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## DUALITY BETWEEN $D(X)$ AND $D(\hat{X})$ WITH ITS APPLICATION TO PICARD SHEAVES

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### Introduction

As is well known, for a real vector space  $V$ , the Fourier transformation

$$\hat{f}(\alpha) = \int_V f(v) e^{2\pi i \langle v, \alpha \rangle} dv \quad \alpha \in V^\vee$$

gives an isometry between  $L^2(V)$  and  $L^2(V^\vee)$ , where  $V^\vee$  is the dual vector space of  $V$  and  $\langle , \rangle: V \times V^\vee \rightarrow \mathbf{R}$  is the canonical pairing.

In this article, we shall show that an analogy holds for abelian varieties and sheaves of modules on them: Let  $X$  be an abelian variety,  $\hat{X}$  its dual abelian variety and  $\mathcal{P}$  the normalized Poincaré bundle on  $X \times \hat{X}$ . Define the functor  $\hat{\mathcal{S}}$  of  $\mathcal{O}_X$ -modules  $M$  into the category of  $\mathcal{O}_{\hat{X}}$ -modules by

$$\hat{\mathcal{S}}(M) = \pi_{\hat{X},*}(\mathcal{P} \otimes \pi_X^* M).$$

Then the derived functor  $R\hat{\mathcal{S}}$  of  $\hat{\mathcal{S}}$  gives an equivalence of categories between two derived categories  $D(X)$  and  $D(\hat{X})$  (Theorem 2.2).

In § 3, we shall investigate the relations between our functor  $R\hat{\mathcal{S}}$  and other functors, translation, tensoring of line bundles, direct (inverse) image by an isogeny, etc. The result (3.14) that if  $X$  is principally polarized then  $D(X)$  has a natural action of  $SL(2, Z)$  seems to be significant.

In §§ 4 and 5, we shall apply the duality between  $D(X)$  and  $D(\hat{X})$  to the study of Picard sheaves. We shall compute the cohomology of Picard sheaves (Proposition 4.4), determine the moduli of deformations of them (Theorem 4.8) and give a characterization of them in the case of  $\dim X = 2$  (Theorem 5.4). Other applications of the duality will be treated elsewhere.

After the original paper was written, the author learned by a letter from G. Kempf that Proposition 3.11 and some results in § 4 had also been proved independently by him.

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NOTATIONS. We denote by  $k$  a fixed algebraically closed field and mean by a *scheme* a scheme of finite type over  $k$ . For the product variety  $X \times Y \times Z$ ,  $\pi_X$  (or  $p_1$ ) and  $\pi_{X,Y}$  (or  $p_{12}$ ) are the projections of  $X \times Y \times Z$  to  $X$  and  $X \times Y$ , respectively. For a coherent sheaf  $F$  on a variety  $X$ ,  $r(F)$  denotes the rank of  $F$  at the generic point of  $X$ .  $F^\vee$  denotes  $\mathcal{H}om_{\mathcal{O}_X}(F, \mathcal{O}_X)$ .

### §1. Preliminary

Let  $X$  and  $Y$  be schemes and  $F$  an  $\mathcal{O}_{X \times Y}$ -module. We define the functor  $\mathcal{S}_{X \rightarrow Y, F}$  from the category  $\text{Mod}(X)$  of  $\mathcal{O}_X$ -modules into  $\text{Mod}(Y)$  by

$$(1.1) \quad \mathcal{S}_{X \rightarrow Y, F}(?) = \pi_{Y,*}(F \otimes \pi_X^*?),$$

where  $?$  is an  $\mathcal{O}_X$ -module or an  $\mathcal{O}_X$ -homomorphism.

EXAMPLE 1.2. Let  $\Gamma_f$  be the graph of a morphism  $f: X \rightarrow Y$  and  $F$  the structure sheaf  $\mathcal{O}_{\Gamma_f}$  of  $\Gamma_f$ .

Then  $\mathcal{S}_{X \rightarrow Y, F} = f_*$  and  $\mathcal{S}_{Y \rightarrow X, F} = f^*$ .

We denote by  $D(X)$  the derived category of  $\text{Mod}(X)$  and by  $D_{qc}(X)$  (resp.  $D_c(X)$ ) the full subcategory of  $D(X)$  consisting of the complexes whose  $i$ -th cohomologies are quasi-coherent (resp. coherent) for all  $i$ .  $D^-(X)$  (resp.  $D^b(X)$ ) is the full subcategory of  $D(X)$  consisting of the complexes bounded above (resp. bounded on both sides) and  $D_{qc}^-(X) = D^-(X) \cap D_{qc}(X)$ ,  $D_c^b(X) = D^b(X) \cap D_c(X)$ , etc.

For an object  $F$  of  $D^-(X \times Y)$ , we define the functor  $R\mathcal{S}_{X \rightarrow Y, F}$  from  $D^-(X)$  into  $D^-(Y)$  by

$$(1.4) \quad R\mathcal{S}_{X \rightarrow Y, F}(?) = R\pi_{Y,*}(F \overset{L}{\otimes} \pi_X^*?).$$

If  $F$  is an  $\mathcal{O}_X$ -flat module, then  $R\mathcal{S}_{X \rightarrow Y, F}$  is the derived functor of  $\mathcal{S}_{X \rightarrow Y, F}$ . To consider the derived functors has the following advantage:

PROPOSITION 1.3. *Let  $Z$  be a scheme and  $G$  an object of  $D^-(X \times Y)$ . Then there is a natural isomorphism of functors:*

$$R\mathcal{S}_{Y \rightarrow Z, G} \circ R\mathcal{S}_{X \rightarrow Y, F} \cong R\mathcal{S}_{X \rightarrow Z, H},$$

where  $H = R\pi_{X,Z,*}(\pi_{X,Y}^* F \overset{L}{\otimes} \pi_{Y,Z}^* G)$ .

*Proof.* We use (1) the commutativity of  $R$  and the composition of functors, (2) the projection formula and (3) the base change theorem. (See

[2] Proposition 5.1, 5.3, 5.6, 5.12)

Let ? be an object or morphism in  $D^-(X)$ .

$$\begin{aligned} \mathbf{R}\mathcal{S}_{Y \rightarrow Z, G}(\mathbf{R}\mathcal{S}_{X \rightarrow Y, F}(?)) & \\ \cong \mathbf{R}\pi_{Z,*}(G \underset{=}{\otimes}^L \pi_Y^*(\mathbf{R}\pi_{Y,*}(F \underset{=}{\otimes}^L \pi_X^*?))) & \\ \cong \mathbf{R}\pi_{Z,*}(G \underset{=}{\otimes}^L \mathbf{R}\pi_{Y,Z,*}(\pi_{X,Y}^*(F \underset{=}{\otimes}^L \pi_X^*?))) & \quad (3) \end{aligned}$$

$$\cong \mathbf{R}\pi_{Z,*}\mathbf{R}\pi_{Y,Z,*}(\pi_{Y,Z}^*G \underset{=}{\otimes}^L \pi_{X,Y}^*F \underset{=}{\otimes}^L \pi_X^*?) \quad (2)$$

$$\cong \mathbf{R}\pi_{Z,*}\mathbf{R}\pi_{X,Z,*}(\pi_{Y,Z}^*G \underset{=}{\otimes}^L \pi_{X,Y}^*F \underset{=}{\otimes}^L \pi_{X,Z}^*\pi_X^*?) \quad (1)$$

$$\cong \mathbf{R}\pi_{Z,*}(H \underset{=}{\otimes}^L \pi_X^*?) = \mathbf{R}\mathcal{S}_{X \rightarrow Z, H}(?) \quad (2)$$

q.e.d.

PROPOSITION 1.4. (1) *If  $F$  has finite Tor-dimension as a complex of  $\mathcal{O}_X$ -modules, then we can extend the domain of definition of  $\mathbf{R}\mathcal{S}_{X \rightarrow Y, F}$  to*

$$\mathbf{R}\mathcal{S}_{X \rightarrow Y, F}: D(X) \longrightarrow D(Y)$$

and  $\mathbf{R}\mathcal{S}_{X \rightarrow Y, F}$  maps  $D^b(X)$  into  $D^b(Y)$ .

(2) *If  $F$  belongs to  $D_{qc}^-(X \times Y)$ , then  $\mathbf{R}\mathcal{S}_{X \rightarrow Y, F}$  maps  $D_{qc}^-(X)$  into  $D_{qc}^-(Y)$ .*

(3) *If  $X$  is proper and  $F \in D_c^-(X \times Y)$ , then  $\mathbf{R}\mathcal{S}_{X \rightarrow Y, F}$  maps  $D_c^-(X)$  into  $D_c^-(Y)$ .*

*Proof.* For (1), see [2] Proposition 4.2 and Corollary 4.3. (2) and (3) follow from [EGA] III 1.4.10 and 3.2.1, respectively. q.e.d.

## §2. Fourier functor

Let  $X$  be an abelian variety of dimension  $g$  (the business is similar for a complex torus) and  $\hat{X}$  its dual abelian variety. Let  $\mathcal{P}$  be the normalized Poincaré bundle on  $X \times \hat{X}$ . Here “normalized” means that both  $\mathcal{P}|_{X \times \hat{0}}$  and  $\mathcal{P}|_{0 \times \hat{X}}$  are trivial. For  $\hat{x} \in \hat{X}$  (resp.  $x \in X$ ),  $P_{\hat{x}}$  (resp.  $P_x$ ) denotes  $\mathcal{P}|_{X \times \hat{x}}$  (resp.  $\mathcal{P}|_{x \times \hat{X}}$ ). We put  $\mathcal{S} = \mathcal{S}_{\hat{x} \rightarrow X, \mathcal{P}}$  and  $\hat{\mathcal{S}} = \mathcal{S}_{X \rightarrow \hat{x}, \mathcal{P}}$ . Since  $\hat{X}$  is complete and  $\mathcal{P}$  is  $\mathcal{O}_{\hat{x}}$ -flat, we have by Proposition 1.4,

PROPOSITION 2.1. *The derived functor  $\mathbf{R}\mathcal{S}: D(\hat{X}) \rightarrow D(X)$  of  $\mathcal{S}$  can be defined. It maps  $D^b(\hat{X})$ ,  $D_{qc}^-(\hat{X})$  and  $D_c^-(\hat{X})$  into  $D^b(X)$ ,  $D_{qc}^-(X)$  and  $D_c^-(X)$  respectively.*

The following theorem is fundamental:

THEOREM 2.2. *There are isomorphisms of functors:*

$$R\mathcal{S} \circ R\hat{\mathcal{S}} \cong (-1_X)^*[-g]$$

and

$$R\hat{\mathcal{S}} \circ R\mathcal{S} \cong (-1_{\hat{X}})^*[-g],$$

where  $[-g]$  denotes "shift the complex  $g$  places to the right". In other words,  $R\mathcal{S}$  gives an equivalence of categories between  $D(\hat{X})$  and  $D(X)$ , and its quasi-inverse is  $(-1_{\hat{X}})^* \circ R\hat{\mathcal{S}}[g]$ .

