

## $\eta$ -PARALLEL CONTACT 3-MANIFOLDS

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ABSTRACT. In this paper, we give a classification of contact 3-manifolds whose Ricci tensors are  $\eta$ -parallel.

### 1. Introduction

It is well-known that for a 3-dimensional Riemannian manifold its curvature tensor  $R$  is expressed only in terms of the Ricci tensor  $S$ , the metric tensor  $g$  and the scalar curvature  $r$ . Hence, in studying the 3-dimensional Riemannian geometry, we see at once that the condition of local symmetry ( $\nabla R = 0$ ) is equivalent to the Ricci-parallel condition ( $\nabla S = 0$ ).

Recently Boeckx and the present author [7] proved that a locally symmetric contact Riemannian manifold is either Sasakian and of constant curvature 1 or locally isometric to the unit tangent sphere bundle (with its standard contact metric structure) of a Euclidean space. (In the 3-dimensional case, we may also refer to [5].) This result says that the local symmetry is rather a strong condition in contact Riemannian geometry and hence, it is natural to consider a weaker condition, that is  $\eta$ -parallel. Let  $M = (M; \eta, g, \varphi, \xi)$  be a contact Riemannian manifold. Then the contact form  $\eta$  determines the contact distribution  $D$  which is given by the kernel of  $\eta$ . We say that the Ricci tensor  $S$  is  $\eta$ -parallel if  $S$  satisfies  $g((\nabla_X S)Y, Z) = 0$  for any  $X, Y, Z \in D$ . In this paper, we shall study 3-dimensional contact Riemannian manifolds whose Ricci-tensors are  $\eta$ -parallel.

On the other hand, given a contact manifold  $M = (M; \eta)$  one may raise a following natural question (cf. [16]): Which metric is most proper among Riemannian metrics associated with  $\eta$ ? One method of finding the nice Riemannian metrics is to study the criticality in the variational sense. In particular, in [2] and [16] the authors showed that  $M$  satisfies  $\nabla_\xi h = 2h\varphi$  if and only if it has the critical metric of the Dirichlet energy functional  $E(g) = \int_M \|L_\xi g\|^2 dM$  defined on the set of all Riemannian metrics associated with the given contact form  $\eta$ , where  $h = \frac{1}{2}L_\xi \varphi$  and  $L_\xi$  is the Lie derivative with respect to  $\xi$ . (Chern-Hamilton [10] first studied critical metrics of the Dirichlet energy functional in

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dimension three.) And, in dimension three, Perrone [13] showed that  $\nabla_\xi h = 0$  is the critical condition of the functional  $I(g) = \int_M \|r\|^2 dM$ .

The main purpose of this paper is to determine the 3-dimensional contact Riemannian manifolds whose Ricci tensors are  $\eta$ -parallel under the condition that  $\nabla_\xi h = 2ah\varphi$ , where  $a \in \mathbb{R}$ . More precisely, we prove:

**Main Theorem.** *Let  $M$  be a 3-dimensional contact Riemannian manifold which satisfies  $\nabla_\xi h = 2ah\varphi$ ,  $a \in \mathbb{R}$ . Then the Ricci tensor  $S$  is  $\eta$ -parallel if and only if  $M$  is locally isometric to a Sasakian  $\varphi$ -symmetric space or a unimodular Lie group with a left invariant contact metric structure which is not Sasakian.*

In [6], the authors gave a classification of a 3-dimensional Sasakian  $\varphi$ -symmetric space (complete and simply connected Sasakian locally  $\varphi$ -symmetric space), namely, the standard unit sphere  $S^3$ ;  $SU(2)$ ,  $\widetilde{SL(2, \mathbb{R})}$  (the universal covering space of  $SL(2, \mathbb{R})$ ) or the Heisenberg group  $H$  with a left invariant Sasakian metric, respectively. In the process of the proof of the Main Theorem, we have also:

**Corollary A.** *Let  $M$  be a 3-dimensional complete and simply connected contact Riemannian manifold which satisfies  $\nabla_\xi h = 0$  ( $a = 0$ ). Then the Ricci tensor  $S$  is  $\eta$ -parallel if and only if  $M$  is isometric to one of the followings:*

- (1) *the standard unit sphere  $S^3$ ;  $SU(2)$ ,  $\widetilde{SL(2, \mathbb{R})}$  or the Heisenberg group  $H$  with a left invariant Sasakian metric, respectively;*
- (2)  *$SU(2)$ ,  $\widetilde{SL(2, \mathbb{R})}$  with a special left-invariant contact metric which is not Sasakian, respectively;*
- (3) *flat manifold.*

*Remark 1.* In [11] (Theorem 5.1) they also studied 3-dimensional contact Riemannian manifolds satisfying  $\nabla_\xi h = 0$  (or equivalently,  $\nabla_\xi(h\varphi) = 0$ ), which they have  $\eta$ -parallel Ricci tensors. But, we found that their proof is not complete. In fact, they made a gap obtaining the equation (5.3) in p. 162.

