



- 1 Dual-polarization radar rainfall estimation in Korea
- 2 according to raindrop shapes using a 2D Video
- 3 **Disdrometer**
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# 14 Abstract

15 The shapes of raindrops play an important role in inducing polarimetric rainfall algorithms with differential reflectivity  $(Z_{DR})$  and specific differential phase  $(K_{DP})$ . The shapes of 16 17 raindrops have a direct impact on rainfall estimation. However, the characteristics of raindrop 18 size distribution (DSD) are different depending on precipitation type, storm stage of 19 development, and regional and climatological conditions. Therefore, it is necessary to provide 20 assumptions based on raindrop shapes that reflect the rainfall characteristics of the Korean 21 peninsula. In this study, we presented a method to find optimal polarimetric rainfall 22 algorithms on the Korean peninsula using the 2-Dimensional Video Disdrometer (2DVD) and 23 Bislsan S-Band dual-polarization radar. First, a new axis ratio of raindrop relations was 24 developed for the improvement of rainfall estimation. Second, polarimetric rainfall 25 algorithms were derived using different axis ratio relations, and estimated radar-point one-26 hour rain rate for the differences in polarimetric rainfall algorithms were compared with the 27 hourly rain rate measured by gauge. In addition, radar rainfall estimation was investigated in relation to calibration bias of reflectivity and differential reflectivity. The derived raindrop 28 29 axis ratio relation from the 2DVD was more oblate than existing relations in the D < 1.5 mm





1 and D > 5.5 mm range. The  $R(K_{DP}, Z_{DR})$  algorithm based on a new axis ratio relation showed 2 the best result on DSD statistics; however, the  $R(Z_h, Z_{DR})$  algorithm showed the best 3 performance for radar rainfall estimation, because the rainfall events used in the analysis 4 were mainly weak precipitation and  $K_{DP}$  is noisy at lower rain rates (  $\leq 5 \text{ mm hr}^{-1}$ ). Thus, the 5 R(K<sub>DP</sub>, Z<sub>DR</sub>) algorithm is suitable for heavy rainfall and R(Z<sub>h</sub>, Z<sub>DR</sub>) algorithm is suited for 6 light rainfall. The calibration bias of reflectivity  $(Z_H)$  and differential reflectivity  $(Z_{DR})$  were 7 calculated from the comparison of measured with simulated  $Z_H$  and  $Z_{DR}$  from the 2DVD. The 8 calculated  $Z_H$  and  $Z_{DR}$  bias was used to reduce radar bias, and to produce more accurate 9 rainfall estimation.

10

### 11 1 Introduction

12 Radar is a very useful monitoring tool for extreme weather forecasting, flood forecast 13 and rainfall estimation because of its high spatial and temporal resolution. In particular, dual-14 polarization radar providing reflectivity (Z<sub>H</sub>), differential reflectivity (Z<sub>DR</sub>), differential phase 15  $(\Phi_{DP})$ , specific differential phase (K<sub>DP</sub>), and cross-correlation coefficient ( $\rho_{hv}$ ) can estimate 16 rainfall more accurately than single polarization radar. Dual-polarization radar provides 17 characteristics of the precipitation by backscatter and differential propagation phase of hydrometeors, and therefore can obtain more information about DSD (Cifelli et al., 2011). In 18 19 addition, the multi-parameters can distinguish precipitation type, and reduce the impact of 20 DSD variability on the rainfall estimation. Therefore, rainfall estimators using a combination 21 of Z<sub>H</sub>, Z<sub>DR</sub>, and K<sub>DP</sub> are better than using reflectivity factor only (Ryzhkov et al., 2005).

22 Several different polarimetric rainfall algorithms have been developed assuming 23 raindrop shapes (Sachidananda and Zrnić, 1987; Chandrasekar et al., 1990; Ryzhkov and 24 Zrnic, 1995; Gorgucci et al., 2001). This is because the shape of raindrops is one of the most 25 sensitive parameters for representing the DSD properties of the rain. Some researchers have 26 attempted to produce the mean shape of raindrops. Keenan et al. (2001) derived an empirical 27 relation from observational data, and Pruppacher and Beard (1970), Green (1975), and Beard 28 and Chuang (1987) investigated the shape of raindrops falling under the influence of gravity. 29 The raindrop shape is defined by the shape-size relationship of a raindrop. These raindrop 30 axis-ratio relations play an important role in deriving polarimetric rainfall algorithms that use 31 Z<sub>DR</sub> and K<sub>DP</sub> (Jameson 1983, 1985; Gorgucci et al. 2001). However, the characteristics of rain 32 DSDs are associated with types of storms and stages of storm development as well as





climatic regimes (Bringi et al., 2003). Thus, it was necessary to determine the mean axis ratio
 of raindrops reflecting rainfall characteristic of the Korean peninsula, to optimize the
 polarimetric rainfall algorithm.

4 Polarization radar contains errors such as attenuation, bright band, ground clutter, and 5 calibration bias (of  $Z_H$  and  $Z_{DR}$ ). These measurement errors affect rainfall estimation. 6 Therefore, accurate measurement and calibration of Z<sub>H</sub> and Z<sub>DR</sub> are necessary to achieve 7 accurate radar rainfall estimation (Park and Lee, 2010). The accommodation of calibration 8 bias of single polarimetric radar is possible by monitoring the stability of the hardware, and 9 measured Z<sub>H</sub> and Z<sub>DR</sub> can be corrected using ground validation equipment such as a 10 disdrometer. Joss et al. (1968) calibrated radar reflectivity using the measured  $Z_H$  from the 11 radar profiler (at vertical incidence) and disdrometer-inferred Z<sub>H</sub>. The radar reflectivity was 12 calibrated by comparing reflectivity between radar and disdrometer to check the calibration 13 of the WSR-88D (Weather Surveillance Radars-1988 Doppler) at Greer, South Carolina 14 (Ulbrich and Lee, 1999). Goddard et al. (1982) and Goddard and Cherry (1984) compared 15 radar with disdrometer using the axis-ratio relations of Pruppacher and Beard (1970) and 16 Pruppacher and Pitter (1971), respectively, and found that radar measures of Z<sub>DR</sub> were 0.3 dB 17 and 0.1 dB lower than the disdrometer estimates. In addition to use of disdrometers, there are 18 various other ways to correct biases in radar data, such as using the self-consistency 19 constraint between Z<sub>H</sub> and K<sub>DP</sub>, vertically pointing measurements, and comparison of 20 measured data and mean Z<sub>H</sub>-Z<sub>DR</sub> relationship (Kwon et al., 2015). Moreover, a variety of 21 radar calibration methods were introduced in Atlas (2002).