*Proof.* It suffices to show that  $(R\mathcal{S}|_{D^-(\hat{X})}) \circ (R\hat{\mathcal{S}}|_{D^-(X)}) = (-1_X)^*[-g]$ . By (1.3) the left side is isomorphic to  $R\mathcal{S}_{X \rightarrow X, H}$  with  $H = Rp_{12,*}(p_{13}^*\mathcal{P} \otimes p_{23}^*\mathcal{P})$ , where  $p_{ij}$  are projections of  $X \times X \times \hat{X}$ . Since  $p_{13}^*\mathcal{P} \otimes p_{23}^*\mathcal{P} \cong (m \times 1)^*\mathcal{P}$  (which is easily verified by the seesaw principle),  $H \cong Rp_{12,*}(m \times 1)^*\mathcal{P} \cong m^*Rp_{1,*}\mathcal{P}$ . As was shown in the course of the proof of the theorem in [6] § 13,  $R^i p_{1,*}\mathcal{P} = 0$  for every  $i \neq g$  and  $R^g p_{1,*}\mathcal{P} \cong k(0)$ , i.e.,  $Rp_{1,*}\mathcal{P} \cong k(0)[-g]$ . Hence  $H$  is isomorphic to  $\mathcal{O}_E[-g]$ , where  $E$  is the graph of  $-1_X: X \rightarrow X$ . Therefore  $R\mathcal{S}_{X \rightarrow X, H} \cong (-1_X)^*[-g]$  (see Example 1.2). q.e.d.

In order to apply the theorem, we need

DEFINITION 2.3. We say that W.I.T. (weak index theorem) holds for a coherent sheaf  $F$  on  $X$  if  $R^i\hat{\mathcal{S}}(F) = 0$  for all but one  $i$ . This  $i$  is denoted by  $i(F)$  and called the index of  $F$ . We denote the coherent sheaf  $R^{i(F)}\hat{\mathcal{S}}(F)$  on  $\hat{X}$  by  $\hat{F}$  and call it the Fourier transform of  $F$ .

We say that I.T. (index theorem) holds for  $F$  if  $H^i(X, F \otimes P) = 0$  for all  $P \in \text{Pic}^\circ X$  and all but one  $i$ .

Since  $(\mathcal{P} \otimes \pi_X^*F)|_{X \times \hat{X}} \cong P_{\hat{X}} \otimes F$ , we see by virtue of the base change theorem, that I.T. implies W.I.T. and  $\hat{F}$  is locally free if I.T. holds for  $F$ . We always identify  $\mathcal{O}_X$ -module  $F$  with the complex consisting of  $F$  in degree 0, and 0 elsewhere. Hence if W.I.T. holds for  $F$ , then  $R\mathcal{S}(F)$  is isomorphic to  $\hat{F}[-i(F)]$ . Hence we have

COROLLARY 2.4. *If W.I.T. holds for  $F$ , then so does for  $\hat{F}$  and  $i(\hat{F}) = g - i(F)$ . Moreover  $\hat{\hat{F}}$  is isomorphic to  $(-1_X)^*F$ .*

COROLLARY 2.5. *Assume that W.I.T. holds for  $F$  and  $G$ . Then  $\text{Ext}_{\mathcal{O}_X}^i(F, G) \cong \text{Ext}_{\mathcal{O}_X}^{i+\mu}(\hat{F}, \hat{G})$  for every integer  $i$ , where  $\mu = i(F) - i(G)$ . Especially, we have an isomorphism  $\text{Ext}_{\mathcal{O}_X}^i(F, F) \simeq \text{Ext}_{\mathcal{O}_X}^i(\hat{F}, \hat{F})$  for every  $i$ .*

*Proof.*  $\text{Ext}_{\mathcal{O}_x}^i(F, G) \cong \text{Hom}_{D(x)}(F, G[i])$   
 $\cong \text{Hom}_{D(\hat{x})}(R\mathcal{S}(F), R\mathcal{S}(G)[i])$   
 $\cong \text{Hom}_{D(\hat{x})}(\widehat{F}[-i(F)], \widehat{G}[i - i(G)])$   
 $\cong \text{Ext}_{\mathcal{O}_{\hat{x}}}^{i+\mu}(\widehat{F}, \widehat{G})$  q.e.d.

EXAMPLE 2.6. Let  $k(\hat{x})$  be the one dimensional sky-scraper sheaf supported by  $\hat{x} \in \widehat{X}$ . Since  $H^i(X, k(\hat{x}) \otimes P) = 0$  for every  $i > 0$  and  $P \in \text{Pic}^\circ \widehat{X}$ , I.T. holds for  $k(\hat{x})$ ,  $i(k(\hat{x})) = 0$  and  $\widehat{k(\hat{x})} \simeq P_{\hat{x}}$ . Hence by Corollary 2.4, W.I.T. holds for  $P_{\hat{x}}$ ,  $i(P_{\hat{x}}) = g$  and  $\widehat{P_{\hat{x}}} \simeq k(-x)$ . Note that I.T. does not hold for  $P_{\hat{x}}$ .

Combining the above with Corollary 2.5, we have

PROPOSITION 2.7. *Assume that W.I.T. holds for a coherent sheaf  $F$  on  $X$ . Then we have*

$$H^i(X, F \otimes P_{\hat{x}}) \cong \text{Ext}_{\mathcal{O}_{\hat{x}}}^{g-i(F)+i}(k(\hat{x}), \widehat{F})$$

and

$$\text{Ext}_{\mathcal{O}_x}^i(k(x), F) \cong H^{i-i(F)}(\widehat{X}, \widehat{F} \otimes P_{-x}).$$

*Proof.* By Corollary 2.4, it suffices to show the first isomorphism. Since  $P_{\hat{x}}$  is locally free,  $H^i(X, F \otimes P_{\hat{x}})$  is isomorphic to  $\text{Ext}_{\mathcal{O}_x}^i(P_{-\hat{x}}, F)$ . Hence by Corollary 2.5, it is isomorphic to  $\text{Ext}_{\mathcal{O}_{\hat{x}}}^{i+\mu}(\widehat{P}_{-\hat{x}}, \widehat{F}) \cong \text{Ext}_{\mathcal{O}_{\hat{x}}}^{i+\mu}(k(\hat{x}), \widehat{F})$ , where  $\mu = i(P_{-\hat{x}}) - i(F) = g - i(F)$ . q.e.d.

COROLLARY 2.8. *The Euler-Poincaré characteristic of  $F$  is equal to  $(-1)^{i(F)}r(F)$ .*

*Proof.*  $\chi(X, F) = \sum_i (-1)^i h^i(X, F)$   
 $= \sum_i (-1)^i \dim \text{Ext}_{\mathcal{O}_x}^{i+g-i(F)}(k(\hat{x}), \widehat{F})$   
 $= (-1)^{i(F)}r(\widehat{F})$ . q.e.d.

EXAMPLE 2.9 ([4] § 4). A vector bundle  $U$  on  $X$  is said to be unipotent if it has a filtration

$$0 = U_0 \subset U_1 \subset \cdots \subset U_{n-1} \subset U_n = U$$

such that  $U_i/U_{i-1} \cong \mathcal{O}_X$  for  $i = 1, 2, \dots, n$ . Since the functor  $R^i\mathcal{S}$  is semi-exact for all  $i$ , W.I.T. holds for  $U$ ,  $i(U) = g$  and the coherent sheaf  $\widehat{U}$  is supported by  $\widehat{0} \in \widehat{X}$ . Hence  $R^g\mathcal{S}$  gives an equivalence of the categories

(Unipotent vector bundles on  $X$ ) and (Coherent sheaves on  $\hat{X}$  supported by  $\hat{0}$ ) = (Artinian  $B$ -modules), where  $B$  is the local ring  $\mathcal{O}_{\hat{X}, \hat{0}}$  of  $\hat{X}$  at  $\hat{0}$ . Moreover we have by Proposition 2.7.

$$H^i(X, U) \cong \text{Ext}_B^i(k(\hat{0}), \hat{U}).$$

### §3. Relations between $R\mathcal{S}$ and other functors

The properties of the Poincaré bundle  $\mathcal{P}$  give relations between  $\mathcal{S}$  and other functors. From this we obtain by the universal property of  $R\mathcal{S}$ , relations between  $R\mathcal{S}$  and other functors. For example, from the isomorphism  $T_{(0, \hat{x})}^* \mathcal{P} \cong \mathcal{P} \otimes \pi_x^* P_{\hat{x}}$ , we obtain the isomorphism of functors  $\mathcal{S} \circ T_{\hat{x}}^* \cong (\otimes P_{-\hat{x}}) \circ \mathcal{S}$  because  $\mathcal{S}(T_{\hat{x}}^* ?) = \pi_{X,*}(\mathcal{P} \otimes T_{(0, \hat{x})}^* \pi_x^* ?) \cong \pi_{X,*} T_{(0, \hat{x})}^* (T_{(0, -\hat{x})}^* \mathcal{P} \otimes \pi_x^* ?) \cong \pi_{X,*}(\mathcal{P} \otimes \pi_x^* P_{-\hat{x}} \otimes \pi_x^* ?) \cong \mathcal{S}(?) \otimes P_{-\hat{x}}$ .

Hence we have

$$(3.1) \quad (\text{Exchange of translations and } \otimes \text{Pic}^\circ)$$

$$R\mathcal{S} \circ T_{\hat{x}}^* \cong (\otimes P_{-\hat{x}}) \circ R\mathcal{S}$$

$$R\mathcal{S} \circ (\otimes P_x) \cong T_x^* \circ R\mathcal{S}.$$

EXAMPLE 3.2. W.I.T. holds for every homogeneous vector bundle  $H$  on  $X$ . The index  $i(H)$  is equal to  $g$  and  $\hat{H}$  is a coherent sheaf supported by a finite set of points. Hence  $R^g \hat{\mathcal{S}}$  gives an equivalence of categories between  $H_X = (\text{Homogeneous vector bundles on } X)$  and  $C_X^f = (\text{Coherent sheaves on } \hat{X} \text{ supported by a finite set of points})$ .

*Proof.* If a coherent sheaf  $M$  on  $\hat{X}$  is supported by a finite set of points, then  $M \otimes P \cong M$  for all  $P \in \text{Pic}^\circ \hat{X}$  and hence  $\mathcal{S}(M)$  is a homogeneous vector bundle by (3.1). Therefore it suffices to show the first statement. Put  $M_i = R^i \hat{\mathcal{S}}(H)$ . Since  $T_x^* H \cong H$  for all  $x \in X$ ,  $M_i \otimes P \cong M_i$  for all  $P \in \text{Pic}^\circ \hat{X}$  by (3.1). Hence by the lemma (3.3) below  $M_i$  is supported by a finite set of points. By Theorem 2.2, there is a spectral sequence whose  $E_2$  term is  $R\mathcal{S}^j(M_i)$  and which converges to zero when  $i + j \neq g$ . Since  $R\mathcal{S}^j(M_i) = 0$  if  $j \neq 0$ , the spectral sequence degenerates and  $M_i$  is zero for every  $i \neq g$ . q.e.d.

LEMMA 3.3. *Let  $M$  be a coherent sheaf on an abelian variety  $\hat{X}$ . If  $M \otimes P \cong M$  for all  $P \in \text{Pic}^\circ \hat{X}$ , then  $\text{Supp } M$  is finite.*

*Proof.* Suppose that  $\dim \text{Supp } M \geq 1$ . Take a curve  $C$  contained in  $\text{Supp } M$  and let  $\tilde{C}$  be its normalization. Put  $N = M \otimes_{\mathcal{O}_{\hat{X}}} \mathcal{O}_{\tilde{C}}$  and  $L = N /$  "the torsion part of  $N$ ". Then  $N$  is a vector bundle on  $\tilde{C}$  and  $N \otimes f^* P$

$\cong N$  for all  $P \in \text{Pic}^\circ \hat{X}$ , where  $f$  is the natural morphism  $\tilde{C} \rightarrow C \subset X$ . Therefore, taking the determinant of both sides, we see that  $(f^*P)^{\otimes r(N)}$  is trivial for all  $P \in \text{Pic}^\circ \hat{X}$ . This is a contradiction because the morphism  $f^*: \text{Pic}^\circ \hat{X} \rightarrow \text{Pic}^\circ \tilde{C}$  is not zero. q.e.d.