**Corollary B.** *Let  $M$  be a 3-dimensional complete and simply connected contact Riemannian manifold which satisfies  $\nabla_\xi h = 2h\varphi$  ( $a = 1$ ). Then the Ricci tensor  $S$  is  $\eta$ -parallel if and only if  $M$  is isometric to one of the followings:*

- (1) *the standard unit sphere  $S^3$ ;  $SU(2)$ ,  $\widetilde{SL(2, \mathbb{R})}$  or the Heisenberg group  $H$  with a left invariant Sasakian metric, respectively;*
- (2)  *$\widetilde{SL(2, \mathbb{R})}$  with a special left-invariant contact metric which is not Sasakian.*

Finally, we remark that non-Sasakian, non-unimodular, 3-dimensional Lie groups with left-invariant contact metric structures have the property  $\nabla_\xi h = 2ah\varphi$ , but their Ricci tensors are not  $\eta$ -parallel. We also find a non-homogeneous example which satisfies  $\nabla_\xi h = 2ah\varphi$ , but its Ricci tensor is not  $\eta$ -parallel (see the end of Section 3).

### 2. Preliminaries

All manifolds in the present paper are assumed to be connected and of class  $C^\infty$ . A  $(2n + 1)$ -dimensional manifold  $M^{2n+1}$  is said to be a contact manifold if it admits a global 1-form  $\eta$  such that  $\eta \wedge (d\eta)^n \neq 0$  everywhere. Given a contact form  $\eta$ , we have a unique vector field  $\xi$ , which is called the characteristic vector field, satisfying  $\eta(\xi) = 1$  and  $d\eta(\xi, X) = 0$  for any vector field  $X$ . It is well-known that there exists a Riemannian metric  $g$  and a  $(1, 1)$ -tensor field  $\varphi$  such that

$$(2.1) \quad \eta(X) = g(X, \xi), \quad d\eta(X, Y) = g(X, \varphi Y), \quad \varphi^2 X = -X + \eta(X)\xi,$$

where  $X$  and  $Y$  are vector fields on  $M$ . From (2.1) it follows that

$$(2.2) \quad \varphi\xi = 0, \quad \eta \circ \varphi = 0, \quad g(\varphi X, \varphi Y) = g(X, Y) - \eta(X)\eta(Y).$$

A Riemannian manifold  $M$  equipped with structure tensors  $(\eta, g, \varphi, \xi)$  satisfying (2.1) is said to be a contact Riemannian manifold and is denoted by  $M = (M; \eta, g, \varphi, \xi)$ . Given a contact Riemannian manifold  $M$ , we define a  $(1, 1)$ -tensor field  $h$  by  $h = \frac{1}{2}L_\xi\varphi$ , where  $L$  denotes Lie differentiation. Then we may observe that  $h$  is symmetric and satisfies

$$(2.3) \quad h\xi = 0 \quad \text{and} \quad h\varphi = -\varphi h,$$

$$(2.4) \quad \nabla_X \xi = -\varphi X - \varphi hX,$$

where  $\nabla$  is the Levi-Civita connection. From (2.3) and (2.4) we see that each trajectory of  $\xi$  is a geodesic. We denote by  $R$  the Riemannian curvature tensor defined by

$$R(X, Y)Z = \nabla_X(\nabla_Y Z) - \nabla_Y(\nabla_X Z) - \nabla_{[X, Y]}Z$$

for all vector fields  $X, Y, Z$ . Along a trajectory of  $\xi$ , the Jacobi operator  $R_\xi = R(\cdot, \xi)\xi$  is a symmetric  $(1, 1)$ -tensor field. We have

$$(2.5) \quad (\text{trace } R_\xi) = g(S\xi, \xi) = 2n - (\text{trace } h^2),$$

$$(2.6) \quad \nabla_\xi h = \varphi - \varphi R_\xi - \varphi h^2.$$

A contact Riemannian manifold for which  $\xi$  is Killing is called a  $K$ -contact manifold. It is easy to see that a contact Riemannian manifold is  $K$ -contact if and only if  $h = 0$ . For a contact Riemannian manifold  $M$  one may define naturally an almost complex structure  $J$  on  $M \times \mathbb{R}$ ;

$$J \left( X, f \frac{d}{dt} \right) = \left( \varphi X - f\xi, \eta(X) \frac{d}{dt} \right),$$

where  $X$  is a vector field tangent to  $M$ ,  $t$  the coordinate of  $\mathbb{R}$  and  $f$  a function on  $M \times \mathbb{R}$ . If the almost complex structure  $J$  is integrable,  $M$  is said to be normal or Sasakian. It is known that  $M$  is normal if and only if  $M$  satisfies

$$[\varphi, \varphi] + 2d\eta \otimes \xi = 0,$$

where  $[\varphi, \varphi]$  is the Nijenhuis torsion of  $\varphi$ . A Sasakian manifold is characterized by a condition

$$(2.7) \quad (\nabla_X \varphi)Y = g(X, Y)\xi - \eta(Y)X$$

for all vector fields  $X$  and  $Y$  on the manifold. It is also well-known that  $M$  is Sasakian if and only if

$$(2.8) \quad R(X, Y)\xi = \eta(Y)X - \eta(X)Y$$

for all vector fields  $X$  and  $Y$ . For more details about contact Riemannian manifolds we refer to [1].

