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Doxorubicin induces cardiotoxicity in a pluripotent stem cell model of aggressive B cell lymphoma cancer patients — Source link

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1 **Doxorubicin induces cardiotoxicity in a pluripotent stem cell model of aggressive B cell**
2 **lymphoma cancer patients**

3

4 **Short title: Mechanisms of Dox-induced ACT**

5

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- 3

1 **Abstract**

2 Cancer therapies have been shown to induce cardiovascular complications. The aims of this study
3 were to establish an *in vitro* induced pluripotent stem cell model (iPSC) of anthracycline-induced
4 cardiotoxicity (ACT) from patients with an aggressive form of cancer.

5 ACT-iPSC-CM generated from individuals with CD20⁺ B-cell lymphoma cancer who had received
6 high doses of DOX and suffered cardiac dysfunction were observed to be persistently more
7 susceptible to DOX toxicity compared to control-iPSC-CM. ACT-iPSC-CM exhibited increased DOX-
8 dependent disorganized myofilament structure and cell death, as well as higher reactive oxygen
9 species (ROS) compared to controls. Importantly, analysis of engineered heart muscle (EHM) from
10 ACT-iPSC-CM showed an impaired DOX-dependent mechanical functionality. Transcriptome
11 profiles of EHM are in line with a disturbed adjustment to DOX-dependent alteration of Ca²⁺
12 homeostasis in ACT-iPSC-CM. Furthermore, genetic variants in different cardiac key regulators
13 were uncovered.

14 In conclusion, we developed the first human iPSC-CM and EHM model of DOX-induced cardiac
15 dysfunction in patients with B-cell lymphoma. Our results suggest that DOX-related stress
16 resulted in decreased contractile activity and finally in heart failure in ACT patients.

17
18 Brief summary: Development of the first human iPSC-CM model of DOX-induced cardiac
19 dysfunction in patients with aggressive B cell lymphoma and high-dose DOX treatment.

20

1 **Introduction**

2 Anthracycline-induced cardiotoxicity (ACT) was first described in 1971(1). Although it has been
3 known for decades that the anthracycline drug doxorubicin (DOX) can trigger cardiotoxicity, its
4 outstanding efficacy against a broad range of solid and hematopoietic cancers often overrides
5 considerations of its risk for the clinical application. Currently it is administered to 32% of breast
6 cancer patients(2), 57-70% of elderly lymphoma patients(3, 4), and 50-60% of childhood cancer
7 patients(5). After it became evident that the risk of ACT rises with increasing doses of DOX, the
8 lifelong cumulative dose of DOX was set at 500 mg/m², (6, 7). Nevertheless, more recent studies
9 suggest that the incidence of ACT is still at 5-9%, with up to 18% of DOX-treated patients showing
10 subclinical symptoms (8-10). The side effects of cardiotoxicity include disturbance in ventricular
11 de/-repolarization, arrhythmia, decrease in left ventricular ejection fraction (LVEF), and fractional
12 shortening (FS) often leading to dilative heart failure (HF) and enhanced mortality (11).

13 Although ACT causes lethal congestive heart failure (HF), no early detection or effective treatment
14 methods are yet available(12). Development of ACT is highly inter-individually variable, and is
15 thus not possible to accurately predict its occurrence. Why only some patients develop ACT, and
16 exactly why it developed in these and not others, has been the subject of intense study. Recently,
17 clinical study cohorts were investigated for an association of gene variants with ACT (13-15)
18 including the 'rituximab with CHOP over age 60 years' (RICOVER60) trial (16) and the NHL-B1/B2
19 study.

20 The pathophysiology of ACT is described as multifactorial and the exact causal molecular
21 mechanisms of DOX-induced cardiotoxicity remain elusive. The main cardiotoxic effects of DOX
22 observed so far include elevated levels of reactive oxygen species (ROS), cardiomyocyte death,

1 mitochondrial dysfunction, topoisomerase II β poisoning, induction of autophagy and defective
2 calcium homeostasis (17-19). However, the mechanism through which DOX damages the heart
3 and causes disease progression over multiple decades is still poorly understood.

4 Generation of ROS by so-called redox cycling in cardiomyocytes can cause lipid peroxidation, DNA
5 damage, and mitochondrial dysregulation. Mitochondria are the major site of DOX-dependent
6 ROS production due to the localization of redox cycling enzymes as NADH oxidases. It is likely that
7 DOX interferes with the electron transport chain, disrupts mitochondrial membranes, and further
8 increases mitochondrial ROS production(20, 21). Dysregulated redox signaling is known to be
9 connected to cardiac diseases (22-24). Other proposed mechanisms include inhibition of
10 topoisomerase II by DOX' intercalation into DNA, leading to DNA damage-induced cellular stress
11 and cell death in cardiomyocytes (25-27) which in turn result in loss of functional cardiomyocytes
12 and heart injury (19). Finally, DOX induces disruption of Ca^{2+} homeostasis in CM by increasing Ca^{2+}
13 release from the sarcoplasmic reticulum (SR) by higher opening probabilities of ryanodine
14 receptor 2 (RYR2) (18, 28, 29). On the other hand, expression and activity of the
15 sarco/endoplasmic reticulum Ca^{2+} ATPase (SERCA) is reduced by DOX (18, 30), leading to
16 decreased Ca^{2+} transport into the SR and cytoplasmic Ca^{2+} overload. This results in sarcomeric
17 disarray and a reduction in the heart's contractile force, and may contribute to generation of
18 arrhythmia (18, 31).

19 Not only cardiomyocytes but also cardiac fibroblasts (cFB) are key players in myocardial
20 pathology. cFB are considered the predominant source of the extracellular matrix and the
21 hallmark of fibrosis (32). During cardiac stress, cFB trans-differentiate into myofibroblasts, which
22 perform cardiac extracellular remodeling and gain contractile activity due to alpha smooth muscle

1 (α -SMA) expression(33, 34). An increase in the extracellular matrix (ECM) normally results in
2 cardiac stiffness and diastolic dysfunction as well as impaired cardiac contraction (35, 36). cFB
3 interact with CM through direct connections and paracrine signaling (37). However, less is known
4 about the direct effects of DOX on human cFB from diseased ACT myocardium.

5 There has been a lack of human cardiomyocyte culture models from patients with ACT that could
6 be used to help us better understand the molecular and cellular physiology of ACT. However, the
7 generation of induced pluripotent stem cell-derived cardiomyocytes (iPSC-CM) has now made it
8 possible to establish ACT patient-specific disease models. iPSC CM have been widely used to study
9 hereditary and multifactorial cardiac conditions in vitro, including arrhythmic disorders or (stress)
10 cardiomyopathies, with a correlation to predicted phenotypes (38, 39). Patient-specific stem cell
11 models showed a predilection of breast cancer patients to low DOX-induced cardiotoxicity (40) or
12 trastuzumab-induced cardiac dysfunction(41). A genetic predisposition has been suggested for
13 ACT (13), but this is not yet established.

14 In the present study, we used iPSC-CM, cFB, and engineered heart muscle (EHM) generated from
15 patients who received high dosages of DOX to investigate their contribution to the development
16 of cardiac dysfunction. We show here that iPSC-CM, cFB and EHM generated from ACT patients
17 are more susceptible to detrimental effects of DOX treatment than CM, cFB and EHM from control
18 patients. Transcriptome analysis revealed the key role of an altered mRNA translational process
19 for iPSC-CM in disease pathogenesis. The resulting inability of ACT-iPSC CM to adapt to acute DOX
20 exposure as control iPSC-CM were able to do was demonstrated by the DOX-induced disruption
21 of the Ca homeostasis. Control iPSC CM increased protein expression of Ca²⁺ transporting proteins
22 in the sarcoplasmic reticulum as SERCA and RYR2, and thus avoided cytoplasmic Ca²⁺ overload

1 and heart failure conditions. In contrast, ACT-iPSC-CM showed decreased SERCA protein but DOX-
2 induced CamKII δ activity of target phosphorylation of RYR2-S2814 and PLN-Thr17. These findings
3 have made it possible for us to develop a simultaneous modulation of SERCA and CamKII activity
4 which may serve as a novel therapeutic and protective strategy for patients with ACT.

5

6 **Results**

7 **Characterization of human ACT myocardium**

8 To investigate the importance and severity of ACT, we had the unique opportunity to analyze
9 samples of human explanted myocardium from 5 patients with end-stage heart failure that
10 developed after anthracycline chemotherapy, and compare these to samples of healthy non-
11 failing (NF) controls (Table 1). Fibrosis was analyzed using Masson's trichrome staining followed
12 by quantification of fibrotic areas in relation to myocardium. In the ACT patients, we found
13 massive fibrosis in 24.4%, a rate significantly higher compared to healthy controls (8.5%) (Figure
14 1A, B). Accordingly, there was a significantly increased expression of fibrotic markers such as
15 connective tissue growth factor (CTGF) and matrix metalloproteinase 9 (MMP9) in the ACT patient
16 samples (Figure 1C). In addition, we examined the expression of SERCA2 as a key player in
17 excitation/contraction coupling and found it to be significantly downregulated on the mRNA and
18 protein level in ACT patients (Fig. 1D). Redox stress-associated NADPH oxidase subunits as *NOX2*,
19 *RAC1*, *RAC2*, *NCF2*, and *NCF4* were substantially downregulated in ACT tissue compared to NF,
20 whereas *NOX4* was upregulated in ACT myocardium compared to NF (Supplementary Figure 1A-
21 c). These data confirmed that fibrosis is a significant feature of end-stage ACT myocardium, and
22 that oxidative stress may play a key role in ACT development.

1

2 **Characterization of isolated human cardiac fibroblasts from ACT myocardium**

3 To investigate the hypothesis that cFB contribute together with CM to the development of ACT,
4 cFB were isolated from the left ventricle of ACT human myocardium and characterized as to their
5 origin. We were able to demonstrate that isolated cardiac cells have a characteristic FB
6 morphology and a high proliferative capacity, and express typical FB genes and proteins (Figure
7 1E, Supplementary Figure 1D-E). DOX treatment of ACT-cFB and skin FB (sFB) revealed that DOX
8 markedly increased the expression of *ACTA2* and *COL1A1*, indicating trans-differentiation into
9 active ACT-cFB compared to mostly unchanged expression in sFB (Figure 1G). Interestingly, *SERCA*
10 expression was enhanced upon DOX treatment in ACT-cFB, but not in sFB (Figure 1G). We
11 observed that certain NADPH oxidase subunits such as *RAC2* and *NCF4* increased in expression
12 after DOX, but there was little effect on *NOX4* in both ACT-cFB and sFB. Furthermore, expression
13 levels were markedly decreased in sFB for all tested markers compared to ACT-cFB (Figure 1G).
14 These results indicate that cFB isolated from human ACT myocardium exhibits an increased
15 myofibroblastic stress response after DOX treatment.