Combining Example 2.9 and 3.2, we have

**THEOREM** (Matsushima, Morimoto, Miyanishi, Mukai). *A vector bundle  $F$  on  $X$  is homogeneous if and only if  $F$  is isomorphic to  $\bigoplus_{i=1}^n P_i \otimes U_i$  for some  $P_1, \dots, P_n \in \text{Pic}^\circ X$  and unipotent vector bundles  $U_1, \dots, U_n$ .*

Let  $Y$  be an abelian variety,  $\varphi: Y \rightarrow X$  an isogeny and  $\hat{\varphi}: \hat{X} \rightarrow \hat{Y}$  the dual isogeny of  $\varphi$ .

(3.4) (Exchange of the direct image and the inverse image)

$$\begin{aligned} \varphi^* \circ \mathbf{R}\mathcal{S}_X &\cong \mathbf{R}\mathcal{S}_Y \circ \hat{\varphi}_* \\ \varphi_* \circ \mathbf{R}\mathcal{S}_Y &\cong \mathbf{R}\mathcal{S}_X \circ \hat{\varphi}^* . \end{aligned}$$

Proof. The second isomorphism is obtained from the first in the following manner. Replacing  $\varphi$  by  $\hat{\varphi}$  in the first isomorphism, we have  $\hat{\varphi}^* \circ \mathbf{R}\hat{\mathcal{S}}_Y \cong \mathbf{R}\hat{\mathcal{S}}_X \circ \varphi_*$ . By Theorem 2.2,

$$\begin{aligned} \varphi_* \circ \mathbf{R}\mathcal{S}_Y &\cong (-1_X)^* \circ \mathbf{R}\mathcal{S}_X \circ \mathbf{R}\hat{\mathcal{S}}_X \circ \varphi_* \circ \mathbf{R}\mathcal{S}_Y[g] \\ &\cong (-1_X)^* \circ \mathbf{R}\mathcal{S}_X \circ \hat{\varphi}^* \circ \mathbf{R}\hat{\mathcal{S}}_Y \circ \mathbf{R}\mathcal{S}_Y[g] \\ &\cong (-1_X)^* \circ \mathbf{R}\mathcal{S}_X \circ \hat{\varphi}^* \circ (-1_Y)^* \\ &\cong \mathbf{R}\mathcal{S}_X \circ \hat{\varphi}^* . \end{aligned}$$

Hence it suffices to show  $\varphi^* \circ \mathcal{S}_X \cong \mathcal{S}_Y \circ \hat{\varphi}_*$ . By the definition of  $\hat{\varphi}$ ,  $(\varphi \times 1)^* \mathcal{P}_X \cong (1 \times \hat{\varphi})^* \mathcal{P}_Y$ . Hence we have

$$\begin{aligned} \varphi^* \mathcal{S}_X(?) &= \varphi^* \pi_{X,*}(\mathcal{P}_X \otimes \pi_{\hat{X}}^*?) \\ &\cong \pi_{Y,*}((\varphi \times 1)^* \mathcal{P}_X \otimes \pi_{\hat{X}}^*?) \\ &\cong \pi_{Y,*}(1 \times \hat{\varphi})_*((1 \times \hat{\varphi})^* \mathcal{P}_Y \otimes \pi_{\hat{X}}^*?) \\ &\cong \pi_{Y,*}(\mathcal{P}_Y \otimes (1 \times \hat{\varphi})_* \pi_{\hat{X}}^*?) \\ &\cong \mathcal{S}_Y(\hat{\varphi}_*?) . \end{aligned}$$

$$\begin{array}{ccccc} & & Y & \xrightarrow{\varphi} & X \\ & & \uparrow \pi_X & & \uparrow \pi_X \\ \hat{X} & \xleftarrow{\pi_{\hat{X}}} & Y \times \hat{X} & \xrightarrow{\varphi \times 1} & X \times \hat{X} \\ \hat{\varphi} \downarrow & & 1 \times \hat{\varphi} \downarrow & & \downarrow \\ \hat{Y} & \xleftarrow{\pi_{\hat{Y}}} & Y \times \hat{Y} & \longrightarrow & X \times \hat{Y} \end{array}$$

q.e.d.

*Remark 3.5.* The second isomorphism can be also proved in the same way as the first by the isomorphism  $(1 \times \phi)_* \mathcal{P}_X \cong (\phi \times 1)_* \mathcal{P}_Y$  which was proved in [7].

*EXAMPLE 3.6.* If  $H$  is a homogeneous vector bundle on  $X$  (resp.  $Y$ ), so is  $\varphi^*H$  (resp.  $\varphi_*H$ ). Moreover the following diagram is (quasi-)commutative.

$$\begin{array}{ccc}
 C_X^f & \xrightarrow[\sim]{\mathcal{S}_X} & H_X \\
 \hat{\phi}_* \downarrow & & \downarrow \phi_* \\
 C_Y^f & \xrightarrow[\sim]{\mathcal{S}_Y} & H_Y
 \end{array}
 \qquad
 \begin{array}{ccc}
 C_X^f & \xrightarrow[\sim]{\mathcal{S}_X} & H_X \\
 \hat{\phi}^* \uparrow & & \uparrow \phi^* \\
 C_Y^f & \xrightarrow[\sim]{\mathcal{S}_Y} & H_Y
 \end{array}$$

Now we investigate other properties of the Fourier functor  $R\mathcal{S}$ . Let  $m: X \times X \rightarrow X$  be the group law of  $X$ . For  $\mathcal{O}_X$ -modules  $M$  and  $N$ , we define the Pontrjagin product  $M * N$  of  $M$  and  $N$  by  $M * N = m_*(p_1^*M \otimes p_2^*N)$ .  $*$  is a bifunctor from  $\text{Mod}(X) \times \text{Mod}(X)$  into  $\text{Mod}(X)$ . We denote its derived functor by  $\underset{=}{R}^*$ .

(3.7) (Exchange of the Pontrjagin product and the tensor product)

$$\begin{aligned}
 R\mathcal{S}\left(F \underset{=}{R}^* ?\right) &\cong R\mathcal{S}(F) \underset{=}{\otimes}^L R\mathcal{S}(?) \\
 R\mathcal{S}\left(F \underset{=}{\otimes}^L ?\right) &\cong R\mathcal{S}(F) \underset{=}{R}^* R\mathcal{S}(?) [g]
 \end{aligned}$$

where  $F \in D(\hat{X})$  and  $?$  is an object or a morphism in  $D(\hat{X})$ .

*Proof.* It suffices to show the first isomorphism. We use the isomorphism  $(1 \times m)^* \mathcal{P} \cong p_{12}^* \mathcal{P} \otimes p_{13}^* \mathcal{P}$ , where  $p_{ij}$ 's are projections of  $X \times \hat{X} \times \hat{X}$ .

$$\begin{aligned}
 R\mathcal{S}(F \underset{=}{R}^* ?) &\cong R\pi_{X,*}(\mathcal{P} \otimes \pi_{\hat{X}}^*(Rm_*(p_1^*F \otimes p_2^*?))) \\
 &\cong R\pi_{X,*}(\mathcal{P} \otimes R(1 \times m)_* p_{23}^*(p_1^*F \otimes p_2^*?)) \\
 &\cong R\pi_{X,*} R(1 \times m)_*((1 \times m)^* \mathcal{P} \otimes p_2^*F \otimes p_3^*?) \\
 &\cong Rp_{1,*}(p_{12}^* \mathcal{P} \otimes p_{13}^* \mathcal{P} \otimes p_2^*F \otimes p_3^*?) \\
 &\cong Rp_{1,*}(p_{12}^*(\mathcal{P} \otimes \pi_{\hat{X}}^*F) \otimes p_{13}^*(\mathcal{P} \otimes \pi_{\hat{X}}^*?)) \\
 &\cong R\mathcal{S}(F) \underset{=}{\otimes}^L R\mathcal{S}(?)
 \end{aligned}$$

$$\begin{array}{ccccc}
 X & \xleftarrow{\pi_X} & X \times \hat{X} & \xleftarrow{1 \times m} & X \times \hat{X} \times \hat{X} \\
 & & \downarrow \pi_{\hat{X}} & & \downarrow p_{23} \\
 & & \hat{X} & \xleftarrow{m} & \hat{X} \times \hat{X}
 \end{array}$$

q.e.d.



Let  $\Delta_X$  be the dualizing functor. Since the canonical module of  $X$  is trivial,  $\Delta_X(?) = R \mathcal{H}om_{\mathcal{O}_X} (?, \mathcal{O}_X) [g]$ .

(3.8) (Skew commutativity of  $R\mathcal{S}$  and  $\Delta$ )

$$\Delta_X \circ R\mathcal{S} \cong ((-1_X)^* \circ R\mathcal{S} \circ \Delta_{\hat{X}}) [g].$$

*Proof.* We use the isomorphism  $\mathcal{P}^{-1} \cong ((-1_X) \times 1_{\hat{X}})^* \mathcal{P}$  and the Grothendieck duality.

$$\begin{aligned} \Delta_X(R\mathcal{S}(?)) &= \Delta_X R\pi_{X,*}(\mathcal{P} \otimes \pi_{\hat{X}}^*?) \\ &\cong R\pi_{X,*} \Delta_{X \times \hat{X}}(\mathcal{P} \otimes \pi_{\hat{X}}^*?) \\ &\cong R\pi_{X,*}(\mathcal{P}^{-1} \otimes \pi_{\hat{X}}^* \Delta_{\hat{X}}?) [g] \\ &\cong R\pi_{X,*}((( -1_X) \times 1_{\hat{X}})^* \mathcal{P} \otimes \pi_{\hat{X}}^* \Delta_{\hat{X}}?) [g] \\ &\cong (-1_X)^* R\mathcal{S}(\Delta_{\hat{X}}?) [g] \end{aligned} \quad \text{q.e.d.}$$

EXAMPLE 3.9. Let  $U$  and  $V$  be unipotent vector bundles on  $X$ . As we saw in Example 2.9,  $\hat{U}$  and  $\hat{V}$  are artinian  $B$ -modules.  $U \otimes V$  and  $U^{\vee}$  are also unipotent vector bundles.  $\widehat{U \otimes V}$  is isomorphic to  $\hat{U} * \hat{V}$  and  $\widehat{U^{\vee}}$  is isomorphic to  $(-1_B)^* \Delta(\hat{U})$ .  $\hat{U} * \hat{V}$  is  $\hat{U} \otimes_k \hat{V}$  regarded as a  $\hat{B}$ -modules via the co-multiplication  $\mu: \hat{B} \rightarrow \hat{B} \hat{\otimes} \hat{B}$  of the formal group  $\hat{B}$ .  $-1_B$  is an automorphism of  $B$  induced by  $-1_{\hat{X}}: \hat{X} \rightarrow \hat{X}$  and  $\Delta$  is the dualizing functor of  $\text{Mod}(B)$ .

Next we investigate the relation between  $R\hat{\mathcal{S}}$  and  $\otimes N$  for a line bundle  $N$  on  $X$ . In the rest of this section we always assume that  $N$  is nondegenerate, i.e.,  $\chi(N) \neq 0$ . Hence  $\phi_N$  ([6] p. 59, p. 131) is an isogeny.

$$(3.10) \quad ?_{\ast}^R N \cong (\otimes N \circ \phi_N^* \circ R\hat{\mathcal{S}} \circ \otimes N \circ (-1_X)^*) (?)$$

where  $?$  is an object or a morphism in  $D(X)$ .

