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## Dual specificity phosphatase-1 as a pharmacogenetic modifier of inhaled steroid response among asthma patients

Ying Jin, BS<sup>1,2,\*</sup>, Donglei Hu, PhD<sup>3,\*</sup>, Edward L. Peterson, PhD<sup>4,\*</sup>, Celeste Eng, BS<sup>3</sup>, Albert M. Levin, PhD<sup>4</sup>, Karen Wells, BS<sup>4</sup>, Kenneth Beckman, PhD<sup>5</sup>, Rajesh Kumar, MD<sup>6</sup>, Max A. Seibold, PhD<sup>7</sup>, Gloria Karungi, MBChB, MS<sup>1</sup>, Amanda Zoratti, BA<sup>1</sup>, John Gaggin, BSN<sup>1</sup>, Janis Campbell, RN<sup>1</sup>, Joshua Galanter, MD<sup>3</sup>, Rocío Chapela, MD<sup>8</sup>, José R. Rodríguez-Santana, MD<sup>9</sup>, H. Geoffrey Watson, MD<sup>10</sup>, Kelley Meade, MD<sup>11</sup>, Michael LeNoir, MD<sup>12</sup>, William Rodríguez-Cintrón, MD<sup>13</sup>, Pedro C. Avila, MD<sup>14</sup>, David E. Lanfear, MD, MS<sup>1,15</sup>, Esteban G. Burchard, MD, MPH<sup>3,16,\*</sup>, and L. Keoki Williams, MD, MPH<sup>1,4,15,\*</sup>

<sup>1</sup>Center for Health Services Research, Henry Ford Health System, Detroit, Michigan

<sup>2</sup>Wayne State University School of Medicine, Detroit, Michigan

<sup>3</sup>Department of Medicine, University of California San Francisco, San Francisco General Hospital, San Francisco, California

<sup>4</sup>Department of Biostatistics and Research Epidemiology, Henry Ford Health System, Detroit, Michigan

<sup>5</sup>Biomedical Genomics Center, University of Minnesota, Minneapolis, Minnesota

<sup>6</sup>Children's Memorial Hospital, Department of Pediatrics, Northwestern University Feinberg School of Medicine, Chicago, Illinois

<sup>7</sup>Department of Medicine, National Jewish Medical and Research Center, Denver, Colorado

<sup>8</sup>Instituto Nacional de Enfermedades Respiratorias, Mexico City, Mexico

<sup>9</sup>Centro de Neumología Pediátrica, CSP, San Juan, Puerto Rico

<sup>10</sup>James A. Watson Wellness Center, Oakland, California

<sup>11</sup>Children's Hospital Oakland Research Institute, Oakland, California

<sup>12</sup>Bay Area Pediatrics, Oakland, California

<sup>13</sup>Veterans Caribbean Health Care System, San Juan, Puerto Rico

<sup>14</sup>Division of Allergy-Immunology, Northwestern University, Chicago, Illinois

<sup>15</sup>Department of Internal Medicine, Henry Ford Health System, Detroit, Michigan

<sup>16</sup>Department of Biopharmaceutical Sciences, University of California San Francisco, San Francisco, California

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**Corresponding author:** L. Keoki Williams, MD, MPH, Center for Health Services Research, Henry Ford Hospital, 1 Ford Place, 3A CHSR, Detroit, Michigan 48202, (313) 874-6693 (office), (313) 874-7137 (fax), kwillia5@hfhs.org.

\*These authors contributed equally to the manuscript

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## Abstract

**Background**—Inhaled corticosteroids (ICS) are considered first-line treatment for persistent asthma; yet, there is significant variability in treatment response. Dual specificity phosphatase-1 (*DUSP1*) appears to mediate the anti-inflammatory action of corticosteroids.

**Objective**—To determine whether variants in the *DUSP1* gene are associated with clinical response to ICS treatment.

**Methods**—Study participants with asthma were drawn from the following multi-ethnic cohorts: the Genetics of Asthma in Latino Americans (GALA) study, the Study of African Americans, Asthma, Genes & Environments (SAGE), and the Study of Asthma Phenotypes and Pharmacogenomic Interactions by Race-ethnicity (SAPPHIRE). We screened GALA participants for genetic variants that modified the relationship between ICS use and bronchodilator response. We then replicated our findings in SAGE and SAPPHIRE participants. In a group of SAPPHIRE participants treated with ICS for 6 weeks, we examined whether a *DUSP1* polymorphism was associated with changes in forced expiratory volume at one second (FEV<sub>1</sub>) and self-reported asthma control.

**Results**—*DUSP1* polymorphisms, rs881152 and rs34507926, localized to different haplotype blocks and appeared to significantly modify the relationship between ICS use and bronchodilator response among GALA participants. This interaction was also seen for rs881152 among SAPPHIRE, but not SAGE participants. Among the group of SAPPHIRE patients prospectively treated with ICS for 6 weeks, rs881152 genotype was significantly associated with changes in self-reported asthma control but not FEV<sub>1</sub>.

**Conclusion**—*DUSP1* polymorphisms were associated with clinical response to ICS therapy, and therefore, may be useful in the future to identify asthma patients more likely to respond to this controller treatment.

**Clinical implications**—These findings further our understanding of ICS pharmacogenetics and will hopefully result in improved tailoring of this controller therapy among individuals with asthma and in better disease control.

**Capsule summary**—We identified genetic variants in *DUSP1* which appeared to mediate the clinical response to inhaled corticosteroid (ICS) medication. These findings may eventually assist in identifying individuals with asthma most likely to respond this controller therapy.

## Keywords

Asthma; inhaled corticosteroids; dual specificity phosphatase-1; DUSP1; corticosteroid responsiveness

## INTRODUCTION

Inhaled corticosteroid (ICS) therapy is considered to be first line treatment for the control of persistent asthma.(1) However, there appears to be substantial variation in ICS treatment response, which may contribute to a significant proportion of disease-related morbidity.(2–4)

*In-vitro* models have identified dual specificity phosphatase-1 (*DUSP1*), also known as MAP kinase phosphatase-1 (*MKPI*), as a potential master regulator of corticosteroid response.(5–7) The induction of *DUSP1* activity by corticosteroids results in the dephosphorylation and inhibition of mitogen activated protein kinases (MAPKs), thereby reducing the expression and production of pro-inflammatory cytokines.(8–13)

Prior studies have shown bronchodilator responsiveness to be a consistent predictor of therapeutic response to ICS medication.(14) Therefore, in this study we assess whether single

nucleotide polymorphisms (SNPs) in the *DUSP1* gene are associated with bronchodilator responsiveness in 3 separate, ethnically diverse cohorts and whether these relationships are modified by the concurrent use of ICS medication. We also assess whether implicated SNPs are prospectively associated with ICS treatment response among participants in the Study of Asthma Phenotypes and Pharmacogenomic Interactions by Race-Ethnicity (SAPPHIRE).