16 **Recruitment of ACT patients for iPSC generation**

17 We aimed to generate iPSC CM from ACT patients to perform a patient-specific analysis of the
18 long-term DOX-effects in human CM. For this we recruited five patients from the 'rituximab with
19 CHOP over age 60 years' RICOVER60 trial (www.clinicaltrials.gov: NCT00052936). All of these
20 patients suffered from diffuse large B cell lymphoma and had been treated with DOX as part of a
21 CHOP-14 treatment (cyclophosphamide, doxorubicin, vincristine and prednisolone in two-week
22 intervals). RICOVER60 trial participants were classified into ACT patients and controls based on

1 Reichwagen et al., 2015, with symptoms such as reduced left ventricular ejection fraction (LVEF
2 <45%), arrhythmia, or heart failure treatment for ACT (14). Based on these criteria, we recruited
3 three patients for our study (referred to as ACT patients) who suffered from clinical cardiotoxicity
4 (post-treatment LVEF: <45%) as well as two patients (controls) who did not experience clinical
5 cardiotoxicity after chemotherapy (post-treatment LVEF: >55%) (Table 2).

6 **Generation and characterization of iPSC-derived cardiomyocytes**

7 We generated integration-free iPSCs from dermal fibroblasts from three ACT patients and two
8 controls from the RICOVER60 trial. Two independent iPSC cell lines were generated per patient
9 and analyzed for their pluripotency. All generated iPSCs maintained full pluripotency and
10 spontaneous in vitro and in vivo differentiation capacity (Supplementary Figure 2A-C). Both ACT-
11 and control-iPSCs were differentiated into CM using standardized WNT modulation (42) and
12 metabolic selection (43). Individual batches were tested for homogeneity with staining for the
13 cardiac specific marker cTNT and subsequent analysis with flow cytometry at day 60 of
14 differentiation. Our differentiations consisted of more than 95% cTNT-positive cells
15 (Supplementary Figure 2D). iPSC CM expressed mRNA for α -actinin, cTNT, α -MHC and β -MHC
16 (Supplementary Figure 2E), whereas expression of these genes was much lower in
17 undifferentiated iPSCs.

18 **DOX resorption and retention in iPSC CM of ACT patients and controls**

19 Differences in the pharmacokinetics between patients can result in vastly different efficacy or side
20 effects. We therefore treated the ACT CM with increasing DOX concentrations or durations based
21 on pharmacokinetic characteristics of DOX in humans (44), and analyzed the intracellular DOX
22 levels in ACT CM in comparison to control CM. Both ACT CM and control CM showed a positive

1 correlation between DOX treatment concentration and intracellular DOX levels, with consistently
2 higher intracellular DOX levels in ACT-iPSC CM (Figure 2A). Time-dependent DOX resorption
3 experiments demonstrated increased intracellular DOX concentrations with peak values after 48
4 h of treatment (Figure 2B). Since chronic ACT can manifest itself many years or even decades after
5 cancer treatment (45), we explored the hypothesis that remaining small amounts of DOX
6 continuously cause damage in CM. iPSC CM were treated with DOX for 24 h and recovered for an
7 additional three or seven days, respectively. DOX levels were significantly decreased to 25% after
8 3 d of recovery in control iPSC CM, whereas a similar decrease to 17.3% of intracellular DOX was
9 demonstrated in ACT iPSC CM after at least seven days of recovery (Figure 2C). These results show
10 that DOX did not remain in CM for extended periods and that DOX remains longer in iPSC CM
11 from ACT patients compared to controls. Of note, on the basis of this data as well as prior reports
12 (40), we primarily selected the time point of 24 h (for most experiments) and used DOX at
13 concentrations in the range of 0.1-5 μ M for all further experiments in iPSC-CM, cFB and EHM.

14

15 **DOX-induced cardiotoxicity is increased in ACT-iPSC CM**

16 Direct myofibril damage can be visualized by α -actinin staining and is a hallmark of doxorubicin
17 cardiotoxicity (46). To assess the sarcomeric integrity after treatment with DOX, we analyzed the
18 sarcomeric structures in ACT and control iPSC CM. The sarcomeric regularity was visibly impaired
19 after treatment with 5 μ M DOX for 24 h (Figure 2D). Quantification using Fast Fourier
20 Transformation (FFT) and radial integration confirmed the dose-dependent decrease of
21 sarcomeric regularity in both groups (Figure 2E). Using the clinically relevant concentration of

1 0.25 μM DOX, the impairment of sarcomeric regularity was significantly greater in ACT CM
2 compared to control CM (Figure 2D-F).

3 To analyze programmed cell death, we performed flow cytometry of iPSC CM that were positively
4 stained for both annexin V and propidium iodide (PI). We found a dose-dependent relative
5 increase in both apoptotic and dead cells after DOX incubation for 24 h and 72 h in both groups,
6 suggesting that programmed cell death seems to be a principal mechanism of DOX-induced cell
7 loss (Figure 2 G, H). All DOX concentrations tested resulted in increased amounts of apoptotic and
8 dead cells in the ACT patient group compared to controls (Figure 2G, H). A significant increase in
9 apoptotic and dead cells was detected in ACT CM compared to control CM at a DOX concentration
10 of 1 μM for 72 h (Figure 2H). These data suggest that DOX-treated iPSC CM recapitulate ACT
11 disease phenotypes such as sarcomeric organizational damage and programmed cell death, as is
12 commonly described in ACT patients.

13 **DOX-dependent ROS production is enhanced in ACT iPSC CM and ACT cFB compared to control**

14 Since the increased generation of reactive oxygen species (ROS) is described as one of the key
15 mechanisms underlying the cardiotoxic effects of DOX, we aimed to assess the amount of ROS in
16 ACT iPSC CM compared to controls by measuring extracellular H_2O_2 with Amplex Red. After 24 h
17 of DOX treatment, H_2O_2 increased DOX-dependently with significantly higher H_2O_2 at 0.5 μM DOX
18 in ACT iPSC CM compared to control cells (Figure 3A). Higher DOX concentrations do not influence
19 H_2O_2 levels in ACT or control iPSC CM. We addressed the question as to whether the observed
20 DOX-induced changes in H_2O_2 production occurred only during or immediately after the
21 treatment of the cells, and analyzed the H_2O_2 level 7, 14 and 21 days after one-time DOX
22 treatment. We found a 2-fold increase in H_2O_2 directly after the 24 h DOX treatment (0 days after

1 DOX treatment) and similar H₂O₂ amounts 14 and 21 d after DOX treatment in both groups (Figure
2 3B). Interestingly, a significant 3-4-fold increase in H₂O₂ was found 7 d after DOX treatment in
3 control and ACT iPSC CM (Figure 3B). These findings suggest that chronic changes were induced
4 by single DOX applications because almost no DOX was detectable in the cells at the same time
5 points (7 d after DOX treatment) (Figure 2C). Since we found a fibrotic phenotype of ACT
6 myocardium, we analyzed DOX-induced ROS production in ACT cFB, ACT sFB and healthy control
7 cFB. We found a DOX-dependent H₂O₂ increase in all tested FBs with significantly higher H₂O₂
8 amounts at all measured DOX concentrations in ACT cFB compared to healthy cFB or patient sFB
9 (Figure 3C). Taken together, both ACT-iPSC CM and ACT cFB showed dose-dependent increases in
10 extracellular H₂O₂ compared to healthy controls, suggesting a contribution of both cell types to
11 the development of ACT.

12 **Decreased DOX-dependent contractile function in ACT patients**

13 To investigate how the DOX-dependent alterations in sarcomeric integrity, ROS production, and
14 apoptosis affect muscle function, we generated EHM from ACT or control iPSC CM. EHM from
15 ACT-iPSC CM and control iPSC CM exhibited comparable spontaneous beating frequencies
16 (control: 35.8 ± 3.5, ACT: 28.4 ± 2.9 bpm). DOX treatment induced an increase in beating
17 frequency, which was significantly more pronounced in ACT EHM (Figure 4A) compared to
18 controls. About 15% of generated EHM displayed irregular beating at basal conditions and DOX
19 treatment caused the rate to increase to 40% in both groups (Supplementary Figure 3B).
20 Furthermore, EHM from both groups showed similar maximal force of contraction at increasing
21 Ca²⁺ concentrations (Figure 4B). Upon DOX treatment, the force of contraction was significantly
22 decreased in the ACT patient group but not in the control group (Figure 4B, C, D). Despite the

1 significant decrease in cross sectional area (CSA) of ACT EHM compared to controls
2 (Supplementary figure 3A), we still found a significant DOX-dependent decrease in relative force
3 of contraction in ACT EHM (by about 35%) after normalization to CSA (Figure 4E, F). Taken
4 together, EHM from ACT iPSC CM showed an increased DOX-dependent beating frequency and a
5 decreased DOX-dependent relative force generation compared to control iPSC CM.

6 **Effect on doxorubicin on patient-specific gene expression**

7 To further elucidate and confirm the molecular mechanisms underlying the pathogenesis of the
8 DOX-induced phenotype, we performed RNA sequencing of EHM derived from three ACT patients
9 and two controls, both with and without 0.25 μ M DOX exposure for 24 h. We were able to identify
10 the differentially regulated genes (DEGs) between the untreated EHM population and the EHM
11 after DOX treatment (Figure 5A). After normalization for baseline expression, we identified 1106
12 upregulated DEGs and 990 downregulated DEGs in both ACT patient and control after DOX (Figure
13 5A). Principle component analysis (PCA) of the ACT and control groups treated and not treated
14 with DOX showed a low variation within the groups, but allowed a clear distinction due to high
15 variation between ACT and control as well as between -/+ DOX (Figure 5B). GO enrichment
16 analysis of DOX-induced DEGs in both control and ACT-EHM identified significantly increased
17 expression of genes with key functions including programmed cell death (10.8%, e.g. *BCL2L1*, *FAS*,
18 *BAX*, *GPX1*, *ICAM1*), regulation of autophagy (e.g. *BECN1*), and catabolic processes (e.g. *STAT3*,
19 *ABHD5*) (Figure 5C, Supplementary Figure 4A, Supplementary Table 1). Furthermore, we found
20 significant decreases in actin cytoskeletal organization (18.03%, e.g. *ACTA2*, *MYBPC3*, *MYH6*,
21 *MYH7*, *MYL2*, *MYL7*, *TNNI3*, *TNNT2*), muscle contraction (8.74%, e.g. *LDB3*, *MYBPC3*, *MYH6*,
22 *MYL2*, *MYOM1*, *SORBS1*, *STMN1*, *TCAP*, *TNNT2*), and chromosome organization (2.19%, *BRCA2*,

1 *TOP2A*, *TOP2B*) in both groups after DOX treatment (Figure 5D, Supplementary Figure 4B, and
2 Supplementary Table 2). Normalized counts of DOX-induced regulated DEGs associated with
3 general molecular mechanisms such as apoptosis (*BAX*, *BCL2L2*), catabolic processes (*ADHB5*) as
4 well as with chromosome organization (*TOP2A*) are shown in Figure 5C and D. The
5 downregulation of cardiac structural genes in response to DOX is illustrated in a heat map for
6 control and ACT patients (Figure 5D), and was also established in animal *in vitro* and *in vivo*
7 models(47) (48). We confirmed and validated a consistent downregulation of transcripts such as
8 α -actinin (*ACTA2*), α -myosin heavy chain (*MYH6*), β -myosin heavy chain (*MYH7*), and cardiac
9 troponin T (*TNNT2*), after DOX treatment by qPCR in human 2D iPSC CM in both populations
10 (Figure 5E). These data suggest that DOX-treated EHM recapitulate general ACT disease
11 mechanisms such as programmed cell death, myofibril damage or catabolic processes, and
12 confirm the increased DOX-dependent apoptosis and sarcomeric dysregularity in iPSC CM of
13 control and ACT patients (Figure 2D-H).

