ORIGINAL ARTICLE

Early Pulmonary Vascular Disease in Young Adults Born Preterm

Kara N. Goss^{1,2}, Arij G. Beshish¹, Gregory P. Barton¹, Kristin Haraldsdottir^{1,3}, Taylor S. Levin^{1,3}, Laura H. Tetri¹, Therese J. Battiola², Ashley M. Mulchrone⁴, David F. Pegelow¹, Mari Palta^{5,6}, Luke J. Lamers¹, Andrew M. Watson⁷, Naomi C. Chesler^{1,2,4}, and Marlowe W. Eldridge^{1,3,4}

¹Department of Pediatrics, ²Department of Medicine, ³Department of Kinesiology, ⁴Department of Biomedical Engineering, ⁵Department of Population Health Sciences, ⁶Department of Biostatistics and Medical Informatics, and ⁷Department of Orthopedic and Rehabilitation Medicine, School of Medicine and Public Health, University of Wisconsin, Madison, Wisconsin

ORCID ID: 0000-0003-2015-9169 (K.N.G.).

Abstract

Rationale: Premature birth affects 10% of live births in the United States and is associated with alveolar simplification and altered pulmonary microvascular development. However, little is known about the long-term impact prematurity has on the pulmonary vasculature

Objectives: Determine the long-term effects of prematurity on right ventricular and pulmonary vascular hemodynamics.

Methods: Preterm subjects (n = 11) were recruited from the Newborn Lung Project, a prospectively followed cohort at the University of Wisconsin–Madison, born preterm with very low birth weight ($\leq 1,500$ g; average gestational age, 28 wk) between 1988 and 1991. Control subjects (n = 10) from the same birth years were recruited from the general population. All subjects had no known adult cardiopulmonary disease. Right heart catheterization was performed to assess right ventricular and pulmonary vascular hemodynamics at rest and during hypoxic and exercise stress.

Measurements and Main Results: Preterm subjects had higher mean pulmonary arterial pressures (mPAPs), with 27% (3 of 11) meeting criteria for borderline pulmonary hypertension (mPAP, 19–24 mm Hg) and 18% (2 of 11) meeting criteria for overt pulmonary hypertension (mPAP \geq 25 mm Hg). Pulmonary vascular resistance and elastance were higher at rest and during exercise, suggesting a stiffer vascular bed. Preterm subjects were significantly less able to augment cardiac index or right ventricular stroke work during exercise. Among neonatal characteristics, total ventilatory support days was the strongest predictor of adult pulmonary pressure.

Conclusions: Young adults born preterm demonstrate early pulmonary vascular disease, characterized by elevated pulmonary pressures, a stiffer pulmonary vascular bed, and right ventricular dysfunction, consistent with an increased risk of developing pulmonary hypertension.

Keywords: prematurity; pulmonary hypertension; exercise; bronchopulmonary dysplasia; right ventricular function

Premature birth, defined as less than 37 weeks of completed gestation, affects 10% of all live births in the United States (1). Preterm birth is associated with alveolar simplification and altered pulmonary microvascular development and is a known risk factor for neonatal and childhood

pulmonary vascular disease (2–4). Premature infants with pulmonary vascular disease in the first week of life are more likely to develop bronchopulmonary dysplasia (BPD) and pulmonary hypertension (PH) at 36 weeks postmenstrual age (5). In adolescence, those

born premature have higher estimated pulmonary artery pressure (PAP) by echocardiography than aged-matched term-born control subjects, with the highest values in preterm subjects with a history of BPD (6). In addition, registry studies have identified a three- to fivefold increased risk

(Received in original form October 9, 2017; accepted in final form June 25, 2018)

Supported by NIH grants 1R01 HL086897 (M.W.E.), R01 HL38149 (M.W.E.), 1R01HL086939 (N.C.C.), and 1R01HL105598 (N.C.C.). K.N.G. is supported by the University of Wisconsin Clinical and Translational Science Award program, through the NIH National Center for Advancing Translational Sciences grant NIH UL1TR000427 (Primary Investigator Marc Drezner; 4KL2TR000428-10). Assembly of the Newborn Lung Cohort was supported by grant R01 HL38149 (M.P.).

Author Contributions: Study concept and design: K.N.G., N.C.C., and M.W.E.; data collection and analysis: K.N.G., A.G.B., G.P.B., K.H., T.S.L., L.H.T., T.J.B., D.F.P., L.J.L., and M.W.E.; interpretation of data: K.N.G., A.G.B., G.P.B., T.S.L., T.J.B., A.M.M., and M.W.E.; statistical analysis: A.M.W. and M.P.; drafting initial manuscript: K.N.G. and A.G.B.; review and editing: K.N.G., A.G.B., G.P.B., K.H., T.S.L., L.H.T., T.J.B., A.M.M., M.P., L.J.L., A.M.W., N.C.C., and M.W.E.

Correspondence and requests for reprints should be addressed to Kara N. Goss, M.D., University of Wisconsin, Division of Allergy, Pulmonary, and Critical Care, H4/616 CSC MC9988, 600 Highland Avenue, Madison, WI 53792-9988. E-mail: kngoss@medicine.wisc.edu.

This article has an online supplement, which is accessible from this issue's table of contents at www.atsjournals.org.

Am J Respir Crit Care Med Vol 198, Iss 12, pp 1549–1558, Dec 15, 2018 Copyright © 2018 by the American Thoracic Society Originally Published in Press as DOI: 10.1164/rccm.201710-2016OC on June 26, 2018 Internet address: www.atsjournals.org

At a Glance Commentary

Scientific Knowledge on the Subject: Premature birth, defined as less than 37 weeks' gestation, affects 10% of all live births in the United States. Advances in neonatal care have resulted in the increased survival of infants born at extremely low gestational ages, and the vast majority now reach adulthood. Although prematurity is a known risk factor for neonatal and childhood pulmonary vascular disease, little is known about the impact of prematurity on the pulmonary vasculature and right ventricle into adulthood.

