Droop and Oscillator Based Grid-Forming Converter Controls: A Comparative Performance Analysis

*M. A. Awal, Hui Yu, Srdjan Lukic and Iqbal Husain**

FREEDM Systems Center, North Carolina State University, Raleigh, NC, United States

Two distinct approaches, one droop-based phasor-domain modeled and the other non-linear oscillator-based time-domain modeled, have emerged for the analysis and control of power electronic converters at the system interface layer where these converters are integrating distributed energy resources (DERs). While the droop-type controllers are based on distinct time-scale separation of control loops, purposefully slowing down the response of the DERs, the oscillator-based controllers deliver fast dynamic response with accurate power sharing capability as well as stability guarantee. In this paper, we analyze both the droop- and oscillator-type converters in the context of grid forming converters with respect to steady state terminal response, transient stability, and harmonic compensation in converter output current or in network voltage. Simulation and experimental results are provided to demonstrate the easier implementation of oscillator-based controls that can also achieve supplementary control objectives pertinent to power quality.

Keywords: droop controls, oscillator controls, grid forming converters, harmonic compensation, transient stability, virtual oscillator control, VOC, dVOC

1. INTRODUCTION

The increasing penetration of power electronics converters and renewable energy resources into the power system presents a plethora of system level challenges both from analysis and control perspectives. Two distinct trends have emerged in the research community. On one side of the control spectrum, a significant effort is observed to achieve faster dynamic responses from the local controllers in the power electronics converters; these local controllers are essentially tracking controllers with an objective to track specific voltage, current, or power references. Faster dynamic response and accuracy of tracking typically drive such research efforts such as model predictive control, fuzzy control and direct power control (Cortes et al., 2008; Duong et al., 2018a; Gui et al., 2018). However, from a system-level perspective, coordination of these ever-increasing numbers of distributed resources pose a major challenge. Consequently, on the other side of the spectrum, another class of control methods has emerged, which essentially slows down the response of the distributed resources. For instance, virtual synchronous machine-based (Beck and Hesse, 2007; Chen et al., 2011; Zhong and Weiss, 2011; Qing-Chang Zhong et al., 2014; Liu et al., 2016; Zhong, 2017) or universal droop-control-based methods (Zhong and Zeng, 2016) emulate virtual inertia, which leads to slower system response. Inspired by the proven engineering knowledge gathered from the operation of the bulk power system, droop-based methods have been adopted heavily in power electronics dominated systems over the past decade (Vasquez et al., 2009; Kim et al., 2011; Yao et al., 2011; Rocabert et al., 2012; Han et al., 2015, 2016; Sun et al., 2017). However,

three major challenges remain for droop-based systems. First, droop-based methods require a phasor approximation of the converter terminal voltages and are not well-defined in the super-synchronous time scale (Colombino et al., 2019); effectively, any analysis is valid only around a periodic sinusoidal trajectory. From an analytical point of view, this constitutes a major setback in networks with low inertia, which include all of the power electronics dominated systems. Second, a droop-controlled converter typically employs cascaded control structure including inner voltage and current control loops (Guerrero et al., 2011, 2013; Mohamed and Radwan, 2011; Han et al., 2017a,b). Distinct time-scale separation, at least an order of magnitude, is required between successive control loops. For high-power applications with low switching frequencies, such time-scale separation is difficult to achieve. Third, cascaded control loops are difficult to design and the analysis of large networks become more challenging with multiple layers of cascaded control loops. Digital controller implementation delay and interaction of higher-order filters such as LCL filters with the rest of the network may lead to harmonic resonance instabilities in the inner current and voltage control loops (Awal et al., 2019a,b; Yu et al., 2019). As an alternative to droop control, a class of non-linear time-domain controllers have been proposed, where power electronics converters are operated to emulate the dynamic response of non-linear oscillators. Weakly non-linear oscillators such as Van der Pol and dead zone oscillators have been proposed for virtual oscillator control (VOC) of networked power electronic systems (Johnson et al., 2014, 2016; Sinha et al., 2016, 2017). However, VOC does not allow real-time power reference dispatch in its original form; a hierarchical control structure to enable such dispatch capability for VOC was presented by Awal et al. (2020b). Hopf-type oscillatory system-based control, termed as dispatchable virtual oscillator control (dVOC), has also been proposed recently (Colombino et al., 2017, 2019; Lu et al., 2019; Seo et al., 2019). From an analysis perspective, these oscillator based methods are time domain controllers and do not require phasor approximation. Using non-linear analysis almost global asymptotic stability has been proven in homogeneous and heterogeneous electrical networks. Second, these methods do not require inner voltage or current control loops eliminating the need for time-scale separation. Third, implementation becomes easier since multiple cascaded loops are not necessary. However, since the inner current and voltage control loops are not used for oscillator-based control, unlike droop control, the desired droop response is actuated at the output of the switch network instead of the converter terminal. Consequently, despite similar droop behavior, the steady-state responses differ at converter terminals for droop control and oscillator control. Moreover, transient stability of oscillator-based control under fault conditions differ significantly from that of droop-based methods.

Much of the work on oscillator-based control has been focused on the theoretical analysis that laid the foundation for this class of non-linear control methods. However, the high-frequency dynamics for these class of controllers has largely been ignored. For instance, in the presence of harmonic distortion in the network/grid-side voltage, the converter output current becomes heavily distorted for any oscillator-based control method. In such applications, selective harmonic compensation of current or voltage may be desired. For harmonic compensation, impedance based analysis and design methods can be used for oscillator-based converters (Awal et al., 2020a).

Comparative studies on oscillator-based and droop-based grid-forming control have been reported in Johnson et al. (2017) and Shi et al. (2019), where small-signal analysis was used to assess dynamic performance in response to small transients. In this work, we present a comparison between droop-based and oscillator-based control methods from both theoretical and implementation standpoint. The rest of the paper is organized as follows. First, a comparison between oscillator-based and droop-based grid-forming converters is presented. Second, steady-state terminal responses for the two control methods are discussed. Third, transient stability under fault condition is described. Lastly, harmonic current and voltage compensation methods compatible for oscillator-based converters are presented.

2. GRID-FORMING CONTROL STRUCTURE

A grid-forming (GFM) converter is defined by a number of distinct terminal characteristics. First, a GFM converter is capable of serving local loads in standalone operation. Second, while connected to an electrical network, a GFM converter participates in balancing the generation and load demand in the network. Specifically, instead of tracking a set of real and reactive power references like a grid-following (GFL) converter, a GFM converter droops its real and reactive power outputs around the nominal set-points in response to the voltage and frequency variation seen at converter terminal. **Figure 1** shows the typical control structure of a GFM converter based on droop control.

The converter can be connected/disconnected to/from the rest of the electrical network by closing/opening the static transfer switch (STS). A hierarchical control structure is used; at the top level, a droop control loop is used, which generates the reference v_o^r for the output voltage control loop based on the real and reactive power outputs P_o and Q_o, respectively:

$$V_o^* = V_{nom} + m_q(Q_{nom} - Q_o) \qquad (1)$$

$$\omega^* = \omega_{nom} + m_p(P_{nom} - P_o); \quad \theta^* = \int \omega^* dt \qquad (2)$$

Here, V_o^r, ω^*, and θ^* denote the L-N RMS magnitude, frequency, and phase reference for the converter output voltage v_o. The virtual impedance $Z_v(s)$ is discussed in section 5. Throughout the rest of the paper, $v_o^* = v_o^r$ is assumed. The inner voltage and current control loops can be implemented in a stationary or synchronous reference frame, and the instantaneous voltage reference v_o^* can be generated accordingly using V_o^*, ω^*, and θ^*. Proportional-integral (PI) or proportional-resonant (PR) compensators are used as the voltage compensator $F_v(s)$ and the current compensator $F_c(s)$ for synchronous reference-frame and stationary reference-frame control implementation, respectively. Note that for the controller implementation, two sets of voltage sensors (v_o and v_{pcc}) and two sets of current sensors (i_1 and
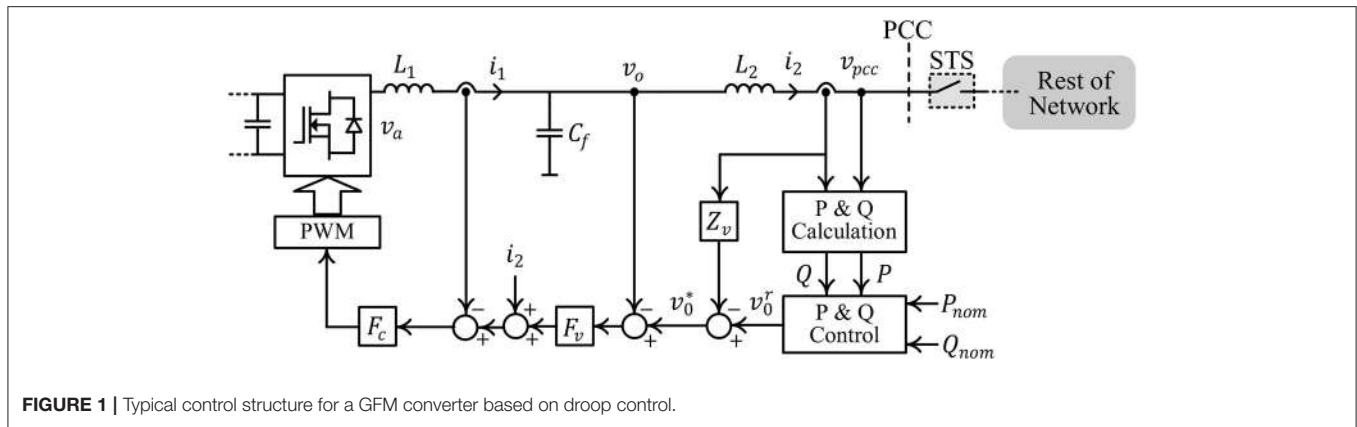
FIGURE 1 | Typical control structure for a GFM converter based on droop control.
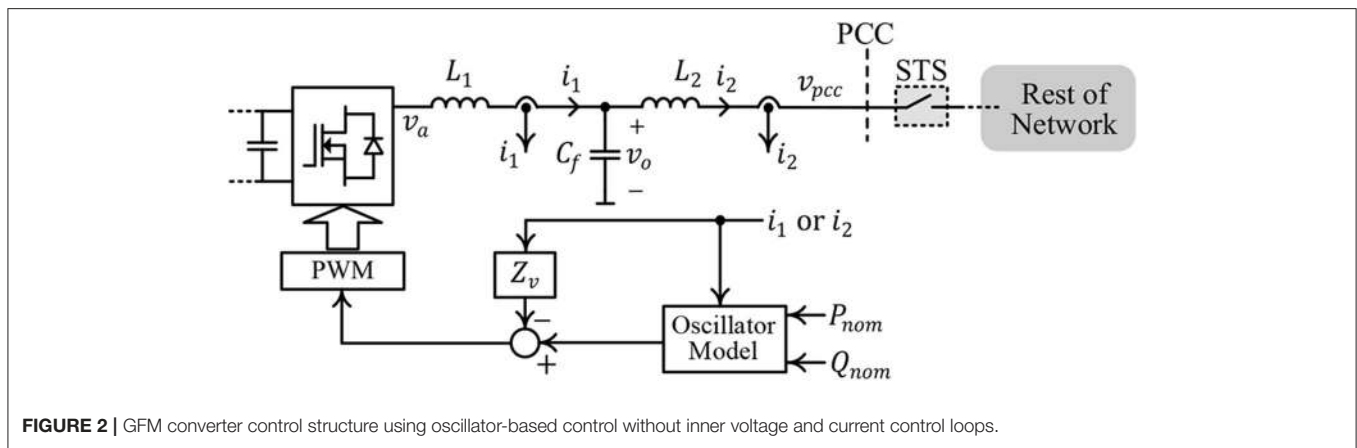