*Proof.* Consider the isomorphism  $\psi: X \times X \rightarrow X \times X$  such that  $\psi(x, y) = (x, x + y)$ . The morphisms  $p_1, p_2$  and  $m$  is sent by  $\psi$  to  $p_1, \mu$  and  $p_2$ , respectively, where  $\mu: X \times X \rightarrow X, \mu(x, y) = y - x$ . Hence  $?_{\ast} N = m_{\ast}(p_1^*? \otimes p_2^* N)$  is isomorphic to  $p_{2,*}(p_1^*? \otimes \mu^* N)$ . By the definition of the morphism  $\phi_N: X \rightarrow \hat{X}$ , we have  $m^* N \cong p_1^* N \otimes p_2^* N \otimes (1 \times \phi_N)^* \mathcal{P}$  and hence  $\mu^* N \cong p_1^*(-1_X)^* N \otimes p_2^* N \otimes (-1_X \times \phi_N)^* \mathcal{P}$ . Therefore the functor  $?_{\ast} N$  is isomorphic to  $(\otimes N) \circ \mathcal{S}_{X \rightarrow X, (-1_X \times \phi_N)^* \mathcal{P}} \circ (\otimes (-1_X)^* N)$ . By our assumption on  $N$ ,  $\phi_N$  is an isogeny, hence a flat morphism. Hence  $\mathcal{S}_{X \rightarrow X, (-1_X \times \phi_N)^* \mathcal{P}} = \phi_N^* \circ \hat{\mathcal{S}} \circ (-1_X)^*$ .  
q.e.d.

Since I.T. holds for  $N$  ([6] § 16),  $\hat{N}$  is a vector bundle on  $\hat{X}$ .  $\hat{N}$  is simple, i.e.,  $\text{End}_{\mathcal{O}_{\hat{X}}}(\hat{N}) \cong k$  by Corollary 2.5.

- PROPOSITION 3.11.** (1)  $\phi_N^* \hat{N} \cong (N^{-1})^{\oplus |\chi(N)|}$   
 (2)  $\hat{N}^{\oplus |\chi(N)|} \cong \phi_{N,*} N^{-1}$   
 (3) If  $|\chi(N)| = 1$ , e.g.,  $N$  is a principal polarization of  $X$ , then  $\hat{N} \cong (\phi_N^{-1})^* N^{-1}$ .  
 (4) There is an isogeny  $\pi: X \rightarrow Y$  of degree  $|\chi(N)|$  and a line bundle  $L$  on  $Y$  such that  $N \cong \pi^* L$ . Since  $\text{Ker}(\pi) \subset K(N)$ , there is an isogeny  $\tau: Y \rightarrow \hat{X}$  such that  $\tau \circ \pi = \phi_N$ . Then  $\hat{N}$  is isomorphic to  $\tau_* L^{-1}$ .

*Proof.* (1) is obtained from (3.10) by putting  $? = \mathcal{O}_X$ , because then the left side is  $\mathcal{O}_X \otimes_{\mathbb{Z}}^R N \cong R p_{2,*} (p_1^* N) \cong \mathcal{O}_X \otimes_k H^i(X, N)[-i]$  and the right side is  $N \otimes \phi_N^* \hat{N}[-i]$ , where  $i = i(F)$ . Replacing  $N$  by  $N^{-1}$  in (1), we have  $N^{\oplus |\chi(N)|} \cong (-\phi_N)^* \hat{N}^{-1}$ . Operating  $\wedge$  on both sides, we have (2) because  $\hat{N}^{\oplus |\chi(N)|} \cong (-\phi_N)_* (-1_X)^* N^{-1} \cong \phi_{N,*} N^{-1}$  by (3.4). Since  $\deg \phi_N = |\chi(N)|^2$ ,  $\phi_N$  is an isomorphism if  $|\chi(N)| = 1$ . Hence (3) is a special case of (1) or (2). For the first half of (4), see [6] § 23. It suffices to show the last statements. Since  $|\chi(L)| = 1$ , we have by (3),  $\hat{N} \cong \widehat{\pi^* L} \cong \hat{\pi}_* \hat{L} \cong \hat{\pi}_* \phi_{L,*} L^{-1}$ . On the other hand, since  $N \cong \pi^* L$ , we have  $\phi_N = \hat{\pi} \circ \phi_L \circ \pi$ . Since  $\phi_N = \tau \circ \pi$  and  $\pi$  is an isogeny, we have  $\tau = \hat{\pi} \circ \phi_L$ . Hence  $\hat{N} \cong \tau_* L^{-1}$ . q.e.d.

(3.10) gives us an interesting relation between two functors  $R\mathcal{S}$  and  $\otimes N$ .

$$(3.12) \quad (\otimes N \circ \phi_N^* \circ R\mathcal{S})^3 [g+i(N)] \cong (\otimes \mathcal{O}_X^{\oplus |\chi(N)|}) \circ \phi_N^* \circ \phi_{N,*}$$

Especially, when the group scheme  $K(N)$  is discrete, e.g., when  $\chi(N)$  is prime to the characteristic exponent  $p$  of the ground field, then we have

$$(3.12') \quad (\otimes N \circ \phi_N^* \circ R\mathcal{S})^3 [g+i(N)] \cong \left( \bigoplus_{x \in K(N)} T_x^{\oplus |\chi(N)|} \right)$$

*Proof.* First operate  $R\mathcal{S}$  on both sides of (3.10). By (3.7), we have

$$R\mathcal{S}(?) \stackrel{L}{\cong} R\mathcal{S}(N) \cong (R\mathcal{S} \circ \otimes N \circ \phi_N^* \circ R\mathcal{S} \circ \otimes N \circ (-1_X)^*) (?)$$

i.e.,

$$\otimes \hat{N} \circ R\mathcal{S}[-i(N)] \cong R\mathcal{S} \circ \otimes N \circ \phi_N^* \circ R\mathcal{S} \circ \otimes N \circ (-1_X)^* .$$

Operating  $(-1_X)^* \circ R\mathcal{S} \circ \phi_{N,*}$  from the right, we have

$$\begin{aligned} \otimes \hat{N} \circ \phi_{N,*}[-g-i(N)] &\cong R\mathcal{S} \circ \otimes N \circ \phi_N^* \circ R\mathcal{S} \circ \otimes N \circ R\mathcal{S} \circ \phi_{N,*} \\ &\cong R\mathcal{S} \circ \otimes N \circ \phi_N^* \circ R\mathcal{S} \circ \otimes N \circ \phi_N^* \circ R\mathcal{S} \\ &\cong R\mathcal{S} \circ (\otimes N \circ \phi_N^* \circ R\mathcal{S})^2 . \end{aligned}$$

Hence  $\otimes N \circ \phi_N^* \circ \otimes \hat{N} \circ \phi_{N,*} \cong (\otimes N \circ \phi_N^* \circ R\mathcal{S})^3 [g+i(N)]$ . By (1) of Proposi-

tion 3.11, we have  $\phi_N^* \hat{N} \cong (N^{-1})^{\otimes |x(N)|}$  and hence  $\phi_N^* \circ \otimes \hat{N} \cong (\otimes \phi_N^* \hat{N}) \circ \phi_N^* \cong (\otimes (N^{-1})^{\otimes |x(N)|}) \circ \phi_{N,*}$ , which proves our assertion. q.e.d.

In the case  $(X, L)$  is a principally polarized abelian variety,  $\hat{X}$  is identified with  $X$  by the isomorphism  $\phi_L: X \rightarrow \hat{X}$ . Hence  $R\mathcal{S}$  is considered to be an automorphism of  $D(X)$ . We summarize the results derived in this section for this case.

**THEOREM 3.13.** *Let  $(X, L)$  be a principally polarized abelian variety of dimension  $g$ . Then we have*

- (1)  $(R\mathcal{S})^2 \cong (-1_x)^*[-g]$ ,
- (2)  $R\mathcal{S} \circ \otimes P_x \cong T_x^* \circ R\mathcal{S}$  for  $x \in X$ ,
- (3)  $R\mathcal{S} \circ \varphi \cong \hat{\varphi} \circ R\mathcal{S}$  for an isogeny  $\varphi: X \rightarrow X$ .
- (4)  $R\mathcal{S} \circ \Delta \cong ((-1_x)^* \circ \Delta \circ R\mathcal{S})[g]$ , where  $\Delta$  is the dualizing functor of  $D(X)$ ,
- (5)  $\hat{L} \cong L^{-1}$  and  $\hat{L}^{-1} \cong (-1_x)^*L$ ,
- (6)  $(\otimes L \circ R\mathcal{S})^3 \cong [-g]$ .

(1) and (6) implies that the relation modulo the shift  $[ ]$  between two automorphisms  $R\mathcal{S}$  and  $\otimes L$  is same as the relation between the generators  $\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$  and  $\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$  of  $SL(2, \mathbf{Z})$ . In other words,

(3.14) if  $X$  is principally polarized, then  $SL(2, \mathbf{Z})$  acts on  $D(X)$  modulo the shift.

*Remark 3.15.* The relation between automorphisms of  $D(X)$  and semi-homogeneous vector bundles on  $X$  will be discussed in [5]. Some applications of (3.14) to the vector bundles on an abelian surface will be treated in a forthcoming paper.

**§4. Picard sheaves**

In this section as an application of Fourier functor, we calculate the cohomology of Picard sheaves and determine the moduli of deformations of Picard sheaves.

Let  $C$  be a nonsingular complete curve of genus  $\geq 2$ . We fix a point  $c$  of  $C$  and put  $\xi_n = \mathcal{O}_c(n(c))$ . We identify  $C$  with the subvariety  $\{(x) - (c) | x \in C\}$  of the Jacobian variety  $X=J(C)$  and also identify a sheaf on  $C$  with a sheaf on  $X$  supported by  $C$ . The subvariety  $W_i = \overbrace{C + \dots + C}^i$  of  $X$  is said to be the distinguished subvariety of dimension  $i$ , for  $0 \leq i \leq g - 1$ .

$W_{g-1}$  is a divisor of  $X$  and  $(X, L)$  is a principally polarized abelian variety of dimension  $g$ , where  $L = \mathcal{O}_X(W_{g-1})$ . We denote the canonical point of  $(X, L)$  by  $\kappa$ , that is,  $\kappa - W_{g-1} = W_{g-1}$ .

**DEFINITION 4.1.** The sheaf  $F_n = R^1\mathcal{S}(\xi_n)$  is called a Picard sheaf of rank  $g - n - 1$ .

Our definition of  $F_n$  is same as that in [8], because a normalized Poincaré bundle  $\mathcal{S}$  on  $C \times X$  is isomorphic to  $\mathcal{P}|_{C \times X}$ . Replacing  $c$  by another point  $c' \in C$ , we get another Picard sheaf  $F'_n$ .

**PROPOSITION 4.2.**  $F'_n \cong T_{n(c'-c)}^* F_n \otimes P_{c-c'}$

*Proof.* 
$$\begin{aligned} F'_n &= R^1\mathcal{S}(T_{c'-c}^* \hat{\xi}'_n) \\ &\cong R^1\mathcal{S}(\xi'_n) \otimes P_{c-c'} \\ &\cong R^1\mathcal{S}(\xi_n \otimes P_{nc'-nc}) \otimes P_{c-c'} \\ &\cong T_{n(c'-c)}^* F_n \otimes P_{c-c'} . \end{aligned} \quad \text{q.e.d.}$$

We summarize some fundamental properties of  $F_n$ .