14 Next, we focused on signaling pathways which are specifically regulated in control EHM, but not
15 in ACT EHM, after DOX treatment. Interestingly, we found 360 upregulated and 269
16 downregulated genes which were specifically altered in expression in control EHM after DOX
17 (Figure 5A). GO-term analysis of control DOX-induced DEGs identified significantly increased
18 expression of translation, translational initiation (*EIF3D*, *EIF6*), ribosome assembly (*EFNA1*), and
19 positive regulation of p38MAPK cascade (*PRMT1*) (Figure 6A, Supplementary Table 3). Normalized
20 counts of control-specific DOX-dependent DEGs were associated with increased translation as
21 well as p38MAPK signaling, as depicted in Figure 6A.

1 In contrast to control EHM, we found 1945 upregulated and 2054 downregulated genes in ACT
2 patient EHM after DOX treatment (Figure 6A). The upregulated genes were involved in negative
3 regulation of protein synthesis (Eukaryotic Translation Initiation Factor 2 Alpha Kinase 4
4 (*EIF2AK4*)) or protein nuclear export/ incorrect folding (*BAG3*) (Supplementary Figure 4C, Figure
5 6B, Supplementary Table 4). In addition, we found a significant decrease in oxidative stress-
6 related genes (*CYBA*, *NOX4*, *NOS3*) in ACT EHM after DOX compared to the untreated patient
7 group (Supplementary Figure 4C, Figure 6C, Supplementary Table 5). Of note, PR domain
8 containing 16 (*PRDM16*), a repressor of TGF β signaling and known genetic cause of left
9 ventricular, non-compaction cardiomyopathy (LVNC) and DCM (49), was significantly
10 downregulated in ACT iPSC CM after DOX, but not in DOX-treated control cells (Figure 6C).
11 Normalized counts of ACT-specific DOX-dependent DEGs associated with translation (*EIF2AK4*,
12 *BAG3*) as well as redox stress (*CYBA*, *NOX4*, *NOS3*), or *PRDM16* are shown in Figure 6B, C. We
13 validated the ACT-specific downregulation of redox stress-associated genes *CYBA* and *NOX4* in
14 response to DOX by qPCR in 2D iPSC CM (Figure 6D). These data indicate differentially regulated
15 mechanisms such as mRNA translational processes in DOX-treated ACT EHM compared to control.

16 In order to identify different impacts of DOX in ACT and control EHM, we detected 179
17 significantly regulated genes with 71 downregulated and 108 upregulated genes after DOX
18 treatment in ACT and control patients (Supplementary Table 6, Figure 6E, F). We were able to
19 show that cardiac structural genes such as *ACTA2* or *OBSCN* are significantly more downregulated
20 in response to DOX in ACT iPSC CM compared to control cells as shown in a heatmap (Figure 6E).
21 Furthermore, calsequestrin (*CASQ2*), which is involved in calcium binding in muscle cells, was
22 significantly downregulated after DOX treatment in ACT patients as compared to controls. In

1 addition, differences in other calcium handling-related genes such as the calcium sensor S100,
2 the calcium-binding protein S6 (*S100A6*), or the calcium binding-related gene cadherin 6 (*CDH6*)
3 were identified in this group (Figure 6D). We confirmed and validated the increased
4 downregulation of *CASQ2* as well as the increased upregulation of *S100A6* after DOX treatment
5 in ACT EHM by qPCR in 2D iPSC -CM (Figure 6F). The different DOX-dependent regulation of genes
6 such as *WNT5A*, *NRG1* and *PDE4C* in ACT and control patients points to the involvement of several
7 more signaling pathways in ACT.

8 **Disturbed functionality of calcium homeostasis in patients with ACT after DOX**

9 Due to DOX-dependent alterations in cardiac functionality and DEGs in ACT EHM, we sought to
10 investigate calcium kinetics in iPSC CMs at a therapeutic DOX dose of 0.25 μM as well as a toxic
11 DOX dose of 5 μM . We detected a significantly higher T50 transient decay time in ACT-iPSC CMs
12 compared to controls at DOX concentrations of 0.25 μM and 5 μM (Figure 7A). Furthermore, we
13 found a decrease in the amplitude of calcium transients (Ca^{2+}_i) in the ACT iPSC CMs as compared
14 with control subjects at DOX concentrations of 0.25 $\mu\text{mol/l}$ (Figure 7B), but no difference between
15 the groups at DOX concentrations of 5 $\mu\text{mol/l}$ (Figure 7B). While our results showed a higher rise
16 time in ACT-iPSC CMs compared to controls at low DOX concentrations, 5 $\mu\text{mol/l}$ DOX did not
17 result in a change of this parameter between the groups (Figure 7C).

18 To better understand the impact of DOX on calcium kinetics, key calcium regulating proteins and
19 their phosphorylation were analyzed using Western blots. Interestingly, in controls, iPSC CM
20 expression of SERCA increased in a DOX dose-dependent manner (0.5 – 5 $\mu\text{mol/l}$), whereas SERCA
21 expression decreased in ACT-iPSC CM at the same DOX concentrations (Figure 7D and I).
22 Additionally, we detected an increased expression of ryanodin receptor 2 (RYR2) upon DOX

1 treatment in control cells, but not in ACT-iPSC CM (Figure 6G, I). In contrast, the expression of
2 sodium-calcium exchanger 1 (NCX1) and phospholamban (PLN) upon DOX treatment was not
3 affected in either group (Figure 7E, H and I). However, PKA-specific phosphorylation of PLN-S16
4 increased significantly in a DOX dose-dependent manner, predominantly in ACT-iPSC CM (Figure
5 7H, I). In contrast, PKA-specific phosphorylation of RYR-S2808 did not result in DOX-induced
6 changes (Figure 7G). The amount of calcium/calmodulin (CaM)-dependent kinase II δ (CamKII δ)
7 was not regulated after DOX treatment in both groups (Figure 7F). Of note, CamKII δ -specific
8 phosphorylation of RYR2-S2814 or PLN- Thr17 increased in ACT-iPSC CM depending on the dosage
9 of DOX, with a significant increase at 5 μ M DOX compared to basal conditions and control-iPSC
10 CM for both targets (Figure 7G, H, I). We also quantified the basal protein expression of calcium-
11 handling proteins, and detected no significant differences in the amounts of SERCA, NCX1, PLN,
12 CamKII δ , and RYR2. In addition, the phosphorylation of PLN-S16 or -Thr17 and RYR2-S2808 or -
13 S2814 were similar under basal conditions in control and ACT-iPSC CM (Supplementary Figure 5A,
14 B).

15 In summary, these results suggest that there are differing Ca^{2+} mechanisms in the control and ACT
16 groups that react to acute DOX treatment, triggering e.g. an increase in RYR2 depending on DOX
17 dosage. SERCA mRNA translation was for example only observed in control iPSC CM, and CaMKII-
18 dependent phosphorylation of important calcium-handling proteins was increased in ACT iPSC
19 CM depending on DOX dosage; This CaMKII-mediated phosphorylation is probably ROS-
20 dependent and a compensatory reaction to acute DOX since translational initiation processes are
21 disturbed in ACT iPSC CMs.

22 **Genetic-based cardiac dysfunction in patients with ACT**

1 The high inter-individual variance in ACT manifestation might be due to genetic predisposition.
2 To test this, we performed whole exome sequencing (WES) on DNA from skin fibroblasts of three
3 ACT patients and two controls used in this study for the iPSC generation. Based on the hypothesis
4 of a genetic predisposing variant with a strong functional effect, we focused our WES data analysis
5 on the 81 genes previously associated with various genetic forms of cardiomyopathies and cardiac
6 arrhythmias. We found that patient ACT-2 carried the heterozygous c.C2633T variant in *PRDM16*
7 (p.P878L) that was predicted to be deleterious or damaging by different computational prediction
8 programs. This variant does not represent a common single nucleotide polymorphism (SNP), and
9 is not listed in over 121,000 alleles in the ExAC database. Causative variants in *PRDM16* are
10 associated with LVNC and DCM (49), and therefore the PRDM16 p.C2633T variant from our study
11 might be associated with ACT subject cardiotoxicity. Patient ACT-3 was found to carry the
12 heterozygously rare variant c.3010G>A (present in 2 of <121,000 in the ExAC) in the Synemin
13 (*SYNM*), which is predicted to substitute valine at position 1004 against isoleucine, and to cause
14 damage. No likely candidate variant was found in the other ACT-1 patient. We confirmed all
15 candidates by Sanger sequencing (data not shown).

16 **Discussion**

17 In the present study, we showed for the first time that human iPSC CM and EHM can recapitulate
18 a B cell lymphoma patient's predilection to DOX-induced cardiac dysfunction when exposed to
19 clinically high levels of DOX. ACT-iPSC CM derived from lymphoma cancer patients are
20 consistently more sensitive to DOX toxicity and demonstrate higher intracellular DOX amounts
21 after treatment, disorganized myofilament structure as well as increased cell death. An increase
22 in DOX-dependent ROS production was not only identified in ACT-iPSC CM, but also in ACT cFB

1 that were isolated from strong fibrotic human left ventricular ACT myocardium. We were also
2 able to demonstrate that ACT-iPSC CM are not able to adapt to acute DOX stress by modulating
3 expression or activity of important Ca²⁺ handling proteins such as SERCA and RYR2, as was the
4 case in control iPSC-CM. The molecular and structural differences observed here may be the result
5 of DOX-dependent, impaired mechanical functionality in ACT EHM. Furthermore, genetic variants
6 of several key regulators of cardiac function were identified, suggesting a genetic predisposition
7 in ACT. The differences in the responses of control and ACT cFB, iPSC CM and EHM to DOX are in
8 line with those observed in ACT patient myocardium and in animal models with DOX-induced ACT
9 (29). This confirms their suitability as model systems for studying the predilection of high-risk
10 patients and the molecular and pathophysiological mechanisms underlying DOX-dependent
11 cardiac dysfunction.

12 Our study adds fundamental knowledge to recent reports demonstrating the use of iPSCs from
13 breast cancer patients to recapitulate their predilection to ACT (40, 41). To our knowledge, ours
14 is the first human iPSC CM model of DOX-induced cardiac dysfunction in patients with aggressive
15 B cell lymphoma who were treated with high doses of DOX. In our model, the ACT phenotype can
16 not only be studied in a 2D monolayer of iPSC CM, but in a myocardium-like, more mature human
17 3D culture(50), allowing for a functional and morphological comparison to the myocardium of
18 end-stage heart failure ACT patients. Furthermore, we were able to reveal on a patient-specific
19 level the activity and expression of important Ca²⁺ handling proteins such as SERCA, RYR2 and
20 CamKII δ . Our focus was on both ACT iPSC CM as well as ACT cFB as a non-CM cell type, and how
21 both contribute to ACT development after DOX treatment.