FIGURE 2 | GFM converter control structure using oscillator-based control without inner voltage and current control loops.

i_2) are required. In most applications, explicit passive or active damping measures are required to stabilize the voltage and current control loops (Awal et al., 2019a,b). Distinct time-scale separation is required among the different control loops. The innermost current control loop has the fastest dynamic response; the voltage control loop is designed to have a response at least an order of magnitude slower, and the droop control loop is designed with the slowest control bandwidth on the order of few Hz. To enable grid-connection while serving a local load, an additional set of voltage sensors is required to achieve pre-synchronization prior to closing the STS.

Figure 2 shows an oscillator-based control structure of a GFM converter. Unlike the droop-based GFM control structure, oscillator-based control does not require inner voltage and current control loops, effectively reducing the sensor requirements. As shown in Figure 2, one set of current sensor (i_1) is required for updating the oscillator states. The virtual impedance $Z_v(s)$ is discussed in section 5 and is ignored throughout the rest of the analysis. We consider dispatchable virtual oscillator control, reported by Colombino et al. (2019), Colombino et al. (2017), and Seo et al. (2019), implemented as

$$\frac{d}{dt}\left(\begin{bmatrix} v_\alpha \\ v_\beta \end{bmatrix}\right) = \begin{bmatrix} 0 & -\omega_{nom} \\ \omega_{nom} & 0 \end{bmatrix} \begin{bmatrix} v_\alpha \\ v_\beta \end{bmatrix} + \eta \left(K \begin{bmatrix} v_\alpha \\ v_\beta \end{bmatrix}\right.$$
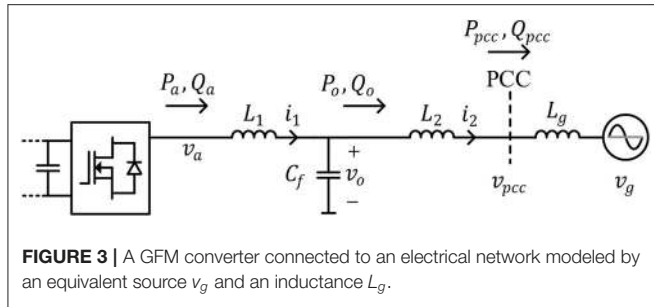
$$\left. -R(\kappa)\begin{bmatrix} i_\alpha \\ i_\beta \end{bmatrix}\right) + \eta\alpha\frac{V_{nom}^2 - V^2}{V_{nom}^2} \qquad (3)$$

Here, η, α, and κ are design parameters, and K and $R(\kappa)$ are defined:

$$K = \frac{1}{3V^2}\begin{bmatrix} P_{nom} & Q_{nom} \\ -Q_{nom} & P_{nom} \end{bmatrix}; \qquad R(\kappa) = \begin{bmatrix} \cos(\kappa) & -\sin(\kappa) \\ \sin(\kappa) & \cos(\kappa) \end{bmatrix}$$
$$(4)$$

It is worth noting that the oscillator is implemented using the α and β axis components of the converter output current using magnitude-invariant Clarke transformation and $V = \sqrt{(v_\alpha^2 + v_\beta^2)/2}$ denotes the line-to-neutral RMS voltage magnitude. Ignoring the parasitic elements and losses in the power devices, the output of the switch network can be approximated to be the same as the oscillator output, i.e., $V_a = V$.

The oscillator-based GFM converter can serve a local load and can be connected to the rest of the electrical network by closing the STS; however, additional voltage sensor may be required to achieve voltage and phase matching across the STS prior to closing. In the following section, a comparison between converter responses to voltage and frequency deviation at the PCC for droop control and oscillator-based control is presented.

FIGURE 3 | A GFM converter connected to an electrical network modeled by an equivalent source v_g and an inductance L_g.

TABLE 1 | Voltage source converter ratings.

S_{rated}	Rated apparent power	20 kVA
P_{rated}	Rated real power	18 kW
Q_{rated}	Rated reactive power	8.8 kVAR
V_{nom}	Nominal (L-N RMS) voltage	120 V
ω_{nom}	Nominal frequency	$2\pi(60)$ rad/s
$\Delta\omega_{max}$	Maximum frequency deviation	π rad/s
ΔV_{max}	Maximum voltage deviation	± 5 %pu
L_a	Converter-side inductor	3.5 %pu
L_g	Network-side inductor	3.5 %pu
C_f	Filter capacitor	2.5 %pu
R_a	ESR (L_a)	0.5 %pu
R_f	ESR (L_f)	0.5 %pu
R_g	ESR (L_g)	0.5 %pu

3. STEADY-STATE TERMINAL RESPONSE

Oscillator-based controllers have been shown to exhibit droop characteristics embedded implicitly in their non-linear dynamics (Johnson et al., 2016; Seo et al., 2019). The dynamic response of oscillator-based control differs from that of conventional droop-based methods. Similarly, their steady-state responses differ significantly. Two key factors contribute to the differences in steady-state behavior. First, in droop-based methods, inner voltage and current control loops are used and the desired droop response is implemented at the converter output terminal, whereas oscillator-based control is actuated at the switch terminals and the LC or LCL filter of the converter effectively becomes a part of the electrical network. Second, non-linear coupling exists between the real and reactive power droop loops. To illustrate the differences, we consider the GFM converter shown in **Figure 3**. The electrical network is modeled by a voltage source v_g and an equivalent inductance L_g. Next, we compare the terminal characteristic for droop control and oscillator-based control at the PCC in response to variation in voltage magnitude and frequency of the source v_g.

The converter parameters are listed in **Table 1**. The droop coefficients and oscillator parameters are designed to limit the real and reactive power outputs at the PCC within $[-P_{rated}, P_{rated}]$ and $[-Q_{rated}, Q_{rated}]$ when the frequency and voltage varies within $\Delta\omega = (\omega_{nom} - \omega) \in [-\Delta\omega_{max}, \Delta\omega_{max}]$ and $\Delta V = (V_{nom} - V) \in [-\Delta V_{max}, \Delta V_{max}]$. The droop coefficients for droop control are selected:

$$m_p = \frac{\Delta\omega_{max}}{P_{rated}}; \qquad m_q = \frac{\Delta V_{max}}{Q_{rated}} \qquad (5)$$

The oscillator parameters in (3) are selected:

$$\eta = \frac{3\Delta\omega_{max}V_{max}^2}{P_{rated}}; \qquad \alpha = \frac{4Q_{rated}V_{nom}^2}{3\{(2V_{max}^2 - V_{nom}^2)^2 - V_{nom}^4\}} \qquad (6)$$

For droop control, the steady-state terminal response to variation in the voltage magnitude and frequency of v_g is derived by power-flow solution of the network impedance $Z_N = sL_N$ and the droop equations given by (1) and (2). For oscillator-based control, the steady-state droop response at the oscillator terminal among V_a, ω, P_a, and Q_a are given:

$$\omega = \omega_{nom} + \frac{\eta}{3V_a^2}(P_{nom} - P_a) \qquad (7)$$

$$V_a = \frac{V_{nom}}{\sqrt{2}}\left[1 + \left[1 + \frac{4(Q_{nom} - Q_a)}{3\alpha V_{nom}^2}\right]^{\frac{1}{2}}\right]^{\frac{1}{2}} \qquad (8)$$

The terminal behavior of the oscillator is obtained through power flow solution of the LCL filter, including Z_N and the droop response given by (7) and (8). First, we demonstrate that the selection of the droop control parameters and oscillator parameters limit the real and reactive power outputs within the rated values over the entire range of allowable operating conditions. **Figures 4A,B** show the real power vs. frequency droop response for droop control and oscillator-based control, respectively. It is worth noting that for droop control, the terminal response is shown between P_o and $\Delta\omega$, whereas for oscillator control, the P_a vs. $\Delta\omega$ response is shown. The responses are shown for three different grid voltage magnitude. For all three cases, identical droop response is obtained for droop control, however, for oscillator control the droop response shows noticeable variation for different grid voltage magnitude. Such dependence of the real power vs. frequency droop response on the voltage is evident from the droop equation for oscillator control given by (7). Contrastingly, the reactive power vs. voltage droop response given by (8) is independent of frequency, which is evident in **Figure 5B**. However, for droop control, a linear droop behavior is observed between Q_o and ΔV_o (see **Figure 5A**), whereas Q_a vs. ΔV_a droop response becomes non-linear when the VSC moves away from the nominal operating condition defined by $(Q_a, \Delta V_a) \equiv (0, 0)$. Overall, over the entire operating range, given by $\Delta\omega_g \in [-\Delta\omega_{max}, \Delta\omega_{max}]$ and $\Delta V_x \in [-\Delta V_{max}, \Delta V_{max}]$, $x \in a, o$, the real and reactive power outputs of the VSC remain limited within the rated values for both droop control and oscillator control.

Next, we investigate the terminal voltage at different grid condition for the two control methods. **Figures 6A,B** show the different node voltages for $\Delta\omega = -\pi$ and $\Delta\omega = \pi$, respectively.

FIGURE 4 | Real power vs. frequency $\Delta\omega(=\omega_{nom} - \omega_g)$ droop response—**(A)** P_o vs. $\Delta\omega$ for droop control, **(B)** P_a vs. $\Delta\omega$ for oscillator control.