**THEOREM 4.2** (See [8].)

(1)  $F_n$  is zero for  $n > 2g-2$ .  $\text{Supp } F_n$  is  $\kappa - W_{2g-2-n}$  for  $g-1 \leq n \leq 2g-2$ .  $\text{Supp } F_n$  is  $X$  and the rank of  $F_n$  at the generic point of  $X$  is  $g-n-1$  for  $n < g-1$ .  $F_n$  is locally free for  $n < 0$ .

(2) The  $i$ -th Chern class  $c_i(F_n)$  is rationally equivalent to  $W_{g-i}$  for  $i \leq g-1$ . Especially,  $\det F_n \cong L$  for  $n \leq g-1$ .

(3) The projective fibre space  $P(\alpha^* F_n)$  associated with  $\alpha^* F_n$  is isomorphic to the  $(2g-2-n)$ -th symmetric product  $\text{Sym}^{2g-2-n}(C)$ . Where  $\alpha$  is the automorphism of  $X$  for which  $\alpha(x) = \kappa - x$ .

By the following proposition, we can apply the theory of Fourier functor to Picard sheaves.

**PROPOSITION 4.3.** (1) For  $n \leq g-1$ ,  $F_n$  is  $\hat{\xi}_n$ , W.I.T. holds for  $F_n$ ,  $i(F_n) = g-1$  and  $\hat{F}_n \cong (-1_X)^* \hat{\xi}_n$ .

(2) For  $n \geq g-1$ ,  $F_n$  is isomorphic to  $\alpha^* \mathcal{E}xt_{\mathcal{O}_X}^1(F_{2g-2-n}, \mathcal{O}_X)$  and  $\mathcal{S}(\xi_n) \cong \alpha^* \mathcal{H}om_{\mathcal{O}_X}(F_{2g-2-n}, \mathcal{O}_X)$ .

(3)  $\mathcal{E}xt_{\mathcal{O}_X}^i(F_n, \mathcal{O}_X)$  is zero for  $i \geq 2$ ,  $n \geq g-1$ .

*Proof.* Since  $\dim \text{Supp } \hat{\xi}_n = 1$ ,  $R^i\mathcal{S}(\hat{\xi}_n)$  is zero for  $i > 1$ . On the other hand,  $\mathcal{S}(\hat{\xi}_n)$  is zero for  $n < g$  ([8] § 3). Hence, when  $n < g$ , W.I.T. holds for

$\xi_n$  and  $i(\xi_n) = 1$ . Therefore (1) follows from Corollary 2.4. Since  $\mathcal{A}(\mathcal{O}_C)$  is isomorphic to  $K_C[1] \cong \xi_{2g-2} \otimes P_*[1]$ ,  $\xi_n$  is isomorphic to  $\mathcal{A}(\xi_{2g-2-n} \otimes P_*)[-1]$ . Hence, by (3.8), we have

$$\begin{aligned} R\mathcal{S}(\xi_n) &\cong R\mathcal{S}(\mathcal{A}(\xi_{2g-2-n} \otimes P_*)[-1]) \\ &\cong ((-1_X)^* \Delta R\mathcal{S}(\xi_{2g-2-n} \otimes P_*))[-g-1] \\ &\cong (-1_X)^* T_*^*(\Delta R\mathcal{S}(\xi_{2g-2-n}))[-g-1] \\ &\cong \alpha^*(\Delta R\mathcal{S}(\xi_{2g-2-n}))[-g-1]. \end{aligned}$$

When  $n \geq g-1$ ,  $R\mathcal{S}(\xi_{2g-2-n})$  is isomorphic to  $F_n[-1]$  by (1). Hence we have

$$\begin{aligned} R\mathcal{S}(\xi_n) &\cong \alpha^*(R \mathcal{H}om_{\mathcal{O}_X}(F_{2g-2-n}[-1], \mathcal{O}_X)[g])[-g-1] \\ &\cong \alpha^* R \mathcal{H}om_{\mathcal{O}_X}(F_{2g-2-n}, \mathcal{O}_X). \end{aligned}$$

Therefore,  $R^i\mathcal{S}(\xi_n)$  is isomorphic to  $\alpha^* \mathcal{E}xt_{\mathcal{O}_X}^i(F_{2g-2-n}, \mathcal{O}_X)$ , which shows (2) and (3). q.e.d.

Applying the result in § 3 and § 4, we have the following three propositions.

**PROPOSITION 4.4** (Cohomology of Picard sheaf). *Assume that  $n \leq g-1$ .*

(1)  $h^g(X, F_n \otimes P_x) = 0$  for all  $x \in X$ . When  $0 \leq i \leq g-1$ , we have

$$h^i(X, F_n \otimes P_x) = \begin{cases} \binom{g-1}{i} & \text{if } -x \in C \\ 0 & \text{if } -x \notin C \end{cases}$$

(2)  $h^i(X, F_n \otimes L^{-1} \otimes P_x) = h^{i-g+1}(C, \xi_{n+g} \otimes P_{*+z})$  for all  $x \in X$

(3)  $h^i(X, F_n \otimes L \otimes P_x) = \begin{cases} 2g-n-1 & \text{for } i=0 \\ 0 & \text{for } i>0 \end{cases}$ .

*Proof.* By Proposition 2.7,  $H^i(X, F_n \otimes P_x)$  is isomorphic to  $\text{Ext}_{\mathcal{O}_X}^{i+1}(k(x), (-1_X)^*\xi_n)$ , which shows (1). By Corollary 2.5 and (5) of Theorem 3.13,  $H^i(X, F_n \otimes L^{-1} \otimes P_x) \cong \text{Ext}_{\mathcal{O}_X}^i(L \otimes P_{-x}, F_n)$  is isomorphic to  $\text{Ext}_{\mathcal{O}_X}^{i-g+1}(\widehat{L} \otimes P_{-x}, \widehat{F}_n) \cong \text{Ext}_{\mathcal{O}_X}^{i-g+1}(L^{-1} \otimes P_x, (-1_X)^*\xi_n)$ . Since  $L|_C \cong \xi_g$ , we have  $H^i(X, F_n \otimes L^{-1} \otimes P_x) \cong H^{i-g+1}(X, L \otimes P_{-x} \otimes (-1_X)^*\xi_n) \cong H^{i-g+1}(C, \xi_n \otimes (-1_X)^*L|_C \otimes P_x) \cong H^{i-g+1}(C, \xi_{n+g} \otimes P_{*+z})$ , which shows (2). In a similar manner, we have  $H^i(X, F_n \otimes L \otimes P_x) \cong \text{Ext}_{\mathcal{O}_X}^{i+1}((-1_X)^*(L \otimes P_x), (-1_X)^*\xi_n) \cong H^{i+1}(C, \xi_{n-g} \otimes P_{-x})$ . Since  $\text{deg } \xi_{n-g} = n-g < 0$ , we have by Riemann-Roch theorem,  $h^0(C, \xi_{n-g} \otimes P_{-x}) = 0$  and  $h^1(C, \xi_{n-g} \otimes P_{-x}) = 2g-n-1$ . Hence we have proved (3). q.e.d.

PROPOSITION 4.5 (Local property of Picard sheaf).

$$\mathrm{Tor}_i^{\mathcal{O}_X}(F_n, k(x)) \cong \begin{cases} H^1(C, \xi_n \otimes P_x) & i = 0 \\ H^0(C, \xi_n \otimes P_x) & i = 1 \\ \mathrm{Tor}_{i-2}^{\mathcal{O}_X}(\mathcal{S}(\xi_n), k(x)) & i \geq 2 \end{cases}$$

*Proof.* Assume that  $n \leq g - 1$ . Then we have by Proposition 2.7,  $\mathrm{Ext}_{\mathcal{O}_X}^i(k(x), F_n) \cong H^{i-g+1}(X, (-1_X)^*\xi_n \otimes P_{-x})$ . Hence by the duality theorem,  $\mathrm{Tor}_{\mathcal{O}_X}^i(F_n, k(x))$  is isomorphic to  $\mathrm{Ext}_{\mathcal{O}_X}^{g-i}(k(x), F_n) \cong H^{1-i}(C, \xi_n \otimes P_x)$ , which proves our assertion for  $n \leq g - 1$  because  $\mathcal{S}(\xi_n)$  is zero for  $n \leq g - 1$ . By what we have shown, the minimal resolution of  $F_n \otimes \mathcal{O}_{X,x}$  is

$$0 \longleftarrow F_n \otimes \mathcal{O}_{X,x} \longleftarrow \mathcal{O}_{X,x} \otimes_k H^1(C, \xi_n \otimes P_x) \longleftarrow \mathcal{O}_{X,x} \otimes H^0(C, \xi_n \otimes P_x) \longleftarrow 0.$$

By (2) of Proposition 4.3, the sequence

$$(4.6) \quad 0 \longleftarrow F_{2g-2-n} \otimes \mathcal{O}_{X,\alpha(x)} \longleftarrow \mathcal{O}_{X,\alpha(x)} \otimes H^0(C, \xi_n \otimes P_x)^\vee \longleftarrow \mathcal{O}_{X,\alpha(x)} \otimes H^1(C, \xi_n \otimes P_x)^\vee \longleftarrow \mathcal{S}(\xi_{2g-2-n}) \otimes \mathcal{O}_{X,\alpha(x)} \longleftarrow 0$$

is exact.

It is easy to see that the left three terms of (4.6) is the minimal resolution of  $F_{2g-2-n} \otimes \mathcal{O}_{X,\alpha(x)}$ . Hence  $\mathrm{Tor}_i^{\mathcal{O}_X}(F_{2g-2-n}, k(\alpha(x)))$  is isomorphic to  $H^i(C, \xi_n \otimes P_x)^\vee \cong H^{1-i}(C, K_C \otimes \xi_{-n} \otimes P_{-x}) \cong H^{1-i}(C, \xi_{2g-2-n} \otimes P_{\alpha(x)})$  for  $i = 0, 1$  and isomorphic to  $\mathrm{Tor}_{i-2}^{\mathcal{O}_X}(\mathcal{S}(\xi_{2g-2-n}), k(\alpha(x)))$ . Hence our assertion has been proved for  $n \geq g - 1$ , too. q.e.d.

PROPOSITION 4.7. Assume that  $n \leq g - 1$ . Then I.T. holds for  $F_n \otimes L$ , its index is zero and  $\widehat{F_n \otimes L} \cong \alpha^* F_{n-g} \otimes L^{-1}$ .

*Proof.* The first half has been proved in (3) of Proposition 4.4. By (6) of Theorem 3.13, we have  $(\otimes L \circ \mathbf{R}\mathcal{S} \circ \otimes L)(\widehat{F_n \otimes L}) = (\otimes L \circ \mathbf{R}\mathcal{S})^g(\xi_n)[1] \cong \xi_n[1-g]$ . Hence  $\widehat{F_n \otimes L}$  is isomorphic to  $(\otimes L^{-1} \circ \mathbf{R}\mathcal{S}^{-1} \circ \otimes L^{-1})(\xi_n)[1-g] \cong ((-1_X)^*\mathbf{R}\mathcal{S}(\xi_n \otimes L^{-1}) \circ \otimes L^{-1})[1] \cong ((-1_X)^*\mathbf{R}\mathcal{S}(\xi_{n-g} \otimes P_x) \otimes L^{-1})[-1] \cong \alpha^* F_{n-g} \otimes L^{-1}$ . q.e.d.

