

Drug-Resistant Pneumococcal Pneumonia: Clinical Relevance and Related Factors

Javier Aspa,¹ Olga Rajas,¹ Felipe Rodríguez de Castro,⁴ José Blanquer,⁵ Rafael Zalacain,⁶ Asunción Fenoll,³ Rosa de Celis,⁷ Antonio Vargas,⁸ Francisco Rodríguez Salvanés,² Pedro Pablo España,⁹ Jordi Rello,¹⁰ and Antoni Torres,⁷ on behalf of the Pneumococcal Pneumonia in Spain Study Group^a

¹ Servicio de Neumología and ²Unidad de Epidemiología Clínica, Hospital de la Princesa, and ³Laboratorio de Referencia de Neumococos, Servicio de Bacteriología, Centro Nacional de Microbiología, Instituto de Salud Carlos III, Madrid, ⁴Servicio de Neumología, Hospital de Gran Canaria Dr. Negrín, Las Palmas, ⁵Unidad de Cuidados Intensivos, Hospital Clínico, Valencia, ⁶Servicio de Neumología, Hospital de Cruces, Bilbao, ⁷Servei de Pneumologia i Alergia Respiratoria, Institut Clínic de Pneumologia i Cirurgia Torácica, Hospital Clínic, Barcelona, ⁸Servicio de Neumología, Hospital Universitario de Puerto Real, Puerto Real, Cádiz, ⁹Hospital de Galdakao, Galdakao, Vizcaya, and ¹⁰ Hospital Universitario Joan XXIII, Tarragona, Spain

A multicenter study of 638 cases of community-acquired pneumonia due to *Streptococcus pneumoniae* (SP-CAP) was performed to assess current levels of resistance. Of the pneumococcal strains, 35.7% had an minimum inhibitory concentration (MIC) of penicillin of ≥ 0.12 $\mu\text{g/mL}$ (3 isolates had an MIC of 4 $\mu\text{g/mL}$), 23.8% had an MIC of erythromycin of 128 $\mu\text{g/mL}$, and 22.2% were multidrug resistant. Logistic regression determined that chronic pulmonary disease (odds ratio [OR], 1.44), human immunodeficiency virus infection (OR, 1.98), clinically suspected aspiration (OR, 2.12), and previous hospital admission (OR, 1.69) were related to decreased susceptibility to penicillin, and previous admission (OR, 1.89) and an MIC of penicillin of MIC ≥ 0.12 $\mu\text{g/mL}$ (OR, 15.85) were related to erythromycin resistance (MIC, ≥ 1 $\mu\text{g/mL}$). The overall mortality rate was 14.4%. Disseminated intravascular coagulation, empyema, and bacteremia were significantly more frequent among patients with penicillin-susceptible SP-CAP. Among isolates with MICs of penicillin of ≥ 0.12 $\mu\text{g/mL}$, serotype 19 was predominant and was associated with a higher mortality rate. In summary, the rate of resistance to β -lactams and macrolides among *S. pneumoniae* that cause CAP remains high, but such resistance does not result in increased morbidity.

The increasing incidence of multiple-antimicrobial resistance among *Streptococcus pneumoniae* isolates is be-

coming a problem throughout the world [1, 2]. Recent data from the Alexander Project show that there are high rates of penicillin resistance among *S. pneumoniae* in France and Spain, with intermediate and resistant strains currently accounting for ~50% of isolates [3–6]. *S. pneumoniae* strains that are resistant to penicillins also have decreased susceptibility to other antibiotics [7, 8], which may limit the choice of available antimicrobials for empirical treatment of community-acquired pneumonia (CAP). Macrolide resistance among pneumococci is also increasing in Europe and in the United States and other countries [5, 9–11].

Recognition of patients with an increased risk of acquiring drug-resistant pneumococcal pneumonia is essential for control of the epidemic spread of these strains and selection of appropriate initial antimicrobial treatment. Several risk factors for acquisition of penicillin- and multidrug-resistant pneumococci have been reported [12–16], but the risk factors for acquisition of

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^a Members of the study group are listed at the end of the text.

Reprints or correspondence: Dr. Javier Aspa, Servicio de Neumología, Hospital Universitario de la Princesa, C/Diego de León 62, 28006, Madrid, Spain (jaspa@separ.es).

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pneumonia caused by erythromycin-resistant *S. pneumoniae* have been studied less frequently [11, 17]. Moreover, the clinical impact of drug-resistant *S. pneumoniae* is controversial. All currently available studies suggest that the MIC of penicillin (≤ 2 $\mu\text{g}/\text{mL}$) is not independently associated with an increased mortality rate, but an effect of resistance on morbidity is not so evident [18–26]. Therapeutic failures involving macrolides have been reported among patients who are infected with erythromycin-resistant pneumococci [27]. To better understand the epidemiological and clinical aspects of drug-resistant *S. pneumoniae* pneumonia, a prospective, multicenter study was conducted in Spain, a country with a high rate of multiple antimicrobial-resistant pneumococci.

PATIENTS, MATERIALS, AND METHODS

Design and study population. From January 1999 through April 2000, a multicenter, observational, prospective study was performed in 35 Spanish hospitals. All consecutive adult (age, >16 years) patients who received a diagnosis of pneumococcal CAP were included. A diagnosis of CAP was assumed in the presence of acute onset of signs and symptoms suggesting lower respiratory tract infection at hospital admission and radiographic evidence of a pulmonary infiltrate that neither was preexisting nor had another known cause.

Diagnostic criteria. Microbial investigation techniques were ordered at the discretion of the attending physician. A diagnosis of probable pneumococcal pneumonia was made in cases in which there was a predominance of gram-positive cocci in pairs and chains and heavy growth of *S. pneumoniae* on validated sputum and/or tracheobronchial aspirate (BAS) cultures. A definite diagnosis of pneumococcal pneumonia was considered when (1) there was a diagnosis of probable pneumococcal pneumonia and a urinary antigen test result was positive for *S. pneumoniae*, or (2) one of the following criteria were met: (a) ≥ 1 blood culture was positive for *S. pneumoniae*; (b) pleural fluid, transthoracic needle aspiration, or lung biopsy specimens yielded *S. pneumoniae*; or (c) there was bacterial growth of $\geq 10^3$ cfu/mL of *S. pneumoniae* with a protected specimen brush and/or $\geq 10^4$ cfu/mL with a bronchoalveolar lavage specimen. Mixed infections were diagnosed when another likely microorganism was identified and ≥ 1 of the following criteria were met: (1) there was a ≥ 4 -fold increase in IgG titers for *Chlamydia pneumoniae*, *Chlamydia psittaci*, *Legionella pneumophila*, *Coxiella burnetii*, or respiratory viruses; (2) there was a single elevated IgM titer for *Mycoplasma pneumoniae*; (3) a urinary antigen test was positive for *Legionella pneumophila*; (4) another bacterial pathogen was identified (in accordance with standard methods) in samples other than sputum or BAS samples.