What This Study Adds to the

Field: Among young adults born premature, with an average gestational age of 29 weeks and no history of adult cardiovascular or respiratory disease, 45% (5 of 11) have elevated resting pulmonary vascular pressures meeting criteria for borderline or overt pulmonary hypertension. In addition to a stiffer, less-recruitable vascular bed, otherwise healthy adults born preterm demonstrate a blunted cardiac response to exercise, suggesting early cardiac dysfunction. These findings support the concept that premature birth is associated with an increased risk for the development of adult pulmonary vascular disease, specifically pulmonary hypertension, as well as right ventricular dysfunction. Further studies are needed to determine the etiology and rate of progression of pulmonary vascular and right ventricular dysfunction in this at-risk population.

for development of PH in adolescents and young adults born premature, even after adjustment for congenital heart defects and pulmonary diseases (4, 7).

Still, how prematurity affects the pulmonary vasculature into adulthood is unclear. A cardiac magnetic resonance imaging study in young adults born preterm demonstrated greater right ventricular (RV) mass and lower RV ejection fraction compared with age-matched term-born control subjects (8). Whether or not pulmonary vascular disease was present in

this study remains unknown. Finally, a noninvasive limited echocardiography-based exercise study in adults born premature demonstrated an exaggerated increase in estimated PAP. Paradoxically, this effect was seen primarily in pretermborn adults without a history of BPD (9).

We hypothesized that adults born moderately to extremely preterm would have 1) elevated resting PAP, 2) an abnormal pulmonary vascular response to exercise consistent with decreased recruitable pulmonary vascular surface area and a stiffer pulmonary vascular bed, and 3) an exaggerated hypoxic vasoconstrictor response. To test our hypotheses, we measured invasive pulmonary vascular and RV hemodynamics at rest and in response to physiologic stressors, including exercise, hypoxia, and hypoxic exercise, in young adults born preterm as compared with that of control subjects born at term within the same time period. Some of these results were previously reported in the form of abstracts (10, 11).

Methods

Subjects

Preterm subjects (n = 11) were recruited from the Newborn Lung Project, a cohort of infants born preterm with very low birth weight (≤1,500 g) between 1988 and 1991 in Wisconsin and Iowa and prospectively followed at the University of Wisconsin-Madison (12-15). Comprehensive neonatal medical records were available for all subjects. Control subjects (n = 10) born at term within the same period (1988-1991) were recruited from the general population in Wisconsin. Term and preterm subjects were free of adult cardiopulmonary disease and were nonsmokers. This study was approved by the Institutional Review Board at the University of Wisconsin, School of Medicine and Public Health. Written informed consent was obtained from all subjects.

Screening Visit

During the initial screening visit, general anthropometric data were collected. A Global Physical Activity Questionnaire was completed for each subject (16). Questions assess physical activity at work, in transport and leisure time, and how much time is spent sedentary, with scores used to

determine the metabolic equivalent of task minutes per week as a measure of general activity level.

Pulmonary function testing. Subjects underwent baseline pulmonary function testing, including FEV₁, FVC, FEV₁/FVC, and forced expiratory flow at 25% to 75% (Desktop Diagnostics/CPFS; Medical Graphics). Di_{CO} was measured (MasterScreen PFT) and corrected for hemoglobin (Easylife Hb). Predicted values and lower limits of normal for pulmonary function tests were calculated as previously described by the Global Lung Initiative (17, 18).

Graded maximal exercise testing. Each subject performed a maximal exercise test on a cycle ergometer (Velotron; RacerMate) to determine Vo₂max as well as maximal power output (Pmax) in normoxia (Fio., 0.21) and hypoxia (FiO,, 0.12). Pmax was determined as the wattage at the highest completed stage for the given oxygen condition. These values were used to establish the workload (70% of each individual's Pmax) for the right heart catheterization study visit, ensuring that each subject was exercising at or near their anaerobic threshold. Vo₂max was determined from a rolling 30-second average of Vo2. A test was considered a valid Vo₂max using the following criteria: 1) a plateau in Vo_2 , 2) respiratory exchange ratio greater than or equal to 1.1, and 3) maximal heart rate (HR) higher than 90% predicted max (220 - age). Stage completion required the subjects to finish at least 30 seconds of a given stage. Exercise started at 65 W, and workload was increased by 15 W every minute. Subjects were asked to maintain a cadence of 60 to 70 revolutions per minute, and testing concluded when subjects were no longer able to maintain 55 revolutions for more than 5 seconds despite verbal encouragement. Subjects were given a 45-minute rest period between exercise bouts to ensure that the HR returned to baseline.

Right Heart Catheter Visit

The right internal jugular vein was accessed under sterile conditions with local anesthesia, and a 40 cm long 8F J-shaped Flexor sheath positioned in the RV. Two 3.5F high-fidelity solid-state pressure sensor catheters (Mikro-Cath; Millar) were placed via the sheath, one in the RV and the other in the pulmonary artery (PA), with position confirmation through transducing

appropriate waveforms. A 4F fluid-filled catheter was placed in the PA for venous blood sampling. All right heart catheter insertions were performed by the same interventional cardiologist (L.J.L.). A 3F arterial catheter was placed in a radial artery.

Invasive cardiopulmonary exercise testing. After securing the catheters, subjects were transported from the catheterization lab to the exercise physiology lab. They were positioned on a supine ergometer (stepper) (Cardio Step Module; Ergospect Medical Technology), with which subjects step against pneumatic pistons, with the exercise power determined by the continuously adjustable resistance and the step frequency. After acquiring resting data, subjects exercised for 5 minutes at 70% Pmax to achieve steady-state HR under normoxic and hypoxic conditions. Exercise data were acquired once steady state was achieved. Mixed venous and arterial blood samples were collected from the PA and radial catheters, respectively, and blood gases were measured (pHOx Basic; Nova Biomedical) in triplicate at rest and during steady-state exercise. Expired gases were collected in a breathby-breath manner (Gemini; CWE), and ventilatory and metabolic parameters were continuously recorded in PowerLab (Lab chart version 8 for Windows; ADInstruments). Vo₂ at rest and during exercise was determined offline by a blinded reviewer (K.H.).

