{v}\tilde{c}, \tilde{v}'\tilde{c}'} v_{(n_2', n_1)(\tilde{v}\tilde{c})} \frac{A_{\lambda'}^{(\tilde{v}\tilde{c}), static} A_{\lambda'}^{*(\tilde{v}'\tilde{c}'), static}}{\omega_\lambda - \omega_{\lambda'}^{static} - (\epsilon_{n_2'} - \epsilon_{n_1'}) + i\eta} v_{(\tilde{v}'\tilde{c}')(n_2 n_1')} \end{aligned}$$

# Strategy

1. Solve the  $H_{(n_1, n_1)(n_2, n_2')}^{2p, exc} A_{\lambda}^{(n_2, n_2')} = \omega_{\lambda} A_{\lambda}^{(n_1, n_1)}$  with a static screening  $W^{static}$
2. Build the polarizability  $\chi(\omega)$  from the eigenvalues  $\omega_{\lambda}^{static}$  and eigenvectors  $A_{\lambda}^{static}$
3. Build the screening  $W(\omega) = v + v\chi(\omega)v$
4. Solve the  $H_{(n_1, n_1)(n_2, n_2')}^{2p, exc}(\omega_{\lambda}) A_{\lambda}^{(n_2, n_2')}(\omega_{\lambda}) = \omega_{\lambda} A_{\lambda}^{(n_1, n_1)}(\omega_{\lambda})$  with this frequency-dependent screening

# A simple model I.

\* Two-electron system

Eigenvalue eq.

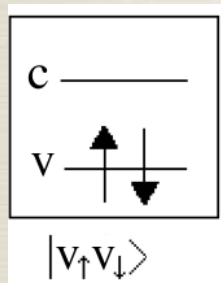
$$\begin{array}{|c|} \hline \mathbf{c} \text{ ---} \\ \hline \mathbf{v} \begin{array}{c} \uparrow \\ \downarrow \end{array} \\ \hline \end{array} \quad \left( \begin{array}{c|c} & \begin{array}{c} (v \uparrow c \uparrow) \\ (v \downarrow c \downarrow) \end{array} \\ \hline \begin{array}{c} (v \uparrow c \uparrow) \\ (v \downarrow c \downarrow) \end{array} & \begin{array}{cc} \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) & v_{(vc)(vc)} \\ v_{(vc)(vc)} & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \end{array} \end{array} \right) A = \omega A$$

$|v_{\uparrow}v_{\downarrow}\rangle$

# A simple model I.

## \* Two-electron system

Eigenvalue eq.



$$\left( \begin{array}{c|cc} & (v \uparrow c \uparrow) & (v \downarrow c \downarrow) \\ \hline (v \uparrow c \uparrow) & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) & v_{(vc)(vc)} \\ (v \downarrow c \downarrow) & v_{(vc)(vc)} & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \end{array} \right) A = \omega A$$

$W_{(vc)(vc)}^{static}$

Singlet

$$\omega_1^{static} = \Delta\epsilon_{vc} + 2v_{(vc)(vc)} - W_{(vc)(vc)}^{static}, \quad A_1^{static} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

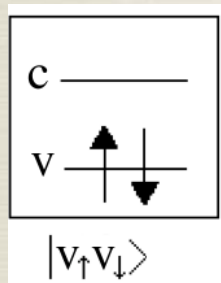
Triplet

$$\omega_2^{static} = \Delta\epsilon_{vc} - W_{(vc)(vc)}^{static}, \quad A_2^{static} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

# A simple model I.

## \* Two-electron system

Eigenvalue eq.



$$\left( \begin{array}{c|cc} & (v \uparrow c \uparrow) & (v \downarrow c \downarrow) \\ \hline (v \uparrow c \uparrow) & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) & v_{(vc)(vc)} \\ (v \downarrow c \downarrow) & v_{(vc)(vc)} & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \end{array} \right) A = \omega A$$

