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DOI: 10.1002/cssc.201((will be completed by the editorial staff))

# Dynamics of Pd on nanocarbon in the direct synthesis of H<sub>2</sub>O<sub>2</sub>

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This work aims to clarify the nano-structural transformation accompanying the loss of activity and selectivity for  $H_2 O_2$  synthesis of Pd and AuPd nanoparticles supported on N-functionalized carbon nanotubes (NCNTs). High resolution XPS allowed discriminating metallic Pd, electronically-modified metallic Pd hosting impurities and cationic Pd. This is paralleled by morphological heterogeneity observed by HRTEM where nanoparticles with 2 nm average size coexist with very small Pd clusters. The morphological distribution of Pd is modified after reaction through sintering and dissolution/redeposition pathways. The loss of selectivity is correlated to the extent of these processes occurring as a result of the particle

instability at the carbon surface. We assign beneficial activity in the selective hydrogenation of oxygen to the Pd clusters with a modified electronic structure as compared to Pd metal or Pd oxides. These beneficial species are formed and stabilized on carbons modified with N atoms in substitutional positions. The formation of larger metallic Pd particles not only reduces the number of active sites for the synthesis but enhances the activity for the deep hydrogenation to water. The structural instability of the active species is thus detrimental in a dual way. Minimizing the chance of sintering of Pd clusters by all means is thus the key to better performing catalysts.

### Introduction

Recently H<sub>2</sub>O<sub>2</sub> faces a growing demand in the chemical industry. The conventional multistep synthetic process via anthraquinone presents the disadvantage of high production cost that renders it practicable only for large-scale production. Also, the safety and cost-related issues of the transportation of H<sub>2</sub>O<sub>2</sub> from the production site to the end-user facility represent disadvantages of the antraquinone process. The on-site realization of a cost effective direct synthesis of H<sub>2</sub>O<sub>2</sub> from H<sub>2</sub> and O<sub>2</sub> could expand considerably the application of H<sub>2</sub>O<sub>2</sub>. The Sumitono-Eni process for the synthesis of caprolactame and the Dow-BASF process for the synthesis of propene oxide are examples of oxidation process which aims to use H2O2 produced on-site via a direct synthesis from  $H_2$  and  $O_2$ .  $\begin{tabular}{l} \end{tabular}^{[1,2]}$ From the large variety of literature and patents on the topic, [3,4] it is evinced that effective catalytic systems for the direct synthesis of H<sub>2</sub>O<sub>2</sub> from H<sub>2</sub>/O<sub>2</sub> involve the use of supported Pd catalysts in a slurry of H2O/CH3OH and in the presence of H<sub>2</sub>SO<sub>4</sub> and halides to achieve good activity and selectivity to  $H_2O_2$ . [5-7]

The major problems of the direct synthesis toward commercialization are related to the safety of the process as well as the selectivity. The apparent simplicity of the synthesis reaction is accompanied, in reality, by a complex network of thermodynamically favored deep hydrogenation reactions to  $H_2O$ , as shown in Scheme 1. Important contributions to the development of selective catalysts for the  $H_2O_2$  direct synthesis were made by Hutchings and co-workers, [8-10] through the application of encapsulated Pd-nanoparticles obtained via sol-

immobilization on C support, the introduction of C-supported Pd-Au nanoparticles and through the use of an acidic C support. However, for the industrialization of the process, the selectivity and the life time of these catalysts needs further improvement. [5] The shell-protected Pd-nanoparticles suffer instability, resulting in a rapid loss of the initial extraordinary high selectivity and activity through a quick transformation into an unprotected and thus unselective form. [8,11] The transient catalytic performance of the PVA-protected Pd nanoparticles is related to the peculiar dissolution of O, H, and C in the near surface region[12-17] that depends on the reactant gas phase chemical potential, [11-13] the fluid-dynamic conditions [11,18,19] and the morphological transformations of the nanoparticles following de-protection. [19-21] Scheme 1 illustrates how the desired selectivity of the hydrogenation reaction of oxygen depends on two chains of consecutive reactions. One chain is given by the ratio between dissociative oxygen activation and the abundance of hydrogen: more hydrogen helps forming faster the product but also leads to the thermodynamically more stable deep hydrogenation product. The other reaction chain is given by the ratio of desorption and re-adsorption of the desired product. It is obvious that a delicate optimization of the electronic structure of Pd will be critical. Reaction parameters but also solvents and kinetic site blockers as additives to the reaction system can be used for fine-tuning. The support can contribute through covalent interaction with Pd leading to wetting of particles as well as to strained systems.

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Recently we have shown <sup>[20]</sup> that by tuning the metal/C support interaction it is possible controlling the morphology and the stability of the nanoparticles thereby influencing the chemisorption of the reactants as well as the morphological transformation of the nanoparticles under reaction conditions. Functionalization of CNT by NH<sub>3</sub> at high temperature (873K) affords a Pd/support interaction with a beneficial effect on the activity in the direct H<sub>2</sub>O<sub>2</sub> synthesis <sup>[11]</sup> and in alcohol oxidation. <sup>[20-21]</sup> PVA-protected Pd nanoparticles on NCNT show comparatively high performance with respect to Pd nanoparticles on different supports (Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, SBA-15, CNT) <sup>[11,19]</sup>, but also only until they become de-protected.

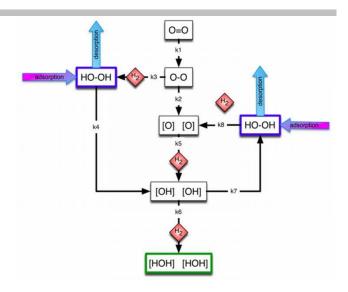
In this work we elucidate the role of the Pd/C support interaction and the effects of the interaction of reactants with the bare support by using two supports stemming from the same CNT that were modified with NH3 at 473K and 873K. These NCNT supports strongly differ in chemical behavior. [22] The NCNT873K are characterized by an overall hydrophobic surface with few strong N basic sites, while NCNT473K are characterized by overall hydrophilic surface with a distribution of N and O basic and acid sites. The implications of these chemical properties on the nanoparticle morphology and their reactivity will be discussed. Finally the direct modification of the Pd electronic structure by alloying is an orthogonal vector of modifying the reactivity. [10,23-28] In practical catalysts all these lines of control of reactivity will contribute to the performance making the functional discrimination and quantification of Pd species a challenging task that is attempted in this work.

To achieve this goal, a comparative structural analysis of differently performing Pd-based samples by means of transmission electron microscopy (TEM), X-ray photoemission spectroscopy (XPS) and microcalorimetry are applied. The samples are referred as Pd and PdAu on two types of Nfunctionalized carbon nanotubes, NCNT473K and NCNT873K, where 473K and 873K refer to the temperature of the functionalization in NH<sub>3</sub>. Thus, 4 samples are investigated in this work: Pd 873, Pd 473, PdAu 873 and PdAu 473. To follow the structural changes of Pd upon reaction, samples used for 12 min and for 3h of reaction were characterized deeply. These reaction times correspond to the states of highest selectivity and deactivation respectively. Indication as PdAu 473u refers to samples after 12 min of reaction, if not differently indicated. Samples after 3h H<sub>2</sub>O<sub>2</sub> decomposition are indicated as PdAu 473\*.



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Supporting information for this article is available on the WWW under http://dx.doi.org/10.1002/cssc.20xxxxxxx.((Please delete if not appropriate))



**Scheme 1.** Reaction network of the direct  $H_2O_2$  synthesis: direct formation of  $H_2O_2$  (a) and  $H_2O$  (b), and decomposition of  $H_2O_2$  to  $H_2O$  (c).

#### **Results and Discussion**

#### Catalytic data

In this work we focus on a comparative analysis of the catalytic performance of different Pd-based catalyst in the direct synthesis of  $\rm H_2O_2$  from  $\rm H_2/O_2$  in the ratio 1/7 and in the presence of  $\rm H_2SO_4$ . This ratio was chosen as it gives the highest long-term selectivity.  $^{[29]}$  At higher  $\rm H_2/O_2$  ratio, the initial reaction rate is higher but the selectivity decreases earlier as well as fast catalyst deactivation occurs.  $^{[29]}$  Also the addition of  $\rm H_2SO_4$  was beneficial for the  $\rm H_2O_2$  productivity with an increase of more than the 50%. The behavior of these catalysts in the  $\rm H_2O_2$  decomposition in the presence of  $\rm H_2$  and  $\rm H_2SO_4$  is also discussed.