## METHODS

### Study population and setting

The Institutional Review Boards of Henry Ford Health System and the University of California, San Francisco approved the study. Informed written consent was obtained from study participants or their guardians, and written assent was also obtained from all participants who were minors. Study participants comprised 3 separate cohorts, the Genetics of Asthma in Latino Americans (GALA) study, the Study of African Americans, Asthma, Genes & Environments (SAGE), and the SAPPHIRE.

The first two studies, GALA and SAGE, were cross-sectional and have been described elsewhere.(15;16) The GALA study began as 399 Puerto Rican and 301 Mexican asthma trios, recruited from four clinical centers located in San Francisco, New York City, Puerto Rico and Mexico City. The SAGE study included individuals recruited from the San Francisco Bay Area. Participants in both studies were age 8–40 years, had physician-diagnosed asthma or >12% bronchodilator responsiveness, and had symptoms of coughing, wheezing, or shortness of breath for at least 2 years. Biological parents and grandparents were required to identify themselves as either Puerto Rican or Mexican American for GALA participants, and as African American for SAGE participants. Patients were excluded from these studies if they had >10 pack-year smoking history, smoked in the previous 12 months, or had a history of other lung diseases. Concurrent ICS use was assessed by questionnaire in these cohorts.

Individuals in the SAPPHIRE cohort all received care through a large health system serving southeast Michigan. Patients were invited for screening if they met the following criteria: age 12–56 years, a prior clinical diagnosis of asthma, and no recorded diagnosis of chronic obstructive pulmonary disease or congestive heart failure. Longitudinal health care data was available for participants who had coverage through an affiliated provider. These data included pharmacy records for patients with prescription riders, which permitted assessment of concurrent ICS use in the larger group of screened participants.

As SAPPHIRE was specifically designed to assess ICS responsiveness as one of its objectives, a subset of screened patients was invited to undergo 6 weeks of ICS treatment (i.e., 160µg beclomethasone dipropionate HFA [Teva Specialty Pharmaceuticals LLC, Horsham, PA] twice daily per metered dose inhaler [MDI]), as has been done elsewhere.(14) In order to qualify for this additional assessment, participants had to meet the following criteria: a baseline forced expiratory volume at one second (FEV<sub>1</sub>) between 40–90% predicted, bronchodilator reversibility (i.e., improvement in FEV<sub>1</sub> of >12% from baseline), no smoking in the preceding year or <10 pack-year smoking history total, no oral or inhaled corticosteroid use in the 4 weeks preceding enrollment, not pregnant at the time of enrollment and not intending to get pregnant during the 6-week treatment period. Participants were instructed in proper MDI technique including the use of a provided spacer (AeroChamber Plus® Z STAT, Monahan Medical Corp., Plattsburgh, NY). All SAPPHIRE participants completed a survey at their baseline assessment, and individuals who underwent the 6-weeks of ICS treatment also completed a survey at the follow-up visit. Asthma control was assessed at each visit using the Asthma Control Test (ACT) (QualityMetric Inc., Lincoln, RI).(17)

## Assessment of pulmonary function

Spirometry was performed in accordance with 1995 American Thoracic Society(18) and more recent 2005 ATS/ERS recommendations depending on the time of enrollment.(19;20) A Fleisch-type pneumotachometer (KoKo PFT Spirometer®, nSpire Health Inc., Louisville, CO) was used to measure pulmonary function parameters, and expected values were obtained using standard equations derived from the U.S. population.(21) If possible, patients were asked to withhold their bronchodilator medications 12 hours before lung function tests. Bronchodilator response was determined by administering 360µg albuterol sulfate from a standard MDI, and a second dose (180µg for children <16 years, and 360µg for persons ≥16 years) was administered if >12% reversibility was not achieved following the first dose. A >12% improvement in FEV<sub>1</sub> was considered reversible(22;23) and was required for enrollment in the 6-week treatment trial. However, for the purposes of assessing ICS by genotype interactions on bronchodilator response the difference in FEV<sub>1</sub> after the first dose of albuterol was used (i.e., between the first and second set of spirometry measurements). Pulmonary function was reassessed after 6 weeks in the subset of individuals in the SAPPHIRE trial who received beclomethasone dipropionate HFA.

## DNA isolation, genotyping, and assessment of ancestry

All study patients had genomic DNA extracted from whole blood samples. Genotyping was performed with iPLEX® gold (Sequenom, Inc., San Diego, CA). Each individual was genotyped for 107 single nucleotide polymorphisms (SNPs), which were informative for determining individuals' ancestry due to their differing allele frequencies in continental population groups. This set of ancestry informative markers (AIMs) has been described in detail elsewhere,(24) but was developed from West African individuals living in London, U.K. and South Carolina, U.S.; individuals of European ancestry from Coriell's North American Caucasian panel (Coriell Institute for Medical Research, Camden, New Jersey); and Native American individuals (i.e., Mayan and Naha) from villages in Tlapa in the state of Guerrero, Mexico.

For the GALA and SAGE cohorts we estimated individual ancestry using the program STRUCTURE, which employs a Bayesian approach.(25) For the SAPPHIRE cohort we used the software package PSMIX, which uses maximum likelihood estimation to estimate ancestry.(26;27) We have previously shown that both methods produce highly correlated ancestry estimates.(28)

Eight tagging SNPs were selected to provide coverage of the *DUSP1* gene (7 intronic and 1 synonymous), and 2 additional SNPs were selected that had minor allele frequencies ≥5%. This genotyping was performed by allelic discrimination using a Taqman SNP genotyping assay (Applied Biosystems, Foster City, California).