↘  $W_{(vc)(vc)}^{static}$

Singlet  $\omega_1^{static} = \Delta\epsilon_{vc} + 2v_{(vc)(vc)} - W_{(vc)(vc)}^{static}, A_1^{static} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$

Triplet  $\omega_2^{static} = \Delta\epsilon_{vc} - W_{(vc)(vc)}^{static}, A_2^{static} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

$(\omega^{static} - 2v_{(vc)(vc)} + v_{(cc)(vv)})^2 \gg 8\Re[v_{(cc)(vc)}v_{(vc)(vv)}]$  ↘  $\tilde{W}_{(vc)(vc)}(\omega) = v_{(cc)(vv)} + 2 \frac{\Re[v_{(cc)(vc)}v_{(vc)(cc)}]}{\omega - \omega_1^{static} - \Delta\epsilon_{vc}}$

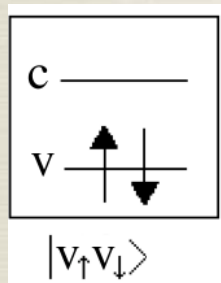
Singlets  $\omega_1 = \Delta\epsilon_{vc} + 2v_{(vc)(vc)} - v_{(cc)(vv)}$  double-excitation  $\omega_3 = 2\Delta\epsilon_{vc} + 2v_{(vc)(vc)} - W_{(vc)(vc)}^{static}$



# A simple model I.

## \* Two-electron system

Eigenvalue eq.



$$\left( \begin{array}{c|cc} & (v \uparrow c \uparrow) & (v \downarrow c \downarrow) \\ \hline (v \uparrow c \uparrow) & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) & v_{(vc)(vc)} \\ (v \downarrow c \downarrow) & v_{(vc)(vc)} & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \end{array} \right) A = \omega A$$

↘

$$W_{(vc)(vc)}^{static}$$

Singlet  $\omega_1^{static} = \Delta\epsilon_{vc} + 2v_{(vc)(vc)} - W_{(vc)(vc)}^{static}, A_1^{static} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$

Triplet  $\omega_2^{static} = \Delta\epsilon_{vc} - W_{(vc)(vc)}^{static}, A_2^{static} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

↘

$$(\omega^{static} - 2v_{(vc)(vc)} + v_{(cc)(vv)})^2 \gg 8\Re[v_{(cc)(vc)}v_{(vc)(vv)}] \quad \tilde{W}_{(vc)(vc)}(\omega) = v_{(cc)(vv)} + 2 \frac{\Re[v_{(cc)(vc)}v_{(vc)(cc)}]}{\omega - \omega_1^{static} - \Delta\epsilon_{vc}}$$

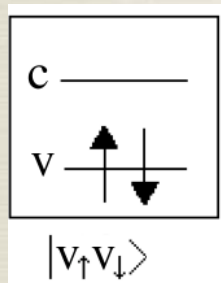
Singlets  $\omega_1 = \Delta\epsilon_{vc} + 2v_{(vc)(vc)} - v_{(cc)(vv)} \quad \omega_3 = 2\Delta\epsilon_{vc} + 2v_{(vc)(vc)} - W_{(vc)(vc)}^{static}$  double-excitation

Triplets  $\omega_2 = \Delta\epsilon_{vc} - v_{(cc)(vv)}$

# A simple model I.

## \* Two-electron system

Eigenvalue eq.



$$\left( \begin{array}{c|cc} & (v \uparrow c \uparrow) & (v \downarrow c \downarrow) \\ \hline (v \uparrow c \uparrow) & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) & v_{(vc)(vc)} \\ (v \downarrow c \downarrow) & v_{(vc)(vc)} & \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \end{array} \right) A = \omega A$$

↘

$$W_{(vc)(vc)}^{static}$$

Singlet  $\omega_1^{static} = \Delta\epsilon_{vc} + 2v_{(vc)(vc)} - W_{(vc)(vc)}^{static}, A_1^{static} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$