Decomposition tests in N2 did not lead to any H2O2 conversion suggesting that on this type of catalysts the main decomposition pathway is the successive hydrogenation (Scheme 1). Table 1 summarizes the H<sub>2</sub>O<sub>2</sub> productivity and the selectivity for the catalysts investigated. For Pd\_873 the selectivity is high (93%) for the first 20 min of reaction time; an inversion in selectivity towards the formation of H<sub>2</sub>O occurs at around 50 min of reaction and decreases to 30% at 90 min of reaction. Pd\_473 is the most unselective catalyst showing a high selectivity of 93% within the first 10 min, which decreases rapidly reaching at the end of the reaction 7%, the lowest value among the catalysts. For PdAu\_873 the selectivity is stable for 40 min at 93% and decreases thereafter with a similar trend observed for the monometallic catalysts. PdAu 473 is exceptionally poor as it shows low catalytic activity; as consequence the selectivity decreases slowly and after 120 min of reaction the selectivity is only 20%. At the same selectivity the Pd based samples immobilized on NCNT873K reveal superior catalytic performance and among them the monometallic sample shows extraordinary catalytic activity also if compared to literature (table 2). [8-10, 30-32]

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<b>Table 1.</b> H <sub>2</sub> O <sub>2</sub> cumulative production and selectivity to H <sub>2</sub> O <sub>2</sub> .								
Time (min)	Pd_873		Pd_473		PdAu_873		PdAu_473	
	A B A B A B						В	
10 20 40 60 90 120 180	20     248     93     239     39     50     97     15     93       40     503     78     435     24     67     96     23     89       60     590     44     339     22     219     62     23     86       90     510     25     241     15     319     39     24     66       120     657     31     227     7     449     33     25     20							93 89 86 66 20
[A] H <sub>2</sub> O <sub>2</sub> produced [mol kg <sup>-1</sup> h <sup>-1</sup> ]; [B] selectivity [%]								

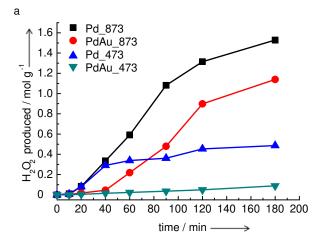
Figure 1 reports the cumulative production of  $H_2O_2$  (A) and of  $H_2O$  (B) vs time on stream in the synthesis test and the  $H_2O_2$  decomposed vs time on stream (C) in the decomposition tests. The synthesis and the decomposition reactions are characterized by an induction time of around 10 min; after this induction time, the rate of  $H_2O_2$  formation during the synthesis (indicated by the tangent to the curve) in the first 20 minutes increase rapidly for the monometallic samples. Above 20 min, among the monometallic samples the  $Pd_873$  shows better performance than the  $Pd_473$ : in fact for the latter sample the rate of  $H_2O_2$  formation after 50 min of reaction is very low, indicating the deactivation of the catalysts toward the direct  $H_2O_2$  synthesis.

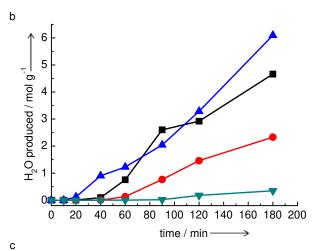
Table 2. Performance of Pd/C catalysts from literature							
Catalyst	Production (mol kg <sup>-1</sup> h <sup>-1</sup> )	Selectivity (%)	reaction time (min)	Reaction conditions/ O <sub>2</sub> :H <sub>2</sub>			
Pd{Au}/C [8]	1384	NA	0.5	Batch/2			
Γαγιαγο	143	NA	30				
PdAu/C [10]	175	>98	30	Batch/2			
Pd/C <sup>[31]</sup>	110	80	30	Batch/2			
Pd/C <sup>[32]</sup>	98	NA	30	Batch/2			
PdAu/C <sup>[32]</sup>	102	NA	30	Batch/2			

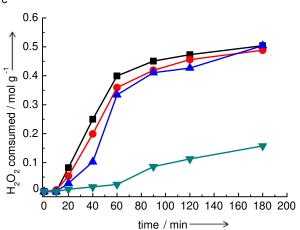
The PdAu\_873 in the direct  $H_2O_2$  synthesis is characterized by a slower initial reactivity below 40 min which increases rapidly at longer times.

All catalysts apart from the PdAu\_473 sample exhibit a similar performance in the  $H_2O_2$  hydrogenation (Figure 1C). However, the  $H_2O$  yields in the direct synthesis are much higher than in the decomposition test suggesting that in the direct synthesis, the reaction to water via enhanced dissociation of oxygen is the predominant pathway as compared to the consecutive deep hydrogenation of readsorbed  $H_2O_2$  (Scheme 1).

For the Pd\_473 sample both yields in the hydrogenation of  $H_2O_2$  and in the direct synthesis exhibit similar trends. The decomposition of  $H_2O_2$  under  $H_2$  may thus occur on the same sites that convert selectively  $O_2$  into  $H_2O_2$  during the synthesis. Alternatively two hypothetically different sites for both reactions should be present in similar amounts and similar intrinsic conversion efficiencies.







**Figure 1.** (A) mol of  $H_2O_2$  formed during the  $H_2O_2$  synthesis from a mixture of  $H_2/O_2$  1/7. B) mol of  $H_2O$  formed during the  $H_2O_2$  synthesis from a mixture of  $H_2/O_2$  1/7. C) mol of  $H_2O_2$  decomposed during  $H_2O_2$  decomposition test in the presence of  $H_2$  (for: Pd\_873 (black curve); Pd\_473 (blue curve); PdAu\_873 (red curve); PdAu\_473 (green curve).

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During the synthesis, already after 20 min of reaction, some chemical modification of the Pd favours the unselective direct conversion of  $O_2$  to  $H_2O$  while these modifications are inactive for the  $H_2O_2$  hydrogenation/decomposition.

The maximum yield to H<sub>2</sub>O in the decomposition test for the Pd\_873, sample is only slightly higher than for the other samples and the rate decreases after 50 min of reaction. In the direct synthesis the rate of H<sub>2</sub>O<sub>2</sub> formation still increases after 50 min of reaction and only decreases after 100 min. Therefore after 50 min of reaction under H2, the 1e- transfer sites for the hydrogenation of H<sub>2</sub>O<sub>2</sub> are lost, while under O<sub>2</sub>/H<sub>2</sub> the surface is still able to selectively activate O<sub>2</sub> to H<sub>2</sub>O<sub>2</sub>. This indicates the dynamics of the Pd species being activated to different reactivity in hydrogen and mixtures of H2 and O2. The underlying mechanism is associated with a modification of the Pd in the presence of oxygen forming likely sub-surface compounds, as will be shown later on, resulting in a modification of the electronic surface structure. Without this sub-surface oxygen a Pd hydride is assumed to be the operating state of the metal. The fact that such transformations occur readily at ambient temperature indicates the relevance of the small size of the beneficial active particles. In summary, we distinguish for all samples three regions of reactivity correlated to different structural changes: the region from 0 to 10 min of reaction time in which the selectivity is high for all catalysts with low activity for both synthesis and decomposition.

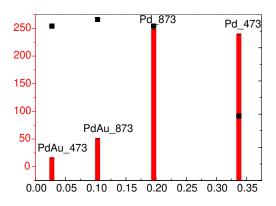
After this induction time, the region between 10 and 40 min is dominated by the formation of  $H_2O_2$ , with selectivity above 90% for all catalyst except for Pd\_473. At longer reaction times we observed a fast decrease of the selectivity. More precisely, the change of selective behaviour occurs at different time: around 10 min for the Pd\_473; around 50 min for the Pd\_873 and after 75 min for the PdAu\_873.

The exposed metal surface area was determined by CO chemisorption for the used samples after 12 min of reaction as an attempt to normalize the catalytic data. Figure 2 reports the  $H_2O_2$  produced and the selectivity to  $H_2O_2$  at 20 minutes versus the reactive surface area for all samples investigated. No direct correlation was found between the exposed surface area and the productivity to  $H_2O_2$ : for instance the  $Pd_873$  shows similar productivity than the  $Pd_473$  although the last one exhibits a higher surface area. This indicates that the number of active sites is not proportional to the number of  $Pd_873$  shows to expect inhomogeneity in the geometric and electronic structures as origin of this behaviour.

#### Morphology of Pd/NCNT

The microstructure of the samples fresh and after catalytic reaction is reported in this section. In agreement with earlier literature, [20, 33] the Pd and Au nanoparticles exhibit fcc structure and expose mainly {111} and {200} planes. The nanoparticles are interacting with the NCNT surface and are partially decorated at their surface by the C-overlayer from the PVA as shown in Fig.3A for the PdAu\_473. The HAADF-STEM

image Fig.3B reveals besides the nanoparticles visible in the HRTEM, additional metal atoms decorating the surface of the NCNT as well as the Au nanoparticles as indicated by the arrows. The EDX mapping (Fig.3C for the PdAu\_473 and Fig.S1 for the PdAu\_873) reveals that the nanoparticles are composed by both Pd and Au while the atomically dispersed metal species on the C support are only Pd. The capability of the carbon surface to immobilize Pd depends strongly on its surface chemistry, i.e. the distribution of defects including functional groups. This implies morphological and size



**Figure 2.**  $H_2O_2$  cumulative production (molKg<sup>-1</sup>h<sup>-1</sup>) and selectivity at 20 min vs specific Pd surface area (m<sup>2</sup>g<sup>-1</sup>) as determined by CO chemisorption.

heterogeneities of the metal nanoparticles as consequence of the distribution of surface species at the carbon support. [20,22] The functional groups on the carbon surface act as ligands for clustered or atomically dispersed Pd (Fig.3B-C). This immobilization pathway is favoured on hydrophilic surface through ion-exchange such as C-O-H for C-O-Pd. In the case of the sol-immobilization route of preformed nanoparticles, the interaction between the initial PVA-protected nanoparticles and the carbon surface should be realized. Its absence leads to agglomeration and it is pronounced among the monometallic samples on the NCNT473 support (Fig. S2). Figure 4A exemplifies a typical morphology that distinguish Pd from Au: Au forms always well-shaped round nanoparticles with little contact to the support independently of the particles size (nanoparticles with higher contrast) In contrast small Pd nanoparticles assume a raft-like morphology on the NCNT (Pd nanoparticles are identified by the lower contrast) where strong metal-support interaction occurs. [33] In agreement with a previous report on Au and Pd nanoparticles synthesized via sol-immobilization, [34] the distribution of the particle sizes in the fresh monometallic Pd catalysts is much narrower than for the fresh bimetallic catalysts and the average particles size is also smaller (Table 3).