## Statistical analysis

Given the reported association between bronchodilator responsiveness and ICS responsiveness,(14) we first screened the *DUSP1* SNPs for association with bronchodilator responsiveness. We hypothesized that polymorphisms related to ICS responsiveness would demonstrate differential associations with the bronchodilator responsiveness between individuals currently treated and those not treated with an inhaled steroid. Alternatively stated, given the biologic association between ICS use and beta-agonist response,(29;30) genetic variants (i.e., SNP genotypes) which mediate steroid response should therefore demonstrate differing patterns of association with bronchodilator response in the presence and absence of ICS use. Accordingly, we assessed for effect modification by ICS use (i.e., genotype by steroid use interactions on bronchodilator response). The dependent variable, bronchodilator responsiveness, was measured as the percent change between the pre- and post-bronchodilator

FEV<sub>1</sub>. Since our analysis involved an assessment of ICS by genotype interactions, we restricted the study population to just individuals with asthma in all cohorts (i.e., case-only analysis).

We first assessed for significant associations between both genotype and the genotype by ICS use interaction term on bronchodilator responsiveness in the GALA cohort using multivariable linear regression models. An additive (or co-dominant) genetic model was used for the initial screening of each genotype. In other words, heterozygote individuals were assumed to have a quantitative phenotype half-way between those of the homozygote individuals (i.e., the SNP genotypes were coded as 0, 1, and 2) as has been done elsewhere.(31;32) These regression models also included potential confounders, such as age, sex, proportion of African ancestry, proportion of Native American ancestry, duration of asthma, and study recruitment site. Among the GALA cohort we also stratified by Puerto Rican and Mexican ethnicity, as these groups have previously shown pharmacogenetic differences in drug responsiveness.(33;34)

Polymorphisms that demonstrated significant direct and interaction associations with bronchodilator responsiveness were reassessed in the SAGE and SAPPHIRE cohorts (this SAPPHIRE group included only individuals enrolled in the health plan and in whom we have previously demonstrated near complete capture of ICS use).(35) We again assessed for significant associations between both genotype and the genotype by ICS interaction term on bronchodilator responsiveness, adjusting for age, sex, proportion of African ancestry, proportion of Native American ancestry, and duration of asthma. Models for the SAGE cohort included an additional covariate for study recruitment site, whereas all SAPPHIRE patients were recruited from a single health system. Based on the plot of these relations by rs881152 genotype, we also assessed a dominant/recessive genetic model for this genotype (i.e., GG vs. AG and AA) in the GALA, SAGE, and SAPPHIRE cohorts.

We assessed the relationship between rs881152 genotype and ICS responsiveness in the subgroup of SAPPHIRE participants treated with 6 weeks of beclomethasone therapy. ICS responsiveness was defined as both the change in FEV<sub>1</sub> between the baseline visit and after 6 weeks of ICS therapy and as the change in self-reported asthma control between baseline and follow-up (i.e., the change in ACT score after 6 weeks of treatment).

Pearson's chi squared test was used to assess for deviations from Hardy-Weinberg equilibrium (HWE). Assessments of linkage disequilibrium between SNPs and haplotype block analyses were performed with Haploview 4.1, which is available at <http://www.broad.mit.edu/mpg/haploview>.(36) The outcome variables used in the regression analyses (i.e., bronchodilator response, percent change in FEV<sub>1</sub>, and change in ACT score) were assessed for their distribution. All of these distributions satisfied the assumption of normality and were therefore used in the regression analyses without additional transformations. Analyses were performed using SAS v9.1 (SAS Institute Inc., Cary, NC)(37) and R v2.9.0.(38)

## RESULTS

### Study populations

The baseline characteristics of individuals with asthma in the 4 cohorts, GALA, SAGE, SAPPHIRE, and SAPPHIRE treatment subgroup are shown in Table 1. Individuals in GALA and SAGE were younger when compared with the two SAPPHIRE groups with average ages of 15.2, 19.4, and 30.9, and 32.2 years, respectively. All participants in the GALA study were of Latino ethnicity, with 291 (45.0%) considering themselves Mexican and 355 (55.0%) considering themselves Puerto Rican. In contrast, all of the individuals from the SAGE and SAPPHIRE cohorts self-identified as non-Hispanic, African American. Likewise the average proportion of genetic African ancestry in the SAGE and the two SAPPHIRE groups was 79.2%,



77.8%, and 78.9% respectively. The distribution of genetic ancestry among the entire GALA cohort was 20.5% African, 48.8% European, and 30.7% Native American. However, this distribution differed between Mexican and Puerto Rican individuals in GALA as previously reported.<sup>(39)</sup> Among Mexican individuals, the average proportion of African, European, and Native American ancestry was 13.1%, 37.7%, and 49.2%, respectively. Among Puerto Rican individuals, the average proportion of African, European, and Native American ancestry was 26.6%, 57.9%, and 15.5%, respectively.

### Screening for *DUSP1* genotypes that modify the relationship between ICS use and bronchodilator responsiveness

Given the ancestral diversity of the GALA participants, we first used this population to screen for associations between *DUSP1* genotypes and bronchodilator responsiveness. In particular, we assessed for significant interactions between these genotypes and concurrent ICS use (i.e., to assess which *DUSP1* polymorphisms appeared to affect the relationship between ICS use and bronchodilator response) while adjusting for age, sex, proportion of African ancestry, proportion of Native American ancestry, duration of asthma, and study recruitment site. The location of these polymorphisms on chromosome 5 and their relationship to *DUSP1* are shown in Figure 1. Assessments of HWE are shown in Table E1 of the online supplement.

Among the entire GALA cohort only one SNP, rs881152, was significantly associated with bronchodilator responsiveness, and none showed a significant genotype-ICS interaction (Table 2). However, after stratifying by ethnicity, we observed a number of significant associations among Puerto Rican patients with asthma, but none among the Mexican patients. In particular, SNPs rs881152, rs34507926, rs7702178, and rs3805476 showed both significant genotype and ICS interaction associations with bronchodilator responsiveness.