RV and pulmonary vascular hemodynamic measurements. Resting and exercise hemodynamics including RV pressure (RVP) and PAP were continuously recorded using Powerlab. RV and PA pressure waveforms were analyzed offline by two blinded reviewers (K.N.G. and A.G.B.) to determine catheter locations, and discrepancies were adjudicated by a third blinded reviewer (M.W.E.). RV waveforms were identified by characteristic up-sloping end-diastolic pressure tracing signifying ventricular filling followed by a sharp upstroke to a peaked tracing. PAP tracings were identified by a sharp upstroke followed by a dicrotic notch on the back side of the waveform, with continued downslope until the next cardiac cycle. For subjects with flattened RV waveforms where the measured systolic RVP was lower than the systolic PAP, likely secondary to the Millar catheter catching between trabeculae during contraction, the systolic PAP was used as the best estimate of systolic RVP. Waveforms

that did not meet these criteria were excluded. All subjects had analyzable RV and PA waveforms at rest. Pressure tracings were averaged over a period of 30 seconds at steady-state rest and exercise to ensure measurements over multiple respiratory cycles, and measurements between the two reviewers were averaged for analysis.

Cardiac output (CO) for each condition was calculated using the direct Fick method. Stroke volume (SV) was calculated as CO divided by HR. Stroke work (SW) was calculated as systolic RVP multiplied by SV. CO, SV, and SW were indexed for body surface area (CI, SVI, and SWI, respectively), on the basis of the formula of Mosteller (19). Total pulmonary vascular resistance (TPVR) was calculated as mean PAP (mPAP) divided by CO. PA pulse pressure was calculated as the difference between systolic and diastolic PAP. Pulmonary vascular compliance was calculated as SV divided by pulse pressure, and arterial elastance (Ea) was calculated as mPAP divided by SV, as previously described (20).

Statistical Analysis

Data were initially grouped by birth status (preterm and term) and condition (rest, exercise, hypoxic rest, and hypoxic exercise). Baseline anthropometric data, pulmonary function testing data, and resting and exercise hemodynamic data were compared across birth status using unpaired Wilcoxon rank sum tests. Comparisons of the same individuals across conditions (for example, preterm subjects at rest vs. exercise under normoxic conditions) were made using paired Wilcoxon rank sum tests. The difference in the response to normoxic exercise between term and preterm subjects was evaluated through separate linear mixed effects regression models to predict the dependent variables (i.e., CI, HR, and SVI), with the interaction between birth status and condition (rest, exercise) as a fixed effect and individual as a random effect. The relationships between mPAP and CO as well as RVP and SV were compared between term and preterm groups using separate linear mixed effects regression models to predict the y variables (mPAP and RVP, respectively). The interaction between birth status and the x variables (CO and SV) were included as fixed effects and individual as a random effect to account for the inclusion of both rest and exercise values from the same individuals. Among

the preterm participants, separate univariable regressions were developed to evaluate the relationship between mPAP and gestational age and ventilator days. Significance level was determined *a priori* at the 0.05 level, and all tests were two-tailed. All data are presented as mean ± SD, unless otherwise noted. All statistical analyses were performed in R (Foundation for Statistical Computing) (21), and graphs were generated using Prism Graphpad (Version 7, GraphPad Software Inc.).

Results

Subject Characteristics

Preterm subjects had an average gestational age of 28.6 ± 2.7 weeks (range, 24-31 wk) and birth weight of 1,087 \pm 297 g (range, 675–1,497 g). Adult anthropometric, physical activity, lung function, and exercise data for preterm and term subjects are shown in Table 1. Subjects were well matched with respect to anthropometric data and baseline physical activity. Spirometric values, including FEV₁ and FVC, were similar between control and preterm subjects. However, DLCO, DL_{CO}/VA, and the respective percentage predicted values were all significantly lower in the preterm subjects as compared with term subjects. Pmax and Vo₂max were also significantly lower in preterm subjects. As a group, the preterm subjects were above the lower limit of normal for spirometry and exercise capacity, consistent with selection of a healthy relatively fit preterm population, although DLCO and DLCO/VA fell at the lower limit of normal. Additional neonatal characteristics of the preterm subjects are shown in Table E1 in the online supplement.

Hemodynamics

Rest. Preterm subjects had 41% higher resting PAP, with mPAP 19.7 ± 4.6 versus 14.0 ± 3.4 mm Hg in term subjects (Table 1). Resting CO and CI were similar among preterm and term subjects, but TPVR and Ea were significantly higher, suggesting a stiffer pulmonary vasculature in preterm subjects. Distribution of key hemodynamic variables in reference to accepted clinical thresholds is shown in Figure 1 (upper limit for TPVR defined by Kovacs and colleagues [22]). Although

