

Dual Network Model for Red Blood Cell Membranes

David H. Boal and Udo Seifert

Department of Physics, Simon Fraser University, Burnaby, British Columbia, Canada V5A 1S6

Andreas Zilker

Department of Pathology, University of British Columbia, Vancouver, British Columbia, Canada V6T 2B5

(Received 3 August 1992)

A two-component network is studied by Monte Carlo simulation to model the lipid/spectrin membrane of red blood cells. The model predicts that the shear modulus decreases rapidly with the maximum length of the model spectrin and should be in the 10^{-7} J/m² range for human red blood cells. A simplified model for the isolated spectrin network shows a negative Lamé coefficient λ . Transverse fluctuations of the dual membrane are found to be fluidlike over the range of wavelengths investigated.

PACS numbers: 87.22.Bt, 05.40.+j, 68.10.-m, 82.70.-y

Erythrocytes are remarkable elastic bodies [1]. They are stiff enough to recover their biconcave equilibrium shape after being squeezed through narrow capillaries only $\frac{1}{3}$ of their diameter. Yet they are soft enough to allow for thermally excited shape fluctuations as seen in the flicker phenomenon [2]. Their basic membrane architecture is essentially a three-component system. The lipid bilayer provides a relatively large area compression modulus and high flexibility for bending deformations. The cytoskeleton on the cytoplasmic side of this bilayer consists mainly of spectrin tetramers linked together at junctional complexes to form a quasihexagonal network. The spectrin network and its junctional complexes are attached to the bilayer by integral membrane proteins. The third component, the glycocalix, controls the interaction with the extracellular matrix or other cells.

Understanding how the mechanical properties of the red blood cell (RBC) membrane arise from its structural composition remains a significant challenge. While equilibrium shapes, shape transformations, and fluctuations of giant lipid bilayer vesicles are now understood on the basis of continuum elastic models for the bending energy [3], it is not yet fully clear whether and how the cytoskeleton affects the equilibrium shape of the erythrocyte and its fluctuations. Recently, analysis of the flicker spectrum revealed a wavelength dependence characteristic of fluid membranes and, thus, no effect of the spectrin network for wavelengths less than $1.5 \mu\text{m}$ [4]. Likewise, direct measurement of the mean-square thickness fluctuations [5], which are dominated by the long-wavelength shape fluctuations, seems to suggest that the shear modulus for small fluctuations is much less than the one obtained from the micromechanical experiments [6]. A possible explanation for such a discrepancy might be a nonlinear behavior of the network with respect to shear distortions. If the spectrin tethers can be expanded to a certain length with almost no cost in energy, then one might expect that small fluctuations basically do not involve the network, whereas larger distortions as measured by micromechanical experiments involve shear of this network. These effects are difficult to model within a

continuum mechanical model.

In this paper, we present a model for RBC membranes which draws its inspiration from computer simulations developed for both polymerized [7,8] and fluid [9] membranes. We capture what we believe to be the two essential aspects of the RBC membrane, namely, the fluid bilayer and the cytoskeleton. In our model, the elasticity is of entropic origin, an aspect previously incorporated in phenomenological continuum models [10,11]. Using Monte Carlo simulations, we first determine the two-dimensional elastic constants of the model membrane and then investigate its out-of-plane fluctuations in three dimensions.

We define the membrane as a two-dimensional surface represented by a fixed number N of hard spherical beads (or vertices) of diameter a . Two different sets of straight flexible tethers connect the beads together. One set of connections are *fluid* tethers, shown in light grey in Fig. 1, whose maximal length of $\sqrt{3}a$ enforces membrane self-avoidance. These tethers are fluid in that they can migrate from vertex to vertex. Every bead has an average of six fluid tethers attached to it. A second set of connections are what we call *spectrin* tethers, which form a hexagonal network with fixed connectivity, as shown in white in Fig. 1. The spectrin tethers have a maximal length of s_{max} , which is the main model parameter. One out of every 36 beads is an *anchor* point at which a hexagonal junction point of the spectrin network is attached to the fluid network. Spectrin tethers are allowed to intersect, as they only represent the in-plane projections of the three-dimensional protein chains. Beads connected only by fluid tethers move freely through the membrane like lipid molecules. Anchor beads have their movement restricted by the spectrin tether constraints, as do the junction complexes in RBC's. However, the beads are used only to mathematically represent the membrane, and their number density should not be equated with the number density of lipid molecules.