Data collection. Baseline data on patient demographic

characteristics and clinical and laboratory findings were collected by clinical research using a standardized data collection form. The presence or absence of the following conditions and characteristics was assessed: residence, smoking, alcohol or illicit drug abuse, chronic obstructive pulmonary disease (COPD), asthma, bronchiectasis, cardiovascular diseases, diabetes mellitus, solid or hematologic malignancy, chronic renal or hepatic disease, neurologic disease, suspicion of aspiration, swallowing dysfunction, previous use of β -lactams (for ≥ 5 days in the past 3 months), use of glucocorticosteroids or immunosuppressive drugs, HIV infection, immunologic disease, splenectomy, prior pneumonia, and hospitalization within the previous 3 months.

All laboratory tests were performed using conventional equipment. The included samples were obtained from the patient in the emergency department. Complications that occurred ≤ 30 days after presentation with CAP were recorded; these consisted of worsening of any major underlying disease and/or presence of shock (blood pressure of ≤ 90 mm Hg that was not corrected by administration of intravenous fluids or for which pressor medication was required), disseminated intravascular coagulation (DIC), renal insufficiency (serum creatinine level of >1.5 mg/dL and/or blood urea nitrogen level of >20 mg/dL in patients with previously normal function), respiratory failure (partial pressure of oxygen/fraction of inspired oxygen of <300 mm Hg or of <200 mm Hg in patients with COPD), and extrapulmonary involvement of pneumococcal pneumonia. The need for hospital admission, Pneumonia Severity Index (PSI) score [28], transfer to the intensive care unit or receipt of mechanical ventilation, and mortality at 30 days after presentation were assessed for all patients. Duration of antibiotic therapy (oral and intravenous) was also evaluated. Thirty days after the diagnosis of CAP, patients were asked about the presence of respiratory symptoms, and a new chest radiograph was obtained.

Antimicrobial susceptibility testing. Antibiotic susceptibility tests were done during routine patient care at each institution. All pneumococcal isolates were subcultured, stored frozen at -70°C , and subsequently submitted to the National Center of Microbiology (Majadahonda, Madrid) for serotyping [6, 29] and antimicrobial susceptibility testing. Susceptibility or resistance of pneumococci to antimicrobials was assessed by dilution in agar, in accordance with the recommendations of the 2002 NCCLS guidelines [30]. The following antibiotics were tested: penicillin, amoxicillin, cefuroxime, cefotaxime, imipenem, vancomycin, teicoplanin, erythromycin, tetracycline, chloramphenicol, levofloxacin, and trovafloxacin. Clarithromycin and azithromycin were considered to be the same as erythromycin with regard to susceptibility and resistance. Ceftriaxone and cefotaxime were also considered to be equivalent. Multidrug resistance was defined as intermediate resistance or

resistance to penicillin plus intermediate resistance or resistance to ≥ 2 non- β -lactam agents.

Other variables' definitions. Smokers were considered to be current smokers even if they had quit smoking ≤ 6 months before the beginning of the study. Alcohol abuse was established as an estimated daily consumption of ≥ 80 g of alcohol for at least the preceding year. Chronic pulmonary disease included COPD, chronic bronchitis, bronchiectasis, and chronic pulmonary conditions other than asthma. Immunosuppressive treatment was defined as the administration of any cytotoxic agent or corticosteroids (at dosages of ≥ 15 mg q.d. for prednisone) during the previous 6 months. A "typical clinical syndrome" was defined as presence of ≥ 3 of the following symptoms: cough, expectoration, pleuritic pain, fever, and onset or increase of dyspnea.

Statistical analysis. Results are expressed as mean \pm SD. Continuous variables were compared using Student's *t* test; categorical variables were compared using Fisher's exact test and the χ^2 test (with Yates' correction, when necessary). The association between serotypes and mortality was performed in accordance with the method described by Freeman [31] and Martín Andrés and Luna del Castillo [32]. To define which variables were significantly and independently associated with resistance, regression logistic analyses that tested several models were performed. Significance was defined as $P < .05$; 95% CIs were based on the estimated variance of the regression coefficients. The choice of models was performed on the basis of the criteria defined by Hommer and Lemeshow [33].

RESULTS

Patient characteristics. There were a total of 638 patients (413 of whom were men), with a mean age of 61.5 years (range, 16–97 years). The main demographic characteristics, comorbidities, clinical symptoms, radiographic patterns, and PSI scores [28] are summarized in table 1. A definite diagnosis of pneumococcal CAP was noted for 466 patients (73%). A total of 459 (71.9%) of the *S. pneumoniae* isolates were recovered from blood and pleural fluid specimens. Serological samples were obtained from 340 patients (53.3%; single samples for 147 patients and paired samples for 193 patients). IgM titers for *M. pneumoniae* were determined for 109 patients. One hundred sixty urine samples were analyzed for *Legionella* antigen. Mixed infections were present in 34 patients (5.3%). There were 28 double infections and 6 triple infections.

Antimicrobial susceptibilities. The in vitro activities of 12 antimicrobial agents against 638 *S. pneumoniae* isolates (1 strain per patient) are shown in table 2. Sixty-five of the 638 patients were infected with penicillin-resistant pneumococcus (the MIC was 2 $\mu\text{g/mL}$ in 62 [9.7%] of 638 cases [95% CI, 7.53%–12.3% and 4 $\mu\text{g/mL}$ in 3 [0.5%] cases [95% CI, 0.09%–1.37%]. Pen-