Next we consider the moduli of deformations of Picard sheaves. Define the functor  $\mathcal{S}pl_X$  from the category of schemes (of finite type over  $k$ ) into the category of sets by

$\mathcal{S}pl_X(T) = \{E \mid E \text{ is a } T\text{-flat coherent } \mathcal{O}_{X \times T}\text{-module and } E_t = E|_{X \times t} \text{ is simple for every } t \in T\} / \sim$ ,

for every scheme  $T$ , where  $E \sim E'$  if and only if  $E \cong E' \otimes_{\mathcal{O}_T} L$  for some line bundle  $L$  on  $T$ , and  $\mathcal{S}pl_X(f): \mathcal{S}pl_X(T') \rightarrow \mathcal{S}pl_X(T)$  is the usual pull back for every morphism  $f: T' \rightarrow T$ . For every simple coherent sheaf  $F$

on  $X$ ,  $\mathcal{S}_{pl_X^F}$  denotes the connected component of  $\mathcal{S}_{pl_X}$  containing  $F$ . The following is the main theorem in this section.

**THEOREM 4.8.** *Assume that  $n \leq g - 1$  and  $(*)$   $g(C) = 2$  or  $C$  is not hyperelliptic. Then  $\mathcal{S}_{pl_X^{F_n}}$  is represented by  $X \times X$  and the coherent sheaf  $\tilde{F}_n = p_{13}^* m^* F_n \otimes p_{13}^* \mathcal{P}$  on  $X \times (X \times X)$ .*

Let  $A_F: X \times \hat{X} \rightarrow \mathcal{S}_{pl_X^F}$  be the morphism of functors such that  $A_F(f, g) = T_f^* F_T \otimes P_g$  for every scheme  $T$  and  $T$ -valued point  $(f, g)$  of  $X \times \hat{X}$ , where we always identify a scheme  $S$  and the contravariant functor  $h_S$  on the category of schemes for which  $h_S(T)$  is the set of  $T$ -valued points of  $S$ , i.e., morphisms from  $T$  to  $S$ . Theorem 4.8 says that  $A_F$  is an isomorphism for  $F = F_n$  ( $n \leq g - 1$ ) under the assumption  $(*)$ . The following three lemmas are essential for the proof of the theorem.

**LEMMA 4.9.** *Picard sheaf  $F_n$  ( $n \leq g - 1$ ) is simple and we have*

$$\begin{aligned} \dim_k \text{Ext}_{\mathcal{O}_X}^1(F_n, F_n) &= 3g - 2 && \text{if } C \text{ is hyperelliptic} \\ &= 2g && \text{otherwise.} \end{aligned}$$

*Proof.* By Corollary 2.5 and Proposition 4.3, it suffices to show the equality for  $\dim_k \text{Ext}_{\mathcal{O}_X}^1(\xi_n, \xi_n)$ . Since there is a spectral sequence

$$H^i(X, \mathcal{E}xt_{\mathcal{O}_X}^j(\xi_n, \xi_n)) \Rightarrow \text{Ext}_{\mathcal{O}_X}^{i+j}(\xi_n, \xi_n),$$

we have the exact sequence

$$\begin{aligned} 0 \longrightarrow H^1(X, \mathcal{E}nd_{\mathcal{O}_X}(\xi_n)) &\longrightarrow \text{Ext}_{\mathcal{O}_X}^1(\xi_n, \xi_n) \longrightarrow H^0(X, \mathcal{E}xt_{\mathcal{O}_X}^1(\xi_n, \xi_n)) \\ &\longrightarrow H^2(X, \mathcal{E}nd_{\mathcal{O}_X}(\xi_n)) \longrightarrow 0. \end{aligned}$$

Since  $\mathcal{E}nd_{\mathcal{O}_X}(\xi_n)$  is isomorphic to  $\mathcal{O}_C$ ,  $H^2(X, \mathcal{E}nd_{\mathcal{O}_X}(\xi_n))$  is zero and we have

$$\begin{aligned} \dim_k \text{Ext}_{\mathcal{O}_X}^1(\xi_n, \xi_n) &= h^1(C, \mathcal{O}_C) + h^0(X, \mathcal{E}xt_{\mathcal{O}_X}^1(\xi_n, \xi_n)) \\ &= g + h^0(X, \mathcal{E}xt_{\mathcal{O}_X}^1(\xi_n, \xi_n)). \end{aligned}$$

**SUBLEMMA.** Let  $\xi$  be a line bundle on a subscheme  $C$  of  $X$ . Then there is a canonical isomorphism  $\varphi: \mathcal{E}xt_{\mathcal{O}_X}^i(\mathcal{O}_C, \mathcal{O}_C) \simeq \mathcal{E}xt_{\mathcal{O}_X}^i(\xi, \xi)$  for every  $i$ .

Since  $\mathcal{E}xt$  commutes with localizations, it suffices to give the canonical isomorphism in the case  $X$  is affine and  $\xi \cong \mathcal{O}_C$ . Let  $f: \mathcal{O}_C \simeq \xi$  be an isomorphism. Since  $\mathcal{E}xt_{\mathcal{O}_X}^i(*, *)$  is a bifunctor, we have two isomorphisms

$$\begin{aligned} f_a &= \mathcal{E}xt_{\mathcal{O}_X}^i(\text{id}, f): \mathcal{E}xt_{\mathcal{O}_X}^i(\mathcal{O}_C, \mathcal{O}_C) \simeq \mathcal{E}xt_{\mathcal{O}_X}^i(\mathcal{O}_C, \xi) \\ f_b &= \mathcal{E}xt_{\mathcal{O}_X}^i(f, \text{id}): \mathcal{E}xt_{\mathcal{O}_X}^i(\xi, \xi) \simeq \mathcal{E}xt_{\mathcal{O}_X}^i(\mathcal{O}_C, \xi). \end{aligned}$$

Put  $\varphi = f_b^{-1} \circ f_a$ . If  $g: \mathcal{O}_C \xrightarrow{\sim} \xi$  is another isomorphism, then there is a unit  $\bar{u}$  of  $\mathcal{O}_C$  such that  $g = f \circ (\times \bar{u})$ . There is an affine neighbourhood  $Y$  of  $C$  and a unit  $u$  of  $\mathcal{O}_Y$  whose image by the natural homomorphism  $\mathcal{O}_Y \rightarrow \mathcal{O}_C$  is  $\bar{u}$ . Since  $(g_b^{-1} \circ g_a)|_Y = ((\times u) \circ f_b|_Y)^{-1} \circ (f_a|_Y \circ (\times u)) = \varphi|_Y$  and  $\mathcal{E}xt_{\mathcal{O}_X}^i(\mathcal{O}_C, \mathcal{O}_C) \otimes \mathcal{O}_{X,x}$  is zero for every  $x \notin Y$ ,  $\varphi$  does not depend on the choice of the isomorphism  $f$ . This proves the sublemma.

By this sublemma, we have only to compute the dimension of

$$H^0(X, \mathcal{E}xt_{\mathcal{O}_X}^1(\mathcal{O}_C, \mathcal{O}_C)) \cong H^0(C, N_{C/X}).$$

There is a natural exact sequence

$$0 \longrightarrow (N_{C/X})^\vee \longrightarrow \Omega_X \otimes \mathcal{O}_C \longrightarrow K_C \longrightarrow 0.$$

Since  $\Omega_X$  is trivial, tensoring  $K_C$ , we have the exact sequence

$$0 \longrightarrow (N_{C/X})^\vee \otimes K_C \longrightarrow K_C^{\oplus g} \longrightarrow K_C^{\otimes 2} \longrightarrow 0.$$

In the long exact sequence

$$\begin{aligned} H^0(K_C)^{\oplus g} &\xrightarrow{\alpha} H^0(K_C^{\otimes 2}) \longrightarrow H^1((N_{C/X})^\vee \otimes K_C) \\ &\longrightarrow H^1(K_C)^{\oplus g} \longrightarrow H^1(K_C^{\otimes 2}) \longrightarrow 0, \end{aligned}$$

the map  $\alpha$  is just the natural map  $H^0(K_C) \otimes H^0(K_C) \rightarrow H^0(K_C^{\otimes 2})$ . By Riemann-Roch theorem, we have  $h^0(N_{C/X}) = h^1((N_{C/X})^\vee \otimes K_C) = \dim \text{Coker } \alpha + gh^1(K_C) - h^1(K_C^{\otimes 2}) = \dim \text{Coker } \alpha + g$ . In the case  $C$  is hyperelliptic,  $\dim \text{Coker } \alpha$  is  $g - 2$  and otherwise  $\alpha$  is surjective by a theorem due to Noether, [3] p. 502, which completes our proof. q.e.d.

LEMMA 4.10. *If  $n \leq g - 1$  and  $T_x^*F_n \otimes P_y \cong T_{x'}^*F_n \otimes P_{y'}$  for  $x, x', y, y' \in X$ , then  $x = x'$  and  $y = y'$ .*

*Proof.* The assumption implies that  $P_x \otimes T_{-y}^*\xi_n \cong P_{x'} \otimes T_{-y'}^*\xi_n$  by (3.1). Since  $\text{Supp } \xi_n = C$ ,  $y$  equals to  $y'$  and since  $\text{Pic}^\circ X \rightarrow \text{Pic}^\circ C$  is injective,  $x$  is equal to  $x'$ . q.e.d.

We denote the tangential map of  $A_F$  at  $(0, \hat{0})$  by  $\alpha_F$ . Since the tangent spaces of  $X$  at 0, of  $\hat{X}$  at  $\hat{0}$  and of  $\mathcal{S}_{\rho|_X}$  at  $F$  are identified with  $H^0(X, T_X)$ ,  $H^1(X, \mathcal{O}_X)$  and  $\text{Ext}_{\mathcal{O}_X}^1(F, F)$ , respectively,  $\alpha_F$  is a  $k$ -linear map from  $H^0(X, T_X) \oplus H^1(X, \mathcal{O}_X)$  into  $\text{Ext}_{\mathcal{O}_X}^1(F, F)$ .

LEMMA 4.11.  *$\alpha_{F_n}$  is injective for the Picard sheaf  $F_n$  ( $n \leq g - 1$ ).*

Assume that W.I.T. holds for  $F$ . By (3.1), we have  $T_x^*\widehat{F} \otimes P_y \cong T_y^*\widehat{F}$



$\otimes P_{-x}$ . This is easily extended to scheme valued points and we have  $T_f^* \widehat{F}_S \otimes P_g \cong T_g^* \widehat{F}_S \otimes P_{-f}$  for every scheme  $S$  and  $S$ -valued point  $(f, g)$  of  $X \times \widehat{X}$ . As a special case  $S = \text{Spec } k[\varepsilon]/(\varepsilon^2)$ , we have

PROPOSITION 4.12. *Assume that W.I.T. holds for a coherent sheaf  $F$  on  $X$ . Then the diagram*

$$\begin{array}{ccc} \alpha_F: H^0(X, T_X) \oplus H^1(X, \mathcal{O}_X) & \longrightarrow & \text{Ext}_{\mathcal{O}_X}^1(F, F) \\ & \downarrow j & \downarrow R^{\mathcal{S}} \\ \alpha_{\widehat{F}}: H^1(X, \mathcal{O}_X) \oplus H^0(X, T_X) & \longrightarrow & \text{Ext}_{\mathcal{O}_{\widehat{X}}}^1(\widehat{F}, \widehat{F}) \\ & \parallel & \\ & & H^0(\widehat{X}, T_{\widehat{X}}) \oplus H^1(\widehat{X}, \mathcal{O}_{\widehat{X}}) \end{array}$$

is commutative, where  $j(a, b) = (b, -a)$ .