FIGURE 5 | Reactive power vs. voltage $\Delta V(= V_{nom} - V)$ droop response—**(A)** Q_o vs. ΔV_o for droop control, **(B)** Q_a vs. ΔV_a for oscillator control.
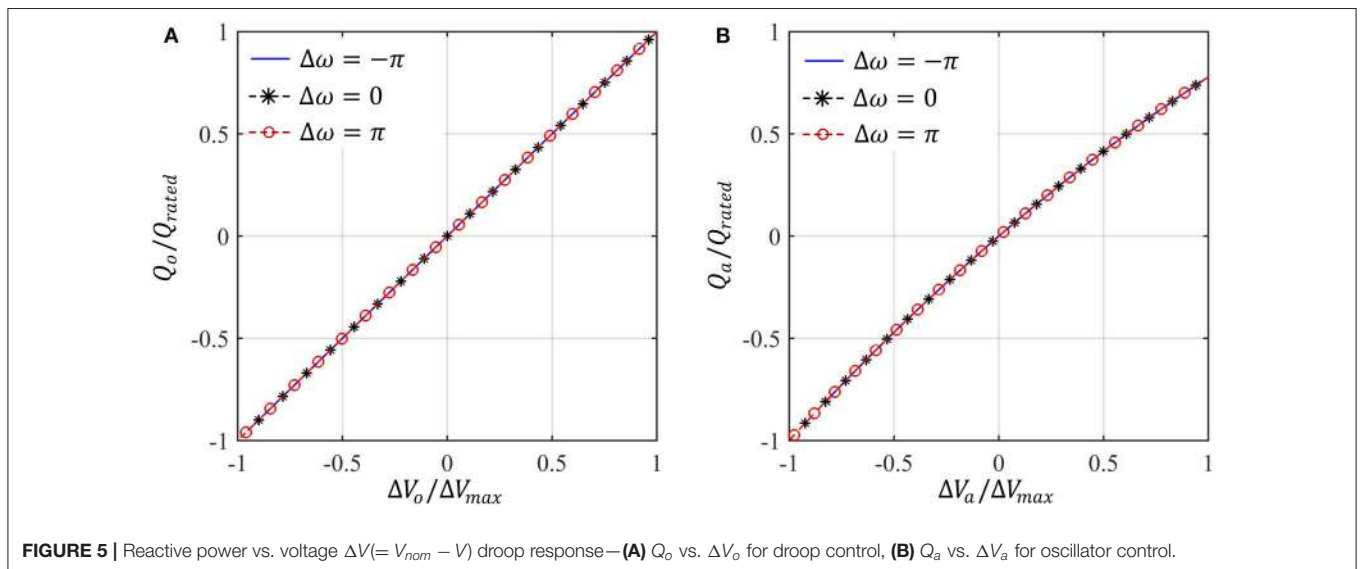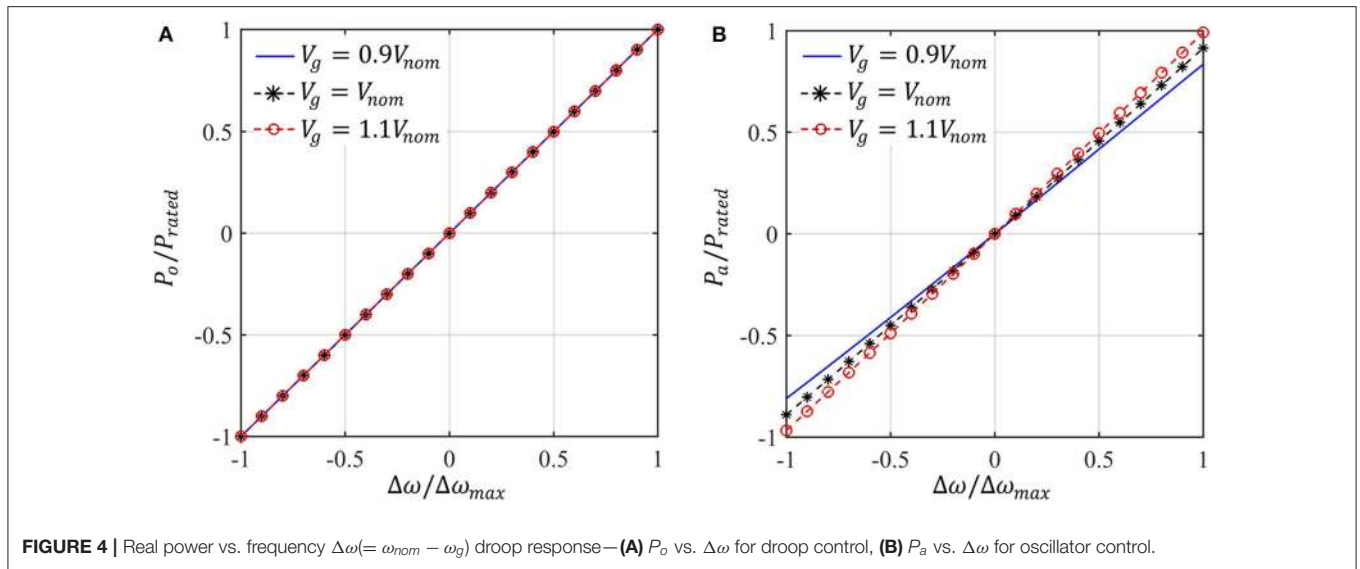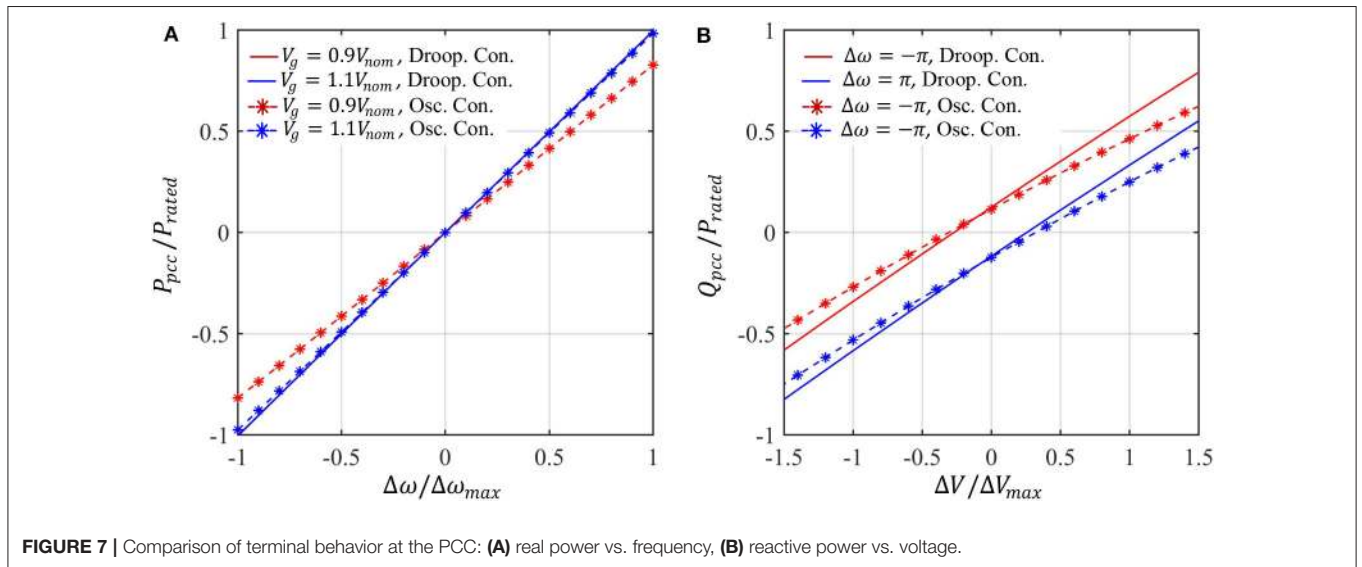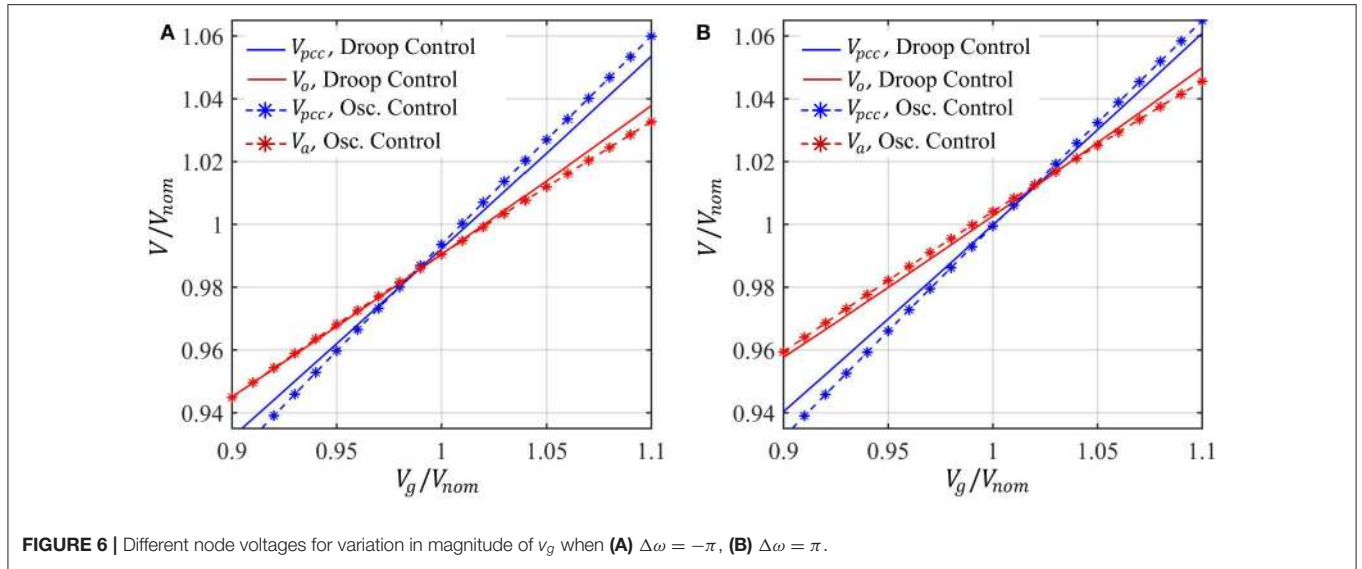
At the point of actuation, oscillator control leads to smaller voltage deviation $|\Delta V_a|$ from the nominal value than the voltage deviation $|\Delta V_o|$ for droop control over the entire range of grid voltage for both cases. However, due to higher impedance $Z_{osc} \approx (Z_a + Z_g + Z_N)$ between the point of actuation and v_g for oscillator control than the impedance $Z_{DC} = (Z_g + Z_N)$ for droop control, larger voltage deviation is observed at the PCC for oscillator control than that for droop control.