For a contact Riemannian manifold  $M$ , the tangent space  $T_p M$  of  $M$  at each point  $p \in M$  is decomposed as  $T_p M = D_p \oplus \{\xi\}_p$  (direct sum), where we denote  $D_p = \{v \in T_p M \mid \eta(v) = 0\}$ . Then  $D : p \rightarrow D_p$  defines a distribution orthogonal to  $\xi$ . The  $2n$ -dimensional distribution  $D$  is called the *contact distribution*. Now, we define a contact Riemannian manifold whose Ricci operator  $S$  is  $\eta$ -parallel.

**Definition 2.1.** A contact Riemannian manifold  $M = (M; \eta, g, \varphi, \xi)$  is said to have an  $\eta$ -parallel Ricci tensor if  $g((\nabla_U S)V, W) = 0$  for all vector fields  $U, V, W \in D$ .

Finally, in this section we recall the definition of Sasakian locally  $\varphi$ -symmetric spaces ([15]).

**Definition 2.2.** A Sasakian manifold  $M = (M; \eta, g, \varphi, \xi)$  is said to be locally  $\varphi$ -symmetric if  $(*) \varphi^2(\nabla_U R)(V, W)X = 0$  for all vector fields  $U, V, W, X \in D$ .

We may extend the above Definition 2.2 to contact Riemannian manifolds. Namely, a contact Riemannian manifold is said to be locally  $\varphi$ -symmetric if it satisfies  $(*)$  ([4]).

### 3. Contact 3-manifolds

In this section we prove the Main Theorem. For a 3-dimensional contact Riemannian manifold  $M$ , it is known that their associated CR-structures are integrable. Tanno ([16]) showed that  $M$  always satisfies

$$(3.1) \quad (\nabla_X \varphi)Y = g(X + hX, Y)\xi - \eta(Y)(X + hX).$$

From (2.7) and (3.1) we immediately get:

**Lemma 3.1.** *A 3-dimensional contact Riemannian manifold is Sasakian if and only if  $h = 0$ .*

It is well-known that the curvature tensor  $R$  of a 3-dimensional Riemannian manifold is expressed by

$$(3.2) \quad \begin{aligned} R(Y, X)Z &= \rho(X, Z)Y - \rho(Y, Z)X + g(X, Z)SY - g(Y, Z)SX \\ &\quad - \frac{1}{2}r\{g(X, Z)Y - g(Y, Z)X\} \end{aligned}$$

for all vector fields  $X, Y, Z$ , where  $\rho(Y, X) = g(SY, X)$  and  $r$  is the scalar curvature of the manifold. If  $h = 0$  on  $M$ , then from Lemma 3.1 we see that  $M$  is Sasakian. Moreover, since  $S$  is  $\eta$ -parallel, from (3.2) we see that  $M$  is locally  $\varphi$ -symmetric. So, we consider on  $M$  the maximal open subset  $U_1$  on which  $h \neq 0$  and the maximal open subset  $U_2$  on which  $h$  is identically zero. ( $U_2$  is the union of all points  $p$  in  $M$  such that  $h = 0$  in a neighborhood of  $p$ ).  $U_1 \cup U_2$  is open and dense in  $M$ . Suppose that  $M$  is non-Sasakian. Then  $U_1$  is non-empty and there is a local orthonormal frame field  $\{e_1 = e, e_2 = \varphi e, e_3 = \xi\}$  on  $U_1$  such that  $h(e_1) = \lambda e_1, h(e_2) = -\lambda e_2$  for some positive function  $\lambda$ . In  $U_1$  we have (cf. [9])

**Lemma 3.2.** *Let  $M = (M^3; \eta, g, \varphi, \xi)$  be a 3-dimensional contact Riemannian manifold which satisfies*

$$(3.3) \quad \nabla_{\xi} h = 2ah\varphi,$$

$a \in \mathbb{R}$ . Then we have

$$(3.4) \quad \begin{aligned} \nabla_{\xi} e_1 &= -ae_2, & \nabla_{\xi} e_2 &= ae_1, \\ \nabla_{e_1} \xi &= -(\lambda + 1)e_2, & \nabla_{e_2} \xi &= -(\lambda - 1)e_1, \\ \nabla_{e_1} e_1 &= \frac{1}{2\lambda} \{e_2(\lambda) + A\}e_2, & \nabla_{e_2} e_2 &= \frac{1}{2\lambda} \{e_1(\lambda) + B\}e_1, \\ \nabla_{e_1} e_2 &= -\frac{1}{2\lambda} \{e_2(\lambda) + A\}e_1 + (\lambda + 1)\xi, \\ \nabla_{e_2} e_1 &= -\frac{1}{2\lambda} \{e_1(\lambda) + B\}e_2 + (\lambda - 1)\xi. \end{aligned}$$