Table 1. Baseline Characteristics of Term and Preterm Subjects

	Term (n = 10)	Preterm (n = 11)	P Value
Anthropometric data			
Age, yr	26.3 ± 0.9	27.3 ± 0.8	0.02
Sex, M/F	7/3	5/6	0.26
Weight, kg	72.8 ± 9.8	69.3 ± 13.3	0.45
Height, cm	176.6 ± 7.8	170.0 ± 11.3	0.23
BMI, kg/m ²	23.3 ± 1.7	23.9 ± 3.0	0.66
Pulmonary function testing			
FVC, L	5.4 ± 1.1	4.7 ± 0.7	0.16
% predicted	103.8 ± 13.2	103.8 ± 16.6	0.73
GLI LLN, L	4.2 ± 0.7	3.7 ± 0.9	0.16
FEV₁, L	4.5 ± 1.0	3.8 ± 0.7	0.11
% predicted	101.9 ± 15.1	99.1 ± 20.1	0.37
GLI LLN, L	3.5 ± 0.5	3.1 ± 0.7	0.16
FEF ₂₅₋₇₅ , L/s	4.5 ± 1.6	3.6 ± 1.2	0.24
% Predicted	98.3 ± 30.3	87.6 ± 32.6	0.45
GLI LLN. L/s	2.9 ± 0.3	2.7 ± 0.4	0.08
FEV ₁ /FVC	0.82 ± 0.06	0.80 ± 0.06	0.73
D _{LCO} , ml/min/mm Hg	32.4 ± 6.5	24.4 ± 4.3	0.004
% predicted	97.8 ± 9.1	81.5 ± 6.4	< 0.001
GLI LLN, ml/min/mm Hg	24.4 ± 4.2	21.6 ± 5.2	0.19
D _{LCO} /V _A , ml/min/mm Hg/L	5.6 ± 0.8	4.7 ± 0.4	0.002
% predicted	113.3 ± 18.0	96.3 ± 8.9	0.01
GLI LLN, ml/min/mm Hg/L	4.7 ± 0.2	4.6 ± 0.2	0.29
VA, L	6.0 ± 1.4	5.3 ± 0.8	0.07
% predicted	93.9 ± 14.5	94.3 ± 10.9	0.86
GLÍ LLN, L	5.2 ± 0.7	4.7 ± 1.0	0.26
Exercise testing			
Vo ₂ max, L/min	3.5 ± 0.7	2.6 ± 0.6	0.005
% predicted	135.5 ± 31.7	112.4 ± 37.0	0.17
Vo ₂ max, ml/kg/min	50.0 ± 10.4	38.1 ± 8.6	0.01
Pmax, W	236.5 ± 48.9	187.7 ± 36.0	0.02
Pmax at 70% Vo ₂ max, W	172.8 ± 31.5	130.5 ± 24.6	0.005
GPAQ, MET/wk	$3,368 \pm 2,550$	$3,420 \pm 2,006$	0.79

Definition of abbreviations: BMI = body mass index; FEF_{25-75} = forced expiratory flow at 25–75% of pulmonary volume; GLI LLN = Global Lung Initiative lower limits of normal, GLI also used for percent predicted; GPAQ = Global Physical Activity Questionnaire; MET = metabolic equivalent of task; Pmax = maximal power output; Vo_2max = maximal oxygen consumption (Wasserman used for percent predicted, which accounts for both weight and sex). Continuous variables are presented as mean \pm SD. P values are unadjusted Wilcoxon rank sum tests (chi-square for sex).

systolic RVP was 19% higher among preterm subjects, the resting RV SWI was not significantly different between groups.

Hemodynamic response to exercise. At 70% of Pmax, preterm and term subjects exercised at a similar Vo_2 (1.2 \pm 0.3 vs. 1.5 ± 0.5 L/min, respectively; P = 0.13). Mean PAP remained higher in preterm subjects but was not statistically different from term subjects, likely because of the small sample size (Table 1; P = 0.11). However, TPVR and Ea remained significantly higher, consistent with a lessdistensible, less-recruitable pulmonary vascular surface area. Although RV hemodynamics were not different at submaximal exercise between groups, the RV response to exercise was significantly different between groups. Specifically, CI increased by 96% in term but only 49%

in preterm subjects (P = 0.01), SVI increased by 23% in term but decreased by 3% in preterm subjects (P = 0.07), and SWI increased 69% in term but only 15% in preterm subjects (P = 0.04) (Figure 2). Together, these results suggest a significant cardiac limitation to exercise in young adults born preterm.

To better understand the relationships between PAP and flow from rest to exercise and to compare mPAP and TPVR at isoflow states, we plotted CO versus mPAP for term and preterm subjects (Figure 3). Given that the slope of each line represents the TPVR, preterm subjects have a nonsignificantly higher vascular resistance for any given flow (P = 0.07). In addition, to better understand the relationship between RV work and CO, we plotted systolic RVP versus SV. Preterm subjects demonstrated a

trend toward lower volume ejected for any RVP (P = 0.06), consistent with early RV dysfunction during exercise.

Hemodynamic response to hypoxia and hypoxic exercise. During hypoxic rest, there were no significant differences between groups (Table 2). Although we hypothesized there would be increased hypoxic pulmonary vasoconstriction in preterm subjects, comparison of the response to hypoxia among groups showed no differences (P > 0.16 for all variables). Two preterm subjects did not complete hypoxic exercise because of presyncopal symptoms during the screening visit hypoxic Vo₂max test. Only TPVR remained significantly higher among preterm subjects during hypoxic exercise, likely because of the smaller sample size. The cardiac response to hypoxic exercise was comparable to

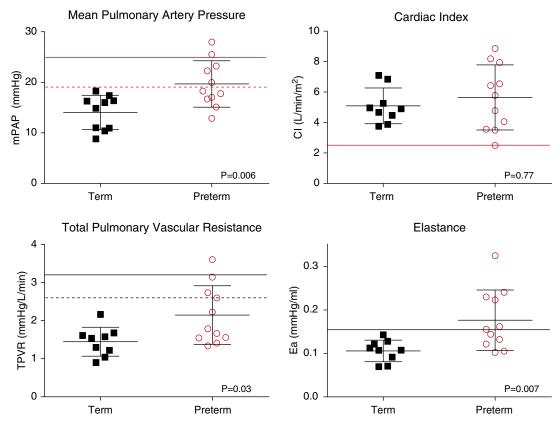


Figure 1. Distribution of resting hemodynamic data in term and preterm subjects in reference to clinical thresholds. For mean pulmonary artery pressure (mPAP) and cardiac index (CI), the solid red line represents accepted clinical thresholds for pulmonary hypertension and cardiac dysfunction, respectively. The dashed red line represents cut-point for borderline pulmonary hypertension. The solid black line represents 2 SDs above published and internal normative values for total pulmonary vascular resistance (TPVR) and elastance (Ea), respectively; the dashed black line represents 1 SD above published normative values. Error bars are SD.

normoxic exercise, with an 83% increase in SWI in term subjects compared with 27% in preterm subjects (P = 0.002).