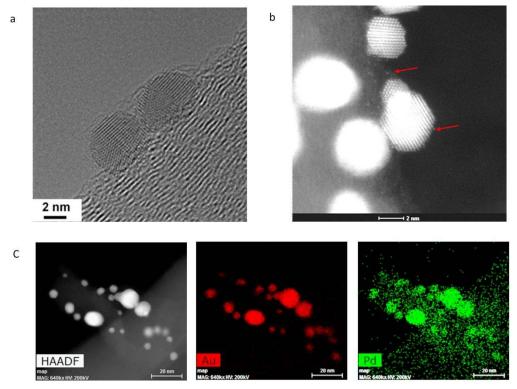


Figure 3 HRTEM on PdAu\_473 (A) and HAADF-STEM (B). EDX mapping (C).

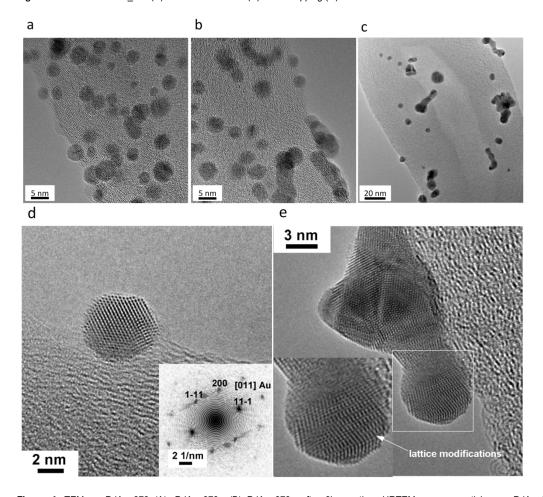


Figure 4. TEM on PdAu\_873 (A); PdAu\_873u (B) PdAu\_873u after 3h reaction; HRTEM on nanoparticles on PdAu\_873 (D); HRTEM on sintered nanoparticles on PdAu\_873u after 3h reaction (E).

Table 3. Average particle diameter [nm] from HAADF-STEM.							
	Pd_873	Pd_473	PdAu_873	PdAu_473			
fresh	1.7	2.3	7.8	4.0			
	±0. 5	±0. 5	±4.8	±1.6			
used 12	1.8	2.9	3.8	3.8			
min	±1.4	±0.9	±0.9	±1.5			

The impact of the reaction conditions on the particles morphology accounts for two morphological modifications. Initially, the detachment of the protective C-overlayer from the nanoparticles in the liquid phase reaction allows the particles to agglomerate when no strong metal-support interaction is established.

We can observe the transformation of such particles in the TEM image in Figure 4 for the fresh PdAu\_873 (A), the used PdAu\_873u at 12 min reaction (B) and after 3 h reaction (C). Already after 12 min of  $H_2O_2$  synthesis, the catalyst PdAu\_873 suffers agglomeration of the nanoparticles. A more severe morphological change occurs after 3h of reaction due to sintering. The HRTEM for PdAu\_873 and PdAu\_873u after 3h of reaction are reported in (D) and (E) and emphasize the multiple twinning following sintering through particle coalescence.

The extent of the morphological changes induced by the reactive atmosphere is more pronounced for the monometallic samples. [33] The particles size distribution in Figure S3 shows for the monometallic Pd\_873 after 12 min reaction substantial agglomeration although small particles are still abundantly present (Figure S3 A, B). The frequency distribution of the radius evolves into a bimodal form with a high abundance of small nanoparticles and few very big nanoparticles in a broad size range. In the case of the Pd\_473, the particle radius distribution became broader but maintains a Gaussian form. The average particle size for all catalysts is summarized in Table 3. The capability of the NCNT to stabilize the Pd nanoparticles giving rise to the occurrence of small particles is correlated to the nature of the N functional groups. The Pd 873 sample suffers more agglomeration than the Pd 473. However, this is not due to the strength of the metal-support interaction in the first place, but we attribute this to the higher ion exchange capacity on the NCNT473 which allows the redeposition of dissolved cationic Pd (see XPS section). A respective contrast is also observed in the HAADF STEM for PdAu 473u after 3 h of H<sub>2</sub>O<sub>2</sub> synthesis (Figure 5). The cloudy contrast, which was less pronounced in the fresh samples (Figure 3C), arises from molecular Pd species with ligands of

light atoms (C, O, H) resulting from dissolution/re-deposition of Pd in the liquid phase reaction.  $^{[35]}$ 

Similar morphological transformations are observed for the PdAu\_473\* sample (after 3h of  $\rm H_2O_2$  decomposition test) as characterized by TEM, HAADF-STEM and EDX mapping (Fig. S4). TEM indicates severe sintering after 3h of decomposition reaction and the STEM image shows besides big particles some agglomerates of small nanoparticles representing likely Pd compounds with here invisible light atom ligands. The EDX mapping on this region however reveals intensity from both Pd and Au.

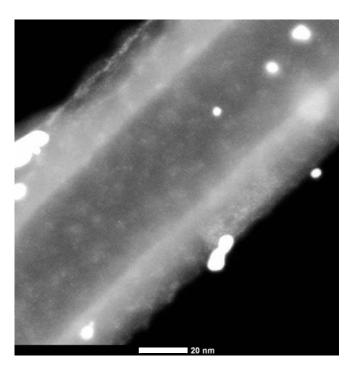


Figure 5. HAADF-STEM on PdAu\_473u after 3 h of reaction.

In summary the reactive atmosphere induces several processes affecting the particles size distribution: agglomeration of particles carrying ligands and sintering of bare nanoparticles less stabilized at the NCNT surface. Dissolved Pd are re-deposited as small Pd clusters at the hydrophilic sites of the NCNT surface. In addition some strongly bound very small Pd nanoparticles with strong metal-support interaction remain intact as small entities even after extended reaction testing.



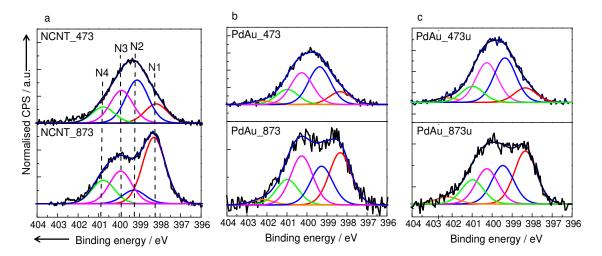


Figure 6. N1s XP spectra for: NCNT (A), PdAu samples fresh (B) and used after 12 min of reaction (C); NCNT functionalized at 473K (top) and 873 (bottom).

#### Nature of the nitrogen species on NCNT by XPS

The N1s spectra for the two N-CNTs were previously published in [22] and are here reproduced in Figure 6A together with the spectra after Au and Pd loading in Figure 6B and for used catalysts in Figure 6C. A broad distribution of nitrogen species is introduced by the NH<sub>3</sub> treatment as indicated by the broad N1s spectrum: the pyridinic nitrogen region (labelled as N1, at about 398.5eV); the N-H bond region (labelled as N2, at about 399.2eV) includes amide and amine moieties; the pyrrol region (labelled as N3, at about 400.1eV) composes the contribution of pyridone, lactame and pyrrol functional groups; labelled as N4 at 401-403 eV is the quaternary nitrogen. The thermally most stable N species contributes to this last component. [36] Furthermore, a peak with a binding energy shift of +5 eV compared to pyridine is assigned to pyridine oxide.

At 473 K treatment temperature, CNH aliphatic moieties are prevalent (N2 and N3 components), while at 873 K, substitutional N i.e. pyridine-like N is enhanced (N1) as well as the most thermally stable N4 components.

The N1s spectra after the sequential Au and Pd immobilizations are reported in Figure 6B. While for the NCNT\_473 the distribution of N species remains unaffected by the metal immobilization (Figure 6B top), the N1s line-shape of the NCNT 873 is modified after the immobilization: the relative abundance of the N1 components decreases and the N2 component increases (Figure 6B bottom). One may conclude that while on the Pd 873 the interaction of metal species occurs through the N atoms, in the case of the Pd 473, the interaction occurs through the O atoms at the carbon surface. Surprisingly, exposure to the reactive atmosphere for 12 min (i.e. H<sub>2</sub>O<sub>2</sub>) did not significantly change qualitatively and quantitatively the nitrogen species distribution (Table S1). This is observed also for the monometallic samples. [33] It is concluded that the nitrogen species are either too stable for oxidation and with respect to the aggressive nature of some oxygen intermediates are not accessible for oxidation as they are involved in binding the metallic species.

### Nature of the Pd species on NCNT by XPS

The bonding state of Pd was investigated by high resolution X-ray photoelectron spectroscopy. Figure 7 shows the fitted Pd3d core level spectra for the fresh and used samples after 12 minutes of reaction.

For the fresh samples, the feature below 336 eV can be fitted with only one Doniach–Sunjic component assigned as Pd(I) (magenta). To fit the region of the spectrum above 336 eV, it is necessary to use two components with a Gauss-Lorentz peak profile that are indicated as Pd(II) in blue and Pd(III) in ocher yellow.

In the case of monometallic samples, the BE of the Pd(I) is found at around 335.55  $\pm$  0.05 eV and the FWHM is 1.4 eV. This value is slightly shifted to higher BE and broader with respect to the reported bulk metallic Pd phase (335 eV) for Pd foil, [15-17, 37] clusters [38-39] or single crystal. [40-43] Broadening and shift to higher BE was reported as an initial state effect due to charge transfer from Pd to hosting impurities (i.e. C from the support or overlayer) [40-45, 46-48] or alloving. [38-39,46] A particles size effect due to final-state screening of core holes would occur, should the Pd particles not be electronically connected to the semimetal carbon. [43-44, 47] A similar shift of the Pd3d core level was reported for Pd nanoparticles in the range of 3-4 nm. [49] The FWHM of the Pd3d5/2 peak reported in literature was 1.26 eV for nanoparticles smaller than 1 nm deposited on HOPG. [49] We can thus assign the Pd(I) species to a distribution of monometallic nanoparticles with a varying extent of interaction with the carbon surface.