An overall comparison in the real and reactive power outputs at different grid condition for the two control methods is shown in **Figure 7**. The real power vs. frequency response for droop control remains independent of the voltage magnitude, whereas the droop behavior shows significant coupling with the voltage magnitude for oscillator control. Moreover, the reactive power vs. voltage droop response for oscillator control exhibits a non-linear behavior as expected.

4. TRANSIENT STABILITY

The transient stability of both VOC and dVOC based islanded microgrid has been studied extensively in prior art (Johnson et al., 2016; Colombino et al., 2019). Compared to droop control, which is only well-defined in the near-synchronous speed, a coupled weakly non-linear limit-cycle oscillators network can achieve global asymptotic synchronization. In this paper, we focus on the transient stability of GFM control in grid-connected mode when subjected to large transient disturbances such as transmission line faults and severe grid voltage sags. Transient stability is defined as the ability of the GFM converter to maintain synchronism with the utility grid when subjected to a major grid disturbance (Kundur et al., 1994).

Figure 8 shows a typical system configuration for power system transient stability study. The converter is connected with

FIGURE 6 | Different node voltages for variation in magnitude of v_g when (A) $\Delta\omega = -\pi$, (B) $\Delta\omega = \pi$.

FIGURE 7 | Comparison of terminal behavior at the PCC: (A) real power vs. frequency, (B) reactive power vs. voltage.

an infinite bus through two transmission lines with reactances of X_{g1} and X_{g2}, respectively. All the line impedances between the converter and transmission line are modeled as reactance X_l. The converter terminal voltage is defined as v (for droop control, it is the filter capacitor voltage; for dVOC, it is the oscillator voltage). The total reactance between the inverter and the infinite bus is defined as X_T. The transient disturbance is considered as the sudden out of service (O/S) of the second transmission line, i.e., a sudden increase in X_T.

Next, we derive the power angle dynamic equations (swing equations) of both droop control and dVOC. In practical applications, droop control usually requires low-pass filters (LPFs) to suppress the power fluctuations. By incorporating the LPF, the $P - \omega$ droop relationship is given as

$$\omega = \omega_{nom} + m_p \frac{\omega_c}{s + \omega_c} (P_{nom} - P_o). \tag{9}$$

FIGURE 8 | A grid-connected GFM converter system.
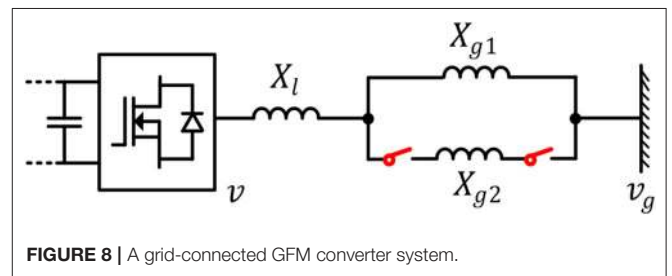
The power from the inverter to the infinite bus is given by

$$P_o = P_{max} \sin \delta, \quad P_{max} = \frac{3VV_g}{X_T}, \tag{10}$$

where P_{max} denotes the power transfer capability of the system. The inverter power angle is defined as $\delta = \theta - \theta_g$, where θ and θ_g are the phase angles of the inverter and infinite bus, respectively. The infinite bus is assumed to be operating at ω_{nom}, therefore, $\dot{\delta} = \omega - \omega_{nom}$. Based on (9) and (10), the power angle dynamic equation for droop control is obtained as

$$\ddot{\delta} + \omega_c \dot{\delta} = \omega_c m_p (P_{nom} - P_{max} \sin \delta), \qquad (11)$$

which is a second-order non-linear equation that is similar to the swing equation of a conventional synchronous machine. This is not surprising since droop control is inspired by the quasi-steady-state operation of synchronous machine. It should be noted that when the reactive power control loop is enabled, the coupling effect between the active and reactive power loop will deteriorate the transient stability of the converter (Pan et al., 2019). In practical applications, the converter is suggested to be operated in alternating-voltage control (AVC) mode under weak grid conditions to give the system best possible voltage support, which keeps the inverter terminal voltage constant while the reactive power is not explicitly controlled (Zhang et al., 2010; Wu and Wang, 2019). In this section, we therefore assume that the GFMC with droop control is working in the AVC mode, and the converter terminal voltage V is thus constant.

For dVOC, the dynamic equation in (3) can be transformed into polar coordinates:

$$\dot{V} = \eta V \left(2V_{nom}^2 - 2V^2\right) - \frac{\mu}{3V}\left(Q_o - Q_{nom}\right),$$
$$\dot{\theta} = \omega_{nom} - \frac{\mu}{3V^2}\left(P_o - P_{nom}\right). \qquad (12)$$

Here, $\mu = \eta \alpha / 2V_{nom}^2$. By substituting $\dot{\delta} = \omega - \omega_{nom}$ into (12), we obtain the power angle dynamic equation of dVOC:

$$\dot{\delta} = \frac{\mu}{3V^2}\left(P_{nom} - P_{max} \sin \delta\right), \qquad (13)$$

which is a first-order non-linear dynamic equation, and it is fundamentally different from the second-order swing equation of droop control. Owing to its limit cycle nature, the terminal voltage of a oscillator-based converter can be designed to be limited within a very narrow range. Therefore, the terminal voltage of dVOC can be considered as quasi-constant and the coupling effect between the active and reactive power can be neglected. In this paper, we only present a conceptual introduction of the basics of transient stability of grid-connected converters. Therefore, we will only consider the active power control part and show how droop control and dVOC are fundamentally different. A detailed and thorough comparative transient stability assessment of droop control and dVOC can be found in Yu et al. (2020).

The transient stability of droop control can be assessed using (11). As shown in (11), a droop-controlled converter has a similar second-order swing equation to that of synchronous machines (Kundur et al., 1994). Hence, its transient stability can be assessed via the equal area criterion (EAC) using the $P_o - \delta$ curve (Alipoor et al., 2015; Xiong et al., 2016; Taul et al., 2019; Wu
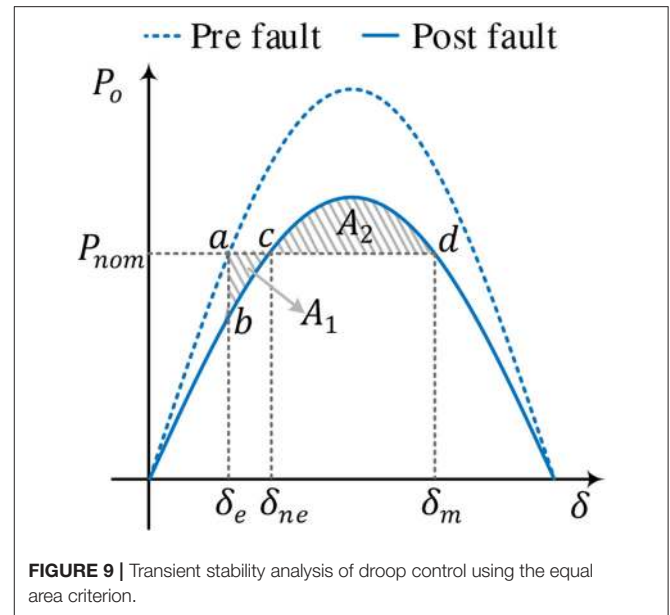

FIGURE 9 | Transient stability analysis of droop control using the equal area criterion.

and Wang, 2020). EAC is the most widely used method for power system transient stability analysis, and its major benefit is that it is straightforward and easy to understand. As shown in **Figure 9**, the system operating point originally is stabilized at an equilibrium point a with a power angle of δ_e. When the second transmission line is O/S, P_{max} will decrease and the $P_o - \delta$ curve becomes the solid one. Due to inertia, the power angle cannot change instantly, and the operating point will be changed to b. Since now P_{nom} is greater than P_o, the converter frequency (we can imagine the frequency as the angular speed of a virtual rotor in the converter) will accelerate and power angle will also increase. When it reaches point c, which is a new equilibrium point, the power angle will not stop at δ_{ne} since the frequency of the converter is now higher than the infinite bus. After c, the converter frequency will decelerate but the power angle will keep increasing. The furthest possible decelerating point is d. EAC requires that if the maximum possible decelerating area A_2 is larger than the accelerating area A_1, then the synchronism can be maintained, and the system will finally be stabilized at c. One important transient stability feature of droop control is that the system is not necessarily stable even if a new equilibrium point exists.

Although straightforward and simple, EAC neglects the damping term in droop control and can lead to very conservative assessment (Taul et al., 2019). A more precise transient stability assessment can be performed using phase portraits ($\dot{\delta} - \delta$ curve) (Strogatz, 2000; Pan et al., 2019; Taul et al., 2019), which is a commonly used graphical method for non-linear systems. As shown **Figure 10A**, the system originally operates at point a with an initial power angle of δ_e. When the fault occurs, owing to inertia, frequency and power angle cannot change instantly, i.e., both $\dot{\delta}$ and δ remain unchanged at the instant. After disturbance, the power angle can evolve in different ways for different droop control parameter values (ω_c, m_p). In **Figure 10A**, two different
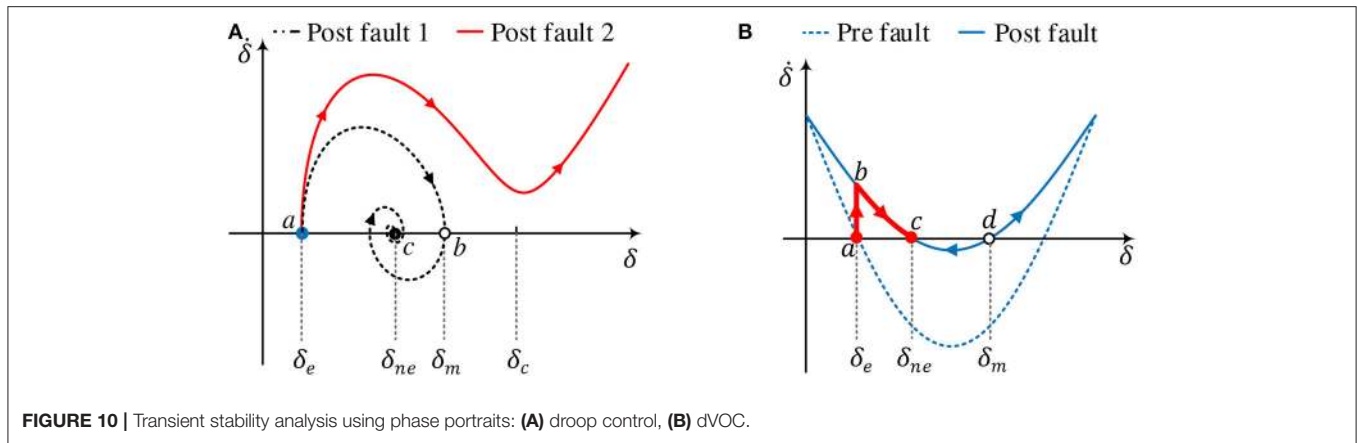
FIGURE 10 | Transient stability analysis using phase portraits: **(A)** droop control, **(B)** dVOC.