Linkage disequilibrium for the *DUSP1* SNPs and their estimated haplotype blocks in the GALA cohort are shown in Figure E1A–C of the online supplement. Among the Puerto Rican participants, SNPs rs34507926, rs7702178, and rs3805476, which demonstrated the aforementioned significant ICS interactions, were attributed to the same haplotype block (Figure E1C of the online supplement). Therefore, for replication in SAGE and SAPPHIRE we selected the most significant SNP, rs34507926, in this group for further analysis. We also selected SNP rs881152 for further analysis because it represented different haplotype blocks in Mexicans and Puerto Ricans.

### Replication of *DUSP1* genotypes that modify the relationship between ICS use and bronchodilator responsiveness

Replication of rs881152 and rs34507926 in the SAGE and SAPPHIRE cohorts is shown in Table 3. In both cohorts we observed a consistent relationship between ICS use and bronchodilator responsiveness. However, only in the SAPPHIRE cohort did genotype rs881152 appear to modify the relationship between ICS use and bronchodilator responsiveness (the magnitude and direction of interaction estimate was similar to that seen in GALA – data not shown). The negative interaction term in SAPPHIRE implied that among those on inhaled steroids the individuals with the GG genotype had greater bronchodilator response than those with the AA genotype ( $P=0.044$  and  $P=0.043$  in models 2 and 3, respectively). This is shown graphically in Figure 2. Figure 2 also suggests that the relationship may dominant/recessive with the GG genotype individuals showing the greatest bronchodilator response in the setting of ICS use. Reassessing the ICS by rs881152 genotype interaction with this relationship (i.e., GG vs. AG and AA) strengthen the interaction in all of the GALA participants ( $P=0.060$ ), the subset of Puerto Rican participants in GALA ( $P=0.014$ ), and in SAPPHIRE participants ( $P=0.039$ ) (data not shown).

In contrast, rs34507926 genotype was significantly associated with bronchodilator responsiveness in the SAGE cohort ( $P=0.042$ ), but not in the SAPPHIRE cohort ( $P=0.143$ ) after adjusting for potential confounders (Table 3). The interaction term estimate for rs34507926 genotype and ICS use did not reach statistical significance in any of the models.

### Assessing the relationship between *DUSP1* genotype rs881152 and steroid response

Since the aforementioned significant interactions suggested that these *DUSP1* polymorphisms may affect response to ICS medication, we specifically assessed this relationship in a separate subgroup of SAPPHIRE participants treated for 6 weeks with beclomethasone dipropionate HFA. Here the outcome was the percent improvement in pre-bronchodilator FEV<sub>1</sub> over the 6-week treatment period and the change in self-reported asthma control. The rs881152 genotype was not associated with change in FEV<sub>1</sub> over 6 weeks of ICS treatment (Table 4 and Figure 3A). However, *DUSP1* genotype at rs881152 was significantly associated a change in self-reported asthma control over the 6-week ICS treatment period. Here asthma control was measured using the Asthma Control Test, and the change in control, as the absolute change in this measure. As shown in Figure 3B, the mean change in ACT by rs881152 genotype was 2.81 ( $\pm 6.22$  SD) for GG, 1.38 ( $\pm 7.36$  SD) for AG, and  $-3.5$  ( $\pm 9.13$  SD) for AA. The trend across rs881152 genotypes was statistical significance before and after adjusting for potential confounders ( $P$ -value = 0.011 and 0.013, respectively) (Table 4).

We also performed the following post hoc analyses. First, the relationship between asthma control and a dominant/recessive genetic model for rs881152 genotype (i.e., GG vs. AG and AA) produced results of borderline statistical significance ( $P=0.060$  and  $P=0.085$  for the unadjusted and adjusted models, respectively – data not shown). Second, there was no relationship between rs881152 genotype and change in bronchodilator responsiveness over the 6-week ICS treatment period (data not shown).

## DISCUSSION

Here we report a relationship between polymorphisms in *DUSP1* and response to inhaled corticosteroids. To identify risk alleles we took a staged approach, first screening for polymorphisms that appeared to affect the cross-sectional relationship between ICS use and bronchodilator response, then validating in additional cohorts, followed by confirmation in a prospective study of ICS responsiveness.

The rationale for this approach is that steroid resistant asthmatics may experience greater proteolytic and inflammatory activity leading to airway remodeling and decreased bronchodilator response.(40) Furthermore an increased inflammatory *milieu*, as found in steroid resistant asthma, may reduce airway smooth muscle relaxation by inhibiting cAMP production or by directly inhibiting  $\beta_2$ -receptor mediated gene expression.(41) Corticosteroids can enhance  $\beta_2$ -agonist induced cAMP production(29) and have been shown to prevent inflammatory cytokine mediated uncoupling of  $\beta_2$ -receptor activation of its downstream targets.(30) Together, these observations provide a potential mechanism whereby polymorphisms which appear to modify the relationship between ICS use and bronchodilator response may also influence steroid responsiveness as measured more directly (e.g., as an improvement in pulmonary function or self-reported asthma control). As such, our novel approach may prove useful to screen for other polymorphisms associated with steroid response.

Corticosteroids induce the expression and prevent the proteolytic degradation of *DUSP1*, a key inhibitor of the MAPK cascade.(42;43) For example, Kang *et al* found that dexamethasone is able to induce *DUSP1* and subsequently decrease JNK and p38 MAPK activity in human airway smooth muscle.(11) Conversely, in mouse models, corticosteroid inhibition of JNK and p38 MAPK is impaired in *DUSP1*<sup>-/-</sup> macrophages(8) and these cells produce excessive

amounts of inflammatory cytokines, including tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ) and interleukin-6 (IL-6).(44) Bhavsar and colleagues found increased activation of p38 MAPK in airway macrophages from severe asthmatics when compared to non-severe asthmatics, and the severe asthmatic group also exhibited impaired corticosteroid induction of *DUSP1*.(45) Therefore, although not previously examined, our observation of a relationship between *DUSP1* polymorphisms and ICS-related improvement in self-reported asthma control is not altogether surprising.

Nevertheless, we do not currently know whether the polymorphisms identified here affect *DUSP1* function or if they are just in linkage disequilibrium with genetic variants that do. In particular, rs881152, which was most significantly associated with ICS response, is located in the promoter region of *DUSP1* (i.e., 357 base pairs proximal to the transcription start site). This was the most 5' SNP that we investigated in this study. However, a recent study by Johansson-Hague *et al.* suggests that the promoter site involved in glucocorticoid-induced gene expression may be further upstream.(46) and Tchen and colleagues have identified glucocorticoid-responsive regions approximately 1.3 and 4.6 kilobases upstream of the *DUSP1* transcription start site.(47) Therefore, it is possible that polymorphisms upstream of those identified here may have even stronger associations with clinical ICS response than we demonstrated.