22 In this study, we developed mean axis ratio relation and polarimetric rainfall 23 algorithms using 2-Dimensional Video Disdrometer (2DVD) measurement from September 24 2011 to October 2012 in Daegu, Korea. The four raindrop shapes assumption [after 25 Pruppacher and Beard (1970), Beard and Chuang (1987), and Brandes et al. (2002)] and 26 newly derived axis-ratio relation from 2DVD data were used to derive accurate polarimetric 27 rainfall relation for rainfall estimation. In addition, the  $Z_H$  and  $Z_{DR}$  of Bislsan dual-28 polarization radar were calibrated by comparing them with simulated  $Z_H$  and  $Z_{DR}$  by 2DVD. 29 Thereafter, improvement of quantitative rainfall estimation was investigated by applying derived calibration bias. In Section 2, the data used in this study is described. The 30 31 methodology for 2DVD data quality control, derived raindrop-axis ratio from 2DVD data, 32 and simulated polarimetric parameters by the T-matrix scattering method are described in





- 1 Section 3. The results of the statistical validation of rainfall estimation are presented in
- 2 Section 4. Finally, conclusions are drawn in Section 5.
- 3

# 4 2 Data and instrument

## 5 2.1 Disdrometer

6 The disdrometer data was used for development of mean raindrop axis ratio and 7 polarimetric rainfall relations. The disdrometer data used in this study were measured using a 8 2DVD, and the data were collected from September 2011 to October 2012 in Daegu, Korea 9 (35.9°N, 128.5°E). The 2DVD consists of two orthogonal light sheets (referred to as A and B 10 line-scan cameras). Line-scan cameras have single-line photo detectors. The particle shadows 11 are detected on the photo detectors and the particle images are recoded from two sides and at 12 different heights, when particles are falling through the measurement area ( $10 \text{ cm} \times 10 \text{ cm}$ ). A 13 more detailed description of 2DVD is given in Kruger and Krajewski (2002).

The 2DVD measures drop size, fall velocity, and the shape of individual particles.
From these, one can calculate precipitation DSDs including such as the rain rate, total number
concentration, and water content.

17

## 18 2.2 Radar

19 The Ministry of Land, Infrastructure, and Transport (MLIT) operates the Bislsan (BSL) 20 dual-polarization radar in Bislsan, Korea (35.7°N, 128.5°E). The BSL S-Band radar has a 21 narrow observation range of 150 km and frequency of 2.5 min because it is used primarily for 22 hydrological observations and flood forecasts. The BSL S-Band radar measured polarimetric 23 parameters such as  $Z_{H}$ ,  $Z_{DR}$ ,  $K_{DP}$  and  $\rho_{hv}$  in real time. The data obtained were from six 24 elevation angles (from  $-0.5^{\circ}$  to  $1.6^{\circ}$ ), with a gate size resolution of 125 m and radar beam 25 width of 0.95°. The specifications of the BSL radar are shown in Table 1.

The BSL S-Band dual-polarization radar is located about 22.3 km (17°) away from the 27 2DVD location (Fig. 1). These geographical locations were adopted to compare the two sets 28 of observation data. We used radar data from September 2011 to October 2012. During this 29 period, rainfall events were analyzed for products of calibration bias of Z<sub>H</sub> and Z<sub>DR</sub> and





- 1 rainfall estimation. In addition, the 0.0° plan position indicator (PPI) radar data were used to
- 2 avoid effects from beam blocking and ground echoes on the measurements. The  $Z_H$ ,  $Z_{DR}$ ,  $\Phi_{DP}$ ,
- 3 and  $\rho_{hv}$  radar parameters were averaged over five successive gate size resolutions and two
- 4 adjacent azimuth angles, and  $K_{DP}$  was calculated from the filtered  $\Phi_{DP}$  as the slope of a least
- 5 squares fit.

### 2.3 Rain gauge 6

7 A tipping bucket rain gauge was used to validate rainfall calculated from 2DVD data. 8 The bucket size of the rain gauge was 0.2 mm and time resolution was 0.5 s. The rain gauge 9 was installed in the same location as the 2DVD.

10

#### Methodology 11 3

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### 12 3.1 Quality control of 2DVD

13 The 2DVD observation data is useful to investigate the characteristics of rainfall. 14 However, a number of particle outliers were measured due to wind turbulence, splashing, break up of drops, and mismatching between camera A and B (Raupach and Berne, 2015). 15 16 These results lead to incorrect information about the particles. Therefore, before using the 17 2DVD data, a quality control process was needed.

18 Figures 2a and b show fall velocity and oblateness distribution according to raindrop 19 diameter before data quality control was performed. For comparison, we plotted the axis-20 ratio-diameter relation of Pruppacher and Beard (1970). Some of the outliers of fall velocity 21 and oblateness distribution were beyond the normal distribution. In particular, the outliers 22 appeared prominently in the small raindrop ranges. To remove these outliers, velocity-based 23 filtering was applied to the 2DVD measurement data (Thurai and Bringi, 2005).

$$|V_{measured}(D) - V_A(D)| < 0.4V_A(D)$$

$$V_A(D) = 9.65 - 10.3 \exp(-0.6D)$$
(1)

where, D [mm] is the drop diameter, V<sub>measured</sub> [ms<sup>-1</sup>] is the fall velocity as measured by the 24 2DVD, and V<sub>A</sub> represents the Atlas velocity formula (Atlas et al. 1973). Despite the 25 26 application of velocity-based filtering, significant bias still remained in the small drop size 27 area. This was due to instrumental limitations, such as mismatch problems with the line-scan





cameras and the limited vertical resolution of the instrument. Therefore, the oblateness data
corresponding to raindrop diameters smaller than 0.5 mm were removed when we calculated
the new axis-ratio formula. The values outside the normal distribution (about 17%) were
removed as a result of application filtering (Fig. 2c).

5 To analyze the reliability of the 2DVD data, we compared the rain rate calculated 6 from the 2DVD data (Eq. 2) to collocated rain gauge measurements. The difference of 7 accumulated rainfall represents the percent error (Eq. 3).

$$R = 6 \times 10^{-4} \pi \sum_{D_{min}}^{D_{max}} D^3 V(D) N(D) \Delta D \ [mm \ hr^{-1}]$$
(2)

$$PE = \frac{|AR_{rain \, gauge} - AR_{2DVD}|}{AR_{rain \, gauge}} \times 100 \ [\%]$$
(3)

8 here  $D_{max}$  and  $D_{min}$  are the maximum and minimum diameters of the observed drops in mm, 9 N(D) is the drop number concentration in mm<sup>-1</sup>m<sup>-3</sup>, V(D) is the drop fall velocity in ms<sup>-1</sup>, and 10  $\Delta D$  is drop interval ( $\Delta D = 0.2$  mm). The drop fall velocity formula was derived by Brandes 11 et al. (2002); *PE* is the percent error, and *AR* is accumulated rainfall in mm.