icillin-nonsusceptible strains (MIC, ≥ 0.12 $\mu\text{g/mL}$) were more frequently recovered from patients classified as having probable pneumococcal pneumonia (χ^2 , 25.71; $P < .00001$) than among those who were not. Sixty-six specimens recovered from the upper respiratory tract (i.e., sputum samples; 50%), 34 samples recovered from the lower respiratory tract (i.e., BAS, protected specimen brush, transthoracic needle aspiration, and bronchoalveolar lavage samples; 54.8%), and 129 invasive isolates (i.e., blood and pleural fluid samples; 29.1%) had intermediate or high-level resistance to penicillin (χ^2 , 30.11; $P = .0000$). Overall, cefotaxime and amoxicillin were the most active β -lactam agents. One hundred seventy-four strains (27.4%) were characterized as being erythromycin nonsusceptible (MIC, ≥ 1 $\mu\text{g/mL}$). Four strains had an MIC of 2 $\mu\text{g/mL}$, 2 had an MIC of 8 $\mu\text{g/mL}$, 3 had an MIC of 16 $\mu\text{g/mL}$, 7 had an MIC of 32 $\mu\text{g/mL}$, and 6 had an MIC of 64 $\mu\text{g/mL}$. The MIC of erythromycin was 128 $\mu\text{g/mL}$ for 152 pneumococcal isolates (23.8%; 95% CI, 20.5%–27.1%). Again, isolates recovered from blood specimens exhibited a lower rate of erythromycin resistance than did isolates recovered from the respiratory tract. The MIC for the 4 levofloxacin-resistant isolates (0.62%; 95% CI, 0.17%–1.6%) was 16 $\mu\text{g/mL}$, and all of these isolates were multidrug resistant. Only 27 (4.2%; 95% CI, 2.81%–6.1%) of the levofloxacin-susceptible strains had an MIC of 2 $\mu\text{g/mL}$. Of this collection of pneumococcal isolates, 142 (22.2%) of 638 were found to be resistant to multiple drugs. Cross-resistance data are shown in tables 3 and 4).

Penicillin-nonsusceptible SP-CAP was more likely to be diagnosed in patients with chronic pulmonary diseases (OR, 1.44; 95% CI, 1.02–2.04; $P = .03$), HIV infection (OR, 1.98; 95% CI, 1.12–3.47; $P = .01$), suspicion of aspiration (OR, 2.12; 95% CI, 1.17–3.85; $P = .01$), or prior admissions to the hospital (OR, 1.69; 95% CI, 1.05–2.27; $P = .02$). Previous hospital admissions (OR, 1.89; 95% CI, 1.06–3.88; $P = .031$) and an MIC of penicillin of ≥ 0.12 $\mu\text{g/mL}$ (OR, 15.85; 95% CI, 10.16–24.72) were also related with erythromycin-resistant pneumococcus (tables 5 and 6).

Serotypes. Frequency, nonsusceptibility to penicillin, and associated mortality for different serotypes are shown in figure 1. Among the 36 different serotypes identified in our series, the most common, accounting for 35% of the total, were serotypes 3 (16.9%), 19 (10.7%), and 14 (7.5%). Among the penicillin-nonsusceptible pneumococcal isolates, serotype 19 was the predominant serotype (22.7%), followed by serotypes 14 (19.2%) and 9V (16.2%). Serotypes were stratified into 3 groups according to mortality rate. There were no deaths associated with group A (serotypes 1, 5, 8, 10, 13, 17, 18A, 18F, 20, 23F, 25, 34, 37, 38, and 42). The mortality rate associated with group B (serotypes 3, 4, 6A, 6B, 7, 9N, 9V, 11, 12, 14, 15A, 15F, 16, 18C, 22, 23A, 23B, 31, 33, and 35 and nontypeable

Table 1. General features, main clinical findings, and radiological data at initial assessment for 638 patients.

| Characteristic | Value | 95% CI ^a |
|---|------------|---------------------|
| Age, mean years | 61.58 | 60.02–63.14 |
| Sex | | |
| Male | 413 (64.7) | 61.0–68.4 |
| Female | 225 (35.3) | 31.6–39.0 |
| Nursing home | 34 (5.3) | 3.72–7.37 |
| Consumption of tobacco | | |
| Yes | 377 (59.1) | 55.3–62.9 |
| No | 261 (40.9) | 37.1–44.7 |
| Mean no. of packs of cigarettes smoked per year | 46.50 | 42.43–50.56 |
| Alcohol use | | |
| Alcohol abuse | 144 (22.6) | 19.3–25.8 |
| Amount consumed, mean g per day | 85.13 | 67.91–102.34 |
| Use of illicit drugs | 63 (9.9) | 8.4–13.4 |
| Comorbidities or risk factors | | |
| Cardiological conditions | 102 (16.0) | 13.1–18.8 |
| Chronic pulmonary disease | 254 (39.8) | 36.0–43.6 |
| Asthma | 43 (6.7) | 4.92–8.97 |
| Diabetes | 112 (17.6) | 14.6–20.5 |
| Neurological conditions | 59 (9.2) | 7.11–11.8 |
| Neoplastic conditions | 44 (6.9) | 5.05–9.15 |
| Liver | 74 (11.6) | 9.11–14.1 |
| Receipt of immunosuppressive treatment | 61 (9.6) | 7.39–12.1 |
| HIV infection | 61 (9.6) | 7.39–12.1 |
| Receipt of transplant | 5 (0.8) | 0.25–1.82 |
| Suspected aspiration | 51 (8.0) | 6.01–10.4 |
| Previous β -lactam therapy | 62 (9.7) | 7.53–12.3 |
| Previous hospital admission | 86 (13.5) | 10.8–16.1 |
| Polymicrobial pneumonia | 34 (5.3) | 3.72–7.37 |
| Renal events | 79 (12.4) | 9.83–14.9 |
| Typical clinical symptoms | 532 (83.4) | 80.5–86.3 |
| Bilateral involvement | 99 (15.5) | 12.7–18.3 |
| Pleural effusion | 129 (20.2) | 17.1–23.3 |
| PSI score | | |
| Class I | 69 (10.8) | 8.41–13.2 |
| Class II | 80 (12.5) | 9.97–15.1 |
| Class III | 108 (16.9) | 14–19.8 |
| Class IV | 234 (36.7) | 32.9–40.4 |
| Class V | 147 (23.0) | 19.8–26.3 |

NOTE. Data are no. (%) of patients, unless otherwise indicated. PSI, Pneumonia Severity Index.

^a Data are expressed in percentages, except when they refer to mean values.

isolates) was 17%, and it was 28% among patients infected with a serotype 19 pneumococcal isolate ($P \leq .05$).

Prognoses and outcomes for patients. Ninety-three percent of the patients were initially admitted to the hospital (95% CI, 90.8%–94.9%). Outcomes and complications (according to the susceptibility of the isolated pneumococcal strains) are

shown in tables 7 and 8. We could not demonstrate any effect of antimicrobial resistance on morbidity and mortality. Only patients with DIC, empyema, or bacteremia were significantly more likely to be infected with penicillin-susceptible *S. pneumoniae*. Bacteremia was also significantly more common among patients infected with erythromycin-susceptible pneumococci.