In the case of the bimetallic samples, the Au4f spectra are well fitted with only one component and as no significant changes were observed in the Au4f spectra among the samples, those are not reported.

The single Au4f7/2 peak is found at 83.8 eV (FWHM 0.8 eV) and the Pd3d5/2 is observed at 335.1 eV  $\pm$  0.05 eV (FWHM 1.2 eV). A similar Au4f binding energy was reported for clean Au foil  $^{[37],49]}$  while alloying was reported to cause a shift to lower binding energy in the range of 0.2-0.7 eV.  $^{[46]}$  A downward shift of Pd 3d positions due to alloying was reported of about 0.2 eV.  $^{[37]}$  We did not observe such alloy formation effects as the single Au4f line is well in agreement with monometallic gold. The effect of gold on the electronic

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structure of Pd is however more obvious in the Pd3d line where the observed downward shift of around 0.4 eV indicates inter-diffusion of atomic Pd into the Au. The absolute BE for the Pd3d line in the PdAu nanoparticles is slightly higher than the reported value. [37]

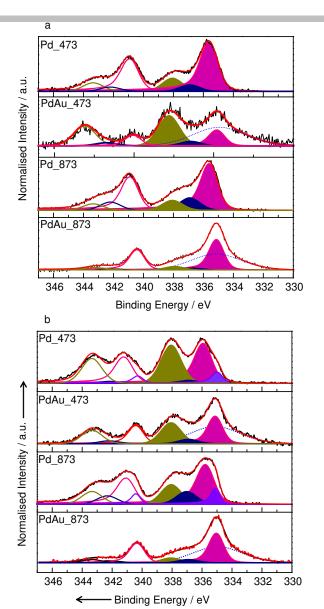
The bimetallic particles are homogeneous and only weakly interacting with the support as also suggested from the TEM observations. They may be considered as gold diluted with Pd atoms. The monometallic particles are in part more strongly interacting with the support as also seen by the raft structures in the TEM. Less strongly interacting species with a distribution of sizes across the limits for finale state effects (a few nm) exist in addition being also well compatible with the TEM observations

The Pd (II) and Pd(III) species are found at  $336.9 \pm 0.05$  eV and at  $338 \pm 0.05$  eV with a FWHM of 1.6 eV for all samples. These findings can be summarized as follows. To assign the chemical nature of Pd(II) and Pd(III), we first excluded the presence of differential charging by applying a bias according to the method proposed in. [50] Depth profiling experiments (Figure S 5A-B ) reveal that the component Pd(II) is more abundant in the top nm layer (electrons KE 300 eV), whereas Pd(I) and Pd(III) are equally abundant in the 2.5 nm deeper layer.

The existence of surface oxides of Pd has been demonstrated in earlier studies  $^{[44,\ 51,\ 52]}$  and is thus likely to be relevant also here. A Pd 3d core-level shift due to O on Pd was found at 337 eV for nanoparticles on HOPG  $^{[44]}$  and for PdO,  $^{[51]}$  nanoparticles while from an in-situ study on Pd(111) and on a Pd foil an oxygen-induced component appears at 336.25 eV.  $^{[40-41]}$  In-situ studies on the interaction of O2 and CO  $^{[52]}$  with the Pd surface for large Pd nanoparticles (15 and 35 nm) reported a PdC phase with a +0.6 eV chemical shift, while the Pd-O phase gave rise to a +1.3 eV shift in agreement with the observations on the single crystal. It may thus be concluded that sub-surface compounds of C and O with the Pd nanoparticles give rise to the spectral components that cannot be assigned to metallic species.

In order to further understand the nature of these species, we have carried out an in-situ temperature-programmed desorption (TPD) XPS experiment on the sample PdAu 473K\* (Figure S5). This sample was chosen as it presents the largest abundance of the Pd(III) component. Annealing in UHV up to 523K partly reduced the Pd(II) and at the same time the C-O species in the C1s and the O1s spectra decreased suggesting that this component might be correlated with O species on the surface and in the near surface region. This assignment to sub-surface species is in-line with the increase of this species under oxygen at 523K (Figure S5D). Similarly, the reduction of the Pd\_873 by H2 at 0.1 mbar pressure reduces the abundance of these species (Fig. S6). The Pd(III) component decreases only slightly upon annealing at 523K. Changing from UHV to O2 atmosphere at 523K results in a small change of the Pd(III) component.

Therefore we can assume that the Pd(I) species describes an electronically modified Pd by impurities from C support. Pd(II) arises from an electronically modified Pd metal form caused by the intercalation of oxygen in and underneath the surface.



**Figure 7.** Pd3d XP core level spectra for the samples fresh (A) and after 12 minutes of reaction (B) at KE 600 eV. Fitting: Pd(0) (violet peak);Pd(I) (magenta peak); Pd(II) (blue peak); Pd(III) (ocher yellow peak); dashed line in PdAu873 and PdAu473 is the Au3d<sup>5/2</sup> peak.

The Pd (III) species is then assigned to divalent Pd. Similar chemical shifts as Pd(III) were found for very small Pd nanoparticles on alumina [53] and iron oxide, [54] for Pd(NH<sub>3</sub>)<sub>4</sub>Cl<sub>2</sub> nanowires [55], nitrogen-containing conjugated polymerpalladium systems [56], and amino-functionalized MCM-41 supported Pd(II) complex [57] and are attributed to Pd2+ bounded to different ligands (CI, N, O). Freund and co-workers [58] studied the deposition of Pd on Fe<sub>3</sub>O<sub>4</sub>(111) from alkaline Pd2+ solution and showed that polynuclear Pdn-hydroxo complexes are adsorbed on the carrier surface. The decomposition of the deposited precursor by annealing in vacuum was studied by XPS and showed a shift from 337.8 eV to 335.9 eV after drying at 390 K and to 335.4 eV upon annealing to 600 K, indicating the complete reduction of the divalent precursor to Pd<sup>0</sup>. As only traces of chloride were detected in our case we assign the Pd (III) species to a



divalent oxy-hydroxo form of Pd in analogy to the reference experiment by Freund and coworkers.

Table 4. Relative Atomic Abundance of Pd species.								
Po	1_873	Pd_4	473	PdAu	_873	PdAu	<u>473</u>	
150	600	150	600	150	600	150	600	
-	0.76	_	0.79	0.78	0.75	0.37	0.34	
-	0.13	-	0.07	0.10	0.11	0.16	0.08	
-	0.11	-	0.14	0.12	0.14	0.47	0.57	
-	-	-	0	0	0	0	0	
12								
Pd	I_873u	Pd_4	473u	PdAu <sub>.</sub>	_873u	PdAu_	473u	
0.64	0.58	0.52	0.46	0.77	0.77	0.51	0.51	
0.22	0.14	0.10	0.03	0.11	0.12	0.18	0.14	
0.14	0.19	0.38	0.33	0.12	0.11	0.31	0.35	
0	0.09	0	0.18	0	0	0	0	
	Pc 150	Pd 873  150 600  - 0.76 - 0.13 - 0.11  Pd 873u  0.64 0.58 0.22 0.14 0.14 0.19	Pd_873         Pd_9           150         600         150           -         0.76         -           -         0.13         -           -         0.11         -           -         -         -           Pd_873u         Pd_4           0.64         0.58         0.52           0.22         0.14         0.10           0.14         0.19         0.38	Pd_873         Pd_473           150         600         150         600           -         0.76         -         0.79           -         0.13         -         0.07           -         0.11         -         0.14           -         -         0         0           Pd_873u         Pd_473u         Pd_473u           0.64         0.58         0.52         0.46           0.22         0.14         0.10         0.03           0.14         0.19         0.38         0.33	Pd_873         Pd_473         PdAu           150         600         150         600         150           -         0.76         -         0.79         0.78           -         0.13         -         0.07         0.10           -         0.11         -         0.14         0.12           -         -         -         0         0           Pd_873u         Pd_473u         PdAu           0.64         0.58         0.52         0.46         0.77           0.22         0.14         0.10         0.03         0.11           0.14         0.19         0.38         0.33         0.12	Pd_873         Pd_473         PdAu_873           150         600         150         600           -         0.76         -         0.79         0.78         0.75           -         0.13         -         0.07         0.10         0.11           -         0.11         -         0.14         0.12         0.14           -         -         0         0         0           Pd_873u         Pd_473u         PdAu_873u           0.64         0.58         0.52         0.46         0.77         0.77           0.22         0.14         0.10         0.03         0.11         0.12           0.14         0.19         0.38         0.33         0.12         0.11	Pd         873         Pd         473         PdAu         873         PdAu           150         600         150         600         150         600         150           -         0.76         -         0.79         0.78         0.75         0.37           -         0.13         -         0.07         0.10         0.11         0.16           -         0.11         -         0.14         0.12         0.14         0.47           -         -         -         0         0         0         0           Pd         873u         Pd         473u         PdAu         873u         PdAu           0.64         0.58         0.52         0.46         0.77         0.77         0.51           0.22         0.14         0.10         0.03         0.11         0.12         0.18           0.14         0.19         0.38         0.33         0.12         0.11         0.31	

The Pd3d fitting model presented in this work discriminates between sub-species represented in the Pd(I); these are Pd(0) species in a heteroatomic bonding environment such as in strong interaction with the support or next to the Au matrix. The varying FWHM is taken as a descriptor for the heterogeneity of the chemical environment as well as of the particles size.