12 During the period from September 2011 to October 2012, the rainfall cases were 13 analyzed and an example of six cases is shown in Fig. 3. The six events are (i) 0000-0900 14 UTC 14 October 2011, (ii) 1400-2359 UTC 2 April 2012, (iii) 0000-2359 UTC 21 April 15 2012, (iv) 0000-0800 UTC 25 April 2012, (v) 0000-2359 UTC 23 August 2012, and (vi) 1600-2359 UTC 27 August 2012. Figure 3a shows the accumulated rainfall computed from 16 17 the 2DVD and rain gauge on 14 October 2011. The overall distribution between the 2DVD and rain gauge was good. The 2DVD recorded 13.14 mm and rain gauge recorded 13.52 mm, 18 19 their difference was about 2.81%. As shown in Fig. 3b-f, percent errors from the five rainfall 20 cases are 9.42, 0.24, 4.16, 7.88 and 8.25%, respectively. Generally, some papers show that 21 rainfall differences between disdrometer and rain gauge were mostly from 10% to 20% 22 (McFarquhar and List, 1993; Sheppard and Joe, 1994; Hagen and Yuter, 2003; Tokay et al., 23 2003). These differences might result from such issues as differences in instruments, effects 24 from the measurement environment, and rainfall variability. Therefore, the 2DVD data within 25 20% percent error were used in this study.

After the quality control process, a total of 33 rainfall cases were selected for investigating the characteristics of rainfall over the Korea Peninsula. The accuracy of 33





1 rainfall cases was in the range of 0.24-19.32% compared to in suit rain gauges. The dataset 2 consisted of 15 stratiform rainfall cases, 12 convective rainfall cases, and 6 mixed rainfall 3 cases (total of 33 rainfall event) with 17,618 min DSD samples. The type of precipitation, 4 difference rainfall, and accumulated rainfall between 2DVD and rain gauge for the 33 rainfall events are listed in Table 2. Figure 4 shows hourly and total accumulated rainfall of 2DVD 5 and rain gauge for the 33 rainfall cases. The overall rainfall distribution between 2DVD and 6 7 rain gauge were good, total accumulated rainfall by rain gauge was larger than 2DVD by 8 about 0.81%.

9

## 10 3.2 Raindrop axis ratio

11 A very small raindrop has an approximately spherical shape that becomes oblate as its 12 size increases. The shape of a raindrop according to drop size can be expressed as the mean 13 axis-ratio relation; this relation is one of the most sensitive parameters for representing the 14 rainfall properties. Hence, in order to produce rainfall estimation algorithms reflecting 15 rainfall characteristic of the Korean peninsula, the new mean axis-ratio relation, using the 2DVD data listed in Table 2, was derived as a polynomial function. Although the measured 16 17 maximum diameter from the 2DVD could reach axis-about 8.0 mm, the mean axis-ratio fitting was established to within 7 mm in order to obtain accurate information from the 18 19 appropriate data. The third-order polynomial new mean axis-ratio relation (b/a) is as follows in Eq. (4), which is reasonably extended to 7 mm. 20

21  $b/a=0.997845-0.0208475D-0.0101085D^{2}+6.4332\times10^{-4}D^{3}$  (0.5  $\leq$  D  $\leq$  7 mm) (4)

where, *a* and *b* are the major axis and minor axis, respectively. D is the raindrop diameter inmm.

24

### 25 **3.3 Disdrometer-rainfall algorithms**

In order to produce the polarimetric rainfall algorithms, the theoretical polarimetric parameters (e.g.,  $Z_H$ ,  $Z_{DR}$ , and  $K_{DP}$ ) were simulated from the 2DVD data using the T (transition) matrix method. Polarimetric parameters were simulated by making assumptions about the shape of the raindrops. First, we calculated the complex scattering amplitudes of





- 1 raindrops at the S-Band of wavelength 10.7 cm using mean axis-ratio relations. Second,
- 2 calculated scattering amplitudes about the axis ratio relations were used for production of
- 3 polarimetric parameters. The dual-polarimetric parameters were calculated using the
- 4 following Eq. (5–7) (Jung et al., 2010).

$$Z_{h} = \frac{4\lambda^{4}}{\pi^{4}|K_{w}|^{2}} \int_{0}^{D_{max,x}} A|f_{a}(\pi)|^{2} + B|f_{b}(\pi)|^{2} + 2CRe[f_{a}(\pi)f_{b}^{*}(\pi)]N(D)dD \ [mm^{6}m^{-3}] \ (5)$$
$$Z_{v} = \frac{4\lambda^{4}}{\pi^{4}|K_{w}|^{2}} \int_{0}^{D_{max,x}} B|f_{a}(\pi)|^{2} + A|f_{b}(\pi)|^{2} + 2CRe[f_{a}(\pi)f_{b}^{*}(\pi)]N(D)dD \ [mm^{6}m^{-3}] \ (6)$$

5 Where

$$6 \quad A = <\cos^{4}\Phi > = \frac{1}{8}(3 + 4\cos 2\overline{\phi}e^{-2\sigma^{2}} + \cos 4\overline{\phi}e^{-8\sigma^{2}})$$
$$B = <\sin^{4}\Phi > = \frac{1}{8}(3 - 4\cos 2\overline{\phi}e^{-2\sigma^{2}} + \cos 4\overline{\phi}e^{-8\sigma^{2}})$$

7 And

$$C = \langle \sin^{2} \Phi \cos^{2} \Phi \rangle = \frac{1}{8} (1 - \cos 4 \overline{\phi} e^{-8\sigma^{2}})$$
$$K_{DP} = \frac{180\lambda}{\pi} \int_{0}^{D_{max}} C_{k} Re[f_{a}(0) - f_{b}(0)] N(D) dD \ [^{\circ}km^{-1}]$$
(7)

8 where  $C_k = \langle \cos 2\Phi \rangle = \cos 2\Phi e^{-2\sigma^2}$ .

9 where,  $f_a(0)$  and  $f_b(0)$  are complex forward-scattering amplitudes, and  $f_a(\pi)$  and  $f_b(\pi)$  are 10 complex backscattering amplitudes for polarization along the major and minor axes. Here,  $f_a^*$ 11 and  $f_b^*$  are their respective conjugates,  $\overline{\emptyset}$  is mean canting angle, and  $\sigma$  is standard deviation of 12 the canting angle. The terms  $\overline{\emptyset}$  and  $\sigma$  are assumed to be 7° and 0°, respectively (Huang et al., 13 2008).  $D_{max}$  is 7 mm, the radar wavelength is  $\lambda = 10.7$  cm (S-Band), the dielectric factor for 14 water is  $K_w = 0.93$ , and N(D) was calculated using the 2DVD measurement.

Polarimetric rainfall relations between R and dual-polarimetric parameters are derived when rain rate is greater than 0.1 mm hr<sup>-1</sup>. Derived new polarimetric rainfall relations according to axis ratio relations are presented in Table3.