Correlation with neonatal clinical data. In an effort to identify neonatal characteristics that might predict adult pulmonary vascular dysfunction, we performed separate univariable linear regressions to predict mPAP using gestational age, days on invasive ventilation, days on noninvasive ventilation (continuous positive airway pressure), and total days on combined invasive and noninvasive ventilation. The strongest neonatal predictor of elevated mPAP in adulthood was the number of days on combined invasive and noninvasive ventilation, which was a better predictor than gestational age (Figure 4). Notably, those infants requiring prolonged ventilatory support were also diagnosed with BPD, defined clinically by use of supplemental oxygen at 36 weeks postmenstrual age.

Discussion

We hypothesized that moderate to extreme premature birth persistently impairs pulmonary vascular function, such that adults born preterm have elevated resting PAP, an abnormal pulmonary vascular response to exercise due to a stiffer, lessrecruitable vascular bed, and an exaggerated hypoxic vasoconstrictor response. To test our hypothesis, we measured pulmonary vascular and RV hemodynamics at rest and in response to physiologic stressors, including exercise, hypoxia, and hypoxic exercise, using high-fidelity pressure catheters. Here we have identified that young adults born premature, with an average gestational age of 28.6 weeks and no known history of adult cardiopulmonary disease, have elevated resting pulmonary vascular pressures and increased resistance and were significantly less able to augment cardiac index or RV stroke work in response to exercise. Contrary to our initial hypothesis, the hypoxic pulmonary vasoconstrictor response appears intact in these individuals, which was also recently reported by Laurie and colleagues (9).

The average mPAP for our preterm population was 19.7 mm Hg, with 2 subjects (18%) presenting with resting mPAP greater than or equal to 25 mm Hg, consistent with overt PH, and 3 of 11 subjects (27%) presenting with resting mPAP 19 to 24 mm Hg, consistent with borderline PH. Importantly, a growing body of work supports a significant increase in mortality risk even for mild elevations in PAP. Specifically, recent large studies have identified an adjusted mortality hazard ratio of 1.23 to 1.31 when borderline PH was defined as mPAP 19 to 24 mm Hg (23, 24), 2.37 when defined as mPAP 17 to 26 mm Hg (25), and 4.03 when defined as mPAP 21 to 24 mm Hg (26). Furthermore, in a serial catheterization study, 61% of subjects with

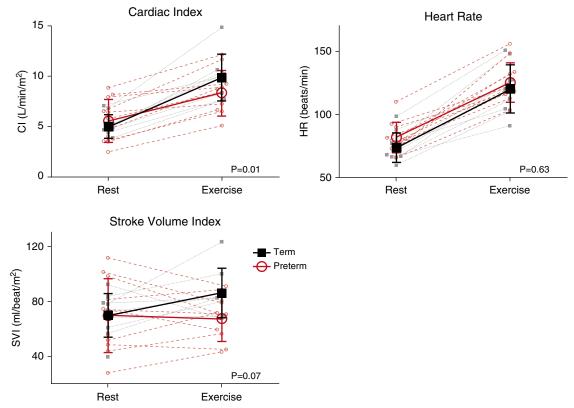


Figure 2. Cardiac response to exercise in term and preterm subjects. Preterm subjects demonstrate a significantly blunted cardiac response to exercise, demonstrated by lower augmentation of cardiac index (CI). This difference is driven primarily by stroke volume. Solid lines represent group response, and lighter dashed lines represent individual responses. HR = heart rate; SVI = stroke volume index.

borderline PH developed overt PH on repeat catheterization, suggesting potential to progress and worsening overall prognosis (24).

The finding of increased resting PAP and TPVR in this otherwise healthy preterm-born population is notable. Specifically, as a group the preterm subjects had pulmonary function above the lower limit of normal, with the only between-

group differences being in diffusion capacity. We do not believe these subjects are outliers in the literature. Spirometric and DL_{CO} values in other studies of adults born premature, particularly when recruiting participants willing to undergo exercise testing, frequently fall above the lower limit of normal yet may be statistically lower than control subjects

(27, 28). The significantly lower $DL_{\rm CO}$ and $DL_{\rm CO}/V_{\rm A}$ in preterm subjects, falling just above the lower limit of normal, suggests a decreased microvascular surface area among adults born premature and likely contributes to the exaggerated increase in PAP with exercise.

The degree of RV dysfunction unmasked by exercise in these preterm-born young

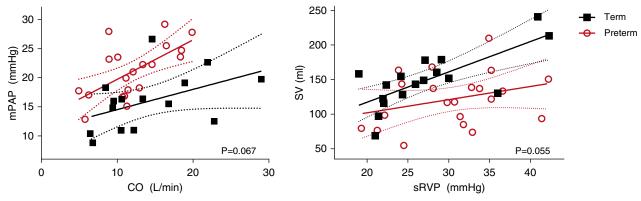


Figure 3. Correlation of hemodynamic parameters at rest and during exercise in term and preterm subjects. Left: Cardiac output (CO) versus mean pulmonary artery pressure (mPAP) demonstrates a greater pulmonary vascular resistance (slope) for a given volume of pulmonary flow among preterm subjects. Right: Systolic right ventricular pressure (sRVP) versus stroke volume (SV) demonstrates a lower volume ejected for any RV pressure among preterm subjects. Individual data points are missing for four term and two preterm subjects because of failure to meet prespecified quality measures.

Table 2. Hemodynamic Assessment at Rest, Exercise, Hypoxic Rest, and Hypoxic Exercise in Term and Preterm Subjects