1 Reduced ventricular ejection fraction and myocardial contractile dysfunction are characteristic of
2 patients with DOX-induced cardiac dysfunction as well as with dilated cardiomyopathy (51). In
3 this study, we analyzed the DOX-dependent ACT phenotype and function in a 3D myocardium of
4 patient-specific iPSC CM (50), which resembles the in vivo heart. We demonstrated a decreased
5 force of contraction in DOX-treated ACT EHM, similar to clinical features of ACT patients and to
6 iPSC EHM of patients with DCM (39). In addition, we demonstrated a DOX-dependent increased
7 beating frequency in ACT EHM that may mimic potential rhythm disturbances in patients with
8 acute ACT immediately after DOX treatment (51).

9 Interestingly, in monolayer iPSC-CM we observed multiple DOX-associated processes relevant to
10 ACT such as a dose-dependent increase in extracellular H₂O₂, apoptosis, and sarcomeric disarray,
11 which were significantly more pronounced in ACT-iPSC CM compared to controls. These processes
12 are supported by transcriptome analysis of ACT and control EHM of this study, revealing
13 significant general DOX-dependent gene expression changes in pathways related to
14 “programmed cell death”, “ROS production”, or “striated muscle contraction” in both groups. This
15 is in line with recently published studies showing general DOX-dependent changes in gene
16 expression (41, 52). Of note, DOX concentrations greater than 0.5 μM (0.75 - 5 μM) were not
17 associated with increased H₂O₂ amounts but rather higher apoptosis in both ACT and control iPSC-
18 CM. This indicates that therapeutic DOX doses (<0.5 μM) may reflect processes resembling the
19 phenotype of delayed chronic cardiotoxicity, while toxic doses (> 0.5 μM) may resemble acute
20 cardiotoxicity (52).

21 Studies on DOX concentrations in plasma during treatment suggest rapid clearance of the drug
22 from circulation (53). Here we report for the first time that DOX levels were close to the detection

1 limit after 7 days of recovery from one 24h DOX interaction, whereas DOX-dependent increase in
2 H₂O₂ was detectable over weeks. Our results provide proof for the assumption that initial DOX
3 molecules do not remain in cardiac cells for extended periods, but cause impairments that lead
4 to long-term cardiotoxicity in a hit-and-run manner (54).

5 Another important finding includes the altered response to calcium kinetics observed in iPSC CMs
6 of patients with ACT after DOX treatment. Due to decreased cardiac force of contraction in DOX-
7 treated ACT EHM, we found lower SERCA and RYR2 protein expression (transcriptome data
8 confirmed a DOX-dependent decreased expression of RYR2 only in the ACT group) in ACT iPSC CM
9 compared to control iPSC CM. This is consistent with a higher absolute T50 time in ACT iPSC CMs
10 and a decrease in the amplitude of calcium transients in ACT iPSC CMs compared to controls
11 under the same conditions. These data indicate slower re-uptake of calcium into SR vesicles by
12 the SERCA-PLN complex and retarded relaxation in ACT compared to control. This is in line with
13 the frequently reported decreased DOX-dependent or independent SERCA2a activity in heart
14 failure myocardium in the present (Figure 1D) and in previous studies (30, 55), which is
15 functionally manifested in lower Ca²⁺ uptake into the SR and cytoplasmic Ca²⁺ overload.
16 Furthermore, SERCA was shown to be inhibited in its activity by high DOX levels (18). In addition,
17 our data on increased CamKII δ activity in ACT iPSC-CM compared to control may be due to
18 increased DOX-dependent ROS production along with greater oxidation and thereby activation of
19 CamKII δ in ACT, as has been shown in previous studies (29). Inhibition of CaMKII δ improves
20 dysfunction of a failing heart (56). Interestingly, transcriptome analysis revealed that protein
21 arginine methyltransferase 1 (PRMT1), which is essential for preventing cardiac CaMKII
22 hyperactivation, is specifically upregulated in control EHM upon DOX treatment, but not in the

1 ACT EHM. PRMT1 methylates CaMKII and thereby inhibits its activity, thus protecting against
2 pathological responses (57). Of note, biphasic dose-dependent effects of DOX were shown for
3 RYR2 expression in control iPSC CMs with increased amounts at 0.25 μ M DOX and similar levels
4 at 5 μ M at baseline; this difference in DOX-dependent expression of RYR2 is probably a function
5 of the increased Ca transient amplitude at low DOX in iPSC CM as compared to those at high DOX
6 concentrations. Similar results were shown in previous studies, where a reversible DOX-
7 dependent RYR2 activation was described at low DOX concentrations and a DOX-mediated
8 inhibition of RYR2 at high DOX concentrations such as 5 μ M (18).

9 In conclusion, this study provides new evidence that different Ca²⁺ regulatory mechanisms play
10 major roles in ACT-iPSC CM as opposed to control CM. Control iPSC CM were able to adapt to
11 acute DOX stress by increasing protein expression of important calcium regulatory proteins such
12 as RYR2, SERCA, or PRMT1. In contrast, ACT-iPSC CM were not able to react to acute DOX stress
13 by mRNA translational processes, and showed increased post-translational processes such as
14 CamKII δ -mediated phosphorylation. These novel data point to distinct mechanisms that may
15 explain the different severities of DOX-dependent effects on Ca²⁺ functional processes in ACT and
16 controls. Of note, we found a DOX-dependent downregulation of CASQ2 in ACT iPSC CM
17 compared to control CM, which may have resulted in reduced Ca²⁺ binding capacity of CASQ2,
18 along with a reduced SR Ca²⁺ release and altered SR Ca²⁺ storage capacity. This would be in line
19 with previous studies showing that anthracyclines bind with a high affinity to cardiac CASQ2, and
20 thereby reduce the Ca²⁺ binding capacity of CASQ2 (58-60). Nevertheless, detailed functional Ca²⁺
21 measurements, including comprehensive analysis of SR Ca²⁺ content, Ca²⁺ leak and SERCA
22 function, will be necessary in future studies.

1 Our hypothesis that DOX treatment could have different impacts on mRNA translation in ACT and
2 controls CM is strengthened by transcriptome data showing DOX-induced DEGs with increased
3 expression of translation, translational initiation (EIF3D, EIF6), and ribosome assembly (EFNA1) in
4 control EHM. In contrast, DOX-treated ACT EHM demonstrated upregulated DEGs, including
5 negative regulation of mRNA translation-associated genes such as the metabolic-stress sensing
6 protein kinase EIF2AK4. EIF2AK4 is known to phosphorylate EIF2 α as a competitor for
7 translational initiation, leading to repression of global protein synthesis (61). It was previously
8 reported that the translation was reduced by only 2% in human keratinocytes (62) and 75% in
9 prostate cancer cells (63). White and colleagues (2007) demonstrated that decreased translation
10 was caused by sustained phosphorylation of elongation factor 2 (EF-2). Phosphorylation of EF-2
11 occurred in a kinase-independent manner, most likely through elevated ROS levels (63).

12 Our observation that ACT patient-specific iPSC-CM and cFB displayed a more severe cardiac
13 phenotype following DOX treatment than the control groups indicates that ACT patients may
14 possess combinations of genetic variants associated with increased susceptibility to DOX-induced
15 cardiac dysfunction. For that reason, we performed WES and identified highly relevant variants in
16 cardiac genes, such as PRDM16 (c.C2633T) or SYNM (c.3010G>A; p.V1004I) in patients ACT 2 or 3.
17 Interestingly, autosomal dominant mutations in PRDM16 have been associated with LVNC and
18 DCM (OMIM 615373). Sequence analyses revealed that the identified PRDM16 variant substitutes
19 the highly conserved proline at position 878 with leucine (p.P878L). It was previously shown that
20 single variants or a human truncation mutant in zebrafish resulted in contractile dysfunction and
21 impaired cardiomyocyte proliferation capacity (49). These findings further support the suggested
22 functional evidence of variants in PRDM16 in ACT. Synemin is an intermediate filament protein

1 and its absence in mice was demonstrated to cause profound structural and functional
2 abnormalities in the heart (64). Previously, a novel heterozygous variant p.(Trp538Arg) of *SYNM*
3 was identified in three generations of a family with four patients with DCM (65). The exact
4 molecular function and the effect of the identified novel *PRDM16* and *SYNM* variants on the ACT
5 phenotype need to be analyzed in follow-up studies. Of note, *PRDM16* and *SYNM* are both
6 differentially expressed in the EHM transcriptome data of this study. While *PRDM16* is
7 significantly decreased specifically in ACT-EHM after DOX (Figure 9B), *SYNM* seems to be a general
8 DOX target since it is significantly upregulated in both ACT and control after DOX (Fig. 8C). To
9 prove the hypothesis of a genetic predisposition to ACT, further WES experiments in larger patient
10 cohorts will be necessary. Nevertheless, in 2 of 3 patients we identified variants in important
11 cardiac proteins, which are associated with cardiac pathologies.

12 In this study we focused on several cardiac cell types including ACT cFB as non-CM cell type,
13 isolated from human ACT myocardium, which were suggested to contribute to ACT by amplifying
14 development of fibrosis upon DOX application (66). We observed that ACT cFB show specific cFB
15 morphology, proliferation, and marker expression. DOX-treated ACT-cFB markedly increased
16 expression of *ACTA2* and *COL1A1*, indicating an increased myofibroblastic stress response. In
17 addition, SERCA was increased after DOX, suggesting that the Ca²⁺ response in cFB is a result of
18 pathological stimuli, as was shown in previous studies (67). However, Ca²⁺ signaling in cardiac
19 fibroblasts is still not fully understood (67). ACT-cFB produced more ROS upon DOX compared to
20 commercially obtained healthy cFB as well as sFB, suggesting that ACT-cFB are more sensitive to
21 anthracyclines than the other two FB types. The DOX-dependent increase in ROS may serve as
22 the trigger for cFB activation, ECM damage and contribution to ACT development. This is in line

1 with DOX-dependent effects in human cFB, inducing trans-differentiation of cFB to myofibroblasts
2 at low concentrations in an organ- and species-dependent manner(68). Whether the response of
3 ACT-cFB to injury by proliferating in or migrating to areas of damage was influenced by DOX
4 treatment in our study needs to be elucidated in the future.

5 It is also important to point to the limitations of our study. First, we used two different iPSC cell
6 lines per ACT patient and control, all generated by non-integrative methods, for a minimum of 3
7 cardiac differentiation experiments. The maturity of iPSC CM as well as the patient's genetic
8 background, age and sex may have influenced the results. In future studies, age-matched control
9 iPSCs without previous DOX treatment should serve as ideal controls. Secondly, as the functional
10 consequences of the failed adaptation to DOX stress in ACT-iPSC-CM by modulating expression or
11 activity of Ca²⁺ handling proteins (SERCA and RYR2) is still unclear, detailed functional Ca²⁺
12 measurements, including comprehensive analysis of SR Ca²⁺ content, Ca²⁺ leak and SERCA
13 function, will required in the future. Thirdly, our data suggest the contribution of novel *SYNM* and
14 *PRDM16* variants to the observed ACT phenotype, but the mechanisms are unclear. Therefore,
15 detailed functional analyses including genome editing work needs to be done in the future.
16 Finally, aside from the studied DOX effects, further analysis of potential cardio-protective
17 mechanisms and therapeutic substances, including ranolazine and dexrazoxan, need to be
18 investigated.