Furthermore, we have the Ricci operator  $S$  as follows:

$$(3.5) \quad \begin{aligned} Se_1 &= \left(\frac{r}{2} - 1 + \lambda^2 + 2a\lambda\right) e_1 + \xi(\lambda)e_2 + A\xi, \\ Se_2 &= \xi(\lambda)e_1 + \left(\frac{r}{2} - 1 + \lambda^2 - 2a\lambda\right) e_2 + B\xi, \\ S\xi &= Ae_1 + Be_2 + 2(1 - \lambda^2)\xi, \end{aligned}$$

where  $A = \rho(\xi, e_1)$  and  $B = \rho(\xi, e_2)$ .

We use the following notational conventions:  $\Gamma_{ijk} = g(\nabla_{e_i} e_j, e_k)$ ,  $\rho_{ij} = \rho(e_i, e_j)$ ,  $\nabla_i \rho_{jk} = (\nabla_{e_i} \rho)(e_j, e_k)$  and  $\nabla_m R_{ijkl} = g((\nabla_m R)(e_i, e_j)e_k, e_l)$  for  $m, i, j, k, l = 1, 2, 3$ .

*Proof of the Main Theorem.* Let  $M = (M^3; \eta, g, \varphi, \xi)$  be a 3-dimensional contact Riemannian manifold which satisfies (3.3) and its Ricci tensor  $S$  is  $\eta$ -parallel. Then, since  $(\nabla_{\xi} h)e_1 = (\xi\lambda)e_1 + (\lambda - h)\nabla_{\xi} e_1$ , from (3.3) we have

$$(3.6) \quad \xi\lambda = 0.$$

From (3.5) and (3.6) we get

$$(3.7) \quad \rho_{12} = 0.$$

If we apply the second Bianchi identity in (3.2), then we have

$$(3.8) \quad 2\nabla_2\rho_{12} + 2\nabla_3\rho_{13} + \nabla_1\rho_{11} - \nabla_1\rho_{22} - \nabla_1\rho_{33} = 0,$$

$$(3.9) \quad 2\nabla_1\rho_{21} + 2\nabla_3\rho_{23} - \nabla_2\rho_{11} + \nabla_2\rho_{22} - \nabla_2\rho_{33} = 0.$$

Since  $M$  has  $\eta$ -parallel Ricci tensor, we have

$$(3.10) \quad \nabla_\alpha\rho_{\beta\gamma} = 0$$

for  $\alpha, \beta, \gamma = 1, 2$ .

Here, we divide our arguments into two cases: (I)  $a = 0$ , (II)  $a \neq 0$ .

(I)  $a = 0$ ; From (3.5) we get at once  $\rho_{11} = \rho_{22}$ . Further, from (3.4), (3.5), (3.6) and (3.10) we see that  $e_1(\rho_{11}) = 0$ , and hence we obtain

$$\begin{aligned} \nabla_1\rho_{22} &= e_1(\rho_{22}) - 2 \sum_{k=1}^3 \Gamma_{12k}\rho(e_k, e_2) \\ &= -2(\lambda + 1)\rho_{23} \quad (\because (3.4) \text{ and } (3.7)). \end{aligned}$$

Similarly, we get  $e_2(\rho_{22}) = 0$ , and hence we obtain

$$\nabla_2\rho_{11} = -2(\lambda - 1)\rho_{13}.$$

By (3.10) we have

$$(3.11) \quad (1 + \lambda)\rho_{23} = 0 \text{ and } (1 - \lambda)\rho_{13} = 0.$$

On the other hand, differentiating (3.7) covariantly in the direction  $e_1, e_2$ , respectively, then by using (3.4) we get

$$(3.12) \quad \begin{aligned} \nabla_1\rho_{12} &= -(1 + \lambda)\rho_{13}, \\ \nabla_2\rho_{12} &= (1 - \lambda)\rho_{23}, \end{aligned}$$

respectively. Together with (3.10) we have

$$(3.13) \quad (1 + \lambda)\rho_{13} = 0 \text{ and } (1 - \lambda)\rho_{23} = 0.$$

The equations (3.11) and (3.13) yield

$$(3.14) \quad \rho_{13} = \rho_{31} = 0, \quad \rho_{23} = \rho_{32} = 0.$$

From (3.4) and (3.14) we have

$$(3.15) \quad \nabla_3\rho_{13} = \nabla_3\rho_{31} = 0, \quad \nabla_3\rho_{23} = \nabla_3\rho_{32} = 0.$$

Thus, from (3.8), (3.9), (3.10) and (3.15) we have

$$\nabla_1\rho_{33} = \nabla_2\rho_{33} = 0.$$

Since  $\rho_{33} = 2 - 2\lambda^2$  (the equation (2.5)), together with (3.4), (3.6) and (3.14) we see that  $\lambda$  is constant on  $M$ , where we have used the continuity of  $\lambda$ .