cases are shown; we assume that in both cases the equilibrium point exists after disturbance, i.e., $P_{max} > P_{nom}$. In the case of the dotted black line, δ first increases to point b on the $\dot{\delta} = 0$ axis, which is an open circle representing an unstable fixed point. Since point b is unstable, the system trajectory moves away until it reaches a stable fixed point c, which is marked by a solid black dot. There are a number of unstable fixed points along the trajectory that are not marked in the figure for simplicity. The system is stabilized at c. The power angle δ_m is the maximum power angle the system can reach during this transient (peak of the overshoot). And before the system finally reaches δ_{ne}, back and forth oscillations exist in the power angle response. As can be seen in **Figure 10A**, if the δ_m crosses over a critical point δ_c, no fixed point exists and δ keeps increasing to infinity, leading to loss of synchronism. The critical angle δ_c can be easily identified using numerical methods.

For dVOC, we can also use phase portrait to assess its transient stability. As shown in **Figure 10B**, the system is at point a before the fault, which is a stable equilibrium point. At the fault instant, since P_{max} decreases, the new $\dot{\delta} - \delta$ curve becomes the solid one. The system operating point will move to point b. As can be seen from **Figure 10B**, the new curve has two equilibrium points c and d. c is a stable equilibrium point since c attracts the flow from any nearby point on either side. d is an unstable equilibrium point since it repels the flow on both sides. Therefore, when the system is on b at the fault instant, it will move to c monotonically and be stabilized at c without any overshoot and oscillation. This is advantageous over droop control that the system will always be stable if there is a new equilibrium point.

5. HARMONIC COMPENSATION

For droop-based conventional GFM control, the inner current and voltage loops facilitate supplementary control objective such as harmonic compensation in output current or in network voltage at specific nodes. In the following subsections, harmonic current and voltage suppression strategies for oscillator control are discussed.

5.1. Harmonic Current Rejection

Harmonic distortion in the converter output current may be caused by background harmonics in the PCC voltage v_{pcc} such as odd harmonic distortion caused by non-linear loads in the grid/network. Dead time/blanking time, used for safe operation of power semiconductor devices, may also lead to odd harmonic distortions in the converter output current.

5.1.1. Harmonic Current Suppression in Droop-Based GFMCs

In droop-based converters, suppression of such harmonic currents is typically achieved through virtual impedance emulation. In essence, the converter output impedance is increased to very high values at the harmonic frequencies. Virtual impedance emulation in a droop-based VSC is shown in **Figure 1**. For impedance emulation, the reference for the output voltage control loop is modified as follows:

$$v_o^*(s) = v_o^r(s) - i_2(s)Z_v(s), \tag{14}$$

where v_o^r denotes the original voltage reference generated from the droop equations for real and reactive power regulation and the virtual impedance Z_v is designed as

$$Z_v(s) = Z_1(s) + \sum_h K_h \frac{\omega_{B,h}s}{s^2 + \omega_{B,h}s + \omega_h^2}. \tag{15}$$

Here, $Z_1(s)$ is the desired virtual impedance at the fundamental frequency ω_1 and K_h denotes the virtual impedance magnitude at harmonic the harmonic frequency $\omega_h = h\omega_1$; $\omega_{B,h}$ denotes the bandwidth of the resonant response. The voltage controller and the current controller can be chosen as

$$F_v(s) = K_{pv} + \frac{K_{iv}}{s} + \sum_h \frac{K_{rv,h}\omega_{B,h}s}{s^2 + \omega_{B,h}s + \omega_h^2}; \qquad F_c = K_{pc}. \tag{16}$$

The harmonic current rejection capability of the VSC can be quantified by the converter output impedance $Z_{o,dc}(s) =$

$v_o/(-i_2(s))$. The output impedance of the droop-based VSC, shown in **Figure 1**, can be derived as

$$Z_{o,dc}(s) = \frac{Z_1(s)Z_f(s) + Z_f(s)F_c(s)F_v(s)Z_v(s)F_{zoh}(s)e^{-sT_s}}{Z_1(s) + Z_f(s) + F_c(s)(1 + F_v(s)Z_f(s))F_{zoh}(s)e^{-sT_s}}$$

(17)

Here, $F_{zoh}(s) = (1 - e^{-sT_s})/sT_s$ corresponds to the PWM and the sampling by the digital controller and one-sample (T_s) delay is considered due to controller implementation. The corresponding frequency response of the converter output impedance is shown in **Figure 13** using controller parameters as $K_{pc} = 1.5, K_{pv} = 0.05, K_{iv} = 10, K_{rv,1} = 100, \omega_{B,h} = 0.5, K_3 = K_5 = K_7 = 200, K_9 = 150, K_{11} = 200$.

5.1.2. Harmonic Current Suppression in Oscillator-Based GFMCs

Virtual impedance emulation can be used in oscillator-based GFM converters. In Awal et al. (2020a), inductive, capacitive, and resistive virtual impedances are compared harmonic suppression and inductive impedance emulation for grid-side current feedback based controller implementation is recommended. For specific applications, however, grid-side current feedback may not be available, which is typical in most applications. In this work, we compare various types of virtual impedances for both converter-side and grid-side current feedback-based controller implementation. **Figure 2** shows harmonic current suppression using either converter-side or grid-side current feedback. For the implementation of either one, the virtual resistance can be chosen as

$$Z_v(s) = \frac{R_{vir}}{s/\omega_b + 1} + \sum_h Z_h(s)$$

(18)

The virtual resistance R_{vir} with a bandwidth ω_b can be used for small-signal stabilization in case of a dominantly inductive grid. The resonant impedance $Z_h(s)$ can be chosen to provide inductive, capacitive, or resistive response at the harmonic frequencies:

$$Z_{h,L}(s) = K_h \frac{-\omega_{B,h}\omega_h}{s^2 + \omega_{B,h}s + \omega_h^2};$$
$$Z_{h,C}(s) = K_h \frac{\omega_{B,h}\omega_h}{s^2 + \omega_{B,h}s + \omega_h^2};$$
$$Z_{h,R}(s) = K_h \frac{\omega_{B,h}s}{s^2 + \omega_{B,h}s + \omega_h^2}.$$

(19)

The resonant impedances $Z_{h,L}, Z_{h,C}$, and $Z_{h,R}$ have phase responses of $\pi/2, -\pi/2$, and 0 with identical magnitude response of K_h.

First, we consider converter-side current feedback-based controller implementation. In the frequency range of interest (from hundreds of Hz to the Nyquist frequency), the oscillator dynamics can be ignored. The corresponding converter output impedance is determined as

$$Z_{o1}(s) = \frac{Z_f(s)\{Z_1(s) + Z_{va}(s)F_{zoh}(s)e^{-sT_s}\}}{Z_1(s) + Z_f(s) + Z_{va}(s)F_{zoh}(s)e^{-sT_s}}$$

(20)

Figures 11A,B compare the converter output impedances $Z_o(s)$ for different choices of $Z_h(s)$ when the band-limited virtual resistance is set as $R_{vir} = 0$ and $R_{vir} = 0.3\ \Omega$, respectively; the response is zoomed-in around the 7th harmonic for ease of explanation. It is interesting to note that without R_{vir} (see **Figure 11A**), all choices exhibit large phase jumps, which significantly reduce the stability margins of the system and may even lead to instability in extreme cases. Additionally, $Z_h = Z_{h,R}$ causes a large decrease in impedance magnitude, which lowers disturbance rejection capability and renders the VSC susceptible to inter-harmonic distortions. Contrarily, $R_{vir} = 0.3\Omega$ reduces both the phase jump and dip in impedance magnitude for $Z_h = Z_{h,R}$, whereas $Z_h = Z_{h,L}$ and $Z_h = Z_{h,C}$ remain unaffected. Overall, resistive resonant impedance $Z_{h,R}$ along with the band-limited virtual resistance R_{vir} provides the best solution for converter-side current feedback based controller implementation.

Next, controller implementation using grid-side current feedback is discussed. The converter output impedance is given:

$$Z_{o2}(s) = \frac{Z_f(s)\{Z_1(s) + Z_{va}(s)F_{zoh}(s)e^{-sT_s}\}}{Z_1(s) + Z_f(s)}$$

(21)

Comparison among converter output impedances with different choices for Z_h while setting $R_{vir} = 0$ and $R_{vir} = 0.3\Omega$ are shown in **Figures 12A,B**, respectively. Capacitive resonant impedance, i.e., $Z_h = Z_{h,C}$, gives large phase jumps in both cases, whereas inductive impedance emulation by setting $Z_h = Z_{h,L}$ gives small phase-jump in both cases without causing inter-harmonic susceptibility by lowering impedance magnitude; Similar to converter-side feedback based implementation, $Z_h = Z_{h,R}$ gives small phase jump with $R_{vir} \neq 0$ but impedance magnitude falls immediately above the harmonic frequency. In (Awal et al., 2020a), passivity of converter impedance is used as a sufficient condition for stability while the grid-impedance is not known. The converter impedance is considered passive when $-\pi/2 < \angle Z_o(j\omega) < \pi/2$. It is worth noting that $Z_{h,L}$ introduces a small non-passive region close to the harmonic frequency without any dip in the impedance magnitude, whereas $Z_{h,R}$ does not cause non-passive behavior but causes a fall in the impedance magnitude very close to the harmonic frequency. The choice between the two options is at the discretion of the designer; potential inter-harmonic distortion and uncertainty in grid/network impedance should be considered while selecting between the two.