Table 2. Antimicrobial susceptibility of 638 pneumococcal strains isolated in Spain, January 1999 through April 2000.

| Antibiotic | Susceptibility breakpoint, $\mu\text{g/mL}$ | | | Susceptible | | Intermediate | | Resistant | |
|-----------------|---|--------------|-----------|---------------------|-----------|---------------------|------------|---------------------|------------|
| | Susceptible | Intermediate | Resistant | No. (%) of isolates | 95% CI, % | No. (%) of isolates | 95% CI, % | No. (%) of isolates | 95% CI, % |
| Amoxicillin | ≤ 2 | 4 | ≥ 8 | 604 (94.7) | 92.6–96.3 | 19 (3) | 1.8–4.6 | 15 (2.4) | 1.32–3.8 |
| Penicillin | ≤ 0.06 | 0.12–1 | ≥ 2 | 410 (64.3) | 60.5–68 | 163 (25.5) | 22.2–28.9 | 65 (10.2) | 7.8–12.5 |
| Erythromycin | ≤ 0.25 | 0.5 | ≥ 1 | 463 (72.6) | 69.1–76 | ... | ... | 175 (27.4) | 24–30.9 |
| Cefotaxime | ≤ 1 | 2 | ≥ 4 | 620 (97.2) | 95.6–98.3 | 16 (2.5) | 1.44–4.04 | 2 (0.3) | 0.038–1.13 |
| Cefuroxime | ≤ 0.5 | 1 | ≥ 2 | 434 (68) | 66.4–71.6 | 20 (3.1) | 1.9–4.8 | 184 (28.8) | 25.3–32.4 |
| Imipenem | ≤ 0.12 | 0.25–0.5 | ≥ 1 | 470 (73.7) | 70.3–77.1 | 141 (22.1) | 18.9–25.3 | 27 (4.2) | 2.8–6.1 |
| Vancomycin | ≤ 1 | ... | ... | 638 (100) | ... | ... | ... | ... | ... |
| Teicoplanin | ≤ 1 | ... | ... | 638 (100) | ... | ... | ... | ... | ... |
| Levofloxacin | ≤ 2 | 4 | ≥ 8 | 634 (99.4) | 98.4–99.8 | ... | ... | 4 (0.6) | 0.2–1.6 |
| Trovafloxacin | ≤ 1 | 2 | ≥ 4 | 634 (99.4) | 98.4–99.8 | ... | ... | 4 (0.6) | 0.2–1.6 |
| Tetracycline | ≤ 2 | 4 | ≥ 8 | 437 (68.5) | 64.9–72.1 | 5 (0.8) | 0.255–1.82 | 196 (30.7) | 27.1–34.3 |
| Chloramphenicol | ≤ 4 | ... | ≥ 8 | 523 (82) | 79–85 | ... | ... | 115 (18) | 15–21 |

NOTE. MICs for *Streptococcus pneumoniae* are from [30].

The overall mortality rate for our cohort was 14.4% (95% CI, 11.7%–17.1%). Fifty (12.2%) of 409 patients with CAP caused by penicillin-susceptible pneumococci died, compared with 30 (18.3%) of 164 patients and 12 (18.5%) of 65 patients infected with strains that were intermediately resistant and resistant to penicillin, respectively ($P = .054$).

DISCUSSION

The most important findings of this study are the following: (1) patients with chronic pulmonary disease, HIV infection, or suspected aspiration or who were admitted to the hospital within the previous 3 months were more likely than other patients to have penicillin-resistant pneumococcal pneumonia; (2) previous hospital admissions and decreased susceptibility to penicillin were independent risk factors for erythromycin resistance; (3) DIC, empyema, and bacteremia were significantly more common in patients with drug-susceptible pneumococcal CAP; (4) among pneumococcal-resistant isolates, se-

rotype 19 was predominant, and this serotype was associated with a significantly higher mortality rate than were other serotypes.

In our study, the overall national rate of penicillin resistance among clinical isolates of *S. pneumoniae* was 35.7%. These findings confirm the most recent published results from Spain [4–6, 12] and from the United States [34]. With regard to high-level resistance to penicillin, our series found that the prevalence had apparently decreased to 10.2%, compared with the findings of recent surveys [5]. Of 638 isolates, only 3 had an MIC of 4 $\mu\text{g/mL}$, and there were no isolates with an MIC of ≥ 8 $\mu\text{g/mL}$. Antimicrobial resistance rates are highest among isolates recovered from respiratory specimens and from children; in our study, only adult patients with CAP were included, and 60.2% of isolates were recovered from blood and pleural fluid samples, which may explain these lower rates of high-level pneumococcal penicillin-resistance. Rates of resistance to all β -lactam agents increased in parallel with rates of penicillin resistance, and amoxicillin and cefotaxime nonsusceptibility clustered only in

Table 3. Cross-resistance: intermediate resistance and resistance among strains of *Streptococcus pneumoniae*.

| Antibiotic | No. of patients | Percentage of isolates with decreased susceptibility, by antibiotic | | | | | | |
|--------------|-----------------|---|--------------|------------|----------|-------------|------------|--------------|
| | | Penicillin | Erythromycin | Cefuroxime | Imipenem | Amoxicillin | Cefotaxime | Levofloxacin |
| Penicillin | 229 | ... | 60.3 | 88.6 | 73.4 | 14.8 | 7.9 | 1.7 |
| Erythromycin | 174 | 78.9 | ... | 67.4 | 55.4 | 13.1 | 8 | 2.3 |
| Cefuroxime | 204 | 99 | 57.6 | ... | 81 | 16.6 | 8.8 | 2 |
| Imipenem | 168 | 99.4 | 57.4 | 98.2 | ... | 19.5 | 10.7 | 2.4 |
| Amoxicillin | 34 | 100 | 67.6 | 100 | 97.1 | ... | 20.6 | 0 |
| Cefotaxime | 18 | 100 | 77.8 | 100 | 100 | 38.9 | ... | 0 |
| Levofloxacin | 4 | 100 | 100 | 100 | 100 | 0 | 0 | ... |

NOTE. Decreased susceptibility was defined as intermediate resistance and resistance on the basis of the breakpoints shown in table 2.