### 3.4 Calibration of radar 1

2 The polarimetric radar contains systematic bias of the radar itself. Therefore, 3 accommodation of the calibration bias of radar is necessary to improve quantitative 4 precipitation estimation. The calibration of the radar was done for light rainfall events, and 5 the new axis-ratio relation (Eq. 4) was used for simulation of the theoretical  $Z_H$  and  $Z_{DR}$ 6 parameters.

7 The calibration bias of  $Z_{\text{H}}$  and  $Z_{\text{DR}}$  were calculated from the comparison of measured 8  $Z_H$  and  $Z_{DR}$  with the simulated  $Z_H$  and  $Z_{DR}$  from the 2DVD measurement. To compare 9 polarimetric radar parameters, the cross-match point must first be determined. This is, 10 because 2DVD data are point measurements and radar data are volume measurements. The BSL S-Band radar data were averaged over five successive gates and two adjacent azimuth 11 12 angles centered on the 2DVD location. The elevation angle of 0.0° PPI was used.

13

#### 14 Results 4

### 15 4.1 Comparison of raindrop axis ratio relations

16 We compared the new axis-ratio experimental fit with existing mean axis-ratio 17 relations such as those of Pruppacher and Beard (1970), Beard and Chuang (1987), and 18 Brandes et al. (2002) in Fig. 5. These have been approximated to various polynomial 19 formulas, as follows:

20 
$$b/a = 1.03 - 0.062D$$
 (1  $\le$  D  $\le$  9 mm) (8)

21 
$$b/a = 1.0048 + 5.7 \times 10^{-4} \text{D} - 2.628 \times 10^{-2} \text{D}^2 + 3.682 \times 10^{-3} \text{D}^3 - 1.677 \times 10^{-4} \text{D}^4$$
  $(1 \le D \le 7 \text{ mm})$  (9)

22 
$$b/a = 0.9951 + 0.02510 \text{D} - 0.03644 \text{D}^2 + 5.303 \times 10^{-3} \text{D}^3 - 2.492 \times 10^{-4} \text{D}^4$$
  $(1 \le \text{D} \le 8 \text{ mm})$  (10)

Equation 8 from Pruppacher and Beard (1970) is a linear relation from wind tunnel data, Eq. 23 24 (9) is a fourth-order polynomial formula to the numerical model. Equation 10 is a polynomial 25 empirical relation developed by Brandes et al. (2002) that was derived by combining drop 26 shape observations. The Pruppacher and Beard (1970) linear relation (Eq. (8), green dash-dot line) falls below the new mean axis-ratio for  $1 \le D \le 5$  mm, and the Beard and Chuang (1987) 27 28 polynomial relation (Eq. (9), black dashed line) is slightly lower than the result of the new 29 mean axis-ratio in the range 2.5-6.5 mm. The new mean axis-ratio fit is more oblate than the





- 1 Brandes et al. (2002) polynomial empirical relation (Eq. (10), blue dotted line) when the
- 2 raindrop sizes are greater than 5.5 mm and less than 2.5 mm. To the exclusion of this part, the
- 3 new axis ratio of raindrops in the range 3–5.5 mm was similar to Eq. (10).
- 4 Thus, the new mean axis ratio relation is very similar to existing axis-ratio relations 5 except for small particles ( $\leq 2$  mm) and large particles ( $\geq 5.5$  mm). This means that raindrops 6 in South Korea are more oblate than the others. Although the difference in the axis ratio 7 seems small, its impact on the rainfall estimation cannot be neglected.
- 8

# 9 4.2 Verification of polarimetric rainfall algorithms

# 10 4.2.1 Variability of DSD in rainfall estimation

11 To investigate the variability of DSD in rainfall estimation from polarimetric 12 parameters, rain rate  $R_e$  was estimated from various combinations of polarimetric variables 13 and compared with R from Eq. (2). The mean absolute error (MAE), the root-mean-square 14 error (RMSE), and correlation coefficient (Corr.) are defined by the following equation:

$$MAE = \frac{1}{N} \sum |R - R_e| \ [mm \ h^{-1}]$$
(11)

$$RMSE = \left(\frac{1}{N}\sum (R - R_e)^2\right)^{0.5} \ [mm\ h^{-1}]$$
(12)

$$\operatorname{Corr.} = \frac{1}{N-1} \frac{\sum [(R-\bar{R})(R_e - \overline{R_e})]}{\sqrt{Var(R)Var(R_e)}}$$
(13)

15 where R is rain rate from observed 1-min DSDs and  $R_e$  is rain rate from estimated various combination of polarimetric parameters. Re is then obtained from the same dataset. The N is 16 the number of comparisons. Figure 6 shows the scatterplot of R and Re for polarimetric 17 18 rainfall relations based on the new axis-ratio relation and the scatter indicates the effect of 19 DSD variability on rain estimation. The comparison between rain rates observed R and those 20 estimated R<sub>e</sub> shows good overall agreement. In particular, the statistic of scatter showed the best result (MAE = 0.23 mm  $hr^{-1}$ , RMSE = 0.35 mm  $h^{-1}$  and Corr = 0.10) when using the 21  $R(K_{DP}, Z_{DR})$  based on the new axis-ratio relation. The use of the single parameter  $R(Z_H)$ 22 results in increase of the MAE =  $0.96 \text{ mm hr}^{-1}$  and RMSE =  $2.40 \text{ mm hr}^{-1}$ , and decrease of the 23 24 Corr = 0.93 when compared with other polarimetric rainfall relations. Other polarimetric





1 rainfall algorithms based on mean axis-ratio relations showed similar results. Thus, the 2 polarimetric parameters with  $K_{DP}$  and  $Z_{DR}$  from dual-polarization radar reduce the DSD 3 variability in the rainfall estimation. A summary of statistics according to polarimetric 4 rainfall algorithms and mean axis-ratio relations are presented in Table 3.

5

# 6 4.2.2 Validation of rainfall estimation

In order to evaluate radar rainfall estimation according to different rainfall relations and raindrop shapes, we compared an estimated one-hour rain rate from the BSL S-Band radar to the hourly rain rate by rain gauge in Daegu, Korea. In addition, the rainfall estimate from the 2DVD was included for comparison. Statistical validation of the radar and 2DVD rainfall estimates for the different rainfall relations were performed for 18 rainfall events among the 33 rainfall cases. The mean absolute error (MAE) and the root-mean-square error (RMSE) are given by

$$MAE = \frac{1}{N} \sum |R_R - R_G| \quad [mm \ h^{-1}]$$
(12)

$$RMSE = \left(\frac{1}{N}\sum (R_R - R_G)^2\right)^{0.5} \quad [mm \ h^{-1}]$$
(13)

where,  $R_R$  is the averaged one-hour rain rate [mm h<sup>-1</sup>] for the radar (or 2DVD), and  $R_G$  is the averaged one-hour rain rate [mm h<sup>-1</sup>] for the rain gauge. The results are presented in Table 4.