Figure 13 shows comparison among converter output impedances of a droop-based GFMC and oscillator-based GFMCs using converter-side and grid side current feedback, where $Z_h = Z_{h,R}$ and $Z_h = Z_{h,L}$ is used for the two cases, respectively, and $R_{vir} = 0.3$ is used for both. Evidently, the droop-based GFMC exhibits large phase jumps around the harmonic frequencies and well as in
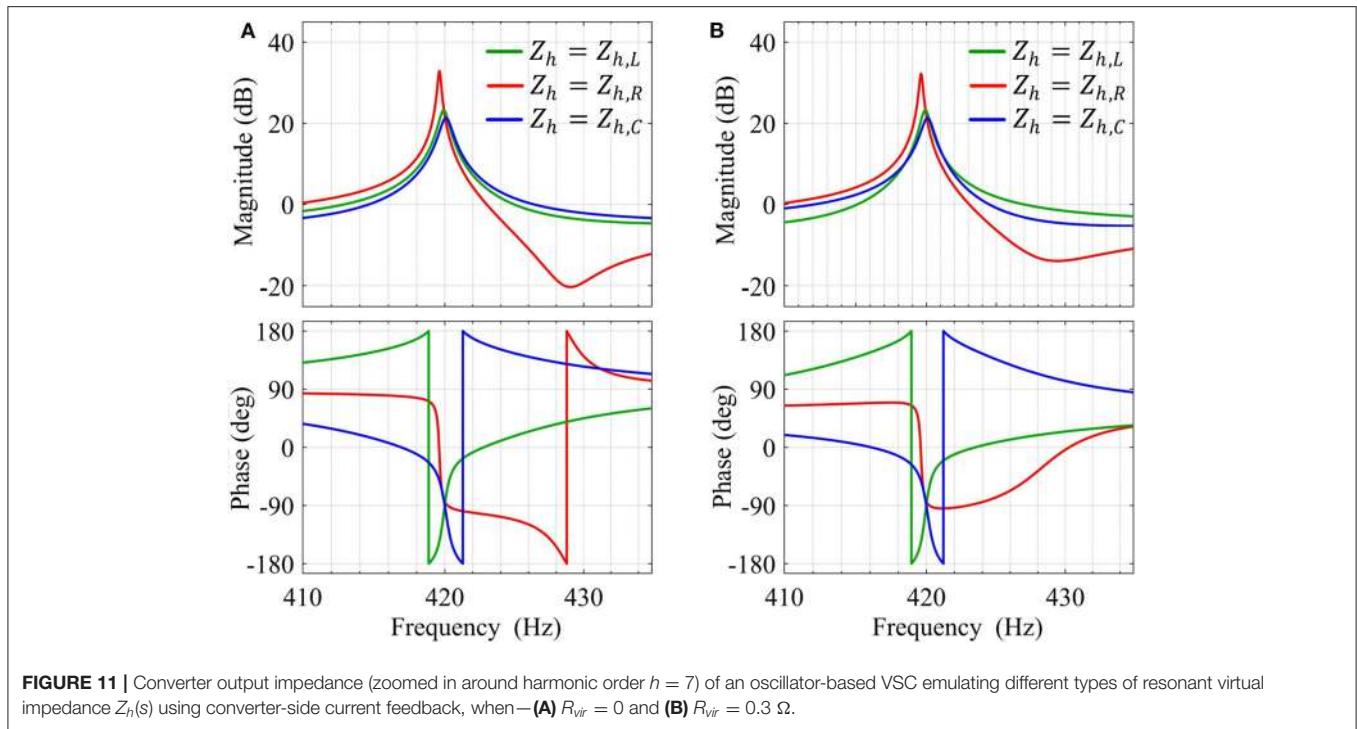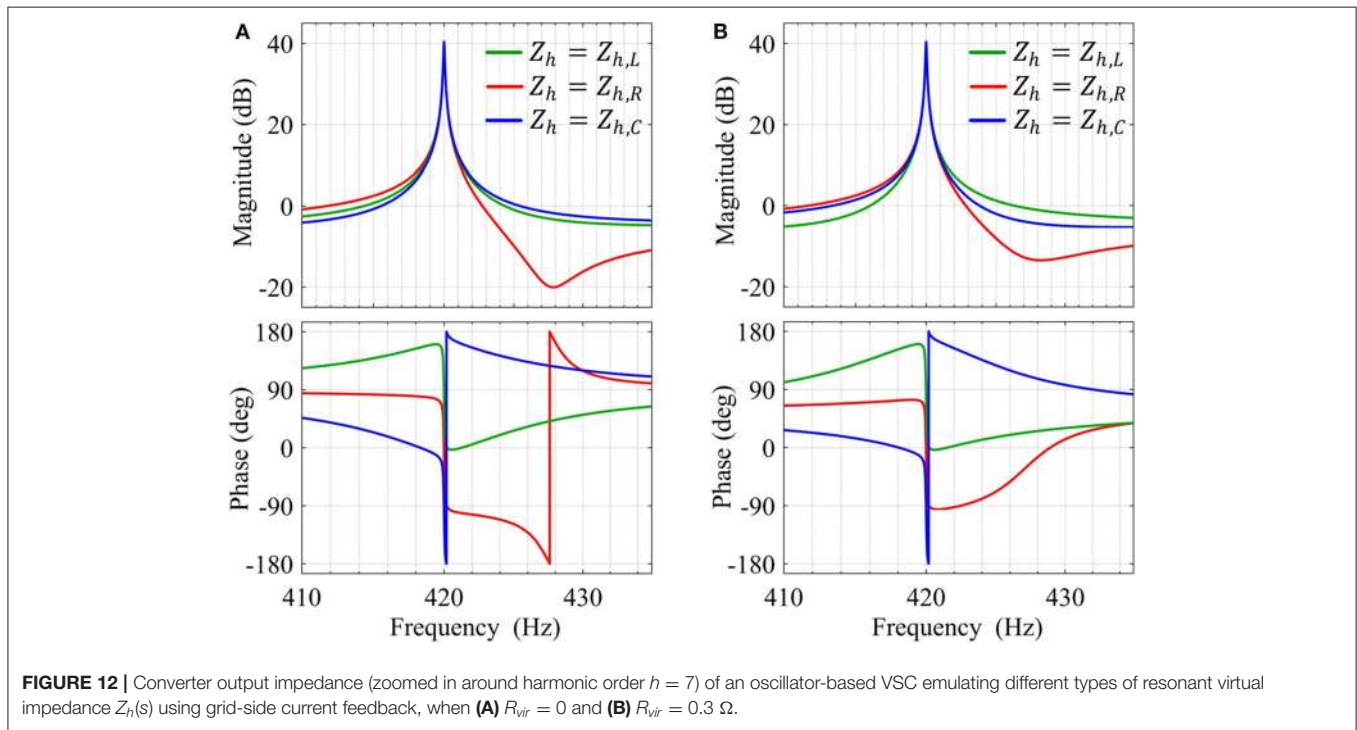
FIGURE 11 | Converter output impedance (zoomed in around harmonic order $h = 7$) of an oscillator-based VSC emulating different types of resonant virtual impedance $Z_h(s)$ using converter-side current feedback, when—**(A)** $R_{vir} = 0$ and **(B)** $R_{vir} = 0.3 \ \Omega$.

FIGURE 12 | Converter output impedance (zoomed in around harmonic order $h = 7$) of an oscillator-based VSC emulating different types of resonant virtual impedance $Z_h(s)$ using grid-side current feedback, when **(A)** $R_{vir} = 0$ and **(B)** $R_{vir} = 0.3 \ \Omega$.

the high frequency range whereas oscillator-based GFMCs incur smaller non-passive regions around the harmonic frequencies and no non-passive response is introduced in the high-frequency range. From **Figures 1**, **2**, it is evident that oscillator-based GFMCs enable significantly

simpler controller implementation to achieve harmonic current suppression capability without introducing large non-passive regions.

Virtual impedance emulation enables us to selectively increase the converter output impedance at the harmonic frequencies,

which effectively suppresses harmonic currents contributed by harmonic voltage distortions on either the grid side or the converter side. Explicit harmonic detection/extraction is not required, and the converter filter parameters are used only to assess the stability margins of the systems; exact knowledge of the passive filter components are not necessary. The compensation filters are implemented in the digital

controller unlike the hardware filter proposed in Duong et al. (2018b).

The effectiveness of the harmonic current suppression is demonstrated in **Figure 14**. For a grid-tied operation using oscillator control, presence of harmonic distortion in the grid voltage leads to severe harmonic distortion in the converter output current (see **Figure 14A**). With converter-side current feedback, using (18) and $Z_h = Z_{h,R}$ harmonic suppression is achieved, as shown in **Figure 14B**.
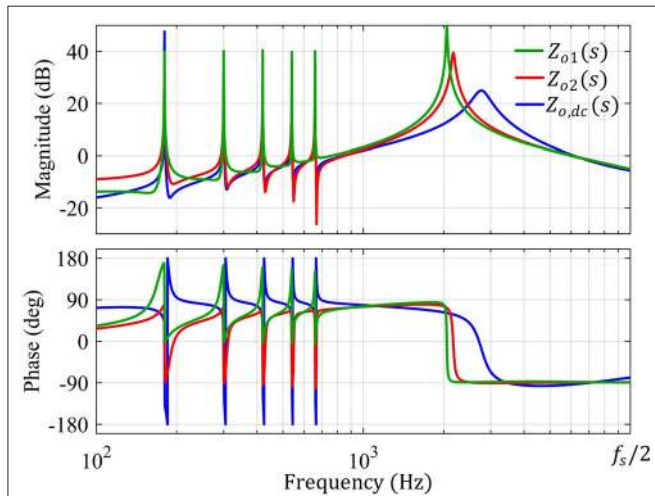
5.2. Harmonic Voltage Compensation

With the increasing application of non-linear loads, such as computers and many other home appliances drawing harmonics currents from the grid, power quality issues are becoming a serious concern in AC distribution systems. The harmonic currents demand may result in severe voltage distortion at the PCC and affect sensitive loads or even interfere with the communication systems of microgrids. Using GFMCs for PCC harmonic voltage compensation as an ancillary service to the grid is seen as a cost-competitive and effective method (Li and He, 2014). In this section, the state-of-the-art droop-based methods will be briefly reviewed, and the oscillator-based scheme will be detailed and compared with the droop-based methods.