Table 4. Cross-resistance with penicillin (intermediate resistance and resistance) among 229 strains of *Streptococcus pneumoniae*.

| Antibiotic in combination with penicillin | No. of patients | Percentage of isolates with decreased susceptibility, by antibiotic | | | | | |
|---|-----------------|---|------------|----------|-------------|------------|--------------|
| | | Erythromycin | Cefuroxime | Imipenem | Amoxicillin | Cefotaxime | Levofloxacin |
| Erythromycin | 138 | ... | 84.1 | 69.6 | 16.7 | 10.1 | 2.9 |
| Cefuroxime | 203 | 57.1 | ... | 81.3 | 16.7 | 8.9 | 2 |
| Imipenem | 168 | 57.1 | 98.2 | ... | 19.6 | 10.7 | 2.4 |
| Amoxicillin | 34 | 67.6 | 100 | 97.1 | ... | 20.6 | 0 |
| Cefotaxime | 18 | 77.8 | 100 | 100 | 38.9 | ... | 0 |
| Levofloxacin | 4 | 100 | 100 | 100 | 0 | 0 | ... |

NOTE. Decreased susceptibility was defined as intermediate resistance and resistance on the basis of the breakpoints shown in table 2.

penicillin-resistant *S. pneumoniae*. It is important to note that amoxicillin now has higher breakpoints (susceptible, ≤ 2 $\mu\text{g}/\text{mL}$; intermediate, 4 $\mu\text{g}/\text{mL}$; resistant, ≥ 8 $\mu\text{g}/\text{mL}$ [30]) than before, which may give the impression that it is more active in vitro than are other antibiotics for which the breakpoints have not been changed. Twenty-four percent of isolates in our series had an MIC of erythromycin of 128 $\mu\text{g}/\text{mL}$. This implies that *ermB*-mediated ribosomal methylation is the predominant macrolide resistance mechanism in this study, whereas most of the erythromycin-resistant pneumococcal isolates in the United States display the efflux phenotype [34].

Relatively high rates of ciprofloxacin resistance in *S. pneumoniae* have been reported recently in Spain, mainly clustered in erythromycin- and penicillin-nonsusceptible strains [5, 35, 36]. Although ciprofloxacin resistance is not a good marker for resistance to newer quinolones, it could be a warning signal for a future increase. Levofloxacin was introduced in Spain in September 1998, which can explain the current low rates of resistance [37, 38]. In our study, 4 strains of *S. pneumoniae* had an MIC of levofloxacin of 16 $\mu\text{g}/\text{mL}$ and also showed resistance to 4–5 additional antimicrobials. Multidrug resistance continues to grow as a problem among strains of *S. pneumoniae*. Overall, 22.2% of the pneumococcal isolates tested in this study were resistant to ≥ 3 different classes of antimicrobials, and 58.4% of these isolates were resistant to ≥ 4 different antimicrobial classes. Increases in the frequency of resistance to other antimicrobials occurred exclusively among penicillin-resistant isolates. Among the multidrug-resistant isolates in our study, the predominant serotype was 19 (28.9%), suggesting a strong clonal relationship.

In this study, chronic pulmonary disease, HIV infection, suspected aspiration, and previous hospital admissions were each independent predictors of penicillin-resistant pneumococcal pneumonia. In contrast with the most recent recommendations of the American Thoracic Society [2], age, alcoholism, and previous or concomitant therapy with β -lactams or corticosteroids were not found to be significantly associated with pen-

icillin resistance. The limited number of patients who had previously received therapy with β -lactams (9.7%) or immunosuppressive therapy (9.6%) in our series might preclude their identification as potential risk factors for drug-resistant pneumococci. In addition, a longer interval for assessing prior exposure to β -lactams should have been considered [39]. There was a trend for older age (>65 years) and PSI score to be associated with penicillin-resistant SP-CAP ($P = .057$); however, we are uncertain whether the presence of comorbidities, rather than age, is the determining condition that predisposed to this etiology in CAP. In fact, only patients in class V were significantly associated with penicillin-resistant pneumococcal pneumonia. Furthermore, the effect of age as a risk factor has recently been reported to be less clear [8]. Pulmonary comorbidity and HIV infection predisposed patients to pneumonia caused by penicillin-resistant *S. pneumoniae*. This is not unexpected, considering that such patients are more likely to be hospitalized and to receive antibiotics—factors that have repeatedly been associated with penicillin-resistant pneumococci [14]. COPD was identified as a major risk factor for acquisition of multidrug-resistant *S. pneumoniae* during an outbreak of nosocomial infection in The Netherlands [40], probably because the spread of pneumococci is biased toward the most vulnerable patients. Ho et al. [41] also reported that patients with COPD could be an important reservoir for levofloxacin-resistant pneumococci. Resistance to erythromycin has increased almost in parallel with resistance to penicillin.

Hospitalization during the previous 3 months was also independently associated with erythromycin-resistant SP-CAP. These findings could be related to the increase in use of antimicrobials and, particularly, of the newer long-acting macrolides [11]. It should be noted that, although there is a clear association between antimicrobial exposure and selection of resistance, antibiotics vary in their ability to select resistant mutants, and macrolides more efficiently induce the emergence of resistant subpopulations than do aminopenicillins [41]. Considering the in vitro rate of macrolide resistance among pneu-

Table 5. Risk factors for community-acquired pneumonia due to penicillin-resistant *Streptococcus pneumoniae* (MIC, $\geq 0.12 \mu\text{g}/\text{mL}$).

| Variable | Penicillin-resistant isolates, % | Univariate analysis | | Multivariate analysis | |
|--|----------------------------------|------------------------|------|-------------------------|-------|
| | | OR (95% CI) | P | OR (95% CI) | P |
| PSI score | | | | | |
| Class I | 21.7 | 1.00 (referent) | .015 | 1.00 (referent) | .0566 |
| Class II | 32.5 | 1.7333 (0.8278–3.6293) | ... | 1.3899 (0.6523–2.9615) | ... |
| Class III | 38.9 | 2.2909 (1.1484–4.5700) | ... | 1.9932 (0.9834–4.0399) | ... |
| Class IV | 34.2 | 1.8701 (0.9934–3.5205) | ... | 1.5578 (0.8081–3.0032) | ... |
| Class V | 44.9 | 2.9333 (1.5192–5.6636) | ... | 2.4455 (1.2368–4.8357) | ... |
| Tobacco use | | | | | |
| Yes | 35.0 | 0.911 (0.656–1.2165) | .615 | ... | ... |
| No | 37.2 | ... | ... | ... | ... |
| Alcohol abuse | | | | | |
| Yes | 33.3 | 0.865 (0.584–1.279) | .491 | ... | ... |
| No | 36.6 | ... | ... | ... | ... |
| Drug use | | | | | |
| Yes | 37.9 | 1.10 (0.63–1.92) | .775 | ... | ... |
| No | 35.7 | ... | ... | ... | ... |
| Chronic pulmonary disease | | | | | |
| Yes | 41.3 | 1.478 (1.064–2.053) | .023 | 1.4455 (1.0208–2.0467) | .0379 |
| No | 32.3 | ... | ... | ... | ... |
| Asthma | | | | | |
| Yes | 46.5 | 1.606 (0.862–2.993) | .141 | ... | ... |
| No | 35.1 | ... | ... | ... | ... |
| HIV infection | | | | | |
| Yes | 45.9 | 1.587 (0.932–2.702) | .093 | 1.9808 (1.1297–3.4731) | .0170 |
| No | 34.8 | ... | ... | ... | ... |
| Diabetes | | | | | |
| Yes | 33.9 | 0.901 (0.586–1.3984) | .666 | ... | ... |
| No | 36.3 | ... | ... | ... | ... |
| Receipt of immunosuppressive treatment | | | | | |
| Yes | 44.3 | 1.474 (0.865–2.513) | .162 | ... | ... |
| No | 35 | ... | ... | ... | ... |
| Bilateral involvement | | | | | |
| Yes | 30.3 | 0.743 (0.468–1.180) | .254 | ... | ... |
| No | 36.9 | ... | ... | ... | ... |
| Suspected aspiration | | | | | |
| Yes | 52.9 | 2.144 (1.206–3.813) | .010 | 2.1245 (1.1716–3.8524) | .0131 |
| No | 34.4 | ... | ... | ... | ... |
| Clinical presentation | | | | | |
| Atypical | 34 | 0.903 (0.582–1.4) | .740 | ... | ... |
| Typical | 36.3 | ... | ... | ... | ... |
| Previous hospital admission | | | | | |
| Yes | 50.0 | 1.9685 (1.2453–3.1153) | .005 | 1.6923 (1.0540–2.27167) | .0294 |
| No | 33.7 | ... | ... | ... | ... |
| Previous β -lactam treatment | | | | | |
| Yes | 43.5 | 1.4286 (0.8403–2.4272) | .210 | ... | ... |
| No | 35.1 | ... | ... | ... | ... |