In the first set of simulations to determine the elastic moduli, we confine the vertex positions to a two-dimensional plane. We use a Metropolis Monte Carlo

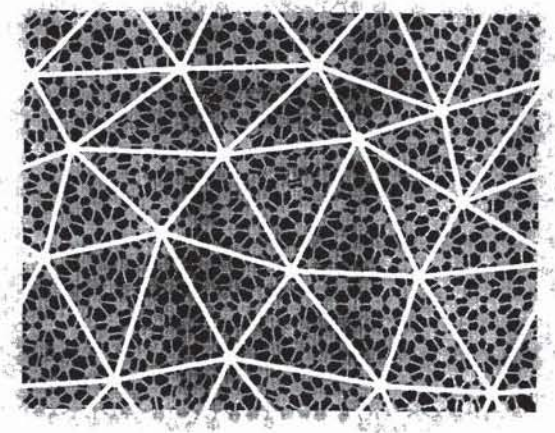


FIG. 1. Sample configuration for $s_{\max} = 9$ viewed perpendicular to the x - y plane. The fluid tethers are grey, while the spectrin tethers are white.

technique to generate a set of appropriately weighted sample configurations. A *sweep* across the membrane involves the following steps: (i) An attempt is made to change the position of each vertex by choosing a new position randomly from within a square box of length $2l$ to the side centered on the old position, where we choose $l = 0.1a$. (ii) An attempt is made to reconnect every fluid tether following the procedure of Baumgartner and Ho [9]. In this procedure, a tether is removed and replaced with a new tether connecting the two "opposite" vertices which (along with the vertices at the ends of the original tether) define the two triangles having the original tether in common. The spectrin tethers are not subject to procedure (ii) since their attachment is permanent. Each trial move is accepted if it does not violate the tether length and bead size constraints.

A rectangular membrane "patch" subject to periodic boundary conditions in the x and y directions is used in the simulation. An isobaric simulation is performed by allowing the rectangle lengths L_x and L_y to vary independently. There is one trial move to rescale the rectangle size per sweep. The rescaling moves are accepted with a pseudo Boltzmann factor [12]

$$W = \exp[-\beta P \Delta A + N \ln(1 + \Delta A/A)], \quad (1)$$

where P is the pressure and ΔA is the difference in the area ($A \equiv L_x L_y$) before and after the rescaling. Except where otherwise noted, the pressure has been set to zero.

At least 100 or 200 sample configurations are generated at each parameter set. Each configuration is separated by a "Rouse time" $\tau \equiv N/l^2$ Monte Carlo sweeps. The equilibration time is within a factor of 3 or less of the Rouse time for membranes of the size used here [7,8,13], so each initialization is allowed to relax for 10τ before sample collection commences. Between 5×10^6 and 10×10^6 attempted moves are made on each vertex and tether for membranes with $N = 576$. The entire simula-