Triplet  $\omega_2^{static} = \Delta\epsilon_{vc} - W_{(vc)(vc)}^{static}, A_2^{static} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

$(\omega^{static} - 2v_{(vc)(vc)} + v_{(cc)(vv)})^2 \gg 8\Re[v_{(cc)(vc)}v_{(vc)(vv)}]$  ↘  $\tilde{W}_{(vc)(vc)}(\omega) = v_{(cc)(vv)} + 2 \frac{\Re[v_{(cc)(vc)}v_{(vc)(cc)}]}{\omega - \omega_1^{static} - \Delta\epsilon_{vc}}$

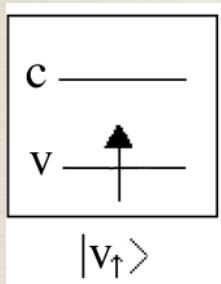
Singlets  $\omega_1 = \Delta\epsilon_{vc} + 2v_{(vc)(vc)} - v_{(cc)(vv)}$   $\omega_3 = 2\Delta\epsilon_{vc} + 2v_{(vc)(vc)} - W_{(vc)(vc)}^{static}$

Triplets  $\omega_2 = \Delta\epsilon_{vc} - v_{(cc)(vv)}$   $\omega_4 = \cancel{2}\Delta\epsilon_{vc} + 2v_{(vc)(vc)} - W_{(vc)(vc)}^{static}$

double-excitation

# A simple model II.

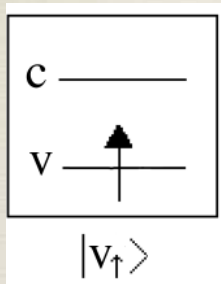
\* One-electron system



$$\left[ \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \right] A = \omega A \quad \text{Eigenvalue eq.}$$

# A simple model II.

\* One-electron system



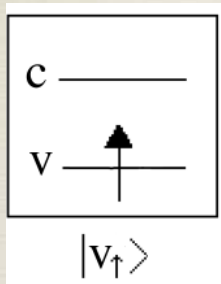
$$\left[ \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \right] A = \omega A \quad \text{Eigenvalue eq.}$$

$$W_{(vc)(vc)}^{static}$$

$$\omega^{static} = \Delta\epsilon_{vc} + v_{(vc)(vc)} - W_{(vc)(vc)}^{static}, \quad A^{static} = 1$$

# A simple model II.

## \* One-electron system



$$\left[ \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \right] A = \omega A \quad \text{Eigenvalue eq.}$$

$$\downarrow W_{(vc)(vc)}^{static}$$

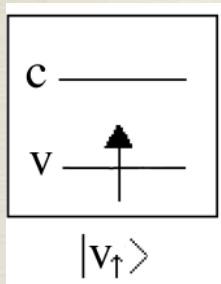
$$\omega^{static} = \Delta\epsilon_{vc} + v_{(vc)(vc)} - W_{(vc)(vc)}^{static}, \quad A^{static} = 1$$

$$(\omega^{static} - v_{(vc)(vc)} + v_{(cc)(vv)})^2 \gg 4\Re[v_{(cc)(vc)}v_{(vc)(vv)}] \downarrow \tilde{W}_{(vc)(vc)}(\omega) = v_{(cc)(vv)} + \frac{\Re[v_{(cc)(vc)}v_{(vc)(cc)}]}{\omega - \omega^{static} - \Delta\epsilon_{vc}}$$

$$\omega_1 = \Delta\epsilon_{vc} + v_{(vc)(vc)} - v_{(cc)(vv)}$$

# A simple model II.

## \* One-electron system



$$\left[ \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \right] A = \omega A \quad \text{Eigenvalue eq.}$$

$$W_{(vc)(vc)}^{static}$$

$$\omega^{static} = \Delta\epsilon_{vc} + v_{(vc)(vc)} - W_{(vc)(vc)}^{static}, \quad A^{static} = 1$$