NOTE. PSI, Pneumonia Severity Index.

Table 6. Risk factors for community-acquired pneumonia erythromycin-resistant *Streptococcus pneumoniae* (MIC, ≥ 1 $\mu\text{g/mL}$).

| Variable | Penicillin-resistant isolates, ^a % | Univariate analysis | | Multivariate analysis | |
|--|---|-----------------------|------|-----------------------|------|
| | | OR (95% CI) | P | OR (95% CI) | P |
| PSI score | | | | | |
| Class I | 14.5 | 1.00 (referent) | .036 | 1.00 (referent) | .098 |
| Class II | 22.5 | 1.71 (0.731–4.01) | ... | 1.276 (0.473–3.44) | ... |
| Class III | 35.2 | 3.20 (1.147–6.97) | ... | 2.514 (1–6.28) | ... |
| Class IV | 28.6 | 2.37 (1.14–4.9) | ... | 1.833 (0.78–4.29) | ... |
| Class V | 27.9 | 2.28 (1.07–4.88) | ... | 1.158 (0.47–2.82) | ... |
| Tobacco use | | | | | |
| Yes | 26 | 1.17 (0.822–1.66) | .384 | ... | ... |
| No | 29.1 | ... | ... | ... | ... |
| Alcohol abuse | | | | | |
| Yes | 25.7 | 1.11 (0.727–1.69) | .629 | ... | ... |
| No | 27.7 | ... | ... | ... | ... |
| Drug use | | | | | |
| Yes | 25.9 | 1.08 (0.58–2) | .8 | ... | ... |
| No | 27.4 | ... | ... | ... | ... |
| Chronic pulmonary disease | | | | | |
| Yes | 29.5 | 0.829 (0.58–1.18) | .298 | ... | ... |
| No | 25.8 | ... | ... | ... | ... |
| Asthma | | | | | |
| Yes | 32.6 | 0.762 (0.393–1.479) | .420 | ... | ... |
| No | 26.9 | ... | ... | ... | ... |
| HIV infection | | | | | |
| Yes | 34.4 | 0.687 (0.393–1.20) | .187 | ... | ... |
| No | 26.5 | ... | ... | ... | ... |
| Diabetes | | | | | |
| Yes | 30.4 | 0.832 (0.532–1.301) | .420 | ... | ... |
| No | 26.6 | ... | ... | ... | ... |
| Receipt of immunosuppressive treatment | | | | | |
| Yes | 27.9 | 0.968 (0.537–1.74) | .912 | ... | ... |
| No | 27.2 | ... | ... | ... | ... |
| Bilateral involvement | | | | | |
| Yes | 29.2 | 1.205 (0.733–1.98) | .461 | ... | ... |
| No | 27.8 | ... | ... | ... | ... |
| Suspected aspiration | | | | | |
| Yes | 33.3 | 0.73 (0.387–1.34) | .311 | ... | ... |
| No | 26.7 | ... | ... | ... | ... |
| Clinical presentation | | | | | |
| Atypical | 29.2 | 0.889 (0.561–1.409) | .618 | ... | ... |
| Typical | 26.9 | ... | ... | ... | ... |
| Previous hospital admission | | | | | |
| Yes | 43 | 0.43 (0.273–0.698) | .000 | 1.89 (1.06–3.38) | .031 |
| No | 24.8 | ... | ... | ... | ... |
| Previous β -lactam treatment | | | | | |
| Yes | 33.9 | 0.706 (0.411–1.190) | .220 | ... | ... |
| No | 26.6 | ... | ... | ... | ... |
| Penicillin susceptibility | | | | | |
| Susceptible | 8.8 | 15.625 (10.204–24.39) | .000 | 15.85 (10.16–24.72) | .000 |
| Not susceptible | 60.3 | ... | ... | ... | ... |

NOTE. PSI, Pneumonia Severity Index.

^a MIC, ≥ 0.12 $\mu\text{g/mL}$.

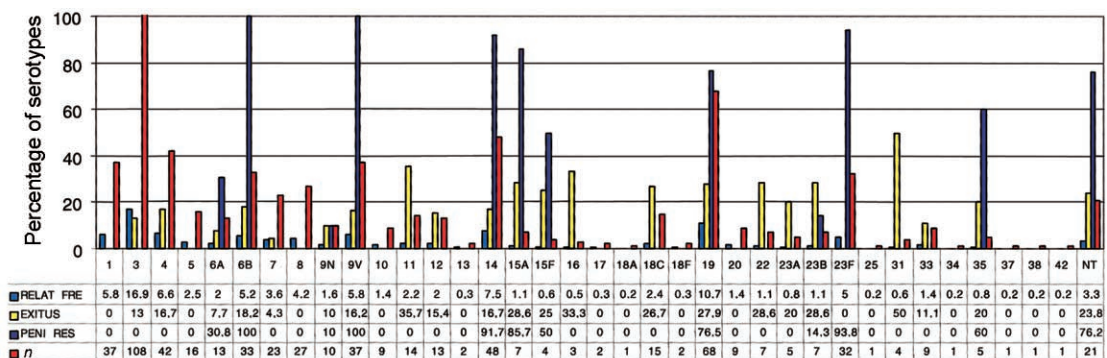


Figure 1. Distribution of *Streptococcus pneumoniae* serotypes, according to relative frequency, resistance to penicillin, and associated mortality rate. Data are percentage of serotypes, unless otherwise indicated. Exitus, overall associated mortality rate; *n*, number of patients for each serotype; NT, nontypeable serotype; peni res, resistance to penicillin (MIC, ≥ 0.12 $\mu\text{g}/\text{mL}$); relat fre, relative frequency of each serotype.

cocci and the median MIC of erythromycin in our study, empirical treatment of CAP with an orally administered macrolide should be reserved for younger and healthier patients with no high-risk factors for drug-resistant *S. pneumoniae* [42].