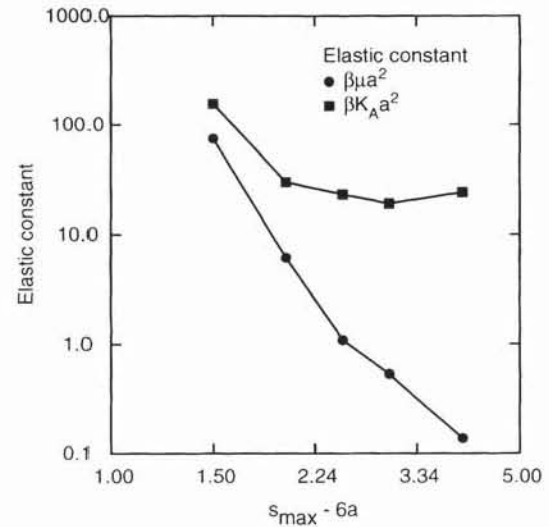


FIG. 2. Logarithmic plot of the elastic constants $\beta\mu a^2$ (circles) and $\beta K_A a^2$ (squares) as a function of the maximum spectrin length s_{\max} in the dual network. Our estimated uncertainties are in the 10% range.

tion required approximately ten CPU months on a MIPS R3000 processor.

Our flat membrane is described by two lateral displacement fields u_1 and u_2 which are functions of the reference coordinate system (x_1, x_2) . In terms of the strain tensor $u_{ij} = (\partial u_i / \partial x_j + \partial u_j / \partial x_i) / 2$, the elastic energy is [14]

$$H_{el} = \int d^2x \{ K_A (u_{11} + u_{22})^2 / 2 + \mu [(u_{11} - u_{22})^2 / 2 + 2u_{12}^2] \}, \quad (2)$$

where K_A and μ are the area compressibility modulus and shear modulus, respectively. Computationally, K_A and the Young's moduli Y_x and Y_y are determined from fluctuations of L_x , L_y , and A :

$$\beta K_A = \langle A \rangle / (\langle A^2 \rangle - \langle A \rangle^2), \quad (3)$$

$$\beta Y_x = [\langle A \rangle \langle L_x^2 \rangle / \langle L_x \rangle^2 - 1]^{-1}, \quad (4)$$

$$\beta Y_y = [\langle A \rangle \langle L_y^2 \rangle / \langle L_y \rangle^2 - 1]^{-1}. \quad (5)$$

Finally, the shear modulus μ can be obtained from Y and K_A via

$$\mu = Y K_A / (4K_A - Y), \quad (6)$$

where we use the average of Y_x and Y_y for Y in Eq. (6).

The behavior of these constants for the model network is shown in Fig. 2. The smallest value for s_{\max} consistent with our density of anchor vertices is $6a$, where all the beads touch at their hard-core limit. Such a network should have infinitely large μ and K_A . From Fig. 2 we see that μ and K_A decrease from large values as s_{\max} increases from $6a$. The compression modulus $\beta K_A a^2$ decreases to about 20 and remains near this value as s_{\max} becomes large. The shear modulus, on the other hand,

falls approximately as $s_{\max}^{-5 \pm 1}$ over the larger values of s_{\max} studied. This behavior is expected since networks with larger s_{\max} can support larger shear deformations.

The compression modulus at large s_{\max} can be understood by considering the single-component fluid [9] or polymerized [7,8] network model. Kantor and Nelson [8] point out that in a two-dimensional network of harmonic springs $K_A = 2\mu = 3^{1/2}k/2$, where k is the spring constant. Kantor and Nelson estimate that the square-well tethers correspond to $\beta k a^2 \approx 22$ and hence they predict $\beta K_A a^2 \approx 20$. In a separate simulation of two-dimensional networks, we obtain $\beta K_A a^2 = 15 \pm 2$ for a polymerized network and $\beta K_A a^2 = 18 \pm 2$ for a pure fluid network. These results are in the range expected from the harmonic network and are similar to the value of the dual net. Thus, the compression modulus of the dual net at large s_{\max} is determined by its fluid component and not its spectrin component.

To understand the shear modulus at large s_{\max} we simulate a pure two-dimensional spectrin network with fixed hexagonal connectivity, N_j vertices, and a tether length ranging from 0 to s_{\max} . The network is subject to a tension (or negative pressure) $P < 0$ so that the only independent variable in the simulation is $\beta P s_{\max}^2$. The tension is used to control the area of the membrane so the pure spectrin network can be compared with the dual network. The simulation is performed using the computational rules set out above for the spectrin part of the dual network.