19 In conclusion, we used cFB, iPSC CM and EHM from healthy controls and ACT patients with cardiac
20 dysfunction to demonstrate that all cell types can recapitulate a patient's predilection to ACT after
21 exposure to DOX. The iPSC CM showed characteristics comparable to mechanical dysfunctional
22 and strong fibrotic human cardiac tissue from ACT patients with end-stage heart failure. Our

- 1 results suggest that altered Ca²⁺ signaling and translational processes underlie CM dysfunction.
- 2 Targeting of the Ca²⁺ signaling pathway or translation-associated components may thus represent
- 3 a new approach for mitigating the cardiac side effects of DOX in cancer patients. Genetic variants
- 4 associated with ACT development could also provide new targets for therapy of ACT.

1 **Material & Methods**

2 Details are available in the Supplementary Appendix.

3 **Human myocardium of ACT patients**

4 We obtained left ventricular tissues from explanted hearts of 5 ACT patients with end-stage HF
5 who were undergoing heart transplantation ($EF \leq 20\%$). After explantation, the heart was
6 immediately placed in pre-cooled cardioplegic solution containing NaCl (110 mM), KCl (16 mM),
7 $MgCl_2$ (16 mM), $NaHCO_3$ (16 mM), $CaCl_2$ (1.2 mM), and glucose (11 mM). Myocardial samples
8 for qPCR, Western blot and immunocytochemistry stainings (fibrosis) were immediately frozen in
9 liquid nitrogen and stored at $-80^\circ C$. We used control myocardium from healthy donor hearts that
10 could not be transplanted for technical reasons for qPCR, immunoblots and fibrotic stainings.
11 Collection of human samples was in compliance with the local ethical committee (Az. 31/9/00),
12 and written informed consent was received from each participant before transplantation.

13 **Selection of ACT patients for iPSC generation:** Patients described in this study were initially
14 identified as lymphoma patients and selected from the 'rituximab with CHOP over age 60 years'
15 (RICOVER60) trial (NCT00052936). The study cohorts comprised 61-80 year-old patients with
16 aggressive CD20+ B cell lymphomas treated with six or eight cycles of CHOP-14 with or without
17 rituximab. All patients of this study had been treated with doxorubicin (DOX) as part of a CHOP
18 treatment. ACT patients 1-3 suffered from anthracycline-induced cardiotoxicity, whereas control
19 patients did not develop cardiac symptoms.

20 **Human skin and cardiac fibroblast isolation and cultivation**

21 The study was approved by the ethical committee of the University Medical Center Göttingen (Az
22 21/1/11 and 31/9/00). The fibroblast culture was derived from skin punch biopsies of the donors

1 (RICOVER60 study) or from human cardiac tissue (approximately 1 mm³) from the left ventricle
2 of ACT end-stage heart failure patients. Somatic cells were isolated as previously described by our
3 group (38). To secure attachment and proliferation of the fibroblasts, the dish was left without
4 medium change for one week, after which the tissue was removed. Primary skin or cardiac
5 fibroblasts were cultured in fibroblast growth medium composed of DMEM supplemented with
6 10% FCS, 1x NEAA, glutamine (2 mmol/L), β -ME (50 μ mol/L), penicillin (50 U/mL)/streptomycin
7 (50 μ g/mL), and bFGF (10 ng/mL) at 37°C with 5% CO₂ atmosphere. The medium was replaced
8 every second day and cells were passaged once to twice per week. FB were used from passage 3-
9 6.
10

1 **Author contributions**

2 LH generated and characterized the iPSCs, performed experiments (sarcomeric integrity,
3 apoptosis, ROS, calcium), and contributed to manuscript writing. AM performed experiments
4 (DOX incorporation, ROS), and contributed to manuscript writing. MT performed and analyzed
5 the EHM experiments and received funding. SK generated the expression data of cardiac tissues.
6 SK and WB generated the cardiac fibroblast data. SS provided healthy and diseased human cardiac
7 tissue, contributed to concept development and received funding. AS and GB performed the
8 quantification of fibrosis in human cardiac tissue slices. CXS and AMS provided guidance during
9 DOX incorporation experiments. RD performed the in vivo differentiation experiments. LW
10 provided patient data. JH, RT and BM generated the RNAseq data of the EHM and contributed to
11 analysis. BM received funding. YL and BW performed the WES data and analysis. GH contributed
12 to study design. KSB developed the concept, designed the study, analyzed the RNAseq data,
13 received funding and wrote the manuscript.

14

15 **Conflict of interest**

16 The authors share no conflict of interest

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3 Schulte for excellent technical assistance; Dr. Alexander Becker and all others who helped collect
4 skin punch biopsies from patients with ACT and control subjects, and Hanibal Bohnenberger for
5 organizing hematoxylin and eosin staining of human cardiac tissue slices in the Department of
6 Pathology, University Medicine Göttingen. We thank Ms Marita Ziepert for providing the
7 information on the ACT patients from the RICOVER60 study as prerequisite for choosing the ACT
8 patient in this study. In addition, we thank Lydia Maus and Cynthia Bunke for proofreading.

9

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21 College London.

22

1 **Table 1: Characteristics of end-stage heart failure ACT patients**

| patient | LVEF [%] | Sex | Age at TX | Cancer type |
|----------------|-----------------|------------|------------------|-------------------------------------|
| ACT-4 | 20 | female | 65 | Mammary carcinoma |
| ACT-5 | 10-15 | male | 54 | Ewing sarcoma |
| ACT-6 | 20 | female | 53 | Wilms tumor |
| ACT-7 | 20 | male | 16 | B cell non-Hodgkin gastric lymphoma |
| ACT-8 | 15 | male | 43 | Unknown |

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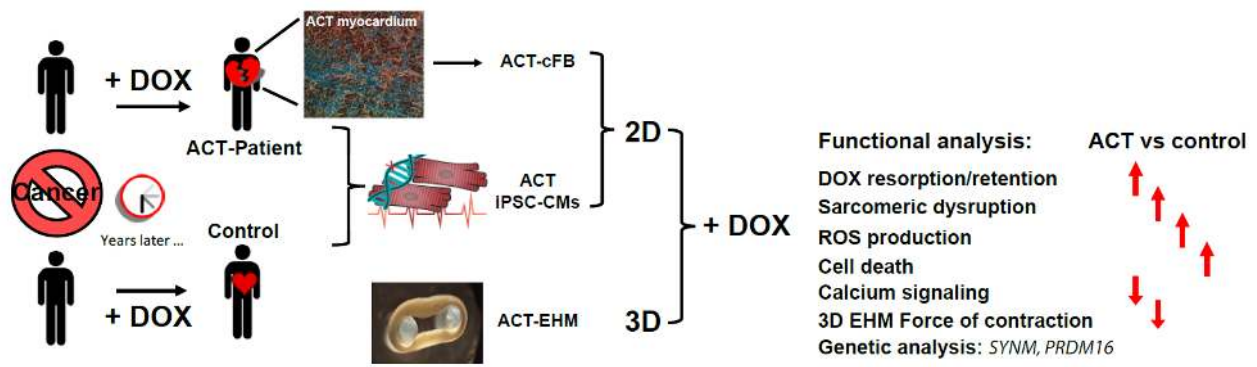
- 1 **Table 2: Characteristics of ACT patients and controls from the RICOVER60 trial used for iPSC**
2 **generation**

| patient | Sex | Age in 2007 | Median DOX treatment [mg /m²] | ACT |
|------------------|------------|--------------------|---|------------|
| ACT-1 | male | 69 | 309 | chronic |
| ACT-2 | male | 71 | 309 | chronic |
| ACT-3 | female | 66 | 309 | chronic |
| Control 1 | male | 66 | 318 | no |
| Control 2 | male | 68 | 318 | no |

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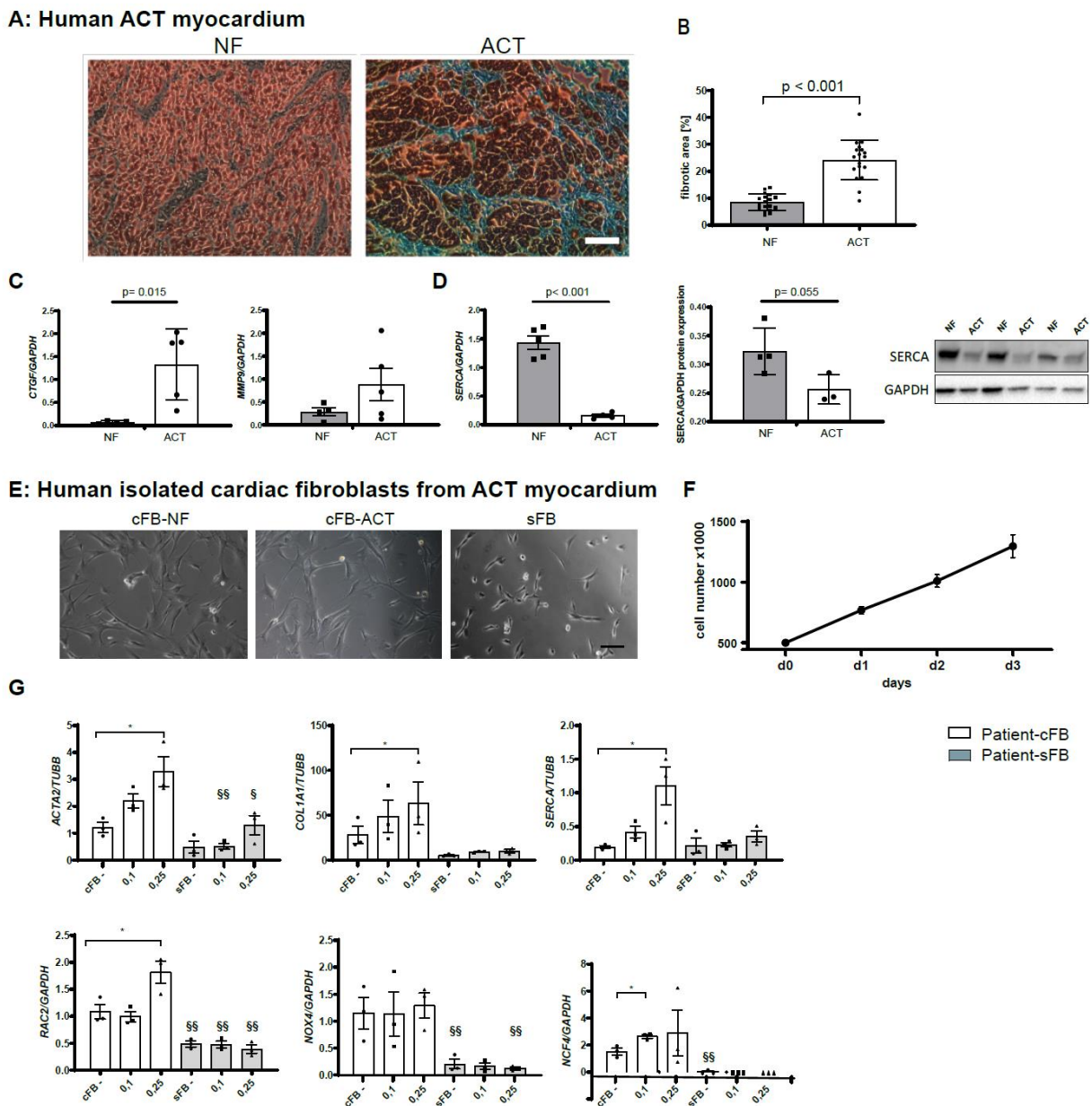
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3 **Central Figure**