The impact of drug-resistant *S. pneumoniae* on morbidity and mortality is still controversial. In our study, DIC, empyema, and bacteremia were more frequent among patients infected with a penicillin-susceptible pneumococcus, which may reflect the biological cost that resistance-determining mutations engender on the fitness of bacteria. However, differences are small

and seem unlikely to be clinically significant—that is, they will not affect the choice of antibiotics, because many drug-resistant strains cause bacteremia. This is also true for erythromycin susceptibility. In our series, the mortality rate was higher among patients with strains that were intermediately or highly resistant to penicillin (18.3% and 18.5%, respectively) than among patients with penicillin-susceptible isolates (12.2%), but the differences were not significant ($P = .054$). This trend may have been statistically significant if more patients had been studied. When deaths during the first 4 hospital days were excluded,

Table 7. Outcomes and complications for patients with pneumococcal pneumonia, according to the penicillin susceptibility of the isolate.

| Variable | All patients (<i>n</i> = 638) | Patient group, by penicillin susceptibility of the isolate ^a | | | <i>P</i> |
|---|-----------------------------------|--|-----------------------------------|-------------------------------|-------------------|
| | | Susceptible (<i>n</i> = 409) | Intermediate (<i>n</i> = 164) | Resistant (<i>n</i> = 65) | |
| Total mortality | 14.4 | 12.2 | 18.3 | 18.5 | .054 |
| Related/total mortality ^b | 79.3 | 84 | 76.7 | 66.7 | .206 |
| Admission to the ICU | 19.6 | 20.3 | 18.9 | 16.9 | .507 |
| Receipt of mechanical ventilatory support | 13 | 12 | 16.5 | 10.8 | .673 |
| Shock | 16 | 15.4 | 17.1 | 16.9 | .666 |
| Disseminated intravascular coagulation | 2 | 2.9 | 0.6 | 0 | .038 ^c |
| Renal failure | 19.9 | 21.3 | 15.2 | 23.1 | .624 |
| Respiratory insufficiency | 57.1 | 56 | 58.5 | 60 | .488 |
| Empyema | 8.3 | 10 | 4.9 | 6.2 | .041 ^c |
| Lung abscess | 0.9 | 0.7 | 1.8 | 0 | .882 |
| Bacteremia ^d | 73.6 | 77.4 | 61.1 | 72.9 | .022 ^c |
| Extrapulmonary septic focus | 1.9 | 2.7 | 0 | 1.5 | .128 |
| Admission of patients who were previously outpatients | 3.3 | 3.4 | 3.1 | 3.1 | .832 |

NOTE. Data are percentage of patients, unless otherwise indicated. ICU, intensive care unit; related mortality, death attributable to community-acquired pneumoniae, according to the attending physician; total mortality, exitus during the episode of pneumoniae.

^a Breakpoints for penicillin: susceptible, ≤ 0.06 $\mu\text{g}/\text{mL}$; intermediate, 0.12 – 1 $\mu\text{g}/\text{mL}$; resistant, ≥ 2 $\mu\text{g}/\text{mL}$. Patients are grouped by the MIC of penicillin for the isolated pneumococcal strains.

^b Proportion of patients with related mortality referred to crude (total) mortality.

^c Statistically significant differences for the 3 categories.

^d Only analyzed for patients from whom blood samples were obtained for culture.

Table 8. Outcomes and complications for patients with pneumococcal pneumonia, according to the erythromycin susceptibility of the isolate.

| Variable | All patients (n = 638) | Patient group, by erythromycin susceptibility of the isolate ^a | | P |
|--|---------------------------|---|------------------------|-------------------|
| | | Susceptible (n = 464) | Resistant (n = 174) | |
| Total mortality | 14.4 | 12.9 | 18.4 | .08 |
| Related/total mortality ^b | 79.3 | ... | ... | ... |
| Admission to the ICU | 19.6 | 19.4 | 20.1 | .839 |
| Mechanical ventilation | 13 | 11.4 | 17.2 | .052 |
| Shock | 16 | 15.1 | 17.2 | .597 |
| Disseminated intravascular coagulation | 2 | 2.4 | 1.1 | .331 |
| Renal failure | 19.9 | 20.9 | 17.2 | .302 |
| Respiratory insufficiency | 57.1 | 56.7 | 58 | .756 |
| Empyema | 8.3 | 9.5 | 5.2 | .079 |
| Lung abscess | 0.9 | 0.6 | 1.7 | .209 |
| Bacteremia ^c | 73.6 | 78.9 | 57.1 | .000 ^d |
| Extrapulmonary septic focus | 1.9 | 2.2 | 1.1 | .405 |
| Admission of patients who were previously outpatients | 3.3 | 3.4 | 2.9 | .717 |

NOTE. Data are percentage of patients, unless otherwise indicated. ICU, intensive care unit; related mortality, death attributable to community-acquired pneumoniae, according to the attending physician; total mortality, exitus during the episode of pneumoniae.

^a Resistant, ≥ 1 $\mu\text{g}/\text{mL}$.

^b Proportion of patients with related mortality referred to crude (total) mortality.

^c Only analyzed for patients from whom blood samples were obtained for culture.

^d Statistically significant difference.

Feikin et al. [21] found that mortality was significantly associated with an MIC of penicillin of ≥ 4 $\mu\text{g}/\text{mL}$ and an MIC of cefotaxime of ≥ 2 $\mu\text{g}/\text{mL}$. These data suggest that high-level resistance may be associated with adverse outcome. In our study, only 3 patients (0.5%) had CAP caused by *S. pneumoniae* with an MIC of penicillin of 4 $\mu\text{g}/\text{mL}$, and 2 of these patients died. Current levels of resistance to penicillin mostly do not surpass an MIC of 2 $\mu\text{g}/\text{mL}$, and serum and pulmonary levels achieved with β -lactams are several times higher than these MICs. As a consequence, and considering the oversensitive current definition of resistance, only a few patients with penicillin-resistant SP-CAP receive truly discordant antimicrobial treatment, and most studies, including ours, are underpowered to establish the real impact on outcome of these resistant strains.