Thus, together with (3.4) and (3.14), we have

$$[e_1, e_2] = 2e_3, \quad [e_2, e_3] = (1 - \lambda)e_1, \quad [e_3, e_1] = (1 + \lambda)e_2.$$

By virtue of the Milnor's classification for 3-dimensional unimodular Lie group ([12]), we see that  $M$  is locally isometric to one of the followings:

- (i)  $SU(2)$  (or  $SO(3)$ ) with a left invariant metric when  $0 < \lambda < 1$ ;
- (ii)  $SL(2, \mathbb{R})$  (or  $O(1, 2)$ ) with a left invariant metric when  $\lambda > 1$ ;
- (iii) flat when  $\lambda = 1$ . (This gives the proof of Corollary A.)

(II)  $a \neq 0$ ; Differentiating (3.7) covariantly in the direction  $e_1, e_2$ , respectively, and by using (3.4) and (3.10), then we have

$$(3.16) \quad \begin{aligned} \Gamma_{112}(\rho_{11} - \rho_{22}) - (\lambda + 1)\rho_{13} &= 0, \\ \Gamma_{221}(\rho_{11} - \rho_{22}) + (\lambda - 1)\rho_{23} &= 0, \end{aligned}$$

respectively. From (3.5) and (3.16), then we have

$$(3.17) \quad \begin{aligned} 4\lambda a\Gamma_{112} &= (\lambda + 1)\rho_{13}, \\ 4\lambda a\Gamma_{221} &= (-\lambda + 1)\rho_{23}. \end{aligned}$$

Also, if we differentiate  $\rho_{11} - \rho_{22} = 4\lambda a$  covariantly in the direction  $e_1, e_2$ , respectively, then together with (3.10), we have

$$(3.18) \quad 2(e_1\lambda)a = -(\lambda + 1)\rho_{23}, \quad 2(e_2\lambda)a = (\lambda - 1)\rho_{13},$$

respectively. If we put  $X = e_2, Y = e_1, Z = e_3$  in (3.2), then we get

$$(3.19) \quad R(e_1, e_2)e_3 = \rho_{23}e_1 - \rho_{13}e_2.$$

On the other hand, together with (3.4) we calculate

$$(3.20) \quad \begin{aligned} R(e_1, e_2)e_3 &= \nabla_{e_1}(\nabla_{e_2}e_3) - \nabla_{e_2}(\nabla_{e_1}e_3) - \nabla_{[e_1, e_2]}e_3 \\ &= \{-(e_1\lambda) + 2\lambda\Gamma_{221}\}e_1 + \{(e_2\lambda) - 2\lambda\Gamma_{112}\}e_2 \end{aligned}$$

and comparing this with (3.19) we have

$$(3.21) \quad \begin{aligned} -(e_2\lambda) + 2\lambda\Gamma_{112} &= \rho_{13}, \\ -(e_1\lambda) + 2\lambda\Gamma_{221} &= \rho_{23}. \end{aligned}$$

From (3.18) and (3.21) we have

$$(3.22) \quad 4\lambda a\Gamma_{112} = (\lambda + 2a - 1)\rho_{13}, \quad 4\lambda a\Gamma_{221} = -(\lambda - 2a + 1)\rho_{23}.$$

By using (3.17) and (3.22) we can see that  $\rho_{13} = \rho_{23} = 0$  if  $a \neq 1$ . At first we consider the case  $a \neq 1$ . Then from (3.18) we see that  $\lambda$  is constant on  $M$ . Therefore, together with (3.2), (3.4), (3.6) and (3.18), we have

$$[e_1, e_2] = 2e_3, \quad [e_2, e_3] = (1 - \lambda - a)e_1, \quad [e_3, e_1] = (1 + \lambda - a)e_2.$$

With the help of Milnor's result ([12]), we see that  $M$  is locally isometric to a unimodular Lie group with a left invariant (non-Sasakian) contact Riemannian metric.

Next, we consider the case  $a = 1$ . Then further from (3.2) we have

$$(3.23) \quad R(e_2, e_3)e_1 = \rho_{13}e_2, \quad R(e_3, e_1)e_2 = -\rho_{23}e_1.$$

Also, together with (3.4) we calculate

$$\begin{aligned}
 R(e_2, e_3)e_1 &= \nabla_{e_2}(\nabla_{e_3}e_1) - \nabla_{e_3}(\nabla_{e_2}e_1) - \nabla_{[e_2, e_3]}e_1 \\
 &= (e_3\Gamma_{221} + \lambda\Gamma_{112})e_2, \\
 R(e_3, e_1)e_2 &= \nabla_{e_3}(\nabla_{e_1}e_2) - \nabla_{e_1}(\nabla_{e_3}e_2) - \nabla_{[e_3, e_1]}e_2 \\
 &= (-e_3\Gamma_{112} - \lambda\Gamma_{221})e_2.
 \end{aligned}
 \tag{3.24}$$