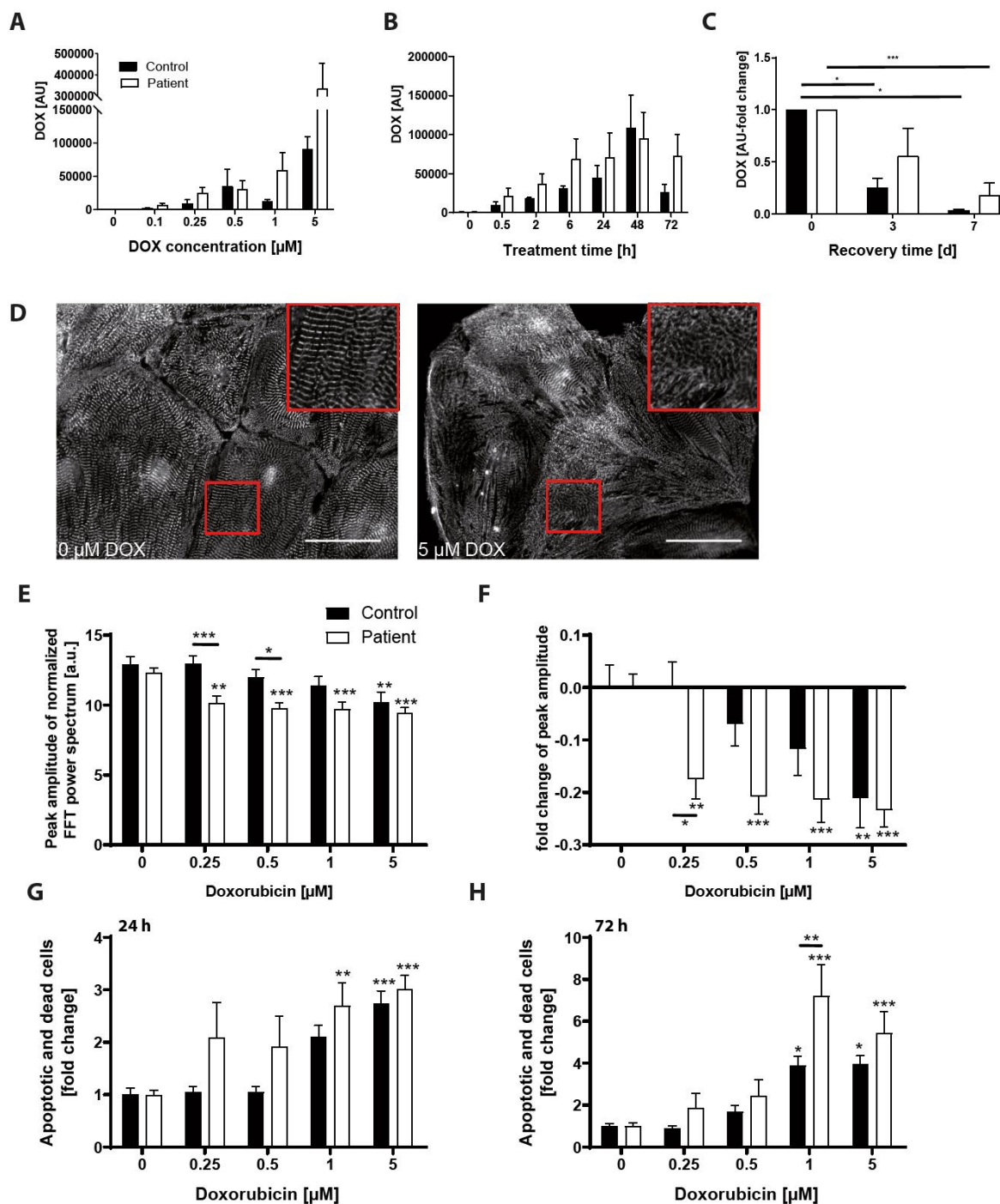
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1
2 **Figure 1: Analysis of human myocardium of ACT patients and isolated ACT cardiac fibroblasts.**
3 **(A)** Representative myocardium of non-failing donor (NF) and ACT patients (ACT) after trichrome
4 staining. Scale bar: 100 μ m. **(B)** Quantification of trichrome staining; NF: n=5; ACT: n=5 (biological
5 replicates). 3 technical replicates from different tissue slices for each biological replicate were
6 used. **(C)** mRNA expression of fibrosis-associated genes (*CTGF*, *MMP9*) in human ACT myocardium
7 compared to NF by qPCR. **(D)** mRNA and protein expression of SERCA. Representative Western

1 Blots for SERCA in NF (n=4) and ACT (n=3) myocardium are shown. GAPDH was used as an internal
2 control. Statistical analysis was performed using Student's t-test. p values: P >0.05 are defined as
3 statistically significant. Bars indicate mean values \pm SEM. **(E)** NF cardiac fibroblasts (cFB-NF),
4 cardiac fibroblasts from the ACT patient (Patient cFB), and skin FB of ACT patient (Patient sFB)
5 show typical FB morphology. Scale bar: 100 μ m. **(F)** Proliferation capacity over three days of
6 human cardiac fibroblasts from 5 end-stage heart failure patients. **(G)** Relative DOX-dependent
7 mRNA expression of fibrosis- and Ca²⁺-associated genes and NADPH oxidase subunits by qRT-PCR
8 for the genes *ACTA2*, *COL1A1*, *SERCA*, *NOX4*, *RAC2*, and *NCF4* in cFB from the ACT patient (Patient-
9 cFB) and sFB (patient-sFB) under basal condition (-) and different DOX treatment concentrations
10 (0.1 μ M and 0.25 μ M). n=3 biological replicates (samples from three different passages per cell
11 type) for each measurement. Statistical analysis was performed with 1-way ANOVA analysis or
12 Student's t-test. * p < 0.05 in the cFB group and as § p < 0.05, §§ p < 0.01 between cFB and sFB.
13 bars indicate mean values with \pm SEM.

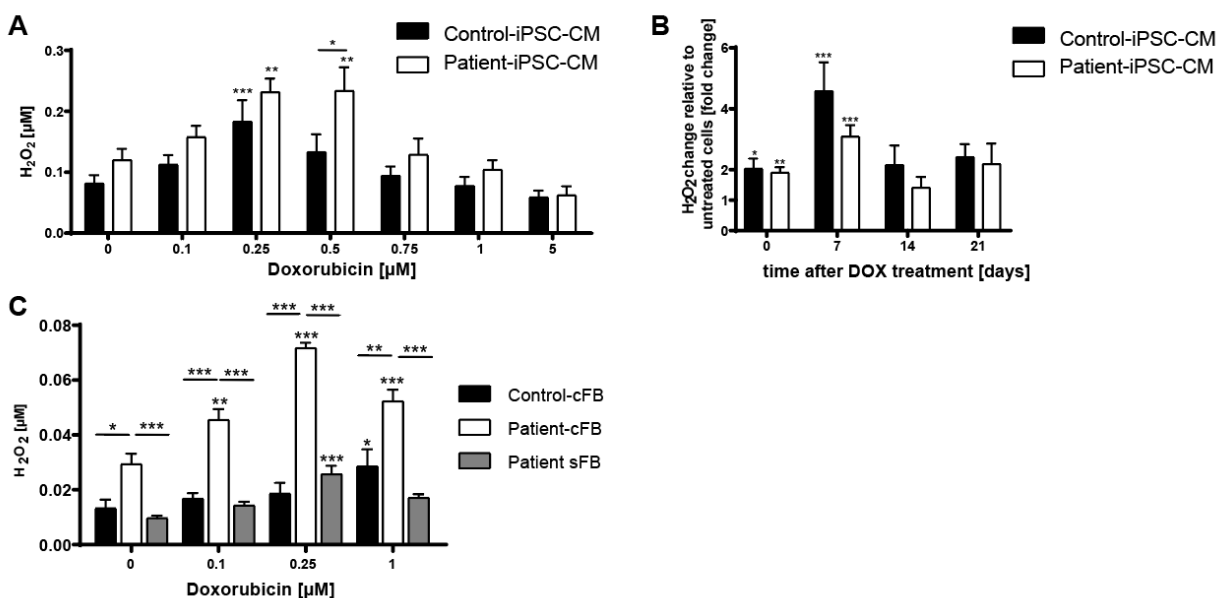
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1
 2 **Figure 2: Assessment of in vitro doxorubicin-induced cardiotoxicity in patient-specific iPSC-CM.**
 3 (A-C) DOX resorption and retention in iPSC-CM. Intracellular DOX levels were investigated with
 4 HPLC in regard to DOX concentration (24 h treatment) (A), treatment time (1 μM DOX) (B), and
 5 recovery (0, 3, 7 days) after treatment (1 μM DOX for 24 h) (C). ACT patient: n= 4-5 differentiations