The Pd(II) component is due to Pd metal modified by oxygen atoms bound to the surface or dissolved sub-surface.

The Pd(III) contribution arises from divalent polynuclear  $Pd_n$ -hydroxo complexes formed from the interaction of the Pd salt precursor with the hydrophilic carbon surface or resulting in the used samples from dissolution and re-precipitation. The addition of NaBH $_4$  to the deposited Pd compound on the carbon surface did not completely reduce the precursor giving an estimate about the strength of the interaction of the Pd precursor with the functionalized carbon surface.

The O1s spectra for these samples are composed of the overlapping oxygen species of the PVA, the NCNT, the contribution of Pd-O species, and the Pd3p core level. For this reason the comparative analysis between Pd3d and O1s is not attempted here.

# Distribution of Pd species as function of carbon surface chemistry

The relative distribution of the Pd components varies among the samples and is summarized in Table 4.

PdAu\_873 presents the most homogeneous Pd chemical bonding states as the spectrum is dominated by the Pd(I) peak; Additionally, the distribution of Pd species is similar after 12 min of reaction. Consistently, a homogeneous distribution of chemisorption sites for CO is observed on this sample by microcalorimetry (Fig. 8). The heat of CO chemisorption of this species is close to the value measured for a reduced Pd surface (Fig. 8B).

PdAu\_473 is characterized by a higher amount of Pd(III) than present in PdAu\_873. This is consistent with EDX mapping identifying Pd in two different environments (Fig.3C-E): Pd at the C surface (most of Pd(III) and Pd alloyed into the Au nanoparticles (Pd(I)). After 12 minutes of reaction the

relative amount of Pd(III) undergoes a reduction and the relative amount of Pd(I) increases. With the additional information from XPS we assign the atoms on the Au particles in the fresh PdAu\_473 in Figure 3B to precursor Pd (III) components which convert to Pd(I) and interdiffuse as atoms into the Au nanoparticles to form Pd-Au species. The comparison between the TEM image of PdAu\_873 (Figure 4A) and the Pd3d spectrum suggests that the small amount of Pd(II) component in the bimetallic samples accounts for Pd nanoparticles in the observed raft-like morphology: such Pd species are strongly bound to the support and experience thus a shift comparable to that of a O sub-surface compound. The Pd(II) component is more abundant in the most surface sensitive measurements. The ratio Pd(II)/Pd(I) is thus taken as a measure of the particle morphology indicating a varying contribution of the strongly bound species in the different samples.

The monometallic samples exhibit after 12 min of reaction an additional contribution in the Pd 3d<sup>5/2</sup> spectrum as indicated by the line broadening of the Pd (I) component (violet contribution). This additional peak at 335.1 eV is attributed to bulk metallic Pd. This is in line with the sintering observed by TEM (Figure 4). The correct fitting of this peak requires a shift of the Pd(I) component to 0.2 eV higher BE. This indicates that the Pd(I) component is composed by two contributions: those Pd species whose chemical environment is closer to the Pd0 bulk state (less electronically modified by the C support and hence lower BE) undergo sintering and give rise to the Pd(0) component, while the highly dispersed nanoparticles are those which account for the remaining component shifted apparently to 0.2 eV higher BE.

The distribution of the components is sensitive to the chemistry of the support (Figure 6). For instance in both fresh NCNT\_473 samples the component Pd(III) is more abundant than in the corresponding NCNT\_873 materials. The impact of the reaction is also observed in the increase of the Pd(III) species for the Pd\_473 and to a lesser extend Pd\_873. This is the consequence of extended dissolution and re-precipitation of Pd on the NCNT\_473 support as compared to the support modified at 873 K.

Besides re-deposited divalent Pd species some of the Pd(III) species is remaining unreduced from its synthesis on the NCNT\_473 support. The stabilization of Pd2+ species must thus be favoured by the surface chemistry of the support during impregnation. The immobilization of Pd on C supports was reviewed extensively. [59] Incipient wetness impregnation of H<sub>2</sub>PdCl<sub>4</sub> on carbon results in a high Pd<sup>2+</sup>/Pd<sup>0</sup> ratio. High Pd<sup>2+</sup> is favored when ligands that decrease the redox potential E<sup>0</sup>Pd<sup>2+</sup>/Pd value are present, such as oxidants (H<sub>2</sub>O<sub>2</sub>) created by the hydrolysis of basic oxygen functional groups in the presence of gas-phase oxygen during the impregnation. [59] At the low metal loading it may well be assumed that the nitrogen functional groups at the carbon surface contribute to the stabilization of divalent Pd in the form of Pd-N complex formation. This is more likely to occur on the surface of the NCNT 473 support than on the NCNT 873 material as seen from the XPS data in Figure 6. The predominant heterocyclic nature of nitrogen in the NCNT\_873 sample prevents their modification by hydrogen during catalysis. Pd species anchored to such sites should remain unaffected. On the NCNT\_473 surface the nitrogen is predominantly present in structures substituting C-H groups. These structures are more

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susceptible to reduction by activated hydrogen spilling over from the anchored Pd species. It is thus to be expected that the stabilizing function of surface functional groups on NCNT\_473 will not withstand the reaction conditions and hence will not prevent aggregation of Pd. This argument holds also for the modification of the Pd (II) species. We conclude that neither the amount of Pd nor the abundance of surface functional groups alone will determine the efficiency of the catalysts but only the combination of non-reducible surface functional groups with little enough Pd to prevent the formation of non-wetting metal nanostructures.

#### Microcalorimetry of CO chemisorption

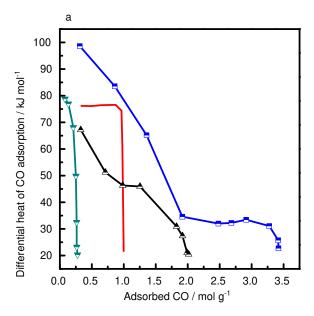
In order to determine the Pd metal surface as upper limit for the number of active sites, CO chemisorption was carried out on the used samples after 12 min of  $H_2O_2$  synthesis by means of microcalorimetry. Such information is of particular relevance with samples that are partly still covered by the protective PVA over layer preventing geometric considerations as basis for estimating the reactive surface area. In addition to the standard volumetric method for the determination of the specific surface area, microcalorimetry can give information about the distribution of metal sites with varying local electronic structure that is controlling the differential heat of chemisorption. From the preceding analysis it is expected that such sites will exist following the analysis of the Pd(I) and Pd(II) species that should give rise to differences in their heat of CO chemisorption.

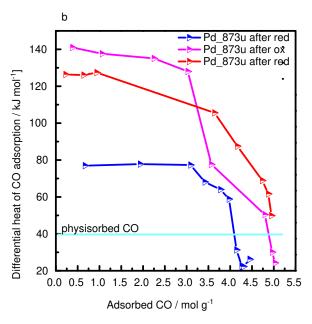
Figure 8A displays differential heats of CO adsorption over the four samples as a function of the amount of CO adsorbed. The heat of physisorption of CO on Pd is 40 kJ/mol. It is thus obvious that all samples exhibit substantial amounts of sites chemisorbing CO in various local electronic structures. It is further obvious that the samples modified by gold exhibit a similar energetic distribution whereas the monometallic samples behave quite differently.

The amount of adsorbed CO on Pd-free Au 873u was negligible. Taking into account the unmodified electronic structure of gold according to our XPS results we can assume that for the bimetallic samples the adsorption occurs selectively on the Pd. CO adsorption on PdAu 873 produces a differential heat profile characterized by a plateau at ~76 kJ/mol. This indicates that the catalyst exhibits approximately 1µmol/g energetically uniform sites. A similar heat of chemisorption was found for the PdAu\_473 (79 kJ/mol) but the amount of chemisorption sites is much smaller, in agreement with the lower abundance of Pd(I) for this sample. In agreement with the XPS observations, this indicates that the catalysts are very homogeneous in the electronic structure of their Pd nanoparticles. This corresponds also to high selectivity in the partial hydrogenation observed for these catalysts (see Table 1).

The Pd\_873, and Pd\_473 exhibit a differential heat profile that shows an initial heat value of 67 and 96 kJ/mol, respectively. The differential heat of chemisorption decreases for both samples with increasing coverage indicating that the surface metal adsorption sites are energetically heterogeneously distributed. Comparing the data in Figure 8 with those of the catalytic performance presented in Figures 1

and 2 allows concluding that the number or active sites for oxygen hydrogenation is much smaller than the number of Pd





**Figure 8.** (A) Differential heat of CO chemisorption vs. amount of CO uptake for Pd\_873u (black curve); Pd\_473u (blue curve); PdAu\_873K (red curve); PdAu\_473K (green curve). (B) Differential heat of CO chemisorption vs. amount of CO uptake for Pd\_873u: after reduction in H<sub>2</sub> (blue curve) at 1bar and 373K; after the CO desorption from the 1st cycle and oxidation in O<sub>2</sub> at 1bar and 373K (magenta curve); after CO desorption from the 3rd step and reduction in H<sub>2</sub> (red curve) at 1 bar and 373K.

sites detected by CO chemisorption. From the differences in selectivity it may be concluded that the desirable sites for selective oxygen hydrogenation are not those with maximum heat of chemsiorption for CO. One may further conclude that the activity is related to the energetic position and the width of a plateau in the CO chemisorption curve between 80 and 50 kJ/mol and the unselective water formation may be related to the abundance of species with high or changing heats of

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adsorption for CO. In correlation with the Pd XPS data it is possible to assign the species that give rise to a plateau to the Pd (II) form. The modification with gold seems to substantially increase the heat of chemisorption at the uniform sites assigned as relevant reactive sites for selective hydrogenation of activated oxygen (Pd(I)). High values of heats of adsorption and values close to the physisorption limits may be assigned to pure metallic Pd particles with irregular surface sites and with passivating overlayers.