	Nor	Normoxic Rest		Normo	Normoxic Exercise		Hyi	Hypoxic Rest		Hypo	Hypoxic Exercise	
	Term (<i>n</i> = 10)	Preterm $(n = 11)$	P Value	Term (<i>n</i> = 10)	Preterm $(n = 11)$	P Value	Term $(n = 10)$	Preterm $(n = 11)$	<i>P</i> Value	Term (<i>n</i> = 10)	Preterm $(n = 9)$	P Value
Pulmonary												
sPAP, mm Ha	21.0 ± 3.5	25.6 ± 5.6		29.6 ± 5.8	+1		+1	29.8 ± 6.3	0.25	+1	+1	0.73
dPAP, mm Hg	8.7 ± 3.6	14.5 ± 4.1	0.005	11.6 ± 5.5	16.9 ± 4.6	90.0	11.2 ± 5.0	16.3 ± 4.8	0.15	14.7 ± 6.8	19.7 ± 6.6	0.36
mPAP, mm Hg	14.0 ± 3.4	19.7 ± 4.6		19.6 ± 5.8	+1		+1	22.4 ± 5.1	0.15	+1	+1	0.69
CI, L/min/m ²	5.1 ± 1.2	5.7 ± 2.1		10.0 ± 2.3	+1		+1	5.2 ± 1.9	0.94	+1	+1	0.12
HR, bpm	74 ± 12	82 ± 12		121 ± 19	+1		+1	92 ± 15	0.21	+1	+1	0.99
SVI, ml/beat/m ²	69.8 ± 15.9	69.7 ± 26.7		86.0 ± 18.0	+1		+1	57.1 ± 19.5	99.0	+1	+1	90.0
TPVR, mm Hg/L/min	1.5 ± 0.4	2.1 ± 0.8		1.0 ± 0.4	+1		+1	2.8 ± 1.2	0.09	+1	+1	0.02
PP, mm Hg	12.4 ± 2.9	11.1 ± 2.6		17.9 ± 3.8	+1		+1	13.6 ± 3.1	0.52	+1	+1	0.18
Cpa, ml/mm Hg	10.7 ± 2.5	11.2 ± 3.1		9.6 ± 1.8	+1		+1	7.5 ± 2.4	0.89	+1	+1	0.21
Ea, mm Hg/ml	0.11 ± 0.02	0.18 ± 0.07		0.11 ± 0.05	+1		+1	0.25 ± 0.13	0.07	+1	+1	0.12
Right ventricular												
hemodynamics												
sRVP, mm Hg	23.4 ± 3.2	27.8 ± 5.5		32.0 ± 6.6	34.2 ±	0.41	+1	+1	0.54	39.0 ± 7.5	+1	$0.40^{†}$
edRVP, mm Hg		8.3 ± 3.7		5.4 ± 4.0		0.86	+1	5.0 ± 5.1	0.5	6.5 ± 5.2	3.7 ± 3.6	0.35
SWI, mm Hg · ml/m²	•	$1,986 \pm 919$	0.33	$2,788 \pm 1,196$	$2,\!280 \pm$	0.44	$1,709 \pm 575$	+1	0.99	$3,119 \pm 854$	+1	0.07*

Definition of abbreviations: CI = cardiac index; Cpa = pulmonary artery compliance (SV/PP); d = diastolic; Ea = elastance (mPAP/SV); ed = end-diastolic; HR = heart rate; m = mean; PAP = pulmonary artery pressure; PP = pulse pressure (sPAP - dPAP); RVP = right ventricular pressure; s = systolic; SVI = stroke volume index; SVI = stroke work index (sPAP - SVI); TPVR = total pulmonary vascular resistance (mPAP/CI).

Values presented as mean ± SD. Comparisons between term and preterm subjects during each condition are made using unpaired Wilcoxon rank sum test.
*P < 0.01 for differential response to exercise (interaction) between term and preterm subjects.
†P < 0.05 for differential response to exercise (interaction) between term and preterm subjects.

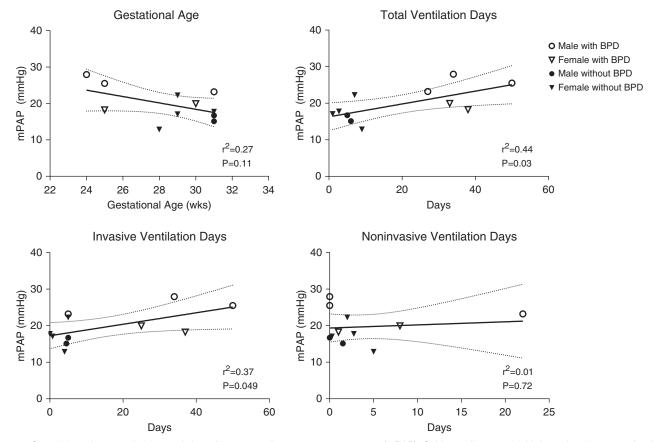


Figure 4. Correlation of neonatal characteristics with mean pulmonary artery pressure (mPAP). Subjects diagnosed with bronchopulmonary dysplasia (BPD), defined by use of supplemental oxygen at 36 weeks postmenstrual age, are denoted by open circles (males) or open triangles (females).

adults is surprising. RV dysfunction in PH has previously been related to the degree of pulmonary vascular stiffness (29), but it typically occurs in the setting of significantly higher pressures. However, the effects of mild chronic elevations in PAP over the course of a lifetime, beginning in a developmentally immature host, are not well described. On the basis of the developmental origins of health and disease hypothesis, a complex interplay between adaptive and maladaptive responses to environmental cues in a developmentally plastic host would be expected (30, 31). Intriguingly, using a rodent model of BPD, we have previously identified persistently elevated PAP throughout the lifespan. During adolescence, DLCO is maintained because of an adaptive increase in CO, but RV dysfunction develops in mid-adulthood (32–34). In humans born premature, cardiac imaging studies demonstrate biventricular hypertrophy beginning during early postnatal development, with RV dysfunction and reduced ejection fraction apparent in early adulthood (35, 36).

Our study is now the second to report a cardiac limitation to exercise in seemingly healthy young adults born premature. Using exercise stress echocardiograms, Huckstep and colleagues demonstrated a decreased cardiac reserve with less augmentation of left ventricular ejection fraction during exercise (37). The relative contributions of left versus right ventricular dysfunction during exercise remain to be elucidated in adults born preterm. However, prior exercise comparisons of left versus right heart failure suggest less SV reserve but larger HR responses to exercise in RV failure (38), and the RV may again be the more affected ventricle in adults born premature. Our small sample size and the unavailability of cardiac response to exercise data for all subjects limit our ability to identify neonatal predictors of RV dysfunction. However, on the basis of our findings, one hypothesis for the paradoxical lack of exaggerated increase in echocardiographically estimated PAP among preterm-born adults with a history of BPD, as identified by Laurie and colleagues, is more exaggerated RV dysfunction in this population (9).