The shear modulus $\beta \mu s_{\max}^2$ is shown in Fig. 3 as a func-

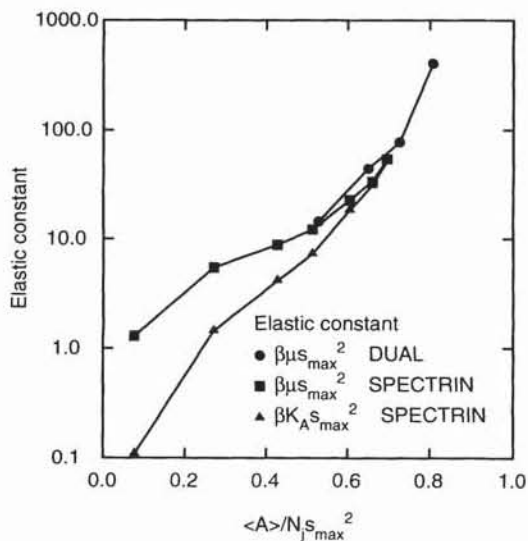


FIG. 3. Comparison of the shear modulus $\beta \mu s_{\max}^2$ for the dual network (circles) and the pure spectrin network (squares) as a function of the area per junction vertex $\langle A \rangle / N_j s_{\max}^2$. The compression modulus $\beta K_A s_{\max}^2$ of the pure spectrin network is also shown (triangles). Most pure spectrin data were calculated with $N_j = 144$, except the two smallest areas which used $N_j = 576$.

tion of the area per junction vertex $\langle A \rangle / N_j s_{\max}^2$ for the dual network and the pure spectrin network. To within our 10% uncertainties, both networks have similar values of $\beta \mu s_{\max}^2$ for those values of $\langle A \rangle / N_j s_{\max}^2$ which correspond to large s_{\max} in the dual model. Hence, we conclude that the shear modulus at large s_{\max} is determined by the spectrin network. Also shown in Fig. 3 is the compression modulus $\beta K_A s_{\max}^2$ of the spectrin network. The fact that K_A is less than μ indicates that the second Lamé coefficient [14] $\lambda = K_A - \mu$ is negative. Materials with negative λ expand transversely when stretched longitudinally.

We can use Fig. 3 to make a "first-principles" estimate of the shear modulus of human RBC's [15], for which the spectrin has a maximal extension (s_{\max}) of ≈ 200 nm and an average extension of ≈ 75 nm [1]. This corresponds to $\langle A \rangle / N_j s_{\max}^2 \approx 0.12$, for which we obtain $\beta \mu s_{\max}^2 \approx 2$ from Fig. 3. This value is equivalent to $\mu \approx 2 \times 10^{-7}$ J/m² and is more than an order of magnitude less than what is found in the micropipette experiments [$\mu = (6-9) \times 10^{-6}$ J/m² [6,16]]. Inclusion of out-of-plane fluctuations and protein steric effects will undoubtedly affect the numerical value of this prediction. At face value, the prediction supports the hypothesis that the shear modulus determined by fluctuations [4,5] is considerably smaller than that determined by micromechanical experiments [6].

We now investigate the out-of-plane fluctuations of the dual network by embedding the membrane in three dimensions. To incorporate out-of-plane bending resistance, we add to our simulation a discrete version [7,8] of the continuum Helfrich Hamiltonian [17]

$$\beta H = \kappa_{\text{dis}} \sum (1 - \mathbf{n}_i \cdot \mathbf{n}_j), \quad (7)$$

where each \mathbf{n} is a unit vector normal to the plane formed by three vertices which are all nearest neighbors to one another. The sum is over all \mathbf{n} 's whose defining plaquettes share a common tether.