**Table 5.** Comparison of exposed surface area by CO chemisorption with geometrical estimations of the surface area.

Samples <sup>[a]</sup>	S <sub>co</sub> <sup>[b]</sup> [m²/g]	S <sub>th</sub> <sup>[c]</sup> [m²/g]	dp <sup>[d]</sup> [nm]	Initial heat of chemisorption [kJ/mol]	Pd [%] <sup>[e]</sup>
Pd_873u	0.20	1.65	1.9±1.5	67	1.60±0.06
Pd_473u	0.34	0.75	2.9±0.9	96	1.48±0.10
PdAu_873u	0.10	0.55	3.8±0.9	76	0.70±0.07
PdAu_473u	0.03	0.55	3.8±1.5	79	0.50±0.05

[a] after 12min of reaction; [b] monolayer capacity of CO adsorption at 323K estimated by the Langmuir model; [c] surface area estimated by STEM assuming semispherical exposure of particles; [d] average size of particles determined by STEM; [e] Atomic% Pd abundance by XPS at 600eV.

This assignment is in contrast with selected literature observations. Differential heats of CO chemisorption on carbon-supported Pd [60] are in the range of 70-90 kJ/mol, which is lower than the corresponding values of Pd supported on oxide 71-150 kJ/mol catalysts. [61-62] Recently, [63-64] the particle size dependence of the heat of CO adsorption on Pd nanoclusters from 1.8 to 8 nm was investigated by singlecrystal adsorption microcalorimetry. In contrast to early literature, [65-66] the adsorption enthalpy of carbon monoxide decreases with decreasing particles size by about 20 kJ mol-1 from about 145 kJ/mol for single crystals to 120 kJ/mol for initial coverages and down to 80 kJ/mol for saturation coverage independent of particle size. These reference observations indicate that the catalysts in this study exhibit typically lower heats of adsorption (see Table 5 and Figure 8A) than pure Pd systems. Both, Au atoms and sub-surface species are made responsible for this trend. The observation supports the view that lowering of chemisorption energy of oxygen (for which we take the CO adsorption as proxy) is a tool for controlling the selectivity of the reaction.

To determine the reactive surface area of the catalysts, fitting of the CO adsorption isotherms to the Langmuir model was performed for all 4 samples.  $^{[67]}$  Based on the determined saturation concentration the accessible Pd surface area was calculated with an assumed adsorption stoichiometry for Pd:CO=1:1 and a cross-sectional area of the CO molecule occupying  $\sim 16.2~\text{Å}^2.~\text{}^{[67]}$  The results are given in Table 5. The specific metal surface area increased in the order of PdAu\_473u (0.027m²/g), PdAu\_873u (0.103m²/g), Pd\_873u (0.196m²/g) and Pd\_473u (0.337m²/g) which is not in line with the metal loading.

The surface area determined by CO chemisorption is much smaller than the expected surface area from analyzing the particle geometry as semi-spheres weighted with the particles size distribution (Table 5). In particular, the exposed surface area sensed by chemisorption is higher for the Pd\_473u than

the Pd\_873u, which is at variance with the average particles size trend. This is partly explained by the coverage of the surface with impurities (i.e. PVA coverage) which seems lower on the Pd\_473u than on the Pd\_873u.

Another explanation for the small values of the reactive surface area may be found in the redox chemistry of the Pd particles where overlayers or sub-surface species may reduce the heat of chemisorption of CO such that at 313 K adsorption temperature not all Pd sites would be covered by CO. A series of reference experiments for the differential heat of CO chemisorption on reduced Pd and on oxidized Pd were obtained for the Pd\_873u sample. It was subjected to cycles of reduction, CO chemisorption and oxidation and CO chemisorption. The results of these investigations are reported in Figure 8B.

The CO chemisorption on the reduced Pd\_873u is completely reversible (integral heat ads. ≈ integral heat des.) and shows the same plateau at the same differential heat value as that observed for the PdAu 873 (76 kJ/mol). After reduction the sites are energetically homogeneous and therefore we can consider this value as the chemisorption of CO on modified Pd being beneficial for hydrogen peroxide synthesis. The value is about half of the heat of chemisorption of CO on clean genuine Pd metal indicating a substantial modification of the electronic structure as also found with the shift of Pd(I) in XPS. The surface area after the reduction is much higher and 0.40 m<sup>2</sup>/g indicating the enlargement of the exposed Pd domain probably due to the loss of adsorbates. After CO desorption, the surface of Pd\_873u was oxidized at 1bar and 373K. This treatment leads to a much higher differential heat of CO adsorption, above 140 kJ/mol, with a plateau. The CO chemisorption on the oxidized surface however contains an irreversible part (integral heat ads. > integral heat des.). In this state the Pd was oxidized to PdO that decomposed upon evacuation. It exhibits the character of large metallic particles. Some of the Pd contains still oxygen that is reduced by the CO probe gas accounting so for the partial irreversibility. Further successive reduction of this sample at 1bar H<sub>2</sub> and 373K did not lead to the initial differential heat value of CO chemisorption of the reduced Pd\_873u, but an intermediate value between the 1st reduction cycle and the oxidation cycle. This and the absence of a defined plateau is indication for a re-structuring of the Pd creating inhomogeneous sites either by re-dispersion and/or by partial restoring of sub-surface compounds.

Microcalorimetry was used to probe the structural dynamics of the Pd nanoparticles by a quantitative integral method. Clear evidence for the response of the chemisorption properties on the environment of the Pd particles was found. After calcination and vacuum decomposition of the oxide a metallic state was found that is similar to model nanoparticles prepared by physical vapour deposition. Reductive treatment destroyed the structural homogeneity of the adsorption sites and gives rise to weaker chemisorption. Although the atomic details of the dynamics remain in this experiment elusive we conclude from the similar total CO uptake seen in Figure 8B that once all remaining PVA over layers are removed the total number of sites remains constant indicating geometric stability of the Pd. The ease with which selective (sub-surface modified) and unselective (pure metallic) states interchange with a change of the reaction environment indicates the enormous relevance of transport limitations in a catalytic

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operation: local variations in chemical potential can instantaneously switch the catalyst from selective to unselective and vice versa. This fundamentally interesting property is highly undesirable in practical exploitation of the reaction.

#### Nanostructure/activity correlation

The catalyst characterization is discussed with the aim to describe material aspects of the selective and unselective episodes in the catalytic performance of  $H_2O_2$  synthesis in the semi-batch mode of operation. A characteristic change from selective to unselective operation occurs as a collective modification of the whole catalyst mass for each sample at a different time on stream: around 10 min for Pd\_473, 50 min for the Pd\_873, 75 min for PdAu\_873 and 90 min for the PdAu\_473. The data collected allow an insight into the behaviour of Pd in the  $H_2O_2$  synthesis and may facilitate the identification of a strategy to obtain stable and active catalysts.

The TEM analysis, XPS and microcalorimetry reveal in general heterogeneity of the nature of the Pd species and considerable variations in the species distribution between the samples. The Pd species are consistently described by 4 distinguishable forms of Pd in the high resolution Pd3d core level XP spectrum: Pd(I), Pd(II), Pd(III) and Pd(0).

The sintered particles of several nm in size observed in the monometallic samples after 12 min of reaction (Pd(0) in Figure 7) exhibit the same BE as Pd in the bimetallic sample (335.1 eV). This value indicates metallic bonding in a bulk-like metallic state.

The smaller particles with a radius around 1-2 nm observed in the monometallic samples give rise to the Pd(I) component and to the Pd(II) components in XPS. Both are electronically modified Pd metal forms affected by the interaction with strong anchoring sites of the C support and by sub-surface compound formation with light atoms such as H, C, O respectively.

The Pd(III) signal is attributed to ionic Pd<sup>2+</sup> species at the hydrophilic domain of the carbon surface. In HAADF-STEM images they are detected as very small clusters or monoatomically dispersed Pd with a hazy contrast.

We discuss now the Pd3d components in conjunction with the catalytic performance. It is convenient to consider first the sample PdAu\_873u which is characterized by the most homogeneous chemical state. The  $\rm H_2O_2$  yield after 12 min is low with respect to the monometallic Pd\_873u but the Pd3d spectra of the PdAu\_873u represent the catalyst in its selective state (Table 1). The sample contains predominantly the Pd(I) species modified in its electronic structure by gold atoms. Small amounts of Pd(II) and Pd(III) species are also present. We exclude the Pd(III) component from being beneficial for selective hydrogenation by comparing the XPS data to those of PdAu\_473u revealing poor catalytic activity. This sample is mainly composed by cationic Pd dispersed on the NCNT surface indicating that this is not the desired species in agreement with the finding of Lunsford et al. [68]

The prominent candidate for the selective hydrogenation is thus the Pd(I) component representing electronically modified metal with the consequence of reduced adsorption energy for CO and likely also for oxygen. From Figure 1 we see that all samples need an activation period that is longer for the gold modified samples than for the monometallic samples. In addition we see that the unselective hydrogenation to water is

a consecutive reaction as only after the  $H_2O_2$  synthesis has started, water is being formed. Returning to the speciation of the active Pd we assign the electronically modified Pd to the selective reaction path and pure Pd to the unselective path. Unfortunately there seems a rapid transformation of some of the Pd(I) species into electronically normal Pd: this transformation is the consequence of the switching on of the non-selective hydrogenation. This is consistent with the dynamic study using microcalorimetry. The benefit of gold is to retain the predominant amount of Pd in the electronically modified state as no Pd(0) occurs here. Most useful for the desired reaction seem to be the Pd(II) species as they are stable and active and seem not to decompose during reaction.