By this proposition, the injectivity of  $\alpha_{F_n}$  is equivalent to that of  $\alpha_{\varepsilon_n}$ . Let

$$0 \longrightarrow H^1(X, \mathcal{E}_{nd_{\mathcal{O}_X}}(F)) \longrightarrow \text{Ext}_{\mathcal{O}_X}^1(F, F) \xrightarrow{\varepsilon} H^0(X, \mathcal{E}_{xt_{\mathcal{O}_X}^1}(F, F))$$

be the exact sequence obtained from the local-global spectral sequence with respect to Ext. The following proposition is easily verified.

PROPOSITION 4.13. (1)  $\alpha_F(H^1(X, \mathcal{O}_X))$  is contained in  $H^1(X, \mathcal{E}_{nd_{\mathcal{O}_X}}(F))$ .  
 (2) The diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^1(X, \mathcal{O}_X) & \longrightarrow & T_{X \times \widehat{X}, (0, \widehat{\delta})} & \longrightarrow & H^0(X, T_X) \longrightarrow 0 \\ & & \downarrow \beta_F & & \downarrow \alpha_F & & \downarrow \varepsilon \circ \gamma_F \\ 0 & \longrightarrow & H^1(X, \mathcal{E}_{nd_{\mathcal{O}_X}}(F)) & \longrightarrow & \text{Ext}_{\mathcal{O}_X}^1(F, F) & \xrightarrow{\varepsilon} & H^0(X, \mathcal{E}_{xt_{\mathcal{O}_X}^1}(F, F)) \end{array}$$

is commutative, where  $\beta_F$  and  $\gamma_F$  are the restrictions of  $\alpha_F$  to  $H^1(X, \mathcal{O}_X)$  and  $H^0(X, T_X)$ , respectively.

(3)  $\beta_F$  is equal to  $H^1(i)$ , where  $i$  is the natural homomorphism from  $\mathcal{O}_X$  into  $\mathcal{E}_{nd_{\mathcal{O}_X}}(F)$ .

(4)  $H^0(X, T_X)$  is the set of derivations of  $\mathcal{O}_X$ . For  $D \in \text{Der}_k(\mathcal{O}_X, \mathcal{O}_X)$ ,  $\gamma_F(D)$  is the extension class of

$$0 \longrightarrow F \longrightarrow F_D \longrightarrow F \longrightarrow 0,$$

where  $F_D$  is  $F \oplus F$  as a sheaf of abelian groups and regarded as an  $\mathcal{O}_X$ -module by  $a(m, m') = (am + D(a)m', am')$  for every  $a \in \mathcal{O}_X$  and  $(m, m') \in F \oplus F$ .

(5)  $\varepsilon \circ \gamma_F$  is equal to  $H^0(\tilde{\gamma}_F)$ , where  $\tilde{\gamma}_F$  is an  $\mathcal{O}_X$ -homomorphism from  $T_X$  into  $\mathcal{E}xt_{\mathcal{O}_X}^1(F, F)$  such that  $\tilde{\gamma}_F|_U$  is equal to  $\gamma_{F_U}: \text{Der}_k(\mathcal{O}_U, \mathcal{O}_U) \rightarrow \text{Ext}_{\mathcal{O}_U}^1(F_U, F_U)$  for every affine open subset  $U$  of  $X$ .

(6) If  $Y$  is a subscheme of  $X$  and  $F$  is a line bundle on  $Y$ , then  $\tilde{\gamma}_F$  is the composition of the natural morphisms  $T_X \rightarrow T_X \otimes \mathcal{O}_Y$  and  $T_X \otimes \mathcal{O}_Y \rightarrow N_{Y/X} \cong \mathcal{E}xt_{\mathcal{O}_X}^1(F, F)$ .

In the case  $F$  is  $\xi_n$ , a line bundle on  $C$ ,  $\beta_F = H^1[\mathcal{O}_X \rightarrow \mathcal{O}_C]$  is an isomorphism,  $H^0[T_X \rightarrow T_X \otimes \mathcal{O}_C]$  is also an isomorphism and by the exact sequence

$$0 \longrightarrow T_C \longrightarrow T_X \otimes \mathcal{O}_C \longrightarrow N_{C/X} \longrightarrow 0,$$

$H^0[T_X \otimes \mathcal{O}_C \rightarrow N_{C/X}]$  is injective. Hence by (6) of Proposition 4.13,  $\varepsilon \circ \gamma_F = H^0(\tilde{\gamma}_F)$  is an injection. Therefore by the diagram (2) of the proposition,  $\alpha_F$  is an injection. This completes the proof of Lemma 4.11.

For the proof of Theorem 4.8, we need the following general facts about the flat deformation of a simple coherent sheaf.

(4.14) (Relative representability of  $\mathcal{S}_{pl}$ ) Let  $f: V \rightarrow S$  be a proper integral morphism and  $F$  and  $G$  coherent  $\mathcal{O}_V$ -modules. Assume that  $F$  is  $S$ -flat and  $F \otimes k(s)$  is simple for every  $s \in S$ . Then there exists a subscheme  $W$  of  $S$  such that for every morphism  $\alpha: T \rightarrow S$ ,  $F_T$  is isomorphic to  $G_T \otimes_{\mathcal{O}_T} L$  with some line bundle  $L$  on  $T$  if and only if  $\alpha$  factors through the inclusion  $W \hookrightarrow S$ . We call  $W$  the maximal subscheme over which  $F$  and  $G$  are isomorphic to each other.

(4.15) (Pro-representability of  $\mathcal{S}_{pl}$ ) Let  $F$  be a simple coherent  $\mathcal{O}_X$ -module. The functor  $\mathcal{D}$  on artinian local rings  $A$  over  $k$  such that

$$\mathcal{D}(A) = \{E \mid E \text{ is an } A\text{-flat coherent } \mathcal{O}_{X_A}\text{-module such that } E \otimes_A A/m \text{ is isomorphic to } F\}/\text{isom.}$$

is representable by a complete local ring  $R$  whose Zariski tangent space  $t_R$  is canonically isomorphic to  $\text{Ext}_{\mathcal{O}_X}^1(F, F)$ . We call  $R$  the local moduli of  $F$ .

(4.16) (Jumping never happens) Let  $E$  be an element of  $\mathcal{S}_{pl_X}(T)$ . If  $E|_{X \times t} \cong F$  for every closed point  $t$  of an open dense subset  $U$  of  $T$ , then  $E|_{X \times t} \cong F$  for every  $t \in T$ .

The proofs are not so difficult and those of (4.14) and (4.15) are similar

to the case of simple vector bundles. The stronger fact that the étale sheafification of  $\mathcal{S}_{pl_{V/S}}$  is representable by an algebraic space has been proved in [1]. Since the fact does not make our business so easy, we prove our theorem directly by (4.14), (4.15) and (4.16).

*Step I.* The functor  $A_{F_n}$  is injective.

Let  $f$  and  $g$  be two morphism from  $T$  to  $X \times X$  such that  $A_{F_n} \circ f = A_{F_n} \circ g$ . Since  $X \times X$  is a group scheme and  $A_{F_n}$  is an  $X \times X$ -morphism with respect to the natural action of  $X \times X$  to  $\mathcal{S}_{pl_X^{F_n}}$ , we may assume that  $g$  is the constant map to  $(0, 0)$ . Let  $\Phi(F_n)$  be the maximal subscheme of  $X \times X$  over which  $\tilde{F}_n$  and  $p_1^*F_n$  on  $X \times (X \times X)$  are isomorphic to each other. Since  $A_{F_n} \circ f$  is the constant map to  $F_n$  by our assumption,  $f$  factors the inclusion  $\Phi(F_n) \hookrightarrow X \times X$ . By Lemma 4.10,  $\Phi(F_n)$  is supported by the origin  $(0, 0)$  and by Lemma 4.11, the tangent space of  $\Phi(F_n)$  is zero. Hence  $\Phi(F_n)$  is  $(0, 0)$  and  $f$  is zero. (It is easily seen that  $\Phi(F_n)$  is a group subscheme of  $X \times X$ . Hence Lemma 4.11 is not necessary for the proof of our assertion in the case  $\text{char } k = 0$ .)

*Step II.*  $A_{F_n}$  is an open immersion.

$A_{F_n}$  induces the homomorphism  $f: R \rightarrow Q$  of complete local rings, where  $(R, \mathfrak{m})$  is the local moduli of  $F_n$  and  $(Q, \mathfrak{n})$  is the completion of  $\mathcal{O}_{X \times X, (0,0)}$ . Since  $A_{F_n}$  is injective, the fibre  $Q/\mathfrak{m}Q$  of  $f$  is isomorphic to  $Q/\mathfrak{n}$ . Hence  $f$  is a surjection. By Lemma 4.9, we have

$$2g = \dim Q \leq \dim R \leq \dim t_R = 2g .$$

Hence  $\dim R = 2g$ ,  $R$  is regular and  $f$  is a bijection. For every morphism  $g: T \rightarrow \mathcal{S}_{pl_X^{F_n}}$ , by virtue of (4.14),  $T \times_{\mathcal{S}_{pl_X^{F_n}}}(X \times X)$  is representable by a scheme  $U$ . By what we have shown,  $\hat{\mathcal{O}}_{T, h(u)} \rightarrow \hat{\mathcal{O}}_{U, u}$  is an isomorphism for every  $u \in U$ .

$$\begin{array}{ccc} X \times X & \xrightarrow{A_{F_n}} & \mathcal{S}_{pl_X^{F_n}} \\ \uparrow \ell & & \uparrow g \\ U & \xrightarrow{h} & T \end{array} \quad \text{cartesian}$$

Hence  $h$  is étale. By Step I,  $h$  is an open immersion.

*Step III.*  $A_{F_n}$  is a closed immersion.

In the above situation, we have to show that  $U$  is a union of con-

nected components of  $T$ . Hence we may assume that  $T$  is irreducible and it suffices to prove that the set of  $k$ -rational points  $U(k)$  of  $U$  is empty or equal to  $T(k)$ . Hence we may also assume that  $T$  is reduced. Assume that  $U(k) \neq \emptyset$ . Since  $X \times X$  is an abelian variety, every rational map from  $T$  to  $X \times X$  is a morphism. Hence there is a morphism  $e = (e_1, e_2): T \rightarrow X \times X$  whose restriction to  $U$  is equal to  $\ell$ . Let  $\mu: X \times X \times \mathcal{S}_p l_X^{F_n} \rightarrow \mathcal{S}_p l_X^{F_n}$  be the natural action of  $X \times X$  on  $\mathcal{S}_p l_X^{F_n}$ . Put  $c = [T \xrightarrow{(-e, g)} X \times X \times \mathcal{S}_p l_X^{F_n} \xrightarrow{\mu} \mathcal{S}_p l_X^{F_n}]$ . Then  $c(U(k)) = \{F_n\}$  and hence by virtue of (4.16), we have  $c(T(k)) = \{F_n\}$ , that is,  $g(a) = T_{e_1(a)}^* F_n \otimes P_{e_2(a)}$  for every  $a \in T(k)$ . Hence  $U(k)$  is equal to  $T(k)$ .

*Step IV.*  $A_{F_n}$  is an isomorphism.

It suffices to show that  $A_{F_n}(k): (X \times X)(k) \rightarrow \mathcal{S}_p l_X^{F_n}(k)$  is a surjection. By the definition,  $\mathcal{S}_p l_X^{F_n}$  is connected. Hence, for every  $F \in \mathcal{S}_p l_X^{F_n}(k)$ , there exist a connected scheme  $T$  and a morphism  $g: T \rightarrow \mathcal{S}_p l_X^{F_n}$  such that  $g(T(k))$  contains both  $F$  and  $F_n$ . By what we have shown in Step II and Step III,  $g$  factor through  $A_{F_n}$ . Hence  $F$  is contained in  $\text{Im } A_{F_n}(k)$ .