We use the same Metropolis algorithm described above, modified (i) by using a spatial cube for each trial vertex move and (ii) by accepting or rejecting a move according to the Boltzmann weight $\exp(-\beta \Delta H)$, where ΔH is the energy difference of the trial move as determined by Eq. (7). For low enough temperature, i.e., $\kappa_{\text{dis}} \gg 1$, the out-of-plane fluctuations are moderate and a unique height function $h(\mathbf{x})$ can be defined for every vertex position \mathbf{x} in the x - y plane. Fluctuations are characterized by the correlation function

$$C(q) \equiv \langle |u(q)|^2 \rangle \quad (8)$$

of the Fourier-transformed height $u(\mathbf{q}) \equiv S^{-1} \int d^2x h(\mathbf{x}) \times \exp(i\mathbf{q} \cdot \mathbf{x})$, where S is the surface area over which the two-dimensional integral d^2x is performed. For long wavelengths or small q , $C(q) \approx q^{-(2+2\zeta)}$, where the roughness exponent ζ determines how fluctuations grow with spatial separation, that is, $\langle [h(\mathbf{x}) - h(\mathbf{0})]^2 \rangle \approx x^{2\zeta}$

for large x . Fluid membranes are characterized by $\zeta=1$, while simulations variously show $\zeta \approx 0.65$ for tethered membranes [13,18–20] and $\zeta = \frac{1}{2}$ in a continuum model of a polymerized membrane [21] and in a perturbation theory calculation [22].

For the simulation we choose $s_{\max}=8$, which is a value in the crossover regime between the harmonic ($s_{\max} \approx 6-7$) and pure fluid (large s_{\max}) networks. Over our available range of qa from about 0.2 to 2, the in-plane correlation function drops by 3 orders of magnitude and scales like $q^{-4.0 \pm 0.1}$ characteristic of pure fluid membranes (for which we independently find $q^{-3.9 \pm 0.1}$). The present system size is too small to show the predicted crossover to a “solid” or “tetherlike” behavior of fluctuations at larger length scales [21,22]. For a pure polymerized network, we find $C(q)$ scales like $q^{-3.7 \pm 0.2}$ for $N=576$, indicating that we require larger system sizes to reach the asymptotic regime [18].

In conclusion, we introduce a dual network model for the RBC membrane in which the maximum spectrin length s_{\max} is the essential parameter. In two dimensions, the mechanical properties of this network are determined entirely by entropy and geometry. At large s_{\max} the elastic moduli decouple: The compression modulus is a function of the fluid bilayer properties while the shear modulus falls rapidly with the spectrin length. A simplified model for the spectrin network shows a negative Lamé coefficient λ . We predict the shear modulus for human RBC's to be in the range $(1-3) \times 10^{-7}$ J/m². In three dimensions, the transverse fluctuations are fluidlike over the range of wavelengths available in the simulation.

This work is supported in part by the Natural Sciences and Engineering Research Council of Canada, the Canadian Institute for Advanced Research, and the Alexander von Humboldt Foundation. We thank E. Evans, R. Grebe, M. Narla, E. Sackmann, and M. Zuckermann for many stimulating conversations.

[1] For a review, see T. L. Steck, in *Cell Shape: Determinants, Regulation and Regulatory Role*, edited by W. Stein and F. Bronner (Academic, New York, 1989), Chap. 8.