If we consider (3.24) with (3.23) we have

$$\begin{aligned}
 e_3\Gamma_{221} + \lambda\Gamma_{112} &= \rho_{13}, \\
 e_3\Gamma_{112} + \lambda\Gamma_{221} &= \rho_{23}.
 \end{aligned}
 \tag{3.25}$$

Since the Ricci tensor is  $\eta$ -parallel, from (3.8) and (3.9) we get

$$2\nabla_3\rho_{13} = \nabla_1\rho_{33}, \quad 2\nabla_3\rho_{23} = \nabla_2\rho_{33}.
 \tag{3.26}$$

But, from (2.5) using (3.4) we have

$$\begin{aligned}
 \nabla_1\rho_{33} &= -4\lambda(e_1\lambda) + 2(\lambda + 1)\rho_{23}, \\
 \nabla_2\rho_{33} &= -4\lambda(e_2\lambda) + 2(\lambda - 1)\rho_{13},
 \end{aligned}$$

hence from (3.26) we have also

$$\begin{aligned}
 2\nabla_3\rho_{13} &= -4\lambda(e_1\lambda) + 2(\lambda + 1)\rho_{23}, \\
 2\nabla_3\rho_{23} &= -4\lambda(e_2\lambda) + 2(\lambda - 1)\rho_{13}.
 \end{aligned}
 \tag{3.27}$$

Now, if we differentiate two equations in (3.22)( $a = 1$ ) covariantly in the characteristic direction  $\xi = e_3$ , respectively, then together with (3.4) and (3.6) we get

$$\begin{aligned}
 4\lambda(e_3\Gamma_{221}) &= (1 - \lambda)(\nabla_3\rho_{13} + \rho_{13}), \\
 4\lambda(e_3\Gamma_{112}) &= (\lambda + 1)(\nabla_3\rho_{13} - \rho_{23}),
 \end{aligned}$$

respectively, and so, with (3.22), (3.25) and (3.27), we have

$$\rho_{13} = (\lambda - 1)(e_2\lambda), \quad \rho_{23} = -(\lambda + 1)(e_1\lambda).
 \tag{3.28}$$

Thus from (3.18) and (3.28) we have

$$(\lambda^2 - 2\lambda - 1)(e_2\lambda) = 0, \quad (\lambda^2 + 2\lambda - 1)(e_1\lambda) = 0.$$

From these and (3.6) we find that  $\lambda$  is constant on  $M$ , and again in (3.28) we see that  $\rho_{13} = \rho_{23} = 0$ . Therefore, together with (3.4), we have

$$[e_1, e_2] = 2e_3, \quad [e_2, e_3] = -\lambda e_1, \quad [e_3, e_1] = \lambda e_2.
 \tag{3.29}$$

Due to the same reason as the case (I)  $a = 1$ , we see that  $M$  is locally isometric to  $SL(2, \mathbb{R})$  (or  $O(1, 2)$ ) with a left invariant (non-Sasakian) contact metric structure. (Hence, we have Corollary B.)

Conversely, we see that a Sasakian locally  $\varphi$ -symmetric space satisfies (3.3) ( $h = 0$ ) and at the same time  $S$  is  $\eta$ -parallel. In fact, we have

$$(\nabla_U\rho)(V, W) = g((\nabla_U R)(e_1, V)W, e_1) + g((\nabla_U R)(\varphi e_1, V)W, \varphi e_1) + g((\nabla_U R)(\xi, V)W, \xi)$$



and from (2.4) and (2.8) we obtain  $g((\nabla_U R)(\xi, V)W, \xi) = 0$  for  $U, V, W \in D$ . (We note that a Sasakian locally  $\varphi$ -symmetric space implies that the Ricci tensor is  $\eta$ -parallel in general.) Now, we consider a 3-dimensional unimodular Lie group with a left-invariant metric structure. Its Lie algebra structure is given by (cf. [12])

$$(3.30) \quad [e_1, e_2] = c_1 e_3, \quad [e_2, e_3] = c_2 e_1, \quad [e_3, e_1] = c_3 e_2$$

for some constants  $c_1 (\neq 0), c_2, c_3$ . Let  $\{\omega_i\}$  be the dual 1-forms to the vector fields  $\{e_i\}$ . By using (3.30) we get  $d\omega_3(e_1, e_2) = -d\omega_3(e_2, e_1) = -\frac{c_1}{2}$  and  $d\omega_3(e_i, e_j) = 0$  otherwise. Further we easily find that  $(\omega_3 \wedge d\omega_3)(e_1, e_2, e_3) = -\frac{c_1}{6} (\neq 0)$ , and hence  $\omega_3$  is a contact form and  $e_3$  is the characteristic vector field. Define a Riemannian metric  $g$  and a  $(1, 1)$ -tensor field  $\varphi$  by

$$g(e_i, e_j) = \delta_{ij}, \quad d\omega_3(e_i, e_j) = g(e_i, \varphi e_j)$$

for  $i, j = 1, 2, 3$ . In order  $(\omega_3, g, \varphi, e_3)$  to be a contact Riemannian structure, it must satisfy that  $g(\varphi e_i, \varphi e_j) = g(e_i, e_j) - \omega(e_i)\omega(e_j)$  for  $i, j = 1, 2, 3$ , so that  $c_1 = 2$ .