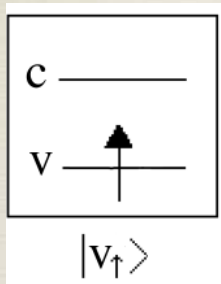
$$(\omega^{static} - v_{(vc)(vc)} + v_{(cc)(vv)})^2 \gg 4\Re[v_{(cc)(vc)}v_{(vc)(vv)}] \quad \tilde{W}_{(vc)(vc)}(\omega) = v_{(cc)(vv)} + \frac{\Re[v_{(cc)(vc)}v_{(vc)(cc)}]}{\omega - \omega^{static} - \Delta\epsilon_{vc}}$$

$$\omega_1 = \Delta\epsilon_{vc} + v_{(vc)(vc)} - v_{(cc)(vv)}$$

$$\omega_2 = 2\Delta\epsilon_{vc} + v_{(vc)(vc)} - W_{(vc)(vc)}^{static}$$

# A simple model II.

## \* One-electron system



$$\left[ \Delta\epsilon_{vc} + v_{(vc)(vc)} - \tilde{W}_{(vc)(vc)}(\omega) \right] A = \omega A \quad \text{Eigenvalue eq.}$$

$$W_{(vc)(vc)}^{static}$$

$$\omega^{static} = \Delta\epsilon_{vc} + v_{(vc)(vc)} - W_{(vc)(vc)}^{static}, \quad A^{static} = 1$$

$$(\omega^{static} - v_{(vc)(vc)} + v_{(cc)(vv)})^2 \gg 4\Re[v_{(cc)(vc)}v_{(vc)(vv)}] \quad \tilde{W}_{(vc)(vc)}(\omega) = v_{(cc)(vv)} + \frac{\Re[v_{(cc)(vc)}v_{(vc)(cc)}]}{\omega - \omega^{static} - \Delta\epsilon_{vc}}$$

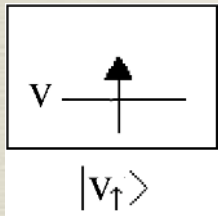
$$\omega_1 = \Delta\epsilon_{vc} + v_{(vc)(vc)} - v_{(cc)(vv)}$$

$$\omega_2 \neq 2\Delta\epsilon_{vc} + v_{(vc)(vc)} - W_{(vc)(vc)}^{static}$$

Self-screening

# Where does the self-screening come from???

\* Self-screening problem

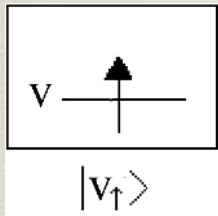


$$[i\partial_{t_1} - h(1)] G(1, 2) - \int d^3\Sigma(1, 3)G(3, 2) = \delta(1, 2) \quad h(1) = -\nabla^2/2 + U(1) + v_H(1)$$



# Where does the self-screening come from???

\* Self-screening problem

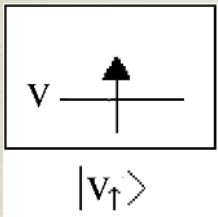


$$[i\partial_{t_1} - h(1)] G(1, 2) - \int d^3\Sigma(1, 3)G(3, 2) = \delta(1, 2) \quad h(1) = -\nabla^2/2 + U(1) + v_H(1)$$

$$\text{HF} \rightarrow \Sigma(1, 2) = iG(1, 2)v(1^+, 2) = -\sum_n^{\text{occ}} \phi_n(x_1)\phi_n^*(x_2)v(x_1, x_2)$$

# Where does the self-screening come from???

## \* Self-screening problem



$$[i\partial_{t_1} - h(1)] G(1, 2) - \int d^3\Sigma(1, 3)G(3, 2) = \delta(1, 2) \quad h(1) = -\nabla^2/2 + U(1) + v_H(1)$$