This study must be interpreted in light of its other limitations, as well. First, the observed associations were not consistent across all of the race-ethnic groups studied. For example, the gene-drug interactions observed in Puerto Rican patients with asthma were not observed in Mexican individuals. However, we have previously observed different pharmacologic and pharmacogenetic interactions between these two ethnic groups.(16;33;48) Although we adjusted for individual genetic ancestry in our models, it is still possible that the between group differences that we observed were due to additional gene-gene or gene-environment interactions that were specific to these groups or their areas of residence. In addition, different patterns of linkage disequilibrium between the polymorphisms examined here and the causal variants could have resulted in between group differences. Moreover, concurrent ICS use was determined by patient report in the GALA and SAGE cohorts, but was assessed by actual pharmacy fills in SAPPHIRE. This may account in part for the differences in estimated ICS use at baseline between the GALA, SAGE, and SAPPHIRE cohorts, and it may also explain some of the between group differences in pharmacogenetic interactions.

We assessed genotype by ICS interactions in the GALA cohort without accounting for multiple comparisons. We felt that this was a reasonable approach given the underlying linkage disequilibrium between polymorphisms in *DUSP1* (Figure E1 of the online repository). While the appropriate significance threshold for interactive effects is less well defined, a principal component approach can be used to account for correlation between SNPs.(49) Applying this approach suggests that the threshold for genotype significance in GALA should be downwardly adjusted by a factor of 5 (i.e., P-value<0.01) (data not shown). Therefore, the genotype effects for the SNPs promoted for replication in SAGE and SAPPHIRE still met this threshold. Moreover, the significant interaction for rs881152 was replicated in SAPPHIRE, providing further evidence for this relationship.

It is also uncertain which genetic model is most appropriate for SNP rs881152 (i.e., co-dominant vs. dominant/recessive), as both appeared plausible in our analyses. This will require further functional analysis of this genetic variant. Lastly, among participants in the treatment trial we noted a prospective difference in self-reported asthma control by rs881152 genotype, which was not accompanied by a commensurate change in pulmonary function. This unlinking of symptoms and physiologic measures may be due in part to the short-treatment period;



however, an even longer prospective study of ICS use noted symptomatic improvements without significant changes in FEV<sub>1</sub>.(50)

In conclusion, we demonstrate here that a *DUSP1* polymorphism that appears to modify the relationship between steroid use and bronchodilator response is also associated with symptomatic response to ICS therapy (i.e., a change in ACT score). Our findings suggest that this polymorphism (or one in linkage disequilibrium) may be useful in identifying asthma patients more likely to respond to ICS controller treatment. However, the between group differences that we observed suggest that additional genetic and environmental effects may exist, which deserve further study.

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## Abbreviations

ACT	Asthma Control Test
<i>DUSP1</i>	Dual specificity phosphatase-1
FEV <sub>1</sub>	Forced expiratory volume at one second
GALA	Genetics of Asthma in Latino Americans
ICS	Inhaled corticosteroids
SAGE	Study of African Americans, Asthma, Genes & Environments
SAPPHIRE	Study of Asthma Phenotypes and Pharmacogenomic Interactions by Race-Ethnicity
SNP	Single nucleotide polymorphism