We have completed the proof of Theorem 4.8.

*Remark 4.17.* Even if the condition (\*) does not hold,  $A_{F_n}(k)$  is bijective for  $n \leq g - 1$ . But if  $C$  is hyperelliptic and  $g(C) \geq 3$ , then the dimension of the tangent space of  $\mathcal{S}_p l_X^{F_n}$  is greater than  $2g$ , hence  $\mathcal{S}_p l_X^{F_n}$  is not reduced.

**§ 5. A characterization of Picard sheaf**

In this section we give a characterization of the Picard sheaf in the case  $g(C) = 2$ .

Let  $\xi_n$  be the same as in the beginning of § 4. There is a natural exact sequence

$$0 \longrightarrow \xi_{n-1} \longrightarrow \xi_n \longrightarrow k(0) \longrightarrow 0.$$

This gives the exact sequence

$$0 \longrightarrow \mathcal{S}(\xi_{n-1}) \longrightarrow \mathcal{S}(\xi_n) \longrightarrow \mathcal{O}_X \xrightarrow{f} F_{n-1} \longrightarrow F_n \longrightarrow 0.$$

If  $n \leq g - 1$ , then  $\mathcal{S}(\xi_n)$  is zero ([8] § 3). Hence, for  $n \leq g - 1$ , we have the exact sequence

$$(5.1) \quad 0 \longrightarrow \mathcal{O}_X \xrightarrow{f} F_{n-1} \longrightarrow F_n \longrightarrow 0.$$

By (1) of Proposition 4.4, both  $\dim \text{Hom}_{\mathcal{O}_X}(\mathcal{O}_X, F_n) = h^0(F_n)$  and  $\dim \text{Ext}_{\mathcal{O}_X}^1(F_n, \mathcal{O}_X) = h^{g-1}(F_n)$  is equal to 1 for  $n \leq g - 1$ . Hence we have

LEMMA 5.2. *Assume that  $n \leq g - 1$ . Then  $f$  is the unique (up to constant multiplications) nonzero homomorphism from  $\mathcal{O}_X$  into  $F_n$  and (5.1) is the unique nontrivial extension of  $F_n$  by  $\mathcal{O}_X$ .*

We denote the set  $\{T_x^*F_n \otimes P_y \mid x, y \in X\}$  by  $\Phi_n$ . The above lemma is generalized for members of  $\text{Pic}^\circ X$  and  $\Phi_n$ .

PROPOSITION 5.3. *Assume that  $n \leq g - 1$ .*

(1) *Every nonzero homomorphism  $f$  from  $P_x \in \text{Pic}^\circ X$  to  $F \in \Phi_{n-1}$  is injective and  $\text{Coker } f$  is isomorphic to a member of  $\Phi_n$ .*

(2) *If  $P_x \in \text{Pic}^\circ X, F \in \Phi_n$  and the exact sequence*

$$0 \longrightarrow P_x \longrightarrow F' \longrightarrow F \longrightarrow 0$$

*does not split, then  $F'$  is isomorphic to a member of  $\Phi_{n-1}$ .*

*Proof.* We prove only (2), because (1) can be proved in a quite similar manner. First we may assume that  $F = F_n$ . Since  $\text{Ext}_{\mathcal{O}_X}^1(F_n, P_x) \neq 0$ , we have by (1) of Proposition 4.4, that  $x$  belongs to  $C$  and  $\dim \text{Ext}_{\mathcal{O}_X}^1(F_n, P_x)$  is equal to 1. Since  $x \in C$ , there is a surjection  $\xi_n \rightarrow k(x)$  and we have the non-splitting exact sequence

$$0 \longrightarrow \xi_{n-1} \otimes P_x \longrightarrow \xi_n \longrightarrow k(x) \longrightarrow 0.$$

Operating  $R\mathcal{S}$ , we have the exact sequence

$$0 \longrightarrow P_x \longrightarrow T_x^*F_{n-1} \longrightarrow F_n \longrightarrow 0.$$

Since this does not split,  $F'$  is isomorphic to  $T_x^*F_{n-1}$ . q.e.d.

For every nontorsion coherent sheaf  $F$  on  $X$ , let  $\mu(F)$  denote the rational number  $r(F)^{-1} \text{deg}(\det F)|_C$ . Umemura has showed that  $F_n$  is  $\mu$ -stable for  $n \leq g - 1$  in the case  $g(C) = 2$  ([9]). The following theorem says that the converse is also true.

THEOREM 5.4. *Assume that  $g(C) = 2$  and  $F$  is a torsion free coherent sheaf with  $r(F) = r \geq 1$ ,  $\det F$  algebraically equivalent to  $\mathcal{O}_X(C)$  and  $\chi(F)$  zero. Then the following conditions are equivalent to one another:*

- 1)  *$F$  is  $\mu$ -stable, i.e.,  $\mu(E) < \mu(F)$  for every  $E \subseteq F$  with  $r(E) < r$ .*

- 1')  $F$  is  $\mu$ -semi-stable, i.e.,  $\mu(E) \leq \mu(F)$  for every  $E \subseteq F$ .  
 2)  $\text{Hom}_{\mathcal{O}_X}(F, P)$  is zero for every  $P \in \text{Pic}^\circ X$ . If  $H$  is a homogeneous vector bundle with  $r(H) < r$  contained in  $F$ , then the quotient  $F/H$  is torsion free.  
 3)  $F \cong T_x^* F_{1-r} \otimes P$  for some  $x \in X$  and  $P \in \text{Pic}^\circ X$ .

*Proof.* Obviously 1) implies 1'). Assume that  $F$  is  $\mu$ -semi-stable and  $H$  is a homogeneous vector bundle with  $r(H) < r$  contained in  $F$ . Since  $\mu(F) = 2/r$  is greater than  $\mu(H) = 0$ ,  $\text{Hom}_{\mathcal{O}_X}(F, H)$  is zero for every  $H \in \text{Pic}^\circ X$ . Let  $f: F \rightarrow F/H$  be the projection and  $T$  the torsion part of  $F/H$ . Then  $H' = f^{-1}(T)$  contains  $H$  and  $r(H')$  is equal to  $r(H)$ . We have a nonzero homomorphism  $\det H \rightarrow \det H'$ . Hence  $\det H' \cong \det H \otimes \mathcal{O}_X(D)$  for some divisor  $D \geq 0$ . Since  $\det H \in \text{Pic}^\circ X$  and  $F$  is  $\mu$ -semi-stable, we have

$$\frac{(\mathcal{O}_X(D), \mathcal{O}_X(C))}{r(H)} = \frac{(\det H', \mathcal{O}_X(C))}{r(H')} \leq \mu(F) = \frac{2}{r}.$$

Since  $D \geq 0$ ,  $(\mathcal{O}_X(D), \mathcal{O}_X(C))$  is not less than zero and different from one ([9] Lemma 3.5). Hence by the inequality above  $(\mathcal{O}_X(D), \mathcal{O}_X(C))$  is zero. Hence  $D = 0$  and  $\det H \rightarrow \det H'$  is an isomorphism. Since  $H$  is locally free,  $H'$  is isomorphic to  $H$ . Therefore  $T$  is zero. Hence 1') implies 2). 3) implies 1), because if  $F$  is  $\mu$ -stable, so is  $T_x^* F \otimes P$  for every  $x \in X$  and  $P \in \text{Pic}^\circ X$ . Hence we have only to show that 2) implies 3). We prove it by induction on  $r$ .

*Case  $r = 1$ .*  $\text{Sym}^2 C \rightarrow X$  is the blowing up whose center is the canonical point  $\kappa$ . Hence, by (3) of Proposition 4.2,  $F_0$  is isomorphic to  $N \otimes \mathfrak{m}_{X,0}$  with some line bundle  $N$ , where  $\mathfrak{m}_{X,0}$  is the maximal ideal of  $\mathcal{O}_X$  at 0. By (2) of Proposition 4.2,  $N$  is isomorphic to  $\mathcal{O}_X(C)$ . Since  $r(F) = 1$  and  $F$  is torsion free,  $F$  is contained in  $\det F$ . By the assumption,  $\det F \cong \mathcal{O}_X(C) \otimes P$  for some  $P \in \text{Pic}^\circ X$ . Since  $\text{length}(\det F/F) = \chi(\det F) - \chi(F) - 1$ ,  $\det F/F$  is isomorphic to the one dimensional sky-scraper sheaf  $k(x)$  supported by a point  $x \in X$ . Hence  $F$  is isomorphic to  $\det F \otimes \mathfrak{m}_{X,x} \cong T_x^* F_0 \otimes P \otimes P_{-x}$ .

*Case  $r \geq 2$ .* We need the following easy but useful lemma.

**LEMMA 5.5.** *Let  $F$  be a nonzero coherent sheaf on an abelian surface. If  $\chi(F)$  is zero, then  $\text{Hom}_{\mathcal{O}_X}(P, F)$  or  $\text{Hom}_{\mathcal{O}_X}(F, P)$  is not zero for some  $P \in \text{Pic}^\circ X$ .*

Assume the contrary. Since  $\dim \text{Hom}_{\mathcal{O}_X}(F, P)$  is equal to  $h^2(F \otimes P^{-1})$

by virtue of the duality theorem and since  $\chi(F \otimes P^{-1})$  is zero,  $h^i(F \otimes P^{-1})$  is zero for all  $P \in \text{Pic}^\circ X$ . Hence  $R^i \mathcal{S}(F)$  is zero for every  $i$ . This means that  $R\mathcal{S}(F)$  is zero. Therefore by virtue of Theorem 2.2,  $F$  is zero. This shows Lemma 5.5.

By the assumption and the above lemma,  $\text{Hom}_{\mathcal{O}_x}(P, F)$  is not zero for some  $P \in \text{Pic}^\circ X$ . Let  $f: P \rightarrow F$  be a nonzero homomorphism. Since  $F$  is torsion free,  $f$  is injective. Since  $P$  is homogeneous,  $F' = \text{Coker } f$  is torsion free. We have the exact sequence

$$0 \longrightarrow P \xrightarrow{f} F \xrightarrow{g} F' \longrightarrow 0 .$$

Since  $\text{Hom}_{\mathcal{O}_x}(F, P)$  is zero, this exact sequence does not split. Hence by (2) of Proposition 5.3, it suffices to show  $F' \cong T_x^* F_{2-r} \otimes Q$  for some  $x \in X$  and  $Q \in \text{Pic}^\circ X$ .  $F'$  is torsion free,  $\det F' = \det F \otimes P^{-1}$  is algebraically equivalent to  $\mathcal{O}_X(C)$  and  $\chi(F') = \chi(F) - \chi(P)$  is equal to zero. By induction hypothesis, we have only to show that 2) holds for  $F'$ . Obviously  $\text{Hom}_{\mathcal{O}_x}(F', Q)$  is zero for every  $Q \in \text{Pic}^\circ X$ . Let  $H'$  be a homogeneous vector bundle contained in  $F'$ .  $H = g^{-1}(H')$  is an extension of  $H'$  by  $P$ . Hence by the theorem after Lemma 3.3,  $H$  is also homogeneous. By the assumption on  $F$ ,  $F'/H' \cong F/H$  is torsion free. Hence 2) holds for  $F'$ , which completes the proof of Theorem 5.4. q.e.d.

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