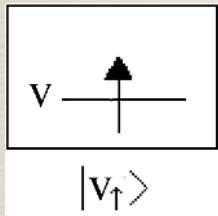
$$\text{HF} \rightarrow \Sigma(1, 2) = iG(1, 2)v(1^+, 2) = -\sum_n^{\text{occ}} \phi_n(x_1)\phi_n^*(x_2)v(x_1, x_2)$$



$$[i\partial_{t_1} + \nabla^2/2 - U(1)] G(1, 2) - \int dx_3 v(x_1, x_3) [\phi(x_3)\phi^*(x_3) - \phi(x_3)\phi^*(x_3)] G(1, 2) = \delta(1, 2)$$

# Where does the self-screening come from???

\* Self-screening problem

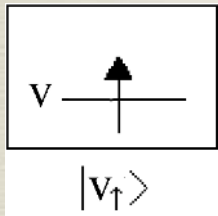


$$[i\partial_{t_1} - h(1)] G(1, 2) - \int d^3\Sigma(1, 3)G(3, 2) = \delta(1, 2) \quad h(1) = -\nabla^2/2 + U(1) + v_H(1)$$

$$GW \rightarrow \Sigma = i [Gv + G(W - v)]$$

# Where does the self-screening come from???

\* Self-screening problem



$$[i\partial_{t_1} - h(1)] G(1, 2) - \int d^3\Sigma(1, 3)G(3, 2) = \delta(1, 2) \quad h(1) = -\nabla^2/2 + U(1) + v_H(1)$$

$$GW \rightarrow \Sigma = i [Gv + G(W - v)]$$

Self-screening

# Where does the self-screening come from???

\* Second order self-energy and kernel\*

$$\Sigma = \text{cloud} + \text{loop} + \text{chain}$$

$$K = v + i \frac{\partial \Sigma}{\partial G} \rightarrow \text{wavy} + \text{triangle} + \text{triangle} + \text{square} + \text{loop} + \text{loop} + \text{loop}$$

# Where does the self-screening come from???

\* Second order self-energy and kernel\*

$$\Sigma = \text{cloud} + \text{loop} + \text{chain}$$
$$K = v + i \frac{\partial \Sigma}{\partial G}$$

The diagrams for  $\Sigma$  and  $K$  are as follows:

- $\Sigma$  terms: 1. A cloud diagram (a wavy line with an arrow pointing right). 2. A loop diagram (a wavy line with a fermion loop). 3. A chain diagram (a wavy line with three fermion lines in series).
- $K$  terms: 1. A wavy line. 2. A vertex correction diagram (a wavy line with a fermion loop at the vertex). 3. A triangle diagram (a wavy line with a fermion loop). 4. A square diagram (a wavy line with a fermion loop). 5. A bubble diagram (a wavy line with a fermion loop). 6. A chain diagram (a wavy line with two fermion lines in series). 7. A double-chain diagram (a wavy line with four fermion lines in series).

**No extra poles** WHY?

# Conclusions

- \* A frequency-dependent kernel can create extra poles in the response function
- \*  $W(\omega)$  creates double excitations...
- \* ...and spurious solutions...
- \* Vertex corrections needed

# From BSE back to TDDFT

\* The frequency-dependent xc kernel

$$f_{xc}(1, 2) = f_{xc}^{(1)}(1, 2) + f_{xc}^{(2)}(1, 2)$$



# From BSE back to TDDFT

\* The frequency-dependent xc kernel

$$f_{xc}(1, 2) = f_{xc}^{(1)}(1, 2) + f_{xc}^{(2)}(1, 2)$$

$$f_{xc}^{(1)}(1, 2) = \chi_{KS}^{-1}(1, 2) - \chi_0^{-1}(1, 2)$$

$$f_{xc}^{(2)}(1, 2) = -i\chi_0^{-1}(1, 5)G(5, 3)G(4, 5)\frac{\delta\Sigma(3, 4)}{\delta\rho(2)}$$