The role of the PVA overlayer seems to be not only to prevent agglomeration of Pd paricles by preventing geometric contact but also to keep the Pd in the electronically modified state: the bonding of PVA to the surface is believed to modify the electronic structure of Pd in the same beneficial way as sub-surface compound formation or gold incorporation. The modification is to reduce the bond strength of oxygen preventing its dissociation without however preventing the dissociative binding of hydrogen.

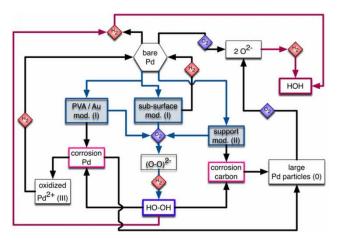
An intermediate density of states at the Fermi level is the design target that was probed by the initial heat of CO chemisorption. The best catalyst (Pd\_873) revealed a reduction of about half the bond strength of unmodified Pd for CO chemisorption indicating a substantial change in electronic structure of the Pd. The incorporation of gold atoms into Pd was not a strong enough modifier of the electronic structure. Its benefit as modifier is the inertness upon segregation: gold alone is a poor catalyst both for synthesis and for deep hydrogenation of  $H_2O_2$ .

The Pd(III) species increases in its abundance after the decomposition test and it is abundant in the unselective catalyst (Pd\_473). Literature reports show that Pd in a metallic state does not dissolve in solvents  $^{[69]}$  while it is only when the surface is coordinated to O or OH that the dissolution to Pd $^{2+}$  can take place. The dissolution will be favored by acidic solutions (the reaction are carried out in H $_2$ SO $_4$  to minimize the H $_2$ O $_2$  decomposition) while the re-deposition of the Pd $^{2+}$  complexes will occur at the hydrophilic sites on the carbon surface. We can assume that the re-deposition of Pd is the consequence of first a destruction of the Pd(I) sites followed by the dissolution of the resulting very small metallic units that deeply hydrogenate H $_2$ O $_2$ .

Pd 873 and Pd 473 are active catalysts but while after 12min of reaction the former is selective, the latter shows much lower selectivity to H<sub>2</sub>O<sub>2</sub>. Both samples contain initially a similar distribution of Pd components in their XPS, but the impact of the reactive environment is different. As revealed by microscopy and XPS analysis, agglomeration occurred on both monometallic samples after 12 min of reaction and became significant after 3h of reaction as shown by HAADF-STEM (Figure S3). A loss of the PVA shell due to oxidation during the reaction mobilizes the metal nanoparticles resulting in rapid agglomeration, [20] except for few strongly bound nanoparticles detected as Pd(II). However, the catalyst Pd\_873 remains selective after agglomeration indicating the relative inefficiency of sintered particles in the deep hydrogenation, while the minority of stable small nanoparticles (Pd(II)) maintains high activity and selectivity.



This difference in stability is traced back to a difference in the nitrogen doping of the support. The electron-donating functional complexes of the graphitic surface involving thermally stable N species [20] on NCNT\_873 allow for a strong metal support interaction. The particle size distribution by STEM as well as the initial differential heat of CO chemisorption reveal that the Pd\_873 sample contains many of the smaller particles especially still after 12 min reaction. The NCNT\_473 support containing more redox-sensitive N functional groups cannot stabilize the Pd(II) species during catalytic action due to oxidation of the functional groups. The resulting -OH groups are good stabilization sites for ionexchanging Pd(III) divalent species. Therefore the NCNT873 is the superior support as it provides, a ligand stabilization effect for electronically modified Pd with direct participation of specific substitutional N sites.[5] It stabilizes a wetting Pd cluster species and with it the electronic state Pd<sup>5</sup> that hinders the dissociative oxygen chemisorption. The modified Pd structure further limits the population of hydrogen in the subsurface region being responsible for the sintering and for unselective hydrogenation. [70] Alloying has a similar effect on the selectivity than sub-surface chemistry. In the present catalysts the alloy systems exhibit a lower dispersion of the modified Pd (see Table 5) than the monometallic systems and are hence inferior in performance. A specific reduced activity with respect the sub-surface and support-modified systems is indicated by the difference in initial chemisorption energies for CO of the two classes of catalysts. The support may further play an additional role through H spill-over claimed to occur on hydrophobic carbon surfaces. [71-72] This would be consistent with the improved activity of the NCNT\_873 samples but our results do not confirm this mechanism. The equal and immediate activity in over-hydrogenation of H2O2 for all catalysts reported in Figure 1C rather excludes the notion that activated hydrogen would be a limiting factor in the selective hydrogenation of oxygen.



**Scheme 2.** Reaction system of  $H_2O_2$  synthesis. The numbers in brackets refer to the XPS assignments discussed. The blue arrows designate the desired selective reaction path, the red arrows indicate the unselective deep hydrogenation and the black arrows refer to the structural deactivation pathways of the catalyst.

This work indicates the complex situation of a seemingly simple reaction of selective hydrogenation of di-oxygen. Scheme 2 summarizes the findings of the paper. Bare nano-Pd

is converted either during synthesis or during initial operation into electronically modified Pd as sensed by CO chemsiorption and by XPS shifts. These modified Pd surfaces selectively activate di-oxygen to the peroxo species and simultaneously provide dissociated hydrogen. The result is the desired product  $H_2O_2$ . Bare Pd deeply activates di-oxygen to the oxo-anion and leads to the undesired product water.

Unfortunately  $H_2O_2$  undergoes two pathways of deactivation processes. The simpler case is deep hydrogenation by contact of the product with excess hydrogen over non-modified Pd leading to water.

This pathway can be avoided by minimizing the abundance of bare Pd of any size. The other subtler pathway concerns the reaction of the product with the catalyst.

This path exhibits two lines of reaction. The oxidant  $H_2O_2$  either reacts with the PVA protector and with bare Pd to form oxidic  $Pd^{2+}$  species. These species can become reduced by excess hydrogen spilling over from Pd metallic species and hence lead to re-structured Pd nanoparticles. A parallel reaction of the de-protected Pd particles is to form large Pd particles by coalescence as seen in TEM images. The resulting bare Pd of large size is undesired but less active than small bare Pd particles. It represents one likely terminal deactivation product of the catalyst.

Alternatively the  $H_2O_2$  reacts with the carbon support preferably with those sites binding the Pd to the support. Here we found that certain nitrogen-containing active sites are resistant to oxidation and are simultaneously strong modifiers of the Pd towards selective oxidation. The generation of such sites in large abundance would be one strategy towards a stable and active catalyst for  $H_2O_2$  synthesis. All other specific high-energy sites that are active in binding Pd either as oxygen or nitrogen functional groups are not stable over longer terms and are thus despite their initial high abundance not helpful to generate the stable active catalyst.

The operation conditions provide another means of controlling stability and productivity of the system. The semibatch process is unsuitable to derive reaction rate constants required to generate a quantitative model with predictive character for optimizing the correct flow rates, solvents, pressures and temperature that all regulate the optimal chemical potential of the system. Substantial progress is thus coupled to a change in operation conditions to a steady state process with continuous removal of the product H2O2. A last variable is the loading of the catalyst support with Pd. This factor must not exceed the number of oxidation-resistant active sites times the composition of each Pd nanoparticle. The present loading was substantially too high for the quality of the carbon support. Would it be possible to generate a carbon form with a stable abundance of oxidation-resistant binding sites for Pd in the order of magnitude of NCNT 873 or even higher, then loading of Pd from direct deposition precipitation with a much lower nominal loading than the 1.6% applied here should be sufficient and beneficial simultaneously.

#### **Conclusions**

The paper has shown how multiple complex feedback mechanisms are organized between the Pd-based catalyst and its reactants in the direct synthesis of H<sub>2</sub>O<sub>2</sub>, on one side, and the desired product with both catalyst and support, on the other

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side. Unexpected was the co-existence of pre-synthesized modified Pd with autogenously modified Pd by sub-surface reaction. The resulting phenomenological complexity is hardly seen in the catalyst performance, except for significant differences in performance of nominally rather similar systems. Multiple in-situ analysis by chemisorption and spectroscopy coupled to high-resolution morphological examination revealed the systemic interactions.

The deconvolution of these interactions allows us to provide recommendations towards optimization strategies of this reaction, such as via optimization of the support. Alloying, as another common form of synthetic optimization, proved to be less effective. Least effective is the predetermined size control of the active metal by using a polymer protective capping agent.

### **Experimental Section**

#### Catalysts preparation:

CNT functionalization: The functionalization of the CNT (Pyrograf. Inc) was carried out as reported in.[18] Accordingly, 10g of CNT (grade PR24PS) were suspended in 500ml HNO $_3$  conc. (70% Sigma-Aldrich), heated to 373K and kept at this temperature for 2h followed by filtering, rinsing and drying overnight at 393K. Afterwards 10g of the oxidized CNT were treated in NH $_3$  (200ml/min) for 4h to obtain NCNT. Two different NCNT batches were obtained: NCNT473K and NCNT873K were obtained by NH $_3$  treatment at 473K and 873K, respectively. These two batches of NCNT were used to immobilize the Pd and PdAu nanoparticles.