5.2.1. Harmonic Voltage Suppression in Droop-Based GFMCs

In the droop controlled GFMCs, an inner dual loop control structure is mostly used for voltage tracking. While a proportional-resonant (PR) controller that resonates at the fundamental frequency is usually enough for normal operations, a more effective and complicated controller is required when non-linear load is a serious concern. When
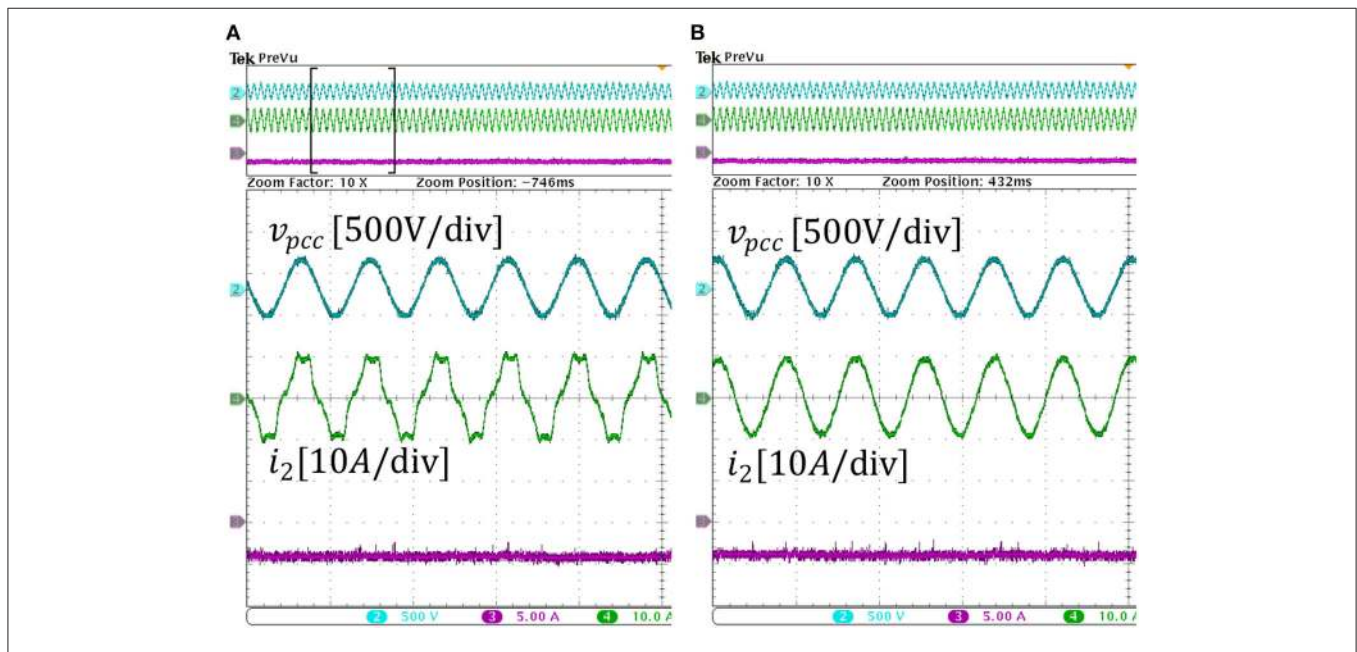

FIGURE 13 | Converter output impedance $Z_o(s)$ with $K_3 = 100\ \Omega$, $K_3 = 100\ \Omega$, $K_5 = 100\ \Omega$, $K_7 = 100\ \Omega$, $K_9 = 90\ \Omega$, $K_{11} = 90\ \Omega$, $\omega_{B,3} = \omega_{B,5} = \omega_{B,7} = \omega_{B,9} = \omega_{B,11} = 0.5$ rad/s, $R_{vir} = 0.3\ \Omega$, and $\omega_b = 12000\pi$ rad/s: $Z_{o1}(s)$ using (20) with converter-side current feedback and $Z_{o2}(s)$ using (21) with grid-side current feedback.

FIGURE 14 | Converter output current: **(A)** without harmonic suppression, **(B)** with harmonic current suppression using converter-side current feedback.
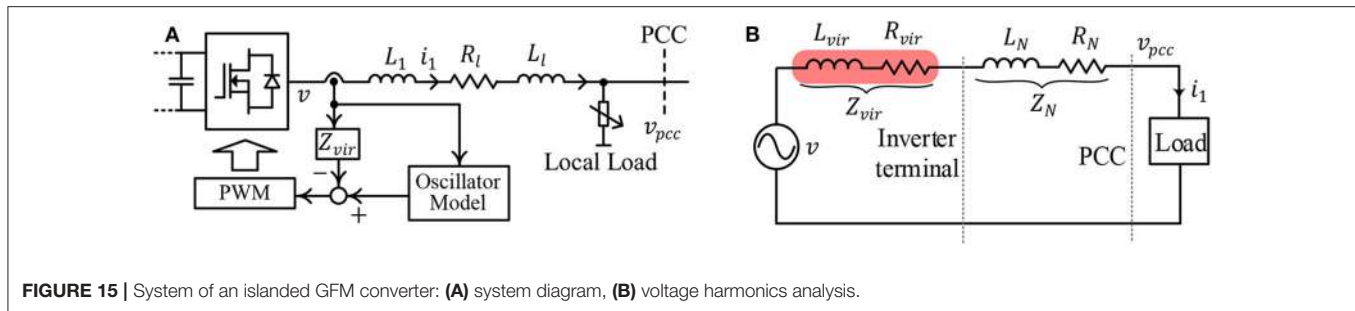
FIGURE 15 | System of an islanded GFM converter: **(A)** system diagram, **(B)** voltage harmonics analysis.

the load current is severely polluted with low order harmonic components, advanced voltage control structures, such as multiple PR controllers, are usually implemented to ensure good voltage tracking and harmonics rejection (Wang et al., 2012, 2013; He et al., 2014; Liu et al., 2019). Another popular alternative is repetitive controller (Qi et al., 2019). These advanced controllers greatly complicate the control system design and adds substantial computational burden to the digital processors. Another challenge for the multiple PRs or repetitive controller lies in the fact that for a practical AC distribution system, especially for an islanded AC microgrid, the system frequency is not always fixed at its nominal value but will change in real time according to the loading level of the system. This further complicates the controller design since a changing fundamental frequency will make these controllers less effective if they are not improved with frequency adaptive features.

In addition to advanced voltage controllers, the droop control structure should be improved in order to suppress the voltage harmonics at PCC. One option is to modify the droop control with addition of harmonic droop controllers (Zhong, 2012). The most widely used method is virtual impedance emulation at harmonic frequencies. Some of the existing virtual impedance emulation schemes for droop control can basically be applied in a oscillator-based GMFC with slight modification. We will introduce the virtual impedance scheme in detail in the next section.

5.2.2. Harmonic Voltage Suppression in Oscillator-Based GFMCs

In this section, we will show that with oscillator-based scheme, the complicated voltage control structures can be avoided. A limit cycle oscillator can strongly damp the harmonics from the input, which helps a VOC based inverter maintain highly sinusoidal terminal voltage even if the load current is polluted with substantial harmonic components (Awal et al., 2020a). However, the PCC voltage can still be distorted with harmonics when feeding non-linear loads. Next, we analyze the mechanism for the voltage distortion at PCC. An islanded GFM converter with VOC and the corresponding impedance model for this scenario are depicted in **Figure 15A,B**, where the total network impedance Z_N has an inductance of $L_N = L_1 + L_l$ and resistance of $R_N = R_l$, respectively; Z_{vir} is the virtual impedance that will be

introduced later. It can be seen in **Figure 15A** that with oscillator-based control, the control structure can be greatly simplified. It will be demonstrated later in this section that the simple oscillator model alone can achieve the functionalities of both advanced voltage controllers and droop control combined.

Since the load is non-linear, the load current i can be decomposed as the fundamental component i_{h1} and the k-th order harmonic component i_{hk}. Without any harmonic voltage compensation, the voltage at the PCC v_{pcc} is given as

$$i = \sum_{k=1,3,5,7...}^{n} i_{hk}, \quad v_{pcc} = v - i_1 Z_N = \underbrace{v - i_{h1} Z_N}_{\text{fundamental}} - \underbrace{\sum_{k=3,5,7...}^{n} i_{hk} Z_N}_{\text{harmonics}},$$

(22)

which shows that v_{pcc} will be distorted due to the harmonics in i_1 and the impedance even if the oscillator voltage is sinusoidal.

To suppress the harmonic distortion in v_{pcc}, one feasible solution is to design the virtual impedance Z_{vir} such that the total impedance at each harmonic frequency ω_k is 0, i.e.,

$$Z_{vir}(j\omega_k) + Z_N(j\omega_k) = 0,$$

(23)

where $\omega_k = k\omega_1$, and ω_1 is the fundamental frequency. It should be noted that ω_1 is a load- and system-dependent variable and could deviate from ω_{nom} due to the droop characteristic of VOC in islanded mode. As long as (23) is satisfied, the harmonic currents will not cause any harmonic voltage drop across the network, and v_{pcc} will ideally be sinusoidal. To that end, R_{vk} and L_{vk} can be designed to cancel the total network resistance R_N and L_N at ω_k, respectively. The overall virtual impedance realization diagram is given in **Figure 16**, in which i_{hk} and qi_{hk} are the k-th harmonic current and its quadrature component. It should be noted that ω_k is not a fixed value but rather an adaptive value obtained by the current harmonics extraction block, which makes the control scheme frequency adaptive. In this work, up to 13th harmonic currents are extracted and used to implement virtual impedance. The voltage v_{vir} induced by virtual impedance is given as

$$v_{vir} = v_1 + \sum_{k=3,5,7...}^{n} v_{hk}, \quad v_1 = i_{h1} R_{v1}, \quad v_{hk} = -i_{hk} R_{vk} + qi_{hk} \omega_k L_{vk}.$$

(24)

The current harmonics extraction block can be realized using a combination of multiple second-order generalized integrators

(MSOGI) and a frequency-locked loop (FLL), i.e., MSOGI-FLL (Rodriguez et al., 2011; Golestan et al., 2019). The harmonic decoupling network (HDN) should be adopted to isolate different harmonics in the input signal and enhance the selective filtering capability, which is frequency adaptive thanks to the use of FLL. Different from droop control, in which the system frequency is determined by the droop relationship Wang et al., 2012, 2013, oscillator-based control does not have an explicit function to obtain the fundamental frequency. By using a frequency adaptive block FLL, MSOGI-FLL decomposes i into its fundamental component i_1, harmonic components i_{hk}, and the corresponding quadrature components qi_{h1}, qi_{hk}.

To study the effectiveness of the virtual impedance scheme, a small-signal model of the oscillator-based GMFC can be derived as shown in **Figure 17**, where $Z_{osc}(s)$ denotes the small-signal impedance of the VOC. The harmonic current has limited influence on the output voltage of the oscillator due to its limit cycle nature, which suggests that the impedance introduced by VOC at harmonic frequencies is close to 0. Since this paper deals with voltage harmonics at the 3rd, 5th, 7th... order, the influence of VOC on the overall impedance at these harmonic frequencies can be neglected, i.e., $Z_{osc}(j\omega_k) \approx 0$.