# From BSE back to TDDFT

\* The frequency-dependent xc kernel

$$f_{xc}(1, 2) = f_{xc}^{(1)}(1, 2) + f_{xc}^{(2)}(1, 2)$$

$$f_{xc}^{(1)}(1, 2) = \chi_{KS}^{-1}(1, 2) - \chi_0^{-1}(1, 2)$$

$$f_{xc}^{(2)}(1, 2) = -i\chi_0^{-1}(1, 5)G(5, 3)G(4, 5)\frac{\delta\Sigma(3, 4)}{\delta\rho(2)}$$


$$\delta\Sigma/\delta G = -W$$

$$f_{xc}^{(2)}(1, 2) \simeq -\chi_0^{-1}(1, 5)L_0(1, 4, 1, 3)W(3, 4)L_0(3, 2, 4, 2)\chi_0^{-1}(6, 2)$$

# From BSE back to TDDFT

\* The frequency-dependent xc kernel

$$f_{xc}(\omega) = -\chi_0^{-1}(\omega) \left[ \int \frac{d\omega' d\omega''}{(2\pi)^2} L_0(\omega, \omega', \omega'') \int \frac{d\omega''' d\tilde{\omega}}{(2\pi)^2} W(\omega' - \tilde{\omega}) L_0(\omega, \tilde{\omega}, \omega''') \right] \chi_0^{-1}(\omega)$$

# From BSE back to TDDFT

\* The frequency-dependent xc kernel

$$f_{xc}(\omega) = -\chi_0^{-1}(\omega) \left[ \int \frac{d\omega' d\omega''}{(2\pi)^2} L_0(\omega, \omega', \omega'') \int \frac{d\omega''' d\tilde{\omega}}{(2\pi)^2} W(\omega' - \tilde{\omega}) L_0(\omega, \tilde{\omega}, \omega''') \right] \chi_0^{-1}(\omega)$$



$$f_{xc}(\omega) = - \sum_{vc, v'c'} \chi_0^{-1}(\omega) \tilde{L}_0^{vc}(\omega) \tilde{W}_{v'c'}^{vc}(\omega) \tilde{L}_0^{v'c'}(\omega) \chi_0^{-1}(\omega)$$

$$\begin{aligned} \tilde{W}_{v'c'}^{vc}(x_5, x_6, \omega) = & v(x_5, x_6) + \sum_{\lambda} v(x_5, x_{1'}) \left[ \frac{\sum_{\tilde{v}\tilde{c}, \tilde{v}'\tilde{c}'} A_{\lambda}^{(\tilde{v}\tilde{c}), static} \phi_{\tilde{v}}^*(x_{1'}) \phi_{\tilde{c}}(x_{1'}) \phi_{\tilde{v}'}(x_{2'}) \phi_{\tilde{c}'}^*(x_{2'}) A_{\lambda}^{*(\tilde{v}'\tilde{c}'), static}}{\omega - \omega_{\lambda}^{static} - (\epsilon_{c'} - \epsilon_v) + i\eta} \right. \\ & \left. + \frac{\sum_{\tilde{c}\tilde{v}, \tilde{c}'\tilde{v}'} A_{\lambda}^{(\tilde{c}\tilde{v}), static} \phi_{\tilde{c}}^*(x_{1'}) \phi_{\tilde{v}}(x_{1'}) \phi_{\tilde{c}'}(x_{2'}) \phi_{\tilde{v}'}^*(x_{2'}) A_{\lambda}^{*(\tilde{c}'\tilde{v}'), static}}{\omega - \omega_{\lambda}^{static} - (\epsilon_c - \epsilon_{v'}) + i\eta} \right] v(x_{2'}, x_6) \end{aligned}$$

# From BSE back to TDDFT

\* The frequency-dependent xc kernel

\* This work

$$f_{xc}(\omega) = A + \frac{B}{\omega - \omega^{static} - (\epsilon_c - \epsilon_v)}$$

\* N. Maitra et al.

$$f_{xc}(\omega) = \tilde{A} + \frac{\tilde{B}}{\omega - (H_{DD} - H_{00})}$$