The serotypes included in the 23-valent vaccine accounted for 88% of resistant strains in our study. According to our data, among pneumococcal-resistant isolates, serotype 19 was predominant, and is also associated with a significantly higher mortality rate in comparison with other serotypes. This may only reflect that serotype 19 was found in a high enough number of patients with sufficient lethality to produce significance when compared with the rest of the serotypes. However, certain serotypes seem to be prone to produce severe disease, and high case-fatality rates have been observed for infections caused by

serotypes 3, 6B, and 19F in other studies. Some authors have suggested that serotype may play a more important role than antibiotic susceptibility in mortality due to infection with a pneumococcus [43, 44].

PNEUMOCOCCAL PNEUMONIA IN SPAIN STUDY GROUP

Hospital Universitario Puerto Real, Puerto Real, Cadiz (9 patients): Antonio Vargas (pulmonology [PML]) and Iria J. de la Calle (internal medicine [MCR]); Hospital Virgen Macarena, Sevilla (11 patients): José M. Benítez (PML) and M. José Espinosa de los Monteros (MCR); Hospital Gral. Serranía de Ronda, Ronda, Malaga (25 patients): Francisco J. Cabello (PML), Ana López Cozar (PML), and M. Jesús Pérez Santos (MCR); Hospital Carlos Haya, Malaga (13 patients): Juan J. Martín Villasclaras (PML), Marta Arzola (PML), and Pedro Manchado (MCR); Hospital Juan Ramón Jiménez, Huelva (30 patients): M. Carmen Huertas (PML), José M. Saavedra (MCR), and José D. García Jiménez (PML); Hospital Clínico Universitario, Valencia (39 patients): José Blanquer (respiratory intensive care unit [ICU-PML]), Diego Pérez García (PML), and Rafael Borrás (MCR); Hospital Universitario Doctor Peset, Valencia (6 patients): Rafael Blanquer (PML), Ángela Cervera

(PML), and José M. Cervera (MCR); Hospital Francesc de Borja, Gandía, Valencia (7 patients): M. Jesús Cremades (PML), Carlos Navarro (PML), and Rafael Igual (MCR); Hospital Marina Baixa, Villajoyosa, Alicante (20 patients): Adela Martínez Sanchis (PML), José L. Calpe (PML), and M. del Mar López Perezagua (MCR); Hospital La Fe, Valencia (18 patients): Rosario Menéndez Villanueva (PML), José M. Vallés Tarazona (PML), and Miguel Gobernado (MCR); Hospital de Sagunto, Puerto de Sagunto, Valencia (16 patients): Eva Martínez Moragón (PML), Estrella Fernández Fabrellas (PML), and Rosa Escoms (MCR); Hospital Los Arcos, Santiago de la Ribera, Murcia (7 patients): M. Jesús Avilés Anglés (PML) and Margarita Cámara (MCR); Hospital De la Princesa, Madrid (65 patients): Javier Aspa (PML), Olga Rajas (PML), and Buena-ventura Buendía (MCR); C. Hospital Ntra Sra De Alarcos, Ciudad Real (4 patients): Amir Mohamed (PML), Fernando Mora (MCR), and M. Dolores Romero (MCR); Hospital Doce de Octubre, Madrid (5 patients): Carlos Álvarez Martínez (PML), M. Dolores Folgueira (MCR), and Manuel Lizasoain (PML); Hospital Militar del Aire, Madrid (9 patients): Javier Jareño (PML), M. Jesús Chillón (PML), and Francisco Villegas (PML); Fundación Jimenez Díaz, Madrid (10 patients): Rosario Melchor (PML), Javier García López (PML), and Ricardo Fernández Roblas (MCR); Hospital Universitario de Guadalajara, Guadalajara (2 patients): José Gallardo (PML), Jorge Castela (PML), and M. Teresa Pérez Pomata (MCR); Hospital De Cruces, Cruces-Baracaldo, Vizcaya (49 patients): Rafael Zalacain (PML), Ainhoa Gómez Bonilla (PML), and José L. Hernández Almaraz (MCR); Hospital San Millan-San Pedro, Logroño (7 patients): Manuel Barrón (PML), M. José Gastañares (MCR), and M. Jesús Hermosa (PML); Hospital Cristal Piñor, Orense (25 patients): Julia Tábara (PML), Joaquín Lamela (PML), and Luis Barbeito (MCR); Hospital San Jorge, Huesca (27 patients): Luis Borderías (PML) and Miguel Ferrero (MCR); Hospital Universitario de Canarias, La Laguna, Tenerife (2 patients): Ramón Fernández Álvarez (PML), José. A. Gullón (PML), and Álvaro Torres (MCR); Hospital Central de Asturias, Oviedo, Asturias (9 patients): Luis Molinos (PML) and Isabel Folgueras (MCR); Hospital Universitario Doctor Negrin, Las Palmas, Gran Canaria (12 patients): Felipe Rodríguez de Castro (PML) and Isabel Álamo (MCR); Hospital de Galdakao, Galdakao, Vizcaya (37 patients): Pedro P. España Yandiola (PML), Inmaculada Gorordo (PML), and Pilar Berdonoes (MCR); Hospital Clinico, Barcelona (26 patients): M. Rosa de Celis (PML), Francesc Marco (MCR), and Antoni Torres (PML); Hospital Comarcal de Igualada, Igualada, Barcelona (6 patients): M. José Cardona (PML), Carmen Sarrasela (MCR), and Jordi Zapater (PML); C. Hospital Parc Tauli, Sabadell, Barcelona (37 patients): Jordi Rello (ICU-PML), Miguel Gallego (PML), Manel Lujan (PML), and Dionisia Fontanals (MCR); Hospital Sant Joan, Reus, Tarragona (23 patients): Salvador Hernández Flix

(PML), Rosa M. Tomás Mas (PML), and Frederic Ballester (MCR); Hospital Municipal, Badalona, Barcelona (3 patients): Jaume Oriol (PML), Ignacio Carrasco (PML), and Ana Calderón (MCR); Hospital Santa Creu I Sant Pau, Barcelona (19 patients): Carmen Puzo (PML), Julia Tárrega (PML), and Ferran Sánchez Reus (MCR); Hospital Nostra Sra de Meritxell, Andorra la Vella, Andorra (14 patients): Jordi Roig (PML), Juan Martínez Benazet (PML), and Xavier Casal (MCR); Hospital Germans Trias I Pujol, Badalona, Barcelona (19 patients): Juan Ruiz Manzano (PML), Felipe Andreo (PML), and José Manterola (MCR); Hospital Universitario Dr. Josep Trueta, Girona (27 patients): Montserrat Vendrell (PML), Antonio Castro (IRM), and Jordi Batlle (MCR).

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