- [2] F. Brochard and J. F. Lennon, *J. Phys. (Paris)* **36**, 1035 (1975).
- [3] For a review, see R. Lipowsky, *Nature (London)* **349**, 475 (1991).
- [4] A. Zilker, M. Ziegler, and E. Sackmann, *Phys. Rev. A* (to be published).
- [5] M. A. Peterson, H. Strey, and E. Sackmann, *J. Phys. II (France)* **2**, 1273 (1992).
- [6] R. Waugh and E. Evans, *Biophys. J.* **35**, 637 (1979).
- [7] Y. Kantor, M. Kardar, and D. R. Nelson, *Phys. Rev. Lett.* **57**, 791 (1986).
- [8] Y. Kantor and D. R. Nelson, *Phys. Rev. A* **36**, 4020 (1987); see also Y. Kantor, *Phys. Rev. A* **39**, 6582 (1989).
- [9] A. Baumgartner and J.-S. Ho, *Phys. Rev. A* **41**, 5747 (1990).
- [10] T. Stokke, A. Mikkelsen, and A. Elgsaeter, *Eur. Biophys. J.* **13**, 203 (1986); **13**, 219 (1986).
- [11] E. A. Evans and R. Skalak, *Mechanics and Thermodynamics of Biomembranes* (CRC Press, Boca Raton, 1980).
- [12] W. W. Wood, *J. Chem. Phys.* **48**, 415 (1968); see also J. P. Hansen and I. R. McDonald, *Theory of Simple Liquids* (Oxford Univ. Press, New York, 1986).
- [13] M. Plischke and D. Boal, *Phys. Rev. A* **38**, 4943 (1988); D. Boal, E. Levinson, D. Liu, and M. Plischke, *Phys. Rev. A* **40**, 3292 (1989).
- [14] See, for example, L. D. Landau and E. M. Lifshitz, *Theory of Elasticity* (Pergamon, London, 1959).
- [15] For a scaling argument to estimate μ within continuum theories, see Refs. [10,11].
- [16] R. M. Hochmuth, in *Handbook of Bioengineering*, edited by R. Skalak and S. Chien (McGraw-Hill, New York, 1987), pp. 12.1–12.17; J. Engelhardt and E. Sackmann, *Biophys. J.* **54**, 495 (1988).
- [17] W. Helfrich, *Z. Naturforsch.* **28C**, 693 (1973); H. J. Deuling and W. Helfrich, *J. Phys. (Paris)* **37**, 1335 (1976).
- [18] F. Abraham and D. R. Nelson, *J. Phys. (Paris)* **51**, 2653 (1990).
- [19] S. Leibler and A. Maggs, *Phys. Rev. Lett.* **63**, 406 (1989).
- [20] J.-S. Ho and A. Baumgartner, *Europhys. Lett.* **12**, 295 (1990).
- [21] R. Lipowsky and M. Girardet, *Phys. Rev. Lett.* **65**, 2893 (1990).
- [22] D. R. Nelson and L. Peliti, *J. Phys. (Paris)* **48**, 1085 (1987).

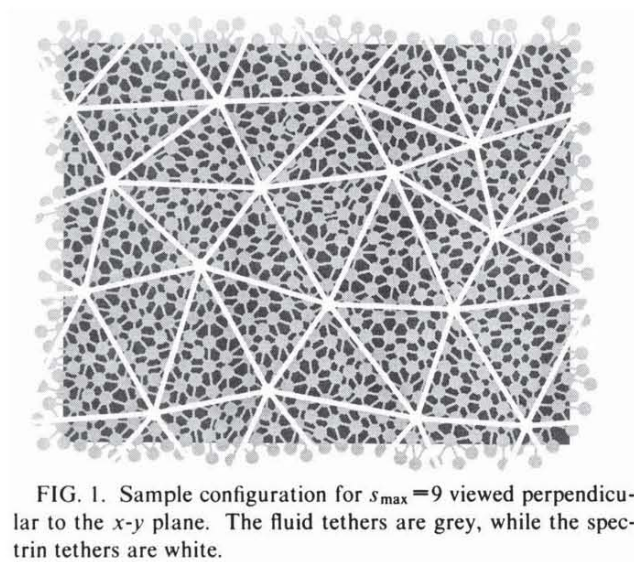


FIG. 1. Sample configuration for $s_{\max}=9$ viewed perpendicular to the x - y plane. The fluid tethers are grey, while the spectrin tethers are white.