16 Rainfall estimation from the 2DVD showed good results in the following order: 17  $R(K_{DP}, Z_{DR}) > R(Z_h, Z_{DR}) > R(K_{DP}) > R(Z_h)$ . According to the DSD statistics, the combined 18 polarimetric rainfall relations using Z<sub>DR</sub> and K<sub>DP</sub> performed better than the single rainfall relation for estimated rainfall. As can be seen from Table 4, R(K<sub>DP</sub>, Z<sub>DR</sub>) based on the new 19 axis-ratio relation performed better on DSD statistics, with MAE =  $0.61 \text{ mm hr}^{-1}$ , and RMSE 20 = 0.86 mm hr<sup>-1</sup>. However, the  $R(K_{DP}, Z_{DR})$  algorithm showed the worst results for radar 21 rainfall estimation, and the R(Zh, ZDR) algorithm showed the best performance. These results 22 23 can be found in Fig. 7, which shows a scatterplot of the one-hour rain rate from the rain 24 gauge and the radar (or 2DVD) data, using the new axis-ratio relation for 18 rainfall events. 25 The plus represents one-hour gauge rain rate versus radar rain rate from different rainfall relations and the square indicates gauge versus 2DVD rain rate. 26





1 According to the DSD results, K<sub>DP</sub> is less sensitive to DSD variation and uncertainties 2 in raindrop shapes; however, the accuracy of the rainfall estimation declined when the  $K_{DP}$ 3 parameter was used for radar rainfall estimation. Moreover, the radar rainfall estimations from R(K\_DP) and R(K\_DP, Z\_DR) exceeded rainfall gauge measurements at lower rain rates ( $\leq 5$ 4 mm  $hr^{-1}$ ), whereas rainfall estimations from  $R(Z_h, Z_{DR})$  were similar to rainfall by measured 5 by gauges. In addition, the radar rainfall estimations from R(K<sub>DP</sub>) and R(K<sub>DP</sub>, Z<sub>DR</sub>) perform 6 better than those of  $R(Z_h, Z_{DR})$  for rain rates exceeding 5 mm hr<sup>-1</sup>. In other words, as the rain 7 8 rate increased, the uncertainty of  $K_{DP}$  from the radar declined. This was because  $K_{DP}$  is noisy 9 in light rainfall. Thus, the  $R(K_{DP}, Z_{DR})$  relation is best used for heavy rainfall and  $R(Z_h, Z_{DR})$ 10 is suited for light rainfall.

In addition, the polarimetric rainfall relations based on the new axis-ratio relation also were better than the others. Although the difference in the value of the statistics seems small according to raindrop axis ratio relations, it has an effect on the accuracy of rainfall estimation. Therefore, rainfall characteristics should be reflected in polarimetric rainfall relations.

16

# 17 **4.2.3 Correction of calibration bias**

18 In this study, the calibration bias of Z<sub>H</sub> and Z<sub>DR</sub> was calculated for eight rainfall events, 19 and the R(Z<sub>h</sub>, Z<sub>DR</sub>) algorithm based on Eq. (4) was used to estimate rainfall. Figure 8 and 9 20 shows comparison of the time series and scatter diagrams of  $Z_H$  and  $Z_{DR}$  for the 2DVD and 21 BSL radar. The overall distribution of the observed Z<sub>H</sub> corresponded well with the simulated 22 parameter; however, the measured ZDR at BSL was underestimated compared to the simulated 23  $Z_{DR}$  value. The mean bias (= bias) of  $Z_{H}$  and  $Z_{DR}$  on 14 May 2012 was about 2.17 dBZ and 24 0.28 dB, respectively (Fig. 8). The bias of Z<sub>H</sub> and Z<sub>DR</sub> on 23 August 2012 was 0.98 dBZ and 25 0.10 dB (Fig. 9).

The accuracy of the radar rainfall estimation was investigated by applying calculated Z<sub>H</sub> and Z<sub>DR</sub> bias. These results were evaluated by comparing with rain gauge measurements. Figure 10a shows the one-hour rain rate (left ordinate) and accumulated rainfall (right ordinate) estimated from the radar and rain gauge on May 14, 2012. The blue and green solid lines are estimated one-hour rainfall rates from before and after bias correction, and the bar graph is the one-hour rainfall rate measured by rain gauge. The blue and green dotted lines





1 are estimated accumulated rainfall from before and after bias correction, and the red dotted 2 line is the accumulated rainfall by the rain gauge. In comparison with the rain gauge 3 measurement, underestimated precipitation (12.67 mm) was corrected to 15.33 mm after bias 4 correction. When the estimated rainfall was compared to the rain gauge as ground truth, rainfall estimation was improved about 13.71%. Figure 10b shows the results for the period 5 00:00 to 23:59 UTC on August 23, 2012. The accumulated rainfall recorded was 83.86, 71.52, 6 7 and 80.12 mm for the rain gauge, before bias correction, and after bias correction. Rainfall 8 estimation was improved about 10.25%. For eight rainfall events, the total mean bias of  $Z_H$ 9 and ZDR from the BSL radar was 1.03 dBZ and 0.22 dB, respectively. Moreover, MAE fell by 1.03 to 0.93 mm hr<sup>-1</sup> and RMSE decreased by 1.41 to 1.26 mm hr<sup>-1</sup>. The bias of  $Z_H$  and  $Z_{DR}$ , 10 MAE and RMSE results for each of the eight rainfall events are presented in Table 5. As 11 12 shown in Table 5, rainfall estimation tended to improve after bias correction.

13

### 14 5 Conclusion

The purpose of this study was to find an optimal polarimetric rainfall algorithm using 2DVD measurement in Korea, and to improve rainfall estimation by correcting  $Z_H$  and  $Z_{DR}$ calibration bias. First, we derived a new raindrop axis-ratio relation reflecting rainfall characteristics on the Korean peninsula, using data from 33 rainfall events, after checking the accuracy and quality control of the 2DVD measurements. The derived raindrop axis-ratio relation was compared with existing relations. Although the difference in relations seems small, its impact on the polarimetric rainfall algorithm cannot be neglected.