We recall the Koszul formula

$$2g(\nabla_X Y, Z) = Xg(Y, Z) + Yg(Z, X) - Zg(X, Y) + g(Y, [Z, X]) + g(Z, [X, Y]) - g(X, [Y, Z])$$

for  $X, Y, Z$  are smooth vector fields on the manifold. Then the Koszul formula and (3.30) give

$$(3.31) \quad \begin{aligned} \Gamma_{123} &= \frac{1}{2}(c_3 - c_2 + 2), \\ \Gamma_{213} &= \frac{1}{2}(c_3 - c_2 - 2), \\ \Gamma_{312} &= \frac{1}{2}(c_3 + c_2 - 2), \\ &\text{all others are zero.} \end{aligned}$$

Then a straightforward computation together with (3.31) yields

$$(3.32) \quad \begin{aligned} R(e_1, e_2)e_2 &= \left( \frac{1}{4}(c_3 - c_2)^2 - 3 + c_3 + c_2 \right) e_1, \\ R(e_1, e_3)e_3 &= \left( -\frac{1}{4}(c_3 - c_2)^2 - \frac{1}{2}(c_3^2 - c_2^2) + 1 - c_2 + c_3 \right) e_1, \\ R(e_2, e_1)e_1 &= \left( \frac{1}{4}(c_3 - c_2)^2 - 3 + c_3 + c_2 \right) e_2, \\ R(e_2, e_3)e_3 &= \left( \frac{1}{4}(c_3 + c_2)^2 - c_2^2 + 1 + c_2 - c_3 \right) e_2, \\ R(e_3, e_1)e_1 &= \left( -\frac{1}{4}(c_3 - c_2)^2 - \frac{1}{2}(c_3^2 - c_2^2) + 1 - c_2 + c_3 \right) e_3, \end{aligned}$$

$$R(e_3, e_2)e_2 = \left( \frac{1}{4}(c_3 + c_2)^2 - c_2^3 + 1 + c_2 - c_3 \right) e_3.$$

From the definition of the Ricci tensor and (3.32) we get

$$(3.33) \quad \begin{aligned} Se_1 &= \left( -\frac{1}{2}(c_3^2 - c_2^2) - 2 + 2c_3 \right) e_1, \\ Se_2 &= \left( \frac{1}{2}(c_3^2 - c_2^2) - 2 + 2c_2 \right) e_2, \\ Se_3 &= \left( -\frac{1}{2}(c_3 - c_2)^2 + 2 \right) e_3. \end{aligned}$$

From (2.4) and (3.31) we obtain

$$(3.34) \quad he_1 = \frac{c_3 - c_2}{2}e_1, \quad he_2 = -\frac{c_3 - c_2}{2}e_2.$$

From (3.31) and (3.34), we can see that all the unimodular Lie groups with the contact left invariant Riemannian metrics satisfy (3.3) with  $a = \frac{1}{2}(2 - c_2 - c_3)$  or  $c_2 = c_3$ , ( $h = 0$ ). And we can check that  $S$  is  $\eta$ -parallel for all the unimodular Lie groups. Therefore summing up all the arguments so far then we complete the proof of Main Theorem.  $\square$

We close this section, by showing two examples of contact Riemannian 3-manifolds which have the property  $\nabla_\xi h = 2ah\varphi$ , but the Ricci tensors are not  $\eta$ -parallel. One is homogeneous space and another is a non-homogeneous case.

(1) Non-unimodular Lie groups with left invariant (non-Sasakian) contact metric structures also have the property  $\nabla_\xi h = 2ah\varphi$ , but the Ricci tensors are not  $\eta$ -parallel. Indeed, let  $M$  be a 3-dimensional non-unimodular Lie group with left invariant contact metric structure. Then we know that (cf. [8]) there exists an orthonormal basis  $\{e_1, e_2 = \varphi e_1, e_3 = \xi\} \in \mathfrak{m}$  such that

$$(3.35) \quad [e_1, e_2] = \alpha e_2 + 2e_3, \quad [e_2, e_3] = 0, \quad [e_3, e_1] = \gamma e_2,$$

where  $\alpha \neq 0$ . Moreover,  $M$  is Sasakian if and only if  $\gamma = 0$ . From (3.35), by using the Koszul formula we have

$$(3.36) \quad \begin{aligned} \Gamma_{123} &= \frac{\gamma + 2}{2}, \\ \Gamma_{212} &= -\alpha, \\ \Gamma_{213} &= \frac{\gamma - 2}{2}, \\ \Gamma_{312} &= \frac{\gamma - 2}{2}, \\ &\text{all others are zero.} \end{aligned}$$