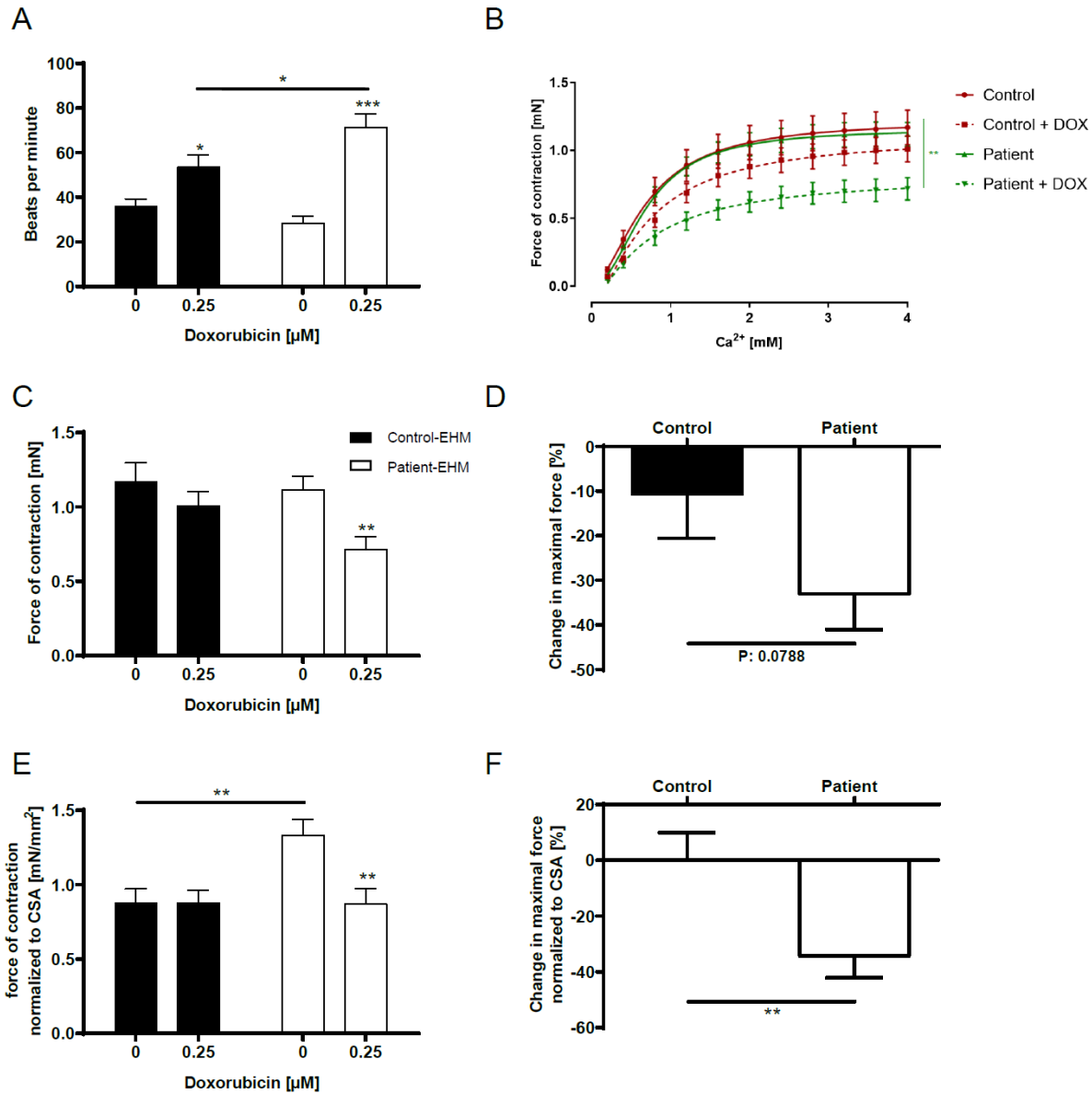
1 from 2 cell lines from 2 patients. Control: n= 3-4 differentiations from 2 cell lines from 1 patient.
2 **(D-F)** Effect of doxorubicin on sarcomeric regularity in iPSC-CM **(D)** Immunofluorescence staining
3 visualized α -actinin protein expression and sarcomeric organization. DOX treatment impaired
4 sarcomeric regularity. Scale bars: 50 μ m. **(E, F)** Quantification of DOX-treated (24 h) sarcomeric
5 regularity using Fast Fourier Transform algorithm. Sample numbers: 60-62 control-iPSC-CM from
6 4 differentiations, 85-91 patient iPSC-CM from 6 differentiations. **(G, H)** Effect of doxorubicin on
7 iPSC-CM viability. Annexin V/PI apoptosis tests showed that the number of apoptotic and dead
8 cells rose with increasing DOX levels after 24 h **(G)** and 72 h **(H)**. 10 control CM differentiations,
9 10 patient CM differentiations. Statistical analysis was performed using 2-way ANOVA analysis
10 and Sidak's or Dunnett's multiple comparison, or mixed-effects analysis. Bars indicate mean
11 values with SEM. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$. * above single bars indicate statistical
12 significances to untreated (0 μ M DOX) conditions of the same group.

13



1
2 **Figure 3. DOX-dependent ROS production in iPSC-CM and cFB.** (A) The amount of H_2O_2 in the
3 supernatant of iPSC-CM was measured with the Amplex Red assay after 24 h DOX treatment. (B)
4 Relative changes in H_2O_2 amount in iPSC-CM of ACT patient and control 0, 7, 14 and 21 days after
5 single treatment with 0.25 μM DOX for 24 h. (C) H_2O_2 in the supernatant of ACT patient- and
6 control cFB, and patient skin FB (patient-sFB) was measured with the Amplex Red assay after 24
7 h DOX treatment. Sample numbers: (A) 5-10 control-CM differentiations, 7-17 ACT patient CM
8 differentiations. (B) 11 control-CM differentiations, 17 ACT patient CM differentiations. (C) n=3
9 biological replicates (samples of 3 different passages were measured of control cFB, patient cFB,
10 and patient sFB. Statistical analysis was performed using 2-way ANOVA analysis and Sidak's or
11 Dunnett's multiple comparison test. Data are shown as mean with SEM. * $p < 0.05$, ** $p < 0.01$,
12 *** $p < 0.001$. .* above single bars indicate statistical significances to untreated (0 μM DOX)
13 conditions of the same group.

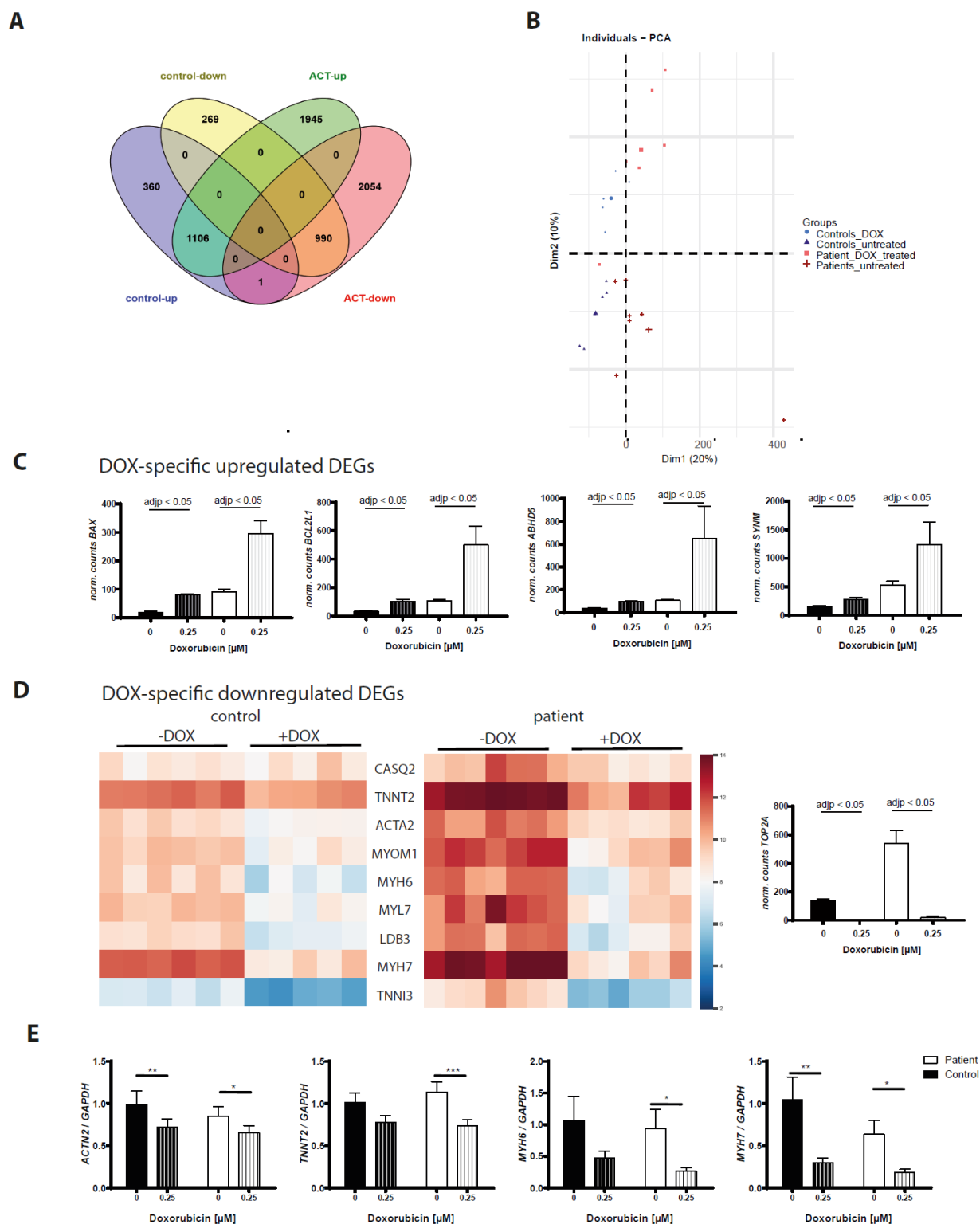
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1
2 **Figure 4. DOX decreases the force of contraction in engineered heart muscle (EHM).** (A) Beating
3 frequency of control and ACT patient EHM. (B) The generation of contractile force of control and
4 ACT patient EHM is Ca^{2+} -dependent, and impeded by DOX treatment. (C) Maximal force of
5 contraction of control and ACT patient EHM is lowered after incubation with 0.25 μM DOX. (D)
6 The DOX-induced relative change of maximal contractile force is greater in ACT patient EHM
7 compared to control EHM. (E) Maximal force of contraction of control-EHM and ACT patient EHM

1 normalized to cross sectional area (CSA). **(F)** DOX-induced change in maximal force of control and
2 ACT patient EHM normalized to CSA. **(A-E)** Sample numbers: 12 control EHM from 2
3 differentiations, 18 ACT patient-EHM from 3 differentiations. Statistical analysis was performed
4 using Student's t-test or 2-way ANOVA analysis and Sidak's multiple comparison test. Mean with
5 SEM. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$. * above single bars indicate statistical significances to
6 untreated (0 μ M DOX) conditions of the same group.