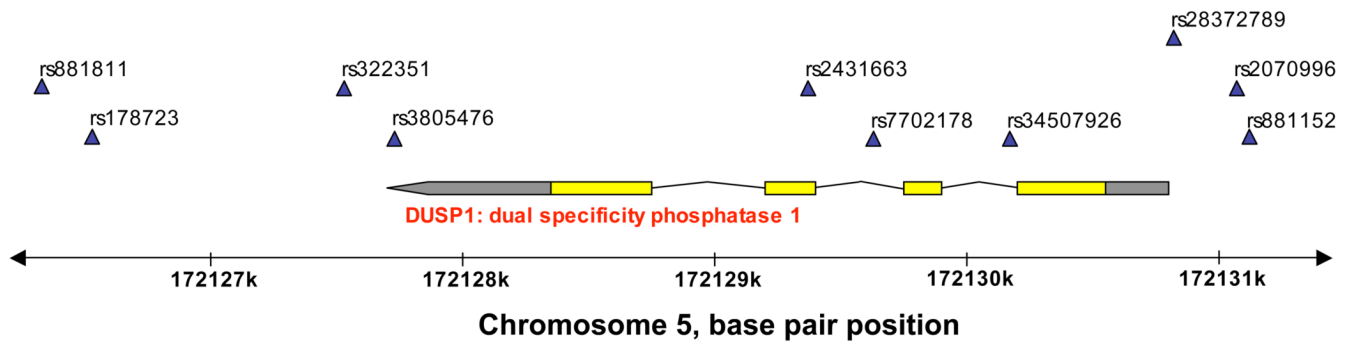
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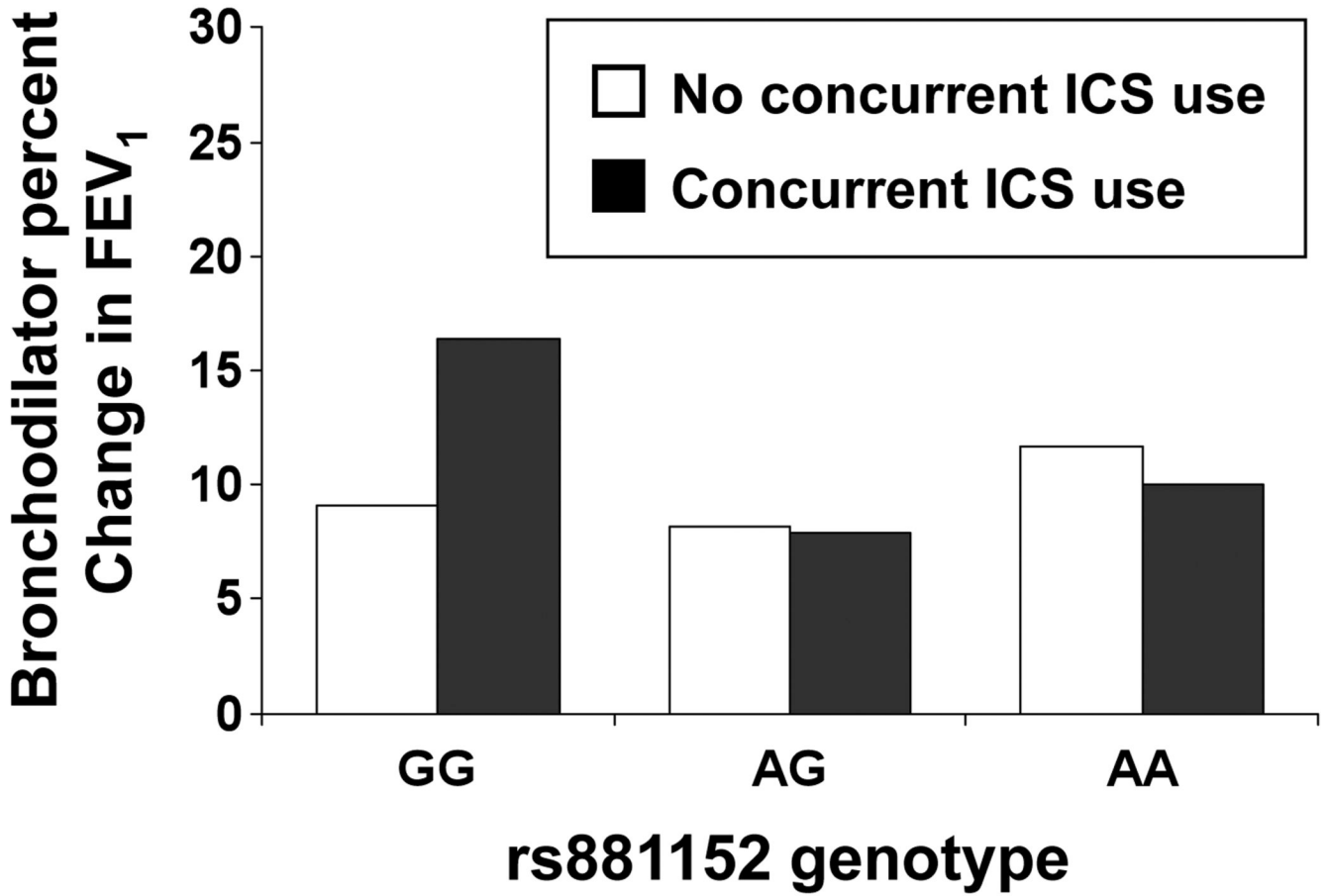
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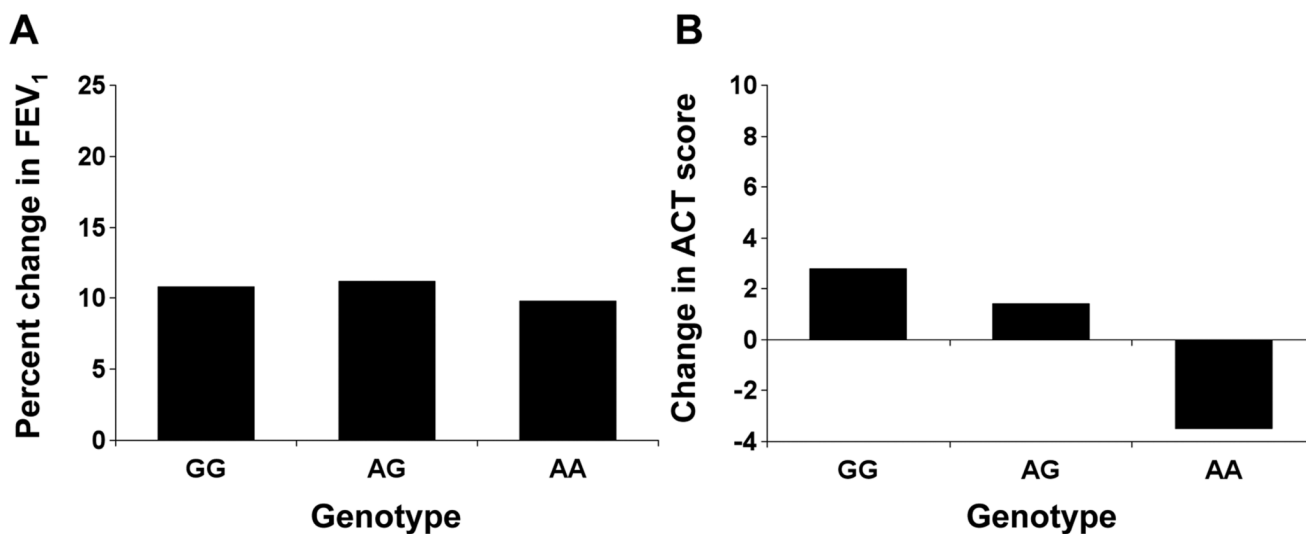


**Figure 1. Chromosomal and *DUSP1* position of single nucleotide polymorphisms assessed**  
 Polymorphism positions are indicated with the blue triangles. Exons are shown in yellow, untranslated (UTL) regions in gray, and introns as the connecting lines. The 3' UTL is denoted by the pointed end. *DUSP1* denotes dual specificity phosphatase-1.





**Figure 2. Relationship between *DUSP1* genotype rs881152 and bronchodilator responsiveness among individuals in the SAPHIRE cohort, stratified by inhaled corticosteroid (ICS) use** Bronchodilator responsiveness was measured as the percentage change in pre- and post-bronchodilator FEV<sub>1</sub> at the initial screening visit for individuals in the Study of Asthma Phenotypes and Pharmacogenomic Interactions by Race-ethnicity (SAPHIRE). Concurrent ICS use at the time of this screening was determined from patient report and from pharmacy claims. The number of individuals with the rs881152 genotypes GG, AG, and AA were 239, 125, and 21, respectively among those without concurrent ICS use, and 26, 17, and 2, respectively among those currently using ICS medication.