Preparation of 5g of Pd monometallic samples (2 wt%):

Na<sub>2</sub>PdCl<sub>4</sub> from Aldrich (99.99% purity), NaBH<sub>4</sub> (>96% purity) from Fluka and polyvinyl alcohol (PVA) (Mw = 13,000–23,000, 87–89% hydrolyzed) from Aldrich were used. First, aqueous solutions of PVA (1wt%) and NaBH<sub>4</sub> (0.1M) were prepared. 0.94mmol solid Na<sub>2</sub>PdCl<sub>4</sub>.2H<sub>2</sub>O and 10ml of PVA solution were added to 250 ml of H<sub>2</sub>O and mechanically stirred with a stirring rate of 1000 rpm. After 3 min of stirring, 40 ml of a freshly prepared 0.1M solution of NaBH<sub>4</sub> was added. The colloidal solution was then acidified with 2ml of H<sub>2</sub>SO<sub>4</sub> 1M and the functionalized NCNT (4.9 g) were quickly added to the solution for the immobilization. The so-obtained suspension was kept under vigorous mechanical stirring for 1h. The samples were then filtered, washed and dried overnight at 353K.

Preparation of 5g of bimetallic samples (total metal loading 2 wt%, Au:Pd ratio wt/wt 90:10):

0.45 mmol solid NaAuCl $_4$ .2H $_2$ O (Sigma-Aldrich 99.99%) and 10ml of 1% w/w PVA were added to 250 ml of H $_2$ O and mechanically stirred with a stirring rate of 1000 rpm. After 3min of stirring 13.5 ml of 0.1M NaBH $_4$  solution is added. The obtained colloid is then acidified with 2ml of H $_2$ SO $_4$  1M and the functionalized NCNT (4.9 g) were quickly added to the solution for the immobilization. The suspension was kept under vigorous mechanical stirring for 1h. The samples were then filtered and washed. The Au/NCNT sample was dispersed in 250 ml of H $_2$ O and 0.094 mmol solid Na $_2$ PdCl $_4$ .2H $_2$ O was added to the solution. H $_2$  was bubbled (3ml/min) into this solution at atmospheric pressure and room temperature for 2h

under magnetic stirring. The slurry was then filtered washed and dried overnight at 353K.

#### Catalytic test:

All catalysts were tested at room temperature in the catalytic oxidation of H<sub>2</sub> to H<sub>2</sub>O<sub>2</sub> in a stirred stainless steel reactor coated with Teflon (capacity 300 ml) containing 35 mg of catalyst in a fine powder form and 125 ml of anhydrous CH<sub>3</sub>OH as a reaction medium. 125 µL of H<sub>2</sub>SO<sub>4</sub> were added for H<sub>2</sub>O<sub>2</sub> stabilization. The autoclave operates in semi-batch conditions, e.g. continuous feed of the gas to the well mixed autoclave. The concentration of H<sub>2</sub> was 9 vol%, and the O<sub>2</sub>/H<sub>2</sub> ratio was 7. The ballast gas was CO2. The feed is continuously bubbled through the reaction medium at room temperature and at a total pressure of 3 MPa. Stirring (1300 rpm) was started after reaching the pressure of 3 MPa, and experiments were carried out for 180 min, monitoring both H<sub>2</sub>O<sub>2</sub> and H<sub>2</sub>O concentration in the liquid phase, and H<sub>2</sub> and O<sub>2</sub> concentrations in the gas phase. The H<sub>2</sub>O<sub>2</sub> decomposition tests were carried out in semi-batch at a total pressure of 3 MPa. The amount of  $H_2O_2$  was 4800 ppm. 125  $\mu L$  of  $H_2SO_4$ were added. The concentration of  $H_2$  was 9 vol% in  $N_2$ . Additional decomposition tests were carried on in N2. Gas analysis was performed using a gas chromatograph unit (Agilent 3000A equipped with a Molsieve 5Å column using Argon as carrier gas). The reaction products were analyzed by potentiometric titrations of H2O2 (Metrohm, 794 Basic Trino) and H<sub>2</sub>O (Metrohm, 831 KF Coulometer), respectively.

#### **Catalyst Characterization:**

The microstructure of the supports and catalysts was investigated by Transmission Electron Microscopy (TEM). High-resolution transmission electron microscopy (HRTEM) and high angle annular dark field scanning transmission electron microscopy (HAADF-STEM) have been performed with a TitanCs300 microscope from FEI equipped with Cscorrector from CEOS. The samples were dry-deposited on a holey carbon film supported on a Cu grid.

CO-chemisorption was carried out with a SETARAM MS70 Calvet calorimeter combined with a custom-designed high vacuum and gas dosing apparatus, which has been described in detail. [73] The dosing volume is 139 ml, and an absolute pressure transducer (MKS Baratron type 121) measures pressure variations of 0.003 mbar in this volume (provided the box temperature does not vary by more than ±1.5 K), allowing (as a conservative estimate) to dose as low as 0.02 µmol into the sample cell. An all-metal cell was employed as described in. [74] The degassing of the samples was conducted inside the calorimeter cell. After evacuation at 313 K for 17 h to a pressure ≤10-8 mbar, the cell was closed. CO 3.7 (Westfalen) was introduced into the initially evacuated cell, and the pressure evolution and the heat signal were recorded for each dosing step. The adsorption isotherm was derived from the dosed amount and the equilibrium pressure (in comparison to an empty cell). The differential heats of adsorption were calculated by converting the signal area into a heat by using the calorimeter's calibration factor and then dividing the heat by the number of molecules adsorbed in this step. All data sets (consisting of one isotherm and the corresponding heats of adsorption) were obtained by treating a used sample, i.e. after  $H_2O_2$  synthesis at 313 K. The mean surface area of a Pd atom

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is taken as  $0.0787 \text{ nm}^2/\text{atom}$  (DIN66). The monometallic and bimetallic samples after 12minutes of reaction were measured. Prior chemisorption the samples were outgassed for 17 h in He flow at 353 K. Calibration of the heat/voltage constant of the microcalorimeter was accomplished by the Joule effect. The calibration of the volumes was carried out with  $O_2$  under the same conditions as for the adsorption procedure. We have adopted the calorimetric sign criterion (positive energetic quantity for an exothermic process). The samples were lightly pressed into pellets and cut into small pieces. The sieve fraction with diameters between 0.40 and 0.60 mm was used for all experiments inside the calorimetric cell.

The microcalorimetry reference experiments were carried as following: 1)  $H_2$  treatment: 1 bar, 24h, 313 K; 2) stepwise CO adsorption at 313 K; 3)  $H_2$  reduction same conditions again: 1 bar, 24h, 313 K; 4)  $O_2$  treatment: 1 bar, 24h, 313 K; 5) stepwise adsorption of CO at 313 K; 6)  $H_2$  reduction again: 1 bar, 24h, 313 K; 7) stepwise adsorption of CO at 313 K.

XPS measurements were carried out at the in-situ end station [75] of the ISISS beamline of BESSY II. The set-up consists of an in-situ chamber where the sample is placed for measurement under different reactive atmosphere and temperature. The temperature is measured by a K-type Ni/NiCr thermocouple spot-welded onto the sample and temperature-programmed heating was done by an IR laser from the rear. The photo-emitted electrons enter, through an aperture, the differentially pumped electrostatic lenses section allowing performing in situ photoelectron spectroscopy up to 1 mbar total reactant pressures in the XPS chamber. Finally, photo-emitted electrons are detected with a SPECS hemispherical analyzer. The XPS measurements were performed applying a suitable excitation energy corresponding to KE of the photo-emitted electrons of 600 eV and 150 eV for the core levels Pd3d, Au4f, C1s, O1s and N1s. The energy pass Ep was normally set to 20 eV. The Pd3d envelopes were fitted using Casa XPS software after subtraction of a Shirley background. [76] The fitting of the spectra was done constraining the peak position by ±0.05eV. The fitting of the Pd3d consists of 4 components Pd(0) and Pd(I) with Doniach-Sunjic (DS) line shape and Pd(II) and Pd(III) with Gaussian-Lorentzian (GL) line-shape. An area intensity ratio between the Pd3d5/2 and Pd3d3/2 spin orbit split transition was constrained to the theoretical value of 3:2 and the distance between the two spin orbit splitted transition was 5.3 eV. This approach was also used in the case of the bimetallic samples, which are more complicated due to the overlap between the Pd3d512 and the Au3d state. Binding energies (BEs) were generally referenced to the Fermi edge recorded after each core level measurement or the C1s BE. Quantification of the elemental composition was carried out according to homogeneous model distribution. For quantification the spectra have been normalized to the impinging photon flux. The measurements were mainly performed at room temperature and in UHV condition. When gas (O<sub>2</sub> and H<sub>2</sub>O) was used the pressure was 0.1 mbar.

### Acknowledgements

The authors aknowledge Dr. Emrah Yücelen from FEI Company and Dr. Marc Willinger at FHI for providing EDX STEM mapping in Figure 3 AC at FEI, Eindhoven.

**Keywords:** Gold • H<sub>2</sub>O<sub>2</sub> direct synthesis • NCNT • Palladium nanoparticles • XPS•

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Received: ((will be filled in by the editorial staff))
Published online: ((will be filled in by the editorial staff))

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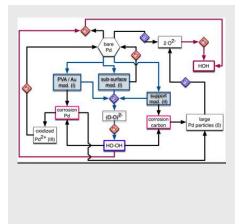


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### **FULL PAPER**

Pd clusters with a modified electronic structure as compared to bare Pd metal or Pd oxides allow selective active species for the direct synthesis of  $H_2O_2$ . N atoms in substitutional positions in the NCNT support accomplish the best strategy for the stabilization of the active Pd.



Rosa Arrigo,\* Manfred E. Schuster, Salvatore Abate, Sabine Wrabetz, Kazuhiko Amakawa, Detre Teschner, Maria Freni, Gabriele Centi, Siglinda Perathoner, Michael Hävecker, Robert Schlögl, Page No. – Page No. Dynamics of Pd on nanocarbon in the direct synthesis of H<sub>2</sub>O<sub>2</sub>