22 The polarimetric rainfall relations were derived based on various assumptions about the 23 shape of raindrops, and the accuracy validation of one-hour rainfall rate for rainfall 24 algorithms was conducted using 2DVD, BSL radar, and rain gauge. As a result,  $R(K_{DP}, Z_{DR})$ 25 based on the new axis-ratio relation was suited for rainfall estimation of the DSD statistic 26 when compared with others. However, the K<sub>DP</sub>-based algorithms had a large statistical error 27 for radar rainfall estimation, and  $R(Z_h, Z_{DR})$  based on the new axis-ratio relation showed the 28 best performance on BSL S-Band radar rainfall estimation. This was because the measured  $K_{DP}$  parameter was weak at lower rain rates ( $\leq 5 \text{ mm hr}^{-1}$ ). To calculate the calibration bias of 29 radar, measured  $Z_H$  and  $Z_{DR}$  were compared with those simulated. Calculated  $Z_H$  and  $Z_{DR}$  bias 30 was used to reduce radar bias, and to produce more accurate rainfall estimation. After bias 31 32 correction, rainfall estimated from radar was close to that measured using the rain gauge.





- 1 In this paper, different axis ratios of raindrops were used to derive new polarimetric
- 2 rainfall relations, and the new polarimetric rainfall algorithms were assessed for point radar
- 3 rainfall estimation. The effect of areal rainfall estimation and classification of rain rate on
- 4 polarimetric rainfall relations will be studied in future work.
- 5





## 1 Acknowledgements

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  Meteorological Administration.
- 5

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Param	neters	Characteristics			
Variables		$Z_{H}, V_{r}, SW, Z_{DR}, \Phi_{DP}, K_{DP}, \rho_{hv}$			
Altitude of ra	adar antenna	1085 m			
Transmi	tter type	Klystron			
Transmitter	peak power	750 kW			
Antenna	diameter	8.5 m			
Beam widt	h of radar	0.95°			
	Frequency	2,785 MHz (S-band)			
	Range	150 km 125 m			
Observation	Gate size				
	Elevations	-0.5°, 0°, 0.5°, 0.8°, 1.2°, 1.6° (6 elevation)			

## 1 Table 1. Specification of dual-polarization radar in Bislsan.





- 1 Table 2. Summary of the date, type of precipitation, and accumulated rainfall comparison
- 2 between 2DVD and rain gauge for the 33 rainfall events.

Date	Time of	Type of	Accumulated		
	observation [UTC]	Precipitation	2DVD	Rain gauge	PE [%]
`11.09.05	01:00-15:00	С	7.07	6.86	3.12
`11.09.09	15:20-21:20	S	4.26	4.31	1.17
`11.09.10	00:00-23:59	С	19.50	17.83	9.37
`11.09.29	00:00-17:00	S	3.81	3.72	2.38
`11.10.13	00:00-23:59	S	3.91	4.11	4.98
`11.10.14	00:00-09:00	S	13.14	13.52	2.81
`11.10.21	06:00-23:59	S	53.11	65.83	19.32
`12.04.02	14:00-23:59	М	17.79	16.26	9.42
`12.04.21	00:00-23:59	S	32.06	32.13	0.24
`12.04.25	00:00-08:00	S	18.57	17.83	4.16
`12.05.01	08:00-21:00	S	4.07	3.53	15.32
`12.05.08	07:00-11:00	С	8.98	9.60	6.50
`12.05.14	00:00-15:00	S	22.19	19.98	11.03
`12.05.28	06:00-07:00	С	15.26	14.50	5.22
`12.06.08	03:00-23:59	С	17.09	19.40	11.91
`12.06.23	00:00-08:00	С	13.48	14.50	7.05
`12.07.06	00:00-18:00	С	22.40	20.18	10.98
`12.07.12	17:00-21:00	М	7.49	7.45	0.58
`12.07.13	01:00-12:00	С	25.26	25.08	0.71
`12.07.15	00:00-12:00	С	8.22	7.64	7.55
`12.07.16	15:00-23:59	М	16.33	18.42	11.31
`12.07.21	09:00-10:30	С	5.85	5.68	2.99
`12.08.12	01:00-18:00	С	20.27	18.42	10.08
`12.08.13	00:00-15:00	С	37.19	34.09	9.10
`12.08.23	00:00-23:59	М	90.47	83.86	7.88
`12.08.24	00:00-23:59	S	7.32	7.45	1.64
`12.08.27	16:00-23:59	S	13.57	12.54	8.25
`12.08.29	19:00-23:59	S	6.23	5.29	17.72
`12.09.09	00:00-23:59	S	21.45	18.65	15.04
`12.09.16	00:00-23:59	S	82.68	69.88	18.32
`12.09.17	00:00-07:00	М	63.51	58.55	8.47
`12.10.22	06:00-11:00	М	13.60	14.92	8.81
`12.10.27	00:00-09:00	S	8.74	8.20	6.53





1 Table 3. List of different polarimetric rainfall relations used for rainfall estimation and MAE,

2 RMSE and correlation coefficient for estimated rain rate vs observations.

					$R(Z_h) = \alpha  Z$	h	
	Polarime	tric rainfa	ll relation	Scatterplot R-R <sub>e</sub>		-R <sub>e</sub>	-
	α	β		MAE	RMSE	Corr.	Assumptions
1	0.0558	0.5894		0.96	2.39	0.93	Pruppacher and Beard (1970)
2	0.0576	0.5867		0.96	2.41	0.92	Beard and Chuang (1987)
3	0.0577	0.5871		0.96	2.41	0.92	Brandes et al. (2002)
4	0.0565	0.5889		0.96	2.40	0.93	New axis ratio (Experimental fit)
				R	$(K_{DP})=\alpha K$	DP	
	Polarime	tric rainfa	ll relation	Sca	atterplot R	-R <sub>e</sub>	
	А	В		MAE	RMSE	Corr.	Assumptions
1	38.66	0.837		0.46	1.04	0.99	Pruppacher and Beard (1970)
2	43.77	0.768		0.66	1.42	0.97	Beard and Chuang (1987)
3	46.97	0.743		0.82	1.63	0.97	Brandes et al. (2002)
4	42.28	0.833		0.45	1.14	0.98	New axis ratio (Experimental fit)
				$R(Z_h, Z_h)$	$Z_{DR}$ )= $\alpha Z_h^{\beta}$	$10^{0.1\gamma^{ZDR}}$	
	Polarime	tric rainfa	ll relation	Sca	atterplot R	-R <sub>e</sub>	- A committee o
	α	В	γ	MAE	RMSE	Corr.	Assumptions
1	0.0110	0.89	-4.0808	0.45	0.77	0.99	Pruppacher and Beard (1970)
2	0.0091	0.88	-3.5197	0.46	0.83	0.99	Beard and Chuang (1987)
3	0.0088	0.88	-3.4789	0.47	0.85	0.99	Brandes et al. (2002)
4	0.0112	0.87	-3.7613	0.48	0.89	0.99	New axis ratio (Experimental fit)
				R(K <sub>DP</sub> , Z	$Z_{DR}$ )= $\alpha K_{DR}$	$\beta^{\beta} 10^{0.1 \gamma ZI}$	DR
	Polarime	Polarimetric rainfall relation Scatterplot R-R <sub>e</sub>		-R <sub>e</sub>	Assumptions		
	α	β	γ	MAE	RMSE	Corr.	Assumptions
1	66.23	0.96	-1.3859	0.29	0.44	0.10	Pruppacher and Beard (1970)
2	83.78	0.93	-1.6703	0.44	0.66	0.10	Beard and Chuang (1987)
3	96.37	0.92	-1.8938	0.58	0.85	0.99	Brandes et al. (2002)
4	74.54	0.97	-1.5328	0.23	0.35	0.10	New axis ratio (Experimental fit)





- 1 Table 4. Mean absolute error and root mean square error of the radar estimates of hourly rain
- 2 rate for the different radar rainfall algorithms listed in Table 3.