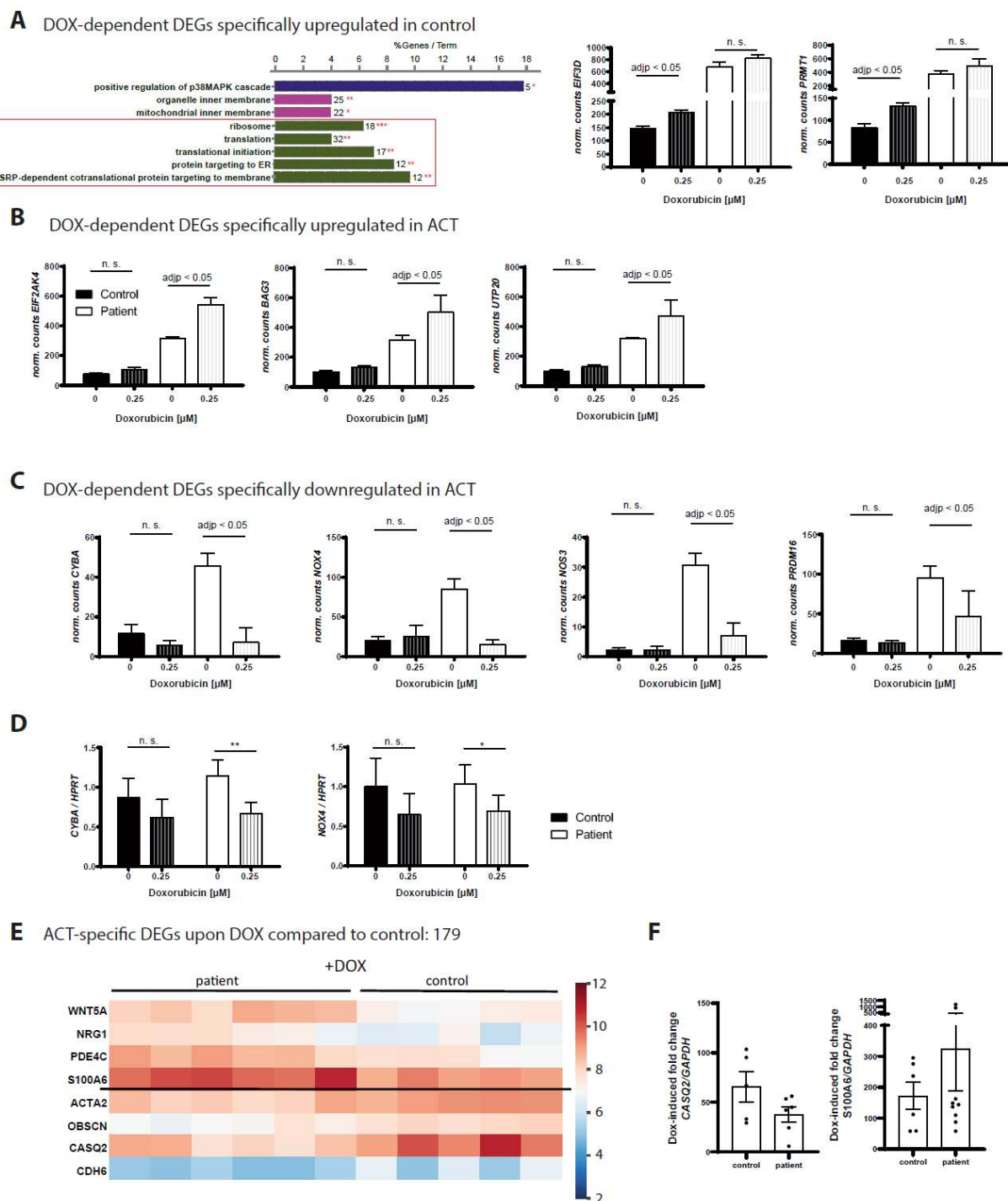
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 2 **Figure 5: DOX-dependent differential gene expression in both ACT patient EHM and control**
 3 **EHM. (A) DOX-induced differentially expressed genes (DEGs) in ACT patient EHM and control EHM**

1 compared to untreated conditions illustrated in a Venn diagram. **(B)** PCA plot of control and ACT
2 patient EHM samples used for the analysis. **(C)** Normalized counts of DOX-dependent upregulated
3 DEGs (*BAX*, *BCL2L1*, *ABHD5*, *SYNM*) as well as downregulated DEG *TOP2A* (D) in both control
4 (black) and ACT patient EHM (white). AdjP is based on analysis in A. **(D)** Heat map of 9 structural
5 genes that are significantly downregulated after DOX treatment in control and ACT patient EHM.
6 **(E)** Differential DOX-dependent downregulation of *ACTN2*, *TNNT2*, *MYH6*, and *MYH7* were
7 confirmed by qRT-PCR in control as well as ACT patient iPSC CM. Mean with SEM. *P < 0.05; **P
8 < 0.01; ***P < 0.001; untreated versus treated by Student's t-test.

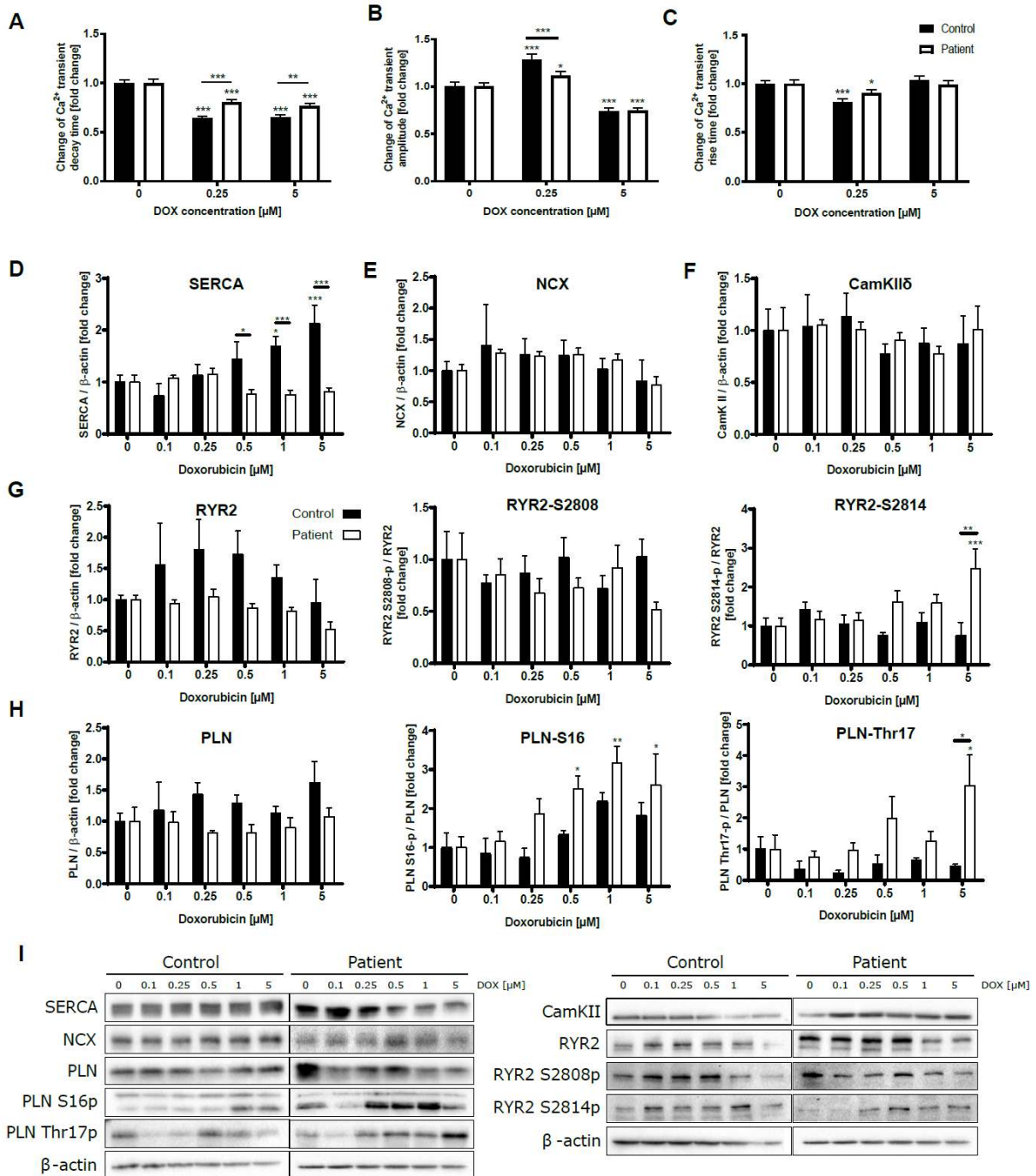
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 2 **Figure 6. DOX-dependent modulation of gene expression in EHM of ACT patients and controls.**
 3 **(A)** Significant enriched GO terms after DOX in control EHMs compared to untreated conditions
 4 according to ClueGo Cytoscape plugin. The data of differential expression are based on all up-

1 regulated genes. Data are generated by GO cellular component, GO biological process or KEGG
2 pathway analysis. Terms of same color correspond to terms containing a similar group of genes.
3 The bars represent the number of the genes from the analyzed cluster that were found to be
4 associated with the term, and the label displayed on the bars is the percentage of genes found
5 compared to all the genes associated with the term. *P < 0.1; **P < 0.05 calculated based on
6 hypergeometric distribution from Database for Annotation, Visualization and Integrated
7 Discovery (DAVID, v6.7). Normalized counts of control-specific, DOX-dependent upregulated
8 DEGs (*EIF3D*, *PRMT1*). **(B, C)** Normalized counts of ACT-specific, DOX-dependent upregulated
9 DEGs (*EIF2AK4*, *BAG3*, *UPT20*) as well as downregulated DEGs (*CYBA*, *NOX4*, *NOS3*, *PRDM16*). AdjP
10 is based on analysis in A. **(D)** Differential DOX-dependent downregulation of NADPH-oxidase
11 subunits *NOX4* and *CYBA* were confirmed by qRT-PCR in ACT-iPSC-CM. Mean with SEM. *P < 0.05;
12 **P < 0.01 by Student's t-test. **(E, F)** DEGs specifically regulated between control and ACT patient
13 EHM after DOX. **(E)** Heat map of 8 important genes that were differentially expressed between
14 control and ACT EHM after DOX treatment. **(F)** Differential DOX-dependent higher
15 downregulation of *CASQ2* and higher upregulation of *S100A6* in ACT EHM compared to control
16 EHM was confirmed by qRT-PCR in ACT and control iPSC-CM. Mean ± SEM. *P < 0.05; by Student's
17 t-test. For control EHM, 5-6 independent EHM from 1 cell line of control patient 1 and 2, and for
18 ACT patient EHM, 6-7 independent EHM from 1 cell line of each of the 3 ACT patients (ACT1, ACT2,
19 ACT3) were analyzed.

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1
 2 **Figure 7. DOX disturbs Ca²⁺ homeostasis.** (A-C) Effects of DOX (0.25 μM and 5 μM) on Ca²⁺
 3 transient decay time, Ca²⁺ transient amplitude, and Ca²⁺ transient rise time in iPSC-CM of control
 4 and ACT patients. (D-H) DOX-induced relative changes in the amount of protein expression and
 5 phosphorylation of SERCA, NCX, CamKIIδ, RYR2, RYR2-S2808, RYR2-S2814, PLN, PLN-S16p, PLN-

1 Thr17p in iPSC-CM of control and ACT patients after 24 h of DOX treatment. **(I)** Representative
2 Western blots of calcium-associated proteins in DOX-treated iPSC CM of control and ACT patients.
3 Sample numbers: **(A-C)** 200-61 control-iPSC-CM from 9 differentiations, 197-119 ACT patient-
4 iPSC-CM from 10 differentiations. **(D-H)** 4 control-iPSC-CM differentiations, 6 ACT patient iPSC CM
5 differentiations. Statistical analysis was performed using 2-way ANOVA with Dunnett's multiple
6 comparison test. Mean with SEM. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$. * above single bars
7 indicate statistical significances to untreated ($0 \mu\text{M}$ DOX) conditions of the same group.

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