Phenotypic variability exists among preterm subjects born at similar gestational ages, making it difficult to predict which individuals are at highest risk for developing pulmonary vascular disease. Among our subjects, the strongest predictor of elevated mPAP was the number of days on combined invasive and noninvasive ventilatory support. Not surprisingly, the subjects with the longest durations of invasive ventilation were those who were diagnosed with BPD. Our number of subjects is too small to draw firm conclusions; however, a diagnosis of BPD may very well be the primary modifier of long-term pulmonary vascular risk.

One of the primary limitations of our study is the small sample size. However, the use of direct invasive measures, physiologic stressors, and availability of extensive neonatal records allowed us to conclude that otherwise healthy young adults born preterm, particularly those requiring extended neonatal

respiratory support, have increased pulmonary pressures, increased pulmonary vascular resistance, and an impaired cardiac response to exercise. It is important to recognize that the preterm subjects who participated in this study were active individuals with no known history of adult respiratory or cardiovascular disease able to perform maximal exercise testing, resulting in some degree of selection bias. Nevertheless, given that we have demonstrated early pulmonary vascular disease in this relatively healthy young adult population, we suspect we may be underestimating the RV and pulmonary vascular pathology in preterm subjects at large. In addition, the premature subjects in this study were born in an era (1988-1991) of rapidly changing neonatal practice, including the introduction of surfactant and the increasing use of antenatal steroids. Thus, it will require further study to determine whether our findings are applicable to younger populations born preterm. Finally, we were unable to obtain pulmonary vascular wedge pressures given the use of high-fidelity solid-state pressure catheters, prompting our use of TPVR as a surrogate for pulmonary vascular resistance. We did, however, measure compliance, which contributes to the pulsatile RV afterload, and Ea, which computes composite RV afterload. Together, these represent a robust measure of RV afterload and were impaired in preterm subjects.

In conclusion, young adults born premature with no history of adult cardiopulmonary disease have elevated resting pulmonary vascular pressures, with 45% meeting clinical cut-points for abnormal, as well as evidence of increased

pulmonary vascular stiffness and early RV dysfunction. Given the findings of early RV dysfunction at relatively low-level elevations in PAP, future studies should evaluate the role of earlier treatment of pulmonary vascular disease to maintain RV function in this high-risk population.

Author disclosures are available with the text of this article at www.atsjournals.org.

Acknowledgment: The authors thank Dr. Awni Al Subu and Dr. Christina Bryndzia for helping with arterial line insertions and providing clinical support for the study. They also thank Dr. Rudolf Braun, Dr. Aleksey Sobakin, Kent MacLaughlin, Jacob MacDonald, Christina Cavanaugh, Kathrine Schmidt, and Jacob Lamers for their help with data collection and Patrick Jones, Cassandra Koczan, and Cara Miller for their assistance in the cardiac catheterization laboratory.

References

- Vogel JP, Chawanpaiboon S, Watananirun K, Lumbiganon P, Petzold M, Moller AB, et al. Global, regional and national levels and trends of preterm birth rates for 1990 to 2014: protocol for development of World Health Organization estimates. Reprod Health 2016; 13:76.
- Baker CD, Abman SH, Mourani PM. Pulmonary hypertension in preterm infants with bronchopulmonary dysplasia. *Pediatr Allergy Immunol Pulmonol* 2014;27:8–16.
- Mourani PM, Sontag MK, Younoszai A, Miller JI, Kinsella JP, Baker CD, et al. Early pulmonary vascular disease in preterm infants at risk for bronchopulmonary dysplasia. Am J Respir Crit Care Med 2015;191: 87–95.
- Naumburg E, Söderström L, Huber D, Axelsson I. Risk factors for pulmonary arterial hypertension in children and young adults. *Pediatr Pulmonol* 2017;52:636–641.
- Mourani PM, Sontag MK, Younoszai A, Miller JI, Kinsella JP, Baker CD, et al. Early pulmonary vascular disease in preterm infants at risk for bronchopulmonary dysplasia. Am J Respir Crit Care Med 2015;191: 87–95.
- Zivanovic S, Pushparajah K, Calvert S, Marlow N, Razavi R, Peacock JL, et al. Pulmonary artery pressures in school-age children born prematurely. J Pediatr 2017;191:42–49, e3.
- Naumburg E, Axelsson I, Huber D, Söderström L. Some neonatal risk factors for adult pulmonary arterial hypertension remain unknown. Acta Paediatr 2015;104:1104–1108.
- Lewandowski AJ, Augustine D, Lamata P, Davis EF, Lazdam M, Francis J, et al. Preterm heart in adult life: cardiovascular magnetic resonance reveals distinct differences in left ventricular mass, geometry, and function. Circulation 2013;127:197–206.
- Laurie SS, Elliott JE, Beasley KM, Mangum TS, Goodman RD, Duke JW, et al. Exaggerated increase in pulmonary artery pressure during exercise in adults born preterm. Am J Respir Crit Care Med 2018;197: 821–823.
- Beshish A, Haraldsdottir K, Barton G, Tetri L, Braun RK, Pegelow D, et al. Pulmonary vascular response to exercise and hypoxia in adult survivors of preterm birth [abstract]. Am J Respir Crit Care Med 2017; 195:A3109.
- 11. Beshish A, Goss KN, Harraldsdottir K, Barton G, Pegelow DF, Palta M, et al. Early pulmonary vascular disease in young adults born premature. Presented at the American Thoracic Society Grover Conference. Sept 6–10, 2017, Sedalia, CO.