$R(Z_{\rm H})=\alpha Z_{\rm H} ^{\beta}$									
	M	AE	RMSE		— A				
	RADAR	2DVD	RADAR	2DVD	Assumptions				
1	1.02	0.95	1.38	1.23	Pruppacher and Beard (1970)				
2	1.03	0.96	1.39	1.24	Beard and Chuang (1987)				
3	1.03	0.96	1.39	1.24	Brandes et al. (2002)				
4	1.02	0.95	1.39	1.23	New axis ratio (Experimental fit)				
$R(K_{DP})=\alpha K_{DP} ^{\beta}$									
	M	AE	RM	SE	:				
	RADAR	2DVD	RADAR	2DVD	Assumptions				
1	6.04	0.68	6.98	0.92	Pruppacher and Beard (1970)				
2	7.69	0.74	8.63	0.99	Beard and Chuang (1987)				
3	8.67	0.75	9.62	1.00	Brandes et al. (2002)				
4	6.80	0.70	7.79 0.92		New axis ratio (Experimental fit)				
			$R(Z_H, Z_{DR})=0$	$Z_H^{\beta} 10^{0.1 \gamma ZDR}$					
	M	MAE		SE					
	RADAR	2DVD	RADAR	2DVD	Assumptions				
1	0.88	0.65	1.20	0.90	Pruppacher and Beard (1970)				
2	0.86	0.67	1.19	0.93	Beard and Chuang (1987)				
3	0.87	0.71	1.21	0.99	Brandes et al. (2002)				
4	0.84	0.71	1.17	0.97	New axis ratio (Experimental fit)				
			$R(K_{DP}, Z_{DR})=0$	$k_{DP}^{\beta} 10^{0.1 \gamma ZDR}$	R				
	M	AE	RM	SE					
	RADAR	2DVD	RADAR	2DVD	Assumptions				
1	8.56	0.62	10.03	0.88	Pruppacher and Beard (1970)				
2	11.33	0.65	13.01	0.91	Beard and Chuang (1987)				
3	13.16	0.68	15.01	0.94	Brandes et al. (2002)				
4	9.61	0.61	11.23	0.86	New axis ratio (Experimental fit)				





- 1 Table 5. Mean absolute error and root mean square error of rainfall estimates before and after
- 2 applying bias correction.

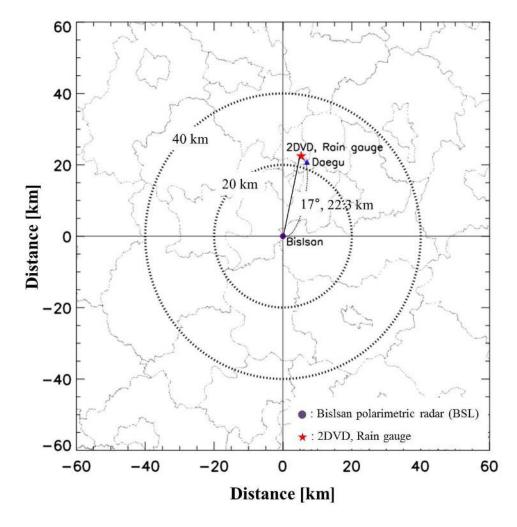
		Date Type of Tote Precipitation	Calibration Bias		MAE [mm hr <sup>-1</sup> ]		RMSE [mm hr <sup>-1</sup> ]	
	Date		Z <sub>h</sub> [dBZ]	Z <sub>DR</sub> [dB]	Before BC	After BC	Before BC	After BC
1	`11.10.13	S	-0.01	0.10	0.48	0.38	0.59	0.47
2	10.21	S	1.16	0.28	0.75	0.74	0.98	0.97
3	`12.04.25	S	1.40	0.43	1.31	1.26	2.00	1.89
4	05.14	S	2.17	0.28	0.58	0.43	0.80	0.59
5	08.23	М	0.98	0.10	0.80	0.64	1.33	0.91
6	09.09	S	0.44	0.18	0.73	0.67	1.16	1.07
7	09.16	S	0.90	-0.13	0.77	0.71	0.95	0.92
8	10.22	М	-1.44	-0.14	2.83	2.58	3.47	3.29
Avg			1.03	0.22	1.03	0.93	1.41	1.26

3

\* BC : Bias Correction



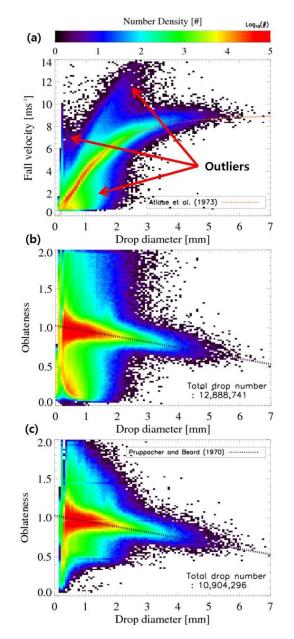




2 Figure 1. The location of the Bislsan polarimetric radar and the 2DVD with rain gauge site.





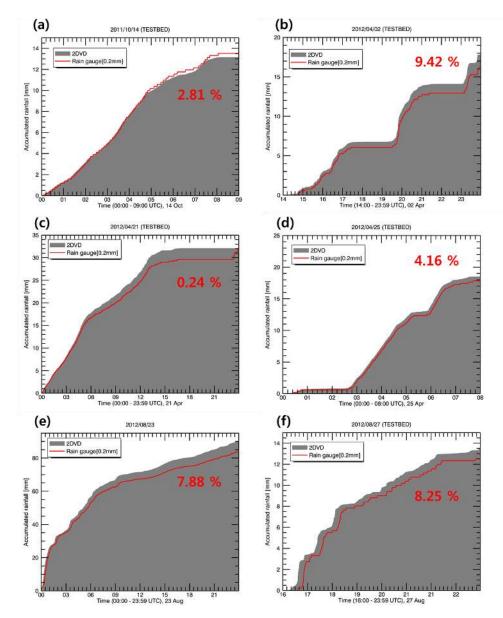


1

Figure 2. Distribution of fall velocity and oblateness according to drop diameter. (a) Velocitybased filter for the drop measurements. The color scale represents drop number density (log
scale). (b) Drop axis ratios for all measured drops. (c) Drop axis ratios after removing
mismatched drops.