**Figure 3. Relationship between *DUSP1* genotype rs881152 and inhaled corticosteroid (ICS) responsiveness among the subgroup of individuals treated as part of SAPHIRE**

Inhaled corticosteroid responsiveness was measured both as the percentage change in pre-bronchodilator FEV<sub>1</sub> (A) and the change in asthma control (B) between the initial screening visit and following 6 weeks of ICS treatment. The change in asthma control was measured as the absolute difference in Asthma Control Test (ACT) score at these two time points. The number of individuals with rs881152 genotypes GG, AG, and AA were 88, 63, and 10, respectively, for the analysis looking at percentage change in pre-bronchodilator FEV<sub>1</sub>. The number of individuals with rs881152 genotypes GG, AG, and AA were 86, 63, and 10, respectively, for the analysis looking at the change in ACT score. As shown in Table 4, the P-values for the association between rs881152 genotype (i.e., coded GG=0, AG=1, and AA=2) and both FEV<sub>1</sub> change (A) and ACT score change (B) were 0.981 and 0.011, respectively. *DUSP1* denotes dual specificity phosphatase-1.

**Table 1**

Baseline characteristics of study individuals with asthma grouped according to the source cohort

Characteristic	Study Cohorts			
	GALA (n = 646)	SAGE (n = 264)	SAPPHIRE* (n = 430)	SAPPHIRE group treated with 6 weeks of ICS therapy*† (n = 165)
Age (years) - mean ±SD	15.2 ±7.7	19.4 ±9.3	30.9 ± 15.2	32.2 ± 13.5
Female – no. (%)	295 (45.7)	157 (59.5)	268 (62.3)	95 (57.6)
Latino ethnicity – no. (%)	646 (100.0)	0 (0.0)	0 (0.0)	0 (0.0)
Mexican	291 (45.0)	--	--	--
Puerto Rican	355 (55.0)	--	--	--
Self-reported race – no. (%)	--	--	--	--
Black	NA	264 (100.0)	430 (100.0)	165 (100.0)
White	NA	0 (0.0)	0 (0.0)	0 (0.0)
Other	NA	0 (0.0)	0 (0.0)	0 (0.0)
Proportion of ancestral admixture (%) – mean ± SD	--	--	--	--
African	20.5 ± 10.8	79.2 ± 10.6	77.8 ± 10.0	78.9 ± 10.7
European	48.8 ± 16.9	17.0 ± 9.5	18.7 ± 10.0	17.1 ± 10.3
Native American	30.7 ± 20.7	3.8 ± 4.0	3.5 ± 3.5	2.7 ± 3.4
Duration of asthma (years) – mean ± SD	8.8 ±5.9	12.2 ± 8.4	17.5 ± 12.4	20.7 ± 12.6
ICS use at baseline – no. (%)	369 (57.1%)	144 (54.5%)	45 (10.5%)	90 (5.8%)
FEV <sub>1</sub> percent predicted at baseline – mean ± SD	85.9 ± 17.4	92.5 ± 16.4	89.5 ± 17.4	76.1 ± 17.4
FEV <sub>1</sub> percent reversibility at baseline – mean ± SD	8.2 ± 12.8	9.2 ± 9.7	10.6 ± 11.9	21.4 ± 12.3
Asthma Control Test score at baseline – mean ± SD	--	--	19.8 ± 4.3	17.8 ± 5.1

GALA denotes the Genetics of Asthma in Latino Americans study; SAGE, the Study of African Americans, Asthma, Genes & Environments; SAPPHIRE, the Study of Asthma Phenotypes and Pharmacogenomic Interactions by Race-ethnicity; SD, standard deviation; FEV<sub>1</sub>, forced expiratory volume in one second; ICS, inhaled corticosteroid; and NA, not available.

\* The SAPPHIRE cohort (n = 430) analytic set was restricted to those who members of the health plan and who reported African American race-ethnicity. This number included 48 (29%) of the 165 individuals who subsequently were enrolled in the 6-week ICS treatment trial.

† The treatment group included African American patients with asthma who qualified for and consented to 6 weeks of beclomethasone dipropionate HFA treatment.

*DUSP1* single nucleotide polymorphism that modify the relationship between ICS use and bronchodilator responsiveness among GALA cohort participants with asthma.\*

Table 2

SNP number	Polymorphism <sup>†</sup>	All patients (n = 646)			Mexican American patients (n = 291)			Puerto Rican patients (n = 355)		
		Minor allele frequency	Genotype associated P-value	Genotype x ICS use interaction P-value	Minor allele frequency	Genotype associated P-value	Genotype x ICS use interaction P-value	Minor allele frequency	Genotype associated P-value	Genotype x ICS use interaction P-value
rs881152	G/A	0.14	0.021	0.100	0.14	0.744	0.886	0.14	0.004	0.026
rs2070996	C/T	0.22	0.391	0.633	0.27	0.667	0.789	0.25	0.445	0.373
rs28372789	A/- <sup>‡</sup>	0.38	0.753	0.654	0.36	0.347	0.408	0.40	0.202	0.176
rs34507926	C/G	0.11	0.090	0.594	0.15	0.307	0.102	0.08	<0.001	0.005
rs7702178	T/C	0.21	0.050	0.256	0.24	0.760	0.886	0.18	0.005	0.041
rs2431663	T/G	0.07	0.142	0.579	0.04	0.980	0.438	0.10	0.109	0.274
rs3805476	G/A	0.21	0.057	0.257	0.25	0.646	0.960	0.18	0.009	0.043
rs322351	C/T	0.39	0.517	0.522	0.37	0.361	0.416	0.42	0.102	0.123
Rs178723	A/G	0.44	0.886	0.755	0.45	0.865	0.880	0.43	0.775	0.973
rs881811	C/T	0.14	0.635	0.830	0.16	0.257	0.103	0.13	0.043	0.115

*DUSP1* denotes dual specificity phosphatase-1; GALA, Genetics of Asthma in Latino Americans; SNP, single nucleotide polymorphism; and ICS, inhaled corticosteroid

\* The linear regression equations used were of the following form:  $\Delta FEV_1$  (% change post- vs. pre-bronchodilator) =  $\alpha + \beta_1$ (genotype) +  $\beta_2$ (current ICS use) +  $\beta_3$ (genotype x ICS use) +  $\beta_4$ (age) +  $\beta_5$ (sex) +  $\beta_6$ (proportion of African ancestry) +  $\beta_7$ (proportion of Native American ancestry) +  $\beta_8$ (duration of asthma) +  $\beta_9$ (study recruitment site) + error. An additive genetic model was used for each genotype. The P-values for the genotype coefficient ( $\beta_1$ ) and the genotype x ICS use interaction term ( $\beta_3$ ) are presented in the table.