Then, by the definition of the curvature tensor, we have

$$R(e_1, e_2)e_2 = \left( \frac{\gamma^2 + 4\gamma - 12}{4} - \alpha^2 \right) e_1,$$

$$\begin{aligned}
 (3.37) \quad R(e_1, e_3)e_3 &= \left( \frac{-3\gamma^2 + 8\gamma + 4}{4} \right) e_1, \\
 R(e_2, e_1)e_1 &= \left( \frac{\gamma^2 + 4\gamma - 12}{4} - \alpha^2 \right) e_2 + \alpha\gamma e_3, \\
 R(e_2, e_3)e_1 &= \frac{(\gamma - 2)^2}{4} e_2, \\
 R(e_3, e_1)e_1 &= \alpha\gamma e_2 + \left( \frac{-3\gamma^2 + 4\gamma + 4}{4} \right) e_3, \\
 R(e_3, e_2)e_2 &= \frac{(\gamma - 2)^2}{4} e_3.
 \end{aligned}$$

From these, we have the Ricci tensor

$$\begin{aligned}
 (3.38) \quad Se_1 &= \left( -\alpha^2 - 2 + 2\gamma - \frac{\gamma^2}{2} \right) e_1, \\
 Se_2 &= \left( -\alpha^2 - 2 + \frac{\gamma^2}{2} \right) e_2 + \alpha\gamma e_3, \\
 Se_3 &= \alpha\gamma e_2 + \left( 2 - \frac{\gamma^2}{2} \right) e_3.
 \end{aligned}$$

On the other hand, from (2.4) and (3.36) we have

$$(3.39) \quad he_1 = \gamma/2e_1, \quad he_2 = -\gamma/2e_2.$$

From (3.36) and (3.39), we see that  $\nabla_\xi h = 2ah\varphi$ , where  $2a = 2 - \gamma$ . But, from (3.36) and (3.38), we obtain

$$\begin{aligned}
 (3.40) \quad (\nabla_{e_1}\rho)(e_2, e_2) &= -\alpha\gamma \cdot (\gamma + 2)/2, \quad (\nabla_{e_2}\rho)(e_1, e_2) = \alpha\gamma(\gamma - 2), \\
 (\nabla_{e_1}\rho)(e_1, e_1) &= (\nabla_{e_1}\rho)(e_2, e_2) = (\nabla_{e_2}\rho)(e_1, e_1) = (\nabla_{e_2}\rho)(e_2, e_2) = 0.
 \end{aligned}$$

So, we see that for a non-Sasakian  $M$  ( $\gamma \neq 0$ ) its Ricci tensor is not  $\eta$ -parallel.

(2) (This example appeared in [14].) Let  $M$  be the open submanifold  $\{(x, y, z) \in \mathbb{R}^3 \mid x \neq 0\}$  of Cartesian 3-space together with a contact form  $\eta = xydx + dz$ . The characteristic vector field of this contact 3-manifold is  $\xi = \partial/\partial z$ . Take a global frame field

$$e_1 = -\frac{2}{x} \frac{\partial}{\partial y}, \quad e_2 = \frac{\partial}{\partial x} - \frac{4z}{x} \frac{\partial}{\partial y} - xy \frac{\partial}{\partial z}, \quad e_3 = \xi$$

and define a Riemannian metric  $g$  with respect to  $\{e_1, e_2, e_3\}$  to be an orthonormal frame. Moreover, define an endomorphism field  $\varphi$  by  $\varphi e_1 = e_2$ ,  $\varphi e_2 = -e_1$  and  $\varphi \xi = 0$ . Then  $(\varphi, \xi, g)$  is an associated almost contact metric structure for  $\eta$ . The endomorphism field  $h$  satisfies  $he_1 = e_1$ ,  $he_2 = -e_2$ . Hence,  $M$  is not Sasakian. Perrone showed that this contact Riemannian 3-manifold is non-homogeneous. We calculate that

$$(\nabla_\xi h)e_i = -4e_i, \quad i = 1, 2.$$

And from the straightforward computations we have

$$g((\nabla_{e_1} S)e_1, e_2) = \frac{8}{x} \neq 0.$$

So, this is a non-homogeneous example which satisfies  $\nabla_\xi h = -4h\varphi$  ( $a = -2$ ), but its Ricci tensor is not  $\eta$ -parallel.

*Remark 2.* A 3-dimensional contact Riemannian manifold which has  $\eta$ -parallel Ricci tensor is locally  $\varphi$ -symmetric. But, the converse does not hold in general. Actually the above examples (1) with  $\gamma = 2$  and (2) are locally  $\varphi$ -symmetric spaces (cf. [8], [14]). In this context, we do not know so far an example of a locally  $\varphi$ -symmetric contact Riemannian manifold which does not satisfy  $\nabla_\xi h = 2ah\varphi$ . So, we may raise the following question: *Does a locally  $\varphi$ -symmetric contact Riemannian manifold always satisfy the property  $\nabla_\xi h = 2ah\varphi$ ?* A locally symmetric contact Riemannian manifold satisfies  $\nabla_\xi h = 0$  (cf. [3], [7]).

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