MSOGI-FLL can be linearized using the methods proposed in Rodriguez et al. (2011). Firstly, we consider the modeling of the basic SOGI-FLL. In this work, the dynamic change of the system frequency is not considered and thus the dynamics of the FLL can be neglected. We assume that the SOGI-FLL is operating at a steady-state frequency ω_1, and the transfer functions from i to i_{h1} and from i to qi_{h1} can be modeled respectively:

$$G_{\alpha 1}(s) = \frac{i_{h1(s)}}{i(s)} = \frac{k_{sogi}\omega_1 s}{s^2 + k_{sogi}\omega_1 s + \omega_1^2}, \tag{25}$$

$$G_{\beta 1}(s) = \frac{qi_{h1(s)}}{i(s)} = \frac{k_{sogi}\omega_1^2}{s^2 + k_{sogi}\omega_1 s + \omega_1^2}, \tag{26}$$

where k_{sogi} is the SOGI gain. These two transfer functions are also applicable to other SOGI blocks in MSOGI-FLL by substituting the frequency ω_1 and gain k_{sogi} with ω_k and k_{sogi}/k, respectively. The transfer functions for the k-th block are denoted as $G_{\alpha k}(s)$ and $G_{\beta k}(s)$.

Next, we consider the effect of HDN. The k-th component of the current can be obtained as

$$i_{hk} = G_{\alpha k}(s)\left(i - \sum_{j \neq k}^{n} i_{hj}\right), \tag{27}$$

$$qi_{hk} = G_{\beta k}(s)\left(i - \sum_{j \neq k}^{n} i_{hj}\right). \tag{28}$$

Then the transfer functions from the input i to the k-th harmonic components by taking HDN into account can be obtained as Rodriguez et al. (2011):

$$T_{\alpha k}(s) = \frac{i_{hk(s)}}{i(s)} = G_{\alpha k}(s) \prod_{j \neq k}^{n} \frac{1 - G_{\alpha j}(s)}{1 - G_{\alpha k}(s)G_{\alpha j}(s)}, \tag{29}$$

$$T_{\beta k}(s) = \frac{qi_{hk(s)}}{i(s)} = G_{\beta k}(s) \prod_{j \neq k}^{n} \frac{1 - G_{\alpha j}(s)}{1 - G_{\alpha k}(s)G_{\alpha j}(s)}. \tag{30}$$

The virtual impedance can be derived as

$$Z_{vir}(s) = R_{v1} T_{\alpha 1}(s) + \sum_{k=3,5...}^{n}\left(-R_{vk} T_{\alpha k}(s) + \omega_k L_{vk} T_{\beta k}(s)\right). \tag{31}$$
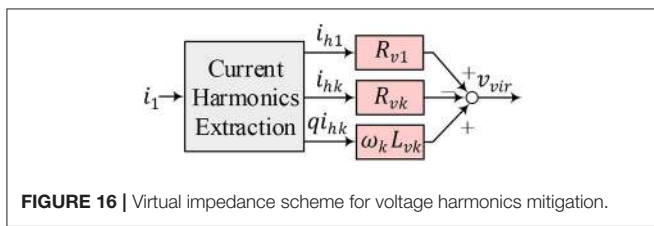

FIGURE 16 | Virtual impedance scheme for voltage harmonics mitigation.
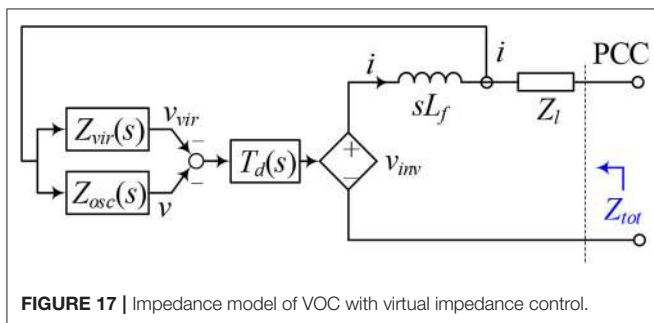

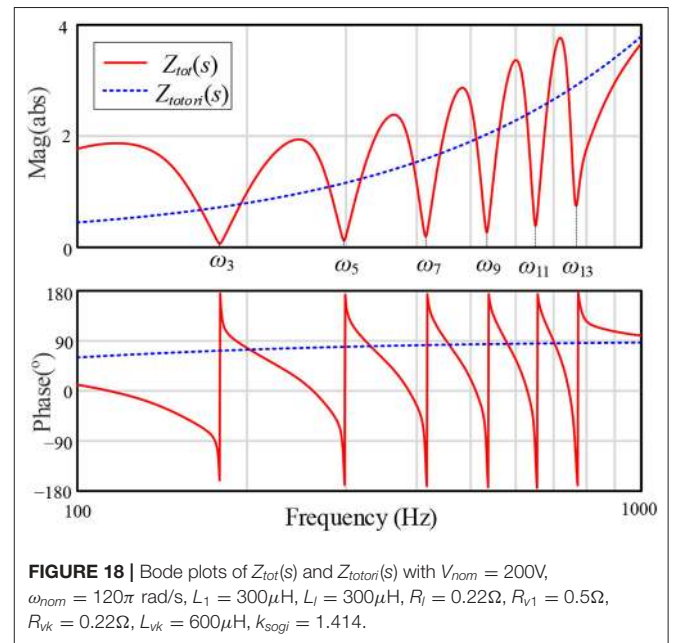FIGURE 17 | Impedance model of VOC with virtual impedance control.

FIGURE 18 | Bode plots of $Z_{tot}(s)$ and $Z_{totori}(s)$ with $V_{nom} = 200$V, $\omega_{nom} = 120\pi$ rad/s, $L_1 = 300\mu$H, $L_l = 300\mu$H, $R_l = 0.22\Omega$, $R_{V1} = 0.5\Omega$, $R_{vk} = 0.22\Omega$, $L_{vk} = 600\mu$H, $k_{sogi} = 1.414$.
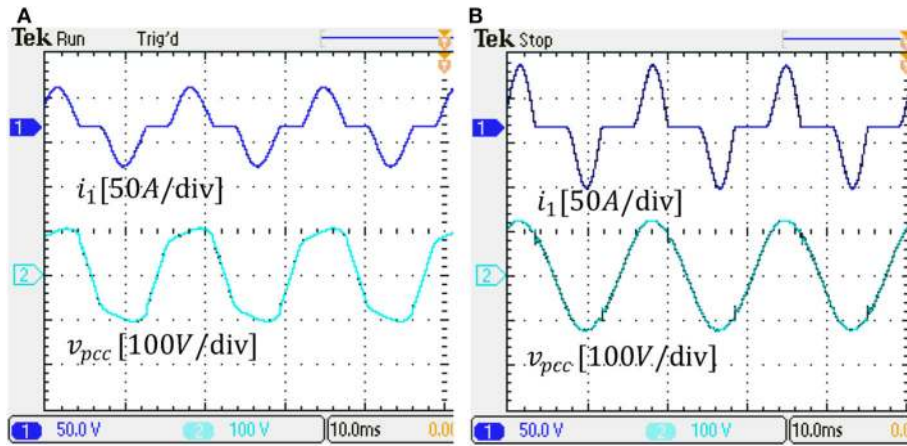
FIGURE 19 | Load current and PCC voltage: **(A)** with the original VOC, **(B)** harmonic suppression using the proposed virtual impedance scheme.

In **Figure 17**, $T_d(s)$ represents the delay introduced by digital control and PWM. Based on **Figure 17**, the total impedance can be easily derived:

$$Z_{tot}(s) = Z_{vir}(s)T_d(s) + sL_f + Z_l(s). \tag{32}$$

To highlight the virtual impedance influence, the total impedance without virtual impedance is marked as $Z_{totori}(s)$. The Bode plots of the impedances are given in **Figure 18**. In **Figure 18**, it can be seen that with the proposed virtual impedance scheme, the total impedance is considerably small at critical frequencies, such as $\omega_3, \omega_5, \omega_7$, etc., which ensures that the harmonic load current will not cause considerable harmonic voltage drop across the inverter filter and feeder. Therefore, the voltage distortion at PCC can be effectively suppressed. It should be noted that for the virtual impedance method to work effectively, the network impedance should be known. Therefore, the impedance information should be as accurate as possible. To further improve the performance, the virtual impedance can be made adaptive if an online impedance estimation is available to handle applications with a time-varying network impedance.

The effectiveness of the harmonic voltage suppression is demonstrated in **Figures 19A,B**. With the original VOC, v_{pcc} is severely distorted when the load current contains considerable harmonics components; when the proposed virtual impedance scheme is enabled, v_{pcc} becomes highly sinusoidal.

6. CONCLUSION

The emerging oscillator-based methods for primary controls of power electronic converters, which sits on top of the local PWM control, address a number of challenges associated with droop-type controls since the latter are based on phasor approximation and not well-defined in the super-synchronous time scale. While both droop- and oscillator-based controls inherently function on droop relations, distinct differences exist between the two methods in steady state and transient response characteristics

for both reference tracking and stability behavior. For oscillator-based methods, unlike droop control, the desired droop response is actuated at the output of the switch network instead of the converter terminal since the inner current and voltage control loops are not used for the former. Droop controls are independent of the voltage magnitude at the point of common coupling, while the droop behavior shows significant coupling with the oscillator node for oscillator-based methods. The transient stability of oscillator-based control under fault conditions differ significantly from that of droop-based methods with the former having the advantage of a guaranteed stability if a new equilibrium point exists. Droop-based methods easily facilitate achieving harmonic current suppression due to the distinct time-scale separation of control loops, while in oscillator-based method explicit controls have to be developed. Harmonic current rejection using either converter-side or grid-side currents with an oscillator-based method is presented in this paper with simulation and experimental results; it has also been demonstrated that oscillator-based methods can easily employ harmonic voltage compensation when feeding non-linear loads. A major advantage of oscillator-based method is the ease of implementation with fewer sensor requirement compared to droop-based methods. While oscillator-based controllers are more recent compared to the mature technology of droop controls, we expect to see more evolving novel approaches especially for the former.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

AUTHOR CONTRIBUTIONS

MA, HY, SL, and IH: substantial contributions to the conception or design of the work, or the acquisition, analysis or interpretation of data for the work, drafting the work or revising

it critically for important intellectual content, providing approval for publication of the content, and agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All authors contributed to the article and approved the submitted version.

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