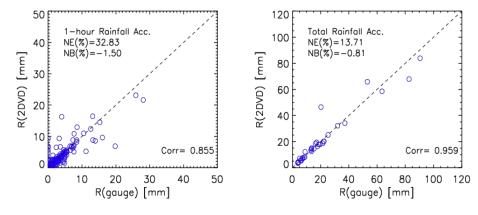
1

Figure 3. Time series of accumulated rainfall measured from the rain gauge and estimated
from the 2DVD: (a) 14 October 2011, (b) 2 April 2012, (c) 21 April 2012, (d) 25 April 2012.

4 (e) 23 August 2012, and (f) 27 August 2012.









2 Figure 4. One-hour (left) and total accumulated rainfall (right) of 2DVD and rain gauge for

3 the 33 rainfall cases.

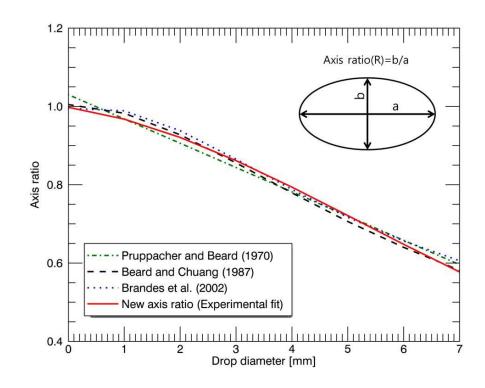


Figure 5. Different raindrop axis ratio relations for the oblate raindrop model. The upper right
subfigure illustrates the axis ratio of an oblate raindrop.





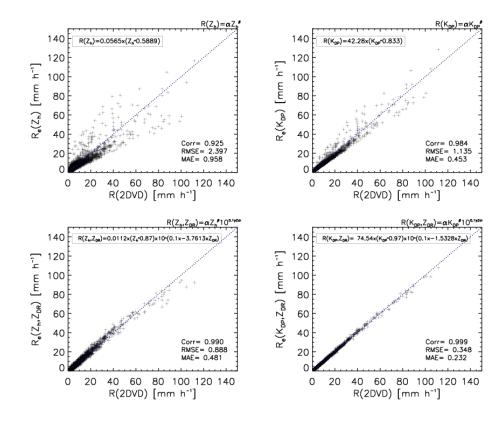


Figure 6. Scatterplot of R derived from observed DSDs of 17,618 min and R<sub>e</sub> estimated from
combinations of polarimetric parameters. R<sub>e</sub> is then obtained from the same dataset.





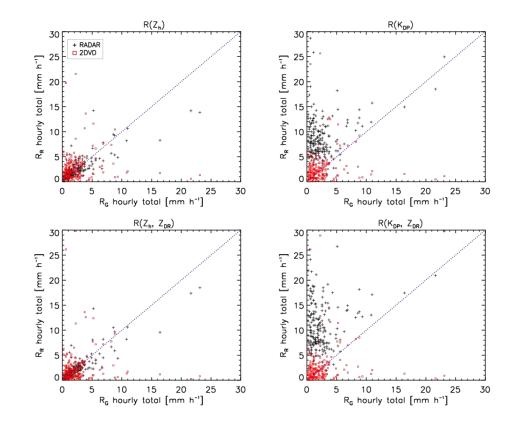


Figure 7. Scatter plot of one-hour rain rate from rain gauge (R<sub>G</sub>) and BSL S-Band radar (or
2DVD) based on Eq. (4) for 18 rainfall cases: The pluses represents one-hour gauge rain rate
versus radar hourly rain rate from polarimetric rainfall algorithms, and squares indicate gauge
and 2DVD rain rate by different polarimetric rainfall algorithms.





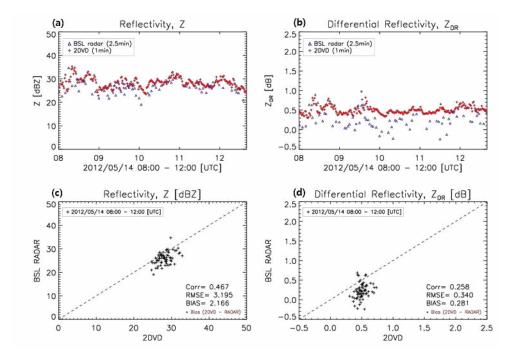
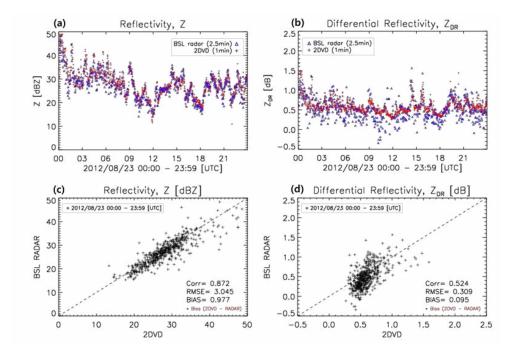


Figure 8. Time series of the (a) reflectivity, and (b) differential reflectivity by 2DVD and BSL
S-Band radar: Scatter Plots of the 2DVD estimation and radar measurement for the (c)
reflectivity and (d) differential reflectivity. Comparison statistics including correlation
coefficient (Corr), RMSE, and bias are also presented (14 May 2012).







2 Figure 9. Same as Fig. 8, except that the data is for 23 August 2012.





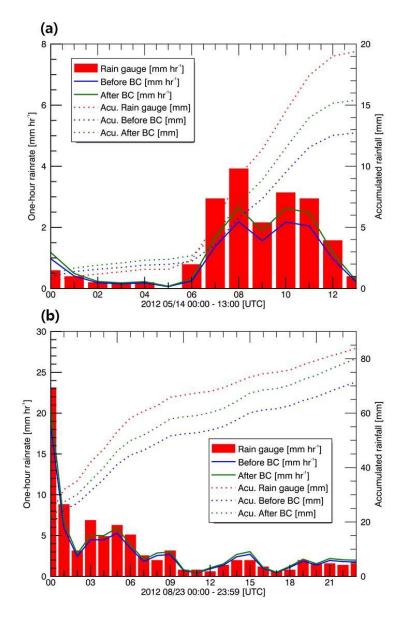


Figure 10. Comparison of the one-hour rain rate (left ordinate) and accumulated rainfall (right
ordinate) obtained by BSL S-Band radar and rain gauge: The R(Z<sub>H</sub>, Z<sub>DR</sub>) algorithm based on
Eq. (4) was used for rainfall estimation for (a) 14 May 2012 and (b) 23 August 2012. BC
represents Bias Correction.