<sup>†</sup> Presented in the format of major allele/minor allele

<sup>‡</sup> Deletion polymorphism

**Table 3**

Replication of interactions between *DUSP1* genotypes and inhaled corticosteroid use on baseline bronchodilator responsiveness among patients with asthma (SAGE [n = 264] and SAPHIRE [n = 430] cohorts).\*

Covariates	SAGE cohort						SAPHIRE cohort					
	Model 1 <sup>†</sup>		Model 2 <sup>‡</sup>		Model 3 <sup>§</sup>		Model 1 <sup>†</sup>		Model 2 <sup>‡</sup>		Model 3 <sup>§</sup>	
	Broncho dilator % change in FEV <sub>1</sub>	P-value	Broncho dilator % change in FEV <sub>1</sub>	P-value	Broncho dilator % change in FEV <sub>1</sub>	P-value	Broncho dilator % change in FEV <sub>1</sub>	P-value	Broncho dilator % change in FEV <sub>1</sub>	P-value	Broncho dilator % change in FEV <sub>1</sub>	P-value
rs881152 genotype	-0.08	0.936	-0.56	0.722	-1.28	0.432	-0.46	0.635	0.19	0.851	0.31	0.770
ICS use	2.813	0.030	2.45	0.122	2.99	0.069	3.96	0.037	7.00	0.004	7.58	0.003
rs881152 genotype by ICS interaction	--	--	0.847	0.685	1.29	0.554	--	--	-6.55	0.044	-6.72	0.043
rs34507926 genotype	1.49	0.535	3.34	0.366	7.97	0.042	3.50	0.118	3.45	0.138	4.29	0.077
ICS use	2.37	0.036	2.53	0.029	2.83	0.020	4.18	0.028	4.14	0.033	4.53	0.025
rs34507926 genotype by ICS interaction	--	--	-3.21	0.509	-6.38	0.191	--	--	0.84	0.926	1.27	0.888

*DUSP1* denotes dual specificity phosphatase-1; SAGE, Study of African Americans, Asthma, Genes & Environments; SAPHIRE, Study of Asthma Phenotypes and Pharmacogenomic Interactions by Race-ethnicity; FEV<sub>1</sub>, forced expiratory volume in one second; and ICS, inhaled corticosteroid

\* An additive genetic model was used for each genotype. The genotypes for rs881152, GG, AG, and AA, were coded as 0, 1, and 2, respectively. The genotypes for rs34507926, CC, CG, and GG, were coded as 0, 1, and 2, respectively. However, there were no individuals with the rs34507926 genotype GG in the SAPHIRE analytic group, such that CC and CG were coded as 0 and 1, respectively for this analysis.

<sup>†</sup> Model 1 follows the form  $\Delta FEV_1$  (% change post- vs. pre-bronchodilator) =  $\alpha + \beta_1(\text{genotype}) + \beta_2(\text{current ICS use}) + \text{error}$

<sup>‡</sup> Model 2 follows the form  $\Delta FEV_1$  (% change post- vs. pre-bronchodilator) =  $\alpha + \beta_1(\text{genotype}) + \beta_2(\text{current ICS use}) + \beta_3(\text{genotype} \times \text{ICS use}) + \text{error}$

<sup>§</sup> Model 3 follows the form  $\Delta FEV_1$  (% change post- vs. pre-bronchodilator) =  $\alpha + \beta_1(\text{genotype}) + \beta_2(\text{current ICS use}) + \beta_3(\text{genotype} \times \text{ICS use}) + \beta_4(\text{age}) + \beta_5(\text{sex}) + \beta_6(\text{proportion of African ancestry}) + \beta_7(\text{proportion of Native American ancestry}) + \beta_8(\text{duration of asthma}) + \text{error}$ . An additional term for study recruitment site was added for the SAGE cohort, but this term was not included for the SAPHIRE cohort since all individuals in the latter were enrolled from a single, large health system. The parameter estimates for the first three terms are shown in the table.



Relationship between *DUSP1* rs881152 genotype and both change in pulmonary function and self-reported asthma control following 6 weeks of inhaled corticosteroid use among patients with asthma (SAPHIRE treatment group; n = 165).<sup>\*</sup>

**Table 4**

Variable	% change in FEV <sub>1</sub> from baseline <sup>†</sup>			Change in Asthma Control Test score from baseline <sup>‡</sup>		
	Unadjusted mean ± SD	P-value	Adjusted mean ± SD <sup>§</sup>	Unadjusted mean ± SD	P-value	Adjusted mean ± SD <sup>§</sup>
rs881152 genotype	-0.05 ± 2.06	0.981	-0.42 ± 1.78	-2.28 ± 0.89	0.011	-2.21 ± 0.88
						0.013

*DUSP1* denotes dual specificity phosphatase-1; SAPHIRE, Study of Asthma Phenotypes and Pharmacogenomic Interactions by Race-ethnicity; FEV<sub>1</sub>, forced expiratory volume in one second; and SD, standard deviation.

<sup>\*</sup>The SAPHIRE subgroup are those patients with asthma who were eligible and agreeable to 6-weeks of treatment with inhaled beclomethasone dipropionate HFA. An additive genetic model was used for the rs881152 genotype whereby GG=0, AG=1, and AA=2.

<sup>†</sup>The percent change in FEV<sub>1</sub> was measured as a difference between the pre-bronchodilator FEV<sub>1</sub> measurements at the initial visit and the 6-week follow-up visit using the following equation:  $((\text{FEV}_1 \text{ (6-wk follow-up)} - \text{FEV}_1 \text{ (initial)}) / \text{FEV}_1 \text{ (initial)}) \times 100\%$ .

<sup>‡</sup>The Asthma Control Test has a score range of 5–25 with higher scores signifying better control. Therefore, a negative coefficient suggests a worsening of control between baseline and the 6-week follow-up exam

<sup>§</sup> Adjusted for patient age, sex, duration of asthma, and baseline percent of predicted FEV<sub>1</sub>.