- Palta M, Sadek M, Barnet JH, Evans M, Weinstein MR, McGuinness G, et al. Evaluation of criteria for chronic lung disease in surviving very low birth weight infants: Newborn Lung Project. J Pediatr 1998;132: 57–63
- Palta M, Sadek-Badawi M, Evans M, Weinstein MR, McGuinnes G. Functional assessment of a multicenter very low-birth-weight cohort at age 5 years: Newborn Lung Project. Arch Pediatr Adolesc Med 2000;154:23–30.
- Palta M, Weinstein MR, McGuinness G, Gabbert D, Brady W, Peters ME. A population study: mortality and morbidity after availability of surfactant therapy: Newborn Lung Project. Arch Pediatr Adolesc Med 1994;148:1295–1301.
- Palta M, Sadek-Badawi M, Sheehy M, Albanese A, Weinstein M, McGuinness G, et al. Respiratory symptoms at age 8 years in a cohort of very low birth weight children. Am J Epidemiol 2001;154: 521–529.
- Bull FC, Maslin TS, Armstrong T. Global physical activity questionnaire (GPAQ): nine country reliability and validity study. *J Phys Act Health* 2009:6:790–804.
- 17. Quanjer PH, Stanojevic S, Cole TJ, Baur X, Hall GL, Culver BH, et al.; ERS Global Lung Function Initiative. Multi-ethnic reference values for spirometry for the 3-95-yr age range: the global lung function 2012 equations. Eur Respir J 2012;40:1324–1343.
- 18. Stanojevic S, Graham BL, Cooper BG, Thompson BR, Carter KW, Francis RW, et al.; Global Lung Function Initiative TLCO working group; Global Lung Function Initiative (GLI) TLCO. Official ERS technical standards: Global Lung Function Initiative reference values for the carbon monoxide transfer factor for Caucasians. Eur Respir J 2017;50:1700010.
- Mosteller RD. Simplified calculation of body-surface area. N Engl J Med 1987;317:1098.
- Wang Z, Chesler NC. Pulmonary vascular wall stiffness: an important contributor to the increased right ventricular afterload with pulmonary hypertension. *Pulm Circ* 2011;1:212–223.
- Dean CB, Nielsen JD. Generalized linear mixed models: a review and some extensions. Lifetime Data Anal 2007;13:497–512.
- Kovacs G, Olschewski A, Berghold A, Olschewski H. Pulmonary vascular resistances during exercise in normal subjects: a systematic review. Eur Respir J 2012;39:319–328.
- 23. Maron BA, Hess E, Maddox TM, Opotowsky AR, Tedford RJ, Lahm T, et al. Association of borderline pulmonary hypertension with mortality and hospitalization in a large patient cohort: insights from the Veterans Affairs Clinical Assessment, Reporting, and Tracking Program. Circulation 2016;133:1240–1248.

- Assad TR, Maron BA, Robbins IM, Xu M, Huang S, Harrell FE, et al. Prognostic effect and longitudinal hemodynamic assessment of borderline pulmonary hypertension. *JAMA Cardiol* 2017;2:1361–1368.
- Douschan P, Kovacs G, Avian A, Foris V, Gruber F, Olschewski A, et al. Mild elevation of pulmonary arterial pressure as a predictor of mortality. Am J Respir Crit Care Med 2018;197:509–516.
- 26. Heresi GA, Minai OA, Tonelli AR, Hammel JP, Farha S, Parambil JG, et al. Clinical characterization and survival of patients with borderline elevation in pulmonary artery pressure. *Pulm Circ* 2013;3:916–925.
- Caskey S, Gough A, Rowan S, Gillespie S, Clarke J, Riley M, et al. Structural and functional lung impairment in adult survivors of bronchopulmonary dysplasia. Ann Am Thorac Soc 2016;13:1262–1270.
- Lovering AT, Laurie SS, Elliott JE, Beasley KM, Yang X, Gust CE, et al. Normal pulmonary gas exchange efficiency and absence of exercise-induced arterial hypoxemia in adults with bronchopulmonary dysplasia. J Appl Physiol (1985) 2013;115:1050–1056.
- Stevens GR, Garcia-Alvarez A, Sahni S, Garcia MJ, Fuster V, Sanz J. RV dysfunction in pulmonary hypertension is independently related to pulmonary artery stiffness. *JACC Cardiovasc Imaging* 2012;5:378–387.
- Maron BA, Abman SH. Translational advances in the field of pulmonary hypertension: focusing on developmental origins and disease inception for the prevention of pulmonary hypertension. *Am J Respir Crit Care Med* 2017;195:292–301.
- Wadhwa PD, Buss C, Entringer S, Swanson JM. Developmental origins of health and disease: brief history of the approach and current focus on epigenetic mechanisms. Semin Reprod Med 2009; 27:358–368.

- Goss KN, Cucci AR, Fisher AJ, Albrecht M, Frump A, Tursunova R, et al. Neonatal hyperoxic lung injury favorably alters adult right ventricular remodeling response to chronic hypoxia exposure. Am J Physiol Lung Cell Mol Physiol 2015;308:L797–L806.
- 33. Goss KN, Tepper RS, Lahm T, Ahlfeld SK. Increased cardiac output and preserved gas exchange despite decreased alveolar surface area in rats exposed to neonatal hyperoxia and adult hypoxia. Am J Respir Cell Mol Biol 2015;53:902–906.
- Goss KN, Kumari S, Tetri LH, Barton G, Braun RK, Hacker TA, et al. Postnatal hyperoxia exposure durably impairs right ventricular function and mitochondrial biogenesis. Am J Respir Cell Mol Biol 2017;56:609–619.
- Aye CYL, Lewandowski AJ, Lamata P, Upton R, Davis E, Ohuma EO, et al. Disproportionate cardiac hypertrophy during early postnatal development in infants born preterm. *Pediatr Res* 2017;82:36–46.
- Lewandowski AJ, Bradlow WM, Augustine D, Davis EF, Francis J, Singhal A, et al. Right ventricular systolic dysfunction in young adults born preterm. Circulation 2013;128:713–720.
- Huckstep OJ, Williamson W, Telles F, Burchert H, Bertagnolli M, Herdman C, et al. Physiological stress elicits impaired left ventricular function in preterm-born adults. J Am Coll Cardiol 2018;71: 1347–1356.
- 38. Groepenhoff H, Westerhof N, Jacobs W, Boonstra A, Postmus PE, Vonk-Noordegraaf A. Exercise stroke volume and heart rate response differ in right and left heart failure. *Eur J Heart Fail* 